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ROLL NUMBER

DESCRIPTION

2003

2001 SENATE APPROPRIATIONS

SB 2003

2001 SENATE STANDING COMMITTEE MINUTES

BILL/RESOLUTION NO. 2003

Senate Appropriations Committee

☐ **Conference Committee**

Hearing Date: January 2, 2001

Tape Number	Side A	Side B	Meter #
1	NDUS		0.0 - 44.4
1		NDUS	0.0 - 45.0
2	NDUS		0.0 - 6.2
Committee Clerk Signature	Jennie Peterson		

Minutes:

Senator Nething, Chairman, called the Senate Appropriations Committee meeting to order at 3:00 p.m. on Tuesday, January 2, 2001.

Senator Nething announced that the Committee would have hearings this afternoon, Wednesday, Thursday, and next Monday. Presentations will be made by the North Dakota University System (NDUS), University of North Dakota, UND School of Medicine and Health Sciences, Williston State College, Lake region State College, Dickinson State University, Mayville State University, Valley City State University, Minot State University, Minot State University-Bottineau, State College of Science, Bismarck State College, North Dakota State University, the North Dakota Forest Service, and the University System Office - as part of SB2003.

The Upper Great Plains Transportation Institute, the Main Research Station, Branch Stations, the NDSU Extension Service, the Agronomy Seed Farm, and the Northern Crops Institute will make their presentations - as part of SB2021.

Dr. William B. Isaacson, President, State Board of Higher Education, was recognized by Senator Nething. Dr. Isaacson introduced fellow members of the Board of Higher Education who were in attendance. He thanked the Committee for past support and requested continued support of the proposed budget, SB2003. (Copy of written testimony is attached.)

Chancellor Larry Isaak presented the North Dakota State University (NDUS) Overview. (Copies of written testimony and slides are attached.) His presentation covered the organizational chart of the NDUS, 1999-01 NDUS Major Accomplishments, State Board of Higher Education Objectives, Enrollment by Residency - Fall 2000, NDUS Program Completers by Level of Award for 1999-2000, the 2000-2001 Annual Budget, 2000-2001 Annual Budget Funding Source, Salary Levels, Cost of Living Index, Graduation Employment and Retention, Summary of General Fund Increases, Comparison of 2001-03 Budget Request to Executive Recommendation, Estimated Cost for 2001-03 Compensation Package Recommended by State Board of Higher Education, Summary of General Fund Increase/Decrease, Selected Portions of NDUS Office Block Grants - 2001-03 Executive Recommendation, Summary of General and Other Fund Increases/Decreases, 2001-03 Major Capital Projects, 2001-03 Campus Major Capital Projects, and 2001-03 Schedule of Suggested Amendments and Restoration.

Questions were encouraged during and following the presentation.

Senator Nething: On pages 30-31, are requests to be general or special?

Chancellor Isaak: Both.

Senator Grindberg: Will this include/cover the problems experienced over the holidays at North Dakota State School of Science (NDSCS)?

Chancellor Isaak: Not sure, a question to ask when NDSCS presentation is heard.

Senator Andrist: Tuitions continue to increase...notice that the next 4 years tuitions are up 35% from the last 4?

Chancellor Isaak: Not sure that it is up 35% in 4 years---perhaps if you include fees. Beyond next 2 years, unable to say if they will increase.

Senator Tomac: When we toured the eastern campuses, NDSU talked of new residence halls to accommodate students, and there are empty rooms at Mayville and Valley City...how do you justify residence halls?

Chancellor Isaak: Tough question--demographic studies indicate a growth in Cass County--due in part to economics. We try to be there to serve the students, keep them here in state-- rather then lose them to MN. The Board is looking at demographics--growth signs, age of residences, reviewing pricing of programs--pricing is market based, eliminating out-of-state tuition at smaller campuses to attract students...at one time there was a cap on student enrollment at NDSU--students were directed to NDSU Bottineau...that didn't work, believe zero number of students transferred.

Senator Nething: Perhaps technology provides a different approach?

Chancellor Issak: Could be--competition as well as opportunity to bring in students.

Senator Tomac: Demand, competition are good points but the State Constitution mandates that there shall be colleges there--if small campuses can't compete, will they be abandoned, neglected?

Chancellor Isaak: The Round Table recommended that the Board do a long term financial and research budget comparison with "peer institutions." The first report went to the Board recently. Each campus will build a 7-10 year projection--what is the state expected to contribute, and what need there is to raise money locally. Need to have each campus do a plan--have something to

"shoot for." Some may need to raise more funds--we are not sure which ones--flexibility is what we need--let them be creative and innovative. Not all campuses should be the same, the System encourages individuality.

Senator Grindberg: How does one find a peer institution? Is there a report--will it be ready soon?

Chancellor Isaak: We can provide the information presented by Dennis Jones, a consultant working with the Board. This is not final, but is the result of some 5-6 months of input.

Senator Robinson: Not specific to a particular campus--but would the bill provide flexibility for popular programs that lack funding--if flexibility is not used, the students might go to another state?

Chancellor Isaak: One can't always reallocate funds, there are startup funds that might be requested, should everyone be required to re-address caps...maybe no, but maybe yes.

Senator Nething: Regarding page 22 of testimony...16.2 million includes tuition revenue not authorized; then other funds will be used to fund the 14.2 million of estimated cost to salary increases and utility increases?

Chancellor Isaak: If no additional funds beyond the Governor's recommendations, we would have to use it to offset expenses.

Senator Nething: If we do tuition only?

Chancellor Isaak: Yes.

Senator Nething: Plus additional costs associated with additional enrollment--what are they?

Chancellor Isaak: Need to review on campus by campus...but there may be a need to add a new section, and hire an adjunct professor, or qualified instructor.

Senator Nething: There is 2 million to work with and an additional 1.3 million additional tuition to cover those?

Chancellor Isaak: Yes, we would use those funds; some campuses would have funds to absorb expenses, others would not.

Senator Nething: Appears to be a wash.

Chancellor Isaak: System wide yes, it varies campus to campus.

Senator Nething: If the funds are provided to you--would all be paid?

Chancellor Isaak: Yes, by Board action with my recommendation...funds would be used to cover this cost.

Senator Nething: Then this is here for a shock effect?

Chancellor Isaak: No it is not, there is a need to show the information.

Senator Solberg: Accountability with flexibility--the Round Table report includes them...one thing of concern: How do you count FTEs? There are 14 or 18 Rugby individuals enrolled in a distance learning program/class--they are counted on 3 campuses, address accountability here?

Chancellor Isaak: Distance education does throw a curve in the numbers--yes, they are counted by each of the 3 campuses. We are now working on a program format that would get at duplications and un-duplication counts. You are right, we need to change. They use all 3 campuses, so each campus needs to show use and count.

Senator Solberg: Could there be a campus-wide enrolled distance learning?

Chancellor Isaak: Yes, not physically located.

Senator Nething: Ready to look at that?

Chancellor Isaak: The Board received a report in November. We can provide that document.

Senator Nething: We would like it next Monday, when we review the Round Table recommendations.

Senator Bowman: What if you take the dollars out of tuition funds--inflation generated a need for seeking replacement funds--same old problem of accountability--how can you avoid this?

Chancellor Isaak: If the legislature adopts the Executive Budget--next time we would not request tuition dollars--it would be state funds. The Interim Legislative Committee review has 2 sets of accountability: fiscal and non-fiscal.

Senator Robinson: System puts more responsibility within the system, are there changes that need to be made in the market place--more partnerships made?

Chancellor Isaak: Yes, like Valley City State University and the Great Plains connection--building on partnerships. NDSU in conjunction with John Deere--classes in the research park. There is a need for nontraditional things--part of our service is added responsibility, we need to siege upon the opportunity or someone else will.

Senator Andrist: We read about explosive growth demographics in distance learning enrollment--is this positive or negative on resident students who take classes on campus?

Chancellor Isaak: 50% of the students taking on-line classes are already students on a campus. The on-line class allows them to take additional classes at their convenience.

Senator Nething: On SB2003, subdivisions 2-14, comfortable with this or without?

Chancellor Isaak: Yes.

Senator Nething: What reason should we not include subdivisions 2-14?

Chancellor Isaak: Purist. The Board has ongoing grants and projects to allow flexibility and accountability--we are not displeased with the Governor's Budget....we want to be consistent.

Senator Nething: Heartburn for you?

Chancellor Isaak: No.

Senator Nething: Draft offensive, or just different from that recommended?

Chancellor Isaak: Yes.

Hearing on SB2003 recessed until Wednesday, January 3 at 8:30 a.m.

2001 SENATE STANDING COMMITTEE MINUTES

BILL/RESOLUTION NO. SB2003

Senate Appropriations Committee

☐ Conference Committee

Hearing Date: January 3, 2001

Tape Number	Side A	Side B	Meter #
#1	UND		0.0 - 54.1
#1		UND	0.0 - 25.6
#1		UND-Med	25.6-50.3
#2	UND-Med		0.0 - 12.1
#2	WSC		12.1 - 46.6
#2		LRSC	0.0 -29.6
#3	DSU		0.0 - 47.9
#3	MaSU		47.9 - 54.7
#3		MaSU	0.0 - 29.6
#3		VCSU	29.6 - 51.1
#4	VCSU		0.0 - 17.8
#4	MSU		17.8 - 54.5
#4		MiSU-BC	0.0 - 26.0

Committee Clerk Signature Sonnie Peitsch

Minutes:

Hearing on SB2003 (a Bill for an Act to provide an appropriation for defraying the expenses of the North Dakota University system, and to declare an emergency,) was opened by Senator Nething, Chair, Senate Appropriation Committee, Wednesday, January 3, 2001, at 8:30 a.m.

The University of North Dakota (UND) presentation was opened by Charles E. Kupchella, President. His overview "Opportunities for Making an Even greater Difference: State of the University: Present and Future" (copy of testimony attached).

Senator Solberg: Have you involved students in the Entrepreneur Program, regarding setup etc.?

President Kupchella: Yes, we used the workshop format, we now have a second program, taking people who wish to enter into business, it has lots of promise; just started this fall so have no data regarding interest in the program

Senator Robinson: Where do you feel you will recruit the additional 2,000 students? How will it effect the system--impact enrollment in other institutions? What's the impact of another thousand students? Why not evening classes?

President Kupchella: Evening is germaine -- we are currently working on that; our capacity is 12,000, and we are sure not all needs of the world are being met--so we're recruiting on a worldwide basis..establishing long term relationships with other countries; a proactive effort work in profile.

Senator Robinson: Example: if 500 of the thousand students came from western ND--wouldn't that be contradictory of the Roundtable report? Demographics indicate the growth of the state is in the eastern portion --- will this be creating competition between two large universities? What statewide impact will there be? Will this cause a declined enrollment in the western institutions?

President Kupchella: Not sure that we invision students from western ND. Believe we are cognizant of the Roundtable -- looking at nontraditional students, working personnel, etc.

Senator Nething: Chart on page 2: clarify student fees?

President Kupchella: The total contribution is on the next pie chart; students support a fraction of instructional costs.

Senator Nething: Salaries and services part of student fees?

President Kupchella: Flight, family practice fees all show up as part of revenue.

Senator Nething: Students pay appropriately 1/3 of the education component?

President Kupchella: Plus auxiliary services..then to perhaps 50%.

Senator Andrist: re: page 2-long term (5-10 years) do you see a significant change?

President Kupchella: Less general funds -- research dollars going up; fraction of general funds down, increase from auxiliary.

Senator Tallackson: Are grants/contracts stable?

President Kupchella: Yes and no. Our endowment funds are invested in stocks/bonds so they go up/down. Grants and contracts continue to go up steadily. EPSCOR has a positive impact.

Senator Holmberg: Page 5: clarification matter: are medical salaries included in salary figures or do they have their own?

President Kupchella: No, they have their own.

Senator Nething: Page 18-- total from tuition increase? Enrollment numbers?

President Kupchella: Slight over this year; increase over next 2 years. Tuition increases are built in.

Senator Nething: Page 2 of SB2003, lines 12-17-UND allocations -- do you have a preference as to how they are directed, the Governor's proposal or the Roundtable going through the University System (NDUS)?

President Kupchella: Yes, first choice would be the Roundtable recommendation -- system needs flexibility; need to find own sources--fewer line items, less seeking of permission to transfer from one to another--campus would like fewer restrictions.

Senator Nething: Problems with accountability measures? Like the opportunity to talk, alert us?

President Kupchella: We endorse that approach --give us the dollars and we will report results - it provides tracking for auditors-- we already built these into our strategic plan -- accountability is based on outcomes---I support it.

Senator Heitkamp: Indicating restoration of memorial union -- using student fees: how do you generate increase?

President Kupchella: It's an auxiliary item where we involve student government - asked for endorsement. Bonding (combining old and new liability) will be secured; students will take on additional fees.

Senator Heitkamp: 3.5 million is big for student body--how much increase?

Burly Nelson, Student Body President: Students will pay an additional $7 per semester--and the bonding will be over a 20 year payment -- decrease of "mortgage payment" will be experienced.

President Kupchella: Students were/and are involved.

Senator Solberg: Comparison of peer institutions - as mentioned in the Roundtable report--who do you compare to? Is it difficult? How do you find peer institutions?

President Kupchella: We are in the process of doing that -- with the help of Dennis Jones, a consultant, used by Board of Higher Education (BHE). It is difficult, as we need schools with a school of medicine (no 2 are alike -- UND is unique). We have found 125 in the country and now need to compare indicators..and are in the final stage of perfecting list.

B. Nelson testified in support of the University's budget, addressing 2 student priorities: faculty salaries and restoration of the memorial union.

H. David Wilson, Dean, University of North Dakota School of Medicine & Health Sciences, presented its portion of SB2003 (written copy of testimony is attached).

Senator Grindberg: The area of bio-med is competitive; are their efforts for internships, taking research and patent application?

Dean Wilson: Yes, we are always looking. Many of our faculty receive grants-75% of which pays technicians who are busy developing data.

Senator Solberg: Page 19: Regarding technology - have available network services been explored?

Dean Wilkson: Yes, lots of lines are available, will add only what is necessary. We take advantage of what's there, use hookups or links --always piggy back if possible.

Senator Nething: On SB2003, page 4, line 9: one line item okay?

Dean Wilson: Yes, If you give one flat sum, we'll use it wisely, allowing the flexibility we'd like, will be accountable.

Joseph McCann, President, Williston State College (WSC), presented the overview documentation regarding its portion of SB2003 (written testimony is attached).

Brenda Wigness, Director for Administrative Affairs, presented the budget (testimony attached).

Senator Heitkamp: Distance learning fees - match up with competition Pay per credit?

President McCann: Perhaps on the high side; Board is reviewing, and considering reducing cost especially for out of state students; we try to keep it affordable.

Senator Tomac: Number of faculty at your institution?

President McCann: 21 full time faculty.

Senator Nething: Within the Roundtable recommendation -- what impact would the accountability (fiscal and non-fiscal) portion have on WSC?

President McCann: In our governance, we've identified and aligned with the Roundtable. We see some problems with data (K-12 and Higher Education) where software should be updated, as we now are required to process some data by hand, slows the process.

Senator Nething: Page 1: Enrollment has declined? Plans for future?

President McCann: There has been a serious decline of population in the area; we are addressing all programs--where low, we need to determine if it's a viable program, cut if/when necessary. WSU provides access to all students, full or part time, and are addressing distance learning, enabling many to attend class while being fully employed.

Senator Thane: Are you exploring efforts to partner with industries?

President McCann: We are working with industries - helping to determine their needs -- once determined we can provide -- follow up as needed.

Sharon Etemad, President, Lake Region State College (LRSC), presented testimony regarding its portion of SB2003 (copy of written testimony attached).

Senator Holmberg: Has the common course numbering been completed, and accepted? How far from completion?

President Etemad: Common course numbering within general education curriculum is moving nicely; next step is to move into majors, currently reviewing if they will count- articulation is in process; the whole process is progressing.

Senator Nething: Problems caused with accountability measure?

President Etemad: No, appreciate and identify with it; we know up front what we have, and being accountable to the state and legislature is reasonable; a positive for LRSC.

Senator Nething: Do you have an appropriations preference? Governor's or other?

President Etemad: Prefer one line item; like tuition non appropriated; we need to be accountable, able to be flexible.

Lee Vickers, President, Dickinson State University (DSU) presented an overview regarding its portion of SB2003 (copy of testimony attached).

Alvin Binstock, Vice President for Business Affairs, presented the DSU budget portion (pages 37-57 of attached documentation).

Senator Nething: Tuition revenue -- $114,027 listed negative on balance?

VP Binstock: Correct.

Senator Nething: All will let you increase?

VP Binstock: Yes, increase cost of providing for students.

Senator Nething: Tuition dollars will be incentive to go raise dollars? -- suggestion: something you might point out at House hearing, along with amounts.

VP Binstock: I will do so.

Senator Robinson: Price of natural gas? Are you restricted? Can you use coal or oil?

VP Binstock: We have 3 options, #1 oil, #2 oil, and natural gas. Natural gas cost has almost doubled, and we are currently under advance contract for such.

Senator Robinson: Which biennium was contract made, length?

VP Binstock: Current year 2001 is last heating season on contract.

Senator Nething: Have a preference as to number of line items?

President Vickers: Either way.

Senator Nething: Do you see accountability measurements or flexibility as a problem?

President Vickers: Some concerns regarding number--perhaps too many. Support of the Roundtable recommendations.

Senator Andrist: Is the cost of renovation and addition to Murphy Hall economically feasible? Perhaps less costly to build new?

President Vickers: Recommendation is to renovate, building is sound; consultant advised this to be most economical.

Ellen-Earle Chaffee, President, Mayville State University (MaSU), presented an overview of its portion of SB2003 (copy of testimony and slide presentation attached).

Stacy Hall, senior student at MaSU, testified in support of MaSU (copy of testimony attached).

Senator Bowman: Using your expertise, perhaps the out source of some jobs to rural communities could be a reality? Youth with skills are vital part of economic development for ND, could you/would you be part of it?

Stacy Hall: It is definitely possible. I'd be proud to be part of it.

Gary Hagen, Vice President for Academic Affairs, presented testimony with slides on the Roundtable Realities (pages 5-7 of written testimony attached).

Ellen-Earle Chaffee, President, Valley State University (VCSU) presented an overview of its portion on SB2003 (testimony attached).

Steve Bensen, Vice President Business Affairs assisted in the slide presentation.

Les Wong, Vice President for Academic Affairs, testified in support of VCSU (copy of written testimony attached).

Senator Bowman: Do you perceive future working on technology, some day working with large businesses, who are willing to pay for the expertise...appears to be forward thinking, lots of potential.

VP Wong: Market will be there, we will build part of the bridge, and that will get us there.

Senator Thane: It is impressive. Is there a decided advantage in the size of campus? More motivation to move than on a bigger one?

VP Wong: If I didn't believe in this, I'd go to the east coast. Size (1-2 thousand) is critical, more likely to happen on small campus.

Senator Nething: Reading the Roundtable report--this falls in line with accountability and flexibility?

VP Wong: It confirmed what we're doing and want to do.

Senator Nething: If legislature approves the Roundtable recommendation -- even if no venture capitol on table - could you reach your goal?

VP Wong: We would enjoy flexibility, could respond quickly to situations; which is equally as valuable as dollars.

Senator Heitkamp: My area, Hankinson/Lidgerwood, has a shortage in science and math teachers; we have limited funds to retain them; campuses indicate more teachers coming?

VP Wong: I have invited California personnel - experts in algebra taught on the web, who have experience in technology to our campus -- with the hope they will be able to help us deliver the course to that area, as well as over the state -- training/upgradings/teacher skills.

President Chaffee: Short term; now more proactive working with schools. Long term, how do we maintain teachers: mostly out of our control, dollars involved. We are looking to bring more out of state students, more out of state rural teachers, hoping they will look to rural ND. VCSU is supportive of economic growth in Fargo - for every 20 families who move there, 1 wants to be near Fargo---and VCSU is that!

Senator Thane: I understand the number of teachers in ND is 8,000 and 4,000 are near retirement (within 5 years or so,) can VCSU produce enough new ones?

President Chaffee: We have the capacity to train 2-3 hundred at VCSU and MaSU combined. Question, challenge is, where do we find them? Is there an incentive to stay in ND? We'd be thrilled to produce adequate number of teachers.

Senator Nething: Flexibility comes in?

President Chaffee: Yes, flexibility will help. A sense of security needs to be made to the public -- it is hard to raise community dollars, or funds from alumni, when there is the fear we will not be here in the future---need to stress the fact (as is done in the Roundtable report) that the institutions are here for all of ND.

Senator Nething: That's why a System -- for all of ND.

H. Erik Shaar, President, Minot State University (MSU), presented a campus overview (written documentation attached).

Senator Robinson: Like some clarification: enrollment of 3 thousand doesn't include continuing education count of 800? How is it different?

President Shaar: We have a successful continuing education program, the revenue pays the teachers - if attendance is sufficient we enjoy some profit.

Senator Robinson: Continuing education courses not for credit?

President Shaar: They can be either, credit or noncredit.

Senator Robinson: Could serve 3800 students at MSU?

President Shaar: Yes

Senator Andrist: Question regarding enrollment count: 60 females and 40 males? Unique to MSU? Or system wide?

President Shaar: More women are pursuing degrees which at one time were considered male only--such as accounting, engineering. We have a higher percentage of women involved in the teacher education program.

Larry Eide, Senior Vice President, presented budget documentation (copy attached).

Senator Andrist: Considering bonding on Old Main/McFarland Auditorium Renovation, for all of the local share?

VP Eide: We intend to borrow the entire sum, but still raise local amount to pay off the bond.

Senator Andrist: Source of payment dollars?

VP Eide: Local fund raising.

Senator Andrist: Total square footage involved?

VP Eide: Approximately 30,000 square feet.

President Shaar: We have been actively seeking local funds- and are just shy of 2 million; would like closer to 3 which would allow us to establish an endowment fund for operating funds of the facility.

Jim Croonquist, Interim Campus Dean, Minot State University - Bottineau (MISU-BC), presented documentation regarding its portion of SB2003 (testimony attached).

Jim Borkowski, Director of Business Affairs, presented testimony on budget items (documentation attached).

Senator Heitkamp: Regarding Thatcher Hall and Central Heating Plant Electrical Systems; do you own the power grid?

Director Borkowski: We own the transformers.

Senator Schobinger: Page 6, line 3: $132,708 part of 1999-01?

Director Borkowski: Yes, placed in/out budget when new year began.

Senator Schobinger: Why in hold over?

Director Borkowski: One time allotment - carry over for capitol repairs.

Hearing on SB2003 was recessed until 8:30 a.m., January 4.

2001 SENATE STANDING COMMITTEE MINUTES

BILL/RESOLUTION NO. SB2003

Senate Appropriations Committee

☐ Conference Committee

Hearing Date: January 4, 2001

Tape Number	Side A	Side B	Meter #
#1	NDSCS		0.0 - 40.0
#1	BSC		40.1-54.5
#1		BSC	0.0 - 39.8
#1		NDSU	39.8-50.7
#2	NDSU		0.0 - 43.3
#3	Forest Service		0.0 - 12.5
Committee Clerk Signature	Vonnie Pietsch		

Minutes:

Senator Nething, Chair, Senate Appropriations Committee, reopened the hearing on SB2003 8:30 a.m., January 4, 2001.

Sharon Y. Hart, President, North Dakota State College of Science (NDSCS) opened with Executive Remarks (testimony copy attached).

Bob Gette, Vice President for Academic Affairs, presented information of Southeast ND Workforce Training Region (pages 26-28 of testimony copy attached).

Senator Holmberg: Regarding the implementation of common course numbers--have you any feed back from students who have had difficulty transferring from your institution to another within the system?

VP Gette: The feedback is positive. We continue to work on numbering and articulation of courses -- experienced few problems. 80% of our classes are voc/tech so need to keep advising students that they may not transfer if they change their mind and go into an advanced major.

Senator Holmberg: Expand on the collaboration with NDSU regarding General Education?

VP Gette: Some programs offered at MeritCare, Fargo, where we do the practical nursing portion and NDSU the general education courses. Example: we don't take English to Fargo, it's already there.

Michael Renk, Vice President for Admin Affairs, presented college improvements/major projects accomplishments information (copy of testimony attached).

Senator Nething: When you talk of controlling temperature -- do you change all buildings, how often?

VP Renk: Temperature is reduced to 60 degrees at ten in the evening; days at 70 degrees; weekends and holidays set at 60 degrees; yes, all buildings when project is completed.

Senator Nething: Savings pay for the system?

VP Renk: We have borrowed the total cost, will pay it back in 10 years or less with the savings.

Senator Grindberg: Is the campus part of the Mid West Higher Ed Consortium?

VP Renk: No. We secured a grant to do a study ($80,000) survey, then proceeded with project.

Senator Robinson: Regarding the proposed main parking lot repairs, number of spaces, fees?

VP Renk: 700 spaces, fee currently $26 per year - will be raised gradually to $50 over 20 years.

Senator Bowman: Appears parking lots are similar to roads -- fixing/patching usually done prior to having big job of replacement--was this not done? Left until major repairs necessary?

VP Renk: We have and do patch..patch on top of patch.

Tiffany Quast and Shawn Flemmer: Students at NDSCS, testified in support of the proposed addition and remodeling of the Claire T. Blikre Activities Center (copy of testimony attached, pages 82-88.)

Senator Schobinger: Were students involved? Vote approval? Your hometowns?

Tiffany and Shawn: Yes, purpose of vote was advertised, polls open full day beginning at 8 a.m. Appropriately 200 voters. We're both from Beulah.

Donna Thigpen, President, Bismarck State College (BSC), presented an overview with the use of slides (copy of testimony attached).

Karlee Smith, sophomore student from Minot, ND, and President of the Bismarck State Board of Governors, testified in support of BSC (copy of testimony attached).

Senator Nething: Where will you be going after graduation: Decided on a major?

Karlee Smith: Minot State University, elementary education.

Senator Solberg: Will your credits transfer if you switch major?

Karlee Smith: I will have an associate degree in science and one in arts so they will transfer

Senator Solberg: How long will you need to attend Minot State University to complete the degree?

Karlee Smith: 5 semesters, not including summer school should I choose to attend.

Senator Holmberg: Have you explored the possibility of all transferring?

Karlee Smith: Yes, all will transfer.

George J. Belohlavek, BSC student from Mandan, ND, testified in support of BSC (copy of testimony attached).

Russell Staiger, President, Bismarck-Mandan Development Association, testified in support of BSC (copy of testimony attached).

Dave Clark, Vice President of Oper & Corp & Cont Ed, presented budget information (copy of testimony is attached).

Senator Robinson: Concerning online courses? Growth there? Compensation level? # of students, compensation to faculty?

Wayne Boekes, Vice President of Instruction & Student Serv: We try to compensate faculty at campus rate. However, if we need to use adjunct faculty we may have to go outside the ranges.

Senator Robinson: Is this considered overload?

VP Boekes: Yes, most on overload.

Senator Tallackson: Blueprint appears to change room alignments, windows?

VP Clark: Complete renovation necessary; there are some asbestos problems, will redesign some classrooms using innovative ideas to benefit students; design was led by faculty.

Senator Bowman: When you share a program with another institution who gets the head count, or do both?

VP Boekes: Recently we did a collaborative model with NDUS where the receiving campus got 20% - for physical plant shares. If taking class at both institutions, both receive count, if only at Dickinson, only there.

President Thigpen: We get credit for only our share, no double count.

Senator Solberg: Have you received any negative remarks about the recent tuition change at your institution? Is it working?

President Thigpen: No negative remarks heard. Yes, working well.

Senator Solberg: Health Services were part of the Roundtable discussion. Small communities have a disadvantage when the law states RN's must have a 4 year degree. Something for System to review?

President Thigpen: Let me stress these are my personal views only--I do not speak for the system and others. ND is the only state in the nation that requires a bachelor degree for nurses. A controversial issue. Perhaps a look at the law would be appropriate, help to alleviate the shortage of nurses.

Senator Solberg: From the prospects of a small community such as Rugby -- my opinion is why a 4 year RN when a 2 year up to doing the job.

Senator Heitkamp: College busting at the seams? Bismarck is as costly a place to live as other cities; housing good on campus -- not cheap?

President Thigpen: Lots of our students live at home; we have 200 in dormitories, some collectively rent apartments. It is a real issue.

Joseph A.Chapman, President, North Dakota State University (NDSU), presented the overview of its portion of SB2003 (copy of testimony attached).

Barry Batcheller, President/CEO Phoenix, Int'l, Fargo, ND testified in support of NDSU; He emphasized the private/public partnerships and the student/faculty research relationships.

Senator Solberg: You mentioned private companies and universities in Europe relationships--basically what you're doing now?

Barry Batcheller: President Chapman visited several such private/public relationships while in Europe and is supportive of these -- the system is recommended by the business, apprentices at

the business, and the business recommends the individual back to school for degree -- it is a mix of technical and practical training. Paralleling our training to college training is experimental.

Tadd Tobkin, President of NDSU Student Body, testified in support of NDSU. He spoke of the State of the Art Technology Exposure from the student's perspective; and the Hands-on-Training/Research/Instruction received at NDSU. On behalf of the student body, he supports the new Business College building, expansion of housing needs, the Wellness Center, and faculty salary increases to retain good faculty.

Senator Bowman: When you graduate, how can we keep you in state?

Tadd Tobkin: As Mr. Batcheller indicated, economic development is the key to keeping and bringing in new people to ND. I believe it, and development in the high technology arena is the answer.

Jerry Doan, Chair, State Board for Agriculture Research and Education (SBARE), testified in support of NDSU. He spoke in particular about agriculture -- a growing discipline in a growing University; and stronger University community equating to a stronger agriculture community. He stressed faculty retention, potential growth possibilities, it's about people improving quality of life, and he believes NDSU is a key player in all of this.

Bruce Furness, Mayor, City of Fargo, testified in support of NDSU. He spoke of the University impact in a growing community and the synergistic relationships that breed success for all.

President Chapman closed the testimony for NDSU, thanking the legislatures for past support, encouraging continued support and pointing out that the flexibility, the leverage and the capitalization on investment that can be done, as a result of the Roundtable review.

Larry A. Kotchman, State Forester, North Dakota Forest Service, presented the 2001-2003 Budget Request (copy of testimony attached). He indicated that he is supportive, agreeable to the compressed line items proposed.

Hearing on SB2003 was recessed until 8:30 a.m., Monday, January 8.

2001 SENATE STANDING COMMITTEE MINUTES

BILL/RESOLUTION NO. SB2003

Senate Appropriations Committee

☐ **Conference Committee**

Hearing Date January 8, 2001

Tape Number	Side A	Side B	Meter #
#4	X		0.0 - 48.9
Committee Clerk Signature	Sonnie Peterson		

Minutes:

The hearing on "Comments Session" regarding the North Dakota State University System (SB 2003) was opened by Senator Nething.

Joe Cichy, Executive Director of the North Dakota Dental Association, spoke in support of the Professional Student Exchange Program (a copy of written testimony is attached).

Senator Holmberg: Expand on the points of the marketing needs? If the need is so great, how come the number of students per class is declining?

Joe Cichy: Less federal funding is available; and it is very expensive to train, educate students.

Senator Robinson: Is there a possibility that things will change regarding the repayment of loans advanced to students while attending schools? Those who do not return to practice in North Dakota? Are you aware of the percent of students who do not return to North Dakota? Have other states looked at this?

Joe Cichy: Montana requires repayment. I will secure the data and give the numbers to you regarding who requires repayment.

Kathleen A. Mangskau, Oral Health Program Director in the Division of Maternal and Child Health of the North Dakota Department of Health, testified in support of the professional student exchange program (a copy of written testimony is attached).

Cornelius Grant, Governor's appointee to the State Indian Scholarship Program Board, testified in support of the budget. He indicated applications far exceed the awards; each scholarship is in the amount of $700. Many current leaders are past recipients of these awards.

Sister Thomas Welder, President of University of Mary, testified in support of the Student Financial Assistance Program. This program is for both private and state institutions; and are given in the amount of $600 each. In 1987 this program was folded into the state grants arena; today we adhere to the law and try to provide funds when need is documented.

Jerry Combee, President of Jamestown College, testified in support of the program. Jamestown College as an enrollment of 1100 students, 60% of which are from North Dakota. 50% of the students qualify for federal Pella grants and Stafford loans. 25% of the students who applied received $600 per year for a total of 121 thousand awarded last year and currently a total of 35 thousand dollars. Tuition, room and board totals $8250 per year; half of the national average for private institutions of higher learning. Following the campus scholarship/grant contributions, the cost to the student is $6,000. Funds are important to the student. We hope for continued support, perhaps even an increase.

Nancy Kopp, Executive Director of the North Dakota Optometry and North Dakota Veterinary Medicine, testified in support of the program. She indicated there wasn't a severe shortage in either profession. Supports having the repayment of loans in force.

Wade Moser, Executive Director, North Dakota Stockmen's Association, testified in support of the program. He indicated there is a shortage of veterinarians in the state -- mostly in the rural areas. Often an individual suffers "burn out" when in an area too busy for the single person practice. There is a concern; supports reinstatement of cuts.

Curt Wolfe, Director of Information Technology Department (ITD), spoke in support of the North Dakota University System, as well as K-12 and private college campuses, based programs (a copy of "Building Telecommunications Infrastructure" is attached, via use of the network.

Dale O. Anderson, President, GNDA, North Dakota State Chamber of Commerce, spoke in support of the Higher Education Roundtable Committee and Report from same, and the North Dakota University System budget (a copy of written testimony is attached).

Senator Nething: Agriculture, Research, and Extension would have more flexibility, but more accountability ... Believe this to be effective, important?

Dale O. Anderson: Both equally important. A step in the right director.

Robert Kibler, faculty representative on the State Board of Higher Education, from Minot State University, testified in support of faculty salary increases. He spoke of institutions not always being able to hire first, second, third choices, or perhaps not all; due to salaries. Studies show that the NDUS is 50th in the nation for salaries; 65% of our faculty leave within the first five years. The recruiting, startup dollars spent, all the training -- while they keep one eye on the door of opportunities. 40% of our faculty are in the 50's and 60's -- retirement in the near future -- we'll end up with lots of faculty with less education, less training; years of experience is valuable. He believes that North Dakota may have been on parity some 20 years ago; we can't remedy it immediately, but believe the dollars are there to start.

Senator Bowman: You appear to have the solution to the problem. Do you have any suggestions as to where the dollars might come from?

Robert Kibler: It's a matter of priority; there is money.

Senator Bowman: Know what we spend per capita on education/salaries?

Robert Kibler: I believe the Roundtable report has composite data -- perhaps it can give you some ideas as to where the dollars might be/are available.

Chris Runge, Executive Director of the North Dakota Public Employees Association (NDPEA), testified on the salary compensation package in the University System budget (a copy of the written testimony is attached). It is a tough decision; NDPEA advocating the higher education report.

Mike Schnell, lobbyist for the North Dakota Student Association (NDSA), testified in support of the Roundtable report (a copy of his written testimony is attached.

No additional requests to testify for, against, or neutral. Hearing closed by Senator Nething.

2001 SENATE STANDING COMMITTEE MINUTES

BILL/RESOLUTION NO. SB2003.

Senate Appropriations Committee

☐ Conference Committee

Hearing Date January 8, 2001

Tape Number	Side A	Side B	Meter #
Tape #1	NUDES Office		2.0 - 54.4
Tape #1		NUDES Office	0.0 - 50.5
Tape #2	EPSCOR		0.0 - 25.8
Tape #2	Roundtable		25.8 - 54.2
Tape #2		Roundtable	0.0 - 27.4
Committee Clerk Signature	Vonnie Quitoch		

Minutes:

Senator Nething reopened the hearing on SB2003 (prior hearings on this bill were held on January 2, 3, and 4).

Larry Isaak, Chancellor of the North Dakota University System, opened the testimony with comments, introduced State Board of Higher Education (SBHE) who were present (a copy of his written testimony is attached).

Senator Solberg: Wonder if we don't need a more comprehensive look at what changes will be in effect when the University System Office gets funding back to the campuses -- with this model what changes seen?

Chancellor Isaak: As Laura Glatt does the detail of the System Office -- you'll have the breakout of salary increases in there, some discretionary funding of 1 million used for beyond 3 & 2 salary

increases. SBHE used faculty studies to assist in decisions. 2.5 million went for campus initiatives, as approved by the SBHE, and hoe much the block grants were.

Senator Nething: Think what we're missing is a comparison on System and individual institutions; 2 lines; need for each institution (left having current/used and right a picture of how these will be used in comparison). Then Sub #1 with a final analysis campus with last year's -- institution by institution system/NUDES; Then the rational why/how.

Senator Robinson: What impact do you see regarding the student loan trust fund - thoughts? K-12 funding? Will you, could you talk on these?

Chancellor Isaak: Not familiar with the proposals, The Bank of North Dakota controls. I do encourage them to make sure there is level funds; remain solvent for student loans. Most states have private financial institutions, we have the Bank.

Senator Robinson: Prior to this session?

Chancellor Isaak: Not aware of method used, perhaps someone at the Bank would know, and be able to explain the details.

Senator Nething: Request that the Legislative Council give us a history of funds?

Legislative Council: Yes, history is available - we'll provide.

Senator Bowman: Understanding management -- reports verus audits -- are you continuing audits for accountability? When drifting off the main course, then call for audit? Eliminating audits?

Senator Nething: We'll cover that when we hear the Roundtable Report.

Chancellor Isaak: Yes, covered in the Roundtable Report found in the notebooks we provided earlier, section 4, page starts accounting procedures - fiscal and non-fiscal.

Senator Tallackson: Tuition agreement with other states ?

Chancellor Isaac: The Minnesota (MN) reciprocity report needs to be negotiated, it is based on differences - if 1 thousand more students here (medical school is included), we get check. Results payment from MN; which goes in part to state grants and part to campuses. This year is set at certain level (the gap has been closed; at one time ND had more MN students registered in the NUDES than MN had ND students registered in). Estimate is perhaps over, the count differs.

Laura Glatt, Vice Chancellor for Administrative Affairs, presented the NUDES Office budget (a copy is attached).

Senator Nething: What is the definition of eligible as referred to under State Grant Program?

Vice Chancellor Glatt: Final sentences under first bullet (page 8): Unmet need for this program is calculated as follows.....

Senator Nething: Using a 4 thousand base, 1 thousand available, unmet 3 thousand -- up near the top?

Vice Chancellor Glatt: Average 4300 unmet need/ total cost/ 9,000 base used at UND and NDSU.

Senator Grindberg: Are the costs of the lap tops taken in when doing the financials?

Vice Chancellor Glatt: Yes, and they are part of the federal evaluation also).

Senator Tallackson: At one time the assets of the parents were considered, farmers who owned land needed to declare this asset -- still a fact?

Vice Chancellor Glatt: Yes we do -- but Peggy Wipf, Director of Financial Aid and Federal Relations Coordinator will explain: Peggy: If the landowners (farmers) file a Schedule F, the assets are not used; when seeking eligibility for the state grant program (will get a copy of the files)

Senator Nething: Contribution then, (example 5 thousand) -- consideration given to farmers, how about businesses?

Peggy Wipf: Businesses must report value/debt.

Senator Tomac: If $600 the maximum grant amount?

Peggy Wipf: Yes.

Senator Tomac: Flat rate?

Peggy Wipf: Yes.

Senator Nething: Is there a chart on basic cost for public and private? It would help us to have the total picture.

Vice Chancellor Glatt: Have that available.

Senator Robinson: Considering the decline in student numbers, when a top student applies do you coordinate with individual institutions to determine what they might add to the package? Are we still not competitive with other schools?

Vice Chancellor Glatt: My understanding is that all are taken into consideration when the university looks at full package.

Senator Nething: Other colleges make better offer? Students go elsewhere for a variety of reasons?

Vice Chancellor Glatt: Could be both; we ask that the individual put in written any decline of offer but then do not always indicate the reason. It could be because of a program, academic climate, and or the financial package.

Senator Tallackson: Are you involved with athletic scholarships?

Vice Chancellor Glatt: No, that is strictly individual campus base.

Vice Chancellor Glatt continues - page 10; by SBHE action in 92/93 cultural diversity tuition fee waivers were put into effect. This was ½ million in the past; now is 1 million (per biennium)

Senator Schobinger: How does one qualify for tuition waiver?

Vice Chancellor Glatt: Board policy that states cultural diversity -- criteria by each institution.

Senator Nething: Qualifications?

Vice Chancellor Glatt: Criteria is set by each campus. Pat Seaworth , NUDES Attorney described the Board policy criteria indicates economically disadvantaged; specifics on each campus; includes native Americans, members of federated tribes.

Senator Nething: Means test?

Pat Seaworth: Yes

Vice Chancellor Glatt - continues, page 12.

Senator Robinson: How long has this reciprocity been in place? # of students involved -- having impact on projected shortfalls?

Vice Chancellor Glatt: Current reciprocity agreement has been in place since 1992. There are shortfalls (page 13), 2/3 of the participants return to ND and repayment is expected.

Senator Robinson: Could we get a chart showing the composite freshman/sophomore/etc ?

Vice Chancellor Glatt: Will provide.

Senator Heitkamp : No change in percentage after requirement -- Dollars generated back in the repayment?

Peggy Wipf: In 96 the SBHE did a study, and 40 didn't return. $4,000 back per year for all 3.

Vice Chancellor Glatt: This may be due, in part, to high administrative costs in collection.

Senator Solberg: How are you going to assure each area is treated fairly? How will you stay on course? What's to stop you from taking from Peter to pay Paul?

Chancellor Isaak: Our constituents will keep us honest; the constituent groups are the best monitors. This will provide us flexibility, circumstances change; we don't anticipate a huge swinging of dollars.

Senator Nething: Vice Chancellor Glatt, 326,560 (budget comparison) is an odd # requested, 7...thousand explain difference?

Vice Chancellor Glatt: Priority factor -- SBHE didn't ask 100%.

Senator Nething: Didn't get any per column 2, page 6?

Vice Chancellor Glatt: Reason show 26,560 current, need to transfer back to 99-01 campuses then we recapture, returns to System pool each year.

Second Bullet page 4, back to Vice Chancellor Glatt...

Senator Solberg: Speaking of costs, previous costs? Current biennium?

Vice Chancellor Glatt: 1.2 million was for replacement funding of Internet. 2 is lose of federal funding, and higher costs of networking. We have a high traffic increase, the user cost has increased, all costs up. 3 million leaves 1.8 short due to new costs.

Senator Tomac: Part of cost captured in tuition?

Vice Chancellor Glatt: Correct, additional tuition will need to cover current on going increases (buildings/utilities).

Senator Tomac: Actual delivered by college? Earmarked?

Vice Chancellor Glatt: We don't. HE completed the network, it is the entity that funds network.Camputer network has no charge back relationship -- it is central.

Vice Chancellor Glatt back to page 4

Senator Grindberg: 5 years from now will we use IVN? Cost per year versus using the statewide network?

Grant Crawford, Chief Information Officer: Yes, five years from now we will still be using IVN. But not the IVN of today--there will be video based changes; parallel to the phone system switchboard; distance learning will be more prevalent; for now reasonable solution.

Senator Nething: page 6 and page 4): 20.5 million; current base of 22.2 million. Recommend 42.8? Yet line 14, page 7 block grant has 52 million?

Vice Chancellor Glatt: Column 2, page 7 has current and increases rolled in to 52. EPSCOR/title 2.

Senator Nething: Looking at request? Request/ appropriation 22 million - included; still 10 million off?

Vice Chancellor Glatt: Roll over of block grants -- not sure how they'll be distributed, where new dollars are added.

Senator Nething: 52 million (if granted) block grants...what's the plan? Salary increases? Can you lay it out so we can fully understand it? Appears to have some discrepancies?

Vice Chancellor Glatt: Can do a schedule.

Senator Nething: Need to tie things together -- compare other programs; looks like NUDES Office is fairing well.

Chancellor Isaak: System has substantial increase; NUDES kept low.

Senator Nething: All there, general fund appropriations still?

Chancellor Isaak: Can do -- salary increases of 14.3

Senator Nething: Need to show us.

Chancellor Isaak: We will lay it out for you.

Senator Robinson: Back to page 5, final bullet -- bonding; why not out of Industrial Commission? Appears to be a net increase to higher education?

Vice Chancellor Glatt: OMB decision, agrees that appears increase to our budget.

OMB (Jeff Larshus): Will need to get the answer from Celeste Kubasta who is the analyst on that.

Senator Nething: Clarification?

Senator Robinson: Consistent with other agencies?

Legislative Council (Roxanne Hobza): Believe this decision was made to better reflect the total number of dollars within a particular budget. Consistent with all agencies.

Senator Bowman: Is there a way of measuring cost effectiveness of technology -- the cost is going up every year -- or is it just because?

Vice Chancellor Glatt: Lots of studies being done, developing cost benefits.

Senator Nething: Understand -- how do we weigh cost?

Senator Bowman: All forms of technology -- not just this program...need to know who runs what? Dollar benefit? It's very expensive, benefit?

Senator Solberg: 2 years or so ago you joined Mid West Higher Education Consortium -- and a study was going to be done regarding student exchange; done - and are their dollars saved?

Chancellor Isaak: I am one of the commissioners on the MWHEC and it is my understanding that it takes time to get involved -- really haven't had a full two years of participation. Some opportunities are in the future horizon, working on discounted rates on power with various vendors.

Senator Solberg: Cost ratio doesn't show?

Chancellor Isaak: Need to look; phones have good rates, program includes all state government; we need to give a couple more years to get involved. We are taking advantage of some things --

looking at others, believe it is still worth participating in consortium. Encourage reallocation of membership; hope to have a student exchange program completed in 2001.

Senator Grindberg: Also a Commissioner in the consortium -- it's too early to tell of its value, some campuses are doing things on their own, such as the NDSCS and their energy program.

Senator Nething: Is it possible to have an analysis of described and student exchange participation (2-4 years) pros and cons?

Chancellor Isaak: Will provide.

Senator Tomac: Governor's message (Schafer) had 39 million increase to higher education; 22 maybe transferred to NUDES, 13 bond from Industrial - 4 million meant those transfers?

Vice Chancellor Glatt: Yes, page 14 of blue book shows increases -- transfers from other state agencies.

EPSCOR

Dr. Phil Boudjek, Director of EPSCOR presented the documentation regarding its activities (a copy is attached).

Senator Schobinger: What's the per capita when talking research funding -- are other states investing? Bring back?

Phil Boudjek: Some states do.

Senator Schobinger: Number?

Phil Boudjek: Regulated through NSF -- may be able to get information from them.

Senator Schobinger: per capita?

Phil Boudjek: Most relevant -- across the nation; example: California has 40 million -- ND doesn't; total # can't approach the research funds.

Senator Nething: So you are saying that is the best common denominator?

Phil Boudjek: Yes.

Senator Bowman: When grants are received -- how do you implement? Research done? How does it help the state?

Phil Boudjek: Covers the water front, goes to agriculture, engineering, full range of areas -- particulars are well defined -- recipients of research grant often times have their results published, perhaps their manuscripts are put on a WEB page --- depends on field of application, number of patents may be involved.

Senator Heitkamp: Appears you are bringing increase of dollars -- apparently compete well? Is this a way of retention?

Phil Boudjek: Retention rate for faculty involved in EPSCOR is high; once funds have built the labs, provided research dollars, technicians pay, etc. -- it is for the professor's advantage and the institution's to stay.

Senator Nething: Back to Senator Bowman's question: using this example: do patents help state of North Dakota?

Phil Boudjek: Polymers and Coatings have received patents that have helped Marvin Windows...restoration of the FargoDome--many of our faculty have been invited to local industries to help them. EPSCOR program is unique to ND. Our Student and Teacher mentoring program, as well as our internship program, is now being used in other states (data on program if available if you'd like).

Senator Tallackson: Patent agreements --- go to individual or university?

Phil Boudjek: NDSU is generous; 30% goes to the inventor (royalties and rights), companies have first right of refusal; becomes property of the state.

Senator Andrist: Cost benefits -- make dollars on patents?

Phil Boudjek: Some very good successes -- rule of thumb is 1 out of 100 make dollars.

Senator Andrist: Dollars back to the state?

Phil Boudjek: 30% to inventor; university distributed to department/college to formula they have developed.

Senator Nething: Bring in private dollars to use for application of grants?

Phil Boudjek: Yes, worked on it -- industries rate 1-7 difficult -- we can say at the beginning of grant proposal, but can't be part of matching dollars -- but at the end of grant period, we can back trail, legitimatize budget expenses.

Senator Nething: Count? Roll over into future grant applications?

Phil Boudjek: When we finish one grant -- used for next application; applications more than dollars allowed- we transfer from one to another.

Senator Robinson: Is it common place -- program's rate of return can assist retention of faculty, some dollars appropriated from school's alumni foundation?

Phil Boudjek: NDSU is unique in that respect as it has established a Research Foundation --which helps to make our program continue to be successful.

ROUNDTABLE REPORT

Beverly Clayburgh, member of the State Board of Higher Education (SBHE) presented the opening comments (a copy of her written testimony is attached).

Chancellor Isaak: Presented the North Dakota University System response to the Roundtable report, using a slide presentation and written documentation (copies attached).

Chairman Nething requested recognition to speak, gravel transferred to Vice Chairman Solberg.

Senator Nething: Chair of the Higher Education Interim Committee of the Roundtable, described the reason for project, the mechanics, expectations, funding mechanization, accounting/reporting system. Committee consisted of 21 legislatures, 9 SBHE members, 16 private citizens, 4 executives, 4 university presidents, 4 faculty/staff, and 2 representatives from Native American/Tribal Higher Education. Meetings were held in districts that did not have an institution within the system. There were subcommittees, and cornerstones were defined..

Chairmanship returned to Senator Nething.

Roxanne Hobza, Legislative Council Analyst, presented testimony "Excerpt from the final report of the Higher Education Committee" (a copy is attached).

Dale O. Anderson, President, GNDA, testified in support of the Roundtable and the NUDES budget (a copy of written testimony is attached).

Curt Wolfe, Director of ITD, testified in support of SB2003 -- and distributed copies of "Building Telecommunications Infrastructure" (a copy is attached).

Chancellor Isaak: We are working with a consultant, Dennis Jones, who has been retained by the SBHE for a year. We are proud and pleased to be part of this discussion. We take the Roundtable report seriously; we are moving forward aggressively; we'll continue working through cooperation with all ; continue to work hard for the future of North Dakota; this all being based on mutual trust.

Mike Hillman, Vice Chancellor for Academic Affairs, North Dakota University System, presented enrollment reporting (a copy of written testimony and 2000 Fall Enrollment Report attached).

Senator Holmberg: Example: I am a student at New England High School, taking classes at Dickinson State University -- count as or non credit.

Vice Chancellor Heilman: College credit. Student pays tuition, can be used for college credit.

February 6, 2001 8:30 a.m. full committee

Senator Nething opened the Senate Appropriations Committee meeting. Roll call was taken: 14 present.

Senator Nething distributed a copy of the proposed amendments to SB2003 a copy of 18029.0103 is attached). The proposed amendments also cover SB2037 and SB2038. No action would be taken today due to the extensive proposals; everyone is to review them and bring questions, discussion items to the full committee meeting tomorrow, February 7th.

Senator Grindberg: Page 4, line 17 regarding the workforce -- how much increase includes the private dollars raised? Accountability measure or review noted?

Senator Solberg: If we complete this tomorrow, will this allow the House to review higher education prior to crossover? Overview etc.?

Senator Nething: Yes, hopefully the Wednesday prior to crossover.

Senator Solberg: Provided no floor problems?

Senator Nething: They can always schedule and House can cancel if need be.

Senator Robinson: Would this mean that the overview might be heard in the House on the 14th?

Senator Nething: Yes, 14th or 15th.

Senator Robinson: I know that the campuses are awaiting word -- their calendars are like ours - they need to plan ahead.

Senator Nething: This is tentative -- can't commit for Representative Timm, House Appropriations. No additional discussion; hearing on SB2003 closed. (tape 1, side a,0.0-54.3.

Feb 7th

February 7, 2001 Full Committee (Tape 1, Side A, Meter No. 16.1-54.0 and Side B, Meter No. 0.0 - 19.5)

Senator Nething, Chair, reopened the hearing on SB2003.

Charles D. Peterson, Pharm D., Dean and Professor, North Dakota State University College of Pharmacy, presented information regarding the Sudro Hall project on the North Dakota State University (NDSU) campus (copy of documentation attached).

Mr. Terry Stroh, NDSU architect, explained the plans in more detail regarding the renovation of existing facility and the new building addition.

Joel Leapaldt, State Facility Planner within the Facility Management Division of the Office of Management and Budget, testified on the Sudro Hall proposal (a copy attached).

Senator Nething, Chair of the Subcommittee on Higher Education, went through the proposed amendments distributed on the 6th.

Senator Solberg moved the adoption of the amendments, Senator Heitkamp seconded; carried.

Discussion on the bill followed.

Senator Solberg moved a DO PASS AS AMENDED, Senator Bowman seconded the motion.

Roll Call vote: 11 yes; 0 no; 3 absent and not voting.

Senator Nething will take the floor assignment.

18029.0103
Title.
Fiscal No. 1

Prepared by the Legislative Council staff for
Senator Nething
February 5, 2001

PROPOSED AMENDMENTS TO SENATE BILL NO. 2003

Page 1, line 2, after the semicolon insert "to provide for a legislative council study; to provide statements of legislative intent; to amend and reenact sections 15-10-12 and 54-44.1-04, subsection 7 of section 54-44.1-06, and section 54-44.1-11 of the North Dakota Century Code, relating to higher education institutions' special revenue funds, budget requests and block grant appropriations, and unexpended appropriations; and to provide an expiration date;"

Page 1, line 12, replace "3,663,482" with "3,660,491"

Page 1, line 13, replace "6,623,559" with "6,993,559"

Page 1, line 14, replace "51,957,520" with "52,126,420"

Page 1, line 15, replace "2,790,106" with "2,610,106"

Page 1, line 17, replace "77,765,508" with "78,121,417"

Page 1, line 19, replace "74,048,686" with "74,404,595"

Page 2, line 21, replace "23,488,351" with "22,937,531"

Page 2, line 22, replace "87,013,261" with "86,462,441"

Page 2, line 23, replace "21,750,820" with "21,200,000"

Page 2, line 28, replace "3,883,500" with "3,800,220"

Page 2, line 29, replace "26,776,628" with "26,693,348"

Page 2, line 30, replace "1,000,000" with "916,720"

Page 3, line 9, replace "724,589" with "4,724,589"

Page 3, after line 9, insert:

"Total all funds	$12,594,596
Less estimated income	4,000,000"

Page 3, line 14, replace "3,186,850" with "412,850"

Page 3, remove lines 15 and 16

Page 4, line 11, replace "367,397,927" with "367,753,836"

Page 4, line 12, replace "41,502,168" with "42,094,068"

Page 4, line 13, replace "408,900,095" with "409,847,904"

Page 5, remove lines 1 through 11

Page 6, line 4, replace "There is appropriated to the forest service" with "The estimated income in subdivision 13 of section 1 of this Act includes"

Page 6, after line 10, insert:

"**SECTION 10. LEGISLATIVE COUNCIL STUDY - HIGHER EDUCATION ACCOUNTABILITY MEASURES.** The legislative council shall consider studying during the 2001-02 interim the board of higher education's implementation of the performance and accountability measures report required by Senate Bill No. 2041. If conducted, the study may include the use of the higher education roundtable format.

SECTION 11. LEGISLATIVE INTENT - HIGHER EDUCATION ACCOUNTABILITY MEASURES. It is the intent of the legislative assembly that the board of higher education's performance and accountability report as required by Senate Bill No. 2041 include an executive summary and information regarding:

1. Higher education financing - A status report on higher education financing as compared to the long-term financing plan.

2. Base funding - Levels, trends, and uses of base funding.

3. Incentive funding, including:

   a. The allocation, use of, and results of incentive funding.

   b. The percentage of the higher education budget provided as incentive funding.

4. Deferred maintenance, including:

   a. The value of institutional buildings, funds spent on renewal or updates as compared to depreciation, and the status of deferred maintenance, including a comparison of the funds appropriated for deferred maintenance to actual funds spent on deferred maintenance.

   b. A deferred maintenance ratio that measures the size of the university system's outstanding maintenance as compared to its expendable net assets.

5. Capital projects - Report on new construction and major renovation capital projects for which specific appropriations are made, including budget to actual comparison, use of third-party funding, and related debt.

6. Peer institution comparisons - Funding levels of institutions or other selected indicators as compared to peer institutions.

7. Funding sources, including:

   a. The amount and trends of funding from all financial sources.

b. An operating income ratio that measures the amount of income from fees for service as compared to the university system's overall funding.

c. A contributed income ratio that measures the amount of income from externally generated resources other than debt as compared to the university system's overall funding.

8. State support information, including:

a. State general fund appropriation levels and trends as compared to changes in the state's economy and total state general fund appropriations.

b. A trend report on per capita and per student appropriations for higher education.

9. System indebtedness information, including:

a. The amount of debt incurred and supported in relation to limitation requirements.

b. A debt coverage ratio that measures net income as compared to the amount of debt service.

10. Affordability index detailing:

a. Tuition and fees on a per student basis and total cost of attendance compared to peer institutions.

b. Tuition and fees as a percentage of median North Dakota household income.

c. Student affordability considering financial aid.

11. Funding uses information, including:

a. A trend report on the distribution of expenditures by function.

b. An educational core services ratio that measures the total funds being used for instruction, research, and public service as compared to the university system's overall funding excluding capital and debt service amounts.

c. An educational support services ratio that measures the total funds being used for academic support and student services as compared to the university system's overall funding excluding capital and debt service amounts.

d. A general support ratio that measures the total funds being used for institutional support, operations, and maintenance of physical plant as compared to the university system's overall funding excluding capital and debt service amounts.

12. Equipment expenditures - An equipment expenditure ratio that measures the total funds used for equipment replacement as compared to the total equipment inventory value.

13. Financial ratios and other financial information including:

a. A viability ration that measures the amount of expendable net assets as compared to the amount of long-term debt.

b. A primary reserve ratio that measures the amount of expendable net assets as compared to the university system's overall spending.

c. A return on net assets ratio that measures the changes in net assets as compared to the university system's total net assets.

d. A net income ratio that measures the change in unrestricted net assets as compared to total unrestricted income to provide information regarding surpluses or deficiencies.

e. Debt, assets, and end-of-year fund balances.

14. Faculty and staff trend information, including:

a. The ratio of faculty and staff to students.

b. Faculty and staff turnover rates and major reasons for turnover.

c. Faculty and staff salary levels, including annual average salary increases and comparisons with peer institutions.

15. Research and development efforts trend information, including:

a. Total funding received for research, including federal, state, local, and private sources.

b. Revenue generated or additional funding earned by research and development projects.

c. A research expenditure ratio that measures the amount of research expenditures as compared to the number of faculty full-time equivalent positions.

16. Audit report information, including:

a. An annual audit report, with an unqualified opinion, that identifies suggested material areas of improvement to the university system's financial operations.

b. A biennial audit report reflecting budget to actual appropriation statements; appropriate financial noteworthy accomplishments and successes; a reduction in material areas of statutory noncompliance; and university system compliance with suggested significant areas of improvement.

17. Workforce training information, including:

a. Trends in the number and percent of businesses and employees in the region receiving training.

b. Levels of satisfaction with training events as reflected in information systematically gathered from employers and employees receiving training.

18. Entrepreneurship - Levels and trends in enrollment in entrepreneurship courses and the number of graduates of entrepreneurship programs.

19. Employment placement - Level and trends in the percentage of university system graduates obtaining employment appropriate to their education in state and out of state.

20. Partnerships and joint ventures - Levels and trends in partnerships and joint ventures between university system institutions and the following entities:

    a. Business and industry.

    b. Tribal colleges.

    c. Private sector training providers.

    d. Other university system institutions.

21. Student performance information, including:

    a. Levels and trends in performance of students on nationally recognized exams in their major fields in comparison with national averages.

    b. Levels and trends in licensure pass rates in comparison to other states.

22. Alumni satisfaction - Levels and trends in alumni-reported satisfaction with preparation in:

    a. Selected major.

    b. Acquisition of specific basic and higher-order skills.

    c. Level, currency, and relevance of computer technology knowledge and abilities in relation to expectations in the marketplace.

23. Employer satisfaction - Levels and trends in employer-reported satisfaction with preparation of recently hired college graduates.

24. Institution and program accreditation - Maintenance of accreditation of programs and institutions by national and regional accrediting bodies and acquisition of additional accreditation where appropriate.

25. Peer review process - Levels and trends in peer review process relating to:

    a. Grants.

    b. Publications.

26. Student goals - Levels and trends in the number of students achieving goals - Institution meeting the defined needs/goals as expressed by students.

27. Administrative flexibility - Proportion of university system decisionmakers (deans and higher levels) indicating whether "they can now operate with more flexibility than in the past."

28. Student enrollment information, including:

    a. Total number and trends in full-time, part-time, degree-seeking, and non-degree-seeking students being served.

b. The number and trends of individuals, organizations, and agencies served through noncredit activities.

29. Client satisfaction - Levels of satisfaction with responsiveness as reflected through responses to evaluations and surveys of clients:

a. Graduates and individuals completing programs.

b. Employers.

c. Business/program advisory councils.

d. Companies and employees receiving training.

e. Workforce training boards.

f. Campus presidents' advisory councils.

g. Public school superintendents.

h. Economic development professionals.

i. Other client groups.

30. Noncompleters satisfaction - Levels of satisfaction and reasons for noncompletion as reflected in a survey of individuals who have not completed their program or degree.

31. Student access - Levels and trends in the proportion of residents of the state who are within a forty-five minute drive of a location at which they can receive educational programs from a provider, including providers from outside the immediate region who would also have access to academic and student support services at the site.

32. Distance learning - Levels and trends in the number of enrollments in distance learning courses by in-state and out-of-state residents.

33. Nontraditional courses - Levels and trends in the number and proportion of enrollments in courses offered by nontraditional methods.

34. Student participation - Levels and trends in rates of participation of:

a. Recent high school graduates and nontraditional students.

b. Individuals pursuing graduate degrees.

35. Sustaining the vision - The extent to which the recommendations of the 2000 higher education roundtable are implemented and performance is improved.

SECTION 12. LEGISLATIVE INTENT - STATE COLLEGE OF SCIENCE - BLIKRE ACTIVITIES CENTER RENOVATION. It is the intent of the legislative assembly that the funding of $531,720 included in the estimated income in subdivision 7 of section 1 of this Act for renovation of the Blikre activities center at the state college of science shall be collected before renovation may begin. The state college of science may, after receiving approval from the budget section, obtain and utilize any available funds, in addition to the $531,720, received from federal, public, private, or other sources which are appropriated to the state college of science to assist in the Blikre activities center renovation.

SECTION 13. AMENDMENT. Section 15-10-12 of the 1999 Supplement to the North Dakota Century Code is amended and reenacted as follows:

15-10-12. Board may accept gifts and bequests - Deposit and appropriation of institutional funds. ~~The~~ Subject to the limitations of section 15-10-12.1, the state board of higher education may~~, subject to the limitations of section 15-10-12.1,~~ receive donations, gifts, grants, and bequests offered or tendered to or for the benefit of any institution of higher education under its control or subject to its administration, and all moneys coming into the hands of the board as donations, gifts, grants, and bequests must be used for the specific purpose for which they are donated or given. A special revenue fund, for each institution of higher education under the control of the board or subject to its administration, must be maintained within the state treasury ~~and all institutional income from tuition collections must be placed in the special fund for the use of the institution for which the money was raised~~. All rent, interest, or income from land, money, or property, donated or granted by the United States and allocated to specific institutions of higher learning under the terms of the Enabling Act and the Constitution of North Dakota must be deposited in the special revenue fund of each institution and expended in accordance with section 1 of article IX of the Constitution of North Dakota. ~~Moneys in the special revenue fund are subject to legislative appropriations.~~ All other funds, unless restricted by the terms of a grant, donation, or bequest, received by the institutions from federal, state, and local grants and contracts, indirect cost recoveries, tuition, special student fees, room and board fees and other auxiliary enterprise fees, student activity fees, continuing education program fees, internal service fund revenues, and all other revenues must be deposited in the institution special revenue funds. The state treasurer shall immediately transfer the funds deposited in the special revenue funds to institution accounts in the Bank of North Dakota. Biennial estimates of revenue and expenditures of the other funds by source of funds must be presented at the same time biennial budget requests for appropriations from the special revenue fund and state general fund are prepared and submitted to the office of the budget pursuant to section 15-10-15. Payments from each institution's general fund appropriation must be made in amounts as may be necessary for the operation and maintenance of each institution~~, except that at the close of the biennium the balance of funds not paid from the general fund appropriation must be deposited in the special revenue funds of the institutions~~. The funds in the institution accounts are appropriated on a continuing basis to the state board of higher education. All such appropriations are subject to proration in the same manner as other appropriations are prorated if insufficient funds are available to meet expenditures from the general fund. Sinking funds for the payment of interest and principal of institutional revenue bonds must be deposited pursuant to section 15-55-06.

SECTION 14. AMENDMENT. Section 54-44.1-04 of the North Dakota Century Code is amended and reenacted as follows:

54-44.1-04. Budget estimates of budget units filed with the office of the budget - Deadline. The head of each budget unit, not later than July fifteenth of each year next preceding the session of the legislative assembly, shall submit to the office of the budget, estimates of financial requirements of his budget unit for the next two fiscal years, on the forms and in the manner prescribed by the office of the budget, with such explanatory data as is required by the office of the budget and such additional data as the head of the budget unit wishes to submit. The budget estimates for the North Dakota university system must include block grants for the university system for a base funding component and for an initiative funding component for specific strategies or initiatives and a budget estimate for an asset funding component for renewal and replacement of physical plant assets at the institutions of higher education. The estimates so submitted must bear the approval of the board or commission of each budget unit for which a board or commission is constituted. The director of the budget in ~~his~~ the director's discretion may extend the filing date for any budget unit if ~~he~~ the director finds there is some circumstance which makes it advantageous to authorize the extension. If a budget unit has not submitted its estimate of financial requirements by the required date or within a period of extension set by the director of the budget, the

director of the budget shall prepare such budget unit's estimate of financial requirements except such estimate may not exceed ninety percent of such budget unit's previous biennial appropriation. The director of the budget or such subordinate officer as ~~he shall designate~~ the director designates shall examine the estimates and shall afford to the heads of budget units reasonable opportunity for explanation in regard thereto and, when requested, shall grant to the heads of budget units a hearing thereon which must be open to the public.

SECTION 15. AMENDMENT. Subsection 7 of section 54-44.1-06 of the 1999 Supplement to the North Dakota Century Code is amended and reenacted as follows:

7. Drafts of a proposed general ~~appropriations act~~ and special appropriations acts embodying the budget data and recommendations of the governor for appropriations for the next biennium, and drafts of such revenues and other acts recommended by the governor for putting into effect the proposed financial plan. The recommended general appropriation for each budget unit must be specified in a separate section of the general appropriations act. The draft of the proposed appropriations act for the North Dakota university system must include block grants for a base funding appropriation and for an initiative funding appropriation for specific strategies or initiatives, and an appropriation for asset funding for renewal and replacement of physical plant assets at the institutions of higher education in the format approved by the 2001 legislative assembly.

SECTION 16. AMENDMENT. Section 54-44.1-11 of the North Dakota Century Code is amended and reenacted as follows:

54-44.1-11. Office of management and budget to cancel unexpended appropriations - When they may continue. ~~The~~ Except as otherwise provided by law, the office of management and budget, thirty days after the close of each biennial period, shall cancel all unexpended appropriations or balances of appropriations after the expiration of the biennial period during which they became available under the law. Unexpended appropriations for the North Dakota university system are not subject to this section. The chairman of the appropriations committees of the senate and house of representatives of the legislative assembly with the office of the budget may continue appropriations or balances in force for not more than two years after the expiration of the biennial period during which they became available upon recommendation of the director of the budget for:

1. New construction projects.

2. Major repair or improvement projects.

3. Purchases of new equipment costing more than ten thousand dollars per unit if it was ordered during the first twelve months of the biennium in which the funds were appropriated.

4. The purchase of land by the state on a "contract for deed" purchase where the total purchase price is within the authorized appropriation.

SECTION 17. EXPIRATION DATE. Sections 13, 14, 15, and 16 of this Act are effective through June 30, 2003, and after that date are ineffective."

Renumber accordingly

STATEMENT OF PURPOSE OF AMENDMENT:

Senate Bill No. 2003 - Summary of Senate Action

	EXECUTIVE BUDGET	SENATE CHANGES	SENATE VERSION
University System Office			
Total all funds	$77,765,506	$355,909	$78,121,417
Less estimated income	3,716,822		3,716,822
General fund	$74,048,686	$355,909	$74,404,595
Bismarck State College			
Total all funds	$15,017,975	$0	$15,017,975
Less estimated income			
General fund	$15,017,975	$0	$15,017,975
Lake Region State College			
Total all funds	$4,724,062	$0	$4,724,062
Less estimated income			
General fund	$4,724,062	$0	$4,724,062
Williston State College			
Total all funds	$5,183,247	$0	$5,183,247
Less estimated income			
General fund	$5,183,247	$0	$5,183,247
University of North Dakota			
Total all funds	$95,008,583	$0	$95,008,583
Less estimated income	11,210,000		11,210,000
General fund	$83,798,583	$0	$83,798,583
UND Medical Center			
Total all funds	$28,571,646	$0	$28,571,646
Less estimated income			
General fund	$28,571,646	$0	$28,571,646
North Dakota State University			
Total all funds	$87,013,261	($550,820)	$86,462,441
Less estimated income	21,750,820	(550,820)	21,200,000
General fund	$65,262,441	$0	$65,262,441
State College of Science			
Total all funds	$26,776,628	($83,280)	$26,693,348
Less estimated income	1,000,000	(83,280)	916,720
General fund	$25,776,628	$0	$25,776,628
Dickinson State University			
Total all funds	$13,454,794	$0	$13,454,794
Less estimated income			
General fund	$13,454,794	$0	$13,454,794
Mayville State University			
Total all funds	$8,594,596	$4,000,000	$12,594,596
Less estimated income		4,000,000	4,000,000
General fund	$8,594,596	$0	$8,594,596
Minot State University			
Total all funds	$28,004,343	($2,774,000)	$25,230,343
Less estimated income	2,774,000	(2,774,000)	
General fund	$25,230,343	$0	$25,230,343
Valley City State University			
Total all funds	$11,882,904	$0	$11,882,904
Less estimated income			
General fund	$11,882,904	$0	$11,882,904
Minot State University - Bottineau			
Total all funds	$4,083,153	$0	$4,083,153
Less estimated income			
General fund	$4,083,153	$0	$4,083,153
Forest Service			
Total all funds	$2,819,395	$0	$2,819,395
Less estimated income	1,050,526		1,050,526
General fund	$1,768,869	$0	$1,768,869
Bill Total			
Total all funds	$408,900,095	$947,809	$409,847,904
Less estimated income	41,502,168	591,900	42,094,068
General fund	$367,397,927	$355,909	$367,753,836

Senate Bill No. 2003 - University System - General Fund Summary

	EXECUTIVE BUDGET	SENATE CHANGES	SENATE VERSION
University System Office	$74,048,686	$355,909	$74,404,595
Bismarck State College	15,017,975		15,017,975
Lake Region State College	4,724,062		4,724,062
Williston State College	5,183,247		5,183,247
University of North Dakota	83,798,583		83,798,583

UND Medical Center	26,671,646		26,671,646
North Dakota State University	65,282,441		65,282,441
State College of Science	25,776,636		25,776,636
Dickinson State University	13,464,784		13,464,784
Mayville State University	8,984,686		8,984,686
Minot State University	25,239,343		25,239,343
Valley City State University	11,682,904		11,682,904
Minot State University - Bottineau	4,683,153		4,683,153
Forest Service	1,798,868		1,798,868
Total general fund	$367,397,927	$355,909	$367,753,836

Detail of Senate Changes to the General Fund

	ADJUST MARKET EQUITY SALARY INCREASE [1]	INCREASE FUNDING FOR STUDENT GRANT PROGRAMS [2]	INCREASE FUNDING FOR COMPETITIVE RESEARCH [3]	DECREASE FUNDING FOR CAMPUS-BASED PROGRAMS	DECREASE FUNDING FOR CONTINGENCIES AND BOARD INITIATIVES	ADD CAPITAL CONSTRUCTION FUNDING [4]
University System Office	($2,991)	$370,000	$528,900	($360,000)	($180,000)	
Bismarck State College						
Lake Region State College						
Williston State College						
University of North Dakota						
UND Medical Center						
North Dakota State University						
State College of Science						
Dickinson State University						
Mayville State University						
Minot State University						
Valley City State University						
Minot State University - Bottineau						
Forest Service						
Total general fund	($2,991)	$370,000	$528,900	($360,000)	($180,000)	$0

	REMOVE CAPITAL CONSTRUCTION FUNDING [5]	TOTAL GENERAL FUND CHANGES
University System Office		$355,909
Bismarck State College		
Lake Region State College		
Williston State College		
University of North Dakota		
UND Medical Center		
North Dakota State University		
State College of Science		
Dickinson State University		
Mayville State University		
Minot State University		
Valley City State University		
Minot State University - Bottineau		
Forest Service		
Total general fund	$0	$355,909

Senate Bill No. 2003 - University System - Other Funds Summary

	EXECUTIVE BUDGET	SENATE CHANGES	SENATE VERSION
University System Office	$3,716,822		$3,716,822
Bismarck State College			
Lake Region State College			
Williston State College			
University of North Dakota	11,210,000		11,210,000
UND Medical Center			
North Dakota State University	21,750,820	($550,820)	21,200,000
State College of Science	1,000,000	(83,280)	916,720
Dickinson State University			
Mayville State University		4,000,000	4,000,000
Minot State University	2,774,000	(2,774,000)	
Valley City State University			
Minot State University - Bottineau			
Forest Service	1,050,526		1,050,526
Total other funds	$41,502,168	$591,900	$42,094,068

Detail of Senate Changes to Other Funds

	ADJUST MARKET EQUITY SALARY INCREASE [1]	INCREASE FUNDING FOR STUDENT GRANT PROGRAMS [2]	INCREASE FUNDING FOR COMPETITIVE RESEARCH [3]	DECREASE FUNDING FOR CAMPUS-BASED PROGRAMS	DECREASE FUNDING FOR CONTINGENCIES AND BOARD INITIATIVES	ADD CAPITAL CONSTRUCTION FUNDING [4]
University System Office						
Bismarck State College						
Lake Region State College						
Williston State College						
University of North Dakota						
UND Medical Center						
North Dakota State University						
State College of Science						$916,720
Dickinson State University						
Mayville State University						4,000,000
Minot State University						
Valley City State University						
Minot State University - Bottineau						
Forest Service						
Total other funds	$0	$0	$0	$0	$0	$4,916,720

	REMOVE CAPITAL CONSTRUCTION FUNDING [5]	TOTAL OTHER FUNDS CHANGES
University System Office		
Bismarck State College		
Lake Region State College		
Williston State College		
University of North Dakota		
UND Medical Center		
North Dakota State University	($550,820)	($550,820)
State College of Science	(1,000,000)	(83,280)
Dickinson State University		
Mayville State University		4,000,000
Minot State University	(2,774,000)	(2,774,000)
Valley City State University		
Minot State University - Bottineau		
Forest Service		
Total other funds	($4,324,820)	$591,900

Senate Bill No. 2003 - University System - All Funds Summary

	EXECUTIVE BUDGET	SENATE CHANGES	SENATE VERSION
University System Office	$77,765,508	$355,909	$78,121,417
Bismarck State College	15,017,975		15,017,975
Lake Region State College	4,724,062		4,724,062
Williston State College	5,183,247		5,183,247
University of North Dakota	95,006,583		95,006,583
UND Medical Center	28,571,646		28,571,646
North Dakota State University	87,013,261	(550,820)	86,462,441
State College of Science	26,776,628	(83,280)	26,693,348
Dickinson State University	13,454,794		13,454,794
Mayville State University	8,594,596	4,000,000	12,594,596
Minot State University	28,004,343	(2,774,000)	25,230,343
Valley City State University	11,882,904		11,882,904
Minot State University - Bottineau	4,083,153		4,083,153
Forest Service	2,819,395		2,819,395
Total all funds	$408,900,095	$947,809	$409,847,904
FTE	3,087.39	0.00	3,087.39

Detail of Senate Changes to All Funds

	ADJUST MARKET EQUITY SALARY INCREASE [1]	INCREASE FUNDING FOR STUDENT GRANT PROGRAMS [2]	INCREASE FUNDING FOR COMPETITIVE RESEARCH [3]	DECREASE FUNDING FOR CAMPUS-BASED PROGRAMS	DECREASE FUNDING FOR CONTINGENCIES AND BOARD INITIATIVES	ADD CAPITAL CONSTRUCTION FUNDING [4]
University System Office	($2,991)	$370,000	$528,900	($360,000)	($180,000)	
Bismarck State College						
Lake Region State College						
Williston State College						
University of North Dakota						
UND Medical Center						
North Dakota State University						
State College of Science						$916,720
Dickinson State University						
Mayville State University						4,000,000

Minot State University						
Valley City State University						
Minot State University - Bottineau						
Forest Service						
Total all funds	($2,961)	$370,000	$526,900	($360,000)	($180,000)	$4,916,720
FTE	0.00	0.00	0.00	0.00	0.00	0.00

	REMOVE CAPITAL CONSTRUCTION FUNDING [6]	TOTAL ALL FUNDS CHANGES
University System Office		$355,909
Bismarck State College		
Lake Region State College		
Williston State College		
University of North Dakota		
UND Medical Center		
North Dakota State University	($550,620)	(550,620)
State College of Science	(1,000,000)	(83,280)
Dickinson State University		
Mayville State University		4,000,000
Minot State University	(2,774,000)	(2,774,000)
Valley City State University		
Minot State University - Bottineau		
Forest Service		
Total all funds	($4,324,620)	$947,809
FTE	0.00	0.00

1 **University System Office** - This amendment reduces, from $19,490 to $16,499, the amount provided for a market equity salary increase for the counsel and human resources director. The amount included in the bill will provide for a salary increase of $623 per month for counsel and $162 per month for the human resources director for the last 18 months of the biennium.

2 **University System Office** - This amendment increases funding for student grant programs by $370,000, from $6,623,559 to $6,993,559. The Hoeven executive budget recommended an increase in funding for student grant programs of $830,000.

3 **University System Office** - This amendment increases funding for competitive research by $526,900, from $3,471,100 to $4 million, which represents a $2,026,900 increase from the 1999-2001 biennium.

4 **State College of Science** - This amendment adds special funds of $531,720 for renovation of the Bilkre Activities Center and $385,000 for renovation of the Skills and Technology Training Center. A section of legislative intent is also added requiring all funds for the renovation of the Bilkre Activities Center be raised before the start of the renovation project and any necessary additional funding required for the renovation to be from non-general fund sources.

Mayville State University - This amendment adds $4 million of special funds for renovation of the Lewy Lee Fieldhouse.

5 **North Dakota State University** - This amendment removes special funding of $550,620 for Robinson Hall renovation as funding for the project was provided in Senate Bill No. 2137.

State College of Science - This amendment removes special funding of $1 million for parking lot improvements as the funding would be addressed in Senate Bill No. 2023.

Minot State University - This amendment removes the local fund match requirement of $2,774,000 and Section 7 of the bill as introduced relating to the Old Main renovation project. The university's local match requirement would be addressed in Senate Bill No. 2023.

Senate Bill No. 2003 - Other Changes - Senate Action

This amendment also:

- Provides for a Legislative Council study regarding the State Board of Higher Education's implementation of the performance and accountability measures report required by Senate Bill No. 2041.
- Provides a section of legislative intent regarding higher education accountability measures.
- Incorporates the provisions of Senate Bill No. 2037 relating to the appropriation of special funds and the carryover of unexpended appropriations and Senate Bill No. 2038 relating to the University System budget request and appropriations bill that would expire on June 30, 2003.
- Removes Section 6 of the bill as introduced relating to the local match requirement for Old Main renovation project at Minot State University as the university's local match requirement would be addressed in Senate Bill No. 2023.
- Removes Section 7 of the bill as introduced relating to the establishment of a reserve fund for utility and repair costs for the College of Business building to be constructed at North Dakota State University.

- Amends Section 11 of the bill as introduced to clarify that the estimated income appropriated in Subdivision 13 of Section 1 includes $120,000 from the State Forester reserve account for the construction of the Towner Nursery tree storage building.

The following is a summary of the Senate general fund changes to the Schafer executive budget and the Hoeven executive budget recommendations:

	SCHAFER EXECUTIVE BUDGET	SENATE CHANGES	SENATE VERSION	HOEVEN EXECUTIVE BUDGET	INCREASE (DECREASE) TO HOEVEN BUDGET
University System					
System governance	$3,502,149	($2,991)	$3,499,158	$3,502,149	($2,991)
Student grant programs	5,071,409	370,000	5,441,409	5,901,409	(460,000)
Campus-based programs	51,423,520	168,900	51,592,420	51,952,420	(360,000)
Contingencies and board initiatives	2,790,103	(180,000)	2,610,106	2,790,106	(180,000)
Capital bond payments	11,261,502		11,261,502	11,261,502	
Subtotal	$74,048,686	$355,909	$74,404,595	$75,407,586	($1,002,991)
Bismarck State College	$15,017,975		$15,017,975	$15,017,975	
Lake Region State College	4,724,062		4,724,062	4,724,062	
Williston State College	5,183,247		5,183,247	5,183,247	
University of North Dakota	83,798,583		83,798,583	83,798,583	
UND Medical Center	28,571,646		28,571,646	28,571,646	
North Dakota State University	65,262,441		65,262,441	65,262,441	
State College of Science	25,776,628		25,776,628	25,776,628	
Dickinson State University	13,454,794		13,454,794	13,454,794	
Mayville State University	8,594,596		8,594,596	8,594,596	
Minot State University	25,230,343		25,230,343	25,405,343	($175,000)
Valley City State University	11,882,904		11,882,904	11,882,904	
Minot State University - Bottineau	4,083,153		4,083,153	4,083,153	
Forest Service	1,766,869		1,766,869	1,766,869	
Total	$367,397,927	$355,909	$367,753,836	$368,931,827	($1,177,991)

NOTE: For the campus-based programs line item, the Senate change of $168,900 consists of a $528,900 increase for competitive research which increases the funding provided for competitive research to the level recommended in the Hoeven executive budget and a $360,000 decrease in funding which decreases both the Schafer executive budget and the Hoeven executive budget for campus-based programs.

Date: 2-7-01

Roll Call Vote #: 1

2001 SENATE STANDING COMMITTEE ROLL CALL VOTES
BILL/RESOLUTION NO. SB 2003

Senate Appropriations Committee

☐ Subcommittee on

or

☐ Conference Committee

Legislative Council Amendment Number 18029.0103

Action Taken Do pass as amended

Motion Made By Sen Solberg Seconded By Sen Bowman

Senators	Yes	No	Senators	Yes	No
Dave Nething, Chairman	✓				
Ken Solberg, Vice-Chairman	✓				
Randy A. Schobinger	✓				
Elroy N. Lindaas	✓				
Harvey Tallackson	✓				
Larry J. Robinson	✓				
Steven W. Tomac					
Joel C. Heitkamp	✓				
Tony Grindberg	✓				
Russell T. Thane					
Ed Kringstad					
Ray Holmberg	✓				
Bill Bowman	✓				
John M. Andrist	✓				

Total Yes 11 No 2

Absent 3

Floor Assignment Senator Solberg

If the vote is on an amendment, briefly indicate intent:

REPORT OF STANDING COMMITTEE

SB 2003: Appropriations Committee (Sen. Nething, Chairman) recommends **AMENDMENTS AS FOLLOWS** and when so amended, recommends **DO PASS** (11 YEAS, 0 NAYS, 3 ABSENT AND NOT VOTING). SB 2003 was placed on the Sixth order on the calendar.

Page 1, line 2, after the semicolon insert "to provide for a legislative council study; to provide statements of legislative intent; to amend and reenact sections 15-10-12, 54-44.1-04, subsection 7 of section 54-44.1-06, and section 54-44.1-11 of the North Dakota Century Code, relating to higher education institutions' special revenue funds, budget requests and block grant appropriations, and unexpended appropriations; to provide an expiration date;"

Page 1, line 12, replace "3,663,482" with "3,660,491"

Page 1, line 13, replace "6,623,559" with "6,993,559"

Page 1, line 14, replace "51,957,520" with "52,126,420"

Page 1, line 15, replace "2,790,106" with "2,610,106"

Page 1, line 17, replace "77,765,508" with "78,121,417"

Page 1, line 19, replace "74,048,686" with "74,404,595"

Page 2, line 21, replace "23,488,351" with "22,937,531"

Page 2, line 22, replace "87,013,261" with "86,462,441"

Page 2, line 23, replace "21,750,820" with "21,200,000"

Page 2, line 28, replace "3,883,500" with "3,800,220"

Page 2, line 29, replace "26,776,628" with "26,693,348"

Page 2, line 30, replace "1,000,000" with "916,720"

Page 3, line 9, replace "724,589" with "4,724,589"

Page 3, after line 9, insert:

"Total all funds	$12,594,596
Less estimated income	4,000,000"

Page 3, line 14, replace "3,186,850" with "412,850"

Page 3, remove lines 15 and 16

Page 4, line 11, replace "367,397,927" with "367,753,836"

Page 4, line 12, replace "41,502,168" with "42,094,068"

Page 4, line 13, replace "408,900,095" with "409,847,904"

Page 5, remove lines 1 through 11

Page 6, line 4, replace "There is appropriated to the forest service" with "The estimated income in subdivision 13 of section 1 of this Act includes"

Page 6, after line 10, insert:

"**SECTION 10. LEGISLATIVE COUNCIL STUDY - HIGHER EDUCATION ACCOUNTABILITY MEASURES.** The legislative council shall consider studying during the 2001-02 interim the board of higher education's implementation of the performance and accountability measures report required by Senate Bill No. 2041. If conducted, the study may include the use of the higher education roundtable format.

SECTION 11. LEGISLATIVE INTENT - HIGHER EDUCATION ACCOUNTABILITY MEASURES. It is the intent of the legislative assembly that the board of higher education's performance and accountability report as required by Senate Bill No. 2041 include an executive summary and information regarding:

1. Higher education financing - A status report on higher education financing as compared to the long-term financing plan.

2. Base funding - Levels, trends, and uses of base funding.

3. Incentive funding, including:

   a. The allocation, use of, and results of incentive funding.

   b. The percentage of the higher education budget provided as incentive funding.

4. Deferred maintenance, including:

   a. The value of institutional buildings, funds spent on renewal or updates as compared to depreciation, and the status of deferred maintenance, including a comparison of the funds appropriated for deferred maintenance to actual funds spent on deferred maintenance.

   b. A deferred maintenance ratio that measures the size of the university system's outstanding maintenance as compared to its expendable net assets.

5. Capital projects - Report on new construction and major renovation capital projects for which specific appropriations are made, including budget to actual comparison, use of third-party funding, and related debt.

6. Peer institution comparisons - Funding levels of institutions or other selected indicators as compared to peer institutions.

7. Funding sources, including:

   a. The amount and trends of funding from all financial sources.

   b. An operating income ratio that measures the amount of income from fees for service as compared to the university system's overall funding.

   c. A contributed income ratio that measures the amount of income from externally generated resources other than debt as compared to the university system's overall funding.

8. State support information, including:

   a. State general fund appropriation levels and trends as compared to changes in the state's economy and total state general fund appropriations.

   b. A trend report on per capita and per student appropriations for higher education.

9. System indebtedness information, including:

   a. The amount of debt incurred and supported in relation to limitation requirements.

   b. A debt coverage ratio that measures net income as compared to the amount of debt service.

10. Affordability index detailing:

   a. Tuition and fees on a per student basis and total cost of attendance compared to peer institutions.

   b. Tuition and fees as a percentage of median North Dakota household income.

   c. Student affordability considering financial aid.

11. Funding uses information, including:

   a. A trend report on the distribution of expenditures by function.

   b. An educational core services ratio that measures the total funds being used for instruction, research, and public service as compared to the university system's overall funding excluding capital and debt service amounts.

   c. An educational support services ratio that measures the total funds being used for academic support and student services as compared to the university system's overall funding excluding capital and debt service amounts.

   d. A general support ratio that measures the total funds being used for institutional support, operations, and maintenance of physical plant as compared to the university system's overall funding excluding capital and debt service amounts.

12. Equipment expenditures - An equipment expenditure ratio that measures the total funds used for equipment replacement as compared to the total equipment inventory value.

13. Financial ratios and other financial information including:

   a. A viability ration that measures the amount of expendable net assets as compared to the amount of long-term debt.

   b. A primary reserve ratio that measures the amount of expendable net assets as compared to the university system's overall spending.

c. A return on net assets ratio that measures the changes in net assets as compared to the university system's total net assets.

d. A net income ratio that measures the change in unrestricted net assets as compared to total unrestricted income to provide information regarding surpluses or deficiencies.

e. Debt, assets, and end-of-year fund balances.

14. Faculty and staff trend information, including:

a. The ratio of faculty and staff to students.

b. Faculty and staff turnover rates and major reasons for turnover.

c. Faculty and staff salary levels, including annual average salary increases and comparisons with peer institutions.

15. Research and development efforts trend information, including:

a. Total funding received for research, including federal, state, local, and private sources.

b. Revenue generated or additional funding earned by research and development projects.

c. A research expenditure ratio that measures the amount of research expenditures as compared to the number of faculty full-time equivalent positions.

16. Audit report information, including:

a. An annual audit report, with an unqualified opinion, that identifies suggested material areas of improvement to the university system's financial operations.

b. A biennial audit report reflecting budget to actual appropriation statements; appropriate financial noteworthy accomplishments and successes; a reduction in material areas of statutory noncompliance; and university system compliance with suggested significant areas of improvement.

17. Workforce training information, including:

a. Trends in the number and percent of businesses and employees in the region receiving training.

b. Levels of satisfaction with training events as reflected in information systematically gathered from employers and employees receiving training.

18. Entrepreneurship - Levels and trends in enrollment in entrepreneurship courses and the number of graduates of entrepreneurship programs.

19. Employment placement - Level and trends in the percentage of university system graduates obtaining employment appropriate to their education in state and out of state.

20. Partnerships and joint ventures - Levels and trends in partnerships and joint ventures between university system institutions and the following entities:

    a. Business and industry.

    b. Tribal colleges.

    c. Private sector training providers.

    d. Other university system institutions.

21. Student performance information, including:

    a. Levels and trends in performance of students on nationally recognized exams in their major fields in comparison with national averages.

    b. Levels and trends in licensure pass rates in comparison to other states.

22. Alumni satisfaction - Levels and trends in alumni-reported satisfaction with preparation in:

    a. Selected major.

    b. Acquisition of specific basic and higher-order skills.

    c. Level, currency, and relevance of computer technology knowledge and abilities in relation to expectations in the marketplace.

23. Employer satisfaction - Levels and trends in employer-reported satisfaction with preparation of recently hired college graduates.

24. Institution and program accreditation - Maintenance of accreditation of programs and institutions by national and regional accrediting bodies and acquisition of additional accreditation where appropriate.

25. Peer review process - Levels and trends in peer review process relating to:

    a. Grants.

    b. Publications.

26. Student goals - Levels and trends in the number of students achieving goals - Institution meeting the defined needs/goals as expressed by students.

27. Administrative flexibility - Proportion of university system decisionmakers (deans and higher levels) indicating whether "they can now operate with more flexibility than in the past."

28. Student enrollment information, including:

a. Total number and trends in full-time, part-time, degree-seeking, and non-degree-seeking students being served.

b. The number and trends of individuals, organizations, and agencies served through noncredit activities.

29. Client satisfaction - Levels of satisfaction with responsiveness as reflected through responses to evaluations and surveys of clients:

a. Graduates and individuals completing programs.

b. Employers.

c. Business/program advisory councils.

d. Companies and employees receiving training.

e. Workforce training boards.

f. Campus presidents' advisory councils.

g. Public school superintendents.

h. Economic development professionals.

i. Other client groups.

30. Noncompleters satisfaction - Levels of satisfaction and reasons for noncompletion as reflected in a survey of individuals who have not completed their program or degree.

31. Student access - Levels and trends in the proportion of residents of the state who are within a forty-five minute drive of a location at which they can receive educational programs from a provider, including providers from outside the immediate region who would also have access to academic and student support services at the site.

32. Distance learning - Levels and trends in the number of enrollments in distance learning courses by in-state and out-of-state residents.

33. Nontraditional courses - Levels and trends in the number and proportion of enrollments in courses offered by nontraditional methods.

34. Student participation - Levels and trends in rates of participation of:

a. Recent high school graduates and nontraditional students.

b. Individuals pursuing graduate degrees.

35. Sustaining the vision - The extent to which the recommendations of the 2000 higher education roundtable are implemented and performance is improved.

SECTION 12. LEGISLATIVE INTENT - STATE COLLEGE OF SCIENCE - BLIKRE ACTIVITIES CENTER RENOVATION. It is the intent of the legislative assembly that the funding of $531,720 included in the estimated income in

subdivision 7 of section 1 of this Act for renovation of the Blikre activities center at the state college of science must be collected before renovation may begin. The state college of science, after receiving approval from the budget section, may obtain and utilize any available funds, in addition to the $531,720, received from federal, public, private, or other sources which are appropriated to the state college of science to assist in the Blikre activities center renovation.

SECTION 13. AMENDMENT. Section 15-10-12 of the 1999 Supplement to the North Dakota Century Code is amended and reenacted as follows:

15-10-12. Board may accept gifts and bequests - Depositand appropriation **of** institutional **funds.**~~The~~Subject to the limitations of section 15-10-12.1, the state board of higher education may~~, subject to the limitations of section 15-10-12.1,~~ receive donations, gifts, grants, and bequests offered or tendered to or for the benefit of any institution of higher education under its control or subject to its administration, and all moneys coming into the hands of the board as donations, gifts, grants, and bequests must be used for the specific purpose for which they are donated or given. A special revenue fund, for each institution of higher education under the control of the board or subject to its administration, must be maintained within the state treasury ~~and all institutional income from tuition collections must be placed in the special fund for the use of the institution for which the money was raised~~. All rent, interest, or income from land, money, or property, donated or granted by the United States and allocated to specific institutions of higher learning under the terms of the Enabling Act and the Constitution of North Dakota must be deposited in the special revenue fund of each institution and expended in accordance with section 1 of article IX of the Constitution of North Dakota. ~~Moneys in the special revenue fund are subject to legislative appropriations.~~ All other funds, unless restricted by the terms of a grant, donation, or bequest, received by the institutions from federal, state, and local grants and contracts, indirect cost recoveries,tuition, special student fees, room and board fees and other auxiliary enterprise fees, student activity fees, continuing education program fees, internal service fund revenues, and all other revenues must be deposited in the institution special revenue funds. The state treasurer shall immediately transfer the funds deposited in the special revenue funds to institution accounts in the Bank of North Dakota. Biennial estimates of revenue and expenditures of the other funds by source of funds must be presented at the same time biennial budget requests for appropriations from the special revenue fund and state general fund are prepared and submitted to the office of the budget pursuant to section 15-10-15. Payments from each institution's general fund appropriation must be made in amounts as may be necessary for the operation and maintenance of each institution~~, except that at the close of the biennium the balance of funds not paid from the general fund appropriation must be deposited in the special revenue funds of the institutions~~.The funds in the institution accounts are appropriated on a continuing basis to the state board of higher education. All such appropriations are subject to proration in the same manner as other appropriations are prorated if insufficient funds are available to meet expenditures from the general fund. Sinking funds for the payment of interest and principal of institutional revenue bonds must be deposited pursuant to section 15-55-06.

SECTION 14. AMENDMENT. Section 54-44.1-04 of the North Dakota Century Code is amended and reenacted as follows:

54-44.1-04. Budget estimates of budget units filed with the office of the budget - Deadline. The head of each budget unit, not later than July fifteenth of each year next preceding the session of the legislative assembly, shall submit to the office of the budget, estimates of financial requirements of his budget unit for the next two fiscal years, on the forms and in the manner prescribed by the office of the budget, with such explanatory data as is required by the office of the budget and such additional data as

the head of the budget unit wishes to submit. The budget estimates for the North Dakota university system must include block grants for the university system for a base funding component and for an initiative funding component for specific strategies or initiatives and a budget estimate for an asset funding component for renewal and replacement of physical plant assets at the institutions of higher education. The estimates so submitted must bear the approval of the board or commission of each budget unit for which a board or commission is constituted. The director of the budget in ~~his~~ the director's discretion may extend the filing date for any budget unit if ~~he~~ the director finds there is some circumstance which makes it advantageous to authorize the extension. If a budget unit has not submitted its estimate of financial requirements by the required date or within a period of extension set by the director of the budget, the director of the budget shall prepare such budget unit's estimate of financial requirements except such estimate may not exceed ninety percent of such budget unit's previous biennial appropriation. The director of the budget or such subordinate officer as ~~he shall designate~~ the director designates shall examine the estimates and shall afford to the heads of budget units reasonable opportunity for explanation in regard thereto and, when requested, shall grant to the heads of budget units a hearing thereon which must be open to the public.

SECTION 15. AMENDMENT. Subsection 7 of section 54-44.1-06 of the 1999 Supplement to the North Dakota Century Code is amended and reenacted as follows:

7. Drafts of a proposed general ~~appropriations act~~ and special appropriations acts embodying the budget data and recommendations of the governor for appropriations for the next biennium, and drafts of such revenues and other acts recommended by the governor for putting into effect the proposed financial plan. The recommended general appropriation for each budget unit must be specified in a separate section of the general appropriations act. The draft of the proposed appropriations act for the North Dakota university system must include block grants for a base funding appropriation and for an initiative funding appropriation for specific strategies or initiatives, and an appropriation for asset funding for renewal and replacement of physical plant assets at the institutions of higher education in the format approved by the fifty-seventh legislative assembly.

SECTION 16. AMENDMENT. Section 54-44.1-11 of the North Dakota Century Code is amended and reenacted as follows:

54-44.1-11. Office of management and budget to cancel unexpended appropriations - When they may continue. ~~The~~Except as otherwise provided by law, the office of management and budget, thirty days after the close of each biennial period, shall cancel all unexpended appropriations or balances of appropriations after the expiration of the biennial period during which they became available under the law. Unexpended appropriations for the North Dakota university system are not subject to this section. The chairman of the appropriations committees of the senate and house of representatives of the legislative assembly with the office of the budget may continue appropriations or balances in force for not more than two years after the expiration of the biennial period during which they became available upon recommendation of the director of the budget for:

1. New construction projects.
2. Major repair or improvement projects.
3. Purchases of new equipment costing more than ten thousand dollars per unit if it was ordered during the first twelve months of the biennium in which the funds were appropriated.

4. The purchase of land by the state on a "contract for deed" purchase where the total purchase price is within the authorized appropriation.

SECTION 17. EXPIRATION DATE. Sections 13, 14, 15, and 16 of this Act are effective through June 30, 2003, and after that date are ineffective."

Renumber accordingly

STATEMENT OF PURPOSE OF AMENDMENT:

Senate Bill No. 2003 - Summary of Senate Action

	EXECUTIVE BUDGET	SENATE CHANGES	SENATE VERSION
University System Office			
Total all funds	$77,765,506	$355,909	$78,121,417
Less estimated income	3,716,822		3,716,822
General fund	$74,048,686	$355,909	$74,404,595
Bismarck State College			
Total all funds	$15,017,975	$0	$15,017,975
Less estimated income			
General fund	$15,017,975	$0	$15,017,975
Lake Region State College			
Total all funds	$4,724,062	$0	$4,724,062
Less estimated income			
General fund	$4,724,062	$0	$4,724,062
Williston State College			
Total all funds	$5,183,247	$0	$5,183,247
Less estimated income			
General fund	$5,183,247	$0	$5,183,247
University of North Dakota			
Total all funds	$95,008,583	$0	$95,008,583
Less estimated income	11,210,000		11,210,000
General fund	$83,798,583	$0	$83,798,583
UND Medical Center			
Total all funds	$28,571,646	$0	$28,571,646
Less estimated income			
General fund	$28,571,646	$0	$28,571,646
North Dakota State University			
Total all funds	$87,013,261	($550,820)	$86,462,441
Less estimated income	21,750,820	(550,820)	21,200,000
General fund	$65,262,441	$0	$65,262,441
State College of Science			
Total all funds	$26,776,628	($83,280)	$26,693,348
Less estimated income	1,000,000	(83,280)	916,720
General fund	$25,776,628	$0	$25,776,628
Dickinson State University			
Total all funds	$13,454,794	$0	$13,454,794
Less estimated income			
General fund	$13,454,794	$0	$13,454,794
Mayville State University			
Total all funds	$8,594,596	$4,000,000	$12,594,596
Less estimated income		4,000,000	4,000,000
General fund	$8,594,596	$0	$8,594,596
Minot State University			
Total all funds	$28,004,343	($2,774,000)	$25,230,343
Less estimated income	2,774,000	(2,774,000)	
General fund	$25,230,343	$0	$25,230,343
Valley City State University			
Total all funds	$11,882,904	$0	$11,882,904
Less estimated income			
General fund	$11,882,904	$0	$11,882,904
Minot State University - Bottineau			
Total all funds	$4,063,153	$0	$4,063,153
Less estimated income			

General fund	$4,083,153	$0	$4,083,153
Forest Service			
Total all funds	$2,819,395	$0	$2,819,395
Less estimated income	1,050,526		1,050,526
General fund	$1,768,869	$0	$1,768,869
Bill Total			
Total all funds	$408,900,095	$947,809	$409,847,904
Less estimated income	41,502,168	591,900	42,094,068
General fund	$367,397,927	$355,909	$367,753,836

Senate Bill No. 2003 - University System - General Fund Summary

	EXECUTIVE BUDGET	SENATE CHANGES	SENATE VERSION
University System Office	$74,048,686	$355,909	$74,404,595
Bismarck State College	15,017,975		15,017,975
Lake Region State College	4,724,062		4,724,062
Williston State College	5,183,247		5,183,247
University of North Dakota	83,796,583		83,796,583
UND Medical Center	28,571,646		28,571,646
North Dakota State University	65,262,441		65,262,441
State College of Science	25,776,628		25,776,628
Dickinson State University	13,454,794		13,454,794
Mayville State University	8,594,596		8,594,596
Minot State University	25,230,343		25,230,343
Valley City State University	11,882,904		11,882,904
Minot State University - Bottineau	4,083,153		4,083,153
Forest Service	1,768,869		1,768,869
Total general fund	$367,397,927	$355,909	$367,753,836

Detail of Senate Changes to the General Fund

	ADJUST MARKET EQUITY SALARY INCREASE [1]	INCREASE FUNDING FOR STUDENT GRANT PROGRAMS [2]	INCREASE FUNDING FOR COMPETITIVE RESEARCH [3]	DECREASE FUNDING FOR CAMPUS-BASED PROGRAMS	DECREASE FUNDING FOR CONTINGENCIES AND BOARD INITIATIVES	ADD CAPITAL CONSTRUCTION FUNDING [4]
University System Office	($2,991)	$370,000	$528,900	($360,000)	($160,000)	
Bismarck State College						
Lake Region State College						
Williston State College						
University of North Dakota						
UND Medical Center						
North Dakota State University						
State College of Science						
Dickinson State University						
Mayville State University						
Minot State University						
Valley City State University						
Minot State University - Bottineau						
Forest Service						
Total general fund	($2,991)	$370,000	$528,900	($360,000)	($160,000)	$0

	REMOVE CAPITAL CONSTRUCTION FUNDING [5]	TOTAL GENERAL FUND CHANGES
University System Office		$355,909
Bismarck State College		
Lake Region State College		
Williston State College		
University of North Dakota		
UND Medical Center		
North Dakota State University		
State College of Science		
Dickinson State University		
Mayville State University		
Minot State University		
Valley City State University		
Minot State University - Bottineau		
Forest Service		
Total general fund	$0	$355,909

Senate Bill No. 2003 - University System - Other Funds Summary

	EXECUTIVE BUDGET	SENATE CHANGES	SENATE VERSION
University System Office	$3,716,822		$3,716,822
Bismarck State College			
Lake Region State College			
Williston State College			
University of North Dakota	11,210,000		11,210,000
UND Medical Center			
North Dakota State University	21,750,820	($550,820)	21,200,000
State College of Science	1,000,000	(83,280)	916,720
Dickinson State University			
Mayville State University		4,000,000	4,000,000
Minot State University	2,774,000	(2,774,000)	
Valley City State University			
Minot State University - Bottineau			
Forest Service	1,050,526		1,050,526
Total other funds	$41,502,168	$591,900	$42,094,068

Detail of Senate Changes to Other Funds

	ADJUST MARKET EQUITY SALARY INCREASE [1]	INCREASE FUNDING FOR STUDENT GRANT PROGRAMS [2]	INCREASE FUNDING FOR COMPETITIVE RESEARCH [3]	DECREASE FUNDING FOR CAMPUS-BASED PROGRAMS	DECREASE FUNDING FOR CONTINGENCIES AND BOARD INITIATIVES	ADD CAPITAL CONSTRUCTION FUNDING [4]
University System Office						
Bismarck State College						
Lake Region State College						
Williston State College						
University of North Dakota						
UND Medical Center						
North Dakota State University						
State College of Science						$916,720
Dickinson State University						
Mayville State University						4,000,000
Minot State University						
Valley City State University						
Minot State University - Bottineau						
Forest Service						
Total other funds	$0	$0	$0	$0	$0	$4,916,720

	REMOVE CAPITAL CONSTRUCTION FUNDING [5]	TOTAL OTHER FUNDS CHANGES
University System Office		
Bismarck State College		
Lake Region State College		
Williston State College		
University of North Dakota		
UND Medical Center		
North Dakota State University	($550,820)	($550,820)
State College of Science	(1,000,000)	(83,280)
Dickinson State University		
Mayville State University		4,000,000
Minot State University	(2,774,000)	(2,774,000)
Valley City State University		
Minot State University - Bottineau		
Forest Service		
Total other funds	($4,324,820)	$591,900

Senate Bill No. 2003 - University System - All Funds Summary

	EXECUTIVE BUDGET	SENATE CHANGES	SENATE VERSION
University System Office	$77,765,508	$355,909	$78,121,417
Bismarck State College	15,017,975		15,017,975
Lake Region State College	4,724,062		4,724,062
Williston State College	5,183,247		5,183,247
University of North Dakota	95,006,583		95,006,583
UND Medical Center	28,571,646		28,571,646

North Dakota State University	87,013,261	(550,820)	86,462,441
State College of Science	26,776,628	(83,280)	26,693,348
Dickinson State University	13,454,794		13,454,794
Mayville State University	8,594,596	4,000,000	12,594,596
Minot State University	28,004,343	(2,774,000)	25,230,343
Valley City State University	11,682,904		11,682,904
Minot State University - Bottineau	4,083,153		4,083,153
Forest Service	2,819,395		2,819,395
Total all funds	$406,900,095	$947,809	$409,847,904
FTE	3,087.39	0.00	3,087.39

Detail of Senate Changes to All Funds

	ADJUST MARKET EQUITY SALARY INCREASE [1]	INCREASE FUNDING FOR STUDENT GRANT PROGRAMS [2]	INCREASE FUNDING FOR COMPETITIVE RESEARCH [3]	DECREASE FUNDING FOR CAMPUS-BASED PROGRAMS	DECREASE FUNDING FOR CONTINGENCIES AND BOARD INITIATIVES	ADD CAPITAL CONSTRUCTION FUNDING [4]
University System Office	($2,991)	$370,000	$528,900	($360,000)	($180,000)	
Bismarck State College						
Lake Region State College						
Williston State College						
University of North Dakota						
UND Medical Center						
North Dakota State University						
State College of Science						$916,720
Dickinson State University						
Mayville State University						4,000,000
Minot State University						
Valley City State University						
Minot State University - Bottineau						
Forest Service						
Total all funds	($2,991)	$370,000	$528,900	($360,000)	($180,000)	$4,916,720
FTE	0.00	0.00	0.00	0.00	0.00	0.00

	REMOVE CAPITAL CONSTRUCTION FUNDING [5]	TOTAL ALL FUNDS CHANGES
University System Office		$355,909
Bismarck State College		
Lake Region State College		
Williston State College		
University of North Dakota		
UND Medical Center		
North Dakota State University	($550,820)	(550,820)
State College of Science	(1,000,000)	(83,280)
Dickinson State University		
Mayville State University		4,000,000
Minot State University	(2,774,000)	(2,774,000)
Valley City State University		
Minot State University - Bottineau		
Forest Service		
Total all funds	($4,324,820)	$947,809
FTE	0.00	0.00

[1] **University System Office** - This amendment reduces, from $19,490 to $16,499, the amount provided for a market equity salary increase for the counsel and human resources director. The amount included in the bill will provide for a salary increase of $623 per month for counsel and $162 per month for the human resources director for the last 18 months of the biennium.

[2] **University System Office** - This amendment increases funding for student grant programs by $370,000, from $6,623,559 to $6,993,559. The Hoeven executive budget recommended an increase in funding for student grant programs of $830,000.

[3] **University System Office** - This amendment increases funding for competitive research by $528,900, from $3,471,100 to $4 million, which represents a $2,028,900 increase from the 1999-2001 biennium.

[4] **State College of Science** - This amendment adds special funds of $531,720 for renovation of the Blikre Activities Center and $385,000 for renovation of the Skills and Technology Training Center. A section of legislative intent is also added requiring all funds for the renovation of the Blikre Activities Center be raised before the start of the renovation project and any necessary additional funding required for the renovation to be from non-general fund sources.

Mayville State University - This amendment adds $4 million of special funds for renovation of the Lewy Lee Fieldhouse.

5 **North Dakota State University** - This amendment removes special funding of $550,820 for Robinson Hall renovation as funding for the project was provided in Senate Bill No. 2137.

State College of Science - This amendment removes special funding of $1 million for parking lot improvements as the funding would be addressed in Senate Bill No. 2023.

Minot State University - This amendment removes the local fund match requirement of $2,774,000 and Section 7 of the bill as introduced relating to the Old Main renovation project. The university's local match requirement would be addressed in Senate Bill No. 2023.

Senate Bill No. 2003 - Other Changes - Senate Action

This amendment also:

- Provides for a Legislative Council study regarding the State Board of Higher Education's implementation of the performance and accountability measures report required by Senate Bill No. 2041.
- Provides a section of legislative intent regarding higher education accountability measures.
- Incorporates the provisions of Senate Bill No. 2037 relating to the appropriation of special funds and the carryover of unexpended appropriations and Senate Bill No. 2038 relating to the University System budget request and appropriations bill that would expire on June 30, 2003.
- Removes Section 6 of the bill as introduced relating to the local match requirement for Old Main renovation project at Minot State University as the university's local match requirement would be addressed in Senate Bill No. 2023.
- Removes Section 7 of the bill as introduced relating to the establishment of a reserve fund for utility and repair costs for the College of Business building to be constructed at North Dakota State University.
- Amends Section 11 of the bill as introduced to clarify that the estimated income appropriated in Subdivision 13 of Section 1 includes $120,000 from the State Forester reserve account for the construction of the Towner Nursery tree storage building.

The following is a summary of the Senate general fund changes to the Schafer executive budget and the Hoeven executive budget recommendations:

	SCHAFER EXECUTIVE BUDGET	SENATE CHANGES	SENATE VERSION	HOEVEN EXECUTIVE BUDGET	INCREASE (DECREASE) TO HOEVEN BUDGET
University System					
System governance	$3,502,149	($2,991)	$3,499,158	$3,502,149	($2,991)
Student grant programs	5,071,409	370,000	5,441,409	5,901,409	(460,000)
Campus-based programs	51,423,520	168,900	51,592,420	51,952,420	(360,000)
Contingencies and board initiatives	2,790,106	(160,000)	2,610,106	2,790,106	(160,000)
Capital bond payments	11,261,502		11,261,502	11,261,502	
Subtotal	$74,048,686	$355,909	$74,404,595	$75,407,586	($1,002,991)
Bismarck State College	$15,017,975		$15,017,975	$15,017,975	
Lake Region State College	4,724,062		4,724,062	4,724,062	
Williston State College	5,183,247		5,183,247	5,183,247	
University of North Dakota	63,796,563		63,796,563	63,796,563	
UND Medical Center	28,571,646		28,571,646	28,571,646	
North Dakota State University	65,262,441		65,262,441	65,262,441	
State College of Science	25,776,626		25,776,626	25,776,626	
Dickinson State University	13,454,794		13,454,794	13,454,794	
Mayville State University	8,594,596		8,594,596	8,594,596	
Minot State University	25,230,343		25,230,343	25,406,343	($176,000)
Valley City State University	11,882,904		11,882,904	11,882,904	
Minot State University - Bottineau	4,083,153		4,083,153	4,083,153	
Forest Service	1,799,869		1,799,869	1,799,869	

Total	$367,397,927	$355,909	$367,753,836	$368,931,827	($1,177,991)

NOTE: For the campus-based programs line item, the Senate change of $168,900 consists of a $528,900 increase for competitive research which increases the funding provided for competitive research to the level recommended in the Hoeven executive budget and a $360,000 decrease in funding which decreases both the Schafer executive budget and the Hoeven executive budget for campus-based programs.

2001 HOUSE APPROPRIATIONS

SB 2003

2001 HOUSE STANDING COMMITTEE MINUTES

BILL/RESOLUTION NO. **SB2003 - SB2021 Overview**

House Appropriations Committee

☐ Conference Committee

Hearing Date March 1, 2001

Tape Number	Side A	Side B	Meter #
1	x		0 - 6217
1		x	0 - 6011
2	x		0 - 6224
2		x	0 - 3821
Committee Clerk Signature			

Minutes:

House Appropriations Committee overview meeting on SB2003 & SB2021.

Rep. Timm: Called the House Appropriations Committee to Order and Called the roll.

Dr. William Isaacson, President, State Board of Higher Education. (Followed written testimony and then followed by questions and answers) Tape # 105 through 1700) Tape 1 - Side A.

Larry Isaak, Chancellor. (Followed written testimony and then followed by questions and answers) Tape # 1700 through 6216) Tape 1 - Side A.

Speakers: Craig Schnell, Mike Hillman, Laura Glatt, Sen. Nething, Bill Goetz, Dale Anderson, Greg Schnell, Pat Jensen Jerry Doan. (All had written testimony and then answered questions for the overview meeting.

Tape 2 - Side B ends at approx 3821..

This process continued for the remainder of (Tape 1. Side B and Tape 2. Sides A & B.)

2001 HOUSE STANDING COMMITTEE MINUTES

BILL/RESOLUTION NO. SB2003

House Appropriations Committee
Education and Environment Division

☐ Conference Committee

Hearing Date March 5, 2001

Tape Number	Side A	Side B	Meter #
1 of 2	x	x	End
2 of 2	x		2,035
Committee Clerk Signature	Judy Schaack		

Minutes:

Representatives Aarsvold, Boehm, Gulleson, Martinson, Monson, Wald, Wentz.

Larry A. Isaak, Chancellor: ND University System Office. Engrossed SB2003. See attached.

Laura Glatt: (455) ND University System. Overview of budget - Five block grants. See attached. There is language in HB1310, designating where the money under grant 2 showing undesignated Senate increase.

Representative Aarsvold: (1150) the funds in the Student block grant are available for Legislature initiatives, such as HB1310 and HB1444?

Laura Glatt: Some increased but it was dedicated for the first four programs listed in the Student block grant. Only the Senate added the 370,000, which I believe was more targeted I believe at Legislative and Executive branch initiatives. The way it is listed now it gives the board complete authority with in those four existing programs to allocate that block grant.

Representative Martinson: (1460) How do you determine how much money will be in the grant fund and how you allocate to the University of May and Jamestown? Jamestown felt they didn't get the help they should have out of the grant for the second biennium and we would like to make up that deficiency.

Laura Glatt: On page 4 is a summary of the state grant program, which is a 600.00 grant based on need. We rank students from highest to lowest. We award grants until we run out of funds. Students who get the money can decide where to use it. Either at a private or public institution. About 13 percent of the students receive funding. The average being 4300.00. Continue on page four. This biennium there was a specific amount appropriated by the Legislature just for this program. The only judgment we can make is how much we spend per year. All of the schools didn't get as much the last half of the biennium because of the reciprocity funds and also federal funds. We did not collect as much from our Minnesota funds for reciprocity payments as we thought. As a result we had fewer dollars to allocate. As the reciprocity funds went down we were not able to maintain our maintenance of effort requirement with the Federal Government so we also lost our federal funds. Losing one source spirals to another and impacts them. Continuing with block 3 grant.

Representative Monson: (2935) Why does higher Ed have their own CIO, when the rest of the department, as I am aware of it, is being moved into the ITD Department?

Laura Glatt: We created the position because of a need to provide system wide coordination of information technology services across the University System.

Representative Wald: On page 8 on the exchange students it shows only two for dentistry. Is that all that apply? I understand there will be a shortage of dentists soon. What can you do to increase those numbers? Some sort of campaign to alert students?

Laura Glatt: I know the dental association too has become very active in marketing this program and the opportunities of going into dentistry profession. But you are correct, there are only two funded slots. In addition students can go to the U of MN without any state support and have a very good success rate of being accepted into their program at no cost to State. So we have roughly a total of nine students in dentistry. What that means is our students were not able to get into these programs, they will take their own residence first, so the state has purchased two slots to guarantee two of our students access into their program. That will essentially reduce the amount of tuition.

Representative Martinson: (3838) We now have two guaranteed spots at the U of MN in dentistry and we pay the difference between the resident and the non resident?

Laura Glatt: It is not the U of MN it is the WICHE program. In MN our students can go under reciprocity. Continuing on page 6 and 5. Than turn to page 15

Representative Martinson: You said in Internet 2 you really need 3 million there? If we didn't increase that you would either decrease the service or you would find the extra 1.8 in your budget. Where would you find the 1.8.

Laura Glatt: Yes. There are a couple of options. One to decrease the level of service and the other to look to the students to pay for part of the cost for technology or go to our budget to try to find it, and I don't know where that would be.

Representative Timm: (5880) Do you know whether that 2 million dollars is also included in the ITD budget?

Laura Glatt: I don't believe so, and I think ' s different than the equipment costs we talk about. ITD does budget that because they have to pay the lease costs and show the money we pay them as special funds. So I don't think that is duplicated.

Representative Gulleson: Within those campus budgets, I am sure they appropriated dollars for technology and supported such. Did they reflect money in their budget to pay you?

Laura Glatt: No, we don't bill our internal campus users for those services. Continue on initiatives.

Representative Wald: (390) Does a new initiative mean a new curriculum?

Laura Glatt: It could be.

Representative Aarsvold: We have been struggling with ITD and how it impacts other agencies budgets. We have chosen to eliminate some positions to save costs and work savings. What will the relationship of ITD to the University System.

Laura Glatt: ITD as a service agent does bill State agencies for their services with the exceptions of higher Ed.

Grant Krauford: (780) Chief information officer for ND University Systems The only thing that ITD bills us for is the network itself and the roll of the state network. Up until the roll of the network this year, higher Ed has run almost a completely separate operation, but we have combined our buying power with ITD to get better rates. We have separate operation in the network side of thing because the state operates from 8:00-5:00. We go longer because of students taking classes. Also Internet 2 is something higher Ed pays for directly. It does not go through ITD because different circuits are involved.

Representative Aarsvold: (940) I hear that the 44 positions that ITD is requesting will not have any sort of an impact on you in terms of position or budget?

Grant Krauford: No.

Laura Glatt: The only exception I might make to that is I think they had 4 new positions with the ERP Enterprise Resource Planning project, which is the administrative systems. We would be the first to implement that soft ware. They would house them in their agency.

Representative Aarsvold: Would it be safe to say two or three years down the road after ERP is in place and functional, there might be some anticipated cost savings and position savings in University Systems.

Laura Glatt: I wish I had an answer for that. Our position is we think there will be a large cost avoidance. If we don't get new systems we are going to have to add more staff. If we were to get the new system we would retrain the employees to a higher level and be able to retain them.

Representative Wentz: So with the retraining for a higher level function that would mean higher wages. So we are not necessarily saving money.

Laura Glatt: We may have instances where we may have to increase wages. On the other hand if we were to redoes some positions, that would be part of this. I don't know how many.

Representative Wald: (1708) Doing some quick math, looking at the total engrossed bill SB2003. 78.1 million the 99-01 adjusted appropriation was 16.6. If you back out to 12.7 that leaves you to about 65 than subtract the 16 there is about 50 million dollars of funds here that weren't reflected in the 99-01 adjusted budget. Was that money in individual campuses budgets? Where is the difference from now and last biennium?

Laura Glatt: Let me generically say when you look at the campus budgets by enlarge onside of major capital projects there really is not an increase in the capital budgets from 99-01 to 2001-2003. They are pretty much hold even budgets. The executive recommendation said, all new money that we are going to put into higher Ed is going into the System Office budget, which

is what you are looking at today. That money will not stay in the System Office it will go back into the campuses or students.

Representative Wald: Item one, System Governance, how many FTE's compared to last year? Are we going to get a break down on salaries and operating equipment? You show 3.3 million.

Laura Glatt: The detail that was asked for by Madam Chair has been given. We would show you only the total amount of salaries, not a break down. We can give you a break down and get you a copy. Continue on page 17, 12,13, and 14.

Mark Sheridan: (2390) Project Director for ND EPSCoR. See attached.

Representative Wentz: (4575) You say this is a system wide program. Could you provide us with a break down of it is accessed by the various institutions in the higher Ed system? The EPSCoR dollars, how they are used in ND.

Mark Sheridan: As I went through the hand out I tried to indicate a little bit about that. The purpose of the program is to enhance competitive research. We try to invest in infrastructure, faculty development opportunities, and resource opportunities. We have faculties from every institution participate in the program.

Representative Wentz: I am more interested in how the institutions them selves might have access to the EPSCoR Funding? I also want to know what institutions have been successful in doing this?

Mark Sheridan: It is clearly on a competitive basis. They have to make application through competition. We have done a number of things to increase participation. I can provide you with the break down at a later time.

Lt. Governor Jack Dalrymple: (5015) On page 3 of the yellow handout under item 2. On undesignated Senate increase 370,000. Those dollars represent two grant programs for K-12

education. One is 250,000 to attract high school teachers in ND and as a loan forgiveness program. The other is 120,000, for retraining of teachers who are already employed in school districts. Those two amounts have already been passed by the House in a couple of bills. I want to point out the Hoeven budget proposal for this grant line was a total of 790,000. There were two grant programs that were eliminated by the Senate. Governor Hoeven's proposal is students who are in college and taking internship with the technology related company, work part time with them, and work for them during the summer. Than go back as an experienced employee and take permanent employment with them in ND upon graduation. They should be eligible for a student loan forgiveness at the rate of 3,000 dollars a year of employment. We expect a total of 60 per year. A total cost of 180,000 per year. We also need PHD levels. I will be happy to provide you with the engrossed version of the Senate Bill that will support this technology intern bill.

Sister Thomas Welder: University of Mary. Here on behalf of the State Grant Program. See attached.

Does anyone else wish to appear in opposition of SB2003? Seeing none we will close the hearing on System Offices, SB2003.

Representative Wentz: (445) We will go right into the informative hearing on the Roundtable Report.

Larry Isaak: Chancellor. See attached.

Representative Wald: (1475) On page 2 under themes, explicit accountability measures, I hear exactly the opposite. I look at how the budget is portrayed its quite hard to track exactly what is going to happen in each campus. I am not sure I agree with explicit accountability nor track it, when historically we could.

Chancellor Isaak: If you look at the bill you will see several accountability measures in the bill that have to be reported on. To me they are much more clearly defined, and a process for that. Both fiscal and non fiscal measures based on outcomes and so forth. To me they are more explicit than in the past. In terms of the fiscal and non fiscal accountability, and in terms of the block grants. If you look at the block grants the flexibility embodied in those is really in the areas of the campus initiatives, there is 3.2 million in one of those block grants. The initiatives for the board and the contingency line item. Those to me are really the ones that provide the flexibility. To me the 3 and 2 is not a whole lot that you can do in that regard. That is basically inflation in cost of living when I look at it. The technology part for higher Ed, those have been pooled before and allocated back out to the campuses. That has provided us with flexibility if you needed to do some things in the interactive video net work or if we needed to do something things in the interactive network as they arise with in as they come along. The new kinds of initiatives where there is flexibility for the campuses and the board, it is in those two areas.

Representative Wentz: Does anyone else wish to appear in opposition of SB2003? Seeing none we will close this part of the hearing on SB2003.

2001 HOUSE STANDING COMMITTEE MINUTES

BILL/RESOLUTION NO. SB2003

House Appropriations Committee
Education and Environment Division

☐ Conference Committee

Hearing Date March 05, 2001

Tape Number	Side A	Side B	Meter #
1 of 2	2,050	x	
2 of 2	x	x	
3 of 3	x		1,650
Committee Clerk Signature	Judy Schuck		

Minutes:

Representatives Aarsvold, Boehm, Gulleson, Martinson, Monson, Wald, Wentz.

Representative Wentz: Opening SB2003, University of ND Medical School.

Dr. David Wilson: (2125) Dean of UND of Medicine and Health Science. See attached.

Representative Martinson: (4285) The people you really want you call your stars. Is it ever just money that keeps people from coming in?

DR Wilson: No. Money has to be on the list. They will come here if they have the equipment and space they need, if they like the Dean and he is doing a good job and will treat them fairly. Those lower things we can take care of, but they won't give up a lot of money. We can negotiate a little. Many of these people have young families and they are looking at college costs etc. We try all we can to encourage them to come. It is hard if you are not competitive. I brought a gentleman in from Nebraska. I gave him 150,000 dollars. I knew he would not come with less. He brought 3.5 million in grants with him. It was a no brainier. His salary is totally covered by

all of his grants. This was a good investment for us. That is the tactic I have taken and it has been successful. We have to come up with the appropriate wage.

Representative Gulleson: (4675) In regards to intellectual property on research and grants. Do you have your own policy and briefly how does it line up for the researcher and the institution.

DR Wilson: In regards to the intellectual property. The University has a plan for that. If you don't have opportunity for them to benefit from their discoveries, they will simply leave all Universities and go into private business. We don't want that to happen. When I first came to ND none of my researchers put any salary money on any of their grants. They felt if they put their salary down, my grant will be less competitive. They won't fund it from the National Agencies. The agencies expect for you to have some salary money down there. Every day I am signing new grants.

Representative Monson: (4915) Is this unusual in grant writings that they put part of their salary down?

Dr.Wilson: It depends on who the granting agency is. The National Institute of Health or the National Science Foundation for the Cancer Institute etc. They expect if you are going to spend 25 percent of your time, you put some salary down. Some foundations and agencies may not allow any salary support. Depends upon the agency you are dealing with. Continue with source of funding history.

Representative Martinson: (5585) Do you deal with student health care? Do they come over to your center?

Dr. Wilson: No, not at the moment. They have a separate student health facility on campus.

Continue on page 27.

Representative Martinson: Your two D.O. Doctors, are those transfer students?

DR Wilson: Those are the students who did their residency in family medicine in the State of ND, and decided to stay here. They do not get their D.O. degree in ND. The training focuses more on the spine, alignment on the spine, and treatment involving the spine. They do a very fine job. They would apply just like MD students. When we find some good ones they are quite competitive with the MD graduates. When we can't find a MD that we think is worthy we will than take a DO graduate. Continue on page 35, infrastructure.

Representative Gulleson: (418) When you compete nationally for the grants that you do at that level, obviously facilities is a big part of it. Do they send out research teams or grant investigators that look at your research facilities and how well they would do.

Dr. Wilson: We would be one that the people in Washington would be concerned about. Our new facilities are wonderful. If I showed them where I wanted these people to work that we are hiring now, they would say no, I don't think you can do that here. That is why we need the kind of facilities that can do the work. Our new animal building will pass all of the hoops there. Our space is about maxed out. The talk some years ago that we were a ball and chain to the University has disappeared almost completely. I think people recognize we are doing a great job and educating wonderful physicians and health scientists and allied help personal. Those people go out to our communities and doing a great job for their patients. I think people listen. If we want to increase job opportunities for our young people in ND we are going to need factories and business. People won't start a factory in your town if you don't have good Doctors and schools. I think the message has gotten through.

Representative Wentz: Is there anyone else who would like to testify for the University of ND School of Medicine and Health Sciences? We will continue on with the University of North Dakota.

Dr. Charles Kupchella: (718) President of University of North Dakota. See attached , Opportunities for making an even greater difference. Continue with the enrollment on page 5 of the Presidents report. On page 32 a list of programs for undergraduates and graduates. I would make a bit of a pitch for consideration of increasing the funding in the higher Ed budget for the special needs students, which is increasing numbers. We have about 40 PHD's graduating, and we intend to reach a level of about 60 a year. We had a survey done and in that survey 97.7 percent of the students who answered said they were either satisfied or highly satisfied with their experience at UND. We did one for graduate students later and about 91 percent that responded indicated the experience made a tremendous impact on their lives. We want our students to have a positive experience. The response was about 30 percent. We have nearly 100,000 alumni. Of the graduates approximately 40 percent of the students who come to UND from ND from 97-98 live here in ND. About 9 percent of the student who came to the University and graduated but came from Minnesota who live now in ND. Roughly one third of our alumni live in ND or Minnesota. We have 600 faculty they all model life long learning for our students by practicing in some form of what ever it is they teach. We have done considerable research enterprise in place. We applied this past year for 114 million dollars in grants and contracts, and 669 proposals. The awards exceeded 40 million in FY 2000. See infrastructure attachments. (4225) UND budget. See attached.

Representative Martinson: Looking at the letter, Department of Philosophy and religion. Is that a tough field to attract someone to? And did he go from his position or to a chair department. We are probably looking at 100,000. You said doubling his salary? I am concerned about faculty. He was only here for two years. Why did he come in the first place?

DR Kupchella: All fields are tough to attract rally good people to. Some fields people are a dime a dozen. As we are able to get people to come, who are very good, we are able to keep some of them. We loose too many who actually make their mark here, distinguish themselves as someone who can really get grant support and do important work and than they are gone and we need to fill the position again. He was actually looking at his future possibilities. He went for a salary better than we were paying. Not quite double, but looked at what he would be making as a professor if he stayed here verses going there. At that point he said their salaries were twice what ours were. We offered him a job in one of the nations leading Universities, and promised that he would be able to teach students who came ready to go to school. There was a right balance as far as he could see in terms of how much emphasis is there is on research, scholarship and teaching. I am sure what he saw was an option opportunity.

Representative Gulleson: (5185) The flex abilities that will be provided under the initiatives put forth by the round table will allow you as individual campuses to better address the whole area of faculty retention. How do you see that help you , and what tools within that will you use specifically? You still will have the same number of faculty and same number of limited dollars. What will you do differently? Do you plan to get rid of those programs that aren't going to pay and keep those such as high technology?

DR Kupchella: We have the same number of faculty, but they don't have to be distributed the same way. Part of the solution is we move dollars where the action isn't. Stop the action where it is. Some extent we can do that now. I would be a little more nimble in taking a chance on starting a new program by asking the proposes where the tuition that is going to be derived from that new program will actually help support the new program. It is a little tighter loop for me to be able to count on having control over that tuition the very first year that program is in place.

We had a donor who wanted to give us 50,000 to build a canopy over the door way to the rural technology center. Originally the plans for that building had a canopy. They were approved by the board and legislature. We could not put it on the building because at the time we ran out of money before the building was finished. When the donor came along, ND law said we can't take it yet, it has to be approved by the board and legislature, because of so many months before the legislature meeting. We need to wait until the legislature can consider it. Something that would have take relatively little time. It is going to take a year. Tnere was a danger that the donor was going to walk away and do something else with his money. Having a program in a key area like philosophy. They help establish University as a real University. It makes it possible to attract students to come in arrow space. The tax payers recognizing that provide us with a base level support. Tuition provides about half the cost of educating the student. Continuing on with page 11.

Representative Martinson: (300) If we adopt the round tables proposals and exclude the tuition, what happens if tuition drops? Who would have ever thought we would have that flood, but your tuition dropped substantially. If enrollment drop at the schools you would have the potential of loosing a lot of money. I would like to see how you made adjustments.

DR Kupchella: It happened than and we had to adapt and adjust out expenditures accordingly. We had to cut some things from our budget to match the decrease in revenue a we would should it happen again., When our revenue drops so must our expenditure. Page 18 shows the restoration requests to you. Remaining pages in the hand out are descriptions of all the capital projects. Floor plans for Kelly renovation, the canopy and projects that would be funded through local funds, or private dollars, or fees.

Representative Wentz: The article in the Chronicle of Higher Education that was not complementary to the University of North Dakota regarding the name change . It doesn't seem to be resolving itself in an amicable fashion. It is certainly not the kind of article we would like to have in the publication of that prestige. Please comment on that.

DR Kupchella: It is the journal of Higher Education. I certainly was not pleased to see the article. There was one that showed up in the Star Tribune that was much more balanced. This writer took the particular attack that he or she did. It still smolders on our campus. It is now wrapped into a National issue. I would rather be doing any thing else. The symbols have no absolute meaning except what people think. It may be irresolvable as an issue. I have taken the decision of the Board of Higher Education to keep the name and logo. There is a big agenda that we have to do in support of Native American students and people. There are already 350 Native American students on our campus. I have been trying to get the people involved in those programs and the students engaged in helping move that agenda and proving what we have at the University of North Dakota for Native American students. There really weren't a lot of options open to me at the point the board said we are going to keep the name. I hope the fact that we have underneath it a rock solid University with a lot of wonderful things that are true about it. It is a long story. Perhaps we could get to gather and talk about it. You need to take a look at weather it is worth writing a irrebuttal and possibly keeping it alive more.

Representative Gulleson: Just a follow up with Rep Wentz. It may well be worth a rebuttal. Perception is everything. The Chronicle is very prestigious. It seems it bare an answer.

Berly Nelson: UND student. We love our University and the State of ND, and we appreciate your continued support. We need it. Students at UND are fully supportive of faculty salary

increases. When they leave it has a great impact on a student. Higher Ed keeps people here. We have student from many states and other countries. We appreciate your continued support.

Representative Wentz: Does anyone else wish to appear in opposition of SB2003? Seeing none we will close the hearing on SB2003. Meeting is adjourned.

2001 HOUSE STANDING COMMITTEE MINUTES

BILL/RESOLUTION NO. SB2003

House Appropriations Committee
Education and Environment Division

☐ Conference Committee

Hearing Date March 06, 2001

Tape Number	Side A	Side B	Meter #
1 of 1	x		4,930
Committee Clerk Signature	Judy Schuck		

Minutes:

Representatives Aarsvold, Boehm, Gulleson, Martinson, Monson, Wald, Wentz.

Representative Wentz: We will open the hearing on SB2003.

Joe Chapman: President of North Dakota State University. For the past 18 months NDSU has been in the process of strategic planning and looking at our future. The process has involved three things. The first has been to look at the six year plan that was put together by the North Dakota University Systems in collaboration with the Legislation over the last several years. The second piece was the round table that the Legislature has been working with over the last nine months or so. The third piece has been out own strategic planning process. What we have attempted to do is to integrate those three items into a plan for NDSU that moves us to what we would like to think of as the next level. Out of the discussions came the idea that we should move to be what is called a Research Extensive University. It is the highest level of University under the Carnage classification. They remain focused primarily on education. We have a

unique opportunity as the institution evolves to ensure it remains at the core of what we are all about. Continue with attachment on Cornerstones.

Representative Martinson: (603) I am interested in you eight percent grad student verses 15-16 for other institutions. To follow that up do you do any kind of survey follow up to see why they are going to other institutions. Is it because they have different programs or they can't get what they want at NDSU?

Joe Chapman: We have limited support for graduate students . We are in the process of developing additional support. By support I mean graduate students all come on a assisted ship. The traditional way to support graduate students is either through fellowships, through teaching assistant ships or through graduate assistant ships from research grants. The major source of support for out graduate students will be through research grants, which brings new economic development into the State. Continue with attachment.

Representative Wald: (945) In view of your number doubling with a major increase, do you ever compromise your research mission to attract some of these dollars?

Joe Chapman: We have not. The areas that we are doing the research in are very appropriate. We have had varies significant increases in our Ag research, such pharmacy, computers and science engineering areas, and chemistry. The full range of programs we have on campus are growing. Another thing we will be adding faculty to enhance those programs. Having these research dollars gives us the opportunity to do that. The salary issues we face you are all aware of.. It is not about asking you for more it's about leveraging what we get from you.

Representative Wald: Do you ever get off the wall requests that you might pass up and say no, that doesn't fit our mission?

Joe Chapman: On occasionally we have done that.

Representative Gulleson: In addition to salary, what other type of things do you bring to the table regarding your faculty especially those in the research areas? Do they share in ownership, I am sure they do in terms of intellectual properties. Are their other incentives if those efforts result in actual products being developed, do they gain shares in any of that type of thing?

Joe Chapman: Yes, there are a number of ways that they gain. We do certainly have intellectual property sharing and those types of things. A good example of this is we have agreed and discussed it widely that we are not creating what we call any ghettos on campus. This is not about if you can bring in money you are going to be the individual that benefits primarily from this. We have to spread this across the campus. It is critically important that we move forward as a anonymity. An example of that would be we have created a presidential development fund. Any one in the University can access this fund. It can be staff or faculty and, it is to provide you with developmental opportunities to travel and do things you need to do to support the programs you have. We have to stress the opportunities where ever they are and its about moving the University forward.

Michael Chambers: (1250) Business, Science and NDSU are my favorite thing to speak about. NDSU had a critical roll in the development of Aldevron and how we got started. See attached. I was able to do under graduate research. This research led onto Aldevron. Eventually I was faced with graduation and I was very adamant about not leaving ND. So the only solution was to start a company to make positions for ourselves. Other graduates have had to move to the East coast or Madison. With out having had the leadership and having been able to do the research at NDSU I would be in another area. NDSU saw us new we had a good idea and provided expertise with business administration and helped us set up a company. They provided us with laboratory space to build our incubators, and helped us move forward to a commercial and

scientific system. The short answer is we make DNA. NDSU was able to provide us with a small but efficient lab. We had access to equipment there, for lease of course. More importantly we had access to the expertise in the department. A year later we were able to go out on our own and we moved to our present location, which is in South Fargo. We have been very successful. See attached on the building and about the business. We are able to provide people with jobs. We are able to bring them in from all over the world, and they are able to buy homes in the community.

Representative Wald: Might you share your annual sales with us?

Michael Chambers: Over a million. We are hoping for more this year. January and February of this year have been our best months so far since we have started.

Tadd Tobkin: (2190) Student body president at NDSU. I would like to talk about NDSU and the importance to the students. Our administration at NDSU is second to none. They are truly interested and care about the concerns, and what is of importance to the students. The research opportunities and how we have the abilities to do research at NDSU of undergraduates as well as graduates. Also the hands on provided to the students. We as students are excited about these opportunities and ready to be involved in them. We are an institution moving unified. We are moving as one. We thank you for all the support . You are the ones that make out great education possible.

Bruce Furness: (2620) Major of Fargo. I am here in support of SB2003. I want to talk about three areas also. Partnerships, Mr. Chambers talked on private public partnerships. We certainly are trying to strive toward this in our community, private enterprise and NDSU. The public partnerships as architecture, horticulture, sociology, landscape, and the academic area and many more provides much of information to the city of Fargo. The best known and most successful

partnership in the facility area is the Fargo dome itself. It is a city owned asset and located on the NDSU property. We pay them a minimal amount for the property. There are a number of NDSU people involved in the board and commission in Fargo. The students have been a tremendous help to the city of Fargo during the flood situation. The youth of our community have saved the city. NDSU has made an economic impact to Fargo. NDSU has 600 million dollar economic impact to the city. There are nearly 4,000 employees associated with NDSU and the city. We have an excellent relationship. We value and appreciate the relationship with NDSU and Fargo and are working closely for a win, win situations for both NDSU and the city. I request your positive and enthusiastic consideration of their budget request.

Representative Aarsvold: At times I read in the press the negative impacts of having the campus so close to the city. Do you view this as a significant factor to the city?

Bruce Furness: No, I do not. We do have some people who feel Fargo might be better off with out the college. We do have some minor problems with kids running around sometimes. That creates some situations where people say we should try to control that a little better. Over all it certainly is a very positive thing. It is not limiting our growth. Out growth is heading south and south west. I would not view it as a negative thing.

Jerry Doan: (3720) Chairman of State Board and Agriculture Research and Education. Ag is North Dakota's number one industry. NDSU is our only land grant University with that it brings a different mission than any other college or institution we have in the state. They help enable Ag to move forward to a new and expanded roll. It is a two way street. Agriculture's strength and growth equates into a stronger University. We have looked at things in multiple discipline so we cross and use much of the University and department and college lines. It is more of a teams or system approach. We are seeing more goals and outcome orientation which is good for

us. As we move more of the agriculture into the teaching side of it into the next several years. One being food safety and is a key program. It is one in the nation in food safety. We have great leverage support from NDSU on many of the programs. Some have brought in many grant dollars. We need to stay progressive to keep our feet on the ground in agriculture.

Representative Wentz: Are we going to hear any thing on the budget for NDSU?

Joe Chapman: My comments on the budget are very brief. We ask for your support of the budget as the Governor presented it and as it came over to you from the Senate. We are not asking you for any thing new. We very much appreciate the support that we get from the Legislature. We are asking you to support the flexibility. With that we will leverage the dollars you give us and make ND a better place.

Representative Wald: How are coming out on the flood last summer there is a 210,000 dollars for flood insurance. Will that cover all of your physical plant?

Joe Chapman: No, I don't believe it will. Where we are right now is we are in negotiations with FEMA. They have all sorts of rules and regulations that we have to deal with. They are almost forcing us into litigation situation with the insurance companies and with the city. If they would let us handle it ourselves we would be through with it now. In terms of the emotional and physical impacts of the flood, we are fairly well past that and moving on. There will be fiscal impact that we will have over the next several years. Nothing beyond what you have been made aware of. We believe we will be made whole, but it will take longer than we had anticipated. They have asked us to bring legal action against your insurance carriers. Our understanding is, if the insurance companies prevail than FEMA will reconsider with reimbursing.

Representative Wentz: Does anyone else wish to appear in opposition of SB2003? Seeing none we will close the hearing on HS2003.

2001 HOUSE STANDING COMMITTEE MINUTES

BILL/RESOLUTION NO. SB2003

House Appropriations Committee
Education and Environment Division

☐ Conference Committee

Hearing Date March 6, 2001

Tape Number	Side A	Side B	Meter #
2 of 3		Starting at 850	3,665
Committee Clerk Signature	Judy Schoch		

Minutes:

Representatives Aarsvold, Boehm, Gulleson, Martinson, Monson, Wald, Wentz.

Larry Kotchman: State Forester ND Forest Service. See attached budget request.

Representative Aarsvold: (2215) On page 27, remind me of the local funds that are being used this biennium.

Larry Kotchman: The local funds that are included are local funds that will be removed off budget in the executive recommendation. These funds are primarily activities involving around two recent grant awards from FEMA and the Department of Transportation for the snow fence initiative. That is why the dollar amount is quite large. That would move those grants off budget, because they are treated as local funds under our budget and have been that way for several years.

Representative Gulleson: (2290) What type of income does the nursery generate?

Larry Kotchman: The nursery is a self supporting operation. We are projecting an income from tree sales for this biennium of 663,040 dollars. In addition to that we will collect an additional 10 percent beyond that. That will go into the seedling reserve account. That currently has 228,000 dollars in it. That would al reflect under the local fund line.

Representative Wentz: Help me understand the relationship with Bottineau and also with NDSU.

Larry Kotchman: Our relationship with NDSU is one of a related entity. The ND Forest Service is much like NDSU Extension and the fact that it has its own budget and its own administrative structure etc. We do report to President Chapman at NDSU and we have been in that situation for many years as you well know.

Representative Wentz: Did that relationship come about when Bottineau was aligned with NDSU? As I understand it in the beginning of your report Bottineau does some budget? It would seem to me since Bottineau is the School of Forestry that your relationship would be with Bottineau. I know NDSU has some extension too, but I can't get that quite straight in my mind.

Larry Kotchman: It has been that way since 1968. When NDSU established a relationship with what was than the School of Forestry and became NDSU Bottineau. The Forest Service was there at that time and we have been in the city of Bottineau since 1915. In 1996 MSU took the administrative relationship than with NDSU Bottineau. What we do is make a payment to MSU Bottineau for services, which include Mr. Jim Borkowski who is their Director of financial affairs. We pay for part of his time to actually help in preparation in our annual and biannual budget. Most of the dollars go to our share of costs associated with our headquarters. NDSU is the land grant institution and because they are they have a mission in research and education and public service. We are primarily a public serve oriented organization and have a close working

relationship with extension as well. We work a lot with many NDSU departments, and do the same with Bottineau and I guess have the best of both worlds.

Representative Wald: (2765) On page 13 of your budget, under present biennium shows more than you requested. What happened there? Also the 2.5 million under local funds you asked for and got zero. What did you use the 2.5 million funds for this biennium?

Larry Kotchman: Refer to page 25 instead. What you are looking at is the hold even budget, and that is what was requested to be submitted. It is not what came out of the executive recommendation, and reflects the need space budget that we are asking for. The local funds , the primary amount associated with that has been the recent awards on FEMA and Department of Transportation. It is pass through money and that is why it is considered local funds and went to zero because the Governor in his budget took it off.

Representative Wald: How did FEMA get involved?

Larry Kotchman: ND was one of two states the other Minnesota, that was successful in convincing FEMA, primarily through our division of emergency management. That it would be a wise investment for them to get involved with hazard mitigation funds for tree planting to alleviate the problems we have with snow removal and we demonstrated this particular project could save over a million dollars in snow removal costs e very year if they would go ahead and implement that. Our partners are the primary reason we were successful in that, such as the Department of Transportation, the Division of Emergency Management, many of the Soil Conservation entities.

Representative Wentz: How is the Dutch elm disease in the state?

Larry Kotchman: Dutch elm disease was a very significant disease that started in 1997. It swept across the state from the south eastern corner to across the northwest North Dakota . I think the

good news is a wake up call to the communities that their trees don't last forever, and that you need to take care and maintain them. A lot of efforts have been done to make sure the existing elm population is maintained as best as they can.

Representative Aarsvold: The riparian forest restoration project in the Red River basin, I noticed some of the sub basins, the goose, the elm etc., the loss of a lot of trees. Does this project go into some of the sub water sheds? Also I have worked with county water board with some efforts to try and provide funds for clearing some of the dead falls and that sort thing. Do you and the Forest Service have any in put?

Larry Kotchman: Yes, it does. Not only the Red River but also its tributaries because it is the Red River basin. We now are working with partners to prioritize those reaches of the Red and it tributaries that are the most important to work on. We are after interested land owners that want to make a difference managing their lands and trying to do something improving the over all water quality in that water body. Again I think trees are part of the answer to a major problem. The funding for this actually comes from the ND Health Department. It is a EPA 319 grant, and administered by the Health Department.

Representative Wentz: Is there anyone else who would like to testify on SB2003 ND Forest Service? We will close the hearing on SB2003.

2001 HOUSE STANDING COMMITTEE MINUTES

BILL/RESOLUTION NO. **SB 2003**

House Appropriations Committee
Education & Environment Division

☐ Conference Committee

Hearing Date 3--7--2001

Tape Number	Side A	Side B	Meter #
A	X		00 TO 5150
Committee Clerk Signature	Edward D Elyson		

Minutes:

CHAIRMAN JANET WENTZ: WE WILL OPEN THE HEARING ON SB 2003. DONNA THIGPEN....PRESIDENT BISMARCK STATE COLLEGE. THERE WAS A HAND OUT AND A SLIDE PRESENTATION, VERBAL TESTIMONY RIGHT THROUGH THE TESTIMONY. PLEASE SEE TESTIMONY WHICH IS ATTACHED. I WOULD LIKE TO DIRECT YOUR ATTENTION TO A SLIDE SHOW FOR A QUICK OVERVIEW OF THE COLLEGE. BSC IS A COMPREHENSIVE COMMUNITY COLLEGE THAT MEANS THAT WE HAVE A THREE PRONG MISSION. OUR TRANSFER PROGRAMS. OUR VOCATIONAL TECHNICAL PROGRAMS, THE SENDS STUDENTS DIRECTLY IN THE WORLD OF WORK AND RETRAINING FOR PEOPLE THAT ARE ALREADY IN THE WORK

FORCE. OUR COLLEGE WAS ESTABLISHED IN 1939 PART OF THE UNIVERSITY SYSTEM SINCE 1984. THE CAMPUS HAS APPROXIMATELY 100 ACRES. NINE BUILDINGS, WITH GROSS SQUARE FEET OF 408,626. PLEASE PAGE THROUGH WRITTEN TESTIMONY AND READ.

[[[[[THERE WAS A QUESTION THAT INTERRUPTED THE TESTIMONY]]]]]]]

CHAIRMAN JANET WENTZ: WE HAVE A QUESTION AT THIS POINT.

REPRESENTATIVE MARTINSON: THANK YOU MADAM CHAIRMAN. DR. THIGPEN, REGARDING ENROLLMENTS. DO ALL OF THE COLLEGES COUNT ENROLLMENTS, DO YOU COUNT THE STUDENTS ALL THE SAME IN ALL THE SCHOOL.

DR THIGPEN: WE HAVE MADE GREAT EFFORTS TO TRY TO STANDARDIZE THE COUNTING OF STUDENTS. FOR THE MOST OF THAT THE ANSWER TO THAT IS YES. THEY COUNT THEM THE SAME. ONE THING IF YOU LOOK AT WHAT REALLY TELLS YOU ABOUT STUDENT ENROLLMENT, IT IS FTE. YOU CAN HAVE A LARGE NUMBER OF STUDENTS TAKING ONE COURSE OR YOU CAN HAVE A SMALLER NUMBER OF STUDENTS TAKING A LOT OF COURSES. THE MOST ACCURATE REFLECTION IN MY BELIEF IS THE FTE.

CHAIRMAN WENTZ: HOW WOULD YOU DEFINE FTE?

DR. WENTZ: THAT'S A THROUGH COUNT EQUIVALENT. SO IF YOU TAKE A NUMBER OF PART TIME STUDENTS. HELP ME OUT WITH THIS. THERE IS A FORMULA FOR TRANSLATING PART TIME STUDENTS.

DAVE CLARK: VICE PRESIDENT OF OPERATIONS AND CORPORATE AND

CONTINUING EDUCATION AT B.S.C. YOU TAKE YOUR TOTAL CREDIT HOUR PRODUCTION AND YOU DIVIDE BY SIXTEEN. THIS DETERMINES THAT A FULL TIME STUDENT IS TAKING SIXTEEN CREDIT HOURS SO THAT IS HOW YOU GET TO AN F.T.E. NUMBER.

DR. THIGPEN: OUR AVERAGE STUDENT TAKES ABOUT SIXTEEN CREDIT HOURS. THE TUITION MODEL IS BASED ON STUDENTS PAYING THE TUITION RATE IS $62.80 PER CREDIT HOUR. UNTIL THEY GET TO THIRTEEN CREDIT HOURS, THEN IT PICKS UP AGAIN. I AM NOT SURE REP. MARTINSON IF I HAVE ANSWERED YOUR QUESTION.

REPRESENTATIVE MARTINSON: WELL SOMEWHAT DR. WHEN WE GET THE STATISTICS FROM RICHARD RASKE AND HE TALKS ABOUT THAT IN NORTH DAKOTA, THERE ARE 200 MORE UNIVERSITY AND COLLEGE STUDENTS STATE WIDE AND THEN WHEN YOU ADD UP THE NUMBERS FROM EACH COLLEGE, THERE IS ABOUT SEVEN HUNDRED SO IT IS A LITTLE BIT CONFUSING. I DO HAVE ONE LAST QUESTION. WHEN YOU TALK ABOUT YOUR MINOT STATE PROGRAM AND DICINSON AND UND, DO YOU COUNT THOSE OR DO THE OTHER STUDENTS COUNT THOSE STUDENTS.

DR. THIGPEN: THE OTHER SCHOOLS COUNT THOSE STUDENTS. IF THEY ARE TAKING CLASSES FROM BOTH COLLEGES AND THAT SOMETIMES HAPPENS BECAUSE THEY ARE TAKING THEIR FRESHMAN AND SOPHOMORE CLASSES WITH US AND THEIR JUNIOR AND SENIOR CLASSES WITH MINOT FOR MAYBE A PERIOD OF TIME WHERE THEY ARE TAKING BOTH LEVELS.

THAT WAY WE SPLIT THE COUNT. WE COUNT THE HOURS WE PRODUCED.

CHAIRMAN WENTZ: ANY OTHER QUESTIONS? REPRESENTATIVE WALD.

REPRESENTATIVE WALD: YOU MAY HAVE MENTIONED THIS WHEN I WAS ANSWERING THE PHONE. DO YOU HAVE ANY PROGRAMS WHERE HIGH-SCHOOL KIDS CAN GET DUAL CREDITS?

DR THIGPEN: YES WE DO.

REPRESENTATIVE WALD: ARE THEY INCLUDE IN YOUR F.T.E. COUNT?

DR. THIGPEN: YES WHEN THEY ARE GOING FOR COLLEGE CREDITS WE GET TO COUNT THE DUAL ENROLLMENT STUDENTS FOR THE COLLEGE CLASSES. THAT THEY ARE TAKING. SO WE COUNT THEM AND THE HIGH SCHOOLS ALSO COUNT THEM IN THEIR HEAD COUNT.

REPRESENTATIVE WALD: HOW MANY WOULD THAT BE APPROXIMATELY?

DR. THIGPEN: I AM NOT SURE. IT IS NOT A VERY LARGE NUMBER ON OUR CAMPUS. PROBABLY BE AROUND 30 STUDENTS. IN A GIVEN SYSMESTER. MADAM THAT COMPLETES MY PRESENTATION AND I WOULD LIKE IF THERE ARE NOT ANY OTHER QUESTIONS CALL KARLEE SMITH, ONE OF OUR STUDENTS TO COME A TALK WITH YOU.

KARLEE SMITH: MY NAME IS KARLEE SMITH. I AM CURRENTLY A SOPHOMORE AT BISMARCK STATE COLLEGE AND PRESIDENT OF THE BISMARCK BOARD OF GOVERNORS. BISMARCK COLLEGE WAS APPEALING TO ME BECAUSE {{{{{{{{{PLEASE READ WRITTEN TESTIMONY}}}}}}}}}

CHAIRMAN WENTZ: ARE THERE QUESTIONS? THANK YOU.

GEORGE BELOHLAVEK: MY NAME IS GEORGE BELOHLAVEK, I AM FIFTY-FIVE YEARS OLD AND LIVE IN MANDAN, N.D. [[[[PLEASE READ WRITTEN TESTIMONY]]]]

CHAIRMAN WENTZ: ANY QUESTIONS?

REPRESENTATIVE WALD: GEORGE, WERE YOU UNDER WORK-COMP. WHEN YOU STATED AT B.J.C.?

GEORGE BELOHLAVEK: YES, WORKMEN'S COMP. HAS TREATED ME VERY, VERY WELL. I HAVE NO PROBLEMS WITH THEM. I AM ALSO GETTING HELP THROUGH THE VETERANS ADMINISTRATION. THEY ARE BOTH ON THE SAME LEVEL. ONE HAS A LITTLE BIT DIFFERENT IDEA. I PLAN ON GETTING A JOB AS SOON AS I FINISH SCHOOL. THE V.A. WOULD LIKE ME TO GOT WITH MORE TRAINING, BUT THEY SAID IF I WANT TO TAKE NIGHT CLASSES OR SOMETHING, BECAUSE I WILL NOT BE FINISHED WITH MY SYSCO PROGRAM. THERE IS SEMESTER ONE AND TWO. I WILL BE FINISHING UP SEMESTER TWO. YOU HAVE TO TAKE THREE AND FOUR TO GET CERTIFIED.

REPRESENTATIVE WALD: DID THEY SUGGEST THAT YOU PURSUE AN ASSOCIATE DEGREE OR DEGREE OR SOMETHING. OR WAS THAT YOUR OWN IDEA.

GEORGE: THEY KIND OF LEFT IT UP TO ME TO DECIDE WHAT I WANTED TO GET INTO. THEY NEVER SAID ONE THING OR ANOTHER. I FOUND OUT THAT THE COMPUTER FIELD WAS OR THERE WERE A LOT OF JOBS

AVAILABLE IN THAT AREA AND I FEEL THAT IS WHY I WANTED TO GET INVOLVED IN. I KIND OF LIKE COMPUTERS, I GUESS.

REPRESENTATIVE WALD: THANK YOU VERY MUCH.

JUDY EKBERG JOHNSON: RESIDENT C.E.O. OF MEYER BROADCASTING. IT IS A PLEASURE TO BE HERE TODAY. I HAVE BEEN A BUSINESS VOTER IN THE PAST AND THE PRESENT AND IN THE FUTURE. I ALSO SERVE ON N.D. ECONOMIC DEVELOPMENT AND FINANCE BOARD. I AM A MEMBER. I WISH TO TAKE THIS OPPORTUNITY TO SUPPORT FOR SENATE BILL THAT YOU ARE LOOKING AT TODAY. IT IS VITAL, FOR OUR ECONOMIC DEVELOPMENTS TO HAVE B.S.C. GET ADEQUATE AND GENEROUS FUNDING. RECENTLY AS WE KNOW THE POPULATION OF N.D. IS PREDICTED BY THE CENSUS HAS GROWN BY A HALF OF ONE PERCENT. THIS IS GOOD NEWS. PEOPLE WILL NOT HAVE TO LEAVE N.D. WE NEED FOR OLD AND NEW BUSINESSES TO EXPAND. IT IS THROUGH B.S.C. WE HAVE NOT ONLY ACADEMIC BUT ALSO THE WORK FORCE TRAINEE, THE TECHNICAL TRAINEE, THAT THE EMPLOYERS HERE NEED TO EXPAND. THAT IS WHAT THEY NEED. WITHOUT THE TRAINING SERVICES PROVIDED BY B.S.C. THE TASK OF ASSISTING EXISTING EMPLOYERS TO FIND QUALIFIED EMPLOYEES. THE TASK WOULD BE DIFFICULT AS TO FINDING EMPLOYEES FOR THESE JOB OPENINGS. OUR UNEMPLOYMENT RATE IS LESS THEN TWO PERCENT. WE HAVE A SUBSTANTIAL UNDEREMPLOYMENT PROBLEM AND ALSO THAT WE HAVE THE TRAINING RESOURCES IN BISMARCK. AT BISMARCK STATE.

SOUTHWEST N.D. INCLUDING DICKINSON NEEDS B.S.C. AS TO THE TRAINING OF THEIR FUTURE EMPLOYEES. THAT RELATIONSHIP NEVER EXISTED BEFORE. I JUST LOVE IT IN A STATE WHERE WE ARE COMBINING RESOURCES AND WE ARE WORKING TOGETHER. WE HAVE TO WORK TOGETHER IN ORDER TO HAVE ECONOMIC DEVELOPMENTS. B.S.C. HAS BECOME A MODEL FOR A TRAINING CENTER FOR N.D. IT HAS BECOME AN EXAMPLE WHAT A TWO YEAR COLLEGE CAN DO AND SHOULD DO IN PROVIDING BOTH ACADEMIC AND SKILLED WORK FORCE TRAINING CENTER. FUTURE PROJECTS. THE CITY OF BISMARCK HAS BEEN WORKING ON TWO SIGHTS FOR FOUR HUNDRED AND SIX HUNDRED ACRES. FOR THE PURPOSE OF ATTRACTING HIGH TECH. PRODUCTION AND ACTIVITIES LIKE SEMICONDUCTOR PRODUCTION. COMPANIES LIKE THIS AS WE ALL KNOW HAVE THREE BASIC REQUIREMENTS, ENERGY, HIGH VOLUME OF WATER AND THEY NEED TRAINED WORK FORCE. FOR THESE TWO PROJECTS THEY NEED FOUR THOUSAND PEOPLE. SIXTY PERCENT WHICH ARE SKILLED TECHNICIANS AND THIRTY PERCENT WHICH ARE ENGINEERS. AS YOU ALL KNOW, THE FIRST TWO ARE IN ABUNDANT SUPPLY. IT IS THE TRAINED WORK FORCE, ESPECIALLY, THE TRAINED. TECHNICIANS AND PROFESSIONAL ENGINEERS THAT WILL PUT US TO THE TEST. THE BISMARCK DEVELOPMENT ASSOCIATION HAS BEEN WORKING ON THAT AND THEY WOULD NOT HAVE PUT A QUARTER OF A MILLION

DOLLARS IN FOR ATTRACTING THOSE PEOPLE IF THEY DID NOT BELIEVE STRONGLY IN B.S.C.'S ABILITY TO PROVIDE THAT SKILLED TRAINING. ALSO FOR TRAINING STUDENTS SO THAT THEY CAN GO ON TO A FOUR COLLEGE. I WOULD URGE THAT THIS IS THE FUTURE TO N.D.'S ECONOMIC DEVELOPMENT. RENEWED POPULATION GROWTH. TO FUND B.S.C. GENEROUSLY AND THAT IS WHY I AM HERE IN SUPPORT FOR THIS BILL.

CHAIRMAN WENTZ: THANK YOU.

DAVID CLARK: I AM THE VICE PRESIDENT OF OPERATIONS AND CORPORATE AND CONTINUING EDUCATION AT B.S.C. I AM GOING TO BE GOING THROUGH THE BLUE MATERIAL IN YOUR PACKET. THERE IS A ECONOMIC IMPACT STATEMENT FOR B.S.C. WHICH YOU CAN LOOK AT AT YOUR LEISURE AND ALSO WE DO AN ANNUAL REPORT THAT ALSO HIGHLIGHTS ACTIVITIES AT B.S.C. AND CONTAINS OTHER PERTINENT INFORMATION. [[[[[[PLEASE READ TESTIMONY]]]]]]

CHAIRMAN WENTZ: REPRESENTATIVE MARTINSON HAS A QUESTION.

REPRESENTATIVE MARTINSON: DAVE, YOU DO SAY HERE AND I AM NOT SURE THAT O.M.B WAS AWARE OF THE EFFECT. WE WILL JUST ASK THEM, THEY ARE RIGHT HERE. WERE YOU GUYS AWARE OF THAT?

DAVE: BASICALLY WHAT THAT ISSUE IS IS THAT WE HAD EXCESS REVENUE IN THE CURRENT BIENNIUM. WE DEDICATED THE PUT THE EXCESS IN ONE TIME PROJECTS, SO YOU DON'T PUT IT INTO THE BASE

AND IT BECOMES ONGOING SO WE DEDICATE EXCESS REVENUE TO PLANNED IMPROVEMENTS IN THE CURRENT BIENNIUM BECAUSE OF THAT THOSE PLANT IMPROVEMENTS WERE TURNED AROUND AND CUT, REDUCED IN THE EXECUTIVE BUDGET WHICH IS CERTAINLY O.M.B.'S OPTION. THERE IS NO QUESTION THAT THAT'S CERTAINLY IN THE REALM OF DISCUSSION MAKING. MY POINT IS IF WE HAD NOT DEDICATED THOSE DOLLARS AS CAPITOL IMPROVEMENTS IN THE CURRENT BIENNIUM WE MOST LIKELY WOULD NOT HAVE SEEN THAT REDUCTION IN THE EXECUTIVE BUDGET. THAT IS WHAT I AM THINKING. B.S.C. IS SUPPORTIVE OF OTHER ASPECTS OF THE SENATE VERSION OF THE BUDGET, THE COMPENSATION PACKAGE, TECHNOLOGY INVESTMENTS, PURITY AND EQUITY FUNDS, NEW INITIATIVE PROGRAMS ETC. FINALLY, WE DO WANT TO POINT OUT THAT WE DO HAVE LIMITED PLANT IMPROVEMENT DOLLARS IN THIS BUDGET FOR NEXT BIENNIUM. WE HAVE ALMOST $100,000.00 DOLLARS OF SPECIAL ASSESSMENTS THAT WE KNOW OF THAT LEAVES US WITH ABOUT $ 158,000.00 DOLLARS FOR PLAT IMPROVEMENTS FOR TWO YEARS. THAT IS MINIMAL AMOUNT FOR OUR GROWING CAMPUS INFRASTRUCTURE. I WANT TO POINT THAT OUT. FINALLY, JUST WANT TO TOUCH ON SCHAFFER HALL. THERE IS NO FUNDING IN SENATE BILL SB2034 ON SCHAFFER HALL. SCHAFFER HALL WAS RANKED SECOND ON PRIORITY LIST FOR THE N.D. UNIVERSITY SYSTEM. THE BUDGET AMOUNT WAS $1,400,000.00 MILLION. YOU CAN SEE

IN THE NARRATIVE WHAT THAT FUNDING WOULD PROVIDE AND WE ALSO HAVE A BREAKDOWN WHAT THE COST ESTIMATE WOULD BE. AGAIN HEAVY UTILIZATION OF THESE CLASS ROOMS DOSE OCCUR. WE HAVE SEEN INCREASED ENROLLMENT. THEN WE HAVE ALL THE OTHER CAMPUSES ON CAMPUSES AS WELL. OUR POINT IS, THIS IS NOT AN ALL OR NOTHING SORT OF BUDGET REQUEST. ANY FUNDS THAT COULD BE DEDICATED TO SCHAFFER HALL RENOVATION WOULD BE APPRECIATED. WE ASK FOR YOUR CONSIDERATION OF THAT PARTICULAR REQUEST.

CHAIRMAN WENTZ: REPRESENTATIVE MARTINSON.

REPRESENTATIVE MARTINSON: I AM HAPPY THAT YOU POINTED THAT OUT DAVE, THE ALL OR NOTHING, I THINK THAT IS IMPORTANT. WOULD YOU COME BACK TO US WITH THE PRIORITY LIST? SPACE, FACULTY OFFICES, ADMINISTRATIVE OFFICE COMPLEX ETC. PRIORITIZE FOR US. WHAT IS THE NEED FOR AN ADMINISTRATIVE COMPLEX?

DAVE: THE PLAN IS TO MOVE THE PRESIDENTS OFFICE UP TO THE THIRD FLOOR OF SCHAFFER HALL. RIGHT NOW THAT PARTICULAR AREA IS LOCATED, IT IS NOT CONDUCIVE TO GREETINGS THE PUBLIC. WE FEEL THAT THIS WOULD BE A BETTER ARRANGEMENT FOR VISITORS. AND THE WORK THAT NEEDS TO BE DONE THERE.

REPRESENTATIVE WALD: YOU SAY, SCHAFFER HALL WAS BUILT IN 1960 WERE THE STILL USING ASBESTOS THEN.

DAVE: THERE IS ASBESTOS THROUGHOUT BOTH THE CEILING AND FLOOR MATERIALS. WE HAVE DONE A ABATEMENT IN THOSE AREAS THAT WE HAVE RENOVATED. WE SEPARATE AND DO THE ABASEMENT.

REPRESENTATIVE WALD: YOU HAVE YOUR BUDGET REQUEST FOR LAST BIENNIUM. YOU HAD $35 POINT ONE MILLION FOR THE LAST BIENNIUM. YOU ASKED FOR $36 POINT FOUR MILLION. I AM NOT REALLY SURE WHAT YOU ARE GOING TO GET AND I GUESS WHAT I AM TRYING ALUDE TO IS YOU THAT DON'T YOU GET NERVOUS WHEN ALL OF THIS MONEY IS SITTING IN THE BOARD OFFICE THAT YOU GET YOUR FAIR SHARE.

DAVE: THE TUITION IS NOT IN THE BOARD OFFICE. THE RECOMMENDATION OF THE ROUND TABLE IS THAT THE CAMPUS IS RETAINED THE TUITION COLLECTIONS. THE POOL FUNDS THAT ARE CURRENTLY EXISTING IN THE UNIVERSITY SYSTEM HAS A PERIIT AND EQUITY FUND SOME NET WORK FUNDS AND SO THE BALK OF THE FUNDING THAT THE LEGISLATURE WOULD HAVE INITIALLY APPROPRIATED IN THE PAST IS EITHER GOING TO BE RETAINED LOCALLY BY THE CAMPUS AND THE GENERAL FUND IS GOING TO BE APPROPRIATED BY THE LEGISLATURE IF THIS IS PASSED OBVIOUSLY.

REPRESENTATIVE WALD: WHAT'S YOUR TUITION GOING TO BE.

DAVE: OUR TUITION IS $8 POINT EIGHT MILLION NEXT BIENNIUM.

REPRESENTATIVE WALD: YOU HAD A CARRY OVER OF $185,000.00. DID YOU USE THAT OR TO YOU GET TO KEEP THAT. NO WHATEVER WE CARRY

OVER, WE KEEP. OUR UTILITY BILL ARE WAY UP. THIS MAKE BUDGET TIGHT FOR THIS YEAR. WE DECIDED NOT TO BID ON A BILL WITH MDU. THEY HAVE HAVE A MONTHLY ADJUSTMENT THAT THEY HAVE IN THEIR PRICE. WE WENT WITH RAINBOW GAS BECAUSE WE CAN GO WITH AN ALTERNATIVE FUEL SOURCE BECAUSE TWO OF OUR BUILDINGS CAN BE TURNED OVER TO FUEL OIL. IN MY ANALYSIS WE WERE BETTER GOING WITH THE FIXED PRICE OF RAINBOW THEN IF WE HAD GONE WITH MDU. THERE PRICE ADJUSTMENTS WERE STEEP THIS WINTER. WE DO NOT HAVE THE CAPACITY TO BURN COAL.

RECESSED TO 10:45 AM TAPE 1A: 5187

2001 HOUSE STANDING COMMITTEE MINUTES

BILL/RESOLUTION NO. SB 2003

House Appropriations Committee
Education and Environment Division

☐ Conference Committee

Hearing Date March 7, 2001

Tape Number	Side A	Side B	Meter #
03-07-01 tape #1		0 - 2813	
Committee Clerk Signature	Kathi Hall		

Minutes:

Joe McCann, President - Williston State College: Had written documentation to go along with his testimony. He discussed the Enrollment history of the school, workforce training, enrollment numbers, and the progress report of the school.

Brenda Wigness: Begins with the budget requests on page 7 of the written documentation, the budget worksheet. She explains the worksheet columns, and then goes through the line items. Would like the committee to support the executive recommendation of having the tuition taken out of the appropriation.

Rep. Martinson: He would ask what would happen if they had a lot fewer students?

Brenda Wigness: If we have a lot fewer students we hold back on our expenditures. In fact they are in that situation right now. They have fewer tuition collections than they had estimated. We had one faculty position that we didn't hire. We also are holding back on our equipment dollars. It's a short term solution for long term planning to meet our budget.

Continues on page 7 explaining line items. They are running within their utility budget, which is good considering the higher costs of utilities this year. They cannot burn coal in their boilers. Their boilers have natural gas a the primary fuel, and propane as a second source of fuel. She continues on to pages 8 through 13 that are their requested initiatives, explained in the written documentation.

Joe McCann: Thanks the state and this committee for the support they provided us last year to help in building the health sciences and sports complex building. The fund raising is going well and the match is in hand. We look to go to the public phase of the campaign in April. He speaks briefly on some of the requested initiatives that did not make the executive recommendation.

Rep. Rex Byerly: He represents District 1, which is effectively the City of Williston. He speaks in support of the Williston campus. Williston State College has been for years a model for higher education for effective administration and effective management of their funds. There is a commitment within the community to that campus. He would hope that in the budget they will take a look at the optional items that the school has requested, and perhaps include some in this budget. Sometimes the ones that do the best jobs get penalized. Hoe this is not one of those examples.

The chairman recessed the hearing on this bill.

2001 HOUSE STANDING COMMITTEE MINUTES

BILL/RESOLUTION NO. SB2003

House Appropriations Committee
Education and Environment Division

☐ Conference Committee

Hearing Date March 07, 2001

Tape Number	Side A	Side B	Meter #
1 of 1		Starting at 995	4,585
Committee Clerk Signature	Judy Schock		

Minutes:

Representatives Aarsvold, Boehm, Gulleson, Martinson, Monson, Wald, Wentz.

Representative Wentz: We will open the hearing on Lake Region State College.

Sharon Etemod: President at Lake Region State College. See attached.

Representative Aarsvold: (1770) Regard to the dual credit program, what effect does that have on your accreditation. I know you typically hire staff from the local community to provide that instruction. Do you run into any problems with the accreditation side?

Sharon Etemod: No, in fact that is something that has been done across the country before it got to ND. You have to make sure the teachers qualify to teach. Continue on page 7. Over all our budget is fairly positive. Of course we have many more needs than you are able to cover. The cost to continue would be our first priority to get that 60,000 dollars to get back in, so we aren't short in continuing salaries we've already committed from last years raise. We do work on a very tight budget. I would urge you not to put in place salary increases that are not funded the

next biennium. We are already taking money from our current budgets to grow our salaries our self. The board has asked us to do that. Than if we have to fund the second year of salary increase from the last biennium, it becomes very difficult. It's not a very good way to try and budget.

Representative Wald: (2955) What is the nature of you Air Force base campus at Grand Forks? What kind of programs do you teach there? Do you run professors from Devils Lake or do you borrow from the University of Grand Forks?

Sharon Etemod: It turned out to be a very good program for us. We have been there nearly twenty years. When we first started we probably had 30-40 students. Currently we run 500-600 individual classes. We do some of everything for teachers . We have none right now that come from Devils Lake, but we have teachers who have taught for us for 15 years. We some times use graduate students from UND. There are a lot of resources on the Air Base as well.

Representative Aarsvold: (3265) The C Tech Council that is comprised of the campuses that offer primarily the two year programs. What about the four year campuses that are offering two year programs. How are they involved and how do you handle that?

Sharon Etemod: What we've seen is if it is an add on activity, what we want to do is focus our energy not for just one or two programs, but for major resource investment in community and two year colleges and less programing. So we try to work together and try to link out IT programs in areas where it makes sense. We try to stay in communications.

In conclusion we have one project we are focusing on is our science lab. They do need upgrading. We didn't make the final cut at the Legislative OMB. We made it with the Board of Higher Education. Hopefully we will be here the next biennium with that on our list. We are

also looking to National Science Foundation and any other source we might find to help meet those needs on the science lab.

Representative Monson: (3500) What kind of money are you looking at?

Sharon Etemod: That would be under our capital requests, and I will get that to you. Since it was not in the OMB request we didn't put it in here in terms of dollars. It was in the 600,000-700,000 dollar range.

Representative Wald: A question to follow up on Rep. Aarsvold on the C Tech where you get together and discuss who is going to do what in these two year programs. You get together as Presidents periodically and decide who is going to do what? Do you than take it to the board office?

Sharon Etemod: We have monthly meetings. The first few were a little bloody as we tried to work out some of our areas of focus, but it was a really good exercise. The good part of the group, while being very frank, and when finished with the meeting, we back up and support one another with the decisions we have made. It has been really positive. First we have a list we turn in when ever we are thinking of a new program, we absolutely submit that. The next meeting the new submittals are looked at by the group. We try to decide whether that is a good placement for that program. We are also starting a process where we are going to have a review by an outside entity and help us look at state wide needs.

Representative Wald: Once you decide who is going to do what, do you take that to the Chancellor, or to the Board, or both? Than who chairs the meeting?

Sharon Etemod: We would take it to both. It starts first in our group discussion. Eddy Dunn usually does unless the Chancellor is available.

Representative Martinson: How many presidents get together for that?

Sharon Etemod: There are four president and one dean from Bottineau.

Representative Martinson: Five Presidents and two Vice Chancellors. So you have about 225,000 dollars worth of staff there for five Presidents. Okay.

Sharon Etemod: We often meet over IVN so we can just walk from our office. I think it is a good investment. I can tell by your tone your not sure about that. It has ended the competitive attitude that was out there. We also work hard on reassures building through our foundation. If you look at the appendix it says TidBits 2000.

Representative Wald: (4075) On the second page of TidBits, you have four categories. A certificate, diploma etc. What is a certificate? Do all of your credits transfer?

Sharon Etemod: A certificate can come in two ways. The type we have to report to the board are those that are about one year long and have a set curriculum. You can have a certificate for a one day event. Those you don't have to take those to the board. If you have a set curriculum that is nine months or even our Peace Officer training a three month certificate, but has a set approved curriculum. Those have to be approved. The diploma is two years but doesn't have much general education in it. We have worked hard to make that one semester program transfer into the four year program. We have had students come for one of their semesters to get certified and go back to Minot or UND. We try to find a linkage for every program that we are able to. We appreciate the support we have gotten from this legislative body in the past and look forward to a positive response in the future for our campus.

Representative Wentz: We will close this part of the hearing.

2001 HOUSE STANDING COMMITTEE MINUTES

BILL/RESOLUTION NO. SB2003

House Appropriations Committee
Education and Environment Division

☐ Conference Committee

Hearing Date March 07, 2001

Tape Number	Side A	Side B	Meter #
1 of 1	x	x	978
Committee Clerk Signature	Judy Schaefer		

Minutes:

Representatives Aarsvold, Boehm, Gulleson, Martinson, Monson, Wald, Wentz.

Representative Wentz: We will reconvene the hearing on SB2003.

Representative Martinson: I would like to make a motion now to give LC and Higher Ed time to prepare if the motion prevails what I am suggesting. I move we ask LC to amend 2003 to delete everything after a bill and we draft the bill to appropriate the money in the same form that it has been every other year except this session, and delete all of the Round Table recommendations and that will give us a opportunity to look at each budget separately. Than we can pick and choose on Round Table things if we so choose.

Representative Wald: I second.

Representative Wentz: Verbal vote. Motion passes.

Dr. Sharon Hart: President of NDSCS (1415) See red attached.

Robert Gette: (2855) Continue with presentation page 26 on workforce training.

Representative Wald: (3245) Going back to John Deer and Caterpillar, are those like parts management diesel or what kind of partnerships do you have with them.

Robert Gette: John Deer, we have three programs. First, called John Deer Ag Tech. It is diesel technicians specializing in John Deer equipment, a two year program. Second was the parts program. Third is John Deer sales, that just started this current year. We also do what we call John Deer Pro Tech. and Pro Parts, which is for current employees. They are usually short term things where they are sent for a week at a time. We haven't started Caterpillar yet but expect it to be. We will start that program in the fall.

Robert Gette: (3395) Continue on with page 31.

Representative Wald: (4370) What do you heat with? Do you have the capacity to heat with coal?

Robert Gette: We heat with natural gas right now. Yes we have the capacity to heat with coal but, it would take a significant investment to make the switch over, because the coal boiler has not run for eleven years. Continue on with page 44.

Mike Rank: (4685) Vice President for administrative affairs. Continue with the budgets page 50. On page 96 we would like to ask you to do an amendment to do the floor in the next few months. We know it's not good for the athletes. It is 20 years old and stating to crack. We would like to get a portable floor. We have the funds ear marked to do it. Again the amendment that came out of the Senate does say we need to have all moneys in hand to do the project and would like to separate those two.

Representative Monson: (6160) You said you had a plan on how to pay for this. How do you plan to pay for that?

Mike Rank: Booster clubs, Bremmer Foundation is looking at 60,000 of Booster Club membership to pay for the wooden floor. The other would be from the Foundation to go out and raise the money. We are also looking to remodeling the bathrooms. We do have a grant in hand from Dakota Clinic, and Dakota Medical Foundation. Basically on this project we need to get on this program before the new year starts, because, when we aren't using that we are loosing that money. We need to have the skill center rented out. We would like to get that program started. Continue on page 76.

Representative Monson: (330) Is your situation unique compared tc the rest of the campuses. Wouldn't we be looking at similar situations on those campuses as well?

Mike Rank: Yes, I believe we are unique. Again we are the third largest infrastructure in the state. Both UND and NDSU burn coal. As we investigate coal now, it is going to cost us 300,000 a biennial just to man power to go back to coal. Now with fuel oil we burn both fuel oil and gas because we are in the interrupt able rate. We have to have only one man there at night. If we go to coal we will need two. That is why we have avoided it because of the man power issue. To get our boiler up and running, it would cast about 40,000 dollars. Also we would need a palace to store it, and to build a coal storage another 240,000. You can see that only one year out of 1996-1999 that coal would have been cheaper. Gas has been cheaper most of the years. When gas goes to 4.61 that is when coal becomes cheaper. Continuing with page 82.

Sharon Hart: (800) Continuing with page 92.

Representative Wentz: Does anyone else wish to appear in opposition of the budget on NDSCS? We will than close that portion of the hearing.

2001 HOUSE STANDING COMMITTEE MINUTES

BILL/RESOLUTION NO. SB2003

House Appropriations Committee
Education and Environment Division

☐ Conference Committee

Hearing Date March 08, 2001

Tape Number	Side A	Side B	Meter #
1 of 2	x	x	
2 of 2	x		5,928
Committee Clerk Signature	Judy Schaefer		

Minutes:

Representatives Aarsvold, Boehm, Gulleson, Martinson, Monson, Wald, Wentz.

Representative Wentz: We will proceed with the budget on Dickinson State University.

Dr. Lee Vickers: President of DSU. See attached, enrollment page 9.

Representative Martinson: (885) Who counts the students and how do you count your enrollment? When you talk about your enrollment here. What do you count a student? Is it by hours or one class? How do you determine your enrollment?

Dr. Lee Vickers: The students are counted by where they register. In most cases these are students who have completed their work at BSC. If they have not completed their work, it is possible that they both taking a course from us and a course at BSC. It is also possible they are taking course from Minot State, Dickinson State and BSC. If they are taking a course from us we count them. If they are taking them at BSC or Minot State I am sure they are also counting them as far as head count is concerned. That would also impact FTE. If a student enrolls in a

class, than he or she is counted as one student. If he or she is enrolled in 16 credits, they are counted as one FTE. The students enrolled in smaller numbers and than combined to make FTE. Continuing on, we do work very closely with BSC from the stand point of work force training. They use our facilities on campus. In particular our computer labs, and they have offered this past year 35 different sessions. We have developed 10 on line courses this year. We are behind the curve in that area a bit. We are making progress and anticipate by next fall we will have an additional 10 courses. We do not see ourselves as a major player in this area, but think it is critical that we are a player in the area. The most important thing is retention. Keeping those you already have on campus. As you address retention, you are also addressing quality issues. Continue on to page 31.

Representative Wentz: (1760) How is the Medora partnership funded?

DR Vickers: The foundation in Medora is assisting us with funding, such as the internships. We are working cooperatively on a grant from the stand point of living history, and leadership institute. In most cases its a matter of utilizing their resources to help our people. We are also looking at the possibility of jointly establishing an art gallery in Medora. Continuing on page 31 and than onto 34.

Representative Wentz: (2725) What are the accountabilities as you understand them.

Dr. Vickers: Certainly they put us in a position where, I think its fair to say, we nee to assess everything we are doing from the stand point of the quality of our programs, and service. We need to reach out and ask employers how our students are prepared. We need to ask graduate schools and assess our students when they come in and exit. We need to establish national tests, so that we can compare our students with institutions across the country. There is a long list, and they are attached to the bill, and was agreed to by the Round Table group. In addition there are

some fiscal accountability measures that are included. If I had any concern it would be that there are too many accountability measures. On the other hand that was part of the bargain. As I understand the recommendation of the Round Table, the institutions in the system need to change and be more proactive and help the state move forward at the stand point of growing the economy. We except that challenge and those standards.

Representative Wentz: Do you already have in place most of those accountability measures, or will you need to address and expand?

Dr. Vickers: We have many of them in place. We have tried to get ahead knowing full well this was probably coming down the pipe. There are a few we need to fine tune. One concern we have is we have to have more information so the requests you have for the ERD project is really important so we have adequate information to be able to address those standards and give you the information that you want. We have many employees, but will need to put additional ones in place.

Alvin Binstock: (2960) Vice President of Business Affairs of DSU. Continuing on page 22 of hand out I would now like to direct your attention to page 40.

Representative Martinson: (5465) Will the investment of that 12 million for the technology things save you money? Can you show us a cost saving to DSC if we implement that.

Alvin Binstock: I am not to sure from a cost savings stand point whether there will be any true evidence there. I believe the importance of this issue deals with quality, and ability for us to make knowledgeable decisions based on factual data that can be gathered in a uniformed fashion across the system. So there are certainly benefits associated with it. The lack of unavailable data is a hindrance on making decisions. It would also provide the students with information they need in a readily fashion. Continuing with page 48, and on to 52 and 53.

Representative Wald: Side B (235) As I understand it the Whitney Stadium issue was not finalized by the time you had to submit your budget to OMB and the board. Since it is all private federal or local sources, there is no general fund money in this request?

Alvin Binstock: That is correct. On page 69 reference is made to associated costs that if the project is successful at the 4 million dollars there will be additional square footage added, and at that point in time we would like to address, that would be at the next session, address the state for general funds support for custodial position and the envisioned increased operating costs associated with that project. We anticipate that would run about 90,500 a biennium.

Representative Wentz: We will go right into Valley City State under SB2003.

Ellen Chaffee: (470) President of Valley City State Universities. SB2037 has been folded into SB2003. See attached testimony I gave in January I gave to Senate Appropriations regarding this bill. We have been getting some excellent feed back on lap top university. We have taken some risks and stepped out on a limb, but do think it has been in the best interest of ND and our students. There is only so much we can do with out flexibility. Someone need to untie my hands if they want us to continue to do these kinds of exciting things. Continuing with attached.

Steve Benson: (1010) Vice President for Business Affairs of Mayville State and Valley City State. Continuing on with improvements.

Ellen Chaffee: (1900) The VCSU Roundtable Plan, was prepared in response to the State Board of Higher Education saying last September we have the Roundtable Report, we've endorsed it, how are you each campus going to be helping us achieve the goals of the Roundtable. This is the plan that we had VCSU put together to describe our intended contributions to achieving the Roundtable plan. Continue on with other handouts. Our last packet, report to investors. We are committed to accountability.

Representative Martinson: (2375) Are there things that you would have done that you were not allowed to, because you didn't have flexibility? The other how about we gave you the flexibility, but than you still answer to the higher board, that may not be so flexible, so maybe we should give you flexibility and take away that authority from the board so you can really be innovative. That is going to be tuff for you to answer.

Ellen Chaffee: I think the questions were, what haven't we been able to do? I have never been asked to do something and been told, no. That is partly because I know what is going to be rejected so I don't bother to ask. It is more a matter of what are we free to plan and consider? The appropriation of tuition is a very big incentive factor in the lives of college presidents. As Dr. Wong says, his point through out this strategic plan was, why would we want to grow? We are not funded on a per student basis, and we have no guarantee we will be keeping the tuition. Why would we want to? That came up in session after session. It is not an easy question to answer. In general we have taken some huge risks. We have stepped out to do some things assuming people would understand why we did them, and would support our doing them, and not second guess on how we did them. One for example was to lease the note book computers. We wanted to put them in the hands of out faculty and students. I think every session since we did that there has been a bill to somehow inhibit the authority to lease. If we couldn't lease we would not be able to have this. We would also like more flexibility when it comes to information systems. We are so desperate for something in that area, we strongly support the ITD bill as well. We operate with in the incentives and opportunity structures that you and the board give us. The more you allow latitude for creativity the more creativity you will get.

Dr. Les Wong: (2915) Vice President for Academic Affairs. See attached. The entrepreneurial spirit has done two things. One that is what lured me out of the State of Colorado to come to

ND. The talented and creative energy that exists in our faculty and staff are major magnets. Last semester we had to hire 12 faculty members. I believe we were able to lure the most talented people available in the country to come to ND. Nine came from out of state. The major factor for them was the technology initiative at the University, and the fact that we are creative. We have received a lot of notoriety across the world for our lap top initiative. That has been a key point in the transformation and vision for the University. We do require all graduates at our campus to produce multimedia CD portfolios. That has been under development almost four years. Imagine being able to see in a high tech format what a student has learned by your support and investment in public higher education. We have people around the world inquiring about our portfolio initiative. Everyone is telling us that is where they want to go. You have Universities around the world taking a look at what we are doing. What we have found out from their portfolios and from the lap tops is that learning can be excelled, and enhanced if you can create an environment where the web and technology seamlessly integrate with face to face instruction. The whole issue for us is talent development, staff development, faculty development, student development and community development. With your support I believe the University can offer the kinds of innovations we are offering to students. We are down a road many people want to follow. In the information age, knowledge is power.

Sarah Banyai: (4575) Senior at VCSU. What really impressed me to come and talk to you today is why you are spending all this money on me. The University has given me the opportunity to learn not only thinking skills but applications skills. Something I will take with me the rest of my life. The education is well rounded for me. What I have been given I can not only teach but step into the place of anything I desire to do. I am able to reach beyond the box and look into the box.

Ben Bernard: (4770) Senior at VCSU. Major in computer information systems. When I took a look at the University it really blew away my preconceptions. Students were involved in creating this lap top program. Students are encouraged to make ideas happen. They are not told no you can't do that, your an under grad. Instead they will work with you to make it happen.

Representative Gulleson: When you were looking at campuses, obviously the laptop concept attracted you. Did the cost of the laptop cause concern ? How did you weigh that in? How did it compare with other tuition's?

Ben Bernard: There is no comparison. Basically the ivy league is still trying deal with the idea of competition. They have not had to compete for students before. So they can charge their tuition for the year and not necessarily offer the technology with it. Even with scholarships offered by other colleges VCSC was far and away the best value for the dollar.

Ellen Chaffee: See attached Roundtable Plan. I would now like to talk about our regional technology centers and to point out that small campuses have always been engines for economic growth in ND as the Roundtable aims to achieve. In addition now we have a new weapon in the war to increase ND economic growth and potential. That is the technology business incubator. The Chronicle predicts in the next 20 years every university will have an incubator and one in every community that has a public library. It is becoming the model to support local small business. Obviously Mayville State and VCSU in the technology area, emphasis has been, and gives us a great deal to collaborate with business. Mayville State was awarded 340,000 dollar federal grant highly competitive for the renovations and equipment for that space. Both communities, and economic development agencies are in the process of recruiting business to occupy the space.

Jennifer Feist: (50) Economic Development Director for VCSC / Barnes County. See attached.

Rob Walker: Native of Mayville and graduate of Mayville State. He now works for a company called Ocean Systems Engineering Corporation. They are making plans to be a major new tenant in the Mayville technology business incubator. He has provided us with slides and he will have remarks on the telephone. I wish that I could have been there with you. I am assistant Vice President of navy operations. One of the objectives for the potential business of coming back and using ND as an excellent center for software development, and also the business case. What is the potential benefit to ND? Typically in the past we have seen software development in the DOD go to big contractors for a billion dollar contracts. We are trying to break that, and try to get more cost affordable means to produce DOD software. I want to establish the University as a DOD lab or center. Other Universities have been successful at breaking into the federal contracts process, and could be able to get some federal funds for specific needs that they might have as far as technology development. If we are successful with this potential experiment and growth potential, it would be expense able to other communities through out ND specifically. My focus is a business objective. The business case in a metropolitan area, the soft ware development could continue to rise for DOD. There are cost efficiency in ND labor pool verses the metropolitan areas. If we can provide an incubator for this kind of activity, we can bring the families who have left home to go to the cities back to the rural areas. The Internet can change the way we think and the way we work. I believe Mayville State University and Traill County have the infrastructure available that could allow us to hit the ground running. It also has a key proximity to UND and NDSU. Some of the work will require engineering expertise. Most of the systems we support today are the Navy, Marine Core and the Army. Today DOD spends billions of dollars on system development. We only want to bring several million dollars back to ND. Mayville might be the test case, but from that we believe it is expense able to other communities

with in ND. If we are successful we are only limited by the amount of people who can do the work. We are also working with Senator Dorgan. He is assessing the potential of getting some federal money to get this going as well.

Rob Larson: (1745) With ComMark, Inc of Mayville, ND. See attached. We have been able to grow a number of very good jobs in rural ND. We could not have done that without the support of incubation center, and the University system.

Representative Wentz: We will now go into the Mayville State College part of SB2003.

Dr. Ellen Chaffee: (2620) See attached material on MSC. Near the end you will find the Mayville State Roundtable Plan similar to the VCSC.

Steve Benson: (3785) Continuing with attached on the finances page 2. I encourage you to provide emergency funding to institutions through University System Board.

Stacy Hall: (4498) A senior at Mayville State graduating in May with a bachelor degree in computer information systems, and a minor in business administration. In my second semester I got an on campus job as the assistant web master. I have kept this position my entire three years. Many opportunities as these are available to students to give them chances to apply the skills they learn in the class rooms to real world situations. In my sophomore year I attended a carrier fair in Fargo. With in a month I was contacted by a company called United Defense, a contractor for the DOD. I was hired on the spot and started in May when school let out. I was amazed to get an intern ship so early in my college carrier. Especially at 16 dollars an hour. This too allowed me to fly out to D.C. once a month for meetings with army officials. After having to return back to school, I was able to continue on with the job using my lap top that every student is issued. I would go back once a month to Minneapolis for meetings with them, plus fly to Washington DC for meetings out there. It was a bit difficult holding down two jobs and

track of 20 credits worth of home work and classes. I was able to use all the skills that I learned at Mayville State. Having your computer with you 24 hours a day 7 days a week has been a valuable in making me more attractive and sale able to potential employer. It is almost impossible today to find a successful business that doesn't use computers in some form or another.

Representative Wentz: I commend you it what you have done at the two colleges and the recognition they have received. It doesn't seem to me as if you have been recognized and rewarded in the way that you should for the leader ship and creativity you have shown and encouraged among your faculty. We often are very quick to point out and punish mistakes , but we really don't have a reward system for those who do a good job. We will close this portion of SB2003.

2001 HOUSE STANDING COMMITTEE MINUTES

BILL/RESOLUTION NO. SB2003

House Appropriations Committee
Education and Environment Division

☐ Conference Committee

Hearing Date March 08, 2001

Tape Number	Side A	Side B	Meter #
2 of 2	Starting at 6035	x	5,255
Committee Clerk Signature	Judy Schaefer		

Minutes:

Representatives Aarsvold, Boehm, Gulleson, Martinson, Monson, Wald, Wentz.

Representative Wentz: We will open the hearing on SB2003, Minot State University and Bottineau.

Erik Shaar: President of Minot State University and Minot State University Bottineau. One important concept on page 1 is the changing profile on enrollment. Our enrollment has been steady now for 3 years and notice the enrollment on line from 98-2000. As of this Monday, we began a program which is sponsored through a federal grant to the labor department where we are preparing and delivering customized executive management program to future job core center directors from across the country. It is an enormous opportunity for our campus to pursue The labor department has already asked us to please expand that cohort of students to 15-20 next year. The program has been funded for next year. This is one example of how we are trying to expand our enrollment base at MSU.

Representative Gulleson: For entrepreneurs, do they come speaking English at this point or do you have to provide entrepreneurs?

Erik Shaar: I think we are mixing two programs. The entrepreneurs should not be necessary in that job core program. These are all English speaking people. As we get more and more in foreign or international students attending the University. That day could very well arrive.

Representative Wald: (390) On page 2 a graduate degree in elementary education in Fargo, did NDSU drop the degree in education?

Erik Shaar: I am not aware that they had one. We were invited to come in and fill in a vacuum in programming from NDSU. We have done this in a consistent and cooperative effort with Mayville State. That is in West Fargo in a high school building. Notice the last paragraph on page 2 and continuing on. We are linking up with more and more of corporate partners in our community. On page 5 some of the results of the Roundtable. People decide they want more information about higher education. We get various and desperate requests from people who legitimately want and need information. We are suggesting with the comprehensive nature of the accountability requirements that are being out lined as part of the Roundtable report. We are pleased to have this and to know what we are being held accountable for right at the out set and be able to respond to it. We are excited about the Roundtable and the way that it will apply to MSU.

Larry Eide: (1310) Senior Vice President of Minot State University. Page 6 of attached. I would like to put in a small plug for SB2022. Twenty seven years ago I was on a committee that began talking about automating our systems, the financial systems and the student records systems. Two years after that we went into production and on line with the first application, payroll. Minot State was the pilot institution. I am only sharing this with you to make you

aware of how old our systems are, and how times have changed. We made them very restricted. We know now in the next two years they will be coming down with some very stringent, mandate that our system can not adapt to, because of it's original design. I am hoping who's every this bill goes before and before the full House you will give it your support. Continuing on page 8 are some things we think you should be aware of. We will have to self fund all three of them as we have no choice on having to do them. Somehow something has to be done about those kinds of salaries. It doesn't make us competitive if we want to get people from the market in the nation. It is not just ND and our region. We have to go national to get some of our folks. Three, four, five and six list our initiatives. On page 9 a report on how we spent the funding for capital improvements that was appropriated to us for the current biennium, and a brief detail about what is in the request for the up coming biennium. Continue on. Rep Wald asked the question about how the colleges are firing up their boilers, on page 15 we show you that.

Dr. Shaar: (2425) We can go right into Bottineau. In July 1996, that Bottineau campus was rea filiated with Minot State and formally having been with NDSU of Fargo. Since that time I have watched the campus in a kind of rebirth as they have over come some adversities and have moved into the main stream of higher education as we prepare and experience even right now the future for higher education. I think you will hear the hope and promise for the future for this small gem stone. I think you will be hearing more and more as time goes along. Just yesterday I received a letter from the North Central Association of Colleges and Schools, confirming the full 10 year re-accreditation of Minot State University/Bottineau by that regional accrediting association.

Jim Borkowski: (2925) Director of Financial Affairs and the Physical Plan Director at Minot State University in Bottineau. See attached. Please note the enrollment. We have made some

changes to help increase our enrollment, with the enhancement of our technology program to the Bottineau campus. We are concentrating in the areas of network engineering. Bottineau has become a regional CISCO academy in 1999 and also became an explorer net in 2000. We were the first community college in ND to do so. Bottineau well supports the provisions. We do have similar concerns as with Minot State with 2001-2003 budget, as well with the funding of the costs to continue. We have spent a lot of time on the development of the technology plan which lays out for the next five to six years what we would like to do in technology on our campus. We were hoping there would be some funding associated with that.

One of the difficult things we all have is keeping our faculty on the campus. At times it feels we are a training ground for faculty. They get their experience and move on to other states.

Continuing on page 8.

Representative Wentz: (3355) Lets go back to your budget concerns. Do you have any dollar figures for those?

Jim Borkowski: Yes, turn to page 8 we will talk about the cost to continue.

Diane Olson: (4390) Economic Development Office, also the Technology Center Coordinator. What can we do to enhance our technology program and provide services in a small community to attract new business, and also keep our students in the area. We wrote a couple of grants. One grant with USDA rural development. It was a rural business enterprise grant of 199,000 dollars. With that grant we received money to purchase our building, help renovate our building and also set up a revolving loan fund to aid us in new business that would come into the Bottineau area. All grants had to be matched. There was also a 99,000 dollar grant, and it was matched with funds by the city of Bottineau. Another 40,000 dollar grant which helped us with purchase of technology equipment. The funds were all matched by the different services in Bottineau. This is

where partnerships is quite important. This was a nice sum of money, but not enough to renovate an older building that we purchased on main street, which had not been used for about five years. The students at the college, as part of the information technology, have come in and done all our net working. Many times you learn the process in the class room, but never have an opportunity for a hands on. They were given all the equipment and all the materials they needed to net work the building through our local telephone company. The high school trade school students completely remodeled the building for us. We will have about 1800 square feet of the building will be open to public and will be open to new business start ups. This will be given at a low annual rent. They will be able to get themselves established and than move on.

Representative Wentz: We will close this part of the hearing on SB2003.

2001 HOUSE STANDING COMMITTEE MINUTES

BILL/RESOLUTION NO. OMB Overview

House Appropriations Committee
Education and Environment Division

2003

☐ Conference Committee

Hearing Date March 09, 2001

Tape Number	Side A	Side B	Meter #
1 of 2	x		5,925
		Started at 2875	
2 of 2	x		3,140
Committee Clerk Signature	Judy Schock		

Minutes:

Representatives Aarsvold, Boehm, Gulleson, Martinson, Monson, Wald, Wentz.

OMB Overview Celest: (200) See attached 1. Shows you the lines put together preparing the block grants. The numbers on this sheet tie to the yellow sheet that is in the executive budget book. I have taken them and put them in the right order, so you can see how they went into the different block grants. I will walk you through each one.

Representative Martinson: (578) I see an increase of 30,000 dollars for one employee there from one year to another? What are the increases they are proposing?

OMB Celest: I guess I can't tell you off the top of my head.

LC Roxanne: I think we are on two different topics here. The increases that are in that line item are the increases for the University System Council and Human Resources Director. If committee members would like to see specific information regarding those increases, it is on our cherry colored sheet that the Legislative Council prepared for this bill. That would also be in

you book. It is reflected under 2A on the first page. These would be effective January 1, 2002. This came from a study from the Central Personal Agency recommendation from Central Personal.

Representative Monson: (795) Looking on 2A, why was there more money in there than was required? What did the Senate do? Are there any sheets showing what the Senate has done?

LC Roxanne: When OMB prepared the budget it included the funding for the salary equity increases. It may have been only estimates. After the process is over , we know the final salary increases were. So the amount that was included in executive recommendation happened to be 2991.00 more than the required amount. The senate simply took out the 2991.00 that was in excess to the required amount to get these individuals their raises. The last four cherry sheets show statement of purpose and amendment that shows all the Senate changes.

Celest: (908) There have been several of those adjustments that have occurred in a number of budgets. Continue on with the Student Grant Programs. (1060)

Representative Wald: Is the 4 million needed to trigger all of the varies grant programs? Do we have a list of those programs?

Celest: Actually more is needed. That is all that could be afforded in this biennium. You may have received it on Monday, otherwise I am sure our systems or board office can provide listings of the departments that have that funding available. They should also be in your testimony.

Representative Martinson: 1475) Can you break down how much the accountability standards in 2003, the technology part of it literally cost millions. If we eliminate the accountability part of 2003, we eliminate the Roundtable like we have asked for those amendments how much can we save on the technology items?

Celest: I would hate to put a dollar amount on that. I believe the information that is being provided through such items as the data warehouse. They provide a lot of information that has been requested in previous legislative sessions, even without the round table. Some of the programs that are being initiated as part of the state wide system are actually to provide the information required by the federal government. The updates on the accounting systems are because the accounting system for higher education is out dated. It is 27 years old, and doesn't follow the new accounting procedures that are required by the governmental accounting standards board. I would believe very little is actually due to the Roundtable. So to help proceed with the exchange of information higher education has initiated a data warehouse in the current biennium, and they will be able to share more information. There are more factors besides the Roundtable that are influencing the technological advances that are necessary with in the system. If we would want to try and break those out we would need to work with the system office.

Representative Martinson: (1700) The problem is, we get calls from the campuses from their technological people, giving us figures of the cost of things. Than we ask the questions here, or the board, and we get different answers. It is hard to determine who is giving us the straight facts.

Celest: I am just guessing on this, but at times people who are doing the work don't always know why the work is being requested. You would probably want to work with the Board Office on that, or the technology people if you know specifically what items it is they are working on. Continuing on.(1855)

Representative Boehm: (2140) How do we know in the following years that not more money will be requested to keep these programs going.

Celest: That would have to be something the Board typically reports as to who they allocate money to, so we can see how it goes out and what campuses are using it for. What it doesn't do is increase their base budget, because it is not added into their base budget. Continuing on their is about a million dollars more in the salaries because of the teacher salary problem we have.

Representative Monson: (2304) Lets back up to the Equity/Special needs pool. I remember two years ago talking about a special needs pool. Is that somewhere else? Why would it be zero if we appropriated it. I understand you gave it away.

Celest: When there are pooled moneys provided to the system office, by the time this budget is prepared they have allocated those moneys out to the campuses already, so it shows up as a zero here. This is the system office only. It was initially in this budget and as it is given out to the campuses, the appropriation authority of the board office is decreased and campuses appropriation authorities increase and it shows up in the campuses budget.

Representative Gulleson: (2406) Just to follow up on that. We are looking for the appropriated amounts. It is important for us to compare apples and apples. We are looking at appropriated amounts here, and we need to see what we appropriated with them in that last. I thing that information is necessary. We know they are going to zero out, that is the purpose of the pool. We need to see what we did the first time around.

Celest: In future biennium's you would like to see 99-01 original appropriation is that?

Representative Monson: I think not just for future biennium's, I think we need to see that now or in the next few days.

Celest: I can work with Roxanne to help put that together. The next item is the bond payments. Continuing on with Contingency and Board Initiatives.

Representative Monson: (2696) The technology pool was 21,948,467 .

Celest: The technology pool in the bill last biennium and the bill that is in front of you includes the number items and that would include the computer centers at NDSU and UND, IVAN, and ODIN. That is that 25 million dollar figure. I don't know off the top of my head what those equivalent figures are, but we would need to add the 6 million dollars to the IVAN, ODIN and HECN computer centers to come up with the same technology pool.

Representative Monson: The equity and special needs pool is 4,290,128. Last time there was a critical needs pool of 2,630,992, but these numbers aren't going to do us any good when we have, I mean we aren't comparing apples to apples even when we get this.

Celest: The equity and special needs pool last time was only for equity. This time it includes the compensation package, and all the increases that are for the campuses.

Representative Martinson: (2880) The 19,000,000 than you say, that includes all the salary adjustments for all of the campuses. Is that broken down by campus? I guess I don't understand is if it is prepared by campus why don't we just appropriate it to them the campuses?

Celest: I have numbers upstairs. Council has that to prepare there bill for you. The Governor, in preparing the executive recommendation, wanted to give the board the flexibility to appropriate that as it is needed. As they look at the Pier Institution Funding, some of their models, there maybe campuses who are in fact very equivalent to their piers or at a much higher level than other campuses. There may be circumstances the board would not want to distribute that in the exact methodology that it has been calculated at this point. That was logic for pooling those amounts. The board under this scenario has the flexibility to put the money where it is needed. This would give them that operating flexibility to do what they believe is in the best interest of higher education across the state.

Representative Wald: (3080) When you talked about Prairie Public. What is that appropriation and how much is it?

Celest: It is now in the information technology department. ITD.

Roxanne: There is no increase in information technology department. No increase for Prairie Public 99-01 to 00-03. The amount is 1,407,513 at the bottom of your sheet.

Representative Monson: (3198) A comment. I have no problem giving the board the flexibility to do this, but I think they should be planning this out ahead of time and saying, this is what we think Minot State needs to get them up to speed. Dickinson State , UND. NDSU and so on, and than you can clump them all together and say this is the total we think needs to be done. We can leave that totally up to them to decide that. But I really think we need to see that at least, and have a break down before hand. Not to be guessing.

Celest: I believe some of that has been done. The reason for the flexibility is to allow the board to adjust for the changing conditions in the state that occurs through out the biennium. As you know the budget request system has prepared about a year ago, and the executive budget was prepared six months ago, and the biennium won't end until June 30, 2003. I think that is why we don't want to say these figures are set in stone.

Representative Aarsvold: (3420) As long as we are sharing opinions, the board as I understand it has always had the flexibility with in institutions to move dollars around on an as need basis, but. we set that appropriation. We have gotten along fairly well with that. Certainly there were circumstances that came along that created problems. Something that was unanticipated, and we have always made provisions for that, in the pools of dollars to address those special concerns. The problem that I have representing an institution. We have the political consequences of what we are doing here in terms of granting the board a lot of authority to move dollars around.

Frankly we feel a bit defenseless as folks who represent those institutions with this new found freedom. I know we talked a lot about trust and accountability. We discussed those things at the Roundtable of which I was on. I certainly concur with the need for those qualities, but again the reservations exist, suspicions aroused, and that is my point of view.

Celest: (3588) You were involved in the Roundtable and if you remember the original bill draft that was proposed by the Roundtable, basically by all these numbers right into the board and to provide some comfort level for fears that people are suffering going through this transitional period. The executive budget was put together a little different and that is why we have the block grants that we do. That is why each of the campuses maintained a base budget. Maybe it may no be enough to make you comfortable but it was an attempt to provide a mid stream point where people could be comfortable and see how it works. If they wanted to go the full way, stay at the mid stream or if they were totally uncomfortable with it. We are trying to ease this transition for everyone as much as possible.

Representative Martinson: (3725) I guess one of the problems I have is, like the old saying is, "he who has the gold makes the rules". I think when you control all the money for all of the salaries and that and if you have an institution who doesn't necessarily agree with what the board is proposing, or what ever, you have the hammer. You will have every campus in line on every issue, whether they agree with it or not I think that in a way takes away flexibility, it doesn't add flexibility to campuses.

Representative Wentz: I too share the reservations that Rep Aarsvold voiced. Last session when I chaired this section for the first time I got the distinct feelings during the hearings that there were certain campuses in the system, that I would refer to as step children. I am also concerned with the power that is concentrated in the board office and I don't know what kind of

accountability we can get from them. I also agree with what Bob says, when you give that much power and money to one entity they will pretty much be able to control everything that we here as Legislators, and the reports that we get back. That concerns me. I don't feel now there is the freedom to speak freely and clearly to us as Legislators on how they feel on things. I think they have a script and a party line, and that is what they follow. I think that can only get worse.

Celest: I will try and cover the rest of this briefly. Continue on with 2 attachment, optional adjustments summary.

There was discussion with Roxanne on what amendments they may or may not want and what is the cost to continue and where the dollars are.

Celest: (4515) The cost to continue as identified by higher education, and I probably should elaborate on this because different agencies identify it a little differently. What they project is needed to maintain the salary increase that was provided this biennium, for minimal increase of utilities and funding to keep what they consider their campuses whole as they are today. That is what they call their costs to continue.

Representative Martinson: Lets just say, that we pass SB2003 and didn't make a change, just exactly as is. Would that money be there? When we talked yesterday, we did want those tuition dollars to be included.

Celest: The way that it is in SB2003 the way the Governor built the budget and the Senate approved it, was that the campuses would be required to fund those items with tuition dollars. So tuition dollars are not included in SB2003 as it exists today those dollars are not included within the bill as it exists today.

Representative Martinson: So the money would be there. Right? If the tuition dollars are included.

Celest: If the tuition dollars are adequate. I would like to kind of touch for one second, and we can come back for the rest of these schedules later.

Representative Martinson: I think that is the problem with this whole bill we are going through. We just have so many questions and it is tough getting answers.

Representative Wald: I agree with Rep Martinson that this is kind of a moving target because if enrollment drops tuition dollars obviously are not going to be there, and some campuses there is an increasing enrollment and therefore an increase in tuition dollars. How do we sit here and appropriate on guess?

Representative Monson: Going beyond that than what happens if what Rep Wald just says, lets say Dickinson all of a sudden is down 100 students they weren't counting on? So if there is this short fall, the board, with the flex abilities they supposedly have, would say all right, Dickinson's way down, we will take money from another source, and we will make sure they have enough to pay these increased salaries that we think they need to have adjusted.

Celest: The optional package has the tuition increase built in, but that scenario has always existed. If you remember a number of biennium's there have been a number of campuses that have come in and said they were unable to meet there revenue projections internally they had to reallocate. They had less student, less tuition income and had to readjust their budgets internally. It is part of the operations of their business. I hate to sit and speculate on what the board may or may not do, but I would think the board would look at how Dickinson has dealt with that reduction in tuition income. When you have a reduced number of students, you probably have some reduced classes. They have to do some internal reallocations and yes, the board may decide to help them with one of their pools. That has occurred in the past, but they don't normally pick up the whole amount. The agency or the campus has to self help also.

Representative Wald: (5015) Would they tap into that equity special needs pool than?

Celest: I guess I don't want to speculate on what the decision the board would make. They may decide that that equity special needs pool would be appropriate to use for that kind of scenario. It would depend entirely on what the situation is on the University System on a whole.

Representative Wentz: The equity and special needs pool is designed for that.

Representative Wald: Again, it seem to me, we aren't being very scientific around here. In years past we knew exactly where dollar one and dollar two, what column for what purpose. Now there are so many moving targets out here, that I can't figure out who gets what. That is the frustration I share and experience.

Celest: We have had those problems in the past also because there have been a number of colleges that have not been able to make their tuition income that was put into the budget by the Legislature, and they have had to internally make allocations. If you remember Minot, two years ago had substantial short fall and they had to deal with it with in their operating budget. This is something that has occurred over time. This is not something that is happening because of the new methodology that you are looking at.

Representative Wald: Again it may be isolated but I have been on budget tour and we hear things like the roof leaks or the floor is warped, and we say gosh, we gave you some money. Well we used it for salaries. That was not what it was intended for.

Representative Monson: If we don't know how much they got for that, what ever the purpose was. In the past they would say, we really have a short fall, we tried to suck up as much of this as we can, but we still have a short fall. They come to the budget section and ask for emergency appropriation for what ever might have happened. Generally we will give it to them, if it is an extenuating circumstance that is beyond their control. In this scenario they would have a short

fall they would go to the Higher Education Board? Is that how it would work? If the Higher Board doesn't give them the money, what are their options? Do they come to the budget section than and try to piece together what happened, and why the Higher Education Board didn't give it to them?

Celest: (5438) Back to Rep Wald's scenario, there are still two lines in the budget operations, and capital assets. Capital assets would include the extra ordinary repairs and capital improvements. So I think that you have a level of comfort there and that, that money has been specifically identified for maintaining the assets of the state, and or building new ones. The operating budget is separate.

Representative Martinson: I think there are some really fuzzy figures out there on enrollments. I think schools are counting what ever. By allowing them to keep their tuition. They can't count dollars that aren't there. One of the reasons the college Presidents seem to want this is because they get to keep their tuition. We only had one President that actually said there will be a problem if our enrollment doesn't grow. So they are all assuming that enrollment are going to grow. What seems to me and Rep Wald's scenario, if Dickinson State does loose students, I can't see that the board is going to go to the University of ND and than ask for some of their tuition money back. So where is the pile of money that the board is going to have to help Dickinson?

Celest: I don't want to presume that the board would be assisting them. I think the board would ask them to internally reallocate as they have done in the past biennium whenever there is a short fall, and what ever the tuition numbers don't materialize. I think the board is looking at initiatives try and improve to try and improve that tuition income, and help the campuses grow their numbers. Yes, they may be optimistic in their numbers, but that has happened in previous

biennium's when those numbers were appropriated. Guessing tuition is always going to be an inexact science, kind of like our revenue estimates. I think if we get with in a certain percentage we will feel comfortable that we have done as good a job as we can. You can't always predict what is going to occur. That is how the managers at these campuses can manage their own budgets with using all the funds available to them.

(2900) Continuing on with adjustments summary, NDSU. I just wanted to point out special funds. You will notice how much further the tuition increase goes at NDSU and the same will be true at UND. Bigger school, more students, those kinds of things. It goes way beyond the cost to continue into the institutional innovation.

Representative Monson: What do they mean exactly by restoration and reinvestment? Why do they list it three times?

Celest: If you remember the last few biennium's , Schafer budget proposals have required agencies to come in like 100 percent of their hold even budget. The cost to continue and what University Systems say in order to keep the salaries increases to fund utilities and things, they have to cut some of their existing budget. Those first three items are items that they have cut or have not bee able to increase because of having to absorb the inflationary adjustments with in their hold even budget. So they are asking that money be put back into their budget. What they are really asking is for you to fund the inflation and the cost to continue the salary increase with in their budget to hold them harmless from inflation. If you look under reporting level, you will see the first one is 100. Their first priority was to restore the cuts that were made in instruction. 100 is instruction, 200 is support and 300 is physical plant. The system says for each level that you are going to restore money in, you have to make a sperate priority. That is why it is in here multiple times. On with the last two handouts. (3245) The number 3 handout was prepared by

the board office. On top first line 99-01 adj. GF Approp, that is the amount that general fund dollars that are in SAMIS as the day they start preparing their budget requests to OMB. That would include legislative appropriations plus those amounts that are distributed from the board office budget.

Representative Monson: Is this kind of what we were asking about. Are the negative numbers, are they indicating that it has been taken out of their budget, but that is about what was appropriated to them or given to them?

Celest: The 99-01 One time, in the number of budgets last time there were adjustments for one time funding for equipment dollars to help them become more up to date technologically and help their programs. See attached

Representative Wald: In case of DSU under general fund carry over 737,605, was that tuition money?

Celest: That would have been their general fund dollars that they carried over from the previous biennium. Money that was not spent in 97-99, so they have that authority to carry that money over. Since they had additional tuition dollars they probably didn't need to spend the general fund, and that is why their carry over is that high. Continuing on.

Representative Monson: (4920) On the top you have a one time infrastructure, and you also have capital projects. What is the difference between a one time expenditure and a capital project?

Celest: They are about the same. On top I am showing what we took out of budgets to determine what their hold even amount is. As in 2003-2005 budget requests if we were going to determine a hold even amount for lets say the State School of Science, we would again take out the 2,110,000 cause capital projects are one time funding. On top as we were taking them out we

just identified them as one time. Capital projects are generally one time items. It is all in a name kind of deal.

Representative Wald: (5200) Why would only UND and NDSU be impacted.

Celest: The dollars for IVAN and ODIN are in the UND campus budget, and that is why only UND is effected. The HECN computer center, and the local NDSU, UND computer centers, basically house all the administrative and student support services of the System. The other campuses do not have huge infrastructure for the computer centers. The accounting systems, students records, all that are at NDSU and UND. Because they are so intertwined with the campus systems it is hard to pull those two apart, so in the previous biennium, when the Legislature merged the technology pool, this consistent the way you did it two years ago. I need to clarify this is based upon the executive recommendation that was in the original Schafer budget bill. So financial aid increase of 1.3 does not include the 800,000 that was added into the Hoeven budget. EPSCoR increase of 1.5 also does not include the additional funding that was added in the Hoeven budget. Continuing on down.

Representative Wald: (5620) Going back to WICHE, the 46,000 item, is that dues only?

Celest: It is my understanding that the WICHE and the MHEC increases are for dues only. Now referring the 3 and 4 hand out how they go together. Look on the top line of 3, under BSC it says 15,347,641 is their general fund Under BSC on 4 attachment, general fund on the bottom, you will see 15,347,641, the same dollar amounts. If you go to the bottom where it says total per executive recommendation for BSC, attachment 3, it says 15,017,975. If you look at it also says 15,017,975 under BSC Executive Recommendation. I want you to understand that I am kind of giving you two different ways of looking at the BSC Executive Recommendation.

The schedule 4 attachment shows you by budget object code. How those numbers change.

Representative Martinson: (115) The salary money is in there but, it is the increase that is pooled?

Celest: So their base budgets are basically in the campuses yet. It is the increases that are pooled.

Representative Aarsvold: What about subsequent biennium, do we move than this salary number up to reflect what was dispersed last, the previous biennium? Is the base reestablished than and than the pool added to that? We would biannually re-base that salary amount

Celest: It is my understanding that the dollars that would be continued to stay at BSC which would be the salary increase dollars that are received from the board, would be in that amount in the future. That of course will depend on how you pass this bill during this session. Yes you would biannually.

Representative Martinson: (315) What is the logic for putting all the increase money in the board? Does each president than come in and say here is my list of employees, you Board of Higher Education, you determine who gets the raise? That doesn't make any sense.

Celest: I hate to presume as to how the board will function, but I am assuming they will basically allocate this very close to the amount that was determined by campus. As we were trying to put together the executive budget, we were trying to find some kind of a medium ground between the original Roundtable and the way it exists today. So pooling the salary increases was one of those items that was determined to be a mechanism that would be done.

Laura Glatt: (435) With regards to salaries, there are two different answers. The compensation package , which is the three and the two percent. Given that it is a fairly limited compensation package, there probably won't be a lot of flexibility in the allocation of that. You should be aware of this. We started working with the campuses already on criteria for allocation for all of

these pools. We don't want that to sound premature but part of the problem is you leave town mid April, we have to start establishing and allocating money in late April, early May for campuses to start preparing their annual budgets. We have already started consulting with the campuses, the vice presidents for finance and the cabinet on what criteria we would use as a system to allocate these dollars and these block grants. If they pass we have a process in place where the campuses have been consulted. We are considering to date is that the compensation packages the 3 and 2 percent would go back as OMB calculated. The other million that is in for salary equity, what we have discussed to date is the salary differential at each campus between the ND campus and their regional piers. So at UND for example that faculty are paid about 30 percent less than their regional piers. What we have historically have done is used those numbers to allocate the flexible salary increases. Those campus that have a bigger salary problem get more of the pool. It is based upon how far you are away from your market. The purpose here is to get around closer to market. The purpose here is to get around closer to market. So if you are close to market we don't want to distribute the money evenly. We use that market data to allocate the flexible salary dollars we have. In this case that would be the million.

Representative Aarsvold: (655) The pier companion we have developed for these institutions, are we using this to distribute the million?

Laura Glatt: The short term answer is the million I just described for salaries would allocate based upon piers is the regional market for each type of institution. That is a separate and different set of group of piers than we are establishing now by campus, because those are really national piers. Long term though, if you look at the philosophy of the Roundtable report essentially says that you identify a set of pier compare each campus. It is a unique set for every campus in the University System as based upon enrollment size, mission, program, and degree

type. Once you identified that set of piers, what you compare than is the average funding for your set of piers compared to the ND Institution. Once an institution is funded at where their piers campuses are than they should be able to run that institution within those dollars allocated. That doesn't mean you get salary increase dollars on top that. What the institution does is they have complete flexibility, it becomes a management decision whether or not they hire more people or give bigger salary increases.

Representative Monson: (890) When you look at the varies states around us, do you look at some of the fringes, or is this strictly salary? Do you look at some of the other perks that maybe we give that they don't? Maybe even education level. Lets take the Chancellor Iaask for example. I don't believe he has a Doctorate degree, so we are looking at other Chancellors in other regional states, do we look and say, our Chancellor is under paid compared to these others. What are the education levels from other states Chancellor's.

Laura Glatt: We do look at comparisons on benefits, but in the salary report there is a comparison on benefits. What the data essentially suggests is we are about average on benefits. We find benefits become the real issue, what used to be classified staff level. When you get to be in the administrative or faculty level, those issues aren't quite as drastic on a personal bases. Once the money gets to the campuses they take a more detailed look by department, by employees and allocate those dollars.

Representative Martinson: Do you use that some kind of pier ranking and logical thinking when you recommend increases for the presidents to the board?

Laura Glatt: I am not a part of presidential salary increases. That is between the board and the Chancellor.

Representative Wald: The Senate engrossed bill, the bottom line of general fund is 74,404,595, but when I go down to the bottom line under the Systems Office, it is 74,048,686. Why would we have a difference on the engrossed bill and this spread sheet?

Celest: See attached spread sheet number 5.

Roxanne L.C.: Large sheet is the Schafer executive budget. The Senate did make changes to the University System Office budget therefore that is why the two engrossed reflects those Senate changes. That would make the difference between the two amounts.

Celest: Continuing with attachment numbered 4.

Representative Martinson: Some concern I hear from faculty, and an implication, if the tuition dollars aren't there , the first hit the campuses will take is the increase in salaries? Than doesn't the reverse logic work that if the tuition dollars are not there, than the salary increase dollars would not be there?

Celest: I didn't mean to imply that. For ease of budget preparation we always ask the campuses to use the tuition money to pay faculty instructional salaries so it is done consistently for the budget preparation. That is a budget tool. The salary increase dollars are separate. The salary increases are 100 percent general funded. This is the base budget. The salary increases are all provided with general fund dollars. We don't use tuition dollars to fund the salary increases in the executive recommendation. I will skip down to capital improvements and continue.

Representative Monson: Are all the capital improvements that were in 99-01 general fund money too, or were they some bonding things. There are some huge decreases in nearly every one of these.

Celest: On the big sheet again, there are a number of items on campuses that were major capital projects that were one time funding. The campuses that show special fund dollars under the

executive recommendation, those are always going to be related to capital improvements that are being funded with local moneys, because the executive recommendation took out tuition and all the other local revenues and left in those dollars associated with capital projects.

Laura Glatt: unable to hear her.??

Representative Wald: (2340) Had you added a third column called engrossed bill 2003, we would basically have this same information. Correct? I was under the impression last biennium there was no insurance premium levied by the risk management fund. Why do you show 53,000 from Bismarck State?

Celest: There is other insurance the campus may be paying.

Representative Monson: Some for UND and NDSU are not all local funds either? They might be FEMA? That would be considered local?

Celest: I am not sure there is FEMA money included in any of the project that were in the executive budget recommendation.

Representative Aarsvold: (2695) Looking at the salary line item, all of those are positive numbers going from the current biennium to the execute recommendation except for State college of Science. It is a rather significant drop about a half a million dollars. Why is that inconsistent with the rest of the institutions?

Celest: One item I know of would be some of those temporary dollars that we had in last biennium to finish those payments to teachers for programs that are no longer being continued. It may also reflect a management decision to make some decisions as to where they would be spending their money in the next biennium.

Representative Wentz: We will adjourn for today.

2001 HOUSE STANDING COMMITTEE MINUTES

BILL/RESOLUTION NO. Committee Work

House Appropriations Committee
Education and Environment Division

☐ Conference Committee

Hearing Date March 12, 2001

Tape Number	Side A	Side B	Meter #
1 of 1		Starting at 1235	5,420
Committee Clerk Signature	Judy Schaefer		

Minutes:

Representatives Aarsvold, Boehm, Gulleson, Martinson, Monson, Wald, Wentz.

Representative Wentz: I am going to appoint sub committees first 2021 the Ag Research bill I am going to appoint Rep Boehm, Rep Wald and Rep Aarsvold. I also wanted us to talk about Job Service and Administrative Hearing.

Representative Wald: On Job Service I think the only question is, since it is all federal and employer premiums is the amount of money we are going to put into the training area. This is the only issue, and needing to know from leadership how much we need to start trimming.

Representative Aarsvold: My concern on the Administrative Hearing is them not being responsible for the interest portion. I do understand they are not able to assess those interest cost to federal programs that they work with, but drops it back in the laps of the general fund. I would think they should be able to stand their own operating expenses. Rep Wald shares the

same concerns. Chairman Wentz asks the committee if they would like to hold it for a while or make a motion or act on it or any other comments.

Representative Martinson: On the work force money, is that general fund money? And How much was there?

Representative Wald: It was 2,000,234 and Hoeven added another quarter of a million.

Representative Martinson: Than we have work force money in the Universities, and I understand in Bismarck they really go begging to spend that money to convince the employers to do the training. We have really gotten high on that work force training.

Representative Wald: I think we could move it out of here, as a suggestion at about a million and a quarter. I think that would be ample considering there is money in other budgets. The work force training money and Job Service budget. That is not very scientific, but if you look at what we gave them last year I think that is where we ended up at.

Representative Martinson: I would second that.

Representative Monson: In light of the fact that we are funding them through the colleges too. I don't see any thing wrong with keeping them where they were at least in this budget. I would rather put it through the colleges than through here. That is my opinion.

Representative Martinson: I would hope we take some from the schools too.

Representative Wald: I would move that we remove 1 million dollars on the job service budget for job training. The engrossed bill is 2,250, 356. My motion would be to take out 1 million.

Representative Wentz: You seconded it Bob? Any discussion. That seems a bit harsh to me.

Representative Aarsvold: I guess I have a little reservation about the motion in light of us not knowing what the dollars are in the 2003 for that same effort. I would like to see a bottom line number before I voted on this motion.

Representative Wald: I can appreciate his concern and I would share that, except I think what ever movement we make on this Job Service budget, would give us some guidance on how we operate on 2003. I am going back in the budget book, and last year it was 1,250,000 in the Job Service. They should not complain they are getting a 356.00 increase. (chuckles were heard) That is money after all. We will obviously have input from the full committee when we waltz this one out for their consideration. Maybe waltz it out was a poor choice of words.

Representative Wentz: In the testimony didn't they tell us once they were out of money the first year? Yes, 6 months. If the colleges on the other hand are begging people to take advantage of their programs and that is something Rep Martinson says he has heard. It would than seem as if we have the money in the wrong place. This is where we should have more money and less on the other end. We could take a vote on that motion. It just seems harsh to me.

Representative Wald: If you want to wait, I can withdraw my motion. Can we temporarily skip the 2003 and see what is in there?

Representative Wentz: I looked there and I didn't see anything. It is not going to be easy to find it in that bill. It probably is somewhere in the individual campuses budgets under operations.

Representative Aarsvold: The higher Ed binder has something in it. Under section 11 in the resource guide book. Section 11 page 3.7 has some budget information. Specifically dealing with work force development. It appears this could be through the C Tech activity. The four regional campuses, the two year campuses set this up.

Representative Wald: I think if we are going to spend money for work force development, I think the campuses would do a better job than Job Service.

Representative Wentz: I would like to find out more on that, so I think I will ask you to withdraw that motion until we find out exactly what is in the campus budgets. Is that all right

with you? How about the Administrative Hearings budget? Their budget is about 54,724 less this biennium. Who is going to make a decision? Lets talk a little about 2003, the Higher Ed budget. During the hearing I asked the gentleman who over sees the EPSCoR project for information on how much of that research money had gone to their institutions, other that UND and NDSU. I have gotten several faxes from him. I did a quick addition in my head and it is probably between 270-300,000 from 92-2001 that went out to their institutions in the state. He did not include the total EPSCoR appropriations for those years. I think last year it was about 2 million. This year they want to up it to four. So there isn't much that is going out to the rest of the schools and that is what I wanted to find out. How do you think we should handle the 2003 budget? Would you like to work on it in sub committee as we have done it in other sessions? I am thinking we need to make some kind of a determination of the committee what we want to do with that budget. If we leave the line items that go out to the campuses as they are in 2003 with only two lines in them there isn't a whole lot we can do in sub committee's.

Representative Wald: I do appreciate Rep Martinson motion last week to break the budget down and historic as we know it format, which we got this morning. I had a conversation with one we all know Earl Strinden over the week end of another matter. His suggestion maybe a two year sunset so that the higher Ed people understand that we are looking over their shoulder and that we do have accountability, and we do get feed back from the college presidents hoping that no one gets starved out and the two big ones get the lions share.

Representative Wentz: I do think it is a train we are not going to be able to stop entirely but, I still would like to see us look at the System Office budget. I am not opposed for keeping the two line items for the campuses and allowing them that flexibility and keeping their tuition. I am concerned about the huge dollars we have put into the system office and the amount of control

and power we have given them. I wonder as the House of Representatives we couldn't make a difference knowing what the sentiment is from a lot of our members.

Representative Martinson: I talked to the same person who told me one of the main inputs behind this close Mayville and Bottineau and make Dickinson and Valley City 2 year schools. Also that the accountability standards are so tough that those colleges will not be able to meet them. So it will give the board what they need to make the decisions.

Representative Wald: I think the constitution says there shall be a four year school at Valley City and Mayville.

Representative Martinson: I don't think it says four years.

Representative Wentz: How do the rest of you feel about the bill.

Representative Boehm: (3106) I am worried about the Chancellor's office with all that extra money, the pool money. I think we should delegate some of that to each college rather than give them the option to do what they want. I am worried about some of the smaller colleges getting their fair share. I know the Chancellor says everyone is on board but probably monetarily.

Representative Monson: I am kind of leaning toward what Rep Wald was saying. Maybe we can do a sunset of two years, see how it works. I also like the idea you said we should really go through that budget a little higher. There is a lot of money and a lot of flexibility. Maybe too much flexibility and not much accountability. I am thinking that two years is not that much can happen that we can't pull back in the reigns if we have to

Representative Aarsvold: (3250) I voice my concerns last week, we are certainly conceding an immense amount of responsibility and authority by listening to the details of the Roundtable. I was a part of the Roundtable there are a lot of things there that are good. It is an issue of trust I think, and accountability, and I have concerns about that because I think the trust has been

breached in the past and I see no reason it may not be breached in the future in light of limitations and accountability. So those are the concerns I have.

Representative Wentz: I have heard there are some people out there that are giving them this authority, some of the Senators have said . They better not make any mistakes. So they are almost giving them the authority looking for them to fail so they can come down hard on them, on the campuses around the system. I guess that is not our concern if give them what they are asking for.

Representative Gulleson: I am wondering if there is some more comfortable middle ground here, where we do give the flexibility to the campuses so they can meet the situations as they arrive. Yet I think the preference for so many of us is to keep a little more control over the System office. As Rep Aarsvold expressed not really concede the role of the legislature in determining the dollars that go out to those campuses. I don't know how we can word all of this to come to some kind of middle ground, but I don't think it has to be all or nothing. I would hope not.

Representative Wald: One of the questions I have. Who really runs the Higher Ed System? The Chancellor or the Board? Looking at the board there are only about two people who assert themselves, as I get the story and read it in the papers etc., who fully understand and take the time to do some in-depth study about not only what is suggested by the Chancellor and college Presidents. If that is the case, than maybe the legislature has to assume a more serious role in how the money is spent and where. I agree with Pam, I don't know what that middle ground would be. I know that some of these college president s are nervous. Some of these audits we get there is some serious miscalculations and misappropriations where the money should have been spent. The more leigh way you give the more of that you are going to have. What's the answer I don't know.

Representative Wentz: I don't know either, but a sunset may be one place to began.

Representative Martinson: I think it was a matter of having Roundtable's interim committee's, we wouldn't need to meet. Just because 61 people got together you look up the makeup to the 61 people, as Rex said the other day in committee is there really any doubt on what is going to happen. Of course everyone is in line. The presidents are paid by the board, you look at the raises they get and how. I think that is questionable too, when you pay Dr. Chaffee and Mayville and Valley City got the lowest salary increase of anybody. DR Thigpen gets the same amount of money as the President of Minot State. It is hard to figure how they come up with these things, other than to keep people in line.

Representative Wentz: I would like more information on their evaluation process, and what criteria they use, if any. If any objectivity at all. I suppose that is a question we could ask.

Representative Wald: The other day you passed out a sheet with salaries and I don't begrudge people of that, but when Laura Glatt makes as much as the President of Dickinson State, I am wondering if that is realistic.

Representative Wentz: One of their employees gets a 30,000 increase in one year. You wonder about that too.

Representative Martinson: Just for our Republican caucus, I think they expect us to look into this a little bit rather than just pass it off. There were two people that thought we should agree with the Roundtable. The rest said no, we want to change it. I think we owe it to at least our caucuses if not the people that elected us to dove into this a little bit.

Representative Aarsvold: If I could speak for the minority, I think there is a similar sense on our side. We do cover the gambit in terms our opinions of the Roundtable, but I think there is a majority that want us to explore and come up with alternatives at least consider it.

Representative Wentz: Are all of you sufficiently interested in this we should try it as a section or would you be okay with a sub committee to work on it? If we did it in sub section we would no need some people on each campus because it seems to me what I am hearing it is the system office we are more interested in looking at. We have the amendment that breaks it out for the campuses, it is just the pool dollars.

Representative Monson: Maybe a sub committee to work on the budget for higher Ed office in greater detail. We could look at these pools of money in the Roundtable discussion etc.

Representative Wentz: I have not spent a lot of time looking at the accountability measures that are in there, and how many pertain to the board office, or are they all directed at the campuses.

Representative Martinson: They are the campuses. That is the pressure point. You have heard a couple of the presidents say they thought they were pretty stringent. I would go along with doing it as a whole committee. I think we should get going on it.

Representative Wald: To shift a little 2021 research. The criticism that I hear from not only farmers but from station managers is that last week they were given 2 minutes. There were people that drove in from Langdon, Minot, Dickinson and where all. They were not given time to tell their story. I realize we have time constraints here, but I think they were almost told by Fargo to keep it short and best you not say anything. I have the same exact concern in extension and research as I do with higher Ed in general.

Representative Wentz: you are on that sub committee so make some changes. I think we will work as a section on 2003, but to get some direction, I am going to appoint a sub committee to began digging into the system office. I think we will spin our wheels too long as a complete section if we don't have some preliminary work done. I will put myself, Rep Martinson and Rep Aarsvold. Lets put Pam on 2021 instead of Olie on both.

Representative Wald: Would it be appropriate to have a sub committee check out this work force development, as it relates to both Job Service and 2003. I am looking at my friend Monson, he understands Vo Ed and Gulleson would like too.

Representative Wentz: Any thing else to get these bill going and out? If not we adjourn.

2001 HOUSE STANDING COMMITTEE MINUTES

BILL/RESOLUTION NO. Committee Work on Higher Ed.

House Appropriations Committee
Education and Environment Division

☐ Conference Committee

Hearing Date March 14, 2001

Tape Number	Side A	Side B	Meter #
1 of 1	X	X	1,700
Committee Clerk Signature	Judy Schuck		

Minutes:

Representatives Aarsvold, Boehm, Gulleson, Martinson, Monson, Wald, Wentz.

Representative Wentz: We are going to start working on the higher education budget. One of the questions that has come up several times is work force training dollars. How much money is actually in each one of those budgets.

Ed Dunn: The specifics in terms of the amount of money in higher education's budget for work force training is actually the funding that is in Voch Ed. When the work force training initiative was funded during the 1999 legislative session. They put the work force training dollars that are to go to the campuses assigned primary responsibility with in the University System in Voch Ed budget. Once submitted and approved the funds are released to the campuses. In the 99 session there was a total of 875,000 for start up fees. In this budget there is 1.35 million in the budget for the second biennium. Those dollars are included in the report Dale Anderson handed out

yesterday. (The year end report on implementation of work force.) The budget page is page 9. See attached.

Representative Wald: (415) On page 9 where it says totals. Am I to assume the appropriation for work force training is going to be about 5.1 something million total?

Ed Dunn: For the biennium, yes. This is just for Higher Ed's responsibility in doing the work force training that was put in place by HB1433 during 99 session.

Representative Wald: I am talking about 2002 and 03. The other is history.

Ed Dunn: The state funds for that are in the section of it that is refereed to as state funds account just above. Look down at the total for the last biennium it was a total of 875 and to the extreme line for this biennium, it would be 1.3 of state general funds. The other column you looking at further down 2.5 and 2.6. That is the total revenue that would come in from the fees that would be generated from training that business would pay, and what campuses anticipate to raise from the local development groups etc. There are no federal dollars involved.

Representative Martinson: (595) We have about three different work forces. How does this work? I am interested in how much is solicited by the colleges and how much comes to the colleges. When we deal with an area of full employment, a lot of these companies that are coming to ND are telemarketing companies. It doesn't seem to me that it takes a lot of internal training, but I am not so sure that BSC would need to conduct those courses. Tell me what the program does and how it works.

Ed Dunn: I have been told in some cases if not in many cases, are going out asking businesses to please participate in the program, or what can we do for you verses the business coming to the University. The full description is laid out in the plan that was put together by the task force and work force training prior to the 99 session. In this document it makes a couple of distinctions.

One it differentiates between what is work force development and what is work force training. Basically the distinction is work force training is contracting with the business themselves to do training for them and their employees. Work force development is basically the broad all the way from K-12 to life long learning. They divide up into geographic regions with specific responsibilities for seeing the training is met in those regions. They decided 4 regions was appropriate for ND. They said the community colleges were the most appropriate for doing it. They are responsible for making sure it happens. The campuses themselves have to create a separate unit on their campus specifically designed to serve business and industry. In the process the model than requires individuals who meet with and work with business to identify their specific needs. Than put together training programs including curriculums that meet those. You are right in a sense that more and more their are companies coming right to the campus.

Representative Martinson: Could you bring us a fairly detailed break down of where the schools have spent their money over the last two years. What business they have trained and how many employees. Whether that business came to the college or whether the business sought out the college. I think it makes a big difference whether the school is going out and saying, we have to go out and spend this money. We have this program, we need these employees. Soliciting business verses the business coming to the college and asking. I have heard, and this is locally, it is more the school going out and trying to find places that they can help rather than the business coming to them.

Ed Dunn: I can do that and in two parts. All campuses are to provide a year end report and the other I need to get from the campuses. We don't know if the business came to them or the college went to the business.

Representative Wald: (1185) I added the 2.5 and the 2.6 and it is 5,202,251 dollars. I than subtracted the State money the 1,000,350, so that leaves 3,852,000 dollars. Of that 3.8 million dollars, how much of that is where they pay tuition where the business pay to have these business trained. How much is from other sources what ever it might be? Give me a break down of what comprises that 3.8 million dollars?

Ed Dunn: Unless my computer got a defect in it. That should come right off of all of the numbers above.

Representative Wald: Training revenue is what they generate by campus. So it is a million 2 and a million 3 for the biennium. Right? What do you mean by region funds? Some of these are soft dollars, is that correct?

Ed Dunn: Yes. When the task force was putting this together they recognized that their would probably not be enough state funds to totally support this. So they asked the campuses to get commitments from business industry, local development corporations to help and also provide some funding. Yes they are soft dollars. This is what we are hoping will come in.

Representative Gulleson: (1445) The first two columns there, that has been raised. Where it says actual that has been raised to this point. The projected ones going out 2001-2002 is estimated, but the rest is hard cash.

Representative Wald: Okay. Now I want to back up to Rep Martinson's question. Are we going out looking for customers or are they coming to us? I am beginning to get more comments at home when very successful business who are expanding and hiring employees get this kind of, and at the last election it termed corporate welfare. I am wondering if the day has come if we have to say to these employers, look you are growing, your profitable, why does a tax payer have

obligation to train your employees? I trained my own employees. Maybe this is their own responsibility.

Ed Dunn: (1600) I believe that that issue you are zeroing in on is at the heart of what caused the task force to be formed in the first place. The report you have lists the people who served on that. I think if you brought in every developer in the State and ask them a question, they would clearly say that work force training is the single most important factor that they face in terms of helping their business in their communities remain relevant, remain competitive and in fact that is one of the goals in the report to help ND business to have the kind of training available to them so they can remain competitive. The trend is more toward that rather than away for a number of reasons. The changes are rapid and they need to be updated. They are saying why do we have to send our people away on planes or bring people in to train our people. Only the larger companies or business could afford to do that so many of our smaller business simply don't have that option. With the work force system all business of any size may participate. That is a question Rep Wald I encourage you and anyone to ask the business that helped put this together and those supporting it and particularly the local development folks.

Representative Martinson: (1860) Give us 2 or 3 specifics of companies and colleges that helped them and the training they got and numbers.

Ed Dunn: I am not the best to do that. Starting with TMI, they were very interested in getting training in their place of business where they were not able to get it before. As a result of this they were able to get it on site for their employees etc. (Ed goes into other programs) Rep. Martinson after some time interrupts asking for some specifics. Ed than says he would ask each of the training providers to provide a list.

Representative Wentz: (2100) One thing bothering me is, isn't this why we funded these 2 year schools? Isn't this a part of their mission. I am puzzled why we need a special work force training program when I thought the two year schools in particular were in place.

Ed Dunn: the 2 year colleges basically have three essential reasons for being. They serve in terms of the first two years for people who want to go on. For those who only want a two year degree. The third part is what has been the community service. Serving, meeting the needs of the community in which they are. More recently one of the major components has come out of that community service has been the work force training piece. What we have found, that kind of training is too costly for the campuses to totally support it with the funds they receive. They get support to the tune of somewhere between 20-25 percent.

Representative Aarsvold: (2325) In providing the training that is requested, what part of that would be considered class work that is typically in the catalog, shall we say, as opposed to that is really customized to provide a particular skill to meet that request that business might have. Why would the campus not, if in fact at regular offering met that need, why would they not provide that as opposed to accustom program?

Ed Dunn: Basically this doesn't include any that is in the class room. It is intended to provide training for business, It is a contract with the business to train their employees as opposed to some one taking a class that would be work force development. Your other question, they do. It is just what is not included in here and what these dollars are used for. These dollars are intended to meet the needs of business and industry for training.

Representative Wald: That is not solely correct, because in Dickinson, TMI, that they were instrumental in convincing Dickinson State they should this CAD, or what ever it is called, they need to design the cabinetry that they make etc. That is a class room kind of program.

Ed Dunn: Again it would depend on how and where it was provided. (2535) He goes on to explain. I would have to say these lines are not always that nice and clean. It gets blurred. (again explains)

Representative: (2639) If you could redesign this vocational education in its broadest sense, with what looks to me, spread out, and too many people managing. How could we consolidate this into one or two agencies so we don't have all this over lapping of vocational training dollars. I don't think we are getting enough bang for the buck. There has got to be a better system.

Ed Dunn: In 1995 a legislative sub committee spent a lot of time with all of us. As a result of that one, the out come was, even for the work force training dollars we have to put that through voc-ed, so that it was to encourage the coordination. We haven't spent a lot of time thinking on restructure. We are committed to being good strong trusting partners with them as we work in this area.

Representative Martinson: Along that line, there is some talk we should take all the work force dollars and put them into one location. What is the advantage of having them in four different budgets or agencies?

Ed Dunn: I think the heart of your question goes back to what the core purpose is, and the entities. Higher Ed's roll is to provide the training. Work force 2000, those dollars are available to business, not to the campuses.

Representative Martinson: So it is conceivable that work force 2000 is giving the money to the company to pay the school. So it is totally free. In effect they really aren't paying any thing for the training. Not out of their own pocket anyway.

Ed Dunn: (3086) I don't know of any case where work force 2000 pays the total bill. You would have to ask the Job Service people on that.

Representative Wald: What kind of training do they do at Job Service that you couldn't do or voc-ed couldn't do. I am a displaced farmer, as many are. There was also a time when oil field workers were displaced. So they go to the unemployment office and say I am going to draw unemployment. End of discussion. We start talking we need to retrain you for a new profession. At that point where do they send them to get this training that fits that persons ability back ground etc.

Ed Dunn: We had our very clear conversations with Job Service and they will tell you they do not provide training. They provide funding for business so they can obtain training. We provide the training. Job Service has a data base that allows them to identify who can provide the kind of training they need. A very large part of that expense in Higher Education, and private sector people. They are a reference will send them and put dollars behind it. Job Service does have dollars for that.

Representative Wald: The money in Job Service that is titled vocational education or what ever is than used for tuition and a reference, not hands on training? So why couldn't we move those dollars to another agency?

Ed Dunn: That is correct. The dollars you are referring to are federal dollars. I think the only general fund dollars Job Service is included has been their work force 2000 dollars. Also the new jobs training, which is an off set that is used to reimburse for training.

Some one in the audience is explaining, of which I can not understand. (3535)

Representative Wentz: I am interested in how this all began. It started last session when I became aware that one of the five two year schools had been completely left out of it from the beginning. If you recall I requested minutes of the C Tech meeting. I was told by you no minutes existed. You said you took notes, but did not put them into form of minutes. I than said

I would take the notes or you could put them in a form of minutes so I could look them over to get some idea of the history of this. I was next told that they were not a matter of public record, and not available to the public. They were limited to the members of the committee because this was not a public meeting. I was than forced to call the Attorney General's Office. He must have gotten in touch with your attorney, Pat Seewood (?). I got a phone call from him, that indeed it was something I had a right to see. I did receive the minutes a year later March of 2000.In reading the minutes it raised a lot of questions, which is why I was to not get the minutes in the first place. First I had a question about the site visit. There were 19 people who went on that site visit. I don't know who they were or how they were selected, who paid for the visit, or who attended the earlier meetings before the minutes I received, and began to document what happened. I do know at one point on June 17, 98 meeting, which is not the first minutes I received, but sometime after the committee had already been meeting. There is a list of those attending, and than the phrase, also attendance Mike Hillman, Eric Shaar, and Ellen Chaffee. That is the first time I find a record of any of Eric Shaar, who as I understand it represented Bottineau in that C Tec committee. He was not included until June, 1998 as far as I can see. There are other phrases that I have questions about. I will let you start responding on some of the history on this. I assume you are the Executive Director because there is a reference in the minutes to the Executive Director. Why was it so hard for me to get the minutes. Why was there ever an argument that they were not available to the public? It was not funded with public money and therefore I had no right to ask for them or receive them.

Ed Dunn: Yes, I am. I extend an apology to you. Rep Wentz says she does not want an apology she wanted the minutes. Ed says for that perception. Rep Wentz responds, it was not a

perception. I was told. I had to cal the attorney General's office. Even than I had to speak to Larry some time later because I still had not received them.

Ed Dunn: When C Tec was formed at the initiative of basically the State Board for Vocational Technical Education along with the two year colleges that did vocational education. It started as the ideal forming a group that could coordinate their efforts with their regards to vocational Education. Once they hired me as the Executive Director, I was not aware that the and I don't, I would have to check the law on that. I wasn't aware that those were public meetings requiring official minutes. Once the inquiry came in requesting copies of the minutes, I went to Pat and I told him. He than visited with the Attorney General's Office explained what we had and than at your request I took my hand written notes drafted them into official typed notes for those in which I didn't have in type form at that time. I than had Pat handle that with the Attorney General's office. I wasn't aware it was that late in getting those minutes to you.

Representative Wentz: The way I recall the play of events, I had to cll the Attorney General's office. They said they would look into it, and the next thing I had a phone call from Pat Seewood telling me that they were public knowledge. As I recall I had to initiate the contact with the Attorney General's office. Before your office or Pat Seewood would concede that they were public information. I don't think it was Pat Seewood who initiated the call to the Attorney General, it came from me. I am interested in who went on the site visit, and paid for it. Rep Wentz proceeds to other forms sent out and how it came to forming the list on page 8 in blue year end report.

Ed Dunn: (4440) To answer your question on who went to the site visit. On page 8 is an asterisk on the bottom of the page and the visit to Cedar Rapids. They were chosen partially by NDA and Higher Ed. (Proceeding with discussion on how this all came about)

Representative Martinson: (4870) Looking at the list, and I don't see private sector people. It seems to me that if you wanted to develop work force training, don't you start by having employers come to you and say we need this. College courses are determined by what the students want. I don't understand why you need to go to another college to say, what are you doing here, and help us create what you are doing here. You have Baker Boy and others are going to the Universities or you and saying this is what we need. It took all of these people to go that far to go to a school and than to come back and say, we probably should have some business people along here.

Ed Dunn: You have put your thumb on the very reason this group has expanded. Once the trip was over they put together a work force training system that is going to meet the needs of people and industry. I would say we looked to Dale Anderson as being a representative of the private sector, along with the economic development specialists as working with business and industry in the area of training of what they need all the time to help us with that. They were the ones taken on the trip.

Representative Wentz: Who paid for the trip? Ed says the individuals involved paid their own. Rep Wentz asks if the campus representative, or Higher Ed did also? Ed says, that came out of Higher Eds. budget. Rep Wentz continues with, there was a meeting at the river August 1, 1998. I would be interested in knowing who attended that. My main curiosity here has to do how the 4 of the 5 were selected. There was not a opportunity for them all to be equally involved if they chose. Someone made a choice up front that Bottineau was not going to be one of them. If Bottineau was not going to one of the four chosen what alternate plan was there for Bottineau? Each campus got some extra money for this purpose, and seems to me Bottineau should have gotten a like amount of money.

Ed Dunn: The people who have delivery are the people listed on page 8. The only person who was not able to attend that meeting was Senator Gary Nelson. Why the four campuses rather than five? I can leave you a document dated January 21,1999. It is a work document that was called for by the this task force coming out of the following river meeting etc. What it will show is that there were people, influential people on this task force. John Dorso did not believe we needed anything beyond two regions. His thought when we put together was that there should be two regions not four. His comment was unless you can prove to me other wise we are going to go with two. It was suggested to divide the state up into quadrants that would be aligned with state planning regions.

Representative Wentz: The point of my question is, all of that was made without any presents there representing that campus. With out them being involved in any decision, and having an opportunity to advocate. I think that was a very important decision that was made, and excluding Bottineau has pretty much determined it's future as a two year school. They have really been lowered as a campus in the system. I think that this is the result of that decision leaving Bottineau out of that mix. I think that decision has had ramifications for that campus that they perhaps don't realize. I would like to know what the System has in mind for that campus.

Ed Dunn: We need to make a distinction between C Tec decisions and the task force decisions in a sense that, this model that you have here is a model that was put together by the task force and work force training including one of the conditions they specified that there would be only four quadrants. This was the task force that did this. Not C Tec, not Higher Education.

More conversation with Rep Wentz and Ed on what and why Bottineau.

Representative Wald: I believe you made the comment that all of the money for work force training in the Job Service budget was federal money. I am looking on page 1 of the engross bill

of SB2017, line 18 says, work force 2000, 2,250.356. Line 21 says total general fund appropriation 2,250,356 so that is general fund money in the Job Service budget. I would like you to break down on page 9 a typical or traditional bill format form. Show me a salary and wages line item operating and specifically travel, equipment and grants. I want to see how the 5,2 million is used.

Ed Dunn: I hope that I have acknowledged that when I mentioned it. There are two sources of funding that I understand it in Job Service budget would be either State general funds or would be a tax abatement form. That would be work force 2000 funds and the new jobs training program. Those are state funds. I will have to defer the Job Service for some of your questions. It would be in the budgets for the work force training plans.

Representative Martinson: We have two lines in the schools budgets.

Representative Gulleson: Explains about a budget built that is very defined for her area. You should be able to get what you want.

Ed Dunn: Explains that by law each of the campuses are required to have business plans including budgets.

Representative Boehm: How does it work for staff when a business comes in and wants this training?

Ed Dunn: Staffing is included in the budget plans that you have. Staff can come from either faculty who are willing to do it set for by the business. Staff can come from any campus across the system That too is laid out in the task force plan itself.

Representative Aarsvold: Do you have records on how those programs were staffed by campus or by contractor group.

Ed Dunn: I know those quadrants have been worked through. They have an arrangement where it is called a right of first refusal.

2001 HOUSE STANDING COMMITTEE MINUTES

BILL/RESOLUTION NO. Committee Work on University System

House Appropriations Committee
Education and Environment Division

☐ Conference Committee

Hearing Date March 15, 2001

Tape Number	Side A	Side B	Meter #
1 of 1	x	x	2,423
Committee Clerk Signature	Judy Schuck		

Minutes:

Representatives Aarsvold, Boehm, Gulleson, Martinson, Monson, Wald, Wentz.

Lee Peterson here to talk about some of the placement of the training work funds and the importance of the program.. The committee is wondering if those couldn't be put into one place instead of four. Lee Peterson explains how they arrived at not putting this program into Commerce, and how well the program is doing and operating, and the need for the University Systems to be involved. (554-1065) Discussion with Rep Martinson and Lee Peterson about it not having centralized control, when possibly different agencies do not know what the other agency is doing or offering, and why the colleges are soliciting what you could call as business to get some of the dollars. Is there an attitude of use it or loose it. Lee Peterson has a concern about attaching this into the Commerce Department at this late date. An in-depth study would be done on how to combine this to not have the over lapping and duplication.(1110-) Larry Iaask discussing issues and concerns with the committee. Mr. Iaask was asked to explain the funding

plan. On page 11 of pink handout shows peer comparators and how to find matches. Rep Aarsvold asks about the infrastructure and how that fits in, and Laura Glatt responds. (1800) Rep Monson asks about the Mayville and Valley City colleges, with them using computers as they drive their students to the technology end of it, make the task of finding comparable peers? Also the fact that they share a president? Laura Glatt again responds. (2275) Rep Wald asks when starting comparisons are they true comparisons and are we going to hear next session about the other comparisons have larger salaries and have you done a comparison on a board office?. Mr. Iaask responds. (2600) The committee requests a report on the data of others. Rep. Wald questions some of the salaries, one in particular position with a 27,800 dollar increase in one year. Looking at the responsibilities, that is about 20,000 more than the Governor makes. The committee has a hard time justifying that, plus Legislatures need to respond to these kinds of questions from people in their districts. (3100-4200) Mr. Iaask responds, plus to questions asked by the committee about employees and how they are hired, and paid and that maybe they need to take another look at what is out there. Was the board involved and how in the decision of that large salary increase and who all is involved in the decision. Mr. Iaask says he e-mail the board on his suggestions. The committee questioned how many people in the board office have a Doctorate, and are very surprised to find it is only one, when this office does strategic planning and giving of advise to presidents of colleges. (4200-6030) Rep Martinson asks how do you determine raises for college presidents. Mr. Iaask responds about evaluations and them giving goals and objectives. See attached Presidents salary. Rep Aarsvold questions the Board Office salaries and the varied percentage of increased salaries, and do you sit down and explain why you would have such dramatic differentials. Mr. Iaask explains how the superior in each department sits down with them to explain the increases with them and go over their goals and objectives

with them. Rep Martinson asks if the Roundtable accountabilities can be implemented with out upgrading the computer systems. Mr. Iaask responds that it certainly would make things easier, and that it will need to be upgraded regardless. See green attached for accountability measures. Rep Wentz asks Mr. Issak how the board evaluates his position, and do you have any input into what you think you should have? His response is it is done the same as the Presidents with goals and objectives that were approved by the board and reviewed at the end of the year. Certainly I have input in my salary. Rep Aarsvold ask what an impact it would have if an amendment were attached to sunset the Roundtable for a couple years. Mr. Iaask responds. (785) Rep Wentz asks what the responsibilities of the person in charge of long range planning. Mr. Iaask sees that person to be involved and updating, reviewing the plans. The strategic planning is done for the six corner stones on the Roundtable, and working heavily on the accountability measures and positions. In terms of the board planning and the system planning he initiates the planning but not for the colleges . Those are done at the campuses. Discussion on strategic plans for the Roundtable and the Board, and reviewing mission statements for the campuses. The committee requested mission statements, goals and objectives of each of the colleges and Universities, and those of the Chancellor and your staff.

Discussion from committee with concern with the enrollment in lower education this is bound to happen in years to the Universities, which will not hurt the larger colleges as it is bound to hurt the smaller ones. Schools will have to be closed as they are in other smaller schools. So there will be campuses soliciting for students and the larger campuses will be more able to do that. The colleges need to be able to access the outside resources and need help from the board, particularly the smaller campuses. Rep Wald requests a job description for the Chancellor and his staff. The Chancellor is not sure he has them He will have to check.

2001 HOUSE STANDING COMMITTEE MINUTES

BILL/RESOLUTION NO. Committee Work University Systems

House Appropriations Committee
Education and Environment Division

☐ Conference Committee

Hearing Date March 19, 2001

Tape Number	Side A	Side B	Meter #
1 of 2	Starting at 4455	x	
	x		270
Committee Clerk Signature	Judy Schoch		

Minutes:

Representatives Aarsvold, Boehm, Gulleson, Martinson, Monson, Wald, Wentz.

Representative Wentz: We want to talk specifically talk about the accountability measures.

See green attached to March 15, 2001 committee work .

Larry Iaask: The Chancellor. There are two sections to the report. One deals with the academic accountability measurements and the other deals with the financial accountability measurements. Larry Eide from Minot State has also been working with us on the financial accountability measures. Those are towards the end of the report. We will refer to those first. The measures were developed from the sub committee of the interim Roundtable. Larry Eide and Laura Glatt go through these. This is a rough draft of what the manual would look like when completed. See yellow attached.

There are 30 fiscal measurements and 14 fiscal ratios within those measurements. In the yellow pages you will see some of the current and some of the purposed. I am using the purposed

because that is what we will be using when we report back to you on these measurements. Other than to say, as an accountant and manager and chief fiscal officer, I am not worried about any of this. The information is already there in most cases.

Representative Martinson: (6175) If the information is already there and we are just changing how you report it, why are we doing all of this? What is the purpose? Is it not your job as a finical guy to report to the President and say here we have been analyzing this. You are doing that already are you not?

Larry Eide: I really don't know much about their process. This was a recommendation by the Roundtable to proceed with this. I think it is good information. I sense that some are concerned that with out the line item or restrictions, other decisions could be made.

Representative Martinson: In my visits it is my understanding that these accountability measures were not generated by the committee but by your office, and the committee was presented with these and said these are recommendations. They really did not make any changes to them.

Laura Glatt: Legislative Council staffed the committee. It was an interim, we didn't staff it. We were asked tot work wit Legislative Council, which we did. We gave them a fairly short list of recommended measures. The council staff took that list and added several more to the list. That than went back to the committee.

According to Roxanne from L.C. says the interim sub committee have one meeting.

Representative Aarsvold: I served on that committee, that is precisely what happened. It was not clear to me at the time and still isn't, the source of those recommendations. Whether they were from council or board office or a combination of those two sources. We did receive what you see before you.

Following is the discussion between Rep Wentz, Laura Glatt and Rep Martinson. (510- (1325)

Question, were there two Roundtable committees? One on fiscal accountabilities measures and one on academic? Laura Glatt responds, and goes over the fiscal account financial accountability measures. Rep Martinson asks how much input do the Presidents have on their mission of their own campuses? Laura says this is a financing plan, and certainly the financing plan will be related to the missions and programs of those institutions, and explains how it will work. When I say we, we are working with Dennis Jones through the cabinet and through the board to identify those peers. Laura continues with items 2 and 3.

Rep Monson asks if the soft ware is already developed? Laura explains they are hopeful that they will be able to get the kind of information from our existing system, and there may be a lot of manual work involved, but should be able to compile it from the existing system. The new system that is being contemplated would be programed into a new software system so it is more easily generated. We is it will lie with the fiscal staff both within the University System office and the campuses. It will not be ITD at this point, and goes into if and how they could be a part. Continuing on with item 4, 5, 6, 7, 8. (1615-2150) Rep Aarsvold asks, where in this whole scheme act do we come up with any way of reflecting what President Chapmann initiative he talked about to make NDSU a more research driven institution? Laura responds with the criteria they use in research activity. If it would change so significantly we may end up identifying a different peer group for them. (2150-2670) Rep Martinson feels he is still hung up on the peer business and doesn't understand why we would pick 10 institutions and call them peers when we know we will be at the bottom of it. Doesn't peer mean that we should all be equal? At least when we start? Laura explains the purpose of identifying the peer institutions was to identify the financial differential. That is kind of the independent variable we are looking for if you think

statistically. Rep Martinson responds, than the board made the decision to use the funding as the one thing that you are not going to look at, but you could have done it that way. If you didn't want to give them the money, you could have given them the per student funding and said now you pick them and we will see what the other variables are. Laura says, yes, we could have done it that way but our purpose in identifying the peers was to identify the funding differential. Which is what we were looking for. Rep Martinson questions, didn't you bias the peer group to make it obvious that ND would appear to be under funding our students, and you decided it would be based on financial not on enrollment. My main point is you didn't tell them about the funding levee. You could have put the funding level in there and let the other ones be the variables. Laura says, no. Let the data tell us in the end if we are over or under funding, and explains more in detail how they did this. Yes we to see how we compared to other institutions good or bad. Laura continues with 7-16. She goes through ratio 26.

Representative Wentz: (3370) Are these financial accountability measures in your opinion impossible for some of the campuses to meet? Particularly some of the smaller campuses? Or will they be the ones to look the best? Will the results if their reports negatively impact those campuses?

Larry Iaask: I don't think that meeting and completing the accountability measures will in my opinion be a difficult task for any of them. It will take work. Should they all be able to report on these? Yes. I couldn't tell you how campuses will compare when they look at their deferred maintenance to their net assets or level of depth compared to assets. We have not calculated those ratios campus by campus before.

Representative Monson: (3530) What do you plan to do with that data if some of these Universities look very inefficient, or are inefficient. Will that be reason to take it to the tax

payers or the voters and say, It is costing a certain amount of dollars and maybe we should close the doors? Is this something that may come out of all of this?

Larry Isaak: I would hope not I would hope it would be a basis for the board to consider policy decisions that they are involved in, dealing in tuition, new programs, etc.

Gordon Smith from the with the state auditors office will be answering questions from the Representatives. (3775)

Representative Martinson: With your view of the Roundtable, the standard etc. set forth, will that impact your office at all with regard to any auditing, or things you have provided us ion the past?

Gordon Smith: (3860-4975) From our stand point, if we are talking about the financial accountability standards in the back. A lot of that information exists on the higher education net work. He explains how they audit and what they look at.

Representative Monson: (4980) So you are saying maybe we Legislators should put down some of our own accountabilities, standards, or goals and put them forth?

Gordon Smith: I think the Legislators have the decision and policy making body of the State. If they are going to be making a huge decision here to provide more flex abilities to a very large budget, I think they should have a comfort level as a body. Of what they would like to see measured so it tells them whether they feel the University System is performing up to what they thought.

Representative Martinson: (5240) Are you kind of saying if you were a Legislator you would not have that comfort level?

Gordon Smith: That is hard to say. I am an auditor. The phrase we use in our profession is professionally skeptical.

Representative Gulleson: (5545) When Mr. Steve Kutler was here he said in SD they had moved to this concept of just two line items within their university system budget. I am wondering if you could contact your counter part in SD auditors office and get the information on what the accountability standards in their state looks like. What are they measuring that against. Mr. Smith says he could do that and suggests a survey should be sent out.

Representative Aarsvold: If you recall Larry when I worked on the Roundtable I had concerns about the funding equity. I brought that issue up from time to time. This morning we discussed the capital improvements recommendation that the board had made. One campus said they could guarantee pledges. Is the board effected by that sort of opportunity that a larger campus would have as opposed to smaller ones for guarantees from the communities portion for the project? Is it a sense the rich get richer and the poor get poorer.

Larry Iaask: I think so, I do. Yes it influences. When you look at the priorities there and the size of the colleges, I don't think it has that effect. The campuses can have that incentive too. It can make a difference in getting state support for a project.

Representative Wentz: We are adjourned.

NORTH DAKOTA UNIVERSITY SYSTEM

TO: Rep. Wentz
Rep. Martinson
Rep. Aarsvold

FROM: Laura Glatt

DATE: March 22, 2001

RE: Follow-up information

Attached is information you requested during your sub-committee meeting on March 22 on SB2003:

Attachment 1: Reflects the allocation of the $14,330,630 contained in the campus-based programs block grant for compensation adjustments and salary equity.

Attachment 2: Allocation of $4.3 million in equity funds appropriated to the SBHE in 99-01.

Committee members also requested the following additional information:

1. Cost of the Roundtable: During 99-00, the NDUS Office incurred costs associated with the Roundtable of $68,641.71. Funding sources were as follows: $4,391.85 WICHE, $24,249.86 operating expense, and $40,000 from Board Initiative Pool.
2. Chancellor's Expenses: Presently, Chancellor Isaak's expenses for hosting receptions at his home or for dinners or lunches are either paid by himself personally or by three campus foundations, primarily the UND and NDSU foundations, and occasionally the BSC Foundation. The cost for the reception next week at his home on March 28 will be paid by Chancellor Isaak. The cost of the March 12 dinner with legislators and the Board members was paid by Chancellor Isaak. The UND, NDSU, MiSU and BSC foundations hosted the Roundtable dinner on March 20.
3. Reporting Relationship: Tony Grindberg, Director of the Skills Technology Training Center, reports directly to Bob Gette, Vice President for Academic Affairs at NDSCS.

Following our conversation this morning concerning reciprocity funds, I realized that the figures that were reflected on the orange sheet were those included in the budget request (developed in the Spring of 2000) and the executive budget recommendation. We have since updated our estimate and have significantly revised the Minnesota reciprocity revenue estimate downward, based on our most recent payment (January 2001). Attachment 3 is a REVISED estimate that

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The North Dakota University System is governed by the State Board of Higher Education and consists of: Bismarck State College - Dickinson State University - Lake Region State College - Mayville State University - Minot State University - Minot State University-Bottineau Campus - North Dakota State College of Science - North Dakota State University - University of North Dakota - Valley City State University - Williston State College.

shows Minnesota reciprocity revenue of $400,000 for the 01-03 biennium. Based on this lower estimate, we do not believe we will be able to meet our maintenance of effort requirement for the federal funds. As a result, we are now showing -0- federal funds in 01-03. Based on total funds of $2,935,881 for the 01-03 biennium, we would be able to fund approximately 2,400 students per year. We would ask that you leave the special fund appropriation authority in the bill as it is, with the understanding that all of the cash from both special and federal sources may not become available; but if they do, we would have the authority to expend the additional funds as they become available. I apologize for this oversight in the information I provided to you earlier.

Please contact me if you have any questions at 328-4116.

Enclosures

ATTACHMENT 1

NORTH DAKOTA UNIVERSITY SYSTEM
Distribution of $14,330,630 Contained in SB2003
Campus-Based Block Grant Programs for Compensation

	Salary Adjustment 3% / 2%		
Engrossed SB2003 Campus-Based Programs			14,330,630
BSC	$613,218		
LRSC	172,192		
WSC	228,545		
UND	4,165,429		
NDSU	3,406,306		
NDSCS	818,643		
DSU	549,386		
MaSU	276,459		
MiSU	1,102,043		
VCSU	424,879		
MiSU-BC	136,813		
Subtotal		11,893,813	
NDUS Office	102,277		
UND-Medical Center	1,264,944		
Subtotal		1,367,221	
TOTAL			13,261,034
Balance - Salary Equity			1,069,596

lg:\laura\excel\bienbud\0103 compensation

ATTACHMENT 2

NORTH DAKOTA UNIVERSITY SYSTEM

TO: Governor Schafer
Members, Legislative Assembly

FROM: Larry A. Isaak, Chancellor

DATE: May 13, 1999

RE: Equity and Special Needs Pool Allocation

The North Dakota State Board of Higher Education, in a special meeting yesterday in Carrington, allocated funds from the equity and special needs pool created by the Fifty-Sixth Legislative Assembly in HB1003 (1999). The Board allocated $4,090,128 of the biennial appropriation of $4,290,128 to the eleven campuses.

At a regular Board meeting in Wahpeton in late April, the Board reviewed and discussed the equity issue including the history, current status, inplications, and several options for distributing the pooled funds. The Board was presented a 13-page memo that outlined the issues and nearly 20 allocation options. Given the complexity of the options and the magnitude of the decision on the operations of the campus, the Board chose to delay a decision to allow adequate time for thought and discussion. Thus, a special Board meeting was scheduled for May 12th in Carrington.

At that meeting, the Board allocated $4,090,128 based upon equity. The methodology that was used in allocating the funds recognized, and utilized as components of the calculation, both the budget formulas and a cost per student factor. The formula calculatjon recognized at least partially, the fixed costs of instruction at the smaller campuses, through application of the minimum-staffing concept. The budget formula allocation was weighted to recognize that all NDUS campuses are funded at less than 100% of formula; however, it also recognized that some campuses are further behind full funding than are others. As a result, those that are further behind received additional funding. The Board's purpose in using this methodology was not to attempt to bring every campus to the same level of formula funding (which would not have been possible with $4.0 million); but rather to begin to slowly close the gap between the highest and lowest funded campuses.

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A cost per student component was factored into the calculation as well. Cost per student is another means of measuring campus equity. Due to the limited validity of cost per student figures, only twenty percent of the total funds were allocated based upon cost per student. Campuses were compared to similar types of campuses (research, four-year and two-year). Funding was distributed in a way that begins to move campuses toward the highest cost within the tier. Due to the limited amount of funding, minimal progress was made, but this methodology once again provided the most resources to those campuses at the bottom.

Below are the amounts allocated to each campus:

North Dakota University System Allocation of Equity/Special Needs Pool 1999-01 Biennium	
BSC	$ 327,456
UND-LR	101,866
UND-W	248,423
UND	865,165
NDSU	1,198,092
NDSCS	510,328
DSU	386,637
MaSU	50,554
MiSU	179,987
VCSU	200,042
MiSU-BC	21,578
TOTAL	**$4,090,128**
Based on factors of 40% formula with minimum staffing, 40% formula without minimum staffing and 20% cost per student.	

The Board also directed the Chancellor to work with the campuses to identify an amount from their biennial allocation that must be used for special academic program initiatives. This could include enhancements to existing academic programs or the creation of new programs that respond to the needs of the State of North Dakota. This effort will take place over the next two months as the campuses prepare their 1999-2000 annual budgets.

* The Board chose to set aside $200,000 of the pool funding for now. This action was based upon the legislative intent outlined in HB1003, which strongly encouraged the Board to consider allocating $200,00 from either the equity and special needs pool or the board initiatives funding to Minot State University-Bottineau campus for their information technology initiative. The Board has not yet received the formal new program(s) request from MiSU-BC. As a result, they were not in a position to determine the need for allocation of some or all of the $200,000. That decision will be made at the time the Board receives the new program requests from MiSU-BC. If some or all of the $200,000 is not allocated to MiSU-BC, the Board has indicated that the remaining balance will be allocated back to the eleven campuses utilizing the same methodology that was used to allocated the first $4.0 million.

* See attached schedule (columns 3-5) for amounts allocated June 1999, totaling $200,000.

The allocation of these pooled funds represented a challenge for the campuses and Board. I am pleased to say that all handled it in a way that recognized the equitable distribution of funds between campuses without devastating any one campus. I would like to express thanks on behalf of the Board for the trust and responsibility you have given to the Board.

All of the materials presented to the Board are available in my office. Please feel free to contact Laura Glatt or myself with questions or requests for copies of the information. You can reach us at 328-2960 or by e-mail at larry_isaak@ndus.nodak.edu or laura_glatt@ndus.nodak.edu

C: Members, State Board of Higher Education
Chancellor's Cabinet
Rod Backman, OMB
Celeste Kubusta, OMB
Chet Nelson, Legislative Council

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North Dakota University System
Allocation of Equity/Special Needs Pool
1999-01 Biennium

	(1) May Allocation	(2)	(3)	(4) Proposed June 1999 Allocation	(5)	(6)
	Proposed OPTION 3-D *(Combination of Formula & Cost Per Student Factors)	BHE Approved 5-12-99 Option 3D, Less $200,000	MiSU-BC Program	Allocation of Balance, Based on May Allocation of $4,090,128	Total	Total Allocation - 99-01 Biennium
BSC	$ 343,468	$ 327,456		$ 6,794	$ 6,794	$ 334,250
UND-LR	106,847	101,866		2,114	2,114	103,980
UND-W	260,570	248,423		5,154	5,154	253,577
UND	907,470	865,165		17,951	17,951	883,116
NDSU	1,256,677	1,198,092		24,859	24,859	1,222,951
NDSCS	535,282	510,328		10,589	10,589	520,917
DSU	405,543	386,637		8,022	8,022	394,659
MaSU	53,026	50,554		1,049	1,049	51,603
MiSU	188,788	179,987		3,735	3,735	183,722
VCSU	209,824	200,042		4,151	4,151	204,193
MiSU-BC	22,633	21,578	115,135	447	115,582	137,160
	$ 4,290,128	$ 4,090,128	$ 115,135	$ 84,865	$ 200,000	$ 4,290,128

*Based on factors of 40% formula with minimum staffing, 40% formula without minimum staffing and 20% cost per student.

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North Dakota University System
Financial Aid Programs By Funding Source
REVISED ESTIMATE 03/22/01 - Engrossed SB 2003

	State Grant Program	Scholars Program	PSEP	ND Indian Scholarship Program	Senate Increase 3/	Total
General Fund:						
1999-01 Original Appropriation	$ 1,735,881	$ 520,730	$ 1,310,716	$ 204,082		$ 3,771,409
Increase (Decrease)	800,000	250,000	250,000	-	370,000	1,670,000
2001-03 Engrossed SB 2003	2,535,881	770,730	1,560,716	204,082	370,000	$ 5,441,409
% Increase (Decrease)	46.1%	48.0%	19.1%	0.0%	100.0%	44.3%
Mn Reciprocity Funds:						
1999-01 Original Appropriation 1/	$ 2,574,400	$ 185,500				
Increase (Decrease)	(2,174,400)	(185,500)				$ 2,759,900
REVISED ESTIMATE	400,000	-				(2,359,900)
% Increase (Decrease)	-84.5%	-100.0%				$ 400,000
						-85.5%
Federal Funds:						
1999-01 Original Appropriation 2/	$ 140,000					
Increase (Decrease)	(140,000)					$ 140,000
REVISED ESTIMATE	-					(140,000)
% Increase (Decrease)	-100.0%					$ -
						-100.0%
Total Funds:						
1999-01 Original Appropriation	$ 4,450,281	$ 706,230	$ 1,310,716	$ 204,082	$ -	$ 6,671,309
Increase (Decrease)	(1,514,400)	64,500	250,000	-	370,000	(829,900)
REVISED ESTIMATE	2,935,881	770,730	1,560,716	204,082	370,000	$ 5,841,409
% Increase (Decrease)	-34.0%	9.1%	19.1%	0.0%	100.0%	-12.4%

1/ Actual 1999-01 collections for Minnesota reciprocity dollars are estimated at approximately $1 million.
2/ Actual 1999-01 collections for federal dollars are estimated at approximately $68,000.
3/ HB 1310 teacher retraining = $120,000; HB 1444 Teachers at Class B High Schools = $250,000

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ATTACHMENT 3

Potential adjustments for colleges

Bismarck State College	$	596,000	Schafer Hall
Lake Region State College	$	60,531	Costs to continue
Williston State College	$	57,439	Technology initiative help desk and network support
UND			
NDSU			
ND State College of Science	$	387,631	Costs to continue
Dickinson State University	$	135,953	Institutional innovation - partnerships
Mayville State University	$	184,282	Costs to continue
Minot State University	$	200,000	Extraordinary repairs
Valley City State University	$	133,064	Costs to continue
Bottineau	$	65,274	Costs to continue
	$	1,830,174	

2001 HOUSE STANDING COMMITTEE MINUTES

BILL/RESOLUTION NO. Committee work Board of Higher Ed and Roundtable

House Appropriations Committee
Education and Environment Division

☐ Conference Committee

Hearing Date March 23, 2001

Tape Number	Side A	Side B	Meter #
1 of 1	x		5,620
Committee Clerk Signature	Judy Schaefer		

Minutes:

Representatives Aarsvold, Boehm, Gulleson, Martinson, Monson, Wald, Wentz.

Representative Wentz: We have two members of the Board of Higher Education here today. They are available to us to answer questions that we might have on the Higher Education budget and the Roundtable. Bill Isaakson is the President of the Board.

Representative Boehm: (95) Half the budgets I have looked through are based on the Roundtable already. Should it not have been the other way around? What happens if the Roundtable doesn't pass or somehow we kill it.

Bill Isaakson: The dollar value of the budget for higher education is the same whether it would have been with or with out the Roundtable.

Mr. Isaakson gives a little history, and setting up objectives and formatting, and accountability measures. (250-1000)

Celest OMB: The budgets that were prepared by Higher Education were done in the same fashion they have been done in the past. It was the Governor's recommendation to move forward and put the bill in a format that was consistent with the beliefs of the Roundtable, but probably didn't go as far as some of the proposed Legislation that was being considered by the interim study committee. So it was Governor Schafer who decided on this format and Governor Hoeven who concurred with it, that it was time to start it right away. That would provide some incentive to get going economic development initiatives and things that would support his recommendations.

Representative Martinson: (1080) We have been going over this and we feel a lot of this is fluff and double speak. They ask for the same information in different ways. As far as accountability goes, is there anything in there, and we have asked financial people when they come before the committee, kind of a similar question. Is there anything in there that isn't being done already or if it isn't than it ought to be done, and it really wouldn't matter if the Roundtable passed or not. Some of the financial people say we already have this information. I personally don't see anything in the Roundtable accountability that we aren't doing already.

Bill Isaakson: I am glad to hear that. What we are talking about is a different format that allows you to sit down and look at this in a manner as though you were judging the performance of your business. Rep Martinson complements him on how he deals on a business back ground, but I would say to you why aren't you doing that now, if that is the information you want, why aren't you telling the board office this is the information we want so gather it for us. Mr. Isaakson believes the information already exists. If we didn't go ahead with the bill as proposed the accountability measures should be done there anyway. Rep Martinson agrees, that is the right

an answer, regardless whether or not this bill passes, we should go and do that.

Representative Wald: (1295) I have a real problem with two issues that come to mind. I asked the Chancellor if he had a job description for the employees up there and the answer was no. I can't imagine that. The second thing, I look at the salaries being paid, the top six positions. When the Chancellor was asked how his salary is arrived at his response was he makes a suggestion to the board and the board than approves it. Evidently you approved it. Michael Hillman is the only one with a Doctorate or Ph.D. and is the only one and he is at less salary. Laura Glatt earns more than anyone in Legislative Council or the head of OMB, who in my mind they have greater responsibilities. Going through the rest of the salaries and how high they are, and the one raise increase of 27,800 dollars in one year. That was a raise. I am wondering if the time hasn't come to put on a freeze on those top six positions, this biennium or a couple biennium's. It doesn't seem when you compare responsibilities to other people in State government in ND let alone elected officials. I think it creates animosity when this information is shared with other department heads.

Bill Isaakson : (1500-2530)) Explains job descriptions, and responds to how salaries are determined. See attached. Again discussion on salaries. (2800-3065)

Rep Wald talks about Presidents salaries and the housing provided and is it fair to pay those Presidents where there is no housing the 15,450 dollars a year. I think they come out a lot better Mr. Isaakson agrees he would rather have the money and rent or buy his own house. What is a fair amount?

Representative Gulleson: (3080) One of the complains I hear on the initiative pools to campuses regarding the authority to the system offices is the concern those pools will be distributed in a real fair manner. By fair meaning that all size schools initiatives will be given credence, and

there is some concerns from the smaller campuses. When these pools are brought to the board are they presented on their face value or have they been put in some type of priority order before you get them from the System office?

Bill Isaakson: I don't believe there should be concern. I think the board looks objectively at every campus, proposal by proposal. In the last biennium there was around 4 million dollars funding for special programs. That actually came with a recommendation, in other wards the board solicited from the campuses. They asked all of the board members what is your priority on this. He continues to talk about the pooling. (3350)

Rep Mike Timm: If this committee and the Legislator decides to request the board of higher education's go back to the former system of accounting, how much work is that going to be? I have heard from several colleges that it would be a lot of work, but I understand from Celest that the original budgets were put in with the old method. I would think those budgets would be pretty handy. Mr. Isaakson says he believes the budget format was really intended to embrace the recommendation of the Roundtable of flexibility for the campuses with accountability. The accountability measures are in place.

Representative Boehm: (3830) With the student enrollment declining, and we know there will be less in 10 years. With the tuition going to each college. I can see some fierce competition between the colleges for the students with the colleges spending a lot of money trying to get the students to their particular colleges.

Mr. Isaakson: I think first we need to recognize the fact we do have declining enrollment K-12 . One of our board objectives is to develop a 10 year financing plan for the University system. More explanation. (3850-4766)

Representative Gulleson: One of the Roundtable recommendations that came forward is because you do tend to see students going to the campus in their geographical area, that justifies duplication. I think we should allow those campuses to become very, very good and allow them to specialize in the areas they do. People are willing to travel. I think we do need to be careful and not let campuses duplicate programs based within that recommendation. Mr. Isaakson agrees and gives an example. (4905-5185)

Representative Wentz: I want to give Mr. Caspers time to also speak if you so choose.

Mr. Caspers I Think Mr. Isaakson has done an excellent job of responding to the questions you have asked. I complement you on the questions being asked. Sometimes I don't think we hear these kinds of questions enough. There is a window of opportunity. The Roundtable is far from perfect, but it is progressive. I learned over the years trust and respect are so close together. Unless trust respect is given it is difficult to receive the trust of respect back.

Representative Wentz: Is there anyone sitting in on this discussion today who would like to make a comment one way or another? We will than conclude this meeting. We are adjourned.

2001 HOUSE STANDING COMMITTEE MINUTES

BILL/RESOLUTION NO. SB2003

House Appropriations Committee
Education and Environment Division

☐ Conference Committee

Hearing Date April 6, 2001

Tape Number	Side A	Side B	Meter #
1 of 1	x		4,800
Committee Clerk Signature	Judy Schock		

Minutes:

Representatives Aarsvold, Boehm, Gulleson, Martinson, Monson, Wald, Wentz.

Representative Wentz: We have a draft of amendments 18029.0218. What we did basically as a sub committee was leave the flexibility in the campuses offices as was recommended by the Roundtable, and the way it came out of the Senate. Than we spent a lot of time looking through the System Office, because in past sessions we have given so much scrutiny to the local campus, and not to the System Office. We have gotten travel records, salary history and a lot of information on the work force training dollars. We spent a lot of time looking through all of that and came up with some amendments. We will have discussion on them. See attached amendments. Starting at the bottom of page 3 with Rep Martinson explaining on moving the work force training dollars to the Department of Commerce which also shows on page 7 starting with section 19-22. The training is in the Voc Ed budget and the authority is in the Commerce Department. (145-385) Rep Wentz explains Section 6 , 7, 8, the equity and special needs pool.

See attached comparisons. Continuing on with section 12. Page 6 line 16-31 accountability measures. This was hard to wade through.. Section 16 with guidelines. Section 17 relates to the compensation of board members. The following sections have to do with the Commerce Department. (385-1725) Celest going through her attachment 0218. (1730-2535) Continuing on with amendments on salary increase with Celest. (2540-3200) Rep Martinson makes a motion to adopt these amendments. Seconded by Rep Aarsvold. Rep Wentz asks for discussion. Celest continues with adjustments (3295-3760) Those in favor of adopting the amendments before us say I, those oppose. Amendments are adopted. Any other discussion. Rep Martinson makes a motion for a do pass as amended. Rep Aarsvold seconded the motion. Vote was taken with 7 yes, 0 no, o absent. Rep Wentz to carry to the floor.

2001 HOUSE STANDING COMMITTEE MINUTES

BILL/RESOLUTION NO. SB 2003

House Appropriations Committee

☐ Conference Committee

Hearing Date April 6, 2001

Tape Number	Side A	Side B	Meter #
04-06-01 tape #1	2624 - 6220	0 - 1720	
Committee Clerk Signature			

Minutes:

The committee was called to order, and opened committee work on SB 2003, The Higher Education budget.

Rep. Wentz: The amendments adopted by the subsection are .0218.

Chairman Timm: There also is a handout being passed out that has budget numbers on it, numbered 0218 also.

Rep. Wentz: Explains the amendment by section, amendment 18029.0218. The subsection spent much of their time scrutinizing the university system, because they have in the past spent most of their time with the various institutions. They did look at the roundtable accountability measures and recommendations and implemented many of them.

Rep. Martinson: Moves to adopt amendment .0218. Seconded by Rep. Aarsvold.

Rep. Byerly: Asks to explain the costs to continue. Why do some campuses have costs to continue, and others don not?

Rep. Wentz: Those are inflationary costs, utilities, and salary increases.

Celeste, OMB: Explains that when the committee looked at these they looked at tuition income that would be available and deducted that from the costs to continue. For those campuses that would have adequate tuition income to cover their costs to continue they funded some other initiative that was requested. For those that were not able to fund the cost to continue with the tuition funds they have available, the committee included that additional money in the budget. It is based upon the number of students and the tuition revenue the campus will receive.

Rep. Byerly: Basically we are penalizing the schools that have growth.

Celeste, OMB: Those campuses received other initiatives.

Rep. Glassheim: In the roundtable there were a number of dollars placed in the board office to proceed with certain priorities. Some of those funds were taken out of the board office. What items of special initiatives were deleted from the board office?

Rep. Wentz: We didn't delete items at the board level. We left some in the board office, and put restrictions on others.

(Committee discussion, questions and answers).

Voice vote adopted the amendment.

Rep. Wald: Moves to further amend, to delete distance education coordinator funding (page 13, footnote 16). Seconded by Rep. Skarphol

Voice vote adopted this amendment.

Rep. Kempenich: Moves to adopt amendment .0219, which is a study of the role of the state board of higher education and the chancellor in the university system. Seconded by Rep. Thoreson.

Voice vote adopted the amendment.

Rep. Byerly: Moves to adopt amendment .0208, regarding preference in resident housing to ND residents. Seconded by Rep. Delzer.

(Committee discussion).

Voice vote fails to adopt the amendment.

Rep. Wald: Moves to adopt amendment .0207, dealing with alternative heating source intent language. Seconded by Rep. Kempenich.

Voice vote adopted the amendment.

Rep. Kliniski: Moves to adopt amendment .0202, dealing with an appropriation for 1997 flood expenditures to UND. Seconded by Rep. Svedjan.

Voice vote adopts the amendment.

Rep. Wald: Moves to adopt amendment .0215, dealing with money for Murphy Hall in Dickinson. Seconded by Rep. Kerzman.

Voice vote fails to adopt the amendment.

Rep. Warner: Moves to adopt amendment to increase the board salary to $75.00 per day.

Motion fails due to lack of second.

Rep. Delzer: Moves to amend requesting a special report to be given to legislators. Seconded by Rep. Skarphol.

Vote on Motion : 12 yes, 8 no, 1 absent and not voting. Motion passes.

Rep. Martinson: Moves DO PASS AS AMENDED. Seconded by Rep. Gulleson.

Vote on Do Pass as Amended : 16 yes, 4 no, 1 absent and not voting.

Rep. Wentz is assigned to carry this bill to the floor.

18029.0218
Title.
Fiscal No. 7

Prepared by the Legislative Council staff for
House Appropriations - Education and Environment
April 5, 2001

PROPOSED AMENDMENTS TO ENGROSSED SENATE BILL NO. 2003

Page 1, line 2, after "system" insert "; to provide an appropriation to the department of commerce"

Page 1, line 3, after "sections" insert "15-10-08," and after the first comma insert "52-08-08, 52-08-09, 52-08-10, 52-08-11, and"

Page 1, line 4, after "to" insert "compensation for members of the board of higher education,"

Page 1, line 5, after the first comma insert "workforce training,"

Page 1, line 6, after the first semicolon insert "to repeal section 22 of chapter 37 of the 1999 Session Laws relating to the university of North Dakota winter sports facility maintenance fund;"

Page 1, replace lines 16 through 19 with:

"Salaries and wages	$2,572,562
Operating expenses	1,013,844
Equipment	25,461
Student financial assistance grants	4,088,031
Information technology management	216,676
Professional student exchange program	1,560,716
Disabled student services	51,560
Contingency and capital improvement emergency fund	344,309
Scholars program	770,730
Native American scholarships	204,082
Title II	534,000
Competitive research program	4,000,000
Board initiatives	870,797"

Page 1, line 20, replace "12,730,941" with "12,730,841"

Page 1, after line 20, insert:

"Education incentive programs	965,000"

Page 1, line 21, replace "78,121,417" with "29,948,629"

Page 1, line 23, replace "74,404,595" with "26,231,807"

Page 1, after line 24, insert:

"NORTH DAKOTA UNIVERSITY SYSTEM

Equity and special needs pool	$4,580,824
Technology pool	26,938,419
General fund appropriation	$31,519,243

Subdivision 3."

Page 2, line 2, replace "14,767,975" with "15,381,193"

Page 2, line 3, replace "250,000" with "846,000"

Page 2, line 4, replace "15,017,975" with "16,227,193"

Page 2, line 5, replace "3" with "4"

Page 2, line 7, replace "4,649,231" with "4,881,954"

Page 2, line 8, replace "74,831" with "399,831"

Page 2, after line 8, insert:

"Total all funds	$5,281,785
Less estimated income	325,000"

Page 2, line 9, replace "4,724,062" with "4,956,785"

Page 2, line 10, replace "4" with "5"

Page 2, line 12, replace "5,094,457" with "5,390,441"

Page 2, line 14, replace "5,183,247" with "5,479,231"

Page 2, line 15, replace "5" with "6"

Page 2, line 17, replace "81,436,447" with "85,601,876"

Page 2, line 19, replace "95,008,583" with "99,174,012"

Page 2, line 21, replace "83,798,583" with "87,964,012"

Page 2, line 22, replace "6" with "7"

Page 2, line 24, replace "63,524,910" with "66,931,216"

Page 2, line 26, replace "86,462,441" with "89,868,747"

Page 2, line 28, replace "65,262,441" with "68,668,747"

Page 2, line 29, replace "7" with "8"

Page 3, line 1, replace "22,893,128" with "24,099,302"

Page 3, line 3, replace "26,693,348" with "27,899,522"

Page 3, line 5, replace "25,776,628" with "26,982,802"

Page 3, line 6, replace "8" with "9"

Page 3, line 8, replace "13,060,832" with "13,746,171"

Page 3, line 9, replace "393,962" with "4,393,962"

Page 3, after line 9, insert:

"Total all funds	$18,140,133

Less estimated income 4,000,000"

Page 3, line 10, replace "13,454,794" with "14,140,133"

Page 3, line 11, replace "9" with "10"

Page 3, line 13, replace "7,870,007" with "8,330,748"

Page 3, line 15, replace "12,594,596" with "13,055,337"

Page 3, line 17, replace "8,594,596" with "9,055,337"

Page 3, line 18, replace "10" with "11"

Page 3, line 20, replace "24,817,493" with "25,919,536"

Page 3, line 21, replace "412,850" with "612,850"

Page 3, line 22, replace "25,230,343" with "26,532,386"

Page 3, line 23, replace "11" with "12"

Page 3, line 25, replace "10,767,570" with "11,325,513"

Page 3, line 27, replace "11,882,904" with "12,440,847"

Page 3, line 28, replace "12" with "13"

Page 4, line 1, replace "3,895,490" with "4,097,577"

Page 4, line 3, replace "4,083,153" with "4,285,240"

Page 4, line 4, replace "13" with "14"

Page 4, line 14, replace "14" with "15"

Page 4, line 16, replace "28,571,646" with "30,006,416"

Page 4, line 17, replace "28,571,646" with "30,006,416"

Page 4, line 18, replace "367,753,836" with "366,453,836"

Page 4, line 19, replace "42,094,068" with "46,419,068"

Page 4, line 20, replace "409,847,904" with "412,872,904"

Page 4, line 21, replace "campus-based programs and" with "higher education contingency and capital improvements emergency fund,"

Page 4, line 22, remove "contingencies and" and after "initiatives" insert ", and disabled student services"

Page 4, after line 30, insert:

"**SECTION 4. APPROPRIATION.** There is hereby appropriated out of any moneys in the general fund in the state treasury, not otherwise appropriated, the sum of $194,788, or so much of the sum as may be necessary, to the department of commerce

for the college technical education council, for the biennium beginning July 1, 2001, and ending June 30, 2003."

Page 5, replace lines 9 through 29 with:

"**SECTION 6. LEGISLATIVE INTENT - FULL-TIME EQUIVALENTS.** The state board of higher education is authorized to adjust full-time equivalent positions as needed, subject to the availability of funds, for institutions and entities in subdivisions 3 through 15 of section 1 of this Act. The university system shall report any adjustments to the office of management and budget prior to the submission of the 2003-05 biennium budget request.

SECTION 7. STUDENT FINANCIAL ASSISTANCE GRANTS. The funds appropriated for student financial assistance grants in section 1 of this Act shall be allocated not less than twenty percent to students at private institutions with the remaining funds allocated to students at public and Native American institutions.

SECTION 8. EDUCATION INCENTIVE PROGRAMS. Of the funds appropriated for education incentives in section 1 of this Act, $60,000 is to provide incentives to encourage additional doctoral graduates in North Dakota, $370,000 is provided for teacher retraining scholarships and a teacher student loan forgiveness program, $400,000 is provided for a technology occupations student loan program authorized in House Bill No. 1283, and $135,000 is provided for a dentists' loan repayment program authorized in Senate Bill No. 2276.

SECTION 9. TECHNOLOGY POOL. The technology pool amount in subdivision 2 of section 1 must be used for the benefit of the institutions and entities in section 1 as determined by the state board of higher education. Technology funding allocations are to be made based on historic funding, the North Dakota university system information technology plan, the statewide network plan, base funding for higher education computer network computer center operations, and base funding for interactive video network and on-line dakota information network operations.

SECTION 10. EQUITY AND SPECIAL NEEDS POOL. The equity and special needs pool in subdivision 2 of section 1 must be used for the benefit of the institutions and entities in subdivisions 3 through 15 of section 1 as determined by the state board of higher education. When making allocations from the equity and special needs pool, the state board of higher education shall allocate the funds to address equity and parity funding needs and to support new initiatives or program costs consistent with board and statewide needs."

Page 6, after line 6, insert:

"**SECTION 12. LEGISLATIVE INTENT - ENROLLMENT MANAGEMENT PLAN.** It is the intent of the legislative assembly that the state board of higher education establish a long-term enrollment management plan and procedures for implementation of the plan. The board shall report to the legislative council during the 2001-02 interim on the board's progress toward establishing a long-term enrollment management plan and related implementation procedures and provide the final report to the fifty-eighth legislative assembly."

Page 6, replace lines 16 through 31 with:

"1. Education excellence, including:

a. Student performance on nationally recognized exams in their major fields compared to the national averages.

b. First-time licensure pass rates compared to other states.

c. Alumni-reported and student-reported satisfaction with preparation in selected major, acquisition of specific skills, and technology knowledge and abilities.

d. Employer-reported satisfaction with preparation of recently hired graduates.

e. Faculty-reported and staff-reported satisfaction with the university system and local institution administration.

f. Ratio of faculty and staff to students.

g. Student graduation and retention rates.

2. Economic development, including:

a. Enrollment in entrepreneurship courses and the number of graduates of entrepreneurship programs.

b. Percentage of university system graduates obtaining employment appropriate to their education in the state.

c. Average salary of university system graduates employed in the state as a percentage of the national average.

d. Number of businesses and employees in the region receiving training.

e. Number of new jobs created in the state as a direct result of the efforts of a university system graduate.

3. Student access, including:

a. Proportion of residents of the state who are within a forty-five-minute drive of a location at which they can receive educational programs from a provider.

b. Number and proportion of enrollments in courses offered by nontraditional methods.

4. Student affordability, including:

a. Tuition and fees on a per student basis compared to the regional average.

b. Tuition and fees as a percentage of median North Dakota household income.

c. Cost per student in terms of general fund appropriations and total university system funding.

d. Administrative, instructional, and other cost per student.

e. Per capita general fund appropriations for higher education.

f. State general fund appropriation levels for university system institutions compared to peer institutions general fund appropriation levels.

5. Financial operations, including:

a. Percentage of total university system funding used for instruction, research, and public service.

b. Percentage of total university system funding used for institutional support, operations, and maintenance of physical plant.

c. Ratio measuring the funding derived from operating and contributed income compared to total university system funding.

d. Ratio measuring the size of the university system's outstanding maintenance as compared to its expendable net assets.

e. Ratio measuring the amount of expendable net assets as compared to the amount of long-term debt.

f. Research expenditures in proportion to the amount of revenue generated by research activity and funding received for research activity."

Page 7, remove lines 1 through 31

Page 8, remove lines 1 through 30

Page 9, remove lines 1 through 30

Page 10, remove lines 1 through 31

Page 11, remove lines 1 through 21

Page 11, line 24, replace "$531,720" with "$368,920" and replace "7" with "8"

Page 11, line 25, replace "renovation of" with "an addition to"

Page 11, line 26, replace "renovation" with "construction of an addition"

Page 11, line 27, replace "$531,720" with "$368,920"

Page 11, line 29, replace "renovation" with "addition"

Page 11, after line 29, insert:

"SECTION 16. LEGISLATIVE INTENT - BISMARCK STATE COLLEGE - SCHAFER HALL RENOVATION. It is the intent of the legislative assembly that funding of $596,000 included in the capital assets line item in subdivision 3 of section 1

of this Act for renovation of Schafer hall be used only for renovation of classroom or student-related areas. No funds from any source may be used for renovation of administrative offices in Schafer hall.

SECTION 17. AMENDMENT. Section 15-10-08 of the North Dakota Century Code is amended and reenacted as follows:

15-10-08. Compensation of board members - Expenses - Legislative appropriations. Each member of the state board of higher education, except the student member, is entitled to receive as compensation two hundred fifty dollars per month, sixty-two dollars and fifty cents per day for each calendar day actually spent devoted to the duties of office, and necessary expenses in the same manner and amounts as other state officials for attending meetings and performing other functions of office. The faculty adviser is entitled to receive as compensation two hundred fifty dollars per month and necessary expenses in the same manner and amounts as other state officials for attending meetings and performing other functions of office. The legislative assembly shall provide adequate funds to carry out the functions and duties of the board."

Page 13, after line 2, insert:

"**SECTION 19. AMENDMENT.** Section 52-08-08 of the North Dakota Century Code is amended and reenacted as follows:

52-08-08. Institution to serve work force needs. Subject to ~~state board of higher education~~ department of commerce policies, the president of an institution of higher education that is assigned primary responsibility for work force training shall establish a division or other unit within the institution to serve the work force needs of business and industry and to serve as a broker in arranging the delivery of training.

SECTION 20. AMENDMENT. Section 52-08-09 of the North Dakota Century Code is amended and reenacted as follows:

52-08-09. Work force training board - Formation. Subject to ~~state board of higher education~~ department of commerce policies, the president of an institution of higher education that is assigned primary responsibility for work force training shall appoint a work force training board consisting of representatives from businesses, labor, and industries located within the institution's delivery area. The work force training board must consist of at least seven but no more than fifteen members and must include at least one representative from either an Indian-owned business, the tribal government, or the tribal colleges within the designated region.

SECTION 21. AMENDMENT. Section 52-08-10 of the North Dakota Century Code is amended and reenacted as follows:

52-08-10. Preparation of business plan - Revolving loans. Subject to ~~state board of higher education~~ department of commerce policies, the president of an institution of higher education that is assigned primary responsibility for work force training shall prepare an annual business plan that must include provisions for use of the training capacity of the tribal colleges within the designated region, in consultation with the work force training board. The work force training board shall approve the business plan and make recommendations for funding of the business plan to the ~~state board of higher education~~ department of commerce. The ~~state board of higher education~~ department of commerce may establish for each institution of higher education assigned primary responsibility for work force training a revolving loan fund for work force training program startups ~~using the borrowing authority provided in section 15-10-16.1~~.

SECTION 22. AMENDMENT. Section 52-08-11 of the North Dakota Century Code is amended and reenacted as follows:

52-08-11. Performance measurements for work force training. Subject to ~~state board of higher education~~ department of commerce policies, the president of an institution of higher education that is assigned primary responsibility for work force training shall develop, in consultation with the work force training board, performance measurements for work force training. The measurements must include requirements for being time sensitive and results oriented and must determine how well the training needs of business and industry are being met."

Page 14, after line 27, insert:

"**SECTION 26. REPEAL.** Section 22 of chapter 37 of the 1999 Session Laws is repealed."

Page 14, line 28, replace "13, 14, 15, and 16" with "18, 23, 24, and 25"

Renumber accordingly

STATEMENT OF PURPOSE OF AMENDMENT:

Senate Bill No. 2003 - Summary of House Action

	EXECUTIVE BUDGET	SENATE VERSION	HOUSE CHANGES	HOUSE VERSION
University System				
Total all funds	$0	$0	$31,519,243	$31,519,243
Less estimated income	0	0	31,519,243	33,519,243
General fund	$0	$0	$31,519,243	$33,519,243
University System Office				
Total all funds	$77,795,508	$78,121,417	($48,172,798)	$29,946,619
Less estimated income	3,716,622	3,716,622		3,716,622
General fund	$74,078,886	$74,404,795	($48,172,798)	$26,231,997
Bismarck State College				
Total all funds	$15,017,975	$15,017,975	$1,209,218	$16,227,193
Less estimated income	0	0	0	0
General fund	$15,017,975	$15,017,975	$1,209,218	$16,227,193
Lake Region State College				
Total all funds	$4,794,062	$4,794,062	$667,723	$5,261,785
Less estimated income	0	0	325,000	325,000
General fund	$4,794,062	$4,794,062	$342,723	$4,936,785
Williston State College				
Total all funds	$5,183,247	$5,183,247	$295,984	$5,479,231
Less estimated income	0	0	0	0
General fund	$5,183,247	$5,183,247	$295,984	$5,479,231
University of North Dakota				
Total all funds	$96,008,583	$96,008,583	$4,165,429	$99,174,012
Less estimated income	11,210,000	11,210,000	0	11,210,000
General fund	$84,798,583	$84,798,583	$4,165,429	$87,964,012
UND Medical School				
Total all funds	$28,571,646	$28,571,646	$1,434,770	$30,006,416
Less estimated income	0	0	0	0
General fund	$28,571,646	$28,571,646	$1,434,770	$30,006,416
North Dakota State University				
Total all funds	$87,013,231	$86,492,441	$3,406,306	$89,898,747
Less estimated income	21,700,000	21,200,000	0	21,200,000
General fund	$65,313,231	$65,292,441	$3,406,306	$68,698,747
State College of Science				
Total all funds	$26,779,698	$26,699,348	$1,266,174	$27,899,522
Less estimated income	1,000,000	816,730	0	816,730
General fund	$25,779,698	$25,782,618	$1,266,174	$27,082,792
Dickinson State University				
Total all funds	$13,464,784	$13,464,784	$4,685,339	$18,140,133
Less estimated income	0	0	4,000,000	4,000,000
General fund	$13,464,784	$13,464,784	$685,339	$14,140,133

Mayville State University				
Total all funds	[illegible]	[illegible]	$460,741	[illegible]
Less estimated income	[illegible]	[illegible]		[illegible]
General fund	[illegible]	[illegible]	$460,741	[illegible]
Minot State University				
Total all funds	$28,604,343	$26,230,343	$1,302,043	$26,532,386
Less estimated income	2,774,000			
General fund	$26,230,343	$26,230,343	$1,302,043	$26,532,386
Valley City State University				
Total all funds	$11,882,804	$11,882,804	$557,943	$12,440,847
Less estimated income				
General fund	$11,882,804	$11,882,804	$557,943	$12,440,847
Minot State University - Bottineau				
Total all funds	$4,083,153	$4,083,153	$202,087	$4,285,240
Less estimated income				
General fund	$4,083,153	$4,083,153	$202,087	$4,285,240
Forest Service				
Total all funds	$2,819,395	$2,819,395	$0	$2,819,395
Less estimated income	1,050,526	1,050,526		1,050,526
General fund	$1,768,869	$1,768,869	$0	$1,768,869
Department of Commerce				
Total all funds	$0	$0	$194,788	$194,788
Less estimated income				
General fund	$0	$0	$194,788	$194,788
Bill Total				
Total all funds	$408,900,095	$409,847,804	$3,025,000	$412,872,804
Less estimated income	41,502,189	42,094,068	4,325,000	46,419,068
General fund	$367,397,927	$367,753,836	($1,300,000)	$366,453,836

Senate Bill No. 2003 - University System - General Fund Summary

	EXECUTIVE BUDGET	SENATE VERSION	HOUSE CHANGES	HOUSE VERSION
University System			$31,519,243	$31,519,243
University System office	$74,048,686	$74,404,595	(46,172,788)	28,231,807
Bismarck State College	15,017,975	15,017,975	1,209,218	16,227,193
Lake Region State College	4,724,082	4,724,082	232,723	4,956,785
Williston State College	5,183,247	5,183,247	295,984	5,479,231
University of North Dakota	83,788,583	83,788,583	4,165,429	87,954,012
UND Medical School	28,571,646	28,571,646	1,434,770	30,006,416
North Dakota State University	65,282,441	65,282,441	3,406,306	68,688,747
State College of Science	25,776,828	25,776,828	1,206,174	26,982,802
Dickinson State University	13,454,794	13,454,794	685,339	14,140,133
Mayville State University	8,594,596	8,594,596	460,741	9,055,337
Minot State University	25,230,343	25,230,343	1,302,043	26,532,386
Valley City State University	11,882,804	11,882,804	557,943	12,440,847
Minot State University - Bottineau	4,083,153	4,083,153	202,087	4,285,240
Forest Service	1,768,869	1,768,869		1,768,869
Total general fund	$367,397,927	$367,753,836	($1,494,788)	$366,259,048

Detail of House Changes to the General Fund

	REMOVE BLOCK GRANT FUNDING	PROVIDE FUNDING IN INDIVIDUAL LINE ITEMS	PROVIDE FUNDING FOR SYSTEM POOLS	ALLOCATE FUNDING FOR 3/2 SALARY INCREASE	ALLOCATE FUNDING FROM UNIVERSITY SYSTEM TO FUND CAMPUS ACTIVITIES [1]	ALLOCATE FUNDING FOR BOARD MEMBER COMPENSATION [2]
University System			$47,324,184	($13,256,941)	($1,000,000)	($48,000)
University System office	($74,404,595)	$27,660,411		98,184	(1,000,000)	48,000
Bismarck State College				813,218	396,000	
Lake Region State College				172,192	60,531	
Williston State College				228,545	67,439	
University of North Dakota				4,165,429		
UND Medical School				1,264,944	169,826	
North Dakota State University				3,406,306		
State College of Science				818,543	387,631	
Dickinson State University				549,386	135,953	
Mayville State University				276,456	184,282	
Minot State University				1,102,043	200,000	
Valley City State University				424,879	133,064	
Minot State University - Bottineau				136,813	85,274	
Forest Service						
Total general fund	($74,404,595)	$27,660,411	$47,324,184	$0	$0	$0

	REMOVE FUNDING FROM EQUITY AND SPECIAL NEEDS POOL [3]	REMOVE FUNDING FROM TECHNOLOGY POOL [4]	DECREASE FUNDING FOR BOARD INITIATIVES	PROVIDE ADDITIONAL FUNDING FOR EDUCATION INCENTIVE PROGRAMS [5]	ADDS FTE FOR DISTANCE EDUCATION COORDINATOR [6]	REMOVE FUNDING FOR TECHNICAL ADMINISTRATION [7]
University System	($200,000)	($1,300,000)				
University System office			($395,000)	$595,000		($194,788)
Bismarck State College						
Lake Region State College						
Williston State College						
University of North Dakota						
UND Medical School						
North Dakota State University						
State College of Science						
Dickinson State University						
Mayville State University						
Minot State University						
Valley City State University						
Minot State University - Bottineau						
Forest Service						
Total general fund	($200,000)	($1,300,000)	($395,000)	$595,000	$0	($194,788)

	PROVIDE FUNDING FOR CAPITAL CONSTRUCTION PROJECTS [8]	TOTAL GENERAL FUND CHANGES
University System		$31,519,243
University System office		(48,172,788)
Bismarck State College		1,209,218
Lake Region State College		232,723
Williston State College		285,884
University of North Dakota		4,186,429
UND Medical School		1,434,770
North Dakota State University		3,408,306
State College of Science		1,206,174
Dickinson State University		686,339
Mayville State University		460,741
Minot State University		1,302,043
Valley City State University		667,943
Minot State University - Bottineau		202,087
Forest Service		
Total general fund	$0	($1,484,788)

Senate Bill No. 2003 - University System - Other Funds Summary

	EXECUTIVE BUDGET	SENATE VERSION	HOUSE CHANGES	HOUSE VERSION
University System				
University System office	$3,716,822	$3,716,822		$3,716,822
Bismarck State College				
Lake Region State College			$325,000	325,000
Williston State College				
University of North Dakota	11,210,000	11,210,000		11,210,000
UND Medical School				
North Dakota State University	21,750,880	21,200,000		21,200,000
State College of Science	1,000,000	916,720		916,720
Dickinson State University			4,000,000	4,000,000
Mayville State University		4,000,000		4,000,000
Minot State University	2,774,000			
Valley City State University				
Minot State University - Bottineau				
Forest Service	1,050,528	1,050,528		1,050,528
Total other funds	$41,502,188	$42,094,068	$4,325,000	$46,419,068

Detail of House Changes to Other Funds

	REMOVE BLOCK GRANT FUNDING	PROVIDE FUNDING IN INDIVIDUAL LINE ITEMS	PROVIDE FUNDING FOR SYSTEM POOLS	ALLOCATE FUNDING FOR 3/2 SALARY INCREASE	ALLOCATE FUNDING FROM UNIVERSITY SYSTEM TO FUND CAMPUS ACTIVITIES [1]	ALLOCATE FUNDING FOR BOARD MEMBER COMPENSATION [2]
University System						
University System office	($3,716,822)	$3,716,822				
Bismarck State College						
Lake Region State College						
Williston State College						
University of North Dakota						
UND Medical School						

North Dakota State University						
State College of Science						
Dickinson State University						
Mayville State University						
Minot State University						
Valley City State University						
Minot State University - Bottineau						
Forest Service						
Total other funds	($3,716,822)	$3,716,822	$0	$0	$0	$0

	REMOVE FUNDING FROM EQUITY AND SPECIAL NEEDS POOL [3]	REMOVE FUNDING FROM TECHNOLOGY POOL [4]	DECREASE FUNDING FOR BOARD INITIATIVES	PROVIDE ADDITIONAL FUNDING FOR EDUCATION INCENTIVE PROGRAMS [5]	ADDS FTE FOR DISTANCE EDUCATION COORDINATOR [6]	REMOVE FUNDING FOR TECHNICAL ADMINISTRATION [7]
University System						
University System Office						
Bismarck State College						
Lake Region State College						
Williston State College						
University of North Dakota						
UND Medical School						
North Dakota State University						
State College of Science						
Dickinson State University						
Mayville State University						
Minot State University						
Valley City State University						
Minot State University - Bottineau						
Forest Service						
Total other funds	$0	$0	$0	$0	$0	$0

	PROVIDE FUNDING FOR CAPITAL CONSTRUCTION PROJECTS [8]	TOTAL OTHER FUNDS CHANGES
University System		
University System Office		
Bismarck State College		
Lake Region State College	$325,000	$325,000
Williston State College		
University of North Dakota		
UND Medical School		
North Dakota State University		
State College of Science		
Dickinson State University	4,000,000	4,000,000
Mayville State University		
Minot State University		
Valley City State University		
Minot State University - Bottineau		
Forest Service		
Total other funds	$4,325,000	$4,325,000

Senate Bill No. 2003 - University System - All Funds Summary

	EXECUTIVE BUDGET	SENATE VERSION	HOUSE CHANGES	HOUSE VERSION
University System			$31,519,243	$31,519,243
University System office	$77,765,508	$78,121,417	(48,172,788)	29,948,629
Bismarck State College	15,017,975	15,017,975	1,209,218	16,227,193
Lake Region State College	4,724,082	4,724,082	557,723	5,281,785
Williston State College	5,183,247	5,183,247	295,984	5,479,231
University of North Dakota	95,008,583	95,008,583	4,165,429	99,174,012
UND Medical School	28,571,646	28,571,646	1,434,770	30,006,416
North Dakota State University	87,013,281	86,482,441	3,406,306	89,888,747
State College of Science	26,776,628	26,663,348	1,208,174	27,899,522
Dickinson State University	13,454,794	13,454,794	4,685,339	18,140,133
Mayville State University	8,194,596	12,594,596	460,741	13,055,337
Minot State University	26,004,343	25,230,343	1,302,043	26,532,386
Valley City State University	11,882,904	11,882,904	557,943	12,440,847
Minot State University - Bottineau	4,083,153	4,083,153	202,087	4,285,240
Forest Service	2,819,395	2,819,395		2,819,395
Total all funds	$406,900,095	$409,847,904	$2,830,212	$412,678,116
FTE	3,087.39	3,087.39	0.30	3,087.69

Detail of House Changes to All Funds

	REMOVE BLOCK GRANT FUNDING	PROVIDE FUNDING IN INDIVIDUAL LINE ITEMS	PROVIDE FUNDING FOR SYSTEM POOLS	ALLOCATE FUNDING FOR 3/2 SALARY INCREASE	ALLOCATE FUNDING FROM UNIVERSITY SYSTEM TO FUND CAMPUS ACTIVITIES [1]	ALLOCATE FUNDING FOR BOARD MEMBER COMPENSATION [2]
University System			$47,324,184	($13,256,941)	($1,000,000)	($48,000)
University System office	($78,121,417)	$30,797,233		98,184	(1,000,000)	48,000
Bismarck State College				613,218	596,000	
Lake Region State College				172,192	60,531	
Williston State College				228,545	67,436	
University of North Dakota				4,166,429		
UND Medical School				1,264,944	169,826	
North Dakota State University				3,406,306		
State College of Science				818,843	387,631	
Dickinson State University				549,366	136,963	
Mayville State University				276,459	184,282	
Minot State University				1,102,043	200,000	
Valley City State University				424,879	133,064	
Minot State University - Bottineau				136,813	65,274	
Forest Service						
Total all funds	($78,121,417)	$30,797,233	$47,324,184	$0	$0	$0
FTE	0.00	0.00	0.00	0.00	0.00	0.00

	REMOVE FUNDING FROM EQUITY AND SPECIAL NEEDS POOL [3]	REMOVE FUNDING FROM TECHNOLOGY POOL [4]	DECREASE FUNDING FOR BOARD INITIATIVES	PROVIDE ADDITIONAL FUNDING FOR EDUCATION INCENTIVE PROGRAMS [5]	ADDS FTE FOR DISTANCE EDUCATION COORDINATOR [6]	REMOVE FUNDING FOR TECHNICAL ADMINISTRATION [7]
University System	($200,000)	($1,300,000)				
University System office			($395,000)	$595,000		($194,766)
Bismarck State College						
Lake Region State College						
Williston State College						
University of North Dakota						
UND Medical School						
North Dakota State University						
State College of Science						
Dickinson State University						
Mayville State University						
Minot State University						
Valley City State University						
Minot State University - Bottineau						
Forest Service						
Total all funds	($200,000)	($1,300,000)	($395,000)	$595,000	$0	($194,766)
FTE	0.00	0.00	0.00	0.00	1.00	(0.70)

	PROVIDE FUNDING FOR CAPITAL CONSTRUCTION PROJECTS [8]	TOTAL ALL FUNDS CHANGES
University System		$31,519,243
University System office		(48,172,766)
Bismarck State College		1,209,218
Lake Region State College	$325,000	557,723
Williston State College		295,981
University of North Dakota		4,166,429
UND Medical School		1,434,770
North Dakota State University		3,406,306
State College of Science		1,206,174
Dickinson State University	4,000,000	4,685,329
Mayville State University		460,741
Minot State University		1,302,043
Valley City State University		557,943
Minot State University - Bottineau		202,087
Forest Service		
Total all funds	$4,325,000	$2,830,212
FTE	0.00	0.30

[1] This amendment allocates funds from the campus parity and equity funding and innovation initiative funding in the equity and special needs pool of $1 million and board initiatives funding of $1 million to campuses for various activities. The following provides information regarding the allocations to the campuses:

Bismarck State College - Renovation of Schafer Hall	$596,000
Lake Region State College - Costs to continue	60,531

Williston State College - Technology initiative	67,439
State College of Science - Costs to continue	387,631
Dickinson State University - Institutional innovation	136,953
Mayville State University - Costs to continue	184,262
Minot State University - Extraordinary repairs	200,000
Valley City State University - Costs to continue	133,064
Minot State University - Bottineau - Costs to continue	65,274
UND Medical School - Technology initiative	166,836
Total	$2,000,000

2 This amendment allocates funding from the general fund of $48,000 from the salary equity funding provided in the equity and special needs pool to the University System office to provide State Board of Higher Education members including those appointed by the Governor and the faculty representative a monthly compensation of $250, in addition to a per diem of $62.50 per day.

3 This amendment reduces funding from the general fund provided for campus parity and equity and innovation initiative funding in the equity and special needs pool by $200,000.

4 This amendment removes funding from the general fund of $635,100 for on-line course management and $364,900 for costs associated with connecting IVN to all state agencies from the University System technology pool.

5 This amendment provides funding of $595,000 from the general fund for incentives to encourage additional doctoral graduates in North Dakota ($60,000), a technology occupations student loan program authorized in House Bill No. 1283 ($400,000), and a dentists' loan repayment program authorized in Senate Bill No. 2276 ($135,000).

6 This amendment adds one full-time equivalent position to the North Dakota University System office for a distance education coordinator. The funding for the position was included in the Schafer executive budget recommendation and the Senate version of the bill; however, a full-time equivalent position was not authorized in either version.

7 This amendment removes funding of $194,788 from the general fund and .7 of a full-time equivalent position relating to technical administration and the College Technical Education Council.

8 **Lake Region State College** - This amendment provides a special funds appropriation of $325,000 for renovation of the main building front entrance at Lake Region State College.

Dickinson State University - This amendment provides a special funds appropriation of $4 million for renovation and an addition to Whitney Stadium at Dickinson State University.

Senate Bill No. 2003 - Other Changes - House Action

This amendment also:

- Adds a section of legislative intent that provides that the State Board of Higher Education may adjust or increase full-time equivalent positions as needed for institutions.
- Adds a section providing for the following allocation of the funding for education incentive programs: $60,000 for incentives to encourage additional doctoral graduates in North Dakota, $370,000 for teacher retraining scholarships and a teacher student loan forgiveness program, $400,000 for a technology occupations student loan program authorized in House Bill No. 1283, and $135,000 for a dentists' loan repayment program authorized in Senate Bill No. 2276.
- Adds a section providing for the allocation of student financial assistance grant funds.
- Adds a section of legislative intent requiring the State Board of Higher Education to establish a long-term enrollment management plan and procedures for implementation of the plan and to provide progress reports to the Legislative Council during the 2001-02 interim and a final report to the 2003 Legislative Assembly.
- Amends Section 11 of the engrossed bill to revise accountability measures to be reported on by the North Dakota University System.
- Amends Section 12 of the engrossed bill to reduce the amount from $531,720 to $368,920 to allow the State College of Science to proceed with replacement of the Blikre Activities Center floor which the college has funding on hand. The section would continue to require the State College of Science to not proceed with the addition to the Blikre Activities Center at an estimated cost of $368,920 until all funds have been collected.
- Adds a section of legislative intent that provides that Bismarck State College may use funding of $596,000 for renovation of Schafer Hall for classroom renovation only, and no funds from any source may be used for renovation of administrative offices in Schafer Hall.

- Adds sections amending North Dakota Century Code Sections 15-10-08, 52-08-08, 52-08-09, 52-08-10, and 52-08-11 relating to the compensation for members of the State Board of Higher Education and workforce training.

- Adds a section repealing Section 22 of Chapter 37 of the 1999 Session Laws relating to the University of North Dakota winter sports facility maintenance fund.

The following is a summary of the House general fund changes to the Senate version and the Hoeven executive budget recommendations for higher education:

	SCHAFER EXECUTIVE BUDGET	SENATE VERSION	HOUSE CHANGES	HOUSE VERSION	HOEVEN EXECUTIVE BUDGET	INCREASE (DECREASE) TO HOEVEN BUDGET
University System			$31,519,243	$31,519,243		$31,519,243
University System office	$74,048,588	$74,404,595	(49,172,788)	25,231,807	$75,407,586	(49,175,779)
Subtotal - University System	$74,048,588	$74,404,595	($18,653,545)	$57,751,050	$75,407,586	($17,656,536)
Bismarck State College	$15,017,975	$15,017,975	$1,209,218	$16,227,193	$15,017,975	$1,209,218
Lake Region State College	4,724,062	4,724,062	232,723	4,956,785	4,724,062	232,723
Williston State College	5,183,247	5,183,247	295,984	5,479,231	5,183,247	295,984
University of North Dakota	83,796,583	83,796,583	4,165,429	87,964,012	83,796,583	4,165,429
UND Medical School	28,571,646	28,571,646	1,434,770	30,006,416	28,571,646	1,434,770
North Dakota State University	65,262,441	65,262,441	3,406,306	68,668,747	65,262,441	3,406,306
State College of Science	25,776,628	25,776,628	1,206,174	26,982,802	25,776,628	1,206,174
Dickinson State University	13,454,794	13,454,794	685,339	14,140,133	13,454,794	685,339
Mayville State University	8,584,566	8,584,566	460,741	9,055,33[illegible]	8,584,566	460,741
Minot State University	25,230,343	25,230,343	1,302,043	26,532,386	25,405,343	1,127,043
Valley City State University	11,882,904	11,882,904	557,943	12,440,847	11,882,904	557,943
Minot State University - Bottineau	4,063,153	4,063,153	202,087	4,265,240	4,063,153	202,087
Forest Service	1,788,869	1,788,869	———	1,788,869	1,788,869	———
Total	$367,397,927	$367,753,838	($1,494,788)	$366,259,048	$368,931,827	($2,672,779)

The following provides a comparison of the general fund and special funds appropriated for higher education in Engrossed Senate Bill No. 2003 to this proposed amendment:

	ENGROSSED SENATE BILL NO. 2003	PROPOSED AMENDMENT TO ENGROSSED SENATE BILL NO. 2003	DIFFERENCE
General fund	$367,753,838	$366,259,048	($1,494,788)
Special funds	42,094,066	46,419,068	4,325,000
Total	$409,847,904	$412,678,116	$2,830,212

Senate Bill No. 2003 - Dept. 605 - Department of Commerce - House Action

This amendment provides a general fund appropriation of $194,788 and .7 of a full-time equivalent position to the Department of Commerce for the College Technical Education Council.

Date: April 6, 01
Roll Call Vote #: 1

2001 HOUSE STANDING COMMITTEE ROLL CALL VOTES
BILL/RESOLUTION NO. Appropriations Education and Enviroment Division

House _____ Committee

☑ Subcommittee on SB 2003
or
☐ Conference Committee

Legislative Council Amendment Number 0218

Action Taken Adopt Amendments

Motion Made By Rep Martinson Seconded By Rep. Carlisle

Representatives	Yes	No	Representatives	Yes	No
Rep. Janet Wentz -Chairman					
Rep. James Boehm - Vice Chairman					
Rep. Ole Aarsvold					
Rep. Pam Gulleson					
Rep. Bob Martinson					
Rep. David Monson					
Rep. Francis J. Wald					

Verbal Vote Passed

Total (Yes) _____ No _____

Absent _____

Floor Assignment _____

If the vote is on an amendment, briefly indicate intent:

Date: April 6, 01
Roll Call Vote #: 2

2001 HOUSE STANDING COMMITTEE ROLL CALL VOTES
BILL/RESOLUTION NO. Appropriations Education and Enviroment Division

House _____ Committee

☑ Subcommittee on SB 2003
or
☐ Conference Committee

Legislative Council Amendment Number _____

Action Taken Do Pass as Amendment -

Motion Made By Rep Martinson Seconded By Rep. Aarsvold

Representatives	Yes	No	Representatives	Yes	No
Rep. Janet Wentz -Chairman	✓				
Rep. James Boehm - Vice Chairman	✓				
Rep. Ole Aarsvold	✓				
Rep. Pam Gulleson	✓				
Rep. Bob Martinson	✓				
Rep. David Monson	✓				
Rep. Francis J. Wald	✓				

Total (Yes) 7 No 0

Absent 0

Floor Assignment Rep. Wentz

If the vote is on an amendment, briefly indicate intent:

Date: 4-6-01
Roll Call Vote #: 1

2001 HOUSE STANDING COMMITTEE ROLL CALL VOTES
BILL/RESOLUTION NO. SB 2003

House APPROPRIATIONS Committee

☐ Subcommittee on _____
or
☐ Conference Committee

Legislative Council Amendment Number 18029.0218

Action Taken motion to adopt amendment.

Motion Made By Rep. Martinson Seconded By Rep. Aarsvold

Representatives	Yes	No	Representatives	Yes	No
Timm - Chairman					
Wald - Vice Chairman					
Rep - Aarsvold			Rep - Koppelman		
Rep - Boehm			Rep - Martinson		
Rep - Byerly			Rep - Monson		
Rep - Carlisle			Rep - Skarphol		
Rep - Delzer			Rep - Svedjan		
Rep - Glassheim			Rep - Thoreson		
Rep - Gulleson			Rep - Warner		
Rep - Huether			Rep - Wentz		
Rep - Kempenich					
Rep - Kerzman					
Rep - Kliniske					

Total (Yes) _____ No _____

Absent _____

Floor Assignment _____

Passed

If the vote is on an amendment, briefly indicate intent:

Date: 4-6-01
Roll Call Vote #: 2

2001 HOUSE STANDING COMMITTEE ROLL CALL VOTES
BILL/RESOLUTION NO. SB 2003

House APPROPRIATIONS Committee

☐ Subcommittee on

or

☐ Conference Committee

Legislative Council Amendment Number 18029.0220

Action Taken to further adopt amendment from 0218

Motion Made By Rep. Wald Seconded By Rep. Skarphol

Representatives	Yes	No	Representatives	Yes	No
Timm - Chairman					
Wald - Vice Chairman					
Rep - Aarsvold			Rep - Koppelman		
Rep - Boehm			Rep - Martinson		
Rep - Byerly			Rep - Monson		
Rep - Carlisle			Rep - Skarphol		
Rep - Delzer			Rep - Svedjan		
Rep - Glassheim			Rep - Thoreson		
Rep - Gulleson			Rep - Warner		
Rep - Huether			Rep - Wentz		
Rep - Kempenich					
Rep - Kerzman					
Rep - Klinisky					

Total (Yes) No

Absent

Floor Assignment

Passed

If the vote is on an amendment, briefly indicate intent:

to delete distance ed. coordinator funding pg 13. footnote 6.

18029.0219
Title.

Prepared by the Legislative Council staff for
Representative Kempenich
April 6, 2001

PROPOSED AMENDMENTS TO ENGROSSED SENATE BILL NO. 2003

Page 1, line 2, remove "a" and replace "study" with "studies"

Page 6, after line 6, insert:

"**SECTION 10. LEGISLATIVE COUNCIL STUDY - BOARD OF HIGHER EDUCATION - CHANCELLOR.** The legislative council shall consider studying during the 2001-02 interim the role of the state board of higher education and the chancellor in the North Dakota university system, including the communications between individual campuses, the board, and the chancellor."

Page 14, line 28, replace "13, 14, 15, and 16" with "14, 15, 16, and 17"

Renumber accordingly

STATEMENT OF PURPOSE OF AMENDMENT:

HOUSE - This amendment provides for a Legislative Council study of the role of the State Board of Higher Education and the chancellor in the University System, including the communications between campuses, the board, and the chancellor.

Date: 4-6-01
Roll Call Vote #: 3

2001 HOUSE STANDING COMMITTEE ROLL CALL VOTES
BILL/RESOLUTION NO. SB2003

House APPROPRIATIONS Committee

☐ Subcommittee on

or

☐ Conference Committee

Legislative Council Amendment Number 18029.0219

Action Taken to adopt amendment

Motion Made By Rep. Kempenich Seconded By Rep. Thoreson

Representatives	Yes	No	Representatives	Yes	No
Timm - Chairman					
Wald - Vice Chairman					
Rep - Aarsvold			Rep - Koppelman		
Rep - Boehm			Rep - Martinson		
Rep - Byerly			Rep - Monson		
Rep - Carlisle			Rep - Skarphol		
Rep - Delzer			Rep - Svedjan		
Rep - Glassheim			Rep - Thoreson		
Rep - Gulleson			Rep - Warner		
Rep - Huether			Rep - Wentz		
Rep - Kempenich					
Rep - Kerzman					
Rep - Klinisky					

voice vote

Total (Yes) _____ No _____

Absent _____

Floor Assignment _____

passed

If the vote is on an amendment, briefly indicate intent:

18029.0208
Title.

Prepared by the Legislative Council staff for
Representative Byerly
March 20, 2001

PROPOSED AMENDMENTS TO ENGROSSED SENATE BILL NO. 2003

Page 1, line 3, after the semicolon insert "to create and enact a new section to chapter 15-10 of the North Dakota Century Code, relating to a resident preference in campus residential assignments;"

Page 11, after line 29, insert:

"**SECTION 13.** A new section to chapter 15-10 of the North Dakota Century Code is created and enacted as follows:

Residential assignments - Resident preference. Each institution of higher education under the control of the state board of higher education shall provide a first preference to North Dakota resident students in the assignment of any on-campus residential accommodations or in the assignment of any off-campus residential accommodations in buildings owned or controlled by the board."

Page 14, line 28, remove "13," remove "and", and after "16" insert ", and 17"

Renumber accordingly

Date: 4-6-01
Roll Call Vote #: 4

2001 HOUSE STANDING COMMITTEE ROLL CALL VOTES
BILL/RESOLUTION NO. SB2003

House APPROPRIATIONS Committee

☐ Subcommittee on _____
or
☐ Conference Committee

Legislative Council Amendment Number 18029.0208

Action Taken to adopt amendment.

Motion Made By Rep. Byerly Seconded By Rep. Delzer

Representatives	Yes	No	Representatives	Yes	No
Timm - Chairman					
Wald - Vice Chairman					
Rep - Aarsvold			Rep - Koppelman		
Rep - Boehm			Rep - Martinson		
Rep - Byerly			Rep - Monson		
Rep - Carlisle			Rep - Skarphol		
Rep - Delzer			Rep - Svedjan		
Rep - Glassheim			Rep - Thoreson		
Rep - Gulleson			Rep - Warner		
Rep - Huether			Rep - Wentz		
Rep - Kempenich					
Rep - Kerzman					
Rep - Klinisko					

Total (Yes) _____ No _____

Absent _____

Floor Assignment _____

If the vote is on an amendment, briefly indicate intent:

18029.0207
Title.

Prepared by the Legislative Council staff for
Representatives Wald and Aarsvold
March 16, 2001

PROPOSED AMENDMENTS TO ENGROSSED SENATE BILL NO. 2003

Page 6, after line 6, insert:

"**SECTION 10. LEGISLATIVE INTENT - ALTERNATIVE HEATING SOURCES.** It is the intent of the legislative assembly that institutions under the control of the state board of higher education explore the possible use of alternative heating sources, including the use of North Dakota coal."

Renumber accordingly

STATEMENT OF PURPOSE OF AMENDMENT:

HOUSE - This amendment adds a section of legislative intent encouraging institutions under the control of the State Board of Higher Education to explore the possible use of alternative heating sources.

Date: 4-6-01
Roll Call Vote #: 5

2001 HOUSE STANDING COMMITTEE ROLL CALL VOTES
BILL/RESOLUTION NO. SB 2003

House APPROPRIATIONS Committee

☐ Subcommittee on _____
or
☐ Conference Committee

Legislative Council Amendment Number 18029.0207

Action Taken to adopt amendment

Motion Made By Rep. Wald Seconded By Rep. Kempenich

Representatives	Yes	No	Representatives	Yes	No
Timm - Chairman					
Wald - Vice Chairman					
Rep - Aarsvold			Rep - Koppelman		
Rep - Boehm			Rep - Martinson		
Rep - Byerly			Rep - Monson		
Rep - Carlisle			Rep - Skarphol		
Rep - Delzer			Rep - Svedjan		
Rep - Glassheim			Rep - Thoreson		
Rep - Gulleson			Rep - Warner		
Rep - Huether			Rep - Wentz		
Rep - Kempenich					
Rep - Kerzman					
Rep - Klinisky					

Total (Yes) _____ No _____

Absent _____

Floor Assignment _____

Passed.

If the vote is on an amendment, briefly indicate intent:

18029.0202
Title.

Prepared by the Legislative Council staff for
Representative Kliniske
March 6, 2001

PROPOSED AMENDMENTS TO ENGROSSED SENATE BILL NO. 2003

Page 15, line 1, replace "section" with "sections" and after "1" insert "and 19"

Page 15, after line 3, insert:

"**SECTION 19. APPROPRIATION.** The funds provided in this section, or so much of the funds as may be necessary, are appropriated out of any moneys in the general fund in the state treasury, not otherwise appropriated, to the university of North Dakota for the purpose of defraying flood-related expenses, for the period beginning with the effective date of this Act and ending June 30, 2001, as follows:

1997 flood expenditures	$269,676
Total general fund appropriation	$269,676"

Renumber accordingly

STATEMENT OF PURPOSE OF AMENDMENT:

HOUSE - This amendment provides a deficiency appropriation to the University of North Dakota for additional 1997 flood-related expenditures.

Date: 4-6-01
Roll Call Vote #: 6

2001 HOUSE STANDING COMMITTEE ROLL CALL VOTES
BILL/RESOLUTION NO. SB 2003

House APPROPRIATIONS Committee

☐ Subcommittee on _____
or
☐ Conference Committee

Legislative Council Amendment Number 18029.0202

Action Taken Motion to adopt amendment

Motion Made By Rep. Kliniski Seconded By Rep. Svedjan

Representatives	Yes	No	Representatives	Yes	No
Timm - Chairman					
Wald - Vice Chairman					
Rep - Aarsvold			Rep - Koppelman		
Rep - Boehm			Rep - Martinson		
Rep - Byerly			Rep - Monson		
Rep - Carlisle			Rep - Skarphol		
Rep - Delzer			Rep - Svedjan		
Rep - Glassheim			Rep - Thoreson		
Rep - Gulleson			Rep - Warner		
Rep - Huether			Rep - Wentz		
Rep - Kempenich					
Rep - Kerzman					
Rep - Kliniske					

Total (Yes) _____ No _____

Absent _____

Floor Assignment _____ Passes.

If the vote is on an amendment, briefly indicate intent:

18029.0215
Title.

Prepared by the Legislative Council staff for
Representative Wald
April 4, 2001

PROPOSED AMENDMENTS TO ENGROSSED SENATE BILL NO. 2003

Page 1, line 2, after the first semicolon insert "to provide a contingent appropriation;"

Page 4, line 18, replace "367,753,836" with "374,535,024"

Page 4, line 20, replace "409,847,904" with "416,629,092"

Page 4, after line 20, insert:

"**SECTION 2. CONTINGENT APPROPRIATION - MURPHY HALL BUILDING PROJECT.** There is appropriated out of any moneys in the general fund in the state treasury, not otherwise appropriated, the sum of $6,781,155, or so much of the sum as may be necessary, to Dickinson state university for the purpose of completing the Murphy hall renovation and addition project, for the biennium beginning July 1, 2001, and ending June 30, 2003. This appropriation becomes effective only upon a determination by the director of the office of management and budget, in December 2002, that estimated general fund revenues for the biennium ending June 30, 2003, exceed the estimates made by the fifty-seventh legislative assembly by at least $25,000,000."

Page 14, line 28, replace "13" with "14", replace "14" with "15", replace "15" with "16", and replace "16" with "17"

Renumber accordingly

STATEMENT OF PURPOSE OF AMENDMENT:

Dept. 239 - Dickinson State University - House Action

A contingent appropriation section is added providing $6,781,155 from the general fund for the Murphy Hall renovation and addition project if revenue projections released by the Office of Management and Budget in December 2002 indicate that 2001-03 biennium general fund revenues exceed the estimates made by the 2001 Legislative Assembly by at least $25 million.

Date: 4-6-01
Roll Call Vote #: 7

2001 HOUSE STANDING COMMITTEE ROLL CALL VOTES
BILL/RESOLUTION NO. SB 2003

House APPROPRIATIONS Committee

☐ Subcommittee on _____
or
☐ Conference Committee

Legislative Council Amendment Number 18029.0215

Action Taken Motion to adopt amendment

Motion Made By Rep. Wald Seconded By Rep. Kerzman

Representatives	Yes	No	Representatives	Yes	No
Timm - Chairman					
Wald - Vice Chairman					
Rep - Aarsvold			Rep - Koppelman		
Rep - Boehm			Rep - Martinson		
Rep - Byerly			Rep - Monson		
Rep - Carlisle			Rep - Skarphol		
Rep - Delzer			Rep - Svedjan		
Rep - Glassheim			Rep - Thoreson		
Rep - Gulleson			Rep - Warner		
Rep - Huether			Rep - Wentz		
Rep - Kempenich					
Rep - Kerzman					
Rep - Kliniske					

Total (Yes) _____ No _____

Absent _____

Floor Assignment _____

If the vote is on an amendment, briefly indicate intent:

Failed

Date: 4-6-01
Roll Call Vote #: 8

2001 HOUSE STANDING COMMITTEE ROLL CALL VOTES
BILL/RESOLUTION NO. SB2003

House APPROPRIATIONS Committee

☐ Subcommittee on _____
or
☐ Conference Committee

Legislative Council Amendment Number _____

Action Taken to adopt amendment.

Motion Made By Rep. Warner Seconded By Rep. Kempenich

Representatives	Yes	No	Representatives	Yes	No
Timm - Chairman					
Wald - Vice Chairman					
Rep - Aarsvold			Rep - Koppelman		
Rep - Boehm			Rep - Martinson		
Rep - Byerly			Rep - Monson		
Rep - Carlisle			Rep - Skarphol		
Rep - Delzer			Rep - Svedjan		
Rep - Glassheim			Rep - Thoreson		
Rep - Gulleson			Rep - Warner		
Rep - Huether			Rep - Wentz		
Rep - Kempenich					
Rep - Kerzman					
Rep - Klinisko					

Total (Yes) _____ No _____

Absent _____

Floor Assignment _____

If the vote is on an amendment, briefly indicate intent:

increase board salary to $75.00/day.

Date: 4-6-01
Roll Call Vote #: 9

2001 HOUSE STANDING COMMITTEE ROLL CALL VOTES
BILL/RESOLUTION NO. SB2003

House APPROPRIATIONS Committee

☐ Subcommittee on _____
or
☐ Conference Committee

Legislative Council Amendment Number 18029.0220

Action Taken Motion to amend

Motion Made By Rep. Delzer Seconded By Rep. Skarphol

Representatives	Yes	No	Representatives	Yes	No
Timm - Chairman	✓				
Wald - Vice Chairman	✓				
Rep - Aarsvold		✓	Rep - Koppelman	✓	
Rep - Boehm	✓		Rep - Martinson		✓
Rep - Byerly	✓		Rep - Monson	✓	
Rep - Carlisle		✓	Rep - Skarphol	✓	
Rep - Delzer	✓		Rep - Svedjan	✓	
Rep - Glassheim		✓	Rep - Thoreson	✓	
Rep - Gulleson		✓	Rep - Warner		✓
Rep - Huether			Rep - Wentz		✓
Rep - Kempenich	✓				
Rep - Kerzman		✓			
Rep - Kliniske	✓				

Voice vote

Total (Yes) 12 No 8

Absent 1

Floor Assignment _____

Passed

If the vote is on an amendment, briefly indicate intent:

request special report to be given to legislatores.

18029.0220
Title.
Fiscal No. 8

Prepared by the Legislative Council staff for
House Appropriations - Education and
Environment
April 9, 2001

PROPOSED AMENDMENTS TO ENGROSSED SENATE BILL NO. 2003

Page 1, line 2, after "system" insert "; to provide an appropriation to the department of commerce", remove "a", and replace "study" with "studies"

Page 1, line 3, after "sections" insert "15-10-08," and after the first comma insert "52-08-08, 52-08-09, 52-08-10, 52-08-11, and"

Page 1, line 4, after "to" insert "compensation for members of the board of higher education,"

Page 1, line 5, after the first comma insert "workforce training,"

Page 1, line 6, after the first semicolon insert "to repeal section 22 of chapter 37 of the 1999 Session Laws relating to the university of North Dakota winter sports facility maintenance fund;"

Page 1, replace lines 16 through 19 with:

"Salaries and wages	$2,498,553
Operating expenses	1,013,844
Equipment	25,481
Student financial assistance grants	4,088,031
Information technology management	216,676
Professional student exchange program	1,560,716
Disabled student services	51,560
Contingency and capital improvement emergency fund	344,309
Scholars program	770,730
Native American scholarships	204,082
Title II	534,000
Competitive research program	4,000,000
Board initiatives	870,797"

Page 1, line 20, replace "12,730,941" with "12,730,841"

Page 1, after line 20, insert:

"Education incentive programs	965,000"

Page 1, line 21, replace "78,121,417" with "29,874,620"

Page 1, line 23, replace "74,404,595" with "26,157,798"

Page 1, after line 24, insert:

"NORTH DAKOTA UNIVERSITY SYSTEM

Equity and special needs pool	$4,580,824
Technology pool	26,938,419
General fund appropriation	$31,519,243

Subdivision 3."

Page 2, line 2, replace "14,767,975" with "15,381,193"

Page 2, line 3, replace "250,000" with "846,000"

Page 2, line 4, replace "15,017,975" with "16,227,193"

Page 2, line 5, replace "3" with "4"

Page 2, line 7, replace "4,649,231" with "4,881,954"

Page 2, line 8, replace "74,831" with "399,831"

Page 2, after line 8, insert:

"Total all funds	$5,281,785
Less estimated income	325,000"

Page 2, line 9, replace "4,724,062" with "4,956,785"

Page 2, line 10, replace "4" with "5"

Page 2, line 12, replace "5,094,457" with "5,390,441"

Page 2, line 14, replace "5,183,247" with "5,479,231"

Page 2, line 15, replace "5" with "6"

Page 2, line 17, replace "81,436,447" with "85,601,876"

Page 2, line 19, replace "95,008,583" with "99,174,012"

Page 2, line 21, replace "83,798,583" with "87,964,012"

Page 2, line 22, replace "6" with "7"

Page 2, line 24, replace "63,524,910" with "66,931,216"

Page 2, line 26, replace "86,462,441" with "89,868,747"

Page 2, line 28, replace "65,262,441" with "68,668,747"

Page 2, line 29, replace "7" with "8"

Page 3, line 1, replace "22,893,128" with "24,099,302"

Page 3, line 3, replace "26,693,348" with "27,899,522"

Page 3, line 5, replace "25,776,628" with "26,982,802"

Page 3, line 6, replace "8" with "9"

Page 3, line 8, replace "13,060,832" with "13,746,171"

Page 3, line 9, replace "393,962" with "4,393,962"

Page 3, after line 9, insert:

"Total all funds	$18,140,133

Less estimated income 4,000,000"

Page 3, line 10, replace "13,454,794" with "14,140,133"

Page 3, line 11, replace "9" with "10"

Page 3, line 13, replace "7,870,007" with "8,330,748"

Page 3, line 15, replace "12,594,596" with "13,055,337"

Page 3, line 17, replace "8,594,596" with "9,055,337"

Page 3, line 18, replace "10" with "11"

Page 3, line 20, replace "24,817,493" with "25,919,536"

Page 3, line 21, replace "412,850" with "612,850"

Page 3, line 22, replace "25,230,343" with "26,532,386"

Page 3, line 23, replace "11" with "12"

Page 3, line 25, replace "10,767,570" with "11,325,513"

Page 3, line 27, replace "11,882,904" with "12,440,847"

Page 3, line 28, replace "12" with "13"

Page 4, line 1, replace "3,895,490" with "4,097,577"

Page 4, line 3, replace "4,083,153" with "4,285,240"

Page 4, line 4, replace "13" with "14"

Page 4, line 14, replace "14" with "15"

Page 4, line 16, replace "28,571,646" with "30,006,416"

Page 4, line 17, replace "28,571,646" with "30,006,416"

Page 4, line 18, replace "367,753,836" with "366,379,827"

Page 4, line 19, replace "42,094,068" with "46,419,068"

Page 4, line 20, replace "409,847,904" with "412,798,895"

Page 4, line 21, replace "campus-based programs and" with "higher education contingency and capital improvements emergency fund,"

Page 4, line 22, remove "contingencies and" and after "initiatives" insert ", and disabled student services"

Page 4, after line 30, insert:

"**SECTION 4. APPROPRIATION.** There is hereby appropriated out of any moneys in the general fund in the state treasury, not otherwise appropriated, the sum of $194,788, or so much of the sum as may be necessary, to the department of commerce

for the college technical education council, for the biennium beginning July 1, 2001, and ending June 30, 2003.

SECTION 5. APPROPRIATION. The funds provided in this section, or so much of the funds as may be necessary, are appropriated out of any moneys in the general fund in the state treasury, not otherwise appropriated, to the university of North Dakota for the purpose of defraying flood-related expenses, for the period beginning with the effective date of this Act and ending June 30, 2001, as follows:

1997 flood expenditures	$269,676
Total general fund appropriation	$269,676"

Page 5, replace lines 9 through 29 with:

"**SECTION 8. LEGISLATIVE INTENT - FULL-TIME EQUIVALENTS.** The state board of higher education is authorized to adjust full-time equivalent positions as needed, subject to the availability of funds, for institutions and entities in subdivisions 3 through 15 of section 1 of this Act. The university system shall report any adjustments to the office of management and budget prior to the submission of the 2003-05 biennium budget request.

SECTION 9. STUDENT FINANCIAL ASSISTANCE GRANTS. The funds appropriated for student financial assistance grants in section 1 of this Act shall be allocated not less than twenty percent to students at private institutions with the remaining funds allocated to students at public and Native American institutions.

SECTION 10. EDUCATION INCENTIVE PROGRAMS. Of the funds appropriated for education incentives in section 1 of this Act, $60,000 is to provide incentives to encourage additional doctoral graduates in North Dakota, $370,000 is provided for teacher retraining scholarships and a teacher student loan forgiveness program, $400,000 is provided for a technology occupations student loan program authorized in House Bill No. 1283, and $135,000 is provided for a dentists' loan repayment program authorized in Senate Bill No. 2276.

SECTION 11. TECHNOLOGY POOL. The technology pool amount in subdivision 2 of section 1 must be used for the benefit of the institutions and entities in section 1 as determined by the state board of higher education. Technology funding allocations are to be made based on historic funding, the North Dakota university system information technology plan, the statewide network plan, base funding for higher education computer network computer center operations, and base funding for interactive video network and on-line dakota information network operations.

SECTION 12. EQUITY AND SPECIAL NEEDS POOL. The equity and special needs pool in subdivision 2 of section 1 must be used for the benefit of the institutions and entities in subdivisions 3 through 15 of section 1 as determined by the state board of higher education. When making allocations from the equity and special needs pool, the state board of higher education shall allocate the funds to address equity and parity funding needs and to support new initiatives or program costs consistent with board and statewide needs."

Page 6, after line 6, insert:

"**SECTION 14. LEGISLATIVE INTENT - ENROLLMENT MANAGEMENT PLAN.** It is the intent of the legislative assembly that the state board of higher

education establish a long-term enrollment management plan and procedures for implementation of the plan. The board shall report to the legislative council during the 2001-02 interim on the board's progress toward establishing a long-term enrollment management plan and related implementation procedures and provide the final report to the fifty-eighth legislative assembly.

SECTION 15. LEGISLATIVE INTENT - ALTERNATIVE HEATING SOURCES. It is the intent of the legislative assembly that institutions under the control of the state board of higher education explore the possible use of alternative heating sources, including the use of North Dakota coal.

SECTION 16. LEGISLATIVE COUNCIL STUDY - BOARD OF HIGHER EDUCATION - CHANCELLOR. The legislative council shall consider studying during the 2001-02 interim the role of the state board of higher education and the chancellor in the North Dakota university system, including the communications between individual campuses, the board, and the chancellor."

Page 6, replace lines 16 through 31 with:

"1. Education excellence, including:

   a. Student performance on nationally recognized exams in their major fields compared to the national averages.

   b. First-time licensure pass rates compared to other states.

   c. Alumni-reported and student-reported satisfaction with preparation in selected major, acquisition of specific skills, and technology knowledge and abilities.

   d. Employer-reported satisfaction with preparation of recently hired graduates.

   e. Faculty-reported and staff-reported satisfaction with the university system and local institution administration.

   f. Ratio of faculty and staff to students.

   g. Student graduation and retention rates.

2. Economic development, including:

   a. Enrollment in entrepreneurship courses and the number of graduates of entrepreneurship programs.

   b. Percentage of university system graduates obtaining employment appropriate to their education in the state.

   c. Average salary of university system graduates employed in the state as a percentage of the national average.

   d. Number of businesses and employees in the region receiving training.

   e. Number of new jobs created in the state as a direct result of the efforts of a university system graduate.

3. Student access, including:

a. Proportion of residents of the state who are within a forty-five-minute drive of a location at which they can receive educational programs from a provider.

b. Number and proportion of enrollments in courses offered by nontraditional methods.

4. Student affordability, including:

a. Tuition and fees on a per student basis compared to the regional average.

b. Tuition and fees as a percentage of median North Dakota household income.

c. Cost per student in terms of general fund appropriations and total university system funding.

d. Administrative, instructional, and other cost per student.

e. Per capita general fund appropriations for higher education.

f. State general fund appropriation levels for university system institutions compared to peer institutions general fund appropriation levels.

5. Financial operations, including:

a. Percentage of total university system funding used for instruction, research, and public service.

b. Percentage of total university system funding used for institutional support, operations, and maintenance of physical plant.

c. Ratio measuring the funding derived from operating and contributed income compared to total university system funding.

d. Ratio measuring the size of the university system's outstanding maintenance as compared to its expendable net assets.

e. Ratio measuring the amount of expendable net assets as compared to the amount of long-term debt.

f. Research expenditures in proportion to the amount of revenue generated by research activity and funding received for research activity."

Page 7, remove lines 1 through 31

Page 8, remove lines 1 through 30

Page 9, remove lines 1 through 30

Page 10, remove lines 1 through 31

Page 11, remove lines 1 through 21

Page 11, line 24, replace "$531,720" with "$368,920" and replace "7" with "8"

Page 11, line 25, replace "renovation of" with "an addition to"

Page 11, line 26, replace "renovation" with "construction of an addition"

Page 11, line 27, replace "$531,720" with "$368,920"

Page 11, line 29, replace "renovation" with "addition"

Page 11, after line 29, insert:

"**SECTION 20. LEGISLATIVE INTENT - BISMARCK STATE COLLEGE - SCHAFER HALL RENOVATION.** It is the intent of the legislative assembly that funding of $596,000 included in the capital assets line item in subdivision 3 of section 1 of this Act for renovation of Schafer hall be used only for renovation of classroom or student-related areas. No funds from any source may be used for renovation of administrative offices in Schafer hall.

SECTION 21. AMENDMENT. Section 15-10-08 of the North Dakota Century Code is amended and reenacted as follows:

15-10-08. Compensation of board members - Expenses - Legislative appropriations. Each member of the state board of higher education, except the student member, is entitled to receive as compensation two hundred fifty dollars per month, sixty-two dollars and fifty cents per day for each calendar day actually spent devoted to the duties of office, and necessary expenses in the same manner and amounts as other state officials for attending meetings and performing other functions of office. The faculty adviser is entitled to receive as compensation two hundred fifty dollars per month and necessary expenses in the same manner and amounts as other state officials for attending meetings and performing other functions of office. The legislative assembly shall provide adequate funds to carry out the functions and duties of the board."

Page 13, after line 2, insert:

"**SECTION 23. AMENDMENT.** Section 52-08-08 of the North Dakota Century Code is amended and reenacted as follows:

52-08-08. Institution to serve work force needs. Subject to ~~state board of higher education~~ department of commerce policies, the president of an institution of higher education that is assigned primary responsibility for work force training shall establish a division or other unit within the institution to serve the work force needs of business and industry and to serve as a broker in arranging the delivery of training.

SECTION 24. AMENDMENT. Section 52-08-09 of the North Dakota Century Code is amended and reenacted as follows:

52-08-09. Work force training board - Formation. Subject to ~~state board of higher education~~ department of commerce policies, the president of an institution of higher education that is assigned primary responsibility for work force training shall appoint a work force training board consisting of representatives from businesses,

labor, and industries located within the institution's delivery area. The work force training board must consist of at least seven but no more than fifteen members and must include at least one representative from either an Indian-owned business, the tribal government, or the tribal colleges within the designated region.

SECTION 25. AMENDMENT. Section 52-08-10 of the North Dakota Century Code is amended and reenacted as follows:

52-08-10. Preparation of business plan - Revolving loans. Subject to ~~state board of higher education~~ department of commerce policies, the president of an institution of higher education that is assigned primary responsibility for work force training shall prepare an annual business plan that must include provisions for use of the training capacity of the tribal colleges within the designated region, in consultation with the work force training board. The work force training board shall approve the business plan and make recommendations for funding of the business plan to the ~~state board of higher education~~ department of commerce. The ~~state board of higher education~~ department of commerce may establish for each institution of higher education assigned primary responsibility for work force training a revolving loan fund for work force training program startups ~~using the borrowing authority provided in section 15-10-16.1~~.

SECTION 26. AMENDMENT. Section 52-08-11 of the North Dakota Century Code is amended and reenacted as follows:

52-08-11. Performance measurements for work force training. Subject to ~~state board of higher education~~ department of commerce policies, the president of an institution of higher education that is assigned primary responsibility for work force training shall develop, in consultation with the work force training board, performance measurements for work force training. The measurements must include requirements for being time sensitive and results oriented and must determine how well the training needs of business and industry are being met."

Page 14, line 16, after "section" insert "and the North Dakota university system shall report on the amounts and uses of funds carried over from one biennium to the next to subsequent appropriation committees of the legislative assembly"

Page 14, after line 27, insert:

"**SECTION 30. REPEAL.** Section 22 of chapter 37 of the 1999 Session Laws is repealed."

Page 14, line 28, replace "13, 14, 15, and 16" with "22, 27, 28, and 29"

Page 15, line 1, replace "section" with "sections" and after "1" insert "and 5"

Renumber accordingly

STATEMENT OF PURPOSE OF AMENDMENT:

Senate Bill No. 2003 - Summary of House Action

	EXECUTIVE BUDGET	SENATE VERSION	HOUSE CHANGES	HOUSE VERSION
University System				
Total all funds	$0	$0	$31,519,243	$31,519,243
Less estimated income				

General fund	$0	$0	$31,519,243	$31,519,243
University System office				
Total all funds	$77,765,508	$78,121,417	($48,246,797)	$29,874,620
Less estimated income	3,716,822	3,716,822		3,716,822
General fund	$74,048,686	$74,404,595	($48,246,797)	$26,157,798
Bismarck State College				
Total all funds	$15,017,975	$15,017,975	$1,209,218	$16,227,193
Less estimated income				
General fund	$15,017,975	$15,017,975	$1,209,218	$16,227,193
Lake Region State College				
Total all funds	$4,724,062	$4,724,062	$557,723	$5,281,785
Less estimated income			325,000	325,000
General fund	$4,724,062	$4,724,062	$232,723	$4,956,785
Williston State College				
Total all funds	$5,183,247	$5,183,247	$295,984	$5,479,231
Less estimated income				
General fund	$5,183,247	$5,183,247	$295,984	$5,479,231
University of North Dakota				
Total all funds	$95,008,583	$95,008,583	$4,165,429	$99,174,012
Less estimated income	11,210,000	11,210,000		11,210,000
General fund	$83,798,583	$83,798,583	$4,165,429	$87,964,012
UND Medical School				
Total all funds	$28,571,646	$28,571,646	$1,434,770	$30,006,416
Less estimated income				
General fund	$28,571,646	$28,571,646	$1,434,770	$30,006,416
North Dakota State University				
Total all funds	$87,013,261	$86,462,441	$3,406,306	$89,868,747
Less estimated income	21,750,820	21,200,000		21,200,000
General fund	$65,262,441	$65,262,441	$3,406,306	$68,668,747
State College of Science				
Total all funds	$26,776,626	$26,693,346	$1,206,174	$27,899,522
Less estimated income	1,000,000	916,720		916,720
General fund	$25,776,626	$25,776,626	$1,206,174	$26,982,802
Dickinson State University				
Total all funds	$13,454,794	$13,454,794	$4,685,339	$18,140,133
Less estimated income			4,000,000	4,000,000
General fund	$13,454,794	$13,454,794	$685,339	$14,140,133
Mayville State University				
Total all funds	$8,594,596	$12,594,596	$460,741	$13,055,337
Less estimated income		4,000,000		4,000,000
General fund	$8,594,596	$8,594,596	$460,741	$9,055,337
Minot State University				
Total all funds	$28,004,343	$25,230,343	$1,302,043	$26,532,386
Less estimated income	2,774,000			
General fund	$25,230,343	$25,230,343	$1,302,043	$26,532,386
Valley City State University				
Total all funds	$11,882,904	$11,882,904	$557,943	$12,440,847
Less estimated income				
General fund	$11,882,904	$11,882,904	$557,943	$12,440,847
Minot State University - Bottineau				
Total all funds	$4,083,153	$4,083,153	$202,087	$4,285,240
Less estimated income				
General fund	$4,083,153	$4,083,153	$202,087	$4,285,240
Forest Service				
Total all funds	$2,819,395	$2,819,395	$0	$2,819,395
Less estimated income	1,050,526	1,050,526		1,050,526
General fund	$1,768,869	$1,768,869	$0	$1,768,869
Department of Commerce				
Total all funds	$0	$0	$194,788	$194,788
Less estimated income				
General fund	$0	$0	$194,788	$194,788
Bill Total				
Total all funds	$408,900,095	$409,847,904	$2,950,991	$412,798,895
Less estimated income	41,502,168	42,094,068	4,325,000	46,419,068
General fund	$367,397,927	$367,753,836	($1,374,009)	$366,379,827

Senate Bill No. 2003 - University System - General Fund Summary

	EXECUTIVE BUDGET	SENATE VERSION	HOUSE CHANGES	HOUSE VERSION
University System			$31,519,243	$31,519,243
University System office	$74,048,686	$74,404,595	(48,246,797)	26,157,798
Bismarck State College	15,017,975	15,017,975	1,209,218	16,227,193
Lake Region State College	4,724,062	4,724,062	232,723	4,956,785
Williston State College	5,183,247	5,183,247	295,984	5,479,231
University of North Dakota	83,798,583	83,798,583	4,165,429	87,964,012

UND Medical School	[illegible]	[illegible]	1,434,770	30,006,416
North Dakota State University	[illegible]	[illegible]	3,406,306	69,648,747
State College of Science	[illegible]	[illegible]	1,206,174	26,982,802
Dickinson State University	13,454,794	13,454,794	685,339	14,140,133
Mayville State University	8,596,596	8,596,596	460,741	9,056,337
Minot State University	26,230,343	26,230,343	1,302,043	26,532,386
Valley City State University	11,882,904	11,882,904	557,943	12,440,847
Minot State University - Bottineau	4,083,153	4,083,153	202,087	4,285,240
Forest Service	1,798,869	1,798,869	----------	1,798,869
Total general fund	$367,307,927	$367,753,836	($1,568,797)	$366,185,039

Detail of House Changes to the General Fund

	REMOVE BLOCK GRANT FUNDING	PROVIDE FUNDING IN INDIVIDUAL LINE ITEMS	PROVIDE FUNDING FOR SYSTEM POOLS	ALLOCATE FUNDING FOR 3/2 SALARY INCREASE	ALLOCATE FUNDING FROM UNIVERSITY SYSTEM TO FUND CAMPUS ACTIVITIES [1]	ALLOCATE FUNDING FOR BOARD MEMBER COMPENSATION [2]
University System			$47,324,184	($13,256,941)	($1,000,000)	($48,000)
University System office	($74,404,595)	$27,080,411		96,184	(1,000,000)	48,000
Bismarck State College				613,218	596,000	
Lake Region State College				172,192	60,531	
Williston State College				228,545	67,439	
University of North Dakota				4,165,429		
UND Medical School				1,264,944	169,826	
North Dakota State University				3,406,306		
State College of Science				818,543	387,631	
Dickinson State University				549,386	135,953	
Mayville State University				276,459	184,282	
Minot State University				1,102,043	200,000	
Valley City State University				424,879	133,064	
Minot State University - Bottineau				136,813	65,274	
Forest Service						
Total general fund	($74,404,595)	$27,080,411	$47,324,184	$0	$0	$0

	REMOVE FUNDING FROM EQUITY AND SPECIAL NEEDS POOL [3]	REMOVE FUNDING FROM TECHNOLOGY POOL [4]	DECREASE FUNDING FOR BOARD INITIATIVES	PROVIDE ADDITIONAL FUNDING FOR EDUCATION INCENTIVE PROGRAMS [5]	REMOVE .3 FTE AND RELATED FUNDING FROM SYSTEM OFFICE [6]	REMOVE FUNDING FOR TECHNICAL ADMINISTRATION [7]
University System	($200,000)	($1,300,000)				
University System office			($395,000)	$595,000	($74,009)	($194,788)
Bismarck State College						
Lake Region State College						
Williston State College						
University of North Dakota						
UND Medical School						
North Dakota State University						
State College of Science						
Dickinson State University						
Mayville State University						
Minot State University						
Valley City State University						
Minot State University - Bottineau						
Forest Service						
Total general fund	($200,000)	($1,300,000)	($395,000)	$595,000	($74,009)	($194,788)

	PROVIDE FUNDING FOR CAPITAL CONSTRUCTION PROJECTS [8]	TOTAL GENERAL FUND CHANGES
University System		$31,519,243
University System office		(48,246,797)
Bismarck State College		1,209,218
Lake Region State College		232,723
Williston State College		295,984
University of North Dakota		4,165,429
UND Medical School		1,434,770
North Dakota State University		3,406,306
State College of Science		1,206,174
Dickinson State University		685,339
Mayville State University		460,741
Minot State University		1,302,043
Valley City State University		557,943
Minot State University - Bottineau		202,087
Forest Service		
Total general fund	$0	($1,568,797)

Senate Bill No. 2003 - University System - Other Funds Summary

	EXECUTIVE BUDGET	SENATE VERSION	HOUSE CHANGES	HOUSE VERSION
University System				
University System office	$3,716,822	$3,716,822		$3,716,822
Bismarck State College				
Lake Region State College			$325,000	325,000
Williston State College				
University of North Dakota	11,210,000	11,210,000		11,210,000
UND Medical School				
North Dakota State University	21,750,820	21,200,000		21,200,000
State College of Science	1,000,000	916,720		916,720
Dickinson State University			4,000,000	4,000,000
Mayville State University		4,000,000		4,000,000
Minot State University	2,774,000			
Valley City State University				
Minot State University - Bottineau				
Forest Service	1,050,526	1,050,526		1,050,526
Total other funds	$41,502,168	$42,094,068	$4,325,000	$46,419,068

Detail of House Changes to Other Funds

	REMOVE BLOCK GRANT FUNDING	PROVIDE FUNDING IN INDIVIDUAL LINE ITEMS	PROVIDE FUNDING FOR SYSTEM POOLS	ALLOCATE FUNDING FOR 3/2 SALARY INCREASE	ALLOCATE FUNDING FROM UNIVERSITY SYSTEM TO FUND CAMPUS ACTIVITIES [1]	ALLOCATE FUNDING FOR BOARD MEMBER COMPENSATION [2]
University System						
University System office	($3,716,822)	$3,716,822				
Bismarck State College						
Lake Region State College						
Williston State College						
University of North Dakota						
UND Medical School						
North Dakota State University						
State College of Science						
Dickinson State University						
Mayville State University						
Minot State University						
Valley City State University						
Minot State University - Bottineau						
Forest Service						
Total other funds	($3,716,822)	$3,716,822	$0	$0	$0	$0

	REMOVE FUNDING FROM EQUITY AND SPECIAL NEEDS POOL [3]	REMOVE FUNDING FROM TECHNOLOGY POOL [4]	DECREASE FUNDING FOR BOARD INITIATIVES	PROVIDE ADDITIONAL FUNDING FOR EDUCATION INCENTIVE PROGRAMS [5]	REMOVE .3 FTE AND RELATED FUNDING FROM SYSTEM OFFICE [6]	REMOVE FUNDING FOR TECHNICAL ADMINISTRATION [7]
University System						
University System Office						
Bismarck State College						
Lake Region State College						
Williston State College						
University of North Dakota						
UND Medical School						
North Dakota State University						
State College of Science						
Dickinson State University						
Mayville State University						
Minot State University						
Valley City State University						
Minot State University - Bottineau						
Forest Service						
Total other funds	$0	$0	$0	$0	$0	$0

	PROVIDE FUNDING FOR CAPITAL CONSTRUCTION PROJECTS [8]	TOTAL OTHER FUNDS CHANGES
University System		
University System Office		
Bismarck State College		
Lake Region State College	$325,000	$325,000
Williston State College		
University of North Dakota		
UND Medical School		

North Dakota State University		
State College of Science		
Dickinson State University	4,000,000	4,000,000
Mayville State University		
Minot State University		
Valley City State University		
Minot State University - Bottineau		
Forest Service		
Total other funds	$4,325,000	$4,325,000

Senate Bill No. 2003 - University System - All Funds Summary

	EXECUTIVE BUDGET	SENATE VERSION	HOUSE CHANGES	HOUSE VERSION
University System			$31,519,243	$31,519,243
University System office	$77,765,508	$76,121,417	(48,246,797)	29,874,620
Bismarck State College	15,017,975	15,017,975	1,209,218	16,227,193
Lake Region State College	4,724,062	4,724,062	557,723	5,281,785
Williston State College	5,183,247	5,183,247	295,984	5,479,231
University of North Dakota	95,008,583	95,008,583	4,165,429	99,174,012
UND Medical School	28,571,646	28,571,646	1,434,770	30,006,416
North Dakota State University	87,013,261	86,462,441	3,406,306	89,868,747
State College of Science	26,776,626	26,663,348	1,206,174	27,869,522
Dickinson State University	13,454,794	13,454,794	4,685,339	18,140,133
Mayville State University	8,564,596	12,564,596	490,741	13,055,337
Minot State University	26,004,343	25,230,343	1,302,043	26,532,386
Valley City State University	11,882,904	11,882,904	557,943	12,440,847
Minot State University - Bottineau	4,083,153	4,083,153	202,087	4,285,240
Forest Service	2,819,395	2,819,395		2,819,395
Total all funds	$408,900,095	$409,847,904	$2,756,203	$412,604,107
FTE	3,087.39	3,087.39	(1.00)	3,086.39

Detail of House Changes to All Funds

	REMOVE BLOCK GRANT FUNDING	PROVIDE FUNDING IN INDIVIDUAL LINE ITEMS	PROVIDE FUNDING FOR SYSTEM POOLS	ALLOCATE FUNDING FOR 3/2 SALARY INCREASE	ALLOCATE FUNDING FROM UNIVERSITY SYSTEM TO FUND CAMPUS ACTIVITIES [1]	ALLOCATE FUNDING FOR BOARD MEMBER COMPENSATION [2]
University System			$47,324,184	($13,256,941)	($1,000,000)	($48,000)
University System office	($78,121,417)	$30,797,233		98,184	(1,000,000)	48,000
Bismarck State College				613,218	596,000	
Lake Region State College				172,192	60,531	
Williston State College				226,545	67,439	
University of North Dakota				4,165,429		
UND Medical School				1,264,944	169,826	
North Dakota State University				3,406,306		
State College of Science				818,543	387,631	
Dickinson State University				549,386	135,953	
Mayville State University				276,459	184,282	
Minot State University				1,102,043	200,000	
Valley City State University				424,879	133,064	
Minot State University - Bottineau				136,813	65,274	
Forest Service						
Total all funds	($78,121,417)	$30,797,233	$47,324,184	$0	$0	$0
FTE	0.00	0.00	0.00	0.00	0.00	0.00

	REMOVE FUNDING FROM EQUITY AND SPECIAL NEEDS POOL [3]	REMOVE FUNDING FROM TECHNOLOGY POOL [4]	DECREASE FUNDING FOR BOARD INITIATIVES	PROVIDE ADDITIONAL FUNDING FOR EDUCATION INCENTIVE PROGRAMS [5]	REMOVE .3 FTE AND RELATED FUNDING FROM SYSTEM OFFICE [6]	REMOVE FUNDING FOR TECHNICAL ADMINISTRATION [7]
University System	($200,000)	($1,300,000)				
University System office			($395,000)	$595,000	($74,009)	($194,766)
Bismarck State College						
Lake Region State College						
Williston State College						
University of North Dakota						
UND Medical School						
North Dakota State University						
State College of Science						
Dickinson State University						
Mayville State University						
Minot State University						
Valley City State University						
Minot State University - Bottineau						
Forest Service						

Total all funds	($300,000)	($1,300,000)	($305,000)	$595,000	($74,009)	($194,788)
FTE	0.00	0.00	0.00	0.00	(.30)	(0.70)

	PROVIDE FUNDING FOR CAPITAL CONSTRUCTION PROJECTS [8]	TOTAL ALL FUNDS CHANGES
University System		$31,519,243
University System office		(48,246,797)
Bismarck State College		1,209,218
Lake Region State College	$325,000	557,723
Williston State College		286,964
University of North Dakota		4,185,429
UND Medical School		1,434,770
North Dakota State University		3,406,306
State College of Science		1,206,174
Dickinson State University	4,000,000	4,686,339
Mayville State University		460,741
Minot State University		1,302,043
Valley City State University		557,943
Minot State University - Bottineau		202,067
Forest Service		
Total all funds	$4,325,000	$2,756,203
FTE	0.00	(1.00)

1 This amendment allocates funds from the campus parity and equity funding and innovation initiative funding in the equity and special needs pool of $1 million and board initiatives funding of $1 million to campuses for various activities. The following provides information regarding the allocations to the campuses:

Bismarck State College - Renovation of Schafer Hall	$596,000
Lake Region State College - Costs to continue	60,531
Williston State College - Technology initiative	67,439
State College of Science - Costs to continue	367,631
Dickinson State University - Institutional innovation	135,953
Mayville State University - Costs to continue	184,282
Minot State University - Extraordinary repairs	200,000
Valley City State University - Costs to continue	133,064
Minot State University - Bottineau - Costs to continue	65,274
UND Medical School - Technology initiative	169,826
Total	$2,000,000

2 This amendment allocates funding from the general fund of $48,000 from the salary equity funding provided in the equity and special needs pool to the University System office to provide State Board of Higher Education members including those appointed by the Governor and the faculty representative a monthly compensation of $250, in addition to a per diem of $62.50 per day.

3 This amendment reduces funding from the general fund provided for campus parity and equity and innovation initiative funding in the equity and special needs pool by $200,000.

4 This amendment removes funding from the general fund of $935,100 for on-line course management and $364,900 for costs associated with connecting IVN to all state agencies from the University System technology pool.

5 This amendment provides funding of $595,000 from the general fund for incentives to encourage additional doctoral graduates in North Dakota ($60,000), a technology occupations student loan program authorized in House Bill No. 1283 ($400,000), and a dentists' loan repayment program authorized in Senate Bill No. 2276 ($135,000).

6 This amendment removes .3 on a full-time equivalent position in the North Dakota University System office related to the .7 of a full-time equivalent position in the technical administration area.

7 This amendment removes funding of $194,788 from the general fund and .7 of a full-time equivalent position relating to technical administration and the College Technical Education Council.

8 **Lake Region State College** - This amendment provides a special funds appropriation of $325,000 for renovation of the main building front entrance at Lake Region State College.

Dickinson State University - This amendment provides a special funds appropriation of $4 million for renovation and an addition to Whitney Stadium at Dickinson State University.

Senate Bill No. 2003 - Other Changes - House Action

This amendment also:

- Adds a section which provides a general fund appropriation to the University of North Dakota of $269,676 for the 1999-2001 biennium for 1997 flood expenditures.

- Adds a section of legislative intent that provides that the State Board of Higher Education may adjust or increase full-time equivalent positions as needed for institutions.
- Adds a section providing for the following allocation of the funding for education incentive programs: $60,000 for incentives to encourage additional doctoral graduates in North Dakota, $370,000 for teacher retraining scholarships and a teacher student loan forgiveness program, $400,000 for a technology occupations student loan program authorized in House Bill No. 1283, and $135,000 for a dentists' loan repayment program authorized in Senate Bill No. 2276.
- Adds a section providing for the allocation of student financial assistance grant funds.
- Adds a section of legislative intent requiring the State Board of Higher Education to establish a long-term enrollment management plan and procedures for implementation of the plan and to provide progress reports to the Legislative Council during the 2001-02 interim and a final report to the 2003 Legislative Assembly.
- Adds a section of legislative intent encouraging institutions of higher education to explore the use of alternative heating sources.
- Adds a section that provides for a legislative council study of the role of the State Board of Higher Education and the chancellor in the University System.
- Amends Section 11 of the engrossed bill to revise accountability measures to be reported on by the North Dakota University System.
- Amends Section 12 of the engrossed bill to reduce the amount from $531,720 to $368,920 to allow the State College of Science to proceed with replacement of the Blikre Activities Center floor which the college has funding on hand. The section would continue to require the State College of Science to not proceed with the addition to the Blikre Activities Center at an estimated cost of $368,920 until all funds have been collected.
- Adds a section of legislative intent that provides that Bismarck State College may use funding of $596,000 for renovation of Schafer Hall for classroom renovation only, and no funds from any source may be used for renovation of administrative offices in Schafer Hall.
- Adds sections amending North Dakota Century Code Sections 15-10-08, 52-08-08, 52-08-09, 52-08-10, and 52-08-11 relating to the compensation for members of the State Board of Higher Education and workforce training.
- Adds a section repealing Section 22 of Chapter 37 of the 1999 Session Laws relating to the University of North Dakota winter sports facility maintenance fund.

The following is a summary of the House general fund changes to the Senate version and the Hoeven executive budget recommendations for higher education:

	SCHAFER EXECUTIVE BUDGET	SENATE VERSION	HOUSE CHANGES	HOUSE VERSION	HOEVEN EXECUTIVE BUDGET	INCREASE (DECREASE) TO HOEVEN BUDGET
University System			$31,519,243	$31,519,243		$31,519,243
University System office	$74,048,686	$74,404,595	(48,246,797)	26,157,798	$75,407,586	(49,249,788)
Subtotal - University System	$74,048,686	$74,404,595	($16,727,554)	$57,677,041	$75,407,586	($17,730,545)
Bismarck State College	$15,017,975	$15,017,975	$1,209,218	$16,227,193	$15,017,975	$1,209,218
Lake Region State College	4,724,062	4,724,062	232,723	4,956,785	4,724,062	232,723
Williston State College	5,183,247	5,183,247	295,984	5,479,231	5,183,247	295,984
University of North Dakota	83,798,583	83,798,583	4,165,429	87,964,012	83,798,583	4,165,429
UND Medical School	28,571,646	28,571,646	1,434,770	30,006,416	28,571,646	1,434,770
North Dakota State University	65,262,441	65,262,441	3,406,306	68,668,747	65,262,441	3,406,306
State College of Science	25,776,628	25,776,628	1,206,174	26,982,802	25,776,628	1,206,174
Dickinson State University	13,454,794	13,454,794	685,339	14,140,133	13,454,794	685,339
Mayville State University	8,594,596	8,594,596	460,741	9,055,337	8,594,596	460,741
Minot State University	25,230,343	25,230,343	1,302,043	26,532,386	25,405,343	1,127,043
Valley City State University	11,882,904	11,882,904	557,943	12,440,847	11,882,904	557,943
Minot State University - Bottineau	4,083,153	4,083,153	202,087	4,285,240	4,083,153	202,087
Forest Service	1,768,869	1,768,869		1,768,869	1,768,869	
Total	$367,397,927	$367,753,836	($1,568,797)	$366,185,039	$368,931,827	($2,746,788)

The following provides a comparison of the general fund and special funds appropriated for higher education in Engrossed Senate Bill No. 2003 to this proposed amendment:

	ENGROSSED SENATE BILL NO. 2003	PROPOSED AMENDMENT TO ENGROSSED SENATE BILL NO. 2003	DIFFERENCE
General fund	$367,753,836	$366,185,039	($1,568,797)
Special funds	42,094,068	46,419,068	4,325,000
Total	$409,847,904	$412,604,107	$2,756,203

Senate Bill No. 2003 - Dept. 605 - Department of Commerce - House Action

This amendment provides a general fund appropriation of $194,788 and .7 of a full-time equivalent position to the Department of Commerce for the College Technical Education Council.

Date: 4-6-01
Roll Call Vote #: 19

2001 HOUSE STANDING COMMITTEE ROLL CALL VOTES
BILL/RESOLUTION NO. SB2003

House APPROPRIATIONS Committee

☐ Subcommittee on _____
or
☐ Conference Committee

Legislative Council Amendment Number 18029.0220

Action Taken Do PASS As Amended.

Motion Made By Rep. Martinson Seconded By Rep. Gulleson

Representatives	Yes	No	Representatives	Yes	No
Timm - Chairman	✓				
Wald - Vice Chairman	✓				
Rep - Aarsvold	✓		Rep - Koppelman	✓	
Rep - Boehm	✓		Rep - Martinson	✓	
Rep - Byerly		✓	Rep - Monson	✓	
Rep - Carlisle	✓		Rep - Skarphol		✓
Rep - Delzer		✓	Rep - Svedjan	✓	
Rep - Glassheim	✓		Rep - Thoreson	✓	
Rep - Gulleson	✓		Rep - Warner	✓	
Rep - Huether			Rep - Wentz	✓	
Rep - Kempenich		✓			
Rep - Kerzman	✓				
Rep - Kliniske	✓				

Total (Yes) 16 No 4

Absent 1

Floor Assignment Rep. Wentz

If the vote is on an amendment, briefly indicate intent:

Johnson, M.; Kasper; Kelsch; Kelsch, R.; Kelsh, S.; Kerzman; Kingsbury; Klein, F.; Klein, M.; Klemin; Kliniske; Koppelman; Kretschmar; Lemieux; Lloyd; Mahoney; Maragos; Martinson; Meier; Metcalf; Monson; Mueller; Nelson; Nicholas; Niemeier; Notestad; Onstad; Pietsch; Pollert; Porter; Price; Rennerfeldt; Sandvig; Schmidt; Severson; Skaphol; Solberg; Svedjan; Thoreson, B.; Thoreson, L.; Tieman; Timm; Wald; Warner; Weiler; Weisz; Wentz; Wikenheiser; Winrich; Spencer Bernstein

NAYS: Bellew; Byerly; Delzer; Kroeber; Ruby; Wrangham

ABSENT AND NOT VOTING: Kempenich; Koppang; Renner; Thorpe

Engrossed SB 2181 passed and the title was agreed to.

REPORT OF CONFERENCE COMMITTEE

SB 2251, as engrossed: Your conference committee (Sens. Fiskoll, Cook, Kelsh and Reps. Haas, Nelson, Grumbo) recommends that the **SENATE ACCEDE** to the House amendments on SJ page 1031 and place SB 2251 on the Seventh order.

Engrossed SB 2251 was placed on the Seventh order of business on the calendar.

CONSIDERATION OF CONFERENCE COMMITTEE REPORT

REP. HAAS MOVED that the conference committee report on SB 2251 be adopted, which motion prevailed on a voice vote.

REPORT OF CONFERENCE COMMITTEE

SB 2308, as reengrossed: Your conference committee (Sens. Lee, Erbele, Polovitz and Reps. Devlin, F. Klein, Cleary) recommends that the **SENATE ACCEDE** to the House amendments on SJ pages 967-968, adopt further amendments as follows, and place SB 2308 on the Seventh order:

That the Senate accede to the House amendments as printed on pages 967 and 968 of the Senate Journal and page 884 of the House Journal and that Reengrossed Senate Bill No. 2308 be further amended as follows:

Page 2, line 3, after the third "of" insert "setting standards and"

Page 2, line 5, after the first comma insert "of which $1,000 may be designated for per diem and travel expenses for in-state professional boards to gather information,"

Renumber accordingly

Reengrossed SB 2308 was placed on the Seventh order of business on the calendar.

CONSIDERATION OF MESSAGE FROM THE SENATE

REP. DEVLIN MOVED that the House do not concur in the Senate amendments to SB 2308, and that a conference committee be appointed to meet with a like committee from the Senate.

APPOINTMENT OF CONFERENCE COMMITTEE

THE SPEAKER APPOINTED as a Conference Committee on SB 2308: Reps. Devlin, F. Klein, Cleary.

REPORT OF CONFERENCE COMMITTEE

SB 2328, as engrossed: Your conference committee (Sens. Wardner, Christmann, Nichols and Reps. Herbel, Renner, Kroeber) recommends that the **SENATE ACCEDE** to the House amendments on SJ pages 1074-1076 and place SB 2328 on the Seventh order.

Engrossed SB 2328 was placed on the Seventh order of business on the calendar.

CONSIDERATION OF CONFERENCE COMMITTEE REPORT

REP. HERBEL MOVED that the conference committee report on SB 2328 be adopted, which motion prevailed on a voice vote.

CONSIDERATION OF MESSAGE FROM THE SENATE

REP. PRICE MOVED that the House do not concur in the Senate amendments to HB 1196, and that a conference committee be appointed to meet with a like committee from the Senate.

APPOINTMENT OF CONFERENCE COMMITTEE

THE SPEAKER APPOINTED as a Conference Committee on HB 1196: Reps. Devlin, Delzer, Metcalf.

CONSIDERATION OF MESSAGE FROM THE SENATE

REP. CARLSON MOVED that the House do not concur in the Senate amendments to HB 1399, and that a conference committee be appointed to meet with a like committee from the Senate.

APPOINTMENT OF CONFERENCE COMMITTEE

THE SPEAKER APPOINTED as a Conference Committee on HB 1399: Reps. Carlson, Grosz, Schmidt.

APPOINTMENT OF CONFERENCE COMMITTEE

THE SPEAKER ANNOUNCED the following appointments to a Conference Committee on SB 2088: Reps. Weisz, Price, Mahoney.

APPOINTMENT OF CONFERENCE COMMITTEE

THE SPEAKER ANNOUNCED the following appointments to a Conference Committee on SB 2124: Reps. Porter, Galvin, Niemeier.

APPOINTMENT OF CONFERENCE COMMITTEE

THE SPEAKER ANNOUNCED the following appointments to a Conference Committee on SB 2239: Reps. Kliniske, Porter, Cleary.

APPOINTMENT OF CONFERENCE COMMITTEE

THE SPEAKER ANNOUNCED the following appointments to a Conference Committee on SB 2276: Reps. Devlin, Delzer, Cleary.

APPOINTMENT OF CONFERENCE COMMITTEE

THE SPEAKER ANNOUNCED the following appointments to a Conference Committee on SB 2104: Reps. Pietsch, D. Johnson, Mueller.

REPORT OF STANDING COMMITTEE

SB 2003, as engrossed: Appropriations Committee (Rep. Timm, Chairman) recommends **AMENDMENTS AS FOLLOWS** and when so amended, recommends **DO PASS** (16 YEAS, 4 NAYS, 1 ABSENT AND NOT VOTING). Engrossed SB 2003 was placed on the Sixth order on the calendar.

Page 1, line 2, after "system" insert "; to provide an appropriation to the department of commerce", remove "a", and replace "study" with "studies"

Page 1, line 3, after "sections" insert "15-10-08," and after the first comma insert "52-08-08, 52-08-09, 52-08-10, 52-08-11,"

Page 1, line 4, after "to" insert "compensation for members of the board of higher education,"

Page 1, line 5, after the first comma insert "workforce training,"

Page 1, line 6, after the first semicolon insert "to repeal section 22 of chapter 37 of the 1999 Session Laws relating to the university of North Dakota winter sports facility maintenance fund;"

Page 1, replace lines 16 through 19 with:

"Salaries and wages	$2,498,553
Operating expenses	1,013,844
Equipment	25,481
Student financial assistance grants	4,088,031
Information technology management	216,676
Professional student exchange program	1,560,716
Disabled student services	51,560
Contingency and capital improvement emergency fund	344,309
Scholars program	770,730
Native American scholarships	204,082
Title II	534,000
Competitive research program	4,000,000
Board initiatives	870,797"

Page 1, line 20, replace "12,730,941" with "12,730,841"

Page 1, after line 20, insert:
"Education incentive programs 985,000"

Page 1, line 21, replace "78,121,417" with "29,874,620"

Page 1, line 23, replace "74,404,595" with "26,157,798"

Page 1, after line 24, insert:

"NORTH DAKOTA UNIVERSITY SYSTEM

Equity and special needs pool	$4,580,824
Technology pool	26,938,419
General fund appropriation	$31,519,243

Subdivision 3."

Page 2, line 2, replace "14,767,975" with "15,381,193"

Page 2, line 3, replace "250,000" with "846,000"

Page 2, line 4, replace "15,017,975" with "16,227,193"

Page 2, line 5, replace "3" with "4"

Page 2, line 7, replace "4,849,231" with "4,861,954"

Page 2, line 8, replace "74,831" with "369,831"

Page 2, after line 8, insert:

"Total all funds	$5,281,785
Less estimated income	325,000"

Page 2, line 9, replace "4,724,062" with "4,956,785"

Page 2, line 10, replace "4" with "5"

Page 2, line 12, replace "5,084,457" with "5,380,441"

Page 2, line 14, replace "5,183,247" with "5,479,231"

Page 2, line 15, replace "5" with "6"

Page 2, line 17, replace "81,436,447" with "85,601,876"

Page 2, line 19, replace "95,008,583" with "99,174,012"

Page 2, line 21, replace "83,798,583" with "87,964,012"

Page 2, line 22, replace "6" with "7"

Page 2, line 24, replace "83,524,910" with "86,931,216"

Page 2, line 26, replace "86,462,441" with "89,868,747"

Page 2, line 28, replace "85,262,441" with "88,668,747"

Page 2, line 29, replace "7" with "8"

Page 3, line 1, replace "22,883,128" with "24,099,302"

Page 3, line 3, replace "26,683,348" with "27,899,522"

Page 3, line 5, replace "25,776,628" with "26,992,802"

Page 3, line 6, replace "8" with "9"

Page 3, line 8, replace "13,080,832" with "13,746,171"

Page 3, line 9, replace "383,982" with "4,383,982"

Page 3, after line 9, insert:

"Total all funds	$18,140,133
Less estimated income	4,000,000"

Page 3, line 10, replace "13,454,794" with "14,140,133"

Page 3, line 11, replace "9" with "10"

Page 3, line 13, replace "7,870,007" with "8,330,748"

Page 3, line 15, replace "12,594,596" with "13,055,337"

Page 3, line 17, replace "8,594,596" with "9,055,337"

Page 3, line 18, replace "10" with "11"

Page 3, line 20, replace "24,817,493" with "25,919,536"

Page 3, line 21, replace "412,850" with "612,850"

Page 3, line 22, replace "25,230,343" with "26,532,386"

Page 3, line 23, replace "11" with "12"

Page 3, line 25, replace "10,767,570" with "11,325,513"

Page 3, line 27, replace "11,882,904" with "12,440,847"

Page 3, line 28, replace "12" with "13"

Page 4, line 1, replace "3,895,490" with "4,097,577"

Page 4, line 3, replace "4,083,153" with "4,285,240"

Page 4, line 4, replace "13" with "14"

Page 4, line 14, replace "14" with "15"

Page 4, line 16, replace "28,571,646" with "30,006,416"

Page 4, line 17, replace "28,571,646" with "30,006,416"

Page 4, line 18, replace "367,753,836" with "366,379,827"

Page 4, line 19, replace "42,094,068" with "46,419,068"

Page 4, line 20, replace "409,847,904" with "412,798,895"

Page 4, line 21, replace "campus-based programs and" with "higher education contingency and capital improvements emergency fund."

Page 4, line 22, remove "contingencies and" and after "initiatives" insert ", and disabled student services"

Page 4, after line 30, insert:

"SECTION 4. APPROPRIATION. There is appropriated out of any moneys in the general fund in the state treasury, not otherwise appropriated, the sum of $194,788, or so much of the sum as may be necessary, to the department of commerce for the college technical education council, for the biennium beginning July 1, 2001, and ending June 30, 2003.

SECTION 5. APPROPRIATION. The funds provided in this section, or so much of the funds as may be necessary, are appropriated out of any moneys in the general fund in the state treasury, not otherwise appropriated, to the university of North Dakota for the purpose of defraying flood-related expenses, for the period beginning with the effective date of this Act and ending June 30, 2001, as follows:

1997 flood expenditures	$269,676
Total general fund appropriation	$269,676"

Page 5, replace lines 9 through 29 with:

"**SECTION 8. LEGISLATIVE INTENT - FULL-TIME EQUIVALENTS.** The state board of higher education may adjust full-time equivalent positions as needed, subject to the availability of funds, for institutions and entities in subdivisions 3 through 15 of section 1 of this Act. The university system shall report any adjustments to the office of management and budget before the submission of the 2003-05 biennium budget request.

SECTION 9. STUDENT FINANCIAL ASSISTANCE GRANTS. Not less than twenty percent of the funds appropriated for student financial assistance grants in section 1 of this Act must be allocated to students at private institutions with the remaining funds allocated to students at public and Native American institutions.

SECTION 10. EDUCATION INCENTIVE PROGRAMS. Of the funds appropriated for education incentives in section 1 of this Act, $60,000 is to provide incentives to encourage additional doctoral graduates in North Dakota, $370,000 is provided for teacher retraining scholarships and a teacher student loan forgiveness program, $400,000 is provided for a technology occupations student loan program authorized in House Bill No. 1283, and $135,000 is provided for a dentists' loan repayment program authorized in Senate Bill No. 2276.

SECTION 11. TECHNOLOGY POOL. The technology pool amount in subdivision 2 of section 1 must be used for the benefit of the institutions and entities in section 1 as determined by the state board of higher education. Technology funding allocations must be made based on historic funding, the North Dakota university system information technology plan, the statewide network plan, base funding for higher education computer network computer center operations, and base funding for interactive video network and on-line dakota information network operations.

SECTION 12. EQUITY AND SPECIAL NEEDS POOL. The equity and special needs pool in subdivision 2 of section 1 must be used for the benefit of the institutions and entities in subdivisions 3 through 15 of section 1 as determined by the state board of higher education. When making allocations from the equity and special needs pool, the state board of higher education shall allocate the funds to address equity and parity funding needs and to support new initiatives or program costs consistent with board and statewide needs."

Page 6, after line 6, insert:

"**SECTION 14. LEGISLATIVE INTENT - ENROLLMENT MANAGEMENT PLAN.** It is the intent of the legislative assembly that the state board of higher education establish a long-term enrollment management plan and procedures for implementation of the plan. The board shall report to the legislative council during the 2001-02 interim on the board's progress toward establishing a long-term enrollment management plan and related implementation procedures and provide the final report to the fifty-eighth legislative assembly.

SECTION 15. LEGISLATIVE INTENT - ALTERNATIVE HEATING SOURCES. It is the intent of the legislative assembly that institutions under the control of the state board of higher education explore the possible use of alternative heating sources, including the use of North Dakota coal.

SECTION 16. LEGISLATIVE COUNCIL STUDY - BOARD OF HIGHER EDUCATION - CHANCELLOR. The legislative council shall consider studying during the 2001-02 interim the role of the state board of higher education and the chancellor in the North Dakota university system, including the communications between individual campuses, the board, and the chancellor. The legislative council shall report its findings and recommendations, together with any legislation recommended, to the fifty-eighth legislative assembly."

Page 6, line 11, after the period insert "The legislative council shall report its findings and recommendations, together with any legislation recommended, to the fifty-eighth legislative assembly."

Page 6, replace lines 16 through 31 with:

"1. Education excellence, including:

a. Student performance on nationally recognized examinations in the student's major fields compared to the national averages.

b. First-time licensure pass rates compared to other states.

c. Alumni-reported and student-reported satisfaction with preparation in selected major, acquisition of specific skills, and technology knowledge and abilities.

d. Employer-reported satisfaction with preparation of recently hired graduates.

e. Faculty-reported and staff-reported satisfaction with the university system and local institution administration.

f. Ratio of faculty and staff to students.

g. Student graduation and retention rates.

2. Economic development, including:

a. Enrollment in entrepreneurship courses and the number of graduates of entrepreneurship programs.

b. Percentage of university system graduates obtaining employment appropriate to the graduates' education in the state.

c. Average salary of university system graduates employed in the state as a percentage of the national average.

d. Number of businesses and employees in the region receiving training.

e. Number of new jobs created in the state as a direct result of the efforts of a university system graduate.

3. Student access, including:

a. Proportion of residents of the state who are within a forty-five-minute drive of a location at which the residents can receive educational programs from a provider.

b. Number and proportion of enrollments in courses offered by nontraditional methods.

4. Student affordability, including:

a. Tuition and fees on a per student basis compared to the regional average.

b. Tuition and fees as a percentage of median North Dakota household income.

c. Cost per student in terms of general fund appropriations and total university system funding.

d. Administrative, instructional, and other cost per student.

e. Per capita general fund appropriations for higher education.

f. State general fund appropriation levels for university system institutions compared to peer institutions general fund appropriation levels.

5. Financial operations, including:

a. Percentage of total university system funding used for instruction, research, and public service.

b. Percentage of total university system funding used for institutional support, operations, and maintenance of physical plant.

c. Ratio measuring the funding derived from operating and contributed income compared to total university system funding.

d. Ratio measuring the size of the university system's outstanding maintenance as compared to its expendable net assets.

e. Ratio measuring the amount of expendable net assets as compared to the amount of long-term debt.

f. Research expenditures in proportion to the amount of revenue generated by research activity and funding received for research activity."

Page 7, remove lines 1 through 3.

Page 8, remove lines 1 through 30

Page 9, remove lines 1 through 30

Page 10, remove lines 1 through 31

Page 11, remove lines 1 through 21

Page 11, line 24, replace "$531,720" with "$368,920" and replace "7" with "8"

Page 11, line 25, replace "renovation of" with "an addition to"

Page 11, line 26, replace "renovation" with "construction of an addition"

Page 11, line 27, replace "$531,720" with "$368,920"

Page 11, line 28, replace "renovation" with "addition"

Page 11, after line 29, insert:

"**SECTION 20. LEGISLATIVE INTENT - BISMARCK STATE COLLEGE - SCHAFER HALL RENOVATION.** It is the intent of the legislative assembly that funding of $586,000 included in the capital assets line item in subdivision 3 of section 1 of this Act for renovation of Schafer hall be used only for renovation of classroom or student-related areas. No funds from any source may be used for renovation of administrative offices in Schafer hall.

SECTION 21. AMENDMENT. Section 15-10-08 of the North Dakota Century Code is amended and reenacted as follows:

15-10-08. Compensation of board members - Expenses - Legislative appropriations. Each member of the state board of higher education, except the student member, is entitled to receive as compensation two hundred fifty dollars per month, sixty-two dollars and fifty cents per day for each calendar day actually spent devoted to the duties of office, and necessary expenses in the same manner and amounts as other state officials for attending meetings and performing other functions of office. The faculty adviser is entitled to receive as compensation two hundred fifty dollars per month and necessary expenses in the same manner and amounts as other state officials for attending meetings and performing other functions of office. The legislative assembly shall provide adequate funds to carry out the functions and duties of the board."

Page 13, after line 2, insert:

"**SECTION 23. AMENDMENT.** Section 52-08-08 of the North Dakota Century Code is amended and reenacted as follows:

52-08-08. Institution to serve work force needs. Subject to ~~state board of higher education~~ department of commerce policies, the president of an institution of higher education that is assigned primary responsibility for work force training shall establish a division or other unit within the institution to serve the work force needs of business and industry and to serve as a broker in arranging the delivery of training.

SECTION 24. AMENDMENT. Section 52-08-09 of the North Dakota Century Code is amended and reenacted as follows:

52-08-09. Work force training board - Formation. Subject to ~~state board of higher education~~ department of commerce policies, the president of an institution of higher education that is assigned primary responsibility for work force training shall appoint a work force training board consisting of representatives from businesses, labor, and industries located within the institution's delivery area. The work force training board must consist of at least seven but no more than fifteen members and must include at least one representative from either an Indian-owned business, the tribal government, or the tribal colleges within the designated region.

SECTION 25. AMENDMENT. Section 52-08-10 of the North Dakota Century Code is amended and reenacted as follows:

52-08-10. Preparation of business plan - Revolving loans. Subject to ~~state board of higher education~~ department of commerce policies, the president of an institution of higher education that is assigned primary responsibility for work force training shall prepare an annual business plan that must include provisions for use of the training capacity of the tribal colleges within the designated region, in consultation with the work force training board. The work force training board shall approve the business plan and make recommendations for funding of the business plan to the ~~state board of higher education~~ department of commerce. The ~~state board of higher education~~ department of commerce may establish for each institution of higher education assigned primary responsibility for work force training a revolving loan fund for work force training program startups ~~using the borrowing authority provided in section 15-10-16.1~~.

SECTION 26. AMENDMENT. Section 52-08-11 of the North Dakota Century Code is amended and reenacted as follows:

52-08-11. Performance measurements for work force training. Subject to ~~state board of higher education~~ department of commerce policies, the president of an institution of higher education that is assigned primary responsibility for work force training shall develop, in consultation with the work force training board, performance measurements for work force training. The measurements must include requirements for being time sensitive and results oriented and must determine how well the training needs of business and industry are being met."

Page 14, line 16, after "section" insert "and the North Dakota university system shall report on the amounts and uses of funds carried over from one biennium to the next to subsequent appropriations committees of the legislative assembly"

Page 14, after line 27, insert:

"**SECTION 30. REPEAL.** Section 22 of chapter 37 of the 1999 Session Laws is repealed."

Page 14, line 28, replace "13, 14, 15, and 16" with "22, 27, 28, and 29"

Page 15, line 1, replace "section" with "sections" and after "1" insert "and 5"

Renumber accordingly

STATEMENT OF PURPOSE OF AMENDMENT:

Senate Bill No. 2003 - Summary of House Action

	EXECUTIVE BUDGET	SENATE VERSION	HOUSE CHANGES	HOUSE VERSION
University System				
Total all funds	$0	$0	$31,519,243	$31,519,243
Less estimated income	$0	$0	$31,519,243	$31,519,243
General fund				
University System office				
Total all funds	$77,765,508	$78,121,417	($48,246,797)	$29,874,620
Less estimated income	3,716,822	3,716,822		3,716,822
General fund	$74,048,686	$74,404,595	($48,246,797)	$26,157,798
Bismarck State College				
Total all funds	$15,017,975	$15,017,975	$1,209,218	$16,227,193
Less estimated income				

General fund	$15,617,875	$15,617,875	$1,209,210	$16,227,193
Lake Region State College				
Total all funds	$4,724,882	$4,724,882	$232,723	$4,957,605
Less estimated income				
General fund	$4,724,882	$4,724,882	$232,723	$4,957,605
Williston State College				
Total all funds	$5,183,347	$5,183,347	$295,884	$5,479,231
Less estimated income				
General fund	$5,183,347	$5,183,347	$295,884	$5,479,231
University of North Dakota				
Total all funds	$86,888,583	$86,888,583	$4,185,429	$92,174,012
Less estimated income	37,218,000	37,218,000		37,218,000
General fund	$49,770,583	$49,770,583	$4,185,429	$54,956,012
UND Medical School				
Total all funds	$28,571,646	$28,571,646	$1,434,770	$30,006,416
Less estimated income				
General fund	$28,571,646	$28,571,646	$1,434,770	$30,006,416
North Dakota State University				
Total all funds	$67,903,281	$68,492,441	$3,406,306	$69,898,747
Less estimated income	31,720,000	31,720,000		31,720,000
General fund	$36,183,281	$36,772,441	$3,406,306	$38,178,747
State College of Science				
Total all funds	$26,776,628	$26,888,348	$1,208,174	$27,984,522
Less estimated income	7,000,000	916,720		916,720
General fund	$19,776,628	$25,971,628	$1,208,174	$27,067,802
Dickinson State University				
Total all funds	$13,464,784	$13,464,784	$1,685,339	$15,150,123
Less estimated income			1,000,000	1,000,000
General fund	$13,464,784	$13,464,784	$685,339	$14,150,123
Mayville State University				
Total all funds	$8,594,596	$12,594,596	$468,741	$13,065,337
Less estimated income		4,000,000		4,000,000
General fund	$8,594,596	$8,594,596	$468,741	$9,065,337
Minot State University				
Total all funds	$25,888,343	$25,238,343	$1,302,843	$26,532,386
Less estimated income	2,774,000			
General fund	$23,114,343	$25,238,343	$1,302,843	$26,532,386
Valley City State University				
Total all funds	$11,892,584	$11,892,584	$557,943	$12,448,847
Less estimated income				
General fund	$11,892,584	$11,892,584	$557,943	$12,448,847
Minot State University - Bottineau				
Total all funds	$4,883,153	$4,883,153	$282,987	$5,266,240
Less estimated income				
General fund	$4,883,153	$4,883,153	$282,987	$5,266,240
Forest Service				
Total all funds	$2,819,395	$2,819,395	$0	$2,819,395
Less estimated income	1,069,526	1,069,526		1,069,526
General fund	$1,749,869	$1,749,869	$0	$1,749,869
Department of Commerce				
Total all funds	$0	$0	$194,788	$194,788
Less estimated income				
General fund	$0	$0	$194,788	$194,788
Bio Terror				
Total all funds	$488,998,885	$489,847,994	$2,998,991	$512,788,885
Less estimated income	47,182,526	52,051,526	4,000,000	56,051,526
General fund	$441,816,359	$437,796,468	($1,001,009)	$456,737,359

Senate Bill No. 2003 - University System - General Fund Summary

	EXECUTIVE BUDGET	SENATE VERSION	HOUSE CHANGES	HOUSE VERSION
University System			$31,518,243	$31,518,243
University System office	$74,648,885	$74,484,585	(48,246,797)	26,157,788
Bismarck State College	15,617,875	15,617,875	1,209,218	16,227,193
Lake Region State College	4,724,882	4,724,882	232,723	4,957,605
Williston State College	5,183,347	5,183,347	295,884	5,479,231
University of North Dakota	83,788,583	83,788,583	4,185,429	87,984,012
UND Medical School	28,571,646	28,571,646	1,434,770	30,006,416
North Dakota State University	65,292,441	65,292,441	3,406,306	68,698,747
State College of Science	25,776,628	25,776,628	1,208,174	26,982,802
Dickinson State University	13,464,784	13,464,784	685,339	14,140,123
Mayville State University	8,594,596	8,594,596	468,741	9,065,337
Minot State University	25,238,343	25,238,343	1,302,843	26,532,386
Valley City State University	11,892,584	11,892,584	557,943	12,448,847
Minot State University - Bottineau	4,883,153	4,883,153	282,987	5,266,240
Forest Service	1,749,869	1,749,869		1,749,869
Total general fund	$367,167,937	$367,752,836	($1,568,797)	$366,184,039

Detail of House Changes to the General Fund

	REMOVE BLOCK GRANT FUNDING	PROVIDE FUNDING IN INDIVIDUAL LINE ITEMS	PROVIDE FUNDING FOR SYSTEM POOLS	ALLOCATE FUNDING FOR 3/2 SALARY INCREASE	ALLOCATE FUNDING FROM UNIVERSITY SYSTEM TO FUND CAMPUS ACTIVITIES [1]	ALLOCATE FUNDING FOR BOARD MEMBER COMPENSATION [2]
University System					$47,324,184	($13,295,941)
University System office	($74,484,585)	$27,988,411				(1,988,803)
Bismarck State College						513,218
Lake Region State College						172,182
Williston State College						228,245
University of North Dakota						4,185,429
UND Medical School						1,264,944
North Dakota State University						3,406,306
State College of Science						816,543
Dickinson State University						548,286
Mayville State University						276,468
Minot State University						1,102,843
Valley City State University						424,875
Minot State University - Bottineau						136,813
Forest Service						
Total general fund	($74,484,585)	$27,988,411	$47,324,184	$0	$0	$0

	REMOVE FUNDING FROM EQUITY AND SPECIAL NEEDS POOL [3]	REMOVE FUNDING FROM TECHNOLOGY POOL [4]	DECREASE FUNDING FOR BOARD INITIATIVES	PROVIDE ADDITIONAL FUNDING FOR EDUCATION INCENTIVE PROGRAMS [5]	REMOVE 3 FTE AND RELATED FUNDING FROM SYSTEM OFFICE [6]	REMOVE FUNDING FOR TECHNICAL ADMINISTRATION [7]
University System	($280,000)	($1,300,000)				
University System office			($395,000)	$585,000	($74,000)	($194,788)
Bismarck State College						
Lake Region State College						
Williston State College						
University of North Dakota						
UND Medical School						
North Dakota State University						
State College of Science						
Dickinson State University						
Mayville State University						
Minot State University						
Valley City State University						
Minot State University - Bottineau						
Forest Service						
Total general fund	($280,000)	($1,300,000)	($395,000)	$585,000	($74,000)	($194,788)

	PROVIDE FUNDING FOR CAPITAL CONSTRUCTION PROJECTS [8]	TOTAL GENERAL FUND CHANGES
University System		$31,518,243
University System office		(48,246,797)
Bismarck State College		1,209,218
Lake Region State College		232,723
Williston State College		295,884
University of North Dakota		4,185,429
UND Medical School		1,434,770
North Dakota State University		3,406,306
State College of Science		1,208,174
Dickinson State University		685,339
Mayville State University		468,741
Minot State University		1,302,843
Valley City State University		557,943
Minot State University - Bottineau		282,987
Forest Service		
Total general fund	$0	($1,568,797)

Senate Bill No. 2003 - University System - Other Funds Summary

	EXECUTIVE BUDGET	SENATE VERSION	HOUSE CHANGES	HOUSE VERSION
University System				
University System office	$3,716,822	$3,716,822		$3,716,822
Bismarck State College				
Lake Region State College			$325,000	325,000
Williston State College				
University of North Dakota	11,210,000	11,210,000		11,210,000
UND Medical School				
North Dakota State University	21,750,820	21,750,000		21,750,000
State College of Science	7,000,000	916,720		916,720
Dickinson State University			4,000,000	4,000,000
Mayville State University		4,000,000		4,000,000
Minot State University	2,774,000			
Valley City State University				
Minot State University - Bottineau				
Forest Service	1,050,526	1,050,526		1,050,526
Total other funds	$41,502,168	$42,094,068	$4,325,000	$47,419,068

Detail of House Changes to Other Funds

	REMOVE BLOCK GRANT FUNDING	PROVIDE FUNDING IN INDIVIDUAL LINE ITEMS	PROVIDE FUNDING FOR SYSTEM POOLS	ALLOCATE FUNDING FOR 3/2 SALARY INCREASE	ALLOCATE FUNDING FROM UNIVERSITY SYSTEM TO FUND CAMPUS ACTIVITIES [1]	ALLOCATE FUNDING FOR BOARD MEMBER COMPENSATION [2]

University System						
University System office	($3,716,000)	$3,716,000				
Bismarck State College						
Lake Region State College						
Williston State College						
University of North Dakota						
UND Medical School						
North Dakota State University						
State College of Science						
Dickinson State University						
Mayville State University						
Minot State University						
Valley City State University						
Minot State University - Bottineau						
Forest Service						
Total other funds	($3,716,000)	$3,716,000	$0	$0	$0	$0

	REMOVE FUNDING FROM EQUITY AND SPECIAL NEEDS POOL [3]	REMOVE FUNDING FROM TECHNOLOGY POOL [4]	DECREASE FUNDING FOR BOARD INITIATIVES	PROVIDE ADDITIONAL FUNDING FOR EDUCATION INCENTIVE PROGRAMS [5]	REMOVE .3 FTE AND RELATED FUNDING FROM SYSTEM OFFICE [6]	REMOVE FUNDING FOR TECHNICAL ADMINISTRATION [7]
University System						
University System Office						
Bismarck State College						
Lake Region State College						
Williston State College						
University of North Dakota						
UND Medical School						
North Dakota State University						
State College of Science						
Dickinson State University						
Mayville State University						
Minot State University						
Valley City State University						
Minot State University - Bottineau						
Forest Service						
Total other funds	$0	$0	$0	$0	$0	$0

	PROVIDE FUNDING FOR CAPITAL CONSTRUCTION PROJECTS [8]	TOTAL OTHER FUNDS CHANGES
University System		
University System Office		
Bismarck State College		
Lake Region State College	$325,000	$325,000
Williston State College		
University of North Dakota		
UND Medical School		
North Dakota State University		
State College of Science		
Dickinson State University	4,000,000	4,000,000
Mayville State University		
Minot State University		
Valley City State University		
Minot State University - Bottineau		
Forest Service		
Total other funds	$4,325,000	$4,325,000

Senate Bill No. 2003 - University System - All Funds Summary

	EXECUTIVE BUDGET	SENATE VERSION	HOUSE CHANGES	HOUSE VERSION
University System			$31,519,243	$31,519,243
University System office	$77,700,588	$78,121,417	(48,246,787)	29,874,630
Bismarck State College	15,017,975	15,017,975	1,209,218	16,227,193
Lake Region State College	4,724,062	4,724,062	557,723	5,281,785
Williston State College	5,183,247	5,183,247	295,984	5,479,231
University of North Dakota	94,008,583	94,008,583	4,165,429	98,174,012
UND Medical School	28,571,646	28,571,646	1,434,770	30,006,416
North Dakota State University	87,913,287	85,462,441	3,406,306	88,868,747
State College of Science	26,776,626	26,602,348	1,206,174	27,808,522
Dickinson State University	13,454,794	13,454,794	4,685,339	18,140,133
Mayville State University	8,586,596	12,586,596	468,741	13,055,337
Minot State University	28,804,343	25,230,343	1,302,943	26,533,286
Valley City State University	11,882,904	11,882,904	567,943	12,450,847
Minot State University - Bottineau	4,083,153	4,083,153	202,887	4,286,040
Forest Service	2,819,385	2,819,385		2,819,385
Total all funds	$[illegible]	$489,847,984	$2,756,203	$492,604,187
FTE	3,687.39	3,687.39	(1.00)	3,686.39

Detail of House Changes to All Funds

REMOVE [illegible]	PROVIDE FUNDING IN INDIVIDUAL LINE	PROVIDE FUNDING FOR SYSTEM	ALLOCATE FUNDING FOR 3/2 SALARY	ALLOCATE FUNDING FROM UNIVERSITY SYSTEM TO FUND CAMPUS	ALLOCATE FUNDING FOR BOARD MEMBER

	FUNDING	ITEMS	POOLS	INCREASE	ACTIVITIES [1]	COMPENSATION [2]
University System			$47,324,184	($13,288,941)	($1,000,000)	($48,000)
University System office	($78,121,417)	$30,797,233		59,184	(1,000,000)	48,000
Bismarck State College				613,218	586,000	
Lake Region State College				172,192	60,531	
Williston State College				228,545	67,438	
University of North Dakota				4,165,429		
UND Medical School				1,264,944	169,826	
North Dakota State University				3,406,306		
State College of Science				818,543	387,637	
Dickinson State University				548,386	136,953	
Mayville State University				276,459	184,282	
Minot State University				1,102,943	200,000	
Valley City State University				434,879	133,064	
Minot State University - Bottineau				136,613	65,274	
Forest Service						
Total all funds	($78,121,417)	$30,797,233	$47,324,184	$0	$0	$0
FTE	0.00	0.00	0.00	0.00	0.00	0.00

	REMOVE FUNDING FROM EQUITY AND SPECIAL NEEDS POOL [3]	REMOVE FUNDING FROM TECHNOLOGY POOL [4]	DECREASE FUNDING FOR BOARD INITIATIVES	PROVIDE ADDITIONAL FUNDING FOR EDUCATION INCENTIVE PROGRAMS [5]	REMOVE .3 FTE AND RELATED FUNDING FROM SYSTEM OFFICE [6]	REMOVE FUNDING FOR TECHNICAL ADMINISTRATION [7]
University System	($200,000)	($1,300,000)				
University System office			($306,000)	$606,000	($74,000)	($194,700)
Bismarck State College						
Lake Region State College						
Williston State College						
University of North Dakota						
UND Medical School						
North Dakota State University						
State College of Science						
Dickinson State University						
Mayville State University						
Minot State University						
Valley City State University						
Minot State University - Bottineau						
Forest Service						
Total all funds	($200,000)	($1,300,000)	($306,000)	$606,000	($74,000)	($194,700)
FTE	0.00	0.00	0.00	0.00	(.30)	(0.70)

	PROVIDE FUNDING FOR CAPITAL CONSTRUCTION PROJECTS [8]	TOTAL ALL FUNDS CHANGES
University System		$31,519,243
University System office		(48,246,787)
Bismarck State College		1,209,218
Lake Region State College	$325,000	557,723
Williston State College		295,984
University of North Dakota		4,165,429
UND Medical School		1,434,770
North Dakota State University		3,406,306
State College of Science		1,206,174
Dickinson State University	4,000,000	4,685,339
Mayville State University		468,741
Minot State University		1,302,943
Valley City State University		567,943
Minot State University - Bottineau		202,887
Forest Service		
Total all funds	$4,325,000	$2,756,203
FTE	0.00	(1.00)

[1] This amendment allocates funds from the campus parity and equity funding and innovation initiative funding in the equity and special needs pool of $1 million and board initiatives funding of $1 million to campuses for various activities. The following provides information regarding the allocations to the campuses:

Bismarck State College - Renovation of Schafer Hall	$586,000
Lake Region State College - Costs to continue	60,531
Williston State College - Technology initiative	67,438
State College of Science - Costs to continue	387,637
Dickinson State University - Institutional innovation	136,953
Mayville State University - Costs to continue	184,282
Minot State University - Extraordinary repairs	200,000
Valley City State University - Costs to continue	133,064
Minot State University - Bottineau - Costs to continue	65,274
UND Medical School - Technology initiative	169,826
Total	$2,000,000

2 This amendment eliminates funding from the general fund of $40,000 from the salary equity funding provided in the equity and special needs pool to the University System office to provide State Board of Higher Education members, including those appointed by the Governor and the faculty representative, a monthly compensation of $200, in addition to a per diem of $62.50 per day.

3 This amendment reduces funding from the general fund provided for campus parity and equity and innovation initiative funding in the equity and special needs pool by $200,000.

4 This amendment removes funding from the general fund of $286,168 for on-line course management and $364,000 for costs associated with connecting fifty-six state agencies from the University System technology plan.

5 This amendment provides funding of $588,000 from the general fund for incentives to encourage additional doctoral graduates in North Dakota ($80,000), a technology occupations student loan program authorized in House Bill No. 1283 ($400,000), and a dentists' loan repayment program authorized in Senate Bill No. 2276 ($135,000).

6 This amendment removes 3.0 full-time equivalent positions in the North Dakota University System office related to the .7 of a full-time equivalent position in the technical administration area.

7 This amendment removes funding of $194,788 from the general fund and .7 of a full-time equivalent position relating to technical administration and the College Technical Education Council.

8 Lake Region State College - This amendment provides a special funds appropriation of $325,000 for renovation of the main building from proceeds at Lake Region State College.

Dickinson State University - This amendment provides a special funds appropriation of $4 million for renovation and an addition to Whitney Stadium at Dickinson State University.

Senate Bill No. 2003 - Other Changes - House Action

This amendment also:

- Adds a section which provides a general fund appropriation to the University of North Dakota of $269,676 for the 1999-2001 biennium for 1997 flood expenditures.
- Adds a section of legislative intent that provides that the State Board of Higher Education may adjust or increase full-time equivalent positions as needed for institutions.
- Adds a section providing for the following allocation of the funding for education incentive programs: $80,000 for incentives to encourage additional doctoral graduates in North Dakota, $370,000 for teacher retraining scholarships and a teacher student loan forgiveness program, $400,000 for a technology occupations student loan program authorized in House Bill No. 1283, and $135,000 for a dentists' loan repayment program authorized in Senate Bill No. 2276.
- Adds a section providing for the allocation of student financial assistance grant funds.
- Adds a section of legislative intent requiring the State Board of Higher Education to establish a long-term enrollment management plan and procedures for implementation of the plan and to provide progress reports to the Legislative Council during the 2001-02 interim and a final report to the 2003 Legislative Assembly.
- Adds a section of legislative intent encouraging institutions of higher education to explore the use of alternative heating sources.
- Adds a section that provides for a legislative council study of the role of the State Board of Higher Education and the chancellor in the University System.
- Amends Section 11 of the engrossed bill to revise accountability measures to be reported on by the North Dakota University System.
- Amends Section 12 of the engrossed bill to reduce the amount from $551,720 to $368,920 to allow the State College of Science to proceed with replacement of the Bilkre Activities Center floor which the college has funding on hand. The section would continue to require the State College of Science to not proceed with the addition to the Bilkre Activities Center at an estimated cost of $368,920 until all funds have been collected.
- Adds a section of legislative intent that provides that Bismarck State College may use funding of $586,000 for renovation of Schafer Hall for classroom renovation only, and no funds from any source may be used for renovation of administrative offices in Schafer Hall.
- Adds sections amending North Dakota Century Code Sections 15-10-08, 52-08-08, 52-08-09, 52-08-10, and 52-08-11 relating to the compensation for members of the State Board of Higher Education and workforce training.

- Adds a section repealing Section 22 of Chapter 37 of the 1999 Session Laws relating to the University of North Dakota winter sports facility maintenance fund.

The following is a summary of the House general fund changes to the Senate version and the Hoeven executive budget recommendations for higher education:

	SCHAFER EXECUTIVE BUDGET	SENATE VERSION	HOUSE CHANGES	HOUSE VERSION	HOEVEN EXECUTIVE BUDGET	INCREASE (DECREASE) TO HOEVEN BUDGET
University System			$31,519,243	$31,519,243		$31,519,243
University System office	$74,048,686	$74,404,365	(48,246,797)	26,157,788	$25,407,588	(48,246,797)
Subtotal - University System	$74,048,686	$74,404,365	($16,727,554)	$57,677,031	$25,407,588	($16,727,554)
Bismarck State College	$15,017,975	$15,017,975	$1,209,216	$16,227,191	$15,017,975	$1,209,216
Lake Region State College	4,724,082	4,724,082	232,723	4,956,785	4,724,082	232,723
Williston State College	5,183,247	5,183,247	295,984	5,479,231	5,183,247	295,984
University of North Dakota	83,788,583	83,788,583	4,165,429	87,954,012	83,788,583	4,165,429
UND Medical School	28,571,846	28,571,846	1,434,770	30,006,616	28,571,846	1,434,770
North Dakota State University	65,282,441	65,282,441	3,406,306	68,688,747	65,282,441	3,406,306
State College of Science	25,776,628	25,776,628	1,206,174	26,982,802	25,776,628	1,206,174
Dickinson State University	13,454,794	13,454,794	685,339	14,140,133	13,454,794	685,339
Mayville State University	8,584,586	8,584,586	460,741	9,355,327	8,584,586	460,741
Minot State University	25,230,343	25,230,343	1,302,043	26,532,386	25,405,243	1,127,643
Valley City State University	11,882,804	11,882,804	557,943	12,440,847	11,882,804	557,943
Minot State University - Bottineau	4,083,153	4,083,153	202,087	4,285,240	4,083,153	202,087
Forest Service	1,798,888	1,798,888		1,798,888	1,798,888	
Total	$367,387,987	$367,753,836	($1,568,797)	$366,185,039	$368,931,827	($2,746,788)

The following provides a comparison of the general fund and special funds appropriated for higher education in Engrossed Senate Bill No. 2003 to this proposed amendment:

	ENGROSSED SENATE BILL NO. 2003	PROPOSED AMENDMENT TO ENGROSSED SENATE BILL NO. 2003	DIFFERENCE
General fund	$367,753,836	$366,185,039	($1,568,797)
Special funds	42,094,068	46,419,068	4,325,000
Total	$409,847,904	$412,604,107	$2,756,203

Senate Bill No. 2003 - Dept. 605 - Department of Commerce - House Action

This amendment provides a general fund appropriation of $194,788 and .7 of a full-time equivalent position to the Department of Commerce for the College Technical Education Council.

SECOND READING OF SENATE BILL

SB 2003: A BILL for an Act to provide an appropriation for defraying the expenses of the North Dakota university system; to provide an appropriation to the department of commerce; to provide for legislative council studies; to provide statements of legislative intent; to amend and reenact sections 15-10-08, 15-10-12, 52-08-08, 52-08-09, 52-08-10, 52-08-11, 54-44.1-04, subsection 7 of section 54-44.1-06, and section 54-44.1-11 of the North Dakota Century Code, relating to compensation for members of the board of higher education, higher education institutions' special revenue funds, workforce training, budget requests and block grant appropriations, and unexpended appropriations; to repeal section 22 of chapter 37 of the 1999 Session Laws relating to the university of North Dakota winter sports facility maintenance fund; to provide an expiration date; and to declare an emergency.

ROLL CALL

The question being on the final passage of the amended bill, which has been read, and has committee recommendation of DO PASS, the roll was called and there were 74 YEAS, 20 NAYS, 0 EXCUSED, 4 ABSENT AND NOT VOTING.

YEAS: Aarsvold; Belter; Berg; Boucher; Brekke; Brusegaard; Carlisle; Clark; Cleary; Delmore; Devlin; Disrud; Dosch; Eckre; Ekstrom; Fairfield; Froelich; Froseth; Galvin; Grosz; Grumbo; Gulleson; Gunter; Hanson; Hawken; Herbel; Huether; Hunskor; Jensen; Johnson, D.; Johnson, N.; Keiser; Keisch, R.; Keisch, S.; Kerzman; Kingsbury; Klein, F.; Klein, M.; Klemin; Kliniske; Koppelman; Kretschmar; Kroeber; Mahoney; Maragos; Martinson; Meier; Metcalf; Monson; Mueller; Nelson; Nicholas; Niemeier; Nottestad; Onstad; Pietsch; Price; Rennerfeldt; Sandvig; Schmidt; Severson; Solberg; Svedjan; Thoreson, B.; Thoreson, L.; Tieman; Timm; Wald; Warner; Weiler; Wentz; Wikenheiser; Winrich; Speaker Bernstein

2001 SENATE APPROPRIATIONS

CONFERENCE COMMITTEE

SB 2003

2001 SENATE STANDING COMMITTEE MINUTES

BILL/RESOLUTION NO. SB2003

Senate Appropriations Committee

☒ Conference Committee

Hearing Date April 17, 2001

Tape Number	Side A	Side B	Meter #
Tape # 2	x		48.4 - 54.4
Tape # 2		x	0.0 - 45.7
Committee Clerk Signature	Connie Pietsch		

Minutes:

Senator Nething, Chair of SB2003 (North Dakota University System) Conference Committee, opened the meeting at 10:00 am on Tuesday, April 17th in the Harvest Room.

Roll Call: Senator Nething, Chair; Senator Solberg; Senator Lindaas; Representative Wentz; Representative Martinson; and Representative Aarsvold responded. All members present.

Senator Nething: Think we'll break this into four areas --- if you'd explain the campus changes first?

Representative Wentz: Instead of going through all of the House amendments it would perhaps be easier to have you give us the portions that you disagree with?

Senator Nething: Amendment 18029.0220 -- let's work it through--- pages 9 and 10 -- page 10 has detail?

Representative Wentz: Testimony options to the campuses -- we took the top priorities per their own testimony to the committee. EPSCOR funds are used at NDSU and UND.

Senator Nething: BSC --top of the list?

Representative Wentz: Yes.

Senator Nething: UND?

Representative Wentz: Included in EPSCOR dollars. ...over 90% of its budget (EPSCOR) goes to the two larger research institutions -- counted that as their share, UND and NDSU.

Senator Nething: Discrepancy pool dollars?

Representative Wentz: Yes.

Senator Nething: University System Office -- reduction of 1 million?

Representative Wentz: That money removed -- added up for the 2 million figure.

Senator Solberg: See line -- 2 million reduction (page 13 of breakdown).

Senator Nething: # 2 -- Board Members compensation? $250 a month?

Representative Martinson: Change now. We felt they put a lot of time in as Board members and should be compensated --- they are not interested in increase --- can take out, the $62.50 per day is okay now.

Senator Nething: #3 -- 200 thousand special needs disparity?

Representative Martinson: Need to arrive at the 2 million -- some of pool dollars.

Representative Wentz: Not a direct tie.

Senator Nething: Back to item #1?

Representative Wentz: Yes, money sent to campuses.

Senator Nething: Item #4 1.3 from tech pool?

Representative Wentz: House felt that most campuses already have distant learning programs in place and up and running -- not necessary to have additional dollars.

Senator Nething: Thoughts are that technology info all in place?

Representative Wentz: For distant learning programs.

Senator Nething: Ask the institutions - discussion?

Representative Martinson: Everyone always need everything they ask for when in testimony -- we did ask, got our answers, then made the decision.

Representative Aarsvold: With tight budget we felt this would be a duplication --- function is already there.

Representative Martinson: 1.3 is the only reduction made; rest is reallocation.

Senator Nething: Board initiatives 395- --came up with 595--?

Representative Aarsvold: Footnote 5, page 13 explains.

Senator Nething: #6 -- remove .3 fte (pages 10 and 13)

Roxanne Hobza, Legislative Council Staff Analyst: Explained the House transfer to the Department of Commerce -- .7 fte --- and this removed the rest of FTE- the .3 removal as noted in #6 footnote.

Senator Nething: Leaves capital construction -- #8, Lake Region and Dickinson State University.-- 1 million 568 thousand (page 10)?

Representative Wentz: Top of page 14 has the explanation -- both are special funds.

Senator Nething: Mayville? Minot?

Representative Wentz: Which projects?

Roxanne Hobza: 2 projects --- not change in capital construction -- House made no changes; 2 added by House are general fund: zero.

Senator Nething: Address those 2 ?

Roxanne Hobza: Both were new information in the House -- special fund projects.

Senator Nething: Own money?

Representative Martinson: Private dollars -- we gave them authorization to raise the dollars.

Representative Aarsvold: lake Region has dollars in hand -- family gift.

Senator Nething: pages 10 to 11 -- changes House made to other funds?

Representative Wentz: Optional adjustment -- cost to continue.

Senator Nething: Footnote #1, page 13 -- 2 million?

Roxanne Hobza: Description used for going from block grants to special funds -- descriptions only. -- House provided individual colleges rather than 5 block grants.

Senator Nething: Relates in part the move from other funds, line items and Block grants? Where general funds?

Roxanne Hobza: page 10 has explanation -- block grant funding.

Senator Nething: #8?

Representative Wentz: Believe we covered 8 and 9.

Senator Nething: Covered in footnotes?

Roxanne Hobza: Yes, also note the one on bottom of page 13.

Representative Martinson: There is a need for the 169,676 at UND -- damage to the boilers during the '97 flood. OMB left out ?

Representative Wentz: Was in the deficiency bill -- took out of there and put it in higher education -- felt it was better to pay now, saves interest costs.

Senator Nething: Reason it wasn't in budget?

Representative Martinson: Not an oversight.

Senator Nething: Talk us into agreement?

Representative Martinson: Another request -- not given before was Internet related.

Senator Nething: FTE, if they have -- they can't replace?

Representative Martinson: Can if individuals left -- can fill the fte but not add another.

Representative Aarsvold: Like to allow flexibility in enrollment on campuses.

Senator Nething: # 3 bullet -- we've talked about that already?

Representative Martinson: Yes, Senate had eliminated and block grant them. There was SB2276 dental bill...

Senator Nething: Different source on that bill, now in conference. House aliened it here; it was out of community health care trust fund.

Senator Nething: Next bullet: student financial aid assistant --- setting percentages?

Representative Martinson: Felt private institutions were short changes -- 17-20 percent was the figure given us -- but we felt it should be at least 20% --- rest is up to the system. Native American has own percentage -- public and native American make up the 80% balance.

Senator Nething: Legislative intent is driven --next bullet?

Representative Wentz: Number 1 reason was the concern regarding colleges/universities being able to keep the tuition dollars -- this would make things competitive for students. Felt the Office and Board should be involved to minimize competitions. Also the presidents should be accountable as should the Board and Chancellor -- all should be accountable for enrollment. Example given us by Board President Isaakson: The state of Nevada saw a need for teachers --- so they built a new teachers college. We in North Dakota have excess space in our universities -- think there should be arrangements to get involved -- not the individual presidents, but the Board/System should have their own plans.

Senator Nething: Heating system-next bullet?

Representative Martinson: We asked each institution about using coal -- felt it should be looked at as an alternative fuel, save costs.

Senator Nething: Study is ongoing- and accountability measures --- we'll visit, work through that one. Amends Section 12: reduce floor and addition on Bliker Activities Center-- explain?

Roxanne Hobza: Senate authorized spending authority for the projects -- which was in 2 parts, and stipulation that projects couldn't start until the funds were raised.

When they went to the House -- they said cash was on hand for the floor -- and they'd like to complete that - continue to raise dollars for remaining portion .--That is what they are requesting, and House approved -- do what you have money for -- authority there to continue to raise dollars, complete addition when dollars are raised. Needed to be reworded to accommodate.

Senator Nething: We'll stop there --- work the last three bullets on page 14 when we convene again.

2001 SENATE STANDING COMMITTEE MINUTES

BILL/RESOLUTION NO. SB2003

Senate Appropriations Committee

☒ Conference Committee

Hearing Date April 19, 2001

Tape Number	Side A	Side B	Meter #
Tape # 1		x	11.0 - 49.7
Tape #3	x		0.0 - 1.3
Committee Clerk Signature	Connie Dietsch		

Minutes:

Senator Nething, Chair of SB2003 Conference Committee (North Dakota University System), called the meeting to order at 2:00 pm on Thursday, April 19th in the Harvest Room.

Roll Call: Senator Nething, Chair; Senator Solberg; Senator Lindaas; Representative Wentz; Representative Martinson; and Representative Aarsvold present.

Senator Nething: All members present, believe we finished off on page 14 -- item concerning the Blikre Center.

Representative Martinson: Allows the renovation ½ of first floor -- not the renovation of administrative offices (move president upstairs) -- we'd asked fore the priority list, classroom was number one.

Senator Nething: Asbestos removal -- go as far as required?

Representative Martinson: Bathrooms and ½ floor along with asbestos, per city personnel said required for code, yes.

Senator Nething: They do the basic -- back for more dollars --- didn't limit future?

Representative Martinson: Yes to former; no to last. 1 million session before for laboratory side now the classroom side.

Senator Nething: Some infrastructure out of the way -- deleted --repeal sec 30, on page 8 ---why?

Representative Martinson : Not sure of its purpose -- felt it no necessary -- building given free and clear to UND -- just hockey related functions look to have some 2 million profit.

Senator Nething: Reason it was put in -- OMB sets policy; didn't want building built -- given to the State -- which means we need to maintain -- needed to have all information specific to a particular building.

Representative Martinson: Copy of their per forma shows this to be a revenue generated building.

Senator Nething: Ask for? Presentation?

Representative Martinson: Yes -- by individual benefactor.

Senator Nething: Next thing ---accounting standards; revenues? (copy attached)

Celeste Kubasta, OMB Analyst: Defined for UND -- interest calculate to specific date, passed after that date.

Representative Martinson: Lots of time spent on these -- House consolidated -- same requirements, less numbers and added four new ones. We did not delete as stated -- we consolidated redundant items.

Senator Nething: #13, page 4 -- combined -- mostly new ones on page 1? Rest part of the consolidation?

Representative Wentz: 12. Felt only fair the evaluation process go the other way also -- faculty charged to carry out Roundtable initiatives -- faculty are primary in fulfilling the institutional goals and directives --- they prepare students -- think the administration, Board, and Board Office needs to have feedback. Since faculty are evaluated by students --the faculty progress, status at the institution is based there to some extent. We don't feel the Office or Board should object to review.

Senator Nething: New concept? Workers evaluating the leader(s)?

Representative Wentz: Private industry is doing it -- top down autocracy -- collaborative process. The Roundtable allows flexibility to the system --- think they need to hear from those who carry out the policies.

Senator Nething: 1g?

Representative Aarsvold: Surprised this was not in the Roundtable originally --- very important standard to adopt.

Representative Wentz: 2c: obviously missed -- important.

Senator Nething: Not sure I want people asking how much money I make?

Representative Wentz: We're all asked a lot --- through surveys that list categories -- from one sum to another.

Senator Nething: See work being done by the alumni? How do you go about seeking national averages?

Representative Wentz: Open ended -- let the System define.

Senator Nething: Measure device: National?

Representative Martinson: Development of reporting -- survey based.

Senator Nething: Understand the gathering --- but national average?

Representative Aarsvold: Sure they are available as first grade teachers, first year attorney -- sources such as that could be found on national level.

Senator Nething: 2e?

Representative Aarsvold: We are encouraging the entrepreneur direction -- couldn't come across distinguishing measure to quantify this --entrepreneur -- skills of our graduates.

Senator Nething: Understand - but what are we after? How to quantify it the effort of graduates?

Senator Nething: Any other comments?

Representative Wentz: Have specific questions?

Senator Nething: Perhaps combining items together -- could be better style, we'll consider.

Senator Solberg: #16 -- delete annual audit reports -- and the biennial report -- why?

Representative Wentz: Not deleted --- combined.

Senator Nething: Amendment # 5 -- page 6 - perhaps OMB can explain?

Celeste Kubasta, OMB Analyst: Arvy Smith from our office works on the audit and accounting reports language -- regarding compliance. She is at another meeting -- perhaps you would like her to come and explain this at another time?

Senator Solberg: Don't complete a financial?

Celeste Kubasta: Review of revenue reports are submitted.

Senator Nething: Fiscal and non fiscal reporting requirement part of the Senate version.

Celeste Kubasta: Audits required by law.

Senator Solberg: On 12-1 each year audit reports are made available to legislators -- it's used for financial measures. We now have performance and financial reports which assist us greatly.

Representative Martinson: Not a big deal -- don't want to delete -- if good reports are that useful.

Senator Nething: Audit is important -- regulated, unqualified open and biannually.

Senator Solberg: #5, page 2 -- capital projects?

Senator Nething: We felt in dealing with new projects, it is imperative to have a composite picture.

Senator Solberg: When we appropriate dollars for projects -- seems to me we need to leave it there -- for system tracking.

Representative Aarsvold: 5 and 16 asking for something that is not a standard of accountability.

Senator Nething: We appreciate your efforts -- many are acceptable -- have some questions -- time need to review them.

Representative Martinson: Good - then we can visit about what you like and don't like -- as well as what you can't stand.

Senator Nething: There's a lot we like --- but some of each of the other two.

Senator Nething: Anything else?

Senator Solberg: Believe we have some financial documents to let fellow members review? Overview and summary of House amendments -- copies distributed (copies attached here).

Senator Nething: One more thing -- like to give your copies of NDUS request to emergency commission for 130,000 -- tuition revenue. Please review it, and we perhaps can place it here. There have been some similar instances: 3 on transportation budget; 4th one here and 5th on National Guard.

Representative Martinson: Believe UND has package -- same thing.

Senator Nething: No copies -- perhaps we'll have it, or it will go on the OMB bill.

Meeting adjourned; committee to be reconvened upon the call of the schedulers.

2001 SENATE STANDING COMMITTEE MINUTES

BILL/RESOLUTION NO. SB2003

Senate Appropriations Committee

☒ Conference Committee

Hearing Date April 21, 2001

Tape Number	Side A	Side B	Meter #
Tape # 1	x		0.0 - 33.4
Committee Clerk Signature	Connie Peterson		

Minutes:

Senator Nething, Chair of the SB2003 Conference Committee (North Dakota University System), opened the meeting at 9:00 am, Saturday, April 21st in the Harvest Room.

Roll Call: Senator Nething, Chair; Senator Solberg; Senator Lindaas; Representative Wentz; Representative Aarsvold; and Representative Martinson responded.

Senator Nething: All members present, the Senate did appreciate receiving the information regarding House changes made to SB2003. The differences are not that insolvable -- close. When it left the Senate there was much more flexibility --Chancellor and Board, believe you compared this to the interim report etc. Think we can work on the differences -- like to offer the Senate proposals (copy is attached). We recognize differences, and hope that we can bring this to middle ground -- perhaps add some things, shift others?

Senator Solberg: Went through the proposal, leading discussions when questions arose.

Representative Martinson: What version of the bill are you responding to?

Senator Solberg: Engrossed bill as out of the House.

Representative Martinson: These are the only areas --- rest is agreeable to the Senate?

Senator Solberg: There are a few questions -- line with them as we go through the discussion. Senate version gave flexibility; we wonder why the changes were done?

Representative Wentz: Request time to look at these and prepare response.

Senator Nething: Understand; perhaps you could provide information regarding our concerns -- using our spread sheets -- item #7, column 7 (and 9-10-11) -- there are items regarding BSC, WiSU, DSU and MiSU -- extraordinary repairs -- we need more explanation, yours could elevate our concerns. The dental loan -- now available through another program -- page 23, line 1 -- we'd like to see that transfer.

Representative Aarsvold: HB1310 failed in the Senate -- referred to retraining -- scholarships, all of which was in SB2003 per the explanation given there --- can't find it here? Funds are supposed to be here along with language?

Senator Solberg: Believe Section 10, 350 thousand.

Senator Solberg: Moving to performance area --

Representative Martinson: No campus projects objected to?

Senator Nething: Worksheet -- item #1

Representative Martinson: 7-9-10 and 11?

Senator Nething: Yes; and only because we did not have a whole lot of information on it when it left the Senate.

Senator Solberg: Measure 3 - accounting, 1e-- not sure how this would improve things --believe it would result in division rather than harmony.

Representative Martinson: Think it fair that students evaluate faculty and faculty can't evaluate administration? What's the difference?

Senator Solberg: When tough decisions are made by administration there is never 100% satisfaction. Student are there to learn -- faculty, with change of administration -- they can be dissatisfied but must remain. Student can transfer when they have problems with faculty.

Representative Martinson: Saying students there to learn, so uneducated students can evaluate?

Senator Nething: Can set aside differences -- 2 different scenarios: students are there for 3-6 years; faculty have longevity (tenure) -- hard to compare - not apple to apple. Just because one reports the other should?

Representative Wentz: What allows faculty to give their opinion?

Senator Solberg: Institutions have evaluations -- faculty report to administration; there is a faculty member on the Board of Higher Education -- there is an open door at the local level I'm sure where they are able to voice their opinions.

Representative Wentz: We are talking about the faculty on every institution -- not just one on the Board of Higher Education. Administration and The Board carry out the suggestions of the Round Table -- but how can they if they have no way of knowing the translations being carried out --- how do they know without input from the bottom up?

Senator Solberg: I don't want to run a campus -- have in private sector had employees. Not to micro manage the department head who meets with the faculty --at which meeting it would be logical to vent -- present would call in everyone if there was a problem -- then on to the Chancellor's Cabinet for discussion. If every single professor vented to the Board or Chancellor -- would there be no chain of command?

Representative Wentz: Not talking solely complaints --- this sort of management is being implemented throughout industry. Could design a system (data available) -- a time to bring forward management suggestions -- to better the management, let them know they are accomplishing end product.

Representative Martinson: Not all complaining -- some want to praise -- not all are critical.

Representative Wentz: Could redesign -- there are helpful suggestions good. Mr. :Peters who spoke in Bismarck this past year regarding survival had evaluation by subordinates as a key point in the practice of businesses.

Senator Solberg: How many points?

Representative Wentz: 32 -- which included areas of revenue, physical plant operations, etc. Private industry is doing this -- it is not something we dreamed up --- we feel this is an important component.

Senator Solberg: 2c -- do have that except no national average data in FINDIT -- suspect that could be available but not in each field -- would be heavy stuff.

2e - vague -- How would it be done? Tie to each graduate? Each graduate that stays in the state -- not sure if it's a new business or taking over for someone else. Believe each graduate is a credit to the system.

Representative Aarsvold: FINDIT -- can't tell for sure -- but would guess data is available.

Senator Nething: Where in place is such national data -- know of any?

Arvy Smith, OMB Analyst: Believe the Job Service would have some valuable statistics -- not sure if they have by each profession in state.

Senator Nething: Question is.... is there a source?

Senator Solberg: #5 - question performance -- should keep track of , can be used as performance on each campus. Campus project - like to have private dollars -- keep that in. -- has third part funding and debet -- we wanted to have comparison so we know where we are -- believe there is no harm in reporting mechanism --- only where appropriation is involved.

Senator Nething: We'll adjourn until 1:00 pm.

Representative Wentz distributed copies of a letter regarding #5 to each member for their information.

2001 SENATE STANDING COMMITTEE MINUTES

BILL/RESOLUTION NO. SB2003

Senate Appropriations Committee

☒ Conference Committee

Hearing Date April 21, 2001

Tape Number	Side A	Side B	Meter #
Tape # 1		x	2.9 - 19.1
Committee Clerk Signature	Sonnie Peterson		

Minutes:

Senator Nething, Chair of SB2003 Conference Committee (North Dakota University System), opened the meeting at 1:00 pm on Saturday, April 21st in the Harvest Room.

Roll Call: Senator Nething, Chair; Senator Solberg; Senator Lindaas; Representative Wentz; Representative Martinson; and Representative Aarsvold present.

Senator Nething: All members present, have the House members had the opportunity to review the Senate proposal of this morning?

Representative Martinson: You indicated your committee did not hear testimony regarding the BSC floor revocation, WISC the technology situation, the MISU extra ordinary repairs, DSU-institutional innovation project nor the UND Medical School request for web development, but we did -- and I have marked each item in the budget books which I will be happy to leave for your review if you wish.

Senator Nething: Schafer classroom renovation --- section 20.20 has implementation language for 596 -- no funds for administrative offices --- mean other than 596?

Representative Martinson: Means what it says.

Senator Nething: The whole picture is of renovation --- why not let private dollars be used for offices?

Representative Martinson: Blueprints were for large third floor president's office -- we asked for their priority list -- and the administration office renovation was fifth on the list.

Representative Wentz: Felt there was a need to put emphasize on classroom space.

Senator Nething: Become prohibitive to use private moneys for administration offices?

Representative Martinson: For 2 years.

Senator Nething: Understand -- why limit it?

Representative Martinson: Felt the office to be adequate.

Senator Nething: Technology at Williston?

Representative Wentz: First in optional dollars from gaming locations -- which provides technology dollars -- but the state has never contributed -- felt it was time for state to do share.

Senator Nething: Medical School -- web site fte?

Representative Martinson: Asked for fte -- to put things on line; create a web site.

Senator Nething: Can do on own?

Celeste Kubasta, OMB Analyst: As passed, all institutions can add fte.

Senator Nething: DSU - institutional innovation?

Representative Wentz: They have been doing things for the Theodore Roosevelt park and other entities such as that within the state --- 139,935 given figuring they could raise the rest themselves.

Senator Nething: MIS: 200 thousand?

Representative Wentz: Hartman Hall need renovation -- they lack campus sign age -- new routes need to be marked as well as the buildings on campus.

Senator Solberg: Which o or none of these do you feel would not be funded from the Board pool -- which we had in budget .---- and if not, why not?

Representative Martinson: Reason it won't be taken out of pool --- we've learned again that the Chancellor has called all the presidents to have them contact local legislators to tow the line on budget. We had met with the Chancellor, who asked us what he could do --- we requested no pressure to the legislators via the presidents -- the Board members are doing it also. And he is still doing it! Received calls from presidents. We feel that Board and Chancellor will reward those who tow the line -- use money to discipline ----- we request you ask them to quit.

Senator Nething: Same would go for the Executive Office -- telling how 1344 works?

Representative Martinson: How does that relate to this?

Representative Wentz: Two different issues.

Senator Nething: When has this happened?

Representative Martinson: This week.

Senator Nething: 2nd item?

Representative Wentz: Not prepared to move on 850,000.

Senator Nething: CETEC?

Representative Martinson: Other states are going to Workforce -- putting 1 in Minnesots. We have concerns regarding travel other expenditures -- have vouchers documenting questionable items: 3 staff members have lunch together -- bill the system -- attend international toastmaster meetings, bill the system --- right? (documents placed in SB2003 Conference Committee)

Senator Nething: No transfer to the other agency -- department of commerce said not there.

Representative Martinson: That's there, this is different -- does say specifically that they do not accept transfer --- not in the commerce bill --

Senator Solberg: Moves to Commerce -- rejected; now in limbo --- is this a personal matter rather than program?

Senator Nething: Like to talk program --- other is a management structure.

Workforce training --- transferred that.

Senator Solberg: FTE and dollars --- be 2 bosses, North Dakota University System and Commerce.

Representative Martinson: Transfer never in Commerce -- would need to look at amendments.

Senator Solberg: Seems "except" moved out of here to commerce --- and if not in there, where are the dollars?

Senator Nething: Dollars not allowed. Understand agency has budget limited to that dollars --- not included; no way for agency to handle --- but no dollars -- this bill can't move to another

Representative Martinson: Request to provide 100 thousand for a Lewis and Clark Interpretative Center has just come out of one of your Senate committee -- need new dollars, yet you're telling us we can't put in and reallocate? Your transfer not complete?

Senator Nething: Different committee -- we let our conferees decide.

Senator Nething: Is there anything that you agree to?

Representative Wentz: None.

Senator Nething: Meeting adjourned until the call of the schedulers.

SB 2003
Proposal to Settle

1. **Campuses:** **Senate has problem with distribution from equity and board Initiative pools.**

   Senate wants Board initiative increased by $850,000 (P2, Line 8)

   Senate objects to having CETEC moved
   Restore and also restores $74,009 (.3 FTE)

   Keep Workforce Training as is
   (Delete Sections 23 thru 26)

2. **Board:** **Senate has problem with Section 14 Enrollment management plan**

   Problem with Section 16 - Study of Board

   Senate wants Section 8 to include Subdivision 1

   Senate wants Lines 20, 21, & 22 Page 1 put in one Line of "operations" which is same as campuses.

   Move $135,000 from Dental Loan Program to Student Financial Loan Grants.

3. Accountability Measures:

   Senate objects to 1 e - tough acc, not 100% satisfaction

   Senate agrees to ~~1f~~ 1g

   Senate questions how to arrive at 2c - % national average - grad salaries -

   Senate questions measurement mechanism of 2e

   Senate prefers to keep #5

4-21-01

2001 SENATE STANDING COMMITTEE MINUTES

BILL/RESOLUTION NO. SB2003

Senate Appropriations Committee

☒ **Conference Committee**

Hearing Date April 23, 2001

Tape Number	Side A	Side B	Meter #
Tape # 1	x		0.0 - 23.0
Committee Clerk Signature	Connie Pietsch		

Minutes:

Senator Nething, Chair of SB2003 Conference Committee (North Dakota University System), called the meeting to order at 11:00 am on Monday, April 23, in the Harvest Room.

Roll Call: Senator Nething, Chair; Senator Solberg; Senator Lindaas; Representative Wentz; Representative Martinson; and Representative Aarsvold present.

Senator Nething: All members are present -- The Senate proposals were rejected by the House at the last meeting; so we entertain hearing from them regarding a new strategy?

Representative Wentz: The House has responded to your proposals, and let to take this opportunity to present them (a copy of written proposal is attached).

Representative Wentz: We used your chart -- and have made our recommendations in the right hand column to each of the proposed items. She went through each of the items.

Senator Nething: 2e -- moving dental 135,000 dollars twice?

Representative Martinson: Believe that was your suggestion?

Representative Wentz: We will do the 535,000 and will find the 135,000.

Senator Nething: Hearing no questions, believe we understand the proposal; we'll need time to review and consider. Meanwhile we have an additional amendment request which would be appropriate in this bill. Copies of amendment 18029.0224 were distributed (a copy is attached). Amendments cover the purchase of certain land in Grand Forks and the sum of $16,441 to Mayville State University for repair costs for the university's field house floor. Discussion of the amendments.

Representative Martinson moved the amendments; seconded by Representative Wentz. Discussion; call for the vote: Carried by voice vote conducted by Senator Nething, Chair. This will be entered into the final amendments.

Senator Nething: We have a request that would normally go to the Emergency Commission, but since the legislative assembly is in session, this bill appears to be the appropriate vehicle. Copies of the request from the Lake Region State College (a copy is attached), in the amount of $130,000, with a source of funds being tuition revenue. Discussion on requests.

Senator Solberg: Move to approve, add to SB2003 amendments; seconded by Representative Aarsvold. Further discussion; call for the vote: Carried by voice vote conducted by Senator Nething, Chair.

Senator Nething: We have another proposed amendments (18029.0223), which I pass out to you at this time (a copy is attached).

Roxanne Hobza, Legislative Council Staff Analyst: Went through the proposed amendments. Clears up any inconsistencies that might arise -- regarding HB1444, relating to student loan forgiveness program funding. Repeals Section 2 of the HB1444 which has already been processed through the system already.

Senator Solberg: move to approve the amendments; seconded by Representative Wentz.

Discussion; Call for the vote: Motion carried by voice count taken by Senator Nething.

Senator Nething: I'd like to share some information at this time, along with a proposed amendment -- for your consideration ---- but for now only informational -- we will table any vote, consideration until you House members have been able to visit with Representative Berg and any other of your colleagues regarding this situation. The proposal and newspaper story refers to the Fargo Family Health Care Center --- its debt (a copy of the newspaper article and the proposed amendment is attached). The $395,000 was start up dollars in '94 -- the scheduled repayment plan was set at $40,000 per year -- but that schedule has not been met. This documentation was sent to Gary Nelson, Senate Majority Leader for consideration --- if this is not written off -- the Center won't be able to continue. There are some subjective, some objective thoughts. The center located in Fargo and services many refugees. The UND Medical School did withdrew their physicians -- which might have been in part due to the non-payments to UND of 40,000 per year. This bill would be the vehicle whereby this amendment could be moved.

Representative Wentz: Were they not recipients of a grant?

Senator Nething: Yes, believe 75 thousand to hire a consultant

Representative Martinson: Believe to head operations.

Representative Wentz: Thought it was higher -- will do some checking; also thought it was open-ended grant, and might have been used here.

Senator Nething: Don't know, would appreciate if you could find the answers and share then.

Representative Aarsvold: What impact does this have on the Medical School --- have they budgeted for this 40 thousand dollar loss?

Senator Nething: Not in the budget. Believe they realize there hasn't been any payment and no effort appears to be there now.

Senator Solberg: On the proposed amendments -- budget section should be changed to legislative assembly?

Senator Nething: By time this is in place --- budget section would be most appropriate.

Senator Lindaas: These the only two debts that they have?

Senator Nething: There have other debts: 123,000 dollars, accounts payable to the city of Fargo; 104 thousand dollars to UND (was to be 40 thousand per year which would have applied here; and 335 thousand dollars all other entities (some of which are pharmaceutical companies per Representative Wentz. The Health Care Center will go under if no debt forgiveness, so we understand.

We'll adjourn now, giving House members to discuss this further; reconvene at the call of the schedulers.

4-23-01

SENATE BILL 2003 - conf committee
Proposal to Settle

Senate	House
1. Campuses:	
a. Senate has problems with distribution from equity and board initiative pools.	a. No.
b. Senate wants board initiative increase by $850,000. (P2, Line 18)	b. $535,000 (Section 10, Page 4)
c. Senate objects to having CETEC moved.	c. No.
d. Restore and also restores $74,009 (.3 FTE).	d. No.
e. Keep Workforce Training as is. (Delete Sections 23 through 26)	e. No.
2. Board:	
a. Senate has problem with Section 14, Enrollment Management Plan.	a. No.
b. Problem with Section 16 -- Study of Board.	b. Yes.
c. Senate wants Section 8 to include Subdivision 1.	c. No.
d. Senate wants Lines 20, 21, and 22, Page 1, put in one Line of "Operations" which is the same as campuses.	d. No.
e. Move $135,000 from Dental Loan Program to Student Financial Loan Grants.	e. Yes.
3. Accountability Measures:	
a. Senate objects to 1e.	a. No.
b. Senate agrees to 1a.	b. Yes.
c. Senate questions how to arrive at 2c.	c. Yes.
d. Senate questions measurement mechanism of 2e.	d. Yes.
e. Senate prefers to keep #5.	e. Yes.

2001 SENATE STANDING COMMITTEE MINUTES

BILL/RESOLUTION NO. SB2003

Senate Appropriations Committee

Conference Committee

Hearing Date April 26, 2001

Tape Number	Side A	Side B	Meter #
Tape # 1	x		0.0 - 4.3
Committee Clerk Signature	Connie Pietsch		

Minutes:

Senator Nething, Chair of the SB2003 Conference Committee (North Dakota University System), called the meeting to order at 9:15 a.m., on Thursday, April 26th, in the Harvest Room/ Roll Call: Members present: Senator Nething, Chair: Senator Solberg; Senator Lindaas; Representative Wentz; Representative Martinson; and Representative Aarsvold.

Senator Nething: The Senate would like to offer a proposal to settle SB2003 (a copy is attached), which he distributed to all members. He went through the offer, and indicated he was aware that the House members would need some time to consider it.

Representative Wentz: Thank you, we will need some time to review.

Representative Martinson: 850,000 -- keep as is; and the dental 135,000 goes to financial aid? 1 b --- is the 400,00 in the 850,000?

Senator Nething: Yes regarding the dental dollars. No, proposal is to leave the 400,000 there; 850,000 is different dollars.

Sen. Nething
Conf Com
4-26-01

Settle SB 2003

This compromise is offered in an effort to sustain part of the flexibility of the Board of Higher Education as recommended by the Interim Committee on Higher Education. This offer will give us an opportunity to better evaluate the Board as we grant them this flexibility:

House retain 2 million dollar distribution
from equity and Board initiative pools

Give Board same flexibility as campuses
with line items and adjusting full time equivalents

Increase Board initiatives $850,000

CETEC is a program developed a few years ago and Workforce Training was developed by a private sector taskforce and enacted into law during the 1999 Legislative Session. They should be given additional time to work and then reviewed again.

An interim study is recommended to monitor the programs this coming interim as well as the study proposed in SB2019.

Restore CETEC with funding to present status

Keep Workforce Training as is

Accountability: 1e be amended to read:
"Biennial report on employee satisfaction
relating to the University system and local institutions"

2001 SENATE STANDING COMMITTEE MINUTES

BILL/RESOLUTION NO. SB2003

Senate Appropriations Committee

X Conference Committee

Hearing Date April 27, 2001

Tape Number	Side A	Side B	Meter #
Tape # 1	x		0.0 - 7.4
Committee Clerk Signature	Donna Dietsch		

Minutes:

Senator Nething, Chair of SB2003 Conference Committee (North Dakota University System), called the meeting to order at 9:00 a.m on Friday, April 27th in the Harvest Room.

Roll Call, Present: Senator Nething, Chair; Senator Solberg; Senator Lindaas; Representative Wentz; Representative Martinson; and Representative Aarsvold.

Senator Nething: The Senate offered its written proposal at the last meeting; is the House ready to respond?

Representative Wentz: Yes, a written response has been prepared; she distributed copies (a copy is attached). House members will respond to any questions after the Senate has had a chance to review.

Senator Nething: Believe the CETEC was 74 thousand -- now back to where it was in the budget; House doesn't want to fund it? Thought we were close?

Representative Martinson: Since our last meeting we have had a meeting with the House Majority Leader.

Senator Nething: There seems to be some problems: 3 areas are now combined -- House takes 1 most favorable to them --- appears we'll need to withdrawn from our proposal. Senator Solberg and I will have to visit with the Majority Leader, let's take a short recess and take this up again within our scheduled time frame.

Senator Nething: The committee meeting will reconvene (9:40 a.m.). The Senate offer on accountability is now off the table; rest remains as a package.

Representative Martinson: More specific? All of House except accountability?

Senator Nething: No, back to the Senate proposal -- won't offer le -- it's off the table.

Senator Nething: We still have the Family Health Care amendment to consider.

The amendment from Representative Martinson -- the Senate has a problem with section 14. Section 13 is not a problem. The 850,000 initiatives dollars are in our budget figures.

Senator Nething: There is an amendment to be considered this morning, #18029.0226 (a copy is attached). I'll ask Legislative Council to explain it.

Roxanne Hobza, Legislative Council Staff Analyst: Similar to the amendment approved in this committee earlier -- that one on HB1444; this one on HB1283. It repeals a section of a bill which has already been through the system -- and is included in SB2003 -- language to clarify actions, and to avoid further questions.

Representative Aarsvold: No problem with the proposed amendment -- move adoption.

All members were in agreement; Senator Nething accepted consensus, to be incorporated in final amendments.

Senator Nething: Think there are now four things we have worked out, approved to be in our committee amendments: 1) the land agreement; 2) Lake Region; 3) HB 1444 part; and 4) HB1283 part.

We'll stand adjourned until the call of the schedulers.

2001 SENATE STANDING COMMITTEE MINUTES

BILL/RESOLUTION NO. SB2003

Senate Appropriations Committee

☒ Conference Committee

Hearing Date April 28, 2001

Tape Number	Side A	Side B	Meter #
Tape # 1	x		0.0 - 6.7
Committee Clerk Signature	Connie Pietsch		

Minutes:

Senator Nething, Chair of the SB2003 Conference Committee (North Dakota University System), called the meeting to order at 9:30 a m, Saturday April 28th in the Harvest Room.

Roll Call: Senator Nething, Chair; Senator Solberg; Senator Lindaas; Representative Wentz; Representative Martinson; and Representative Aarsvold present.

Senator Nething: Full committee -- as we have every meeting, thank you. Does the House have a proposal they'd like to present?

Representative Wentz: Yes, the House has discussed this proposal with the leaders, and we are prepared to offer as identified on this page (a copy is attached). She distributed copies of the proposal, and led the review with the committee members.

Senator Solberg: Clarification matter: you intend that we freeze the FTE's --- number of employees as there is now?

Representative Wentz: Yes, the number of employees that are currently on staff.

Senator Nething: We, three Senators say thanks to the House members. We commend you on the attitudes and acts of good faith you have demonstrated throughout our meetings.

Senator Nething: There is one more thing we need to consider, the Health Care debt. I have an amendment, #18029.0227, which each of you should review. Need to give thought as to using SB2003 as the vehicle should we wish to approve this, incorporate into our final amendments. Need to determine if the full $395,000 debt should be forgiven, insert the wording of $40,000 per year increments, or should it state zero, no forgiveness?

Representative Martinson: Zero, don't adopt this amendment -- no forgiveness of the debt.

Representative Aarsvold: Adopt the amendment, forgive the debt.

Representative Wentz: Don't think we should ask the Medical School to forgive; would agree with Representative Martinson.

Senator Nething: Thank you, will not be adopted. We will have one more meeting to review the final set of amendments -- later today.

Representative Martinson: Is Legislative Council okay in what we have discussed, need for questions, discussions with us prior to preparation?

Roxanne Hobza, Legislative Council Staff Analyst: Comfortable with most items, as committee action was taken during the previous meetings. She did confirm several areas, for clarification purposes: enrollment management plan; section 16 - study of the Board; accountability statement; 1g; 2c; 2e; and # 5 --- both Senate and House members agreed with her interpretations.

Representative Wentz: We also extend our thanks, we appreciated how the meetings were conducted; the tone of the meetings; and enjoyed working with the Senate on this matter.

Senator Nething: Clerk: Let the records show that the House members have submitted a document - expression of concerns. No discussion requested. We will be in recess until the amendments are ready for our review and final recommendation, vote taken.

4-28-01
SB 2003 Conference Committee

EXPRESSION OF CONCERNS

1) Campus presidents, administrators, and employees are reluctant to share their opinions on pending legislation. Phone calls from legislators to campus presidents are often not returned.

2) The Board Office seems to have little confidence in the leadership at the campus level. Locally developed initiatives are not given fair consideration.

3) There is the perception of bias in favor of the two research institutions. This includes the:

   a) Allocation of resources.

   b) Board and Chancellor time and attention.

   c) Campus visits.

   d) Comments and media releases.

In spite of espousing a "system" of higher education, behavior and action indicate special treatment for certain individuals and campuses.

4) Solutions, decisions, and outcomes are directed to an end predetermined by the Chancellor.

5) The system office uses financial resources and other allocations as a means to keep the presidents "in line." Presidents feel intimidated by the system office and do not speak freely with legislators for fear of reprisals.

Representative Wentz | Representative Martinson | Representative Aarsvold

4-28-01
conf com

SETTLE SENATE BILL 2003

This compromise is offered in an effort to sustain part of the flexibility of the Board of Higher Education as recommended by the Interim Committee on Higher Education. This offer will give us an opportunity to better evaluate the Board as we grant them this flexibility:

Senate	House	Status
House retain $2 million distribution from equity and Board initiative pools.	Agree	
CETEC is a program developed a few years ago, and Workforce Training was developed by a private sector taskforce and enacted into law during the 1999 Legislative Session. They should be given additional time to work and then reviewed again. An interim study is recommended to monitor the programs this coming interim as well as the study proposed in Senate Bill 2019.	Agree	
Restore CETEC with funding to present status.	Agree	
Keep Workforce Training as is.	Agree	
Give Board same flexibility as campuses with line items and adjusting full-time equivalents	No New FTE's	
Increase Board initiatives $850,000.	500,000	
Accountability: 1e to be amended to read: "Biennial report on employee satisfaction relating to the University System and local institutions."	Agree	

2001 SENATE STANDING COMMITTEE MINUTES

BILL/RESOLUTION NO. SB2003

Senate Appropriations Committee

☒ Conference Committee

Hearing Date April 28, 2001

Tape Number	Side A	Side B	Meter #
Tape # 1	x		6.7 - 29.0
Committee Clerk Signature	Vonnie Pietsch		

Minutes:

Senator Nething, Chair of the SB2003 Conference Committee (North Dakota University System), called the meeting to order at 6:30 pm, on Saturday April 28th in the Harvest Room. Roll Call: Senator Nething, Chair; Senator Solberg; Senator Lindaas; Representative Wentz; Representative Martinson; and Representative Aarsvold were present.

Senator Nething: All members present, copies of amendments 18029.0228 (a copy is attached) were distributed; and he asked Legislative Council to walk the members through them, and asked the conference committee members to ask questions, express their concerns as we go through the document. Like to clear any potential problems as we go.

Roxanne Hobza, Legislative Council Staff Analyst: Went through each page, listing the changes whether House or Senate introduced. Changes to be made included - page 3, section 4: ending date of June 30, 2003; page 4, section 5, ending date of June 30, 2003; page 4, section 6, ending

date June 30, 2003; page 4, section 9 as of April 28, 2001; page 8, third to last line, insert Sections 5, 6 and 9 after section 4.

These changes were brought to the attention of fellow members as follows:

Representative Aarsvold: Should the emergency clause fail -- believe we need to change the ending date -- June 30, 2001 would not be appropriate?

Jim Smith, Legislative Budget Analyst and Auditor: Indicated June 30, 2003 could be inserted. The committee agreed to the change all sections that would be affected.

Representative Martinson: Page 4, section 9 -- think the committee's intent was that the number of employees by the university system be frozen as of this date --- not June 30, 2001.

Jim Smith: Today's date could replace June 30, 2001. The committee agreed.

Senator Solberg moved that the House recede from the House amendments, and the committee amend as in 18029.0228 with corrections. Second by Representative Wentz. Discussion; call for the vote: Roll Call Vote: 6 yes; 0 no; 0 absent and not voting.

Representative Martinson moved that the committee further amend, appropriating $395,000 to the UND Medical School, as previously discussed. Representative Wentz seconded the motion. Discussion; call for the vote: Roll Call Vote: 2 yes; 4 no; 0 absent and not voting.

Senator Nething: Again, thank you. Meeting is adjourned.

PROPOSED AMENDMENTS TO ENGROSSED SENATE BILL NO. 2003

Page 15, after line 3, insert:

SECTION 19. FORGIVENESS OF FARGO FAMILY HEALTHCARE CENTER DEBT. The university of North Dakota school of medicine and health science shall, when approved by the Budget Section, forgive and write off the debt of the Fargo Family HealthCare Center up to $395,000 upon adoption by the Family HealthCare Center of a plan to address sustainability of programs and services at the Family HealthCare Center and adoption by the City of Fargo of a plan to provide support to the Family HealthCare Center and forgiveness of at least $100,000 in rental expense. The Family HealthCare Center plan must include: immediate steps to reduce expenses; implementation of consultants' recommendations concerning internal operations and Family HealthCare Center board functions; defining the Family HealthCare Center's role in the community and identifying community support; definition of core services; identification of non-critical services to be cut back; implementation of an outside consultant's recommendations concerning internal operations; enhanced fundraising capacity; and, enhanced organizational capacity. The Budget Section shall review these items prior to approving the write-off.

Renumber accordingly

Date: 4-23-01

Roll Call Vote #: _____

2001 CONFERENCE COMMITTEE ROLL CALL VOTES
BILL/RESOLUTION NO. SB 2003

CONFERENCE COMMITTEE-North Dakota University System

Legislative Council Amendment Number Rpt - Refers to Top Family Health Care Center dept.

☐ recommends that the (SENATE/HOUSE) (ACCEDE to) (RECEDE from)

the (Senate/House) amendments on (SJ/HJ) page(s) _____ - _____

☐ having been unable to agree, recommends that the committee be discharged and a new committee be appointed.

Re vote - wife only

Action Taken _____

Motion Made By Senator/Representative _____ Seconded By Senator/Representative _____

Senators	Yes	No	Representative	Yes	No
Senator Nething			Representative Wentz		
Senator Solberg			Representative Martinson		
Senator Lindaas			Representative Aarsvold		

Total Yes _____ No _____ Absent _____

18029.0224
Title.

Prepared by the Legislative Council staff for
Representatives Wentz, Aarsvold, Kliniske,
and Martinson
April 20, 2001

PROPOSED AMENDMENTS TO ENGROSSED SENATE BILL NO. 2003

That the House recede from its amendments as printed on pages 1283-1295 of the Senate Journal and pages 1401-1413 of the House Journal and that Engrossed Senate Bill No. 2003 be amended as follows:

Page 1, line 3, after "intent" insert "; to authorize the university of North Dakota to purchase certain land in Grand Forks County"

Page 4, after line 30, insert:

"**SECTION 4. APPROPRIATION.** There is appropriated out of any moneys in the general fund in the state treasury, not otherwise appropriated, the sum of $16,441, or so much of the sum as may be necessary, to Mayville state university for the purpose of defraying repair costs for the university's fieldhouse floor, for the period beginning with the effective date of this Act and ending June 30, 2001."

Page 11, after line 29, insert:

"**SECTION 14. PURCHASE OF LAND AUTHORIZED.**

1. The university of North Dakota may purchase the land described in this subsection for the price and on the terms as determined by the university of North Dakota. The land to be purchased is that portion of the burlington northern and santa fe railway company's (formerly great northern railway company) yard property at Grand Forks, North Dakota, situated in the S 1/2 SE 1/4 SE 1/4 of section 5, township 151 north, range 50 west of the fifth principal meridian, Grand Forks County, North Dakota, being a portion of the same 5 1/2 acre tract of land described in warranty deed from Thomas Campbell, et ux, to the Saint Paul, Minneapolis and Manitoba railway company filed for record July 29, 1880 in book c of deed, page 85 in and for said county, described as follows, to-wit:

Beginning at a point on the north line of said 5 1/2 acre tract of land distant 33.0 feet west of the east line of said section 5, said point being 75.0 feet north, as measured at right angles from said railway company's main track centerline, as originally located and constructed, and 85 feet, more or less, north of the south line of said section 5; thence west along said north line, and parallel with said main track centerline, a distance of 848.5 feet; thence south at right angles to the last described course 46.5 feet; thence east parallel with the north line of said 5 1/2 acre tract to the intersection with a line drawn parallel with and distant 33.0 feet west, as measured at right angles from the east line of said section 5, thence north along the last described parallel line to the point of beginning.

EXCEPTING THEREFROM, that portion, if any, lying within 10.0 feet of said railway company's most northerly spur track centerline, as now located and constructed upon, over and across said S 1/2 SE 1/4 SE 1/4.

ALSO,

That portion of the burlington northern and santa fe railway company's (formerly great northern railway company) yard property at Grand Forks, North Dakota, situated in the S 1/2 SW 1/4 SE 1/4 of section 5, township 151 north, range 50 west of the fifth principal meridian, Grand Forks County, North Dakota, being a portion of the same 5 1/2 acre tract of land described in warranty deed from Thomas Campbell, et ux, to the Saint Paul, Minneapolis and Manitoba railway company filed for record July 29, 1880 in book c of deed, page 85 in and for said county, described as follows, to-wit:

Beginning at a point on the north line of said 5 1/2 acre tract of land distant 290.0 feet east of the west line of said S 1/2 SW 1/4 SE 1/4, said point being 75.0 feet north, as measured at right angles from said railway company's main track centerline, as originally located and constructed, and 85 feet, more or less, north of the south line of said section 5; thence east along said north line, and parallel with said main track centerline, a distance of 990 feet, more or less, to the intersection with a line drawn concentric with and distant 15.0 feet northwesterly, as measured radially from said railway company's university power house spur track centerline, as now located and constructed; thence southwesterly along said concentric line to the intersection with a line drawn parallel with and distant 50.0 feet south, as measured at right angles from said north line; thence west along said parallel line to the intersection with a line drawn parallel with and distant 290.0 feet east, as measured at right angles from the west line of said S 1/2 SW 1/4 SE 1/4; thence north along the last described parallel line 50.0 feet to the point of beginning.

2. The attorney general shall review and approve as to form and legality all legal documents, papers, and instruments required for the purchase authorized by this section.

SECTION 15. APPROPRIATION. There is appropriated out of any moneys received by the university of North Dakota pursuant to federal acts, private grants, and other sources enumerated in section 3 of this Act, not otherwise appropriated, the sum of $141,000, or so much of the sum as may be necessary, to the university of North Dakota for the purpose of purchasing the land described in section 13 of this Act, for the biennium beginning July 1, 2001, and ending June 30, 2003."

Page 14, line 28, replace "13, 14, 15, and 16" with "16, 17, 18, and 19"

Page 15, line 1, replace "The" with "Section 4 and the"

Renumber accordingly

STATEMENT OF PURPOSE OF AMENDMENT:

Senate Bill No. 2003 - North Dakota University System - Conference Committee Action

This amendment adds sections to the bill to allow the University of North Dakota to purchase a section of land, to provide a special funds appropriation to the University of North Dakota for the purchase of land, and to provide a general fund appropriation of $16,441 to Mayville State University for unanticipated repair costs for the fieldhouse floor.

Date: 4-23-01

Roll Call Vote #: 1

2001 CONFERENCE COMMITTEE ROLL CALL VOTES
BILL/RESOLUTION NO. SB 2003

CONFERENCE COMMITTEE-North Dakota University System

Legislative Council Amendment Number 18029.0224

☐ recommends that the (SENATE/HOUSE) (ACCEDE to) (RECEDE from)

the (Senate/House) amendments on (SJ/HJ) page(s) _____ - _____

☐ having been unable to agree, recommends that the committee be discharged and a new committee be appointed.

Action Taken Move Amendment Carried voice vote

Motion Made By Senator/Representative Martinson

Seconded By Senator/Representative Wentz

Senators	Yes	No	Representative	Yes	No
Senator Nething			Representative Wentz		
Senator Solberg			Representative Martinson		
Senator Lindaas			Representative Aarsvold		

Total Yes _____ No _____ Absent _____

Date: 4-23-01

Roll Call Vote #: 2

2001 CONFERENCE COMMITTEE ROLL CALL VOTES
BILL/RESOLUTION NO. SB 2003

CONFERENCE COMMITTEE-North Dakota University System

Legislative Council Amendment Number: Request to Emerg. Com - Lake Region - State College

☐ recommends that the **(SENATE/HOUSE)** **(ACCEDE to)** **(RECEDE from)** the (Senate/House) amendments on (SJ/HJ) page(s) _____ - _____

☐ having been unable to agree, recommends that the committee be discharged and a new committee be appointed.

Action Taken: Moved to approve (carried voice vote)

Motion Made By Senator/Representative: Solberg

Seconded By Senator/Representative: Aarsvold

Senators	Yes	No	Representative	Yes	No
Senator Nething			Representative Wentz		
Senator Solberg			Representative Martinson		
Senator Lindaas			Representative Aarsvold		

Total Yes _____ No _____ Absent _____

18029.0223
Title.

Prepared by the Legislative Council staff for
Senator Nething
April 19, 2001

PROPOSED AMENDMENTS TO ENGROSSED SENATE BILL NO. 2003

That the House recede from its amendments as printed on pages 1283-1295 of the Senate Journal and pages 1401-1413 of the House Journal and that Engrossed Senate Bill No. 2003 be amended as follows:

Page 1, line 6, after "appropriations" insert "; to repeal section 2 of House Bill No. 1444, relating to a student loan forgiveness program"

Page 14, after line 27, insert:

"**SECTION 17. REPEAL.** Section 2 of House Bill No. 1444, as approved by the fifty-seventh legislative assembly, is repealed."

Renumber accordingly

STATEMENT OF PURPOSE OF AMENDMENT:

Senate Bill No. 2003 - North Dakota University System - Conference Committee Action

This amendment adds a section that repeals Section 2 of House Bill No. 1444, which was approved by the Fifty-seventh Legislative Assembly, relating to student loan forgiveness program funding.

Date: 4-23

Roll Call Vote #: 3

2001 CONFERENCE COMMITTEE ROLL CALL VOTES
BILL/RESOLUTION NO. SB 2003

CONFERENCE COMMITTEE-North Dakota University System

Legislative Council Amendment Number 18029.0223

☐ recommends that the (SENATE/HOUSE) (ACCEDE to) (RECEDE from) the (Senate/House) amendments on (SJ/HJ) page(s) _____ - _____

☐ having been unable to agree, recommends that the committee be discharged and a new committee be appointed.

voice vote carries

Action Taken move to approve

Motion Made By Senator/Representative Solberg

Seconded By Senator/Representative Wentz

Senators	Yes	No	Representative	Yes	No
Senator Nething			Representative Wentz		
Senator Solberg			Representative Martinson		
Senator Lindaas			Representative Aarsvold		

Total Yes _____ No _____ Absent _____

18029.0226
Title.

Prepared by the Legislative Council staff for
Senator Nething
April 24, 2001

PROPOSED AMENDMENTS TO ENGROSSED SENATE BILL NO. 2003

That the House recede from its amendments as printed on pages 1283-1295 of the Senate Journal and pages 1401-1413 of the House Journal and that Engrossed Senate Bill No. 2003 be amended as follows:

Page 1, line 6, after "appropriations" insert "; to repeal section 2 of House Bill No. 1283, relating to funding for the technology occupations student loan program"

Page 14, after line 27, insert:

"**SECTION 17. REPEAL.** Section 2 of House Bill No. 1283, as approved by the fifty-seventh legislative assembly, is repealed."

Renumber accordingly

STATEMENT OF PURPOSE OF AMENDMENT:

Senate Bill No. 2003 - North Dakota University System - Conference Committee Action

This amendment adds a section that repeals Section 2 of House Bill No. 1283, which was approved by the Fifty-seventh Legislative Assembly, relating to technology occupations student loan program funding.

18029.0227
Title.

Prepared by the Legislative Council staff for
Senator Nething
April 25, 2001

PROPOSED AMENDMENTS TO ENGROSSED SENATE BILL NO. 2003

That the House recede from its amendments as printed on pages 1283-1295 of the Senate Journal and pages 1401-1413 of the House Journal and that Engrossed Senate Bill No. 2003 be amended as follows:

Page 1, line 3, after the semicolon insert "to provide for forgiveness of Fargo family healthcare center debt;"

Page 11, after line 29, insert:

"**SECTION 13. FORGIVENESS OF FARGO FAMILY HEALTHCARE CENTER DEBT.** The university of North Dakota school of medicine and health sciences shall forgive the amount of debt owed by the Fargo healthcare center in an amount not to exceed $395,000 upon adoption by the family healthcare center of a plan to address sustainability of programs and services at the family healthcare center, approval of the plan by the budget section of the legislative council, adoption by the city of Fargo of a plan to provide support to the family healthcare center, and forgiveness by the city of Fargo of at least $100,000 in family healthcare center debt relating to rental expenses and approval by the budget section. The family healthcare center plan to address sustainability of programs and services must include information regarding immediate steps taken to reduce expenses, implementation of consultants' recommendations concerning internal operations and family healthcare center board functions, the center's role in the community including the identification of community support, core services, noncritical services to be reduced, and enhanced fundraising capacity and organizational capacity. The plan must be presented to and approved by the budget section before the budget section considers approval of the debt writeoff.

Page 14, line 28, replace "13, 14, 15, and 16" with "14, 15, 16, and 17"

Renumber accordingly

STATEMENT OF PURPOSE OF AMENDMENT:

Senate Bill No. 2003 - North Dakota University System - Conference Committee Action

This amendment adds a section to the bill that permits the University of North Dakota School of Medicine and Health Sciences, upon approval by the Budget Section, to forgive and write off a debt of the Fargo Family HealthCare Center up to $395,000 if the Family HealthCare Center adopts a plan of programs and services, the plan is approved by the Budget Section, the city of Fargo adopts a plan to provide support to the Family HealthCare Center, and the city of Fargo forgives at least $100,000 of the Family HealthCare Center debt relating to rental expense.

Date: 4-28-01

Roll Call Vote #: 1

2001 CONFERENCE COMMITTEE ROLL CALL VOTES
BILL/RESOLUTION NO. SB 2003

CONFERENCE COMMITTEE-North Dakota University System

Legislative Council Amendment Number _____

☐ recommends that the (SENATE/HOUSE) (ACCEDE to) (RECEDE from)

the (Senate/House) amendments on (SJ/HJ) page(s) _____ - _____

☐ having been unable to agree, recommends that the committee be discharged and a new committee be appointed.

Action Taken Adopt amendments - as in 4-28-01 House proposal to settle SB 2003

Motion Made By Senator/Representative Wentz Seconded By Senator/Representative Solberg

Senators	Yes	No	Representative	Yes	No
Senator Nething	✓		Representative Wentz	✓	
Senator Solberg	✓		Representative Martinson	✓	
Senator Lindaas	✓		Representative Aarsvold	✓	

Total Yes 6 No 0 Absent 0

Date: 4-28-01

Roll Call Vote #: 2

2001 CONFERENCE COMMITTEE ROLL CALL VOTES
BILL/RESOLUTION NO. SB 2003

CONFERENCE COMMITTEE-North Dakota University System

Legislative Council Amendment Number _____

☐ recommends that the (SENATE/HOUSE) (ACCEDE to) (RECEDE from) the (Senate/House) amendments on (SJ/HJ) page(s) _____ - _____

☐ having been unable to agree, recommends that the committee be discharged and a new committee be appointed.

Action Taken Motion to give 395,000 to UND Med Sch

Motion Made By Senator/Representative Martinson Seconded By Senator/Representative Wentz

Senators	Yes	No	Representative	Yes	No
Senator Nething		✓	Representative Wentz	✓	
Senator Solberg		✓	Representative Martinson	✓	
Senator Lindaas		✓	Representative Aarsvold		✓

Total Yes 2 No 4 Absent 0

18029.0228
Title.
Fiscal No. 3

Prepared by the Legislative Council staff for
Conference Committee
April 28, 2001

PROPOSED AMENDMENTS TO ENGROSSED SENATE BILL NO. 2003

That the House recede from its amendments as printed on pages 1283-1295 of the Senate Journal and pages 1401-1413 of the House Journal and that Engrossed Senate Bill No. 2003 be amended as follows:

Page 1, line 2, remove "a" and replace "study" with "studies"

Page 1, line 3, after "intent" insert "; to authorize the university of North Dakota to purchase certain land in Grand Forks County"

Page 1, line 6, after the first semicolon insert "to repeal section 2 of House Bill No. 1283 and House Bill No. 1444 and section 22 of chapter 37 of the 1999 Session Laws relating to a technology occupations student loan program, a student loan forgiveness program, and the university of North Dakota winter sports facility maintenance fund;"

Page 1, replace lines 16 through 19 with:

"Operations	$3,563,887
Student financial assistance grants	4,223,031
Information technology management	216,676
Professional student exchange program	1,560,716
Disabled student services	51,560
Technical administration	194,788
Contingency and capital improvement emergency fund	344,309
Scholars program	770,730
Native American scholarships	204,082
Title II	534,000
Competitive research program	4,000,000
Board initiatives	1,370,797"

Page 1, line 20, replace "12,730,941" with "12,730,841"

Page 1, after line 20, insert:

"Education incentive programs	830,000"

Page 1, line 21, replace "78,121,417" with "30,595,417"

Page 1, line 23, replace "74,404,595" with "26,878,595"

Page 1, after line 24, insert:

"NORTH DAKOTA UNIVERSITY SYSTEM

Equity and special needs pool	$4,628,824
Technology pool	26,938,419
General fund appropriation	$31,567,243

Subdivision 3."

Page 2, line 2, replace "14,767,975" with "15,381,193"

Page 2, line 3, replace "250,000" with "846,000"

Page 2, line 4, replace "15,017,975" with "16,227,193"

Page 2, line 5, replace "3" with "4"

Page 2, line 7, replace "4,649,231" with "4,881,954"

Page 2, line 8, replace "74,831" with "399,831"

Page 2, after line 8, insert:

"Total all funds	$5,281,785
Less estimated income	325,000"

Page 2, line 9, replace "4,724,062" with "4,956,785"

Page 2, line 10, replace "4" with "5"

Page 2, line 12, replace "5,094,457" with "5,390,441"

Page 2, line 14, replace "5,183,247" with "5,479,231"

Page 2, line 15, replace "5" with "6"

Page 2, line 17, replace "81,436,447" with "85,601,876"

Page 2, line 19, replace "95,008,583" with "99,174,012"

Page 2, line 21, replace "83,798,583" with "87,964,012"

Page 2, line 22, replace "6" with "7"

Page 2, line 24, replace "63,524,910" with "66,931,216"

Page 2, line 26, replace "86,462,441" with "89,868,747"

Page 2, line 28, replace "65,262,441" with "68,668,747"

Page 2, line 29, replace "7" with "8"

Page 3, line 1, replace "22,893,128" with "24,099,302"

Page 3, line 3, replace "26,693,348" with "27,899,522"

Page 3, line 5, replace "25,776,628" with "26,982,802"

Page 3, line 6, replace "8" with "9"

Page 3, line 8, replace "13,060,832" with "13,746,171"

Page 3, line 9, replace "393,962" with "4,393,962"

Page 3, after line 9, insert:

"Total all funds	$18,140,133
Less estimated income	4,000,000"

Page 3, line 10, replace "13,454,794" with "14,140,133"

Page 3, line 11, replace "9" with "10"

Page 3, line 13, replace "7,870,007" with "8,330,748"

Page 3, line 15, replace "12,594,596" with "13,055,337"

Page 3, line 17, replace "8,594,596" with "9,055,337"

Page 3, line 18, replace "10" with "11"

Page 3, line 20, replace "24,817,493" with "25,919,536"

Page 3, line 21, replace "412,850" with "612,850"

Page 3, line 22, replace "25,230,343" with "26,532,386"

Page 3, line 23, replace "11" with "12"

Page 3, line 25, replace "10,767,570" with "11,325,513"

Page 3, line 27, replace "11,882,904" with "12,440,847"

Page 3, line 28, replace "12" with "13"

Page 4, line 1, replace "3,895,490" with "4,097,577"

Page 4, line 3, replace "4,083,153" with "4,285,240"

Page 4, line 4, replace "13" with "14"

Page 4, line 14, replace "14" with "15"

Page 4, line 16, replace "28,571,646" with "30,006,416"

Page 4, line 17, replace "28,571,646" with "30,006,416"

Page 4, line 18, replace "367,753,836" with "366,953,836"

Page 4, line 19, replace "42,094,068" with "46,560,068"

Page 4, line 20, replace "409,847,904" with "413,513,904"

Page 4, line 21, replace "campus-based programs and" with "contingency and capital improvement emergency fund,"

Page 4, line 22, remove "contingencies and" and after "initiatives" insert ", and disabled student services"

Page 4, after line 30, insert:

"**SECTION 4. APPROPRIATION.** There is appropriated out of any moneys in the general fund in the state treasury, not otherwise appropriated, the sum of $16,441, or so much of the sum as may be necessary, to Mayville state university for the purpose of defraying repair costs for the university's fieldhouse floor, for the period beginning with the effective date of this Act and ending June 30, 2001.

SECTION 5. APPROPRIATION. The funds provided in this section, or so much of the funds as may be necessary, are appropriated out of any moneys in the general fund in the state treasury, not otherwise appropriated, to the university of North Dakota for the purpose of defraying flood-related expenses, for the period beginning with the effective date of this Act and ending June 30, 2001, as follows:

1997 flood expenditures	$269,676
Total general fund appropriation	$269,676

SECTION 6. AUTHORIZATION. Notwithstanding section 3 of chapter 3 of the 1999 Session Laws, Lake Region state college is authorized to expend $130,000 in excess tuition collections in the biennium beginning July 1, 1999, and ending June 30, 2001."

Page 5, replace lines 9 through 29 with:

"**SECTION 9. LEGISLATIVE INTENT - FULL-TIME EQUIVALENTS.** The state board of higher education is authorized to adjust full-time equivalent positions as needed, subject to the availability of funds, for institutions and entities in subdivisions 3 through 15 of section 1 of this Act. The university system shall report any adjustments to the office of management and budget prior to the submission of the 2003-05 biennium budget request. The number of full-time equivalent positions for the university system office shall not be increased above the level employed as of June 30, 2001.

SECTION 10. STUDENT FINANCIAL ASSISTANCE GRANTS. The funds appropriated for student financial assistance grants in section 1 of this Act shall be allocated not less than twenty percent to students at private institutions with the remaining funds allocated to students at public and Native American institutions.

SECTION 11. EDUCATION INCENTIVE PROGRAMS. Of the funds appropriated for education incentives in section 1 of this Act, $60,000 is to provide incentives to encourage additional doctoral graduates in North Dakota, $370,000 is provided for teacher retraining scholarships and a teacher student loan forgiveness program, and $400,000 is provided for a technology occupations student loan program authorized in House Bill No. 1283.

SECTION 12. TECHNOLOGY POOL. The technology pool amount in subdivision 2 of section 1 must be used for the benefit of the institutions and entities in section 1 as determined by the state board of higher education. Technology funding allocations are to be made based on historic funding, the North Dakota university system information technology plan, the statewide network plan, base funding for higher education computer network computer center operations, and base funding for interactive video network and on-line dakota information network operations.

SECTION 13. EQUITY AND SPECIAL NEEDS POOL. The equity and special needs pool in subdivision 2 of section 1 must be used for the benefit of the institutions and entities in subdivisions 3 through 15 of section 1 as determined by the state board of higher education. When making allocations from the equity and special needs pool, the state board of higher education shall allocate the funds to address equity and parity funding needs and to support new initiatives or program costs consistent with board and statewide needs."

Page 5, line 31, replace "13" with "14"

Page 6, after line 6, insert:

"SECTION 15. LEGISLATIVE INTENT - ENROLLMENT MANAGEMENT PLAN. It is the intent of the legislative assembly that the state board of higher education establish a long-term enrollment management plan and procedures for implementation of the plan. The board shall report to the legislative council during the 2001-02 interim on the board's progress toward establishing a long-term enrollment management plan and related implementation procedures and provide the final report to the fifty-eighth legislative assembly.

SECTION 16. LEGISLATIVE INTENT - ALTERNATIVE HEATING SOURCES. It is the intent of the legislative assembly that institutions under the control of the state board of higher education explore the possible use of alternative heating sources, including the use of North Dakota coal.

SECTION 17. LEGISLATIVE COUNCIL STUDY - COLLEGE TECHNICAL EDUCATION COUNCIL. The legislative council shall consider studying during the 2001-02 interim the role of the responsibilities and the functions of the college technical education council and the implementation of the workforce training regions including how the regions are functioning."

Page 6, line 11, after the period insert "The legislative council shall report its findings and recommendations, together with any legislation recommended, to the fifty-eighth legislative assembly."

Page 6, replace lines 16 through 31 with:

"1. Education excellence, including:

   a. Student performance on nationally recognized exams in their major fields compared to the national averages.

   b. First-time licensure pass rates compared to other states.

   c. Alumni-reported and student-reported satisfaction with preparation in selected major, acquisition of specific skills, and technology knowledge and abilities.

   d. Employer-reported satisfaction with preparation of recently hired graduates.

   e. Biennial report on employee satisfaction relating to the university system and local institutions.

   f. Ratio of faculty and staff to students.

   g. Student graduation and retention rates.

2. Economic development, including:

   a. Enrollment in entrepreneurship courses and the number of graduates of entrepreneurship programs.

   b. Percentage of university system graduates obtaining employment appropriate to their education in the state.

   c. Number of businesses and employees in the region receiving training.

3. Student access, including:

a. Proportion of residents of the state who are within a forty-five-minute drive of a location at which they can receive educational programs from a provider.

b. Number and proportion of enrollments in courses offered by nontraditional methods.

4. Student affordability, including:

a. Tuition and fees on a per student basis compared to the regional average.

b. Tuition and fees as a percentage of median North Dakota household income.

c. Cost per student in terms of general fund appropriations and total university system funding.

d. Administrative, instructional, and other cost per student.

e. Per capita general fund appropriations for higher education.

f. State general fund appropriation levels for university system institutions compared to peer institutions general fund appropriation levels.

5. Financial operations, including:

a. Percentage of total university system funding used for instruction, research, and public service.

b. Percentage of total university system funding used for institutional support, operations, and maintenance of physical plant.

c. Ratio measuring the funding derived from operating and contributed income compared to total university system funding.

d. Ratio measuring the size of the university system's outstanding maintenance as compared to its expendable net assets.

e. Ratio measuring the amount of expendable net assets as compared to the amount of long-term debt.

f. Research expenditures in proportion to the amount of revenue generated by research activity and funding received for research activity.

g. Report on new construction and major renovation capital projects for which specific appropriations are made, including budget to actual comparison, use of third-party funding, and related debt."

Page 7, remove lines 1 through 31

Page 8, remove lines 1 through 30

Page 9, remove lines 1 through 30

Page 10, remove lines 1 through 31

Page 11, remove lines 1 through 21

Page 11, line 24, replace "$531,720" with "$368,920" and replace "7" with "8"

Page 11, line 25, replace "renovation of" with "an addition to"

Page 11, line 26, replace "renovation" with "construction of an addition"

Page 11, line 27, replace "$531,720" with "$368,920"

Page 11, line 29, replace "renovation" with "addition"

Page 11, after line 29, insert:

"**SECTION 21. LEGISLATIVE INTENT - BISMARCK STATE COLLEGE - SCHAFER HALL RENOVATION.** It is the intent of the legislative assembly that funding of $596,000 included in the capital assets line item in subdivision 3 of section 1 of this Act for renovation of Schafer hall be used only for renovation of classroom or student-related areas. No funds from any source may be used for renovation of administrative offices in Schafer hall.

SECTION 22. PURCHASE OF LAND AUTHORIZED.

1. The university of North Dakota may purchase the land described in this subsection for the price and on the terms as determined by the university of North Dakota. The land to be purchased is that portion of the burlington northern and santa fe railway company's (formerly great northern railway company) yard property at Grand Forks, North Dakota, situated in the S 1/2 SE 1/4 SE 1/4 of section 5, township 151 north, range 50 west of the fifth principal meridian, Grand Forks County, North Dakota, being a portion of the same 5 1/2 acre tract of land described in warranty deed from Thomas Campbell, et ux, to the Saint Paul, Minneapolis and Manitoba railway company filed for record July 29, 1880 in book c of deed, page 85 in and for said county, described as follows, to-wit:

Beginning at a point on the north line of said 5 1/2 acre tract of land distant 33.0 feet west of the east line of said section 5, said point being 75.0 feet north, as measured at right angles from said railway company's main track centerline, as originally located and constructed, and 85 feet, more or less, north of the south line of said section 5; thence west along said north line, and parallel with said main track centerline, a distance of 848.5 feet; thence south at right angles to the last described course 46.5 feet; thence east parallel with the north line of said 5 1/2 acre tract to the intersection with a line drawn parallel with and distant 33.0 feet west, as measured at right angles from the east line of said section 5, thence north along the last described parallel line to the point of beginning,

EXCEPTING THEREFROM, that portion, if any, lying within 10.0 feet of said railway company's most northerly spur track centerline, as now located and constructed upon, over and across said S 1/2 SE 1/4 SE 1/4.

ALSO,

That portion of the burlington northern and santa fe railway company's (formerly great northern railway company) yard property at Grand Forks, North Dakota, situated in the S 1/2 SW 1/4 SE 1/4 of section 5, township 151 north, range 50 west of the fifth principal meridian, Grand Forks County, North Dakota, being a portion of the same 5 1/2 acre tract of land described in warranty deed from Thomas Campbell, et ux, to the Saint Paul, Minneapolis and Manitoba railway company filed for record July 29, 1880 in book c of deed, page 85 in and for said county, described as follows, to-wit:

Beginning at a point on the north line of said 5 1/2 acre tract of land distant 290.0 feet east of the west line of said S 1/2 SW 1/4 SE 1/4, said point being 75.0 feet north, as measured at right angles from said railway company's main track centerline, as originally located and constructed, and 85 feet, more or less, north of the south line of said section 5; thence east along said north line, and parallel with said main track centerline, a distance of 990 feet, more or less, to the intersection with a line drawn concentric with and distant 15.0 feet northwesterly, as measured radially from said railway company's university power house spur track centerline, as now located and constructed; thence southwesterly along said concentric line to the intersection with a line drawn parallel with and distant 50.0 feet south, as measured at right angles from said north line; thence west along said parallel line to the intersection with a line drawn parallel with and distant 290.0 feet east, as measured at right angles from the west line of said S 1/2 SW 1/4 SE 1/4; thence north along the last described parallel line 50.0 feet to the point of beginning.

2. The attorney general shall review and approve as to form and legality all legal documents, papers, and instruments required for the purchase authorized by this section.

SECTION 23. APPROPRIATION. There is appropriated out of any moneys received by the university of North Dakota pursuant to federal acts, private grants, and other sources enumerated in section 3 of this Act, not otherwise appropriated, the sum of $141,000, or so much of the sum as may be necessary, to the university of North Dakota for the purpose of purchasing the land described in section 13 of this Act, for the biennium beginning July 1, 2001, and ending June 30, 2003."

Page 14, line 16, after "section" insert "and the North Dakota university system shall report on the amounts and uses of funds carried over from one biennium to the next to subsequent appropriations committees of the legislative assembly"

Page 14, after line 27, insert:

"**SECTION 28. REPEAL.** Section 2 of House Bill No. 1283 and section 2 of House Bill No. 1444, as approved by the fifty-seventh legislative assembly and section 22 of chapter 37 of the 1999 Session Laws are repealed."

Page 14, line 28, replace "13, 14, 15, and 16" with "24, 25, 26, and 27"

Page 15, line 2, after "Act" insert "and section 4 of this Act"

Renumber accordingly

STATEMENT OF PURPOSE OF AMENDMENT:

Senate Bill No. 2003 - Summary of Conference Committee Action

	EXECUTIVE BUDGET	SENATE VERSION	CONFERENCE COMMITTEE CHANGES	CONFERENCE COMMITTEE VERSION	HOUSE VERSION	COMPARISON TO HOUSE
University System						
Total all funds	$0	$0	$31,567,243	$31,567,243	$31,519,243	$48,000
Less estimated income						
General fund	$0	$0	$31,567,243	$31,567,243	$31,519,243	$48,000
University System office						
Total all funds	$77,765,508	$78,121,417	($47,526,000)	$30,595,417	$29,874,620	$720,797
Less estimated income	3,716,822	3,716,822		3,716,822	3,716,822	
General fund	$74,048,686	$74,404,595	($47,526,000)	$26,878,595	$26,157,798	$720,797
Bismarck State College						
Total all funds	$15,017,975	$15,017,975	$1,209,218	$16,227,193	$16,227,193	$0
Less estimated income						
General fund	$15,017,975	$15,017,975	$1,209,218	$16,227,193	$16,227,193	$0
Lake Region State College						
Total all funds	$4,724,062	$4,724,062	$557,723	$5,281,785	$5,281,785	$0
Less estimated income			325,000	325,000	325,000	
General fund	$4,724,062	$4,724,062	$232,723	$4,956,785	$4,956,785	$0
Williston State College						
Total all funds	$5,183,247	$5,183,247	$295,984	$5,479,231	$5,479,231	$0
Less estimated income						
General fund	$5,183,247	$5,183,247	$295,984	$5,479,231	$5,479,231	$0
University of North Dakota						
Total all funds	$95,008,583	$95,008,583	$4,165,429	$99,174,012	$99,174,012	$0
Less estimated income	11,210,000	11,210,000		11,210,000	11,210,000	
General fund	$83,798,583	$83,798,583	$4,165,429	$87,964,012	$87,964,012	$0
UND Medical Center						
Total all funds	$28,571,646	$28,571,646	$1,434,770	$30,006,416	$30,006,416	$0
Less estimated income						
General fund	$28,571,646	$28,571,646	$1,434,770	$30,006,416	$30,006,416	$0
North Dakota State University						
Total all funds	$87,013,261	$86,462,441	$3,406,306	$89,868,747	$89,868,747	$0
Less estimated income	21,750,820	21,200,000		21,200,000	21,200,000	
General fund	$65,262,441	$65,262,441	$3,406,306	$68,668,747	$68,668,747	$0
State College of Science						
Total all funds	$26,776,628	$26,993,348	$1,206,174	$27,899,522	$27,899,522	$0
Less estimated income	1,000,000	816,720		816,720	816,720	
General fund	$25,776,628	$26,176,628	$1,206,174	$26,982,802	$26,982,802	$0
Dickinson State University						
Total all funds	$13,454,794	$13,454,794	$4,685,339	$18,140,133	$18,140,133	$0
Less estimated income			4,000,000	4,000,000	4,000,000	
General fund	$13,454,794	$13,454,794	$685,339	$14,140,133	$14,140,133	$0
Mayville State University						
Total all funds	$8,594,596	$12,594,596	$460,741	$13,055,337	$13,055,337	$0
Less estimated income		4,000,000		4,000,000	4,000,000	
General fund	$8,594,596	$8,594,596	$460,741	$9,055,337	$9,055,337	$0
Minot State University						
Total all funds	$28,004,343	$25,230,343	$1,302,043	$26,532,386	$26,532,386	$0
Less estimated income	2,774,000					
General fund	$25,230,343	$25,230,343	$1,302,043	$26,532,386	$26,532,386	$0
Valley City State University						
Total all funds	$11,882,904	$11,882,904	$557,943	$12,440,847	$12,440,847	$0
Less estimated income						
General fund	$11,882,904	$11,882,904	$557,943	$12,440,847	$12,440,847	$0
Minot State University - Bottineau						
Total all funds	$4,083,153	$4,083,153	$202,087	$4,285,240	$4,285,240	$0
Less estimated income						
General fund	$4,083,153	$4,083,153	$202,087	$4,285,240	$4,285,240	$0
Forest Service						
Total all funds	$2,819,395	$2,819,395	$0	$2,819,395	$2,819,395	$0
Less estimated income	1,050,526	1,050,526		1,050,526	1,050,526	
General fund	$1,768,869	$1,768,869	$0	$1,768,869	$1,768,869	$0
Commerce Department						
Total all funds	$0	$0	$0	$0	$194,788	($194,788)
Less estimated income						
General fund	$0	$0	$0	$0	$194,788	($194,788)
Bill Total						
Total all funds	$408,900,095	$408,847,904	$3,525,000	$413,372,904	$412,798,895	$574,009
Less estimated income	41,502,168	42,084,068	4,325,000	46,419,068	46,419,068	
General fund	$367,397,927	$366,763,836	($800,000)	$366,953,836	$366,379,827	$574,009

Senate Bill No. 2003 - University System - General Fund Summary

	EXECUTIVE BUDGET	SENATE VERSION	CONFERENCE COMMITTEE CHANGES	CONFERENCE COMMITTEE VERSION	HOUSE VERSION	COMPARISON TO HOUSE
University System			$31,567,243	$31,567,243	$31,519,243	$48,000
University System office	$74,048,686	$74,404,595	(47,526,000)	26,878,595	26,157,798	720,797
Bismarck State College	15,017,975	15,017,975	1,209,218	16,227,193	16,227,193	
Lake Region State College	4,724,062	4,724,062	232,723	4,956,785	4,956,785	
Williston State College	5,183,247	5,183,247	295,984	5,479,231	5,479,231	
University of North Dakota	83,798,583	83,798,583	4,165,429	87,964,012	87,964,012	
UND Medical Center	28,571,646	28,571,646	1,434,770	30,006,416	30,006,416	
North Dakota State University	65,262,441	65,262,441	3,406,306	68,668,747	68,668,747	
State College of Science	25,776,628	25,776,628	1,206,174	26,982,802	26,982,802	
Dickinson State University	13,454,794	13,454,794	685,339	14,140,133	14,140,133	
Mayville State University	8,594,596	8,594,596	460,741	9,055,337	9,055,337	
Minot State University	25,230,343	25,230,343	1,302,043	26,532,386	26,532,386	
Valley City State University	11,882,904	11,882,904	557,943	12,440,847	12,440,847	
Minot State University - Bottineau	4,083,153	4,083,153	202,087	4,285,240	4,285,240	
Forest Service	1,766,869	1,766,869		1,766,869	1,766,869	
Total general fund	$367,397,927	$367,753,836	($800,000)	$366,953,836	$366,185,039	$768,797

Detail of Conference Committee Changes to the General Fund

	REMOVE BLOCK GRANT FUNDING	PROVIDE FUNDING IN INDIVIDUAL LINE ITEMS	PROVIDE FUNDING FOR SYSTEM POOLS	ALLOCATE FUNDING FOR 3/2 SALARY INCREASE	ALLOCATE FUNDING FROM UNIVERSITY SYSTEM TO FUND CAMPUS ACTIVITIES [1]	REMOVE FUNDING FROM EQUITY AND SPECIAL NEEDS POOL [2]
University System			$47,324,184	($13,256,941)	($1,000,000)	($200,000)
University System office	($74,404,595)	$27,080,411		98,184	(1,000,000)	
Bismarck State College				613,218	596,000	
Lake Region State College				172,192	60,531	
Williston State College				228,545	67,439	
University of North Dakota				4,165,429		
UND Medical Center				1,264,944	169,826	
North Dakota State University				3,406,306		
State College of Science				818,543	387,631	
Dickinson State University				549,386	135,953	
Mayville State University				276,459	184,282	
Minot State University				1,102,043	200,000	
Valley City State University				424,879	133,064	
Minot State University - Bottineau				136,813	65,274	
Forest Service						
Total general fund	($74,404,595)	$27,080,411	$47,324,184	$0	$0	($200,000)

	REMOVE FUNDING FROM TECHNOLOGY POOL [3]	INCREASE FUNDING FOR BOARD INITIATIVES [4]	PROVIDE ADDITIONAL FUNDING FOR EDUCATION INCENTIVE PROGRAMS [5]	INCREASE FUNDING FOR STUDENT FINANCIAL ASSISTANCE GRANTS [6]	PROVIDE FUNDING FOR CAPITAL CONSTRUCTION PROJECTS [7]	TOTAL GENERAL FUND CHANGES
University System	($1,300,000)					$31,567,243
University System office		$105,000	$460,000	$135,000		(47,526,000)
Bismarck State College						1,209,218
Lake Region State College						232,723
Williston State College						295,984
University of North Dakota						4,165,429
UND Medical Center						1,434,770
North Dakota State University						3,406,306
State College of Science						1,206,174
Dickinson State University						685,339
Mayville State University						460,741
Minot State University						1,302,043
Valley City State University						557,943
Minot State University - Bottineau						202,087
Forest Service						
Total general fund	($1,300,000)	$105,000	$460,000	$135,000	$0	($800,000)

Senate Bill No. 2003 - University System - Other Funds Summary

	EXECUTIVE BUDGET	SENATE VERSION	CONFERENCE COMMITTEE CHANGES	CONFERENCE COMMITTEE VERSION	HOUSE VERSION	COMPARISON TO HOUSE
University System						
University System office	$3,716,822	$3,716,822		$3,716,822	$3,716,822	
Bismarck State College						
Lake Region State College			$326,000	326,000	326,000	
Williston State College						

University of North Dakota	11,210,000	11,210,000		11,210,000	11,210,000	
UND Medical Center						
North Dakota State University	21,750,820	21,200,000		21,200,000	21,200,000	
State College of Science	1,000,000	916,720		916,720	916,720	
Dickinson State University			4,000,000	4,000,000	4,000,000	
Mayville State University		4,000,000		4,000,000	4,000,000	
Minot State University	2,774,000					
Valley City State University						
Minot State University - Bottineau						
Forest Service	1,050,526	1,050,526		1,050,526	1,050,526	
Total other funds	$41,502,168	$42,094,068	$4,325,000	$46,419,068	$46,419,068	$0

Detail of Conference Committee Changes to Other Funds

	REMOVE BLOCK GRANT FUNDING	PROVIDE FUNDING IN INDIVIDUAL LINE ITEMS	PROVIDE FUNDING FOR SYSTEM POOLS	ALLOCATE FUNDING FOR 3/2 SALARY INCREASE	ALLOCATE FUNDING FROM UNIVERSITY SYSTEM TO FUND CAMPUS ACTIVITIES [1]	REMOVE FUNDING FROM EQUITY AND SPECIAL NEEDS POOL [2]
University System						
University System office	($3,716,822)	$3,716,822				
Bismarck State College						
Lake Region State College						
Williston State College						
University of North Dakota						
UND Medical Center						
North Dakota State University						
State College of Science						
Dickinson State University						
Mayville State University						
Minot State University						
Valley City State University						
Minot State University - Bottineau						
Forest Service						
Total other funds	($3,716,822)	$3,716,822	$0	$0	$0	$0

	REMOVE FUNDING FROM TECHNOLOGY POOL [3]	INCREASE FUNDING FOR BOARD INITIATIVES [4]	PROVIDE ADDITIONAL FUNDING FOR EDUCATION INCENTIVE PROGRAMS [5]	INCREASE FUNDING FOR STUDENT FINANCIAL ASSISTANCE GRANTS [6]	PROVIDE FUNDING FOR CAPITAL CONSTRUCTION PROJECTS [7]	TOTAL OTHER FUNDS CHANGES
University System						
University System Office						
Bismarck State College						
Lake Region State College					$325,000	$325,000
Williston State College						
University of North Dakota						
UND Medical Center						
North Dakota State University						
State College of Science						
Dickinson State University					4,000,000	4,000,000
Mayville State University						
Minot State University						
Valley City State University						
Minot State University - Bottineau						
Forest Service						
Total other funds	$0	$0	$0	$0	$4,325,000	$4,235,000

Senate Bill No. 2003 - University System - All Funds Summary

	EXECUTIVE BUDGET	SENATE VERSION	CONFERENCE COMMITTEE CHANGES	CONFERENCE COMMITTEE VERSION	HOUSE VERSION	COMPARISON TO HOUSE
University System			$31,567,243	$31,567,243	$31,519,243	$48,000
University System office	$77,765,506	$78,121,417	(47,526,000)	30,595,417	29,874,620	720,797
Bismarck State College	15,017,975	15,017,975	1,209,218	16,227,193	16,227,193	
Lake Region State College	4,724,062	4,724,062	557,723	5,281,785	5,281,785	
Williston State College	5,183,247	5,183,247	295,984	5,479,231	5,479,231	
University of North Dakota	95,009,583	95,009,583	4,165,429	99,174,012	99,174,012	
UND Medical Center	28,571,646	28,571,646	1,434,770	30,006,416	30,006,416	
North Dakota State University	87,013,261	86,462,441	3,406,306	89,868,747	89,868,747	
State College of Science	26,778,626	26,693,348	1,206,174	27,899,522	27,899,522	
Dickinson State University	13,454,794	13,454,794	4,685,339	18,140,133	18,140,133	
Mayville State University	8,594,596	12,594,596	460,741	13,055,337	13,055,337	
Minot State University	26,004,343	25,230,343	1,302,043	26,532,386	26,532,386	
Valley City State University	11,892,904	11,892,904	557,943	12,440,847	12,440,847	
Minot State University - Bottineau	4,065,153	4,065,153	202,087	4,265,240	4,265,240	
Forest Service	2,819,295	2,819,295		2,819,295	2,819,295	
Total all funds	$408,900,995	$409,847,804	$3,525,000	$413,372,804	$412,604,107	$768,797

FTE	3087.39	3087.39	0.00	3087.39	3086.39	1.00

Detail of Conference Committee Changes to All Funds

	REMOVE BLOCK GRANT FUNDING	PROVIDE FUNDING IN INDIVIDUAL LINE ITEMS	PROVIDE FUNDING FOR SYSTEM POOLS	ALLOCATE FUNDING FOR 3/2 SALARY INCREASE	ALLOCATE FUNDING FROM UNIVERSITY SYSTEM TO FUND CAMPUS ACTIVITIES [1]	REMOVE FUNDING FROM EQUITY AND SPECIAL NEEDS POOL [2]
University System			$47,324,184	($13,256,941)	($1,000,000)	($200,000)
University System office	($78,121,417)	$30,797,233		98,184	(1,000,000)	
Bismarck State College				613,218	596,000	
Lake Region State College				172,192	60,531	
Williston State College				228,545	67,439	
University of North Dakota				4,165,429		
UND Medical Center				1,264,944	169,826	
North Dakota State University				3,406,306		
State College of Science				818,543	387,631	
Dickinson State University				549,386	135,953	
Mayville State University				276,459	184,282	
Minot State University				1,102,043	200,000	
Valley City State University				424,879	133,064	
Minot State University - Bottineau				136,813	65,274	
Forest Service						
Total all funds	($78,121,417)	$30,797,233	$47,324,184	$0	$0	($200,000)
FTE	0.00	0.00	0.00	0.00	0.00	0.00

	REMOVE FUNDING FROM TECHNOLOGY POOL [3]	INCREASE FUNDING FOR BOARD INITIATIVES [4]	PROVIDE ADDITIONAL FUNDING FOR EDUCATION INCENTIVE PROGRAMS [5]	INCREASE FUNDING FOR STUDENT FINANCIAL ASSISTANCE GRANTS [6]	PROVIDE FUNDING FOR CAPITAL CONSTRUCTION PROJECTS [7]	TOTAL ALL FUNDS CHANGES
University System	($1,300,000)					$31,567,243
University System office		$105,000	$460,000	$135,000		(47,526,000)
Bismarck State College						1,209,218
Lake Region State College					$325,000	557,723
Williston State College						295,984
University of North Dakota						4,165,429
UND Medical Center						1,434,770
North Dakota State University						3,406,306
State College of Science						1,206,174
Dickinson State University					4,000,000	4,685,339
Mayville State University						460,741
Minot State University						1,302,043
Valley City State University						557,943
Minot State University - Bottineau						202,067
Forest Service						
Total all funds	($1,300,000)	$105,000	$460,000	$135,000	$4,325,000	$3,525,000
FTE	0.00	0.00	0.00	0.00	0.00	0.00

1 This amendment allocates funds from the campus parity and equity funding and innovation initiative funding in the equity and special needs pool of $1 million and board initiatives funding of $1 million to campuses for various activities. The following provides information regarding the allocations to the campuses:

Bismarck State College - Renovation of Schafer Hall	$596,000
Lake Region State College - Costs to continue	60,531
Williston State College - Technology initiative	67,439
State College of Science - Costs to continue	387,631
Dickinson State University - Institutional innovation	135,953
Mayville State University - Costs to continue	184,282
Minot State University - Extraordinary repairs	200,000
Valley City State University - Costs to continue	133,064
Minot State University - Bottineau - Costs to continue	65,274
UND Medical School - Technology initiative	169,826
Total	$2,000,000

2 This amendment reduces funding from the general fund provided for campus parity and equity and innovation initiative funding in the equity and special needs pool by $200,000.

[3] This amendment removes funding from the general fund of $835,100 for on-line course management and $364,900 for costs associated with connecting IVN to all state agencies from the University System technology pool.

[4] The House amendments to Senate Bill No. 2003 provided a $395,000 general fund reduction to board initiatives, the Conference Committee agreed to increase the total amount provided for board initiatives in the House amendments to Senate Bill No. 2003 by $500,000, and this results in an increase in funding from the general fund for board initiatives of $105,000 from the amount provided for by the Senate.

[5] This amendment provides funding of $460,000 from the general fund for incentives to encourage additional doctoral graduates in North Dakota ($60,000), and for a technology occupations student loan program authorized in House Bill No. 1283 ($400,000).

[6] This amendment adds funding of $135,000 from the general fund to the student financial assistance grants line item.

[7] **Lake Region State College** - This amendment provides a special funds appropriation of $325,000 for renovation of the main building front entrance at Lake Region State College.

Dickinson State University - This amendment provides a special funds appropriation of $4 million for renovation and an addition to Whitney Stadium at Dickinson State University.

Senate Bill No. 2003 - Other Changes - Conference Committee Action

This amendment also includes the following provisions adopted in the House amendments to the bill:

- Addition of a section which provides a general fund appropriation to the University of North Dakota of $269,676 for the 1999-2001 biennium for 1997 flood expenditures.
- Addition of a section providing for the allocation of student financial assistance grant funds.
- Addition of a section of legislative intent requiring the State Board of Higher Education to establish a long-term enrollment management plan and procedures for implementation of the plan and to provide progress reports to the Legislative Council during the 2001-02 interim and a final report to the 2003 Legislative Assembly.
- Addition of a section of legislative intent encouraging institutions of higher education to explore the use of alternative heating sources.
- Amendments to Section 12 of the engrossed bill to reduce the amount from $531,720 to $368,920 to allow the State College of Science to proceed with replacement of the Blikre Activities Center floor which the college has funding on hand. The section would continue to require the State College of Science to not proceed with the addition to the Blikre Activities Center at an estimated cost of $368,920 until all funds have been collected.
- Addition of a section of legislative intent that provides that Bismarck State College may use funding of $596,000 for renovation of Schafer Hall for classroom renovation only, and no funds from any source may be used for renovation of administrative offices in Schafer Hall.
- Addition of a section repealing Section 22 of Chapter 37 of the 1999 Session Laws relating to the University of North Dakota winter sports facility maintenance fund.

This amendment also includes and modifies the following provisions adopted in the House amendments to the bill:

- Addition of a section of legislative intent that provides that the State Board of Higher Education may adjust or increase full-time equivalent positions as needed for institutions.
- Addition of a section providing for the allocation of the funding for education incentive programs.
- Changes to Section 11 of the engrossed bill to revise accountability measurements to be reported on by the North Dakota University System.

This amendment also provides for the following provisions to the bill:

- Addition of a section to appropriate $16,441 from the general fund to Mayville State University for the 1999-2001 biennium for repair costs for the university's fieldhouse floor.
- Addition of a section to provide authorization to Lake Region State College to expend $130,000 of excess tuition collection in the 1999-2001 biennium.
- Addition for sections to allow the University of North Dakota to purchase a parcel of land and to provide a special funds appropriation to the university for the purchase.

- Repeal of Section 2 of House Bill Nos. 1283 and 1444, which were approved by the 57th Legislative Assembly, relating to technology occupations student loan program funding and student loan forgiveness program funding.

The following is a summary of the general fund changes to the Senate version, the House version, the Conference Committee version, and the Hoeven executive budget recommendations for higher education:

	SCHAFER EXECUTIVE BUDGET	SENATE VERSION	CONFERENCE COMMITTEE CHANGES	CONFERENCE COMMITTEE VERSION	HOUSE VERSION	INCREASE (DECREASE) TO HOUSE VERSION
University System	$0	$0	$31,567,243	$31,567,243	$31,519,243	$48,000
University System office	74,048,686	74,404,595	(47,526,000)	26,878,595	26,157,798	720,797
Subtotal - University System	$74,048,686	$74,404,595	($15,958,757)	$58,445,838	$57,677,041	$768,797
Bismarck State College	15,017,975	15,017,975	1,209,218	16,227,193	16,227,193	
Lake Region State College	4,724,062	4,724,062	232,723	4,956,785	4,956,785	
Williston State College	5,183,247	5,183,247	295,984	5,479,231	5,479,231	
University of North Dakota	83,798,583	83,798,583	4,165,429	87,964,012	87,964,012	
UND Medical Center	28,571,646	28,571,646	1,434,770	30,006,416	30,006,416	
North Dakota State University	65,262,441	65,262,441	3,406,306	68,668,747	68,668,747	
State College of Science	25,776,628	25,776,628	1,206,174	26,982,802	26,982,802	
Dickinson State University	13,454,794	13,454,794	685,339	14,140,133	14,140,133	
Mayville State University	8,594,596	8,594,596	460,741	9,055,337	9,055,337	
Minot State University	25,230,343	25,230,343	1,302,043	26,532,386	26,532,386	
Valley City State University	11,882,904	11,882,904	557,943	12,440,847	12,440,847	
Minot State University - Bottineau	4,083,153	4,083,153	202,087	4,285,240	4,285,240	
Forest Service	1,768,869	1,768,869		1,768,869	1,768,869	
Total	$367,397,927	$367,753,836	($800,000)	$366,953,836	$366,185,039	$768,797

	HOEVEN EXECUTIVE BUDGET	INCREASE (DECREASE) TO HOEVEN BUDGET
University System	$0	$31,567,243
University System office	75,407,586	(48,526,991)
Subtotal - University System	$75,407,586	($16,961,748)
Bismarck State College	15,017,975	1,209,218
Lake Region State College	4,724,062	232,723
Williston State College	5,183,247	295,984
University of North Dakota	83,798,583	4,165,429
UND Medical Center	28,571,646	1,434,770
North Dakota State University	65,262,441	3,406,306
State College of Science	25,776,628	1,206,174
Dickinson State University	13,454,794	685,339
Mayville State University	8,594,596	460,741
Minot State University	26,405,343	1,127,043
Valley City State University	11,882,904	557,943
Minot State University - Bottineau	4,083,153	202,087
Forest Service	1,768,869	
Total	$368,931,827	($1,977,991)

Date: 4-28-01

Roll Call Vote #: 1

2001 CONFERENCE COMMITTEE ROLL CALL VOTES
BILL/RESOLUTION NO. SB 2003

1803.0229 with changes

CONFERENCE COMMITTEE-North Dakota University System

Legislative Council Amendment Number 1803.0228

☒ recommends that the (SENATE/HOUSE) (ACCEDE to) (RECEDE from)

the (Senate/House) amendments on (SJ/HJ) page(s) 1283 - 1295

☐ having been unable to agree, recommends that the committee be discharged and a new committee be appointed.

Action Taken Amend

Motion Made By Senator/Representative Solberg

Seconded By Senator/Representative Wentz

Senators	Yes	No	Representative	Yes	No
Senator Nething	✓		Representative Wentz	✓	
Senator Solberg	✓		Representative Martinson	✓	
Senator Lindaas	✓		Representative Aarsvold	✓	

Total Yes 6 No 0 Absent 0

18029.0229
Title.0400
Fiscal No. 3

Standing com. Report

Prepared by the Legislative Council staff for
Conference Committee
April 28, 2001

JE3
4-28-1
1 of 14

PROPOSED AMENDMENTS TO ENGROSSED SENATE BILL NO. 2003

That the House recede from its amendments as printed on pages 1283-1295 of the Senate Journal and pages 1401-1413 of the House Journal and that Engrossed Senate Bill No. 2003 be amended as follows:

Page 1, line 2, remove "a" and replace "study" with "studies"

Page 1, line 3, after "intent" insert "; to authorize the university of North Dakota to purchase certain land in Grand Forks County"

Page 1, line 6, after the first semicolon insert "to repeal section 2 of House Bill No. 1283, section 2 of House Bill No. 1444, and section 22 of chapter 37 of the 1999 Session Laws, relating to a technology occupations student loan program, a student loan forgiveness program, and the university of North Dakota winter sports facility maintenance fund;"

Page 1, replace lines 16 through 19 with:

"Operations	$3,563,887
Student financial assistance grants	4,223,031
Information technology management	216,676
Professional student exchange program	1,560,716
Disabled student services	51,560
Technical administration	194,788
Contingency and capital improvement emergency fund	344,309
Scholars program	770,730
Native American scholarships	204,082
Title II	534,000
Competitive research program	4,000,000
Board initiatives	1,370,797"

Page 1, line 20, replace "12,730,841" with "12,730,841"

Page 1, after line 20, insert:

"Education incentive programs	830,000"

Page 1, line 21, replace "78,121,417" with "30,595,417"

Page 1, line 23, replace "74,404,595" with "26,878,595"

Page 1, after line 24, insert:

"NORTH DAKOTA UNIVERSITY SYSTEM

Equity and special needs pool	$4,628,824
Technology pool	26,938,419
General fund appropriation	$31,567,243

Subdivision 3."

Page 2, line 2, replace "14,767,975" with "15,381,193"

Page 2, line 3, replace "250,000" with "846,000"

Page 2, line 4, replace "15,017,975" with "16,227,193"

Page 2, line 5, replace "3" with "4"

Page 2, line 7, replace "4,649,231" with "4,881,954"

Page 2, line 8, replace "74,831" with "399,831"

Page 2, after line 8, insert:

"Total all funds	$5,281,785
Less estimated income	325,000"

Page 2, line 9, replace "4,724,062" with "4,956,785"

Page 2, line 10, replace "4" with "5"

Page 2, line 12, replace "5,094,457" with "5,390,441"

Page 2, line 14, replace "5,183,247" with "5,479,231"

Page 2, line 15, replace "5" with "6"

Page 2, line 17, replace "81,436,447" with "85,601,876"

Page 2, line 19, replace "95,008,583" with "99,174,012"

Page 2, line 21, replace "83,798,583" with "87,964,012"

Page 2, line 22, replace "6" with "7"

Page 2, line 24, replace "63,524,910" with "66,931,216"

Page 2, line 26, replace "86,462,441" with "89,868,747"

Page 2, line 28, replace "65,262,441" with "68,668,747"

Page 2, line 29, replace "7" with "8"

Page 3, line 1, replace "22,893,128" with "24,099,302"

Page 3, line 3, replace "26,693,348" with "27,899,522"

Page 3, line 5, replace "25,776,628" with "26,982,802"

Page 3, line 6, replace "5" with "9"

Page 3, line 8, replace "13,060,832" with "13,746,171"

Page 3, line 9, replace "393,962" with "4,393,962"

Page 3, after line 9, insert:

"Total all funds	$18,140,133

Less estimated income 4,000,000"

Page 3, line 10, replace "13,454,794" with "14,140,133"

Page 3, line 11, replace "9" with "10"

Page 3, line 13, replace "7,870,007" with "8,330,748"

Page 3, line 15, replace "12,594,596" with "13,055,337"

Page 3, line 17, replace "8,594,596" with "9,055,337"

Page 3, line 18, replace "10" with "11"

Page 3, line 20, replace "24,817,493" with "25,919,536"

Page 3, line 21, replace "412,850" with "612,850"

Page 3, line 22, replace "25,230,343" with "26,532,386"

Page 3, line 23, replace "11" with "12"

Page 3, line 25, replace "10,767,570" with "11,325,513"

Page 3, line 27, replace "11,882,904" with "12,440,847"

Page 3, line 28, replace "12" with "13"

Page 4, line 1, replace "3,895,490" with "4,097,577"

Page 4, line 3, replace "4,083,153" with "4,285,240"

Page 4, line 4, replace "13" with "14"

Page 4, line 14, replace "14" with "15"

Page 4, line 16, replace "28,571,646" with "30,006,416"

Page 4, line 17, replace "28,571,646" with "30,006,416"

Page 4, line 18, replace "367,753,836" with "366,953,836"

Page 4, line 19, replace "42,094,068" with "46,560,068"

Page 4, line 20, replace "409,847,904" with "413,513,904"

Page 4, line 21, replace "campus-based programs and" with "contingency and capital improvement emergency fund,"

Page 4, line 22, remove "contingencies and" and after "initiatives" insert ", and disabled student services line items"

Page 4, after line 30, insert:

"**SECTION 4. APPROPRIATION.** There is appropriated out of any moneys in the general fund in the state treasury, not otherwise appropriated, the sum of $16,441, or so much of the sum as may be necessary, to Mayville state university for the purpose

of defraying repair costs for the university's fieldhouse floor, for the period beginning with the effective date of this Act and ending June 30, 2003.

SECTION 5. APPROPRIATION. The funds provided in this section, or so much of the funds as may be necessary, are appropriated out of any moneys in the general fund in the state treasury, not otherwise appropriated, to the university of North Dakota for the purpose of defraying flood-related expenses, for the period beginning with the effective date of this Act and ending June 30, 2003, as follows:

1997 flood expenditures	$269,676
Total general fund appropriation	$269,676

SECTION 6. AUTHORIZATION. Notwithstanding section 3 of chapter 3 of the 1999 Session Laws, Lake Region state college is authorized to expend $130,000 in excess tuition collections in the biennium beginning July 1, 1999, and ending June 30, 2003."

Page 5, replace lines 9 through 29 with:

"**SECTION 9. LEGISLATIVE INTENT - FULL-TIME EQUIVALENTS.** The state board of higher education is authorized to adjust full-time equivalent positions as needed, subject to the availability of funds, for institutions and entities in subdivisions 3 through 15 of section 1 of this Act. The university system shall report any adjustments to the office of management and budget prior to the submission of the 2003-05 biennium budget request. The number of full-time equivalent positions for the university system office may not be increased above the level employed as of April 28, 2001.

SECTION 10. STUDENT FINANCIAL ASSISTANCE GRANTS. The funds appropriated for student financial assistance grants in section 1 of this Act must be allocated not less than twenty percent to students at private institutions with the remaining funds allocated to students at public and Native American institutions.

SECTION 11. EDUCATION INCENTIVE PROGRAMS. Of the funds appropriated for education incentives in section 1 of this Act, $60,000 is to provide incentives to encourage additional doctoral graduates in North Dakota, $370,000 is provided for teacher retraining scholarships and a teacher student loan forgiveness program, and $400,000 is provided for a technology occupations student loan program authorized in House Bill No. 1283.

SECTION 12. TECHNOLOGY POOL. The technology pool amount in subdivision 2 of section 1 must be used for the benefit of the institutions and entities in section 1 as determined by the state board of higher education. Technology funding allocations are to be made based on historic funding, the North Dakota university system information technology plan, the statewide network plan, base funding for higher education computer network computer center operations, and base funding for interactive video network and on-line dakota information network operations.

SECTION 13. EQUITY AND SPECIAL NEEDS POOL. The equity and special needs pool in subdivision 2 of section 1 must be used for the benefit of the institutions and entities in subdivisions 3 through 15 of section 1 as determined by the state board of higher education. When making allocations from the equity and special needs pool, the state board of higher education shall allocate the funds to address equity and parity funding needs and to support new initiatives or program costs consistent with board and statewide needs."

Page 5, line 31, replace "13" with "14"

Page 6, after line 6, insert:

"**SECTION 15. LEGISLATIVE INTENT - ENROLLMENT MANAGEMENT PLAN.** It is the intent of the legislative assembly that the state board of higher education establish a long-term enrollment management plan and procedures for implementation of the plan. The board shall report to the legislative council during the 2001-02 interim on the board's progress toward establishing a long-term enrollment management plan and related implementation procedures and provide the final report to the fifty-eighth legislative assembly.

SECTION 16. LEGISLATIVE INTENT - ALTERNATIVE HEATING SOURCES. It is the intent of the legislative assembly that institutions under the control of the state board of higher education explore the possible use of alternative heating sources, including the use of North Dakota coal.

SECTION 17. LEGISLATIVE COUNCIL STUDY - COLLEGE TECHNICAL EDUCATION COUNCIL. The legislative council shall consider studying during the 2001-02 interim the role of the responsibilities and the functions of the college technical education council and the implementation of the workforce training regions including how the regions are functioning. The legislative council shall report its findings and recommendations, together with any legislation required to implement the recommendations, to the fifty-eighth legislative assembly."

Page 6, line 11, after the period insert "The legislative council shall report its findings and recommendations, together with any legislation required to implement the recommendations, to the fifty-eighth legislative assembly."

Page 6, replace lines 16 through 31 with:

"1. Education excellence, including:

   a. Student performance on nationally recognized exams in their major fields compared to the national averages.

   b. First-time licensure pass rates compared to other states.

   c. Alumni-reported and student-reported satisfaction with preparation in selected major, acquisition of specific skills, and technology knowledge and abilities.

   d. Employer-reported satisfaction with preparation of recently hired graduates.

   e. Biennial report on employee satisfaction relating to the university system and local institutions.

   f. Ratio of faculty and staff to students.

   g. Student graduation and retention rates.

2. Economic development, including:

   a. Enrollment in entrepreneurship courses and the number of graduates of entrepreneurship programs.

   b. Percentage of university system graduates obtaining employment appropriate to their education in the state.

   c. Number of businesses and employees in the region receiving training.

3. Student access, including:

   a. Proportion of residents of the state who are within a forty-five-minute drive of a location at which they can receive educational programs from a provider.

   b. Number and proportion of enrollments in courses offered by nontraditional methods.

4. Student affordability, including:

   a. Tuition and fees on a per student basis compared to the regional average.

   b. Tuition and fees as a percentage of median North Dakota household income.

   c. Cost per student in terms of general fund appropriations and total university system funding.

   d. Administrative, instructional, and other cost per student.

   e. Per capita general fund appropriations for higher education.

   f. State general fund appropriation levels for university system institutions compared to peer institutions general fund appropriation levels.

5. Financial operations, including:

   a. Percentage of total university system funding used for instruction, research, and public service.

   b. Percentage of total university system funding used for institutional support, operations, and maintenance of physical plant.

   c. Ratio measuring the funding derived from operating and contributed income compared to total university system funding.

   d. Ratio measuring the size of the university system's outstanding maintenance as compared to its expendable net assets.

   e. Ratio measuring the amount of expendable net assets as compared to the amount of long-term debt.

   f. Research expenditures in proportion to the amount of revenue generated by research activity and funding received for research activity.

   g. Report on new construction and major renovation capital projects for which specific appropriations are made, including budget to actual comparison, use of third-party funding, and related debt."

Page 7, remove lines 1 through 31

Page 8, remove lines 1 through 30

Page 9, remove lines 1 through 30

Page 10, remove lines 1 through 31

Page 11, remove lines 1 through 21

Page 11, line 24, replace "$531,720" with "$368,920" and replace "7" with "8"

Page 11, line 25, replace "renovation of" with "an addition to"

Page 11, line 26, replace "renovation" with "construction of an addition"

Page 11, line 27, replace "$531,720" with "$368,920"

Page 11, line 29, replace "renovation" with "addition"

Page 11, after line 29, insert:

"**SECTION 21. LEGISLATIVE INTENT - BISMARCK STATE COLLEGE - SCHAFER HALL RENOVATION.** It is the intent of the legislative assembly that funding of $596,000 included in the capital assets line item in subdivision 3 of section 1 of this Act for renovation of Schafer hall be used only for renovation of classroom or student-related areas. No funds from any source may be used for renovation of administrative offices in Schafer hall.

SECTION 22. PURCHASE OF LAND AUTHORIZED.

1. The university of North Dakota may purchase the land described in this subsection for the price and on the terms as determined by the university of North Dakota. The land to be purchased is that portion of the burlington northern and santa fe railway company's (formerly great northern railway company) yard property at Grand Forks, North Dakota, situated in the S 1/2 SE 1/4 SE 1/4 of section 5, township 151 north, range 50 west of the fifth principal meridian, Grand Forks County, North Dakota, being a portion of the same 5 1/2 acre tract of land described in warranty deed from Thomas Campbell, et ux, to the Saint Paul, Minneapolis and Manitoba railway company filed for record July 29, 1880 in book c of deed, page 85 in and for said county, described as follows, to-wit:

   Beginning at a point on the north line of said 5 1/2 acre tract of land distant 33.0 feet west of the east line of said section 5, said point being 75.0 feet north, as measured at right angles from said railway company's main track centerline, as originally located and constructed, and 85 feet, more or less, north of the south line of said section 5; thence west along said north line, and parallel with said main track centerline, a distance of 848.5 feet; thence south at right angles to the last described course 46.5 feet; thence east parallel with the north line of said 5 1/2 acre tract to the intersection with a line drawn parallel with and distant 33.0 feet west, as measured at right angles from the east line of said section 5, thence north along the last described parallel line to the point of beginning,

   EXCEPTING THEREFROM, that portion, if any, lying within 10.0 feet of said railway company's most northerly spur track centerline, as now located and constructed upon, over and across said S 1/2 SE 1/4 SE 1/4.

ALSO,

That portion of the burlington northern and santa fe railway company's (formerly great northern railway company) yard property at Grand Forks, North Dakota, situated in the S 1/2 SW 1/4 SE 1/4 of section 5, township 151 north, range 50 west of the fifth principal meridian, Grand Forks County, North Dakota, being a portion of the same 5 1/2 acre tract of land described in warranty deed from Thomas Campbell, et ux, to the Saint Paul, Minneapolis and Manitoba railway company filed for record July 29, 1880 in book c of deed, page 85 in and for said county, described as follows, to-wit:

Beginning at a point on the north line of said 5 1/2 acre tract of land distant 290.0 feet east of the west line of said S 1/2 SW 1/4 SE 1/4, said point being 75.0 feet north, as measured at right angles from said railway company's main track centerline, as originally located and constructed, and 85 feet, more or less, north of the south line of said section 5; thence east along said north line, and parallel with said main track centerline, a distance of 990 feet, more or less, to the intersection with a line drawn concentric with and distant 15.0 feet northwesterly, as measured radially from said railway company's university power house spur track centerline, as now located and constructed; thence southwesterly along said concentric line to the intersection with a line drawn parallel with and distant 50.0 feet south, as measured at right angles from said north line; thence west along said parallel line to the intersection with a line drawn parallel with and distant 290.0 feet east, as measured at right angles from the west line of said S 1/2 SW 1/4 SE 1/4; thence north along the last described parallel line 50.0 feet to the point of beginning.

2. The attorney general shall review and approve as to form and legality all legal documents, papers, and instruments required for the purchase authorized by this section.

SECTION 23. APPROPRIATION. There is appropriated out of any moneys received by the university of North Dakota pursuant to federal acts, private grants, and other sources enumerated in section 3 of this Act, not otherwise appropriated, the sum of $141,000, or so much of the sum as may be necessary, to the university of North Dakota for the purpose of purchasing the land described in section 22 of this Act, for the biennium beginning July 1, 2001, and ending June 30, 2003."

Page 14, line 16, after "section" insert "and the North Dakota university system shall report on the amounts and uses of funds carried over from one biennium to the next to subsequent appropriations committees of the legislative assembly"

Page 14, after line 27, insert:

"**SECTION 26. REPEAL.** Section 2 of House Bill No. 1283 and section 2 of House Bill No. 1444, as approved by the fifty-seventh legislative assembly, and section 22 of chapter 37 of the 1999 Session Laws are repealed."

Page 14, line 28, replace "13, 14, 15, and 16" with "24, 25, 26, and 27"

Page 15, line 2, after "Act" insert "and sections 4, 5, 6, and 9 of this Act"

Renumber accordingly

STATEMENT OF PURPOSE OF AMENDMENT:

Senate Bill No. 2003 - Summary of Conference Committee Action

	EXECUTIVE BUDGET	SENATE VERSION	CONFERENCE COMMITTEE CHANGES	CONFERENCE COMMITTEE VERSION	HOUSE VERSION	COMPARISON TO HOUSE
University System						
Total all funds	$0	$0	$31,667,343	$31,667,343	$31,819,343	$48,000
Less estimated income						
General fund	$0	$0	$31,667,343	$31,667,343	$31,819,343	$48,000
University System office						
Total all funds	$77,786,500	$78,121,417	($47,526,000)	$30,595,417	$30,874,500	$720,767
Less estimated income	3,718,500	3,718,500		3,718,500	3,718,500	
General fund	$74,068,000	$74,402,917	($47,526,000)	$26,876,917	$27,156,000	$720,767
Bismarck State College						
Total all funds	$15,617,975	$15,617,975	$1,209,218	$16,227,193	$16,227,193	$0
Less estimated income						
General fund	$15,617,975	$15,617,975	$1,209,218	$16,227,193	$16,227,193	$0
Lake Region State College						
Total all funds	$4,734,062	$4,734,062	$667,723	$5,281,785	$5,281,785	$0
Less estimated income			325,000	325,000	325,000	
General fund	$4,734,062	$4,734,062	$342,723	$4,956,785	$4,956,785	$0
Williston State College						
Total all funds	$5,183,247	$5,183,247	$296,984	$5,479,231	$5,479,231	$0
Less estimated income						
General fund	$5,183,247	$5,183,247	$296,984	$5,479,231	$5,479,231	$0
University of North Dakota						
Total all funds	$96,006,583	$96,006,583	$4,165,429	$99,174,012	$99,174,012	$0
Less estimated income	11,210,000	11,210,000		11,210,000	11,210,000	
General fund	$85,796,583	$85,796,583	$4,165,429	$87,964,012	$87,964,012	$0
UND Medical Center						
Total all funds	$28,571,646	$28,571,646	$1,434,770	$30,006,416	$30,006,416	$0
Less estimated income						
General fund	$28,571,646	$28,571,646	$1,434,770	$30,006,416	$30,006,416	$0
North Dakota State University						
Total all funds	$87,013,261	$86,482,441	$3,406,306	$89,888,747	$89,888,747	$0
Less estimated income	21,750,820	21,200,000		21,200,000	21,200,000	
General fund	$65,262,441	$65,282,441	$3,406,306	$68,688,747	$68,688,747	$0
State College of Science						
Total all funds	$26,776,628	$26,693,348	$1,206,174	$27,899,522	$27,899,522	$0
Less estimated income	1,000,000	916,720		916,720	916,720	
General fund	$25,776,628	$25,776,628	$1,206,174	$26,982,802	$26,982,802	$0
Dickinson State University						
Total all funds	$13,454,794	$13,454,794	$4,685,339	$18,140,133	$18,140,133	$0
Less estimated income			4,000,000	4,000,000	4,000,000	
General fund	$13,454,794	$13,454,794	$685,339	$14,140,133	$14,140,133	$0
Mayville State University						
Total all funds	$8,594,596	$12,594,596	$460,741	$13,055,337	$13,055,337	$0
Less estimated income		4,000,000		4,000,000	4,000,000	
General fund	$8,594,596	$8,594,596	$460,741	$9,055,337	$9,055,337	$0
Minot State University						
Total all funds	$28,004,343	$25,230,343	$1,302,043	$26,532,386	$26,532,386	$0
Less estimated income	2,774,000					
General fund	$25,230,343	$25,230,343	$1,302,043	$26,532,386	$26,532,386	$0
Valley City State University						
Total all funds	$11,882,904	$11,882,904	$557,943	$12,440,847	$12,440,847	$0
Less estimated income						
General fund	$11,882,904	$11,882,904	$557,943	$12,440,847	$12,440,847	$0
Minot State University - Bottineau						
Total all funds	$4,083,153	$4,083,153	$202,087	$4,285,240	$4,285,240	$0
Less estimated income						
General fund	$4,083,153	$4,083,153	$202,087	$4,285,240	$4,285,240	$0
Forest Service						
Total all funds	$2,819,395	$2,819,395	$0	$2,819,395	$2,819,395	$0
Less estimated income	1,050,526	1,050,526		1,050,526	1,050,526	
General fund	$1,768,869	$1,768,869	$0	$1,768,869	$1,768,869	$0
Commerce Department						
Total all funds	$0	$0	$0	$0	$194,788	($194,788)
Less estimated income						
General fund	$0	$0	$0	$0	$194,788	($194,788)
Bill Total						
Total all funds	$408,900,085	$408,847,804	$3,525,000	$413,372,804	$412,798,885	$574,009
Less estimated income	41,503,166	42,084,066	4,325,000	46,419,066	46,419,066	
General fund	$367,397,827	$366,763,838	($800,000)	$366,953,838	$366,379,827	$574,009

Senate Bill No. 2003 - University System - General Fund Summary

	EXECUTIVE BUDGET	SENATE VERSION	CONFERENCE COMMITTEE CHANGES	CONFERENCE COMMITTEE VERSION	HOUSE VERSION	COMPARISON TO HOUSE
University System			$31,567,243	$31,567,243	$31,519,243	$48,000
University System office	$74,848,888	$74,404,595	(47,526,000)	26,878,595	26,157,798	720,797
Bismarck State College	15,017,978	15,017,978	1,209,218	16,227,196	16,227,196	
Lake Region State College	4,734,038	4,734,038	232,723	4,966,761	4,966,761	
Williston State College	5,183,247	5,183,247	296,984	5,479,231	5,479,231	
University of North Dakota	83,788,589	83,788,589	4,165,429	87,954,018	87,954,018	
UND Medical Center	28,571,646	28,571,646	1,434,770	30,006,416	30,006,416	
North Dakota State University	68,282,441	68,282,441	3,406,306	68,688,747	68,688,747	
State College of Science	26,776,688	26,776,688	1,206,174	28,982,862	28,982,862	
Dickinson State University	13,464,764	13,464,764	685,330	14,140,133	14,140,133	
Mayville State University	8,564,596	8,564,596	460,741	9,066,337	9,066,337	
Minot State University	26,230,343	26,230,343	1,302,043	26,532,386	26,532,386	
Valley City State University	11,882,804	11,882,804	557,843	12,440,647	12,440,647	
Minot State University - Bottineau	4,080,159	4,080,159	202,087	4,286,246	4,286,246	
Forest Service	1,798,888	1,798,888		1,798,888	1,798,888	
Total general fund	$367,387,887	$367,753,636	($800,000)	$366,953,636	$366,185,039	$768,797

Detail of Conference Committee Changes to the General Fund

	REMOVE BLOCK GRANT FUNDING	PROVIDE FUNDING IN INDIVIDUAL LINE ITEMS	PROVIDE FUNDING FOR SYSTEM POOLS	ALLOCATE FUNDING FOR 3/2 SALARY INCREASE	ALLOCATE FUNDING FROM UNIVERSITY SYSTEM TO FUND CAMPUS ACTIVITIES [1]	REMOVE FUNDING FROM EQUITY AND SPECIAL NEEDS POOL [2]
University System			$47,324,184	($13,256,941)	($1,000,000)	($200,000)
University System office	($74,404,595)	$27,080,411		98,184	(1,000,000)	
Bismarck State College				613,218	596,000	
Lake Region State College				172,192	60,531	
Williston State College				228,648	67,436	
University of North Dakota				4,165,429		
UND Medical Center				1,264,944	169,826	
North Dakota State University				3,406,306		
State College of Science				818,543	387,631	
Dickinson State University				549,366	135,963	
Mayville State University				278,459	184,282	
Minot State University				1,102,043	200,000	
Valley City State University				424,879	133,064	
Minot State University - Bottineau				136,813	65,274	
Forest Service						
Total general fund	($74,404,595)	$27,080,411	$47,324,184	$0	$0	($200,000)

	REMOVE FUNDING FROM TECHNOLOGY POOL [3]	INCREASE FUNDING FOR BOARD INITIATIVES [4]	PROVIDE ADDITIONAL FUNDING FOR EDUCATION INCENTIVE PROGRAMS [5]	INCREASE FUNDING FOR STUDENT FINANCIAL ASSISTANCE GRANTS [6]	PROVIDE FUNDING FOR CAPITAL CONSTRUCTION PROJECTS [7]	TOTAL GENERAL FUND CHANGES
University System	($1,300,000)					$31,567,243
University System office		$105,000	$460,000	$135,000		(47,526,000)
Bismarck State College						1,209,218
Lake Region State College						232,723
Williston State College						296,984
University of North Dakota						4,165,429
UND Medical Center						1,434,770
North Dakota State University						3,406,306
State College of Science						1,206,174
Dickinson State University						685,330
Mayville State University						460,741
Minot State University						1,302,043
Valley City State University						557,943
Minot State University - Bottineau						202,087
Forest Service						
Total general fund	($1,300,000)	$105,000	$460,000	$135,000	$0	($800,000)

Senate Bill No. 2003 - University System - Other Funds Summary

	EXECUTIVE BUDGET	SENATE VERSION	CONFERENCE COMMITTEE CHANGES	CONFERENCE COMMITTEE VERSION	HOUSE VERSION	COMPARISON TO HOUSE
University System						
University System office	$3,716,822	$3,716,822		$3,716,822	$3,716,822	
Bismarck State College						
Lake Region State College			$325,000	325,000	325,000	
Williston State College						

University of North Dakota	11,210,000	11,210,000		11,210,000	11,210,000	
UND Medical Center						
North Dakota State University	21,700,000	21,300,000		21,300,000	21,300,000	
State College of Science	1,900,000	916,700		916,700	916,700	
Dickinson State University			4,000,000	4,000,000	4,000,000	
Mayville State University		4,000,000		4,000,000	4,000,000	
Minot State University	2,774,000					
Valley City State University						
Minot State University - Bottineau						
Forest Service	1,050,526	1,050,526		1,050,526	1,050,526	
Total other funds	$41,502,166	$43,084,066	$4,325,000	$46,419,066	$46,419,066	$0

Detail of Conference Committee Changes to Other Funds

	REMOVE BLOCK GRANT FUNDING	PROVIDE FUNDING IN INDIVIDUAL LINE ITEMS	PROVIDE FUNDING FOR SYSTEM POOLS	ALLOCATE FUNDING FOR 3/2 SALARY INCREASE	ALLOCATE FUNDING FROM UNIVERSITY SYSTEM TO FUND CAMPUS ACTIVITIES [1]	REMOVE FUNDING FROM EQUITY AND SPECIAL NEEDS POOL [2]
University System						
University System office	($3,716,822)	$3,716,822				
Bismarck State College						
Lake Region State College						
Williston State College						
University of North Dakota						
UND Medical Center						
North Dakota State University						
State College of Science						
Dickinson State University						
Mayville State University						
Minot State University						
Valley City State University						
Minot State University - Bottineau						
Forest Service						
Total other funds	($3,716,822)	$3,716,822	$0	$0	$0	$0

	REMOVE FUNDING FROM TECHNOLOGY POOL [3]	INCREASE FUNDING FOR BOARD INITIATIVES [4]	PROVIDE ADDITIONAL FUNDING FOR EDUCATION INCENTIVE PROGRAMS [5]	INCREASE FUNDING FOR STUDENT FINANCIAL ASSISTANCE GRANTS [6]	PROVIDE FUNDING FOR CAPITAL CONSTRUCTION PROJECTS [7]	TOTAL OTHER FUNDS CHANGES
University System						
University System Office						
Bismarck State College						
Lake Region State College					$325,000	$325,000
Williston State College						
University of North Dakota						
UND Medical Center						
North Dakota State University						
State College of Science						
Dickinson State University					4,000,000	4,000,000
Mayville State University						
Minot State University						
Valley City State University						
Minot State University - Bottineau						
Forest Service						
Total other funds	$0	$0	$0	$0	$4,325,000	$4,235,000

Senate Bill No. 2003 - University System - All Funds Summary

	EXECUTIVE BUDGET	SENATE VERSION	CONFERENCE COMMITTEE CHANGES	CONFERENCE COMMITTEE VERSION	HOUSE VERSION	COMPARISON TO HOUSE
University System			$31,567,243	$31,567,243	$31,519,243	$48,000
University System office	$77,765,506	$78,121,417	(47,526,000)	30,595,417	29,874,620	720,797
Bismarck State College	15,017,975	15,017,975	1,209,218	16,227,193	16,227,193	
Lake Region State College	4,724,062	4,724,062	557,723	5,281,785	5,281,785	
Williston State College	5,183,247	5,183,247	295,984	5,479,231	5,479,231	
University of North Dakota	95,008,583	95,008,583	4,165,429	99,174,012	99,174,012	
UND Medical Center	28,571,646	28,571,646	1,434,770	30,006,416	30,006,416	
North Dakota State University	87,013,281	86,462,441	3,406,306	89,868,747	89,868,747	
State College of Science	26,776,528	26,993,348	1,206,174	27,899,522	27,899,522	
Dickinson State University	13,454,794	13,454,794	4,685,339	18,140,133	18,140,133	
Mayville State University	8,594,596	12,594,596	460,741	13,055,337	13,055,337	
Minot State University	26,004,343	25,230,343	1,302,043	26,532,386	26,532,386	
Valley City State University	11,882,904	11,882,904	557,943	12,440,847	12,440,847	
Minot State University - Bottineau	4,083,153	4,083,153	202,087	4,285,240	4,285,240	
Forest Service	2,819,395	2,819,395		2,819,395	2,819,395	
Total all funds	$408,900,095	$409,847,904	$3,525,000	$413,372,904	$412,804,107	$768,797

FTE	3087.30	3087.30	0.00	3087.30	3088.30	1.00

Detail of Conference Committee Changes to All Funds

	REMOVE BLOCK GRANT FUNDING	PROVIDE FUNDING IN INDIVIDUAL LINE ITEMS	PROVIDE FUNDING FOR SYSTEM POOLS	ALLOCATE FUNDING FOR 3/9 SALARY INCREASE	ALLOCATE FUNDING FROM UNIVERSITY SYSTEM TO FUND CAMPUS ACTIVITIES [1]	REMOVE FUNDING FROM EQUITY AND SPECIAL NEEDS POOL [2]
University System			$47,394,184	($13,286,941)	($1,000,000)	($200,000)
University System office	($76,121,417)	$30,797,233		98,164	(1,000,000)	
Bismarck State College				613,218	596,000	
Lake Region State College				172,192	60,531	
Williston State College				220,845	67,439	
University of North Dakota				4,166,429		
UND Medical Center				1,265,944	168,826	
North Dakota State University				3,406,306		
State College of Science				819,543	387,631	
Dickinson State University				549,308	135,953	
Mayville State University				270,459	184,282	
Minot State University				1,102,043	200,000	
Valley City State University				424,879	133,064	
Minot State University - Bottineau				136,813	65,274	
Forest Service						
Total all funds	($76,121,417)	$30,797,233	$47,394,184	$0	$0	($200,000)
FTE	0.00	0.00	0.00	0.00	0.00	0.00

	REMOVE FUNDING FROM TECHNOLOGY POOL [3]	INCREASE FUNDING FOR BOARD INITIATIVES [4]	PROVIDE ADDITIONAL FUNDING FOR EDUCATION INCENTIVE PROGRAMS [5]	INCREASE FUNDING FOR STUDENT FINANCIAL ASSISTANCE GRANTS [6]	PROVIDE FUNDING FOR CAPITAL CONSTRUCTION PROJECTS [7]	TOTAL ALL FUNDS CHANGES
University System	($1,300,000)					$31,567,243
University System office		$105,000	$460,000	$135,000		(47,526,000)
Bismarck State College						1,209,218
Lake Region State College					$325,000	557,723
Williston State College						288,284
University of North Dakota						4,166,429
UND Medical Center						1,434,770
North Dakota State University						3,406,306
State College of Science						1,206,174
Dickinson State University					4,000,000	4,685,339
Mayville State University						460,741
Minot State University						1,302,043
Valley City State University						557,943
Minot State University - Bottineau						202,087
Forest Service						
Total all funds	($1,300,000)	$105,000	$460,000	$135,000	$4,325,000	$3,525,000
FTE	0.00	0.00	0.00	0.00	0.00	0.00

[1] This amendment allocates funds from the campus parity and equity funding and innovation initiative funding in the equity and special needs pool of $1 million and board initiatives funding of $1 million to campuses for various activities. The following provides information regarding the allocations to the campuses:

Bismarck State College - Renovation of Schafer Hall	$596,000
Lake Region State College - Costs to continue	60,531
Williston State College - Technology initiative	67,439
State College of Science - Costs to continue	387,631
Dickinson State University - Institutional innovation	135,953
Mayville State University - Costs to continue	184,282
Minot State University - Extraordinary repairs	200,000
Valley City State University - Costs to continue	133,064
Minot State University - Bottineau - Costs to continue	65,274
UND Medical School - Technology initiative	168,826
Total	$2,000,000

[2] This amendment reduces funding from the general fund provided for campus parity and equity and innovation initiative funding in the equity and special needs pool by $200,000.

[3] This amendment removes funding from the general fund of $666,100 for on-line course management and $364,000 for costs associated with connecting IVN to all state agencies. From the University System technology pool.

[4] The House amendments to Senate Bill No. 2003 provided a $395,000 general fund reduction to board initiatives. The Conference Committee agreed to increase the total amount provided for board initiatives in the House amendments to Senate Bill No. 2003 by $500,000, and this results in an increase in funding from the general fund for board initiatives of $105,000 from the amount provided for by the Senate.

[5] This amendment provides funding of $400,000 from the general fund for incentives to encourage additional doctoral graduates in North Dakota ($50,000), and for a technology occupations student loan program authorized in House Bill No. 1283 ($400,000).

[6] This amendment adds funding of $135,000 from the general fund to the student financial assistance grants line item.

[7] Lake Region State College - This amendment provides a special funds appropriation of $325,000 for renovation of the main building front entrance at Lake Region State College.

Dickinson State University - This amendment provides a special funds appropriation of $4 million for renovation and an addition to Whitney Stadium at Dickinson State University.

Senate Bill No. 2003 - Other Changes - Conference Committee Action

This amendment also includes the following provisions adopted in the House amendments to the bill:

- Addition of a section which provides a general fund appropriation to the University of North Dakota of $299,676 for the 1999-2001 biennium for 1997 flood expenditures.
- Addition of a section providing for the allocation of student financial assistance grant funds.
- Addition of a section of legislative intent requiring the State Board of Higher Education to establish a long-term enrollment management plan and procedures for implementation of the plan and to provide progress reports to the Legislative Council during the 2001-02 interim and a final report to the 2003 Legislative Assembly.
- Addition of a section of legislative intent encouraging institutions of higher education to explore the use of alternative heating sources.
- Amendments to Section 12 of the engrossed bill to reduce the amount from $531,720 to $368,920 to allow the State College of Science to proceed with replacement of the Blikre Activities Center floor which the college has funding on hand. The section would continue to require the State College of Science to not proceed with the addition to the Blikre Activities Center at an estimated cost of $368,920 until all funds have been collected.
- Addition of a section of legislative intent that provides that Bismarck State College may use funding of $596,000 for renovation of Schafer Hall for classroom renovation only, and no funds from any source may be used for renovation of administrative offices in Schafer Hall.
- Addition of a section repealing Section 22 of Chapter 37 of the 1999 Session Laws relating to the University of North Dakota winter sports facility maintenance fund.

This amendment also includes and modifies the following provisions adopted in the House amendments to the bill:

- Addition of a section of legislative intent that provides that the State Board of Higher Education may adjust or increase full-time equivalent positions as needed for institutions.
- Addition of a section providing for the allocation of the funding for education incentive programs.
- Changes to Section 11 of the engrossed bill to revise accountability measurements to be reported on by the North Dakota University System.

This amendment also provides for the following provisions to the bill:

- Addition of a section to appropriate $16,441 from the general fund to Mayville State University for the 1999-2001 biennium for repair costs for the university's fieldhouse floor.
- Addition of a section to provide authorization to Lake Region State College to expend $130,000 of excess tuition collection in the 1999-2001 biennium.
- Addition for sections to allow the University of North Dakota to purchase a parcel of land and to provide a special funds appropriation to the university for the purchase.

- Repeal of Section 2 of House Bill Nos. 1283 and 1444, which were approved by the 57th Legislative Assembly, relating to technology occupations student loan program funding and student loan forgiveness program funding.

The following is a summary of the general fund changes to the Senate version, the House version, the Conference Committee version, and the Hoeven executive budget recommendations for higher education:

	SCHAFER EXECUTIVE BUDGET	SENATE VERSION	CONFERENCE COMMITTEE CHANGES	CONFERENCE COMMITTEE VERSION	HOUSE VERSION	INCREASE (DECREASE) TO HOUSE VERSION
University System	$0	$0	$31,567,243	$31,567,243	$31,518,243	$49,000
University System office	74,046,[illegible]	74,404,[illegible]	(47,[illegible],000)	[illegible]	[illegible]	720,797
Subtotal - University System	$74,046,[illegible]	$74,404,[illegible]	($15,[illegible],757)	[illegible]	$57,677,041	$769,797
Bismarck State College	15,017,975	15,017,975	1,209,218	16,227,193	16,227,193	
Lake Region State College	4,734,062	4,734,062	232,723	4,966,785	4,966,785	
Williston State College	5,183,247	5,183,247	286,984	5,470,231	5,470,231	
University of North Dakota	83,798,583	83,798,583	4,185,429	87,984,012	87,984,012	
UND Medical Center	28,571,646	28,571,646	1,434,770	30,006,416	30,006,416	
North Dakota State University	66,282,441	66,282,441	3,406,306	69,688,747	69,688,747	
State College of Science	25,776,628	25,776,628	1,205,174	26,982,802	26,982,802	
Dickinson State University	13,454,794	13,454,794	685,339	14,140,133	14,140,133	
Mayville State University	8,584,596	8,584,596	480,741	9,065,337	9,065,337	
Minot State University	25,230,343	25,230,343	1,302,043	26,532,386	26,532,386	
Valley City State University	11,882,904	11,882,904	557,943	12,440,847	12,440,847	
Minot State University - Bottineau	4,083,153	4,083,153	202,087	4,285,240	4,285,240	
Forest Service	1,798,889	1,798,889	———	1,798,889	1,798,889	———
Total	$367,397,887	$367,753,636	($800,000)	$366,953,636	$366,185,039	$768,797

	HOEVEN EXECUTIVE BUDGET	INCREASE (DECREASE) TO HOEVEN BUDGET
University System	$0	$31,567,243
University System office	75,407,[illegible]	(48,[illegible],991)
Subtotal - University System	$75,407,[illegible]	($16,[illegible],748)
Bismarck State College	15,017,975	1,209,218
Lake Region State College	4,734,062	232,723
Williston State College	5,183,247	286,984
University of North Dakota	83,798,583	4,185,429
UND Medical Center	28,571,646	1,434,770
North Dakota State University	66,282,441	3,406,306
State College of Science	25,776,628	1,206,174
Dickinson State University	13,454,794	685,339
Mayville State University	8,584,596	480,741
Minot State University	25,406,343	1,127,043
Valley City State University	11,882,904	557,943
Minot State University - Bottineau	4,083,153	202,087
Forest Service	1,798,889	———
Total	$368,831,827	($1,977,991)

REPORT OF STANDING COMMITTEE

SB 2003: Appropriations Committee (Sen. Nething, Chairman) recommends AMENDMENTS AS FOLLOWS and when so amended, recommends DO PASS (11 YEAS, 0 NAYS, 3 ABSENT AND NOT VOTING). SB 2003 was placed on the Sixth order on the calendar.

Page 1, line 2, after the semicolon insert "to provide for a legislative council study; to provide statements of legislative intent; to amend and reenact sections 15-10-12, 54-44.1-04, subsection 7 of section 54-44.1-06, and section 54-44.1-11 of the North Dakota Century Code, relating to higher education institutions' special revenue funds, budget requests and block grant appropriations, and unexpended appropriations; to provide an expiration date;"

Page 1, line 12, replace "3,663,482" with "3,660,491"

Page 1, line 13, replace "6,623,559" with "6,993,559"

Page 1, line 14, replace "51,957,520" with "52,126,420"

Page 1, line 15, replace "2,790,106" with "2,610,106"

Page 1, line 17, replace "77,765,508" with "78,121,417"

Page 1, line 19, replace "74,048,686" with "74,404,595"

Page 2, line 21, replace "23,488,351" with "22,937,531"

Page 2, line 22, replace "87,013,261" with "86,462,441"

Page 2, line 23, replace "21,750,820" with "21,200,000"

Page 2, line 28, replace "3,883,500" with "3,800,220"

Page 2, line 29, replace "26,776,628" with "26,693,348"

Page 2, line 30, replace "1,000,000" with "916,720"

Page 3, line 9, replace "724,589" with "4,724,589"

Page 3, after line 9, insert:

"Total all funds	$12,594,596
Less estimated income	4,000,000"

Page 3, line 14, replace "3,186,850" with "412,850"

Page 3, remove lines 15 and 16

Page 4, line 11, replace "367,397,927" with "367,753,836"

Page 4, line 12, replace "41,502,168" with "42,094,068"

Page 4, line 13, replace "408,900,095" with "409,847,904"

Page 5, remove lines 1 through 11

Page 6, line 4, replace "There is appropriated to the forest service" with "The estimated income in subdivision 13 of section 1 of this Act includes"

Page 6, after line 10, insert:

"**SECTION 10. LEGISLATIVE COUNCIL STUDY - HIGHER EDUCATION ACCOUNTABILITY MEASURES.** The legislative council shall consider studying during the 2001-02 interim the board of higher education's implementation of the performance and accountability measures report required by Senate Bill No. 2041. If conducted, the study may include the use of the higher education roundtable format.

SECTION 11. LEGISLATIVE INTENT - HIGHER EDUCATION ACCOUNTABILITY MEASURES. It is the intent of the legislative assembly that the board of higher education's performance and accountability report as required by Senate Bill No. 2041 include an executive summary and information regarding:

1. Higher education financing - A status report on higher education financing as compared to the long-term financing plan.

2. Base funding - Levels, trends, and uses of base funding.

3. Incentive funding, including:

   a. The allocation, use of, and results of incentive funding.

   b. The percentage of the higher education budget provided as incentive funding.

4. Deferred maintenance, including:

   a. The value of institutional buildings, funds spent on renewal or updates as compared to depreciation, and the status of deferred maintenance, including a comparison of the funds appropriated for deferred maintenance to actual funds spent on deferred maintenance.

   b. A deferred maintenance ratio that measures the size of the university system's outstanding maintenance as compared to its expendable net assets.

5. Capital projects - Report on new construction and major renovation capital projects for which specific appropriations are made, including budget to actual comparison, use of third-party funding, and related debt.

6. Peer institution comparisons - Funding levels of institutions or other selected indicators as compared to peer institutions.

7. Funding sources, including:

   a. The amount and trends of funding from all financial sources.

   b. An operating income ratio that measures the amount of income from fees for service as compared to the university system's overall funding.

   c. A contributed income ratio that measures the amount of income from externally generated resources other than debt as compared to the university system's overall funding.

8. State support information, including:

   a. State general fund appropriation levels and trends as compared to changes in the state's economy and total state general fund appropriations.

   b. A trend report on per capita and per student appropriations for higher education.

9. System indebtedness information, including:

   a. The amount of debt incurred and supported in relation to limitation requirements.

   b. A debt coverage ratio that measures net income as compared to the amount of debt service.

10. Affordability index detailing:

   a. Tuition and fees on a per student basis and total cost of attendance compared to peer institutions.

   b. Tuition and fees as a percentage of median North Dakota household income.

   c. Student affordability considering financial aid.

11. Funding uses information, including:

   a. A trend report on the distribution of expenditures by function.

   b. An educational core services ratio that measures the total funds being used for instruction, research, and public service as compared to the university system's overall funding excluding capital and debt service amounts.

   c. An educational support services ratio that measures the total funds being used for academic support and student services as compared to the university system's overall funding excluding capital and debt service amounts.

   d. A general support ratio that measures the total funds being used for institutional support, operations, and maintenance of physical plant as compared to the university system's overall funding excluding capital and debt service amounts.

12. Equipment expenditures - An equipment expenditure ratio that measures the total funds used for equipment replacement as compared to the total equipment inventory value.

13. Financial ratios and other financial information including:

   a. A viability ration that measures the amount of expendable net assets as compared to the amount of long-term debt.

   b. A primary reserve ratio that measures the amount of expendable net assets as compared to the university system's overall spending.

c. A return on net assets ratio that measures the changes in net assets as compared to the university system's total net assets.

d. A net income ratio that measures the change in unrestricted net assets as compared to total unrestricted income to provide information regarding surpluses or deficiencies.

e. Debt, assets, and end-of-year fund balances.

14. Faculty and staff trend information, including:

a. The ratio of faculty and staff to students.

b. Faculty and staff turnover rates and major reasons for turnover.

c. Faculty and staff salary levels, including annual average salary increases and comparisons with peer institutions.

15. Research and development efforts trend information, including:

a. Total funding received for research, including federal, state, local, and private sources.

b. Revenue generated or additional funding earned by research and development projects.

c. A research expenditure ratio that measures the amount of research expenditures as compared to the number of faculty full-time equivalent positions.

16. Audit report information, including:

a. An annual audit report, with an unqualified opinion, that identifies suggested material areas of improvement to the university system's financial operations.

b. A biennial audit report reflecting budget to actual appropriation statements; appropriate financial noteworthy accomplishments and successes; a reduction in material areas of statutory noncompliance; and university system compliance with suggested significant areas of improvement.

17. Workforce training information, including:

a. Trends in the number and percent of businesses and employees in the region receiving training.

b. Levels of satisfaction with training events as reflected in information systematically gathered from employers and employees receiving training.

18. Entrepreneurship - Levels and trends in enrollment in entrepreneurship courses and the number of graduates of entrepreneurship programs.

19. Employment placement - Level and trends in the percentage of university system graduates obtaining employment appropriate to their education in state and out of state.

20. Partnerships and joint ventures - Levels and trends in partnerships and joint ventures between university system institutions and the following entities:

    a. Business and industry.

    b. Tribal colleges.

    c. Private sector training providers.

    d. Other university system institutions.

21. Student performance information, including:

    a. Levels and trends in performance of students on nationally recognized exams in their major fields in comparison with national averages.

    b. Levels and trends in licensure pass rates in comparison to other states.

22. Alumni satisfaction - Levels and trends in alumni-reported satisfaction with preparation in:

    a. Selected major.

    b. Acquisition of specific basic and higher-order skills.

    c. Level, currency, and relevance of computer technology knowledge and abilities in relation to expectations in the marketplace.

23. Employer satisfaction - Levels and trends in employer-reported satisfaction with preparation of recently hired college graduates.

24. Institution and program accreditation - Maintenance of accreditation of programs and institutions by national and regional accrediting bodies and acquisition of additional accreditation where appropriate.

25. Peer review process - Levels and trends in peer review process relating to:

    a. Grants.

    b. Publications.

26. Student goals - Levels and trends in the number of students achieving goals - Institution meeting the defined needs/goals as expressed by students.

27. Administrative flexibility - Proportion of university system decisionmakers (deans and higher levels) indicating whether "they can now operate with more flexibility than in the past."

28. Student enrollment information, including:

a. Total number and trends in full-time, part-time, degree-seeking, and non-degree-seeking students being served.

b. The number and trends of individuals, organizations, and agencies served through noncredit activities.

29. Client satisfaction - Levels of satisfaction with responsiveness as reflected through responses to evaluations and surveys of clients:

a. Graduates and individuals completing programs.

b. Employers.

c. Business/program advisory councils.

d. Companies and employees receiving training.

e. Workforce training boards.

f. Campus presidents' advisory councils.

g. Public school superintendents.

h. Economic development professionals.

i. Other client groups.

30. Noncompleters satisfaction - Levels of satisfaction and reasons for noncompletion as reflected in a survey of individuals who have not completed their program or degree.

31. Student access - Levels and trends in the proportion of residents of the state who are within a forty-five minute drive of a location at which they can receive educational programs from a provider, including providers from outside the immediate region who would also have access to academic and student support services at the site.

32. Distance learning - Levels and trends in the number of enrollments in distance learning courses by in-state and out-of-state residents.

33. Nontraditional courses - Levels and trends in the number and proportion of enrollments in courses offered by nontraditional methods.

34. Student participation - Levels and trends in rates of participation of:

a. Recent high school graduates and nontraditional students.

b. Individuals pursuing graduate degrees.

35. Sustaining the vision - The extent to which the recommendations of the 2000 higher education roundtable are implemented and performance is improved.

SECTION 12. LEGISLATIVE INTENT - STATE COLLEGE OF SCIENCE - BLIKRE ACTIVITIES CENTER RENOVATION. It is the intent of the legislative assembly that the funding of $531,720 included in the estimated income in

subdivision 7 of section 1 of this Act for renovation of the Blikre activities center at the state college of science must be collected before renovation may begin. The state college of science, after receiving approval from the budget section, may obtain and utilize any available funds, in addition to the $531,720, received from federal, public, private, or other sources which are appropriated to the state college of science to assist in the Blikre activities center renovation.

SECTION 13. AMENDMENT. Section 15-10-12 of the 1999 Supplement to the North Dakota Century Code is amended and reenacted as follows:

15-10-12. Board may accept gifts and bequests - Depositand appropriation of institutional funds.~~The~~Subject to the limitations of section 15-10-12.1, the state board of higher education may~~, subject to the limitations of section 15-10-12.1,~~ receive donations, gifts, grants, and bequests offered or tendered to or for the benefit of any institution of higher education under its control or subject to its administration, and all moneys coming into the hands of the board as donations, gifts, grants, and bequests must be used for the specific purpose for which they are donated or given. A special revenue fund, for each institution of higher education under the control of the board or subject to its administration, must be maintained within the state treasury ~~and all institutional income from tuition collections must be placed in the special fund for the use of the institution for which the money was raised~~. All rent, interest, or income from land, money, or property, donated or granted by the United States and allocated to specific institutions of higher learning under the terms of the Enabling Act and the Constitution of North Dakota must be deposited in the special revenue fund of each institution and expended in accordance with section 1 of article IX of the Constitution of North Dakota. ~~Moneys in the special revenue fund are subject to legislative appropriations.~~ All other funds, unless restricted by the terms of a grant, donation, or bequest, received by the institutions from federal, state, and local grants and contracts, indirect cost recoveries,tuition, special student fees, room and board fees and other auxiliary enterprise fees, student activity fees, continuing education program fees, internal service fund revenues, and all other revenues must be deposited in the institution special revenue funds. The state treasurer shall immediately transfer the funds deposited in the special revenue funds to institution accounts in the Bank of North Dakota. Biennial estimates of revenue and expenditures of the other funds by source of funds must be presented at the same time biennial budget requests for appropriations from the special revenue fund and state general fund are prepared and submitted to the office of the budget pursuant to section 15-10-15. Payments from each institution's general fund appropriation must be made in amounts as may be necessary for the operation and maintenance of each institution~~, except that at the close of the biennium the balance of funds not paid from the general fund appropriation must be deposited in the special revenue funds of the institutions~~.The funds in the institution accounts are appropriated on a continuing basis to the state board of higher education. All such appropriations are subject to proration in the same manner as other appropriations are prorated if insufficient funds are available to meet expenditures from the general fund. Sinking funds for the payment of interest and principal of institutional revenue bonds must be deposited pursuant to section 15-55-06.

SECTION 14. AMENDMENT. Section 54-44.1-04 of the North Dakota Century Code is amended and reenacted as follows:

54-44.1-04. Budget estimates of budget units filed with the office of the budget - Deadline. The head of each budget unit, not later than July fifteenth of each year next preceding the session of the legislative assembly, shall submit to the office of the budget, estimates of financial requirements of his budget unit for the next two fiscal years, on the forms and in the manner prescribed by the office of the budget, with such explanatory data as is required by the office of the budget and such additional data as

the head of the budget unit wishes to submit. The budget estimates for the North Dakota university system must include block grants for the university system for a base funding component and for an initiative funding component for specific strategies or initiatives and a budget estimate for an asset funding component for renewal and replacement of physical plant assets at the institutions of higher education. The estimates so submitted must bear the approval of the board or commission of each budget unit for which a board or commission is constituted. The director of the budget in ~~his~~ the director's discretion may extend the filing date for any budget unit if ~~he~~ the director finds there is some circumstance which makes it advantageous to authorize the extension. If a budget unit has not submitted its estimate of financial requirements by the required date or within a period of extension set by the director of the budget, the director of the budget shall prepare such budget unit's estimate of financial requirements except such estimate may not exceed ninety percent of such budget unit's previous biennial appropriation. The director of the budget or such subordinate officer as ~~he shall designate~~ the director designates shall examine the estimates and shall afford to the heads of budget units reasonable opportunity for explanation in regard thereto and, when requested, shall grant to the heads of budget units a hearing thereon which must be open to the public.

SECTION 15. AMENDMENT. Subsection 7 of section 54-44.1-06 of the 1999 Supplement to the North Dakota Century Code is amended and reenacted as follows:

7. Drafts of a proposed general ~~appropriations act~~ and special appropriations acts embodying the budget data and recommendations of the governor for appropriations for the next biennium, and drafts of such revenues and other acts recommended by the governor for putting into effect the proposed financial plan. The recommended general appropriation for each budget unit must be specified in a separate section of the general appropriations act. The draft of the proposed appropriations act for the North Dakota university system must include block grants for a base funding appropriation and for an initiative funding appropriation for specific strategies or initiatives, and an appropriation for asset funding for renewal and replacement of physical plant assets at the institutions of higher education in the format approved by the fifty-seventh legislative assembly.

SECTION 16. AMENDMENT. Section 54-44.1-11 of the North Dakota Century Code is amended and reenacted as follows:

54-44.1-11. Office of management and budget to cancel unexpended appropriations - When they may continue. ~~The~~ Except as otherwise provided by law, the office of management and budget, thirty days after the close of each biennial period, shall cancel all unexpended appropriations or balances of appropriations after the expiration of the biennial period during which they became available under the law. Unexpended appropriations for the North Dakota university system are not subject to this section. The chairman of the appropriations committees of the senate and house of representatives of the legislative assembly with the office of the budget may continue appropriations or balances in force for not more than two years after the expiration of the biennial period during which they became available upon recommendation of the director of the budget for:

1. New construction projects.
2. Major repair or improvement projects.
3. Purchases of new equipment costing more than ten thousand dollars per unit if it was ordered during the first twelve months of the biennium in which the funds were appropriated.

4. The purchase of land by the state on a "contract for deed" purchase where the total purchase price is within the authorized appropriation.

SECTION 17. EXPIRATION DATE. Sections 13, 14, 15, and 16 of this Act are effective through June 30, 2003, and after that date are ineffective."

Renumber accordingly

STATEMENT OF PURPOSE OF AMENDMENT:

Senate Bill No. 2003 - Summary of Senate Action

	EXECUTIVE BUDGET	SENATE CHANGES	SENATE VERSION
University System Office			
Total all funds	$77,765,506	$355,909	$78,121,417
Less estimated income	3,716,822		3,716,822
General fund	$74,048,684	$355,909	$74,404,595
Bismarck State College			
Total all funds	$15,017,975	$0	$15,017,975
Less estimated income			
General fund	$15,017,975	$0	$15,017,975
Lake Region State College			
Total all funds	$4,724,062	$0	$4,724,062
Less estimated income			
General fund	$4,724,062	$0	$4,724,062
Williston State College			
Total all funds	$5,183,247	$0	$5,183,247
Less estimated income			
General fund	$5,183,247	$0	$5,183,247
University of North Dakota			
Total all funds	$95,006,583	$0	$95,006,583
Less estimated income	11,210,000		11,210,000
General fund	$83,796,583	$0	$83,796,583
UND Medical Center			
Total all funds	$28,571,646	$0	$28,571,646
Less estimated income			
General fund	$28,571,646	$0	$28,571,646
North Dakota State University			
Total all funds	$87,013,261	($550,820)	$86,462,441
Less estimated income	21,750,820	(550,820)	21,200,000
General fund	$65,262,441	$0	$65,262,441
State College of Science			
Total all funds	$26,776,628	($83,280)	$26,693,348
Less estimated income	1,000,000	(83,280)	916,720
General fund	$25,776,628	$0	$25,776,628
Dickinson State University			
Total all funds	$13,454,794	$0	$13,454,794
Less estimated income			
General fund	$13,454,794	$0	$13,454,794
Mayville State University			
Total all funds	$8,594,596	$4,000,000	$12,594,596
Less estimated income		4,000,000	4,000,000
General fund	$8,594,596	$0	$8,594,596
Minot State University			
Total all funds	$28,004,343	($2,774,000)	$25,230,343
Less estimated income	2,774,000	(2,774,000)	
General fund	$25,230,343	$0	$25,230,343
Valley City State University			
Total all funds	$11,862,904	$0	$11,862,904
Less estimated income			
General fund	$11,862,904	$0	$11,862,904
Minot State University - Bottineau			
Total all funds	$4,063,153	$0	$4,063,153
Less estimated income			

General fund	$4,063,153	$0	$4,063,153
Forest Service			
Total all funds	$2,819,395	$0	$2,819,395
Less estimated income	1,050,526		1,050,526
General fund	$1,768,869	$0	$1,768,869
Bill Total			
Total all funds	$408,900,095	$947,809	$409,847,904
Less estimated income	41,502,168	591,900	42,094,068
General fund	$367,397,927	$355,909	$367,753,836

Senate Bill No. 2003 - University System - General Fund Summary

	EXECUTIVE BUDGET	SENATE CHANGES	SENATE VERSION
University System Office	$74,048,686	$355,909	$74,404,595
Bismarck State College	15,017,975		15,017,975
Lake Region State College	4,724,062		4,724,062
Williston State College	5,183,247		5,183,247
University of North Dakota	83,798,563		83,798,563
UND Medical Center	28,571,646		28,571,646
North Dakota State University	65,262,441		65,262,441
State College of Science	25,778,628		25,778,628
Dickinson State University	13,454,794		13,454,794
Mayville State University	8,594,596		8,594,596
Minot State University	25,230,343		25,230,343
Valley City State University	11,882,904		11,882,904
Minot State University - Bottineau	4,083,153		4,083,153
Forest Service	1,768,869		1,768,869
Total general fund	$367,397,927	$355,909	$367,753,836

Detail of Senate Changes to the General Fund

	ADJUST MARKET EQUITY SALARY INCREASE [1]	INCREASE FUNDING FOR STUDENT GRANT PROGRAMS [2]	INCREASE FUNDING FOR COMPETITIVE RESEARCH [3]	DECREASE FUNDING FOR CAMPUS-BASED PROGRAMS	DECREASE FUNDING FOR CONTINGENCIES AND BOARD INITIATIVES	ADD CAPITAL CONSTRUCTION FUNDING [4]
University System Office	($2,191)	$370,000	$528,900	($360,000)	($180,000)	
Bismarck State College						
Lake Region State College						
Williston State College						
University of North Dakota						
UND Medical Center						
North Dakota State University						
State College of Science						
Dickinson State University						
Mayville State University						
Minot State University						
Valley City State University						
Minot State University - Bottineau						
Forest Service						
Total general fund	($2,991)	$370,000	$528,900	($360,000)	($180,000)	$0

	REMOVE CAPITAL CONSTRUCTION FUNDING [5]	TOTAL GENERAL FUND CHANGES
University System Office		$355,909
Bismarck State College		
Lake Region State College		
Williston State College		
University of North Dakota		
UND Medical Center		
North Dakota State University		
State College of Science		
Dickinson State University		
Mayville State University		
Minot State University		
Valley City State University		
Minot State University - Bottineau		
Forest Service		
Total general fund	$0	$355,909

Senate Bill No. 2003 - University System - Other Funds Summary

	EXECUTIVE BUDGET	SENATE CHANGES	SENATE VERSION
University System Office	$3,716,822		$3,716,822
Bismarck State College			
Lake Region State College			
Williston State College			
University of North Dakota	11,210,000		11,210,000
UND Medical Center			
North Dakota State University	21,750,820	($550,820)	21,200,000
State College of Science	1,000,000	(83,280)	916,720
Dickinson State University			
Mayville State University		4,000,000	4,000,000
Minot State University	2,774,000	(2,774,000)	
Valley City State University			
Minot State University - Bottineau			
Forest Service	1,050,526		1,050,526
Total other funds	$41,502,168	$591,900	$42,094,068

Detail of Senate Changes to Other Funds

	ADJUST MARKET EQUITY SALARY INCREASE [1]	INCREASE FUNDING FOR STUDENT GRANT PROGRAMS [2]	INCREASE FUNDING FOR COMPETITIVE RESEARCH [3]	DECREASE FUNDING FOR CAMPUS-BASED PROGRAMS	DECREASE FUNDING FOR CONTINGENCIES AND BOARD INITIATIVES	ADD CAPITAL CONSTRUCTION FUNDING [4]
University System Office						
Bismarck State College						
Lake Region State College						
Williston State College						
University of North Dakota						
UND Medical Center						
North Dakota State University						
State College of Science						$916,720
Dickinson State University						
Mayville State University						4,000,000
Minot State University						
Valley City State University						
Minot State University - Bottineau						
Forest Service						
Total other funds	$0	$0	$0	$0	$0	$4,916,720

	REMOVE CAPITAL CONSTRUCTION FUNDING [5]	TOTAL OTHER FUNDS CHANGES
University System Office		
Bismarck State College		
Lake Region State College		
Williston State College		
University of North Dakota		
UND Medical Center		
North Dakota State University	($550,820)	($550,820)
State College of Science	(1,000,000)	(83,280)
Dickinson State University		
Mayville State University		4,000,000
Minot State University	(2,774,000)	(2,774,000)
Valley City State University		
Minot State University - Bottineau		
Forest Service		
Total other funds	($4,324,820)	$591,900

Senate Bill No. 2003 - University System - All Funds Summary

	EXECUTIVE BUDGET	SENATE CHANGES	SENATE VERSION
University System Office	$77,755,508	$365,909	$78,121,417
Bismarck State College	18,017,975		18,017,975
Lake Region State College	4,724,062		4,724,062
Williston State College	5,163,247		5,163,247
University of North Dakota	95,008,583		95,008,583
UND Medical Center	29,571,846		29,571,846

North Dakota State University	87,013,261	(550,820)	86,462,441
State College of Science	26,776,628	(83,280)	26,693,348
Dickinson State University	13,454,794		13,454,794
Mayville State University	8,594,596	4,000,000	12,594,596
Minot State University	28,004,343	(2,774,000)	25,230,343
Valley City State University	11,682,904		11,682,904
Minot State University - Bottineau	4,063,153		4,063,153
Forest Service	2,619,395		2,619,395
Total all funds	$409,900,095	$947,809	$409,847,904
FTE	3,067.39	0.00	3,067.39

Detail of Senate Changes to All Funds

	ADJUST MARKET EQUITY SALARY INCREASE [1]	INCREASE FUNDING FOR STUDENT GRANT PROGRAMS [2]	INCREASE FUNDING FOR COMPETITIVE RESEARCH [3]	DECREASE FUNDING FOR CAMPUS-BASED PROGRAMS	DECREASE FUNDING FOR CONTINGENCIES AND BOARD INITIATIVES	ADD CAPITAL CONSTRUCTION FUNDING [4]
University System Office	($2,991)	$370,000	$528,900	($360,000)	($180,000)	
Bismarck State College						
Lake Region State College						
Williston State College						
University of North Dakota						
UND Medical Center						
North Dakota State University						
State College of Science						$916,720
Dickinson State University						
Mayville State University						4,000,000
Minot State University						
Valley City State University						
Minot State University - Bottineau						
Forest Service						
Total all funds	($2,991)	$370,000	$528,900	($360,000)	($180,000)	$4,916,720
FTE	0.00	0.00	0.00	0.00	0.00	0.00

	REMOVE CAPITAL CONSTRUCTION FUNDING [5]	TOTAL ALL FUNDS CHANGES
University System Office		$355,909
Bismarck State College		
Lake Region State College		
Williston State College		
University of North Dakota		
UND Medical Center		
North Dakota State University	($550,820)	(550,820)
State College of Science	(1,000,000)	(83,280)
Dickinson State University		
Mayville State University		4,000,000
Minot State University	(2,774,000)	(2,774,000)
Valley City State University		
Minot State University - Bottineau		
Forest Service		
Total all funds	($4,324,820)	$947,809
FTE	0.00	0.00

1 **University System Office** - This amendment reduces, from $19,490 to $16,499, the amount provided for a market equity salary increase for the counsel and human resources director. The amount included in the bill will provide for a salary increase of $823 per month for counsel and $182 per month for the human resources director for the last 18 months of the biennium.

2 **University System Office** - This amendment increases funding for student grant programs by $370,000, from $6,623,559 to $6,993,559. The Hoeven executive budget recommended an increase in funding for student grant programs of $830,000.

3 **University System Office** - This amendment increases funding for competitive research by $528,900, from $3,471,100 to $4 million, which represents a $3,028,900 increase from the 1999-2001 biennium.

4 **State College of Science** - This amendment adds special funds of $531,720 for renovation of the Bilire Activities Center and $385,000 for renovation of the Skills and Technology Training Center. A section of legislative intent is also added requiring all funds for the renovation of the Bilire Activities Center be raised before the start of the renovation project and any necessary additional funding required for the renovation to be from non-general fund sources.

Mayville State University - This amendment adds $4 million of special funds for renovation of the Lewy Lee Fieldhouse.

5 **North Dakota State University** - This amendment removes special funding of $550,820 for Robinson Hall renovation as funding for the project was provided in Senate Bill No. 2137.

State College of Science - This amendment removes special funding of $1 million for parking lot improvements as the funding would be addressed in Senate Bill No. 2023.

Minot State University - This amendment removes the local fund match requirement of $2,774,000 and Section 7 of the bill as introduced relating to the Old Main renovation project. The university's local match requirement would be addressed in Senate Bill No. 2023.

Senate Bill No. 2003 - Other Changes - Senate Action

This amendment also:

- Provides for a Legislative Council study regarding the State Board of Higher Education's implementation of the performance and accountability measures report required by Senate Bill No. 2041.
- Provides a section of legislative intent regarding higher education accountability measures.
- Incorporates the provisions of Senate Bill No. 2037 relating to the appropriation of special funds and the carryover of unexpended appropriations and Senate Bill No. 2038 relating to the University System budget request and appropriations bill that would expire on June 30, 2003.
- Removes Section 6 of the bill as introduced relating to the local match requirement for Old Main renovation project at Minot State University as the university's local match requirement would be addressed in Senate Bill No. 2023.
- Removes Section 7 of the bill as introduced relating to the establishment of a reserve fund for utility and repair costs for the College of Business building to be constructed at North Dakota State University.
- Amends Section 11 of the bill as introduced to clarify that the estimated income appropriated in Subdivision 13 of Section 1 includes $120,000 from the State Forester reserve account for the construction of the Towner Nursery tree storage building.

The following is a summary of the Senate general fund changes to the Schafer executive budget and the Hoeven executive budget recommendations:

	SCHAFER EXECUTIVE BUDGET	SENATE CHANGES	SENATE VERSION	HOEVEN EXECUTIVE BUDGET	INCREASE (DECREASE) TO HOEVEN BUDGET
University System					
System governance	$3,502,149	($2,991)	$3,499,158	$3,502,149	($2,991)
Student grant programs	5,071,409	370,000	5,441,409	5,901,409	(460,000)
Campus-based programs	51,423,520	166,900	51,592,420	51,952,420	(360,000)
Contingencies and board initiatives	2,790,106	(160,000)	2,630,106	2,790,106	(160,000)
Capital bond payments	11,261,502	----------	11,261,502	11,261,502	----------
Subtotal	$74,048,686	$355,909	$74,404,595	$75,407,586	($1,002,991)
Bismarck State College	$15,017,975		$15,017,975	$15,017,975	
Lake Region State College	4,724,062		4,724,062	4,724,062	
Williston State College	5,183,247		5,183,247	5,183,247	
University of North Dakota	63,796,563		63,796,563	63,796,563	
UND Medical Center	28,571,646		28,571,646	28,571,646	
North Dakota State University	65,262,441		65,262,441	65,262,441	
State College of Science	25,778,628		25,778,628	25,778,628	
Dickinson State University	13,454,784		13,454,784	13,454,784	
Mayville State University	8,584,566		8,584,566	8,584,566	
Minot State University	26,230,343		26,230,343	26,406,343	($176,000)
Valley City State University	11,662,904		11,662,904	11,662,904	
Minot State University - Bottineau	4,065,183		4,065,183	4,065,183	
Forest Service	1,799,888	----------	1,799,888	1,799,888	----------

Total	$367,397,927	$355,909	$367,753,836	$368,931,827	($1,177,991)

NOTE: For the campus-based programs line item, the Senate change of $168,900 consists of a $528,900 increase for competitive research which increases the funding provided for competitive research to the level recommended in the Hoeven executive budget and a $360,000 decrease in funding which decreases both the Schafer executive budget and the Hoeven executive budget for campus-based programs.

2001 SENATE APPROPRIATIONS

CONFERENCE COMMITTEE

TESTIMONY

SB 2003

REQUEST TO EMERGENCY COMMISSION
STATE OF NORTH DAKOTA
SFN 2580 (1-00)

Submit original and 6 copies to Secretary of State

RECEIVED
APR 0 3 2001

For reference, see North Dakota Century Code, Chapter 54-16

Date	Department Number	Department Name
4/02/01	228	Lake Region State College
Authorized Department Signature	**Name of Contact Person**	**Telephone Number**
Laura Glatt	Laura Glatt	328-4116

1. Legislative Authorized FTE 41.34 2. Previous Emergency Commission Authorization for FTE 3. FTE Included in this Request 0

4. Was any portion of this request presented to the last legislative session? (If yes, please explain legislative action in narrative) . . ☐ Yes ☒ No

5. Is any portion of this request a new program? (If yes, please explain in narrative) . . . . . ☐ Yes ☒ No

6. Will the legislature be asked to continue this program in the next biennium? . . . . . ☐ Yes ☒ No

PART A: INCREASE IN APPROPRIATION LINE ITEM:

7. Will this program require state general fund money for a match? . . . . . ☐ Yes ☒ No

a. If yes, estimate the amount of general fund monies that will be needed to match the request in this biennium and explain the source of those monies in the narrative. . . . . _____

b. If yes, estimate the total general fund monies needed to continue request in the next biennium. . . . . _____

8. Source of Funds (check applicable box)

☐ Federal ☐ State Contingency Funds ☒ Other Tuition Revenue

9. Is this a pass-through from another agency? ☐ Yes ☒ No If yes, from which agency? _____

Line Item	Amount
Operating	85,000
Capital Improvements	25,000
Equipment	20,000
Estimated Income	130,000

PART B: INTRA-AGENCY LINE ITEM TRANSFER:

FROM - Line Item	TO - Line Item	Amount

PART C: NARRATIVE: (attach separate sheets if necessary)

(See attachment)

SBHE approved 3/01

LAKE REGION STATE COLLEGE
ADDITIONAL EXCESS TUITION REVENUE REQUEST
March 8, 2001

LRSC experienced enrollment increases above the 437 FTE students budgeted for FY01. It is currently estimated that the annualized FTE, including Summer Session, for FY01 will be approximately 509 FTE. The 72 additional FTE enrollments estimated are mainly attributable to increased distance education and dual-credit activity. Following is the determination of the additional revenue and the request for expenditure authority. Please note that one-half of the request is to cover costs associated with the delivery of distance education and dual-credit courses. A $20,000 increase in the natural gas budget will be needed to get through the remainder of the current biennium. The fire safety system upgrade in the Erlandson Building is critical to the safety of students, staff, and faculty working in the trade and technical programs located in that area and is listed in our Master Plan as a high priority deferred maintenance item in the Health/Safety area. The remaining $20,000 will be used to upgrade instructional computer lab equipment.

1999-2001 Tuition Revenue Estimated	$ 1,713,671
1999-2001 Tuition Revenue Budgeted	(1,439,701)
Total Estimated Excess Tuition Revenue	273,970
Expenditure Authority already approved by SBHE	(143,970)
Additional Expenditure Authority Requested - Emgerency Comm	$ 130,000

Additional Expenditure Authority is requested for the following items:

Instructional Fees to cover dual-credit delivery costs and distance ed reimbursements.	$ 65,000
Natural Gas for additional heating costs	20,000
Capital Improvements for the completion of the fire safety system to provide for security of campus residents.	25,000
Equipment to upgrade instructional computer labs.	20,000
Total Request	$ 130,000

SB2003 NDHS
Conf Com - 4-[illegible]-01

Cuts could put health clinic in black

By Doreen Gilmour
The Forum

If a series of budget cuts and fundraising moves works out, Fargo's Family HealthCare Center could end the year in the black instead of in the red.

Currently $375,827 behind on bills, Family HealthCare Center's board of directors on Tuesday eliminated some patient services – certain lab tests, X-rays and medications – and discussed offers of debt forgiveness.

Taken as a package, the moves could put the center $50,000 in the black by the end of June, said center finance director Becky Ackley.

Family HealthCare Center provides health care for 10,000 Cass and Clay county residents. Last year the center lost nearly $200,000, mostly because its patients – who have little or no money – couldn't pay their bills.

This year it faces more money problems and the loss of some of its medical staff.

The center provides medical care to those who otherwise might not be able to afford a doctor visit or medication. About 25 percent of patients are former refugees from war-torn nations. Many of the 1,000 refugees who moved here the past two years find their way to the clinic.

No place else in town is set up to care for [illegible]em like Family HealthCare Center, with up to 12 interpreters available, bilingual medical staff, a sliding fee scale for services and social workers to help newcomers navigate the complex American healthcare system.

Offers of help discussed Tuesday include:

▶ Fargo Mayor Bruce Furness proposes forgiving $100,000 in overdue rent owed to the city. In addition, the city's public health department could take on some duties, including technology support and certain nursing tasks, to help reduce Family HealthCare Center's expenses.

▶ Dakota Medical Foundation is providing the center with up to $75,000 to hire an operations director.

CLINIC: Back Page

The new employee, who begins May 21, will more closely scrutinize the budget and focus on collecting money owed the center. That, in turn, will free executive director Sherlyn Dahl to spend more time applying for grants and looking for new sources of income.

▶ Dr. Clayton Jensen, president of the board, is discussing with North Dakota state senators legislation that would call for the University of North Dakota Medical School to forgive some debt. Family HealthCare Center owes the medical school $395,000 in startup costs incurred in 1994. Jensen hopes legislators will reduce by $40,000 per year the amount the center owes the medical school.

▶ The board will again ask the Moorhead City Council to help, possibly by purchasing needed medical equipment. The council tabled a request from Dahl earlier this month.

Cost-cutting and budget-tightening moves include:

▶ Saving $33,000 by reducing X-ray, lab, medication and orthopedic services.

"We're going to refer quicker to specialists," said Family HealthCare Center medical director Dr. Napoleon Espejo. "Doing some of these more expensive tests has been a drain on us."

Espejo said basic lab tests will still be available at the center. However, doctors and residents will be asked to order only vital tests and to send patients to specialists for complex tests such as those on HIV-positive or hepatitis patients.

Although the board voted to send patients to other clinics or hospitals for X-rays except between the hours of 2 to 5 p.m., "we're still going to work very hard to preserve x-ray services on site," Dahl said. She is discussing with MeritCare the possibility of providing an X-ray machine and technician for Family HealthCare Center.

Currently, the center provides certain medications, splints and braces to patients at free or reduced prices. That practice will be curtailed on all orthopedic supplies, Espejo said, and on certain drugs including insulin and Ritalin.

▶ The center's accounts receivable department will begin more aggressively trying to collect money owed by patients, insurance companies and others.

▶ The board also decided to negotiate a new fee schedule with Concordia College. Family HealthCare Center contracts with Concordia to provide student health services.

For Family HealthCare Center, keeping the doors open has always been an uphill budget battle.

The struggle intensified two years ago when the University of North Dakota School of Medicine decided to phase out the downtown Fargo center's supply of doctors. Family HealthCare Center had grown to rely on 15 UND family-practice residents to care for most of its patients.

The 15 UND residents trained for three years at the clinic and the clinic benefited from a relatively inexpensive supply of doctors.

However, with the residents now nearly phased out, Family HealthCare Center will have to add $400,000 to $500,000 to its annual $4 million budget, Dahl said. The additional half-million dollars is needed for doctors' salaries, a rent increase (to cover the portion UND is no longer paying) and to cover drug and lab cost inflation.

Interpreters, to bridge the language gap between staff and patients, cost $130,000 a year.

North Dakota University System
Summary of 2001-03 General Fund Increases
SB's 2003 and 2021

	(1) Campuses, Med School, Forest Service & NDUS Office [SB 2003]	(2) UGPTI, NCI, Extension & Research [SB 2021]	(3) Total
1999-01 Adjusted General Fund Appropriation, Including Major Capital Projects and General Fund Carryover	$ 332,348,234	$ 47,267,001	$ 379,615,235
Less 1999-01 Appropriation for Non-NDUS Entities:			
Skills Technical Training Center 1/	(747,500)		(747,500)
Center for Innovation in Instruction 2/	(349,583)		(349,583)
Prairie Public Broadcasting 2/	(1,407,513)		(1,407,513)
	(2,504,596)	-	(2,504,596)
1999-01 Adjusted General Fund Appropriation, Excluding Non-NDUS Entities	329,843,638	47,267,001	377,110,639
2001-03 Executive Recommendation, Excluding General Fund Bond Payments	356,136,425	51,924,395	408,060,820
Exec. Rec. Increase, Excluding Bond Payments	**$ 26,292,787**	**$ 4,657,394**	**$ 30,950,181**
Percent Increase	**7.97%**	**9.85%**	**8.21%**
2001-03 Engrossed Bills (Senate), Excluding General Fund Bond Payments 2/	356,492,334	51,742,895	408,235,229
Senate Increase, Excluding Bond Payments	**$ 26,648,696**	**$ 4,475,894**	**$ 31,124,590**
Percent Increase	**8.08%**	**9.47%**	**8.25%**
2001-03 House version, Excluding General Fund Bond Payments 2/ and 4/	354,923,537	51,599,395	406,522,932
House Increase, Excluding Bond Payments	**$ 25,079,899**	**$ 4,332,394**	**$ 29,412,293**
Percent Increase	**7.60%**	**9.17%**	**7.80%**
Plus 2001-03 General Fund Bond Payments 3/	11,261,502		11,261,502
Total General Fund Increase-House Version	$ 36,341,401	$ 4,332,394	$ 40,673,795
House Changes to Senate Version	(1,568,797)	(143,500)	(1,712,297)

1/ The 99-01 biennium was the last biennium STTC received a general fund appropriation. These funds have been treated as a pass-through appropriation in the NDUS budget.

2/ The 2001-03 appropriations for these entities were moved to the ITD appropriation.

3/ The appropriation for these general fund bond payments was previously included in the Industrial Commission appropriation. Engrossed SB 2003 includes an appropriation for these bond payments to the North Dakota University System for 2001-03.

4/ Excludes CTEC funding since this is appropriated to the Dept. of Commerce, not the NDUS.

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SB, S003, Section 13 **SB 2003, Section 12**

ACCOUNTABILITY MEASURES PASSED BY THE SENATE			HOUSE APPROPRIATIONS SUBCOMMITTEE PROPOSED ACCOUNTABILITY MEASURES	
		New	1.e.	Faculty-reported and staff-reported satisfaction with the university system and local institution administration.
		New	1.g.	Student graduation and retention rates.
		New	2.c.	Average salary of university system graduates employed in the state as a percentage of the national average.
		New	2.e	Number of new jobs created in the state as a direct result of the efforts of a university system graduate.
1.	Higher education financing – A status report on higher education financing as compared to the long-term financing plan.	Deleted		
2.	Base funding – Levels, trends, and uses of base funding.	Deleted		
3.	Incentive funding, including:			
	a. The allocation, use of, and results of incentive funding.	Deleted		
	b. The percentage of the higher education budget provided as incentive funding.	Deleted		
4.	Deferred maintenance, including:			
	a. The value of institutional buildings, funds spent on renewal or updates as compared to depreciation, and the status of deferred maintenance, including a comparison of the funds appropriated for deferred maintenance to actual funds spent on deferred maintenance.	Deleted		
	b. A deferred maintenance ratio that measures the size of the university system's outstanding maintenance as compared to its expendable net assets.		5.d.	Ratio measuring the size of the university system's outstanding maintenance as compared to its expendable net assets.

SB, S003, Section 13		SB 2003, Section 12	
ACCOUNTABILITY MEASURES PASSED BY THE SENATE		**HOUSE APPROPRIATIONS SUBCOMMITTEE PROPOSED ACCOUNTABILITY MEASURES**	
No.	**Description**	**No.**	**Description**
5.	Capital projects – Report on new construction and major renovation capital projects for which specific appropriations are made, including budget to actual comparison, use of third-party funding, and related debt.	Deleted	
6.	Peer institution comparisons – Funding levels of institutions or other selected indicators as compared to peer institutions.	4.f.	State general fund appropriation levels for university system institutions compared to peer institutions general fund appropriation levels.
7.	Funding sources, including:		
	a. The amount and trends of funding from all financial sources.	Deleted	
	b. An operating income ratio that measures the amount of income from fees for service as compared to the university system's overall funding.	Deleted	
	c. A contributed income ratio that measures the amount of income from externally generated resources other than debt as compared to the university system's overall funding.	5.c.	Ratio measuring the funding derived from the operating and contributed income compared to total university system funding.
8.	State support information, including:		
	a. State general fund appropriation levels and trends as compared to changes in the state's economy and total state general fund appropriations.	Deleted	
	b. A trend report on per capita and per student appropriations for higher education.	4.c. 4.e.	Cost per student in terms of general fund appropriations and total university system funding. Per capita general fund appropriations for higher education.

SB, S003, Section 13			SB 2003, Section 12	
ACCOUNTABILITY MEASURES PASSED BY THE SENATE			HOUSE APPROPRIATIONS SUBCOMMITTEE PROPOSED ACCOUNTABILITY MEASURES	
No.	Description		No.	Description
9.	System indebtedness information, including:			
	a. The amount of debt incurred and supported in relation to limitation requirements.	Deleted		
	b. A debt coverage ratio that measures net income as compared to the amount of debt service.	Deleted		
10.	Affordability index detailing:			
	a. Tuition and fees on a per student basis and total cost of attendance compared to peer institutions.	[revised]	4.a.	Tuition and fees on a per student basis compared to the regional average.
	b. Tuition and fees as a percentage of median North Dakota household income.		4.b.	Tution and fees as a percentage of median North Dakota household income.
	c. Student affordability considering financial aid.	Deleted		
11.	Funding uses information, including:			
	a. A trend report on the distribution of expenditures by function.	Deleted		
	b. An educational core services ratio that measures the total funds being used for instruction, research, and public service as compared to the university system's overall funding excluding capital and debt services amounts.		5.a.	A percentage of total university system funding used for instruction, research, and public service.
	c. An educational support services ratio that measures the total funds being used for academic support and student services as compared to the university system's overall funding excluding capital and debt service amounts.	[revised]	4.d.	Administrative, instructional, and other cost per student.

	ACCOUNTABILITY MEASURES PASSED BY THE SENATE			HOUSE APPROPRIATIONS SUBCOMMITTEE PROPOSED ACCOUNTABILITY MEASURES
	d. A general support ratio that measures the total funds being used for institutional support, operations, and maintenance of physical plant as compared to the university system's overall funding excluding capital and debt service amounts		5.b.	Percentage of total university system funding used for institutional support, operations, and maintenance of physical plant.
12.	Equipment expenditures – An equipment expenditure ratio that measures the total funds used for equipment replacement as compared to the total inventory value.	Deleted		
13.	Financial ratios and other financial information including:			
	a. A viability ratio that measures the amount of expendable net assets as compared to the amount of long-term debt.		5.e.	Ratio measuring the amount of expendable net assets as compared to the amount of long-term debt.
	b. A primary reserve ratio that measures the amount of expendable net assets as compared to the university system's overall spending.	Deleted		
	c. A return on net assets/ratio that measures the changes in net assets as compared to the university system's total net assets.	Deleted		
	d. A net income ratio that measures the change in unrestricted net assets as compared to total unrestricted income to provide information regarding surpluses or deficiencies.	Deleted		
	e. Debt, assets, and end-of-year fund balances.	Deleted		

SB, S003, Section 13 ACCOUNTABILITY MEASURES PASSED BY THE SENATE			SB 2003, Section 12 HOUSE APPROPRIATIONS SUBCOMMITTEE PROPOSED ACCOUNTABILITY MEASURES	
No.			No.	
14.	Faculty and staff trend information, including:			
	a. The ratio of faculty and staff to students.		1.f.	Ratio of faculty and staff to students.
	b. Faculty and staff turnover rates and major reasons for turnover.	Deleted		
	c. Faculty and staff salary levels, including annual average salary increases and comparisons with peer institutions.	Deleted		
15.	Research and development efforts trend information, including:			
	a. Total funding received for research, including federal, state, local, and private sources	Deleted		
	b. Revenue generated or additional funding earned by research and development projects.	Deleted		
	c. A research expenditure ratio that measures the amount of research expenditures as compared to the number of faculty full-time equivalent positions.	[revised]	5.f.	Research expenditures in proportion to the amount of revenue generated by research activity and funding received for research activity.
16.	Audit report information, including:			
	a. An annual audit report, with an unqualified opinion, that identifies suggested material areas of improvement to the university system's financial operations.	Deleted		
	b. A biennial audit report reflecting budget to actual appropriation statements; appropriate financial noteworthy accomplishments and successes; a reduction in material areas of statutory noncompliance; and university system compliance with suggested significant areas of improvement	Deleted		

SB, S003, Section 13			SB 2003, Section 12	
ACCOUNTABILITY MEASURES PASSED BY THE SENATE			**HOUSE APPROPRIATIONS SUBCOMMITTEE PROPOSED ACCOUNTABILITY MEASURES**	
No.	**Description**	**Status**	**No.**	**Description**
17.	**Workforce training information, including:** a. Trends in the number and percent of businesses and employees in the region receiving training. b. Levels of satisfaction with training events as reflected in information systematically gathered from employers and employees receiving training.	Deleted	2.d.	Number of businesses and employees in the region receiving training.
18.	**Entrepreneurship – Levels and trends in enrollment in entrepreneurship courses and the number of graduates of entrepreneurship programs.**		2.a.	Enrollment in entrepreneurship courses and the number of graduates of entrepreneurship programs.
19.	**Employment placement – Level and trends in the percentage of university system graduates obtaining employment appropriate to their education in state and out of state.**		2.b.	Percentage of university system graduates obtaining employment appropriate to their education in the state.
20.	**Partnerships and joint ventures – Levels and trends in partnerships and joint ventures between university system institutions and the following entities:** a. Business and industry. b. Tribal colleges. c. Private sector training providers. d. Other university system institutions.	Deleted		

SB, S003, Section 13			SB 2003, Section 12	
ACCOUNTABILITY MEASURES PASSED BY THE SENATE			**HOUSE APPROPRIATIONS SUBCOMMITTEE PROPOSED ACCOUNTABILITY MEASURES**	
No.	[illegible]	[illegible]	No.	[illegible]
21.	**Student performance information, including:** **a. Levels and trends in performance of students on nationally recognized exams in their major fields in comparison with national averages.** **b. Levels and trends in licensure pass rates in comparison to other states.**		1.a. 1.b.	Student performance on nationally recognized exams in their fields compared to the national averages. First-time licensure pass rates compared to other states.
22.	**Alumni satisfaction – Levels and trends in alumni-reported satisfaction with preparation in:** **a. Selected major.** **b. Acquisition of specific basic and higher-order skills.** **c. Level, currency, and relevance of computer technology knowledge and abilities in relation to expectations in the marketplace.**		1.c. 1.c. 1.c.	Alumni-reported and student-reported satisfaction with preparation in selected major, acquisition of specific skills, and technology knowledge and abilities.
23.	**Employer satisfaction – Levels and trends in employer-reported satisfaction with preparation of recently hired college graduates.**		1.d.	Employer-reported satisfaction with preparation of recently hired graduates.
24.	**Institution and program accreditation – Maintenance of accreditation of programs and institutions by national and regional accrediting bodies and acquisition of additional accreditation where appropriate.**	Deleted		

SB, S003, Section 13

SB 2003, Section 12

ACCOUNTABILITY MEASURES PASSED BY THE SENATE			HOUSE APPROPRIATIONS SUBCOMMITTEE PROPOSED ACCOUNTABILITY MEASURES	
			Number	Description
25.	Peer review process – Levels and trends in peer review process relating to: a. Grants. b. Publications.	Deleted		
26.	Student goals – Levels and trends in the number of students achieving goals – Institution meeting the defined needs/goals as expressed by students.	Deleted		
27.	Administrative flexibility – Proportion of university system decisionmakers (deans and higher levels) indicating whether "they can now operate with more flexibility than in the past."	Deleted		
28.	Student enrollment information, including: a. Total number and trends in full-time, part-time, degree-seeking, and non-degree-seeking students being served. b. The number and trends of individuals, organization, and agencies served through noncredit activities.	Deleted		
29.	Client satisfaction – Levels of satisfaction with responsiveness as reflected through responses to evaluations and surveys of clients: a. Graduates and individuals completing programs. b. Employers. c. Business/program advisory councils. d. Companies and employees receiving training.	Deleted		

SB, S003, Section 13			SB 2003, Section 12	
ACCOUNTABILITY MEASURES PASSED BY THE SENATE			HOUSE APPROPRIATIONS SUBCOMMITTEE PROPOSED ACCOUNTABILITY MEASURES	
No.	Description		No.	Description
	e. Workforce training boards. f. Campus presidents' advisory councils. g. Public school superintendents. h. Economic development professionals. i. Other client groups.			
30.	Noncompleters satisfaction – Levels of satisfaction and reasons for noncompletion as reflected in a survey of individuals who have not completed their program or degree.	Deleted		
31.	Student access – Levels and trends in the proportion of residents of the state who are within a forty-five minute drive of a location at which they can receive educational programs from a provider, including providers from outside the immediate region who would also have access to academic and student support services at the site.	[abbreviated]	3.a.	Proportion of residents of the state who are withing a forty-five-minute drive of a location at which they can receive educational programs from a provider.
32.	Distance learning – Levels and trends in the number of enrollments in distance learning courses by in-state and out-of-state residents.	Deleted		
33.	Nontraditional courses – Levels and trends in the number and proportion of enrollments in courses offered by nontraditional methods.		3.b.	Number and trends of enrollments in courses offered by nontraditional methods.

SB, S003, Section 13

SB 2003, Section 12

ACCOUNTABILITY MEASURES PASSED BY THE SENATE			HOUSE APPROPRIATIONS SUBCOMMITTEE PROPOSED ACCOUNTABILITY MEASURES	
34.	Student participation – Levels and trends in rates of participation of: a. Recent high school graduates and nontraditional students. b. Individuals pursuing graduate degrees.	Deleted		
35.	Sustaining the vision – The extent to which the recommendations of the 2000 higher education roundtables are implemented and performance is improved.	Deleted		

STATEMENT OF PURPOSE OF AMENDMENT:

SENATE – this amendment adds a section to provide for a Legislative Council study of the State Board of Higher Education's implementation of the performance and accountability measures report required by 20001 Senate Bill No. 2041 and a section detailing the information required to be included in the State Board of Higher Education's performance and accountability measures report.

SB 2863 - Summary of House Amendments to the Senate Ver

General Fund

	(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)	(9)	(10)	(11)	(12)	(13)	(14)
						Reallocation from Equity and Board Initiative Pools								
	Statewide Compensation Adjustment	Non-Board Compensation Increase 1/	Statewide VC Strategic Planning	Move CTEC to Dept of Commerce 2/	Decrease Technology Pool 3/	Education Incentive Programs	Schafer Classroom Renov	Cost to Continue	Technology Initiatives	Institutional Innovation	Increase Extraordinary Repairs	Col 7-11 funded from Bd Init & Equity Pools	Total from Equity & Bd Initiatives (Total 6 thru 12)	Net General Fund Increase (Decrease) (1 thru 5 + 13)
NDUS Office:														
Salaries and wages	$ 88,184	$ 48,889	$ (74,008)											$ 72,175
CTEC				(194,788)										(194,788)
Board Initiatives:														
Education incentive pgms						(395,000)								(1,395,000)
Equity/Special Needs pool	(13,238,961)	(48,889)				595,000						(1,000,000)	(1,395,000)	595,000
Technology pool						(200,000)								(14,504,961)
Subtotal-NDUS Office	(13,188,757)	-	(74,008)	(194,788)	(1,300,000)							(1,000,000)	(1,200,000)	(1,300,000)
FTE Savings			(0.3)	(0.7)		-	-	-	-	-	-	(2,000,000)	(2,000,000)	(16,727,554)
BSC	813,216													(1.0)
LRSC	172,182						596,000						596,000	1,209,216
WSC	228,545							60,531					60,531	232,723
UND	4,165,429								67,439				67,439	295,984
NDSU	3,406,306												-	4,165,429
DSU	818,543							387,631					-	3,406,306
MaSU	548,386									135,953			387,631	1,206,174
MiSU	276,488							184,282					135,953	685,339
VCSU	1,192,843												184,282	460,741
MSU-B/C	434,878							133,054			200,000		200,000	1,302,043
Med School	138,813							65,274					133,054	557,943
Subtotal-Campus/Med	1,284,946								169,826				65,274	202,087
Total	13,188,757	-	-	-	-	-	596,000	830,782	237,265	135,953	200,000	-	159,826	1,434,770
	$ -	$ -	$ (74,008)	$ (194,788)	$ (1,300,000)	$ -	$ 596,000	$ 830,782	$ 237,265	$ 135,953	$ 200,000	$ (2,000,000)	2,000,000	15,158,757
													$ -	$ (1,568,797)

1/ Provides compensation of $250/month for Board members and faculty advisor (Column 2). The student member is not entitled to the $250/month. In addition, the Board members, excluding the faculty advisor and student member, will continue to receive $62.50/day

2/ Moves CTEC funding (Column 4 - $194,788 funding and .7 FTE) from the NDUS budget to the Department of Commerce.

3/ Reverses to the technology pool (column 5) included the following: Eliminates $835,169 for on-line course management and reduces funding for connecting IVN to all state agencies by $364,800 (from $1,675,806 to $1,310,706). These were

Subject to the executive recommendation for the statewide networking plan.

Other Changes:

- Amends Section 11 of the engrossed bill to revise accountability measures. Most have been revised, eliminated and/or condensed into fewer measures, however the following new measures were added:

  Under "advancement excellence," added "a. Faculty-reported and staff-reported satisfaction with the university system and local institution administration" and "g. Student graduation and retention rates."

  Under "economic development," added "c. Average salary of university system graduates employed in the state as a percentage of the national average." and "g. Number of new jobs created in the state as a direct result of the efforts of a university system graduate."

- Amends Section 12 of the engrossed bill to reduce the required amount of other funding to be raised for an addition to the Bllore Activities Center from $531,720 to $368,920 before the project can be started, and allows NDSCS to proceed with the replacement of the Bllore Activities Center floor with the remaining $162,800, which is currently on hand.
- Increased other fund authority for the following projects: UNDC-$325,000 for the renovation of the main building front entrance, and DSU-$4 million for the renovation and an addition to Whitney Stadium.
- Repealed section 22 of chapter 37 of the 1999 Session Laws, that required the establishment of a reserve fund for future repair and maintenance costs related to the UND winter sports facility.
- Increased general fund deficiency appropriation to UND $269,876 for flood-related interest cost expenses.
- Section 9 adds a requirement that not less than 20% of the state grants shall be allocated to students at private institutions, with the remaining to public and Native American institutions.
- Section 10 details the following uses of the $665,000 "education incentive grants:" $80,000 to provide incentives to encourage additional doctoral graduates in ND; $370,000 for teacher retraining scholarships & a teacher student loan forgiveness program; $480,000 for a technology occupations student loan program autorized in HB1283, and $135,000 for a dentists'loan repayment program authorized in SB2275.
- Section 12 prohibits any allocation from the equity/special needs pool to the NDUS Office.
- Sections 23-25 provide amendments to the Century code relating to moving workforce training duties from the State Board of Higher Education to the Department of Commerce.

Adds following sections of legislative intent:

- Section 8 authorizes all entities except the NDUS Office to adjust FTE as needed during the 2003-05 biennium.
- Section 13 requires the State Board of Higher Education to establish a long-term enrollment management plan and procedures for implementation of the plan. It also requires the Board to report to legislative council on its progress during the interim, and to provide a final report to the next legislative assembly.
- Section 15 encourages institutions to explore the possible use of alternative heating sources, including ND coal.
- Section 16 provides the legislative council shall consider study of the State Board of Higher Ed and the Chancellor, including the communications between individual campuses, the Board and the Chancellor.
- Section 20 provides that the $666,000 appropriation for Schafer Hall renovation may be used only for renovation of classrooms or student-related areas, and that no funds may be used for renovation of administrative offices in Schafer Hall.

North Dakota University System
Technology Pool
Decrease from Senate to House Version

		SENATE VERSION		HOUSE VERSION		DECREASE	
1	[illegible] funding for HECN, IVN, ODIN and UND-NDSU Campus Computer Centers		$ 22,225,925		$ 22,225,925		$ -
2	Internet I and II and network funding		1,200,000		1,200,000		-
3	Increased equipment lease costs from statewide networking plan		217,020		217,020		-
	Statewide Networking Plan:						
	ND Interactive Video Network (IVN):						
4	*System enhancements to IVN	$ 733,548		$ 733,548		$ -	
5	*Costs to connect IVN to all other state agencies (*Non-NDUS*)	1,675,606		1,310,706		(364,900)	
6	*Costs to connect IVN to K-12 network (*Non-NDUS*)	330,040		330,040		-	
7	Total IVN Costs	2,739,194		2,374,294		(364,900)	
	Online Dakota Information Network (ODIN):						
8	*Expanded digital holdings and staff to manage them for all ODIN libraries	422,980		422,980		-	
	HECN South:						
9	*Staff, software and hardware to support online course management packages with the NDUS	935,100		-		(935,100)	
	NDUS Office:						
10	*Permanent funding of Distance Education Coordinator salary, operating and equipment to facilitate development of distance delivery content	498,200		498,200		-	
11	Total additional costs resulting from the rollout of the Statewide Networking Plan	1/	4,595,474	1/	3,295,474	1/	(1,300,000)
12	Total Technology Pool Funded in 2001-03 Legislative Appropriation		$ 28,238,419		$ 25,938,419		$ (1,300,000)
	1/ K-12 & Other state agencies		$ 2,005,646		$ 1,640,746		$ (364,900)
	Higher Ed		2,589,828		1,654,728		(935,100)
			$ 4,595,474		$ 3,295,474		$ (1,300,000)

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North Dakota University System
Analysis of Equity/Special Needs Pool
Including Senate and House Changes

99-01 Adjusted Base (Recaptured one-time funding for MiSU-BC)		$ 115,135
Increases Per Executive Recommendation:		
Compensation Adjustment	13,256,941	
Salary Equity	1,073,689	14,330,630
Campus Parity and Equity		2,500,000
Campus Initiatives		2,500,000
Total Per Executive Recommendation		19,445,765
Senate Adjustments		
Reduce campus parity and equity		(180,000)
Reduce campus initiatives		(180,000)
Total Per Senate Version		19,085,765
House Adjustments		
Allocate compensation package to individual budgets		(13,256,941)
Allocation to increase board compensation to $250/month		(48,000)
Allocate to campus budgets		(1,000,000)
Allocation to fund educational incentive programs		(200,000)
Total Per Proposed House Version		$ 4,580,824

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North Dakota University System
Analysis of Board Initiative Pool
Including Senate and House Changes

99-01 Adjusted Base	$	1,820,797
Increase Per Executive Recommendation		625,000
Total Per Executive Recommendation		2,445,797
Senate Adjustments		(180,000)
Total Per Senate Version		2,265,797
House Adjustments		
Allocate to campus budgets		(1,000,000)
Allocation to fund educational incentive programs		(395,000)
Total Per Proposed House Version	$	870,797

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NDUS

Selected Portions of NDUS Office Block Grants [illegible] in Engrossed SB 2003 - SENATE VERSION)

	(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)	(9)
	Student Grant Programs (Block Grants)			Portion of Campus-Based Programs (Block Grants)		Contingencies and Board Initiatives (Block Grants)			
	General Fund	Other Funds	Total Funds	Technology-General Fund	Equity/Special Needs-General Fund	Contng/Cap Impr Emergencies-General Fund	Board Initiatives-General Fund	Board Initiatives-Other Funds	Board Initiatives-Total Funds
1999-01 Appropriation	$ 3,771,409	$ 2,869,900	$ 6,671,309	$ 21,948,467	$ 4,290,128	$ 386,000	$ 796,000	$ 1,500,000	$ 2,296,000
1997-99 Carryover	479,152	646,939	1,126,081			69,000			-
1999-01 Allocations (Res 4/30/00):									
To all campuses					(4,290,128)				
To HECN, MN, ODIN & UNDNDSU									
Campus computer centers				(21,948,467)					
UND-Entrep. Program									
NDUS-Scholars Program	114,440		114,440			(32,036)	(32,036)		(32,036)
NDUS-MHEC Dues (Permanent)						(114,440)			-
NDUS-Intern Committee							(150,000)		(150,000)
NDUS-IT Council Dues							(40,000)		(40,000)
WSC-NDCCC Director							(2,500)		(2,500)
NDSCS-Common Course Numbering							(30,000)		(30,000)
Adj 99-01 Appropriation							(100,000)		(100,000)
	4,365,001	3,546,839	7,911,840	-	-	320,524	441,464	1,500,000	1,941,464
Adjustments:									
HECN-One time Other Funds									
1997-99 Carryover	(479,152)	(646,939)	(1,126,081)					(1,500,000)	(1,500,000)
One-time 99-01 pool allocations	(114,440)		(114,440)			(69,000)			-
Est decrease in federal & other funds		(1,561,750)	(1,561,750)		115,135	146,476	204,536		204,536
Fund cost to continue salary increases									
Fund 01-03 WICHE increase						(32,691)			-
Fund 01-03 MHEC increase						(21,000)			-
One-time 99-01 leg funding to campuses, reallocated to Board for 01-03							(15,000)		(15,000)
Total Adj to Base	(593,592)	(2,208,689)	(2,802,281)	-	115,135	23,785	1,189,797		1,189,797
							1,379,333	(1,500,000)	(120,667)
1999-01 Adjusted Base	3,771,409	1,338,150	5,109,559	-	115,135	344,309	1,820,797	-	1,820,797
Increases per Engrossed SB 2003:									
NDUS compensation package 1/					14,330,630				
Campus parity & equity					2,320,000				
Campus new initiatives/programs 2/					2,320,000				
Increase in student grant programs	1,670,000	214,000	1,884,000						
HECN, MN, ODIN & UNDNDSU									
Campus computer centers				22,225,925					
Internet II				1,200,000					
Incr equip lease costs from shed networg plan				217,020					
Statewide networking plan-NDUS 3/				2,589,828					
Statewide networking plan-Others 4/				2,005,646					
General increase							445,000		445,000
	1,670,000	214,000	1,884,000	28,238,419	18,970,630	-	445,000	-	445,000
Total per Engrossed SB 2003	$ 5,441,409	$ 1,552,150	$ 6,993,559	$ 28,238,419	$ 19,085,765	$ 344,309	$ 2,265,797	$ -	$ 2,265,797

1/ Includes $1 million for equity, in addition to salary compensation package of $13,330,630 for the NDUS campuses, Med School & NDUS Office

2/ For new initiatives & one-time or short-term funds to establish new programs that will either become self-sustaining or replace existing programs on the campuses.

3/ Includes $2,589,828 - NDUS funding for IVN ($733,548), ODIN ($422,980), HECN South ($835,100) and permanent funding for distance ed coordinator salary, operating and equipment ($498,200).

4/ Includes $1,675,646 - costs to connect IVN to all other state agencies and $330,040 - costs to connect IVN to K-12 network

[illegible]

North Dakota University System
Financial Aid Program Funding Source
House Version

	State Grant Program	Scholars Program	PSEP	ND Indian Scholarship Program	Education Incentive Programs	Total
General Fund:						
1999-01 Original Appropriation	$ 1,735,881	$ 520,730	$ 1,310,716	$ 204,082	$ -	$ 3,771,409
Increase-Exec Recom	800,000	250,000	250,000	-		1,300,000
Increase-Senate					370,000	370,000
Increase-House					595,000	595,000
Total-House Version	2,535,881	770,730	1,560,716	204,082	965,000	$ 6,036,409
% Increase (Decrease)	46.1%	48.0%	19.1%	0.0%	100.0%	60.1%
Mn Reciprocity Funds:						
1999-01 Original Appropriation 1/	$ 2,574,400	$ 185,500				$ 2,759,900
Increase (Decr)-Exec Recom	(1,236,250)	(185,500)				(1,421,750)
Total-House Version 3/	1,338,150	-				$ 1,338,150
% Increase (Decrease)	-48.0%	-100.0%				-51.5%
Federal Funds:						
1999-01 Original Appropriation 2/	$ 140,000					$ 140,000
Increase (Decr)-Exec Recom	74,000					74,000
Total-House Version 3/	214,000					$ 214,000
% Increase (Decrease)	52.9%					52.9%
Total Funds:						
1999-01 Original Appropriation	$ 4,450,281	$ 706,230	$ 1,310,716	$ 204,082	$ -	$ 6,671,309
Increase (Decr)-Exec Recom	(362,250)	64,500	250,000	-	-	(47,750)
Increase-Senate	-	-	-	-	370,000	370,000
Increase-House	-	-	-	-	595,000	595,000
Total-House Version	$ 4,088,031	$ 770,730	$ 1,560,716	$ 204,082	$ 965,000	$ 7,588,559
% Increase (Decrease)	-8.1%	9.1%	19.1%	0.0%	100.0%	13.7%

1/ Actual 1999-01 collections for Minnesota reciprocity dollars are estimated at approximately $1 million.
2/ Actual 1999-01 collections for federal dollars are estimated at approximately $68,000.
3/ The latest estimates for Mn Reciprocity total $400,000 for 2001-03, which will result in the loss of all federal funds, due to the inability to meet the maintenance of effort requirement. Considering these reductions, the estimated funds available for the State Grant Program total $2,935,881 for 2001-03.

g:\[redacted]\excel\fin\01-03 House\financial aid funding source

Documents submitted
to the
SB2003 Conference Committee

Saturday, April 21st, 1:00 P.M.

by House Members

NORTH DAKOTA UNIVERSITY SYSTEM OFFICE - TRAVEL EXPENSE VOUCHER

NAME Michel Hillman MONTH September 19 99 SOCIAL SECURITY NO. 186-42-1143

Date	Points Covered by Travel	Hour Left or Return Depart	Hour Left or Return Arrive	Vehicle Miles	Comm'l Air Travel	Total Other Travel	Misc. Exp.	Circle Meals Claimed	Lodging	Meals Dollar Amount
								B L D		
1								B L D		
2								B L D		
3								B L D		
4	(August) Bismarck							B (L) D		6 —
5	Mtg w/ Bill [illegible]							B L D		
6	[illegible]							B L D		
7								B L D		
8								B L D		
9	Fargo	2:30P						B L (D)	45.78	10 —
10	Return		5:30P					(B) L D		4 —
11								B L D		
12								B L D		
13								B L D		
14								B L D		
15	Grand Forks	8A						B L D	46.20	—
16	Fargo - Grand Forks							B L D	46.20	—
17	Return		6P					(B) L D		4 —
18								B L D		
19								B L D		
20	$46/day meal rate							B L D		
21	Wash DC	2:45P				20		B L (D)	149 —	23 —
22								B (L) (D)	138 —	36 80
23	Return		7P			16		(B) (L) (D)		46 —
24	Seattle							B L D		
25	~~Return~~							~~B L D~~		
26								~~B L D~~		
27	Jamestown	4P						B L (D)	45.36	10 —
28								(B) (L) (D)	45.36	20 —
29	Return		4P				$5 fax	(B) (L) D		10 —
30	Cabinet Mtg							B (L) D		6 —
31								B L D		
								B L D		

Purpose of Travel and Explanation of Expenses

10 ND/SD EPSCoR mtg
15-17 Cabinet, Board, Great Plains mtg.
21-23 Meet w/ delegation + lobbyists
24-25 NWAF Executive Committee reimbursed through NWAF
27-29 Interim Committee
30 Cabinet Mtg Bismarck

SHADED AREAS TO BE COMPLETED BY OFFICE STAFF

Lodg. in State	2115	228 90
Lodg. out of State	2116	287 —
Meals in State	2110	58 —
Meals out of State	2111	105 80
IRS Meals Taxable	2140	12 —
Miscellaneous Expense	2260	5 —
Miscellaneous Expense		
Other Transportation in State	2124	
Other Transportation out of State	2125	36 —
Air Transportation in State	2122	
Air Transportation out of State	2123	
Vehicle Miles in State	2120	
Vehicle Miles out of State	2121	
Cost Center: [illegible] 732.70 Apply to [illegible]		
TOTAL EXPENSES		732 70

I hereby certify that the within itemized statement representing a claim for payment or per diem, mileage or travel expenses or a combination thereof, truthfully and accurately states the days of service and the mileage traveled, and the purpose thereof.

[signature] 10/1/99
Payee Signature — Date

[signature] 10/4/99
Departmental Approval — Date

PAYMENT INFORMATION

Nontaxable Payment: 720.70 Taxable Portion: 12 —

Check #: 5554815 Date Paid: 10-5-99

BOARD PER DIEM (SALARY) DAYS USED TO DATE:

	FY To Date	This Month	Total
BUDGETED DAYS — TOTAL USED:			

[illegible] 01/96

PLEASE REFER TO REVERSE SIDE FOR TRAVEL POLICIES/PROCEDURES.

MAIL TO: North Dakota University System, 600 E. Blvd. Av.-10th Floor, Bismarck, ND 58505-0230

Please submit one form per month by the 3rd day of the following month.

Chancellor's Cabinet Retreat Agenda
Room 394B, Raymond J. Bohn Memorial Armory
September 30, 1999 8:00 a.m. CT

8:00 Welcome from General Bjerke

8:15 Update from Roundtable and general discussion on various topics (no enclosure)

11:15 *Six-year Plan*-Where do we go from here? (no enclosure)

12:00 Lunch (catered in from Country House Deli)

12:30 Tuition model philosophy (no enclosure)

1:15 New ~~funding~~ financing model principles

2:30 Board initiative pool discussion (no enclosure) - 750 .

3:00 Adjourn

The Raymond J. Bohn Memorial Armory is located east of Bismarck. Take I-94 Exit 161 and head south. Turn east at the first traffic light (by the Oasis Truck Stop). There are signs posted. I have included in this mailing a map of the interior of the building with our meeting room marked.

Please pay Sheila $6 to cover the cost of donuts and lunch.

EXECUTIVE AIR TAXI CORP.

BISMARCK MUNICIPAL AIRPORT
BOX 2273
BISMARCK, ND 58502
701-258-5024
IN US 1-800-932-8924

INVOICE # 992459

RECEIVED
DEC 14 1999
North Dakota University System

ND UNIVERSITY SYSTEM
600 EAST BOULEVARD
CAPITOL BUILDING
BISMARCK ND 58505

CUSTOMER #	SALESPERSON	AIRCRAFT N#	TERMS	DATE	PAGE #
ND UNIV	HAMANN	7693F	NET 30 DAYS	12/01/99	1

QTY	ITEM	DESCRIPTION	UNIT	PRICE	NET
372.0	FN7693F CHARTER	CHARTER SERVICE	MILE	1.49	$554.28
1.0	FN7693F CHARTER	CHARTER SERVICE	SET-UP	30.00	$30.00
	FN7693F HOLD	HOLD TIME CHARGE	HOUR	25.00	$112.50

BIS - GRAND FORKS - BIS
LARRY ISAAK AND MIKE SANDAL

Larry I. 348.39 Meet with Kupchella & Chapman
Mike S. 348.39 Meet with UND HR Staff
$696.78

Vendor 99287

PAID
Check/IDB # 560845
Date. 12-15-99
Doc # 9613
215-1000-2122

TAX DETAIL:

COMMENTS:

SUBTOTAL	$696.73
MISC. CHARGES	$.00
TOTAL TAXES	$.00
FREIGHT	$.00
TOTAL SALE	$696.78

Rec'd By_____

COPY NUMBER 001

0701 328 2961 ND UNIV SYSTEM 09/07/99 12:00 FAX 701 328 2961 ND UNIV SYSTEM 09/07/99 07:36 P.001/002
09/07/99 .TUE 12:00 FAX 701 328 2961 ND UNIV SYSTEM 09/07/99 11:31 P.001/002

NORTH DAKOTA STATE UNIVERSITY
[redacted]

22211

[redacted] to 99 Department: College Technical Education Council

[redacted] Management Education

[redacted] Eddie Dunn

[redacted] Emerald 112

[redacted] Fargo ND 58105

PURPOSE REVEALED BY TRAVEL (INCLUDE DESTINATION AND RETURN TIMES)										
Lv. Fargo-Minneapolis			14.00				200			
Minneapolis-Fargo 4pm			10.00				200			
Lv. Fargo-Valley City 8:00 am							320			
8:30 Fargo-Valley City			10.00		38.83		80			.75
Valley City			20.00		38.83					
Valley City-Fargo 2pm			10.00				80			
[redacted] Minneapolis			16.00		39.78		200			
[redacted] Fargo 4pm			26.00				200			
TOTAL ALL COLUMNS	6.00		112.00		116.90		1040			.75
LESS NON-REIMBURSABLE ITEMS										
NET EXPENSES REIMBURSEMENT	6.00		112.00		116.90		1040			.75
							$260.00			

EXPLANATION OF EXPENSES

July 5/6: meeting on Customized Training.
July 12: Quality at Work.
July 14/15: Cabinet and Board retreat.
July 29/30: Staff meeting.

There is no lodging expense for July 5. I stayed overnight in Fargo with relatives.

Miscellaneous: parking fee.

FUND	DEPARTMENT	TCD	PROJECT	AMOUNT
9055	7780	388		6.00
9055	7780	390		112.00
9055	7780	392		116.90
9055	7780	394		260.00
9055	7780	388		.75
			TOTAL REIMBURSEMENT	$495.65

I HEREBY CERTIFY THAT THE WITHIN ITEMIZED STATEMENT REPRESENTING A CLAIM FOR PAYMENT OF PERSONAL MILEAGE, AND GENERAL EXPENSES OR OBLIGATION THEREOF, TRUTHFULLY AND CORRECTLY STATES THE DATES OF REMOVAL AND THE MILEAGE ACTUALLY, AND THE PURPOSE THEREOF; I ALSO ACKNOWLEDGE THAT MY PAYMENT BY WAY OF PUBLIC MONEY IS CONTRARY TO THE CONSTITUTION AND LAWS OF THIS STATE, AND THAT I SHALL BE SUBJECT TO ACTION BY THE ATTORNEY GENERAL, AS PROVIDED BY THE LAW.

[redacted] 8/2/99
Date

[redacted] 9/3/99
Date

[redacted] Date

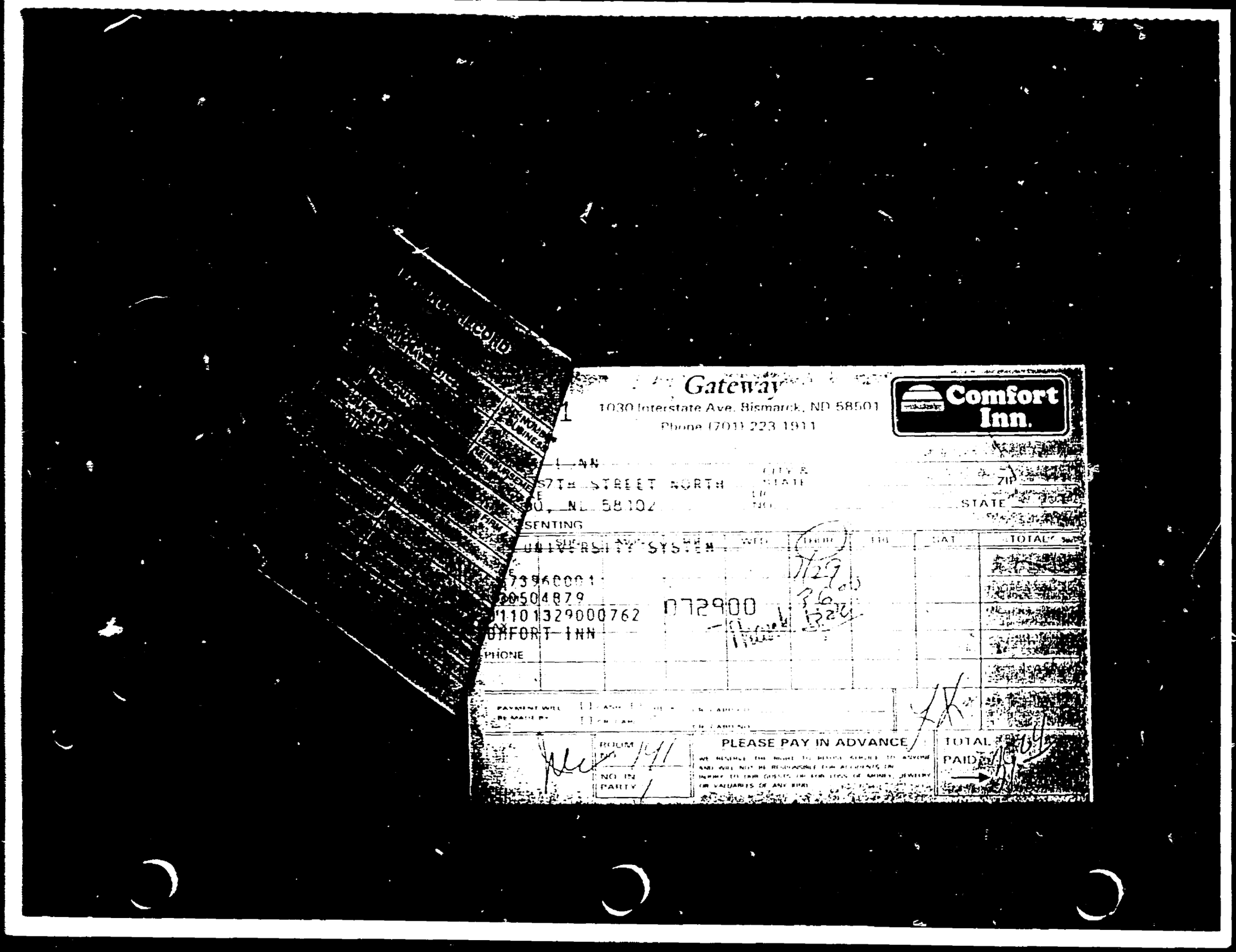
[redacted] Date

Post-it® Fax Note 7671 | Date 9-7-99 | # of pages ▶ 1

To Julie	From Nilah
Co./Dept. CTEC	Co. NDUS
Phone # 231-7014	Phone # 328-4112
Fax # 701-231-8482	Fax # 328-2961

Need payment 9-__-99

495.65


Gateway
1030 Interstate Ave. Bismarck, ND 58501
Phone (701) 223 1911
Comfort Inn.
57TH STREET NORTH
58102
SENTING
UNIVERSITY SYSTEM
WED
THU
FRI
SAT
TOTAL
073960001
00504879
110132900076
072900
COMFORT INN
PHONE
PAYMENT WILL BE MADE BY
ROOM
NO. IN PARTY
PLEASE PAY IN ADVANCE
TOTAL
PAID

[illegible] STATE UNIVERSITY
[illegible] VOUCHER

No. 25813

Date	August 19 99
Department	College Technical Education Council
[illegible]	Executive Director
[illegible]	Eddie Dunn
[illegible]	Morrill 113
	NDSU Campus
City	Fargo
State	ND
Zip Code	58105
[illegible] No.	21164-3
S.S. No.	501-48-2345

POINTS COVERED BY TRAVEL (INCLUDE DEPARTURE AND RETURN TIMES)	2 [illegible]	3 [illegible]	4 [illegible]	5 [illegible]	6 In State Lodging	7 Out of State Lodging	8 In State Mileage	9 Out of State Mileage	10 [illegible]	11 TCC 399 Other Travel
8am Fargo-Bismarck			16.00		62.51		200			1.50
Bismarck			20.00		62.51					
Bismarck			20.00		62.51					
Bismarck-Fargo 5:30pm			10.00				200			
8:30am Fargo-Bismarck			16.00		62.51		200			90.00
Bismarck			20.00		62.51					
Bismarck			20.00		62.51					
Bismarck-Fargo 8:30pm			20.00				200			
13										
14										
15										
16										
17 6:45am Fargo-Chicago				35.80		173.50			346.00	65.40
18 Chicago				46.00		173.50				88.72
19 Chicago				46.00		173.50				67.25
20 Chicago				46.00		173.50				53.27
21 Chicago				46.00		173.50				
22 Chicago-Fargo 7:10pm				9.20						36.43
23										
24										
25 [illegible] Fargo-Gaithersburg, MD				24.00		100.80			1360.00	
26 [illegible]rsburg - Fargo 11:55pm				30.00						
27										
28										
29										
30										
31										
TOTAL ALL COLUMNS										
LESS NON-REIMBURSABLE ITEMS										
NET EXPENSE REIMBURSEMENT			142.00	284.00	255.06	968.30	800		1706.00	382.57

[illegible]	[illegible]	[illegible]
$200.00	$	$
	$	

PURPOSE OF TRAVEL/EXPLANATION OF EXPENSES

PLEASE SEE ATTACHED

FUND	DEPARTMENT	TCC	PROJECT	AMOUNT
4215	4031	391		54.00
4215	4031	393		100.80
4215	4031	397		1,360.00
9035	7780	390		142.00
9035	7780	391		230.00
9035	7780	392		255.06
9035	7780	393		867.50
9035	7780	394		200.00
9035	7780	397		346.00
9035	7780	399		382.57

TOTAL EXPENSES $3,937.93

I HEREBY CERTIFY THAT THE WITHIN ITEMIZED STATEMENT REPRESENTING A CLAIM FOR PAYMENT OF PER DIEM, MILEAGE, OR TRAVEL EXPENSES OR COMBINATION THEREOF, TRUTHFULLY AND ACCURATELY STATES THE DAYS OF SERVICE AND THE MILEAGE TRAVELLED, AND THE PURPOSE THEREOF. I ALSO ACKNOWLEDGE THAT ANY RECEIPT BY ME OF PUBLIC FUNDS IN EXCESS OF THE AMOUNT ALLOWED BY LAW WILL SUBJECT ME TO ACTION BY THE ATTORNEY GENERAL AS PROVIDED BY ND LAW.

[signature] 9/2/99

[signature] 8/31/99

Department Approval [signature] 9-3-99

[illegible] Approval — Date

[illegible] Approval — Date

Rec'd payment 9-13-99

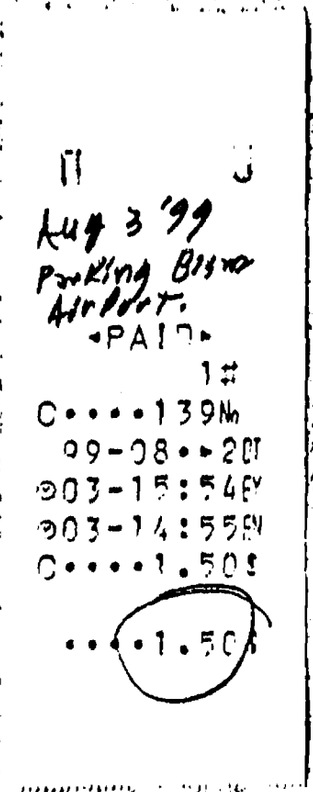
August 1998 expenses

3rd - 4th:	Meet with consultants regarding NDUS 21st century initiative. Miscellaneous expense: parking fee ($1.50)
5th:	Meet with Legislative Council and others regarding NDUS 21st century initiative.
6th:	Staff meeting and site visit in Jamestown regarding roundtable meeting facilities.
9th - 13th:	Participated in all-service conference. State Board for Voc. Ed. Miscellaneous expense: conference registration ($25); American Vocational Association dues ($65).

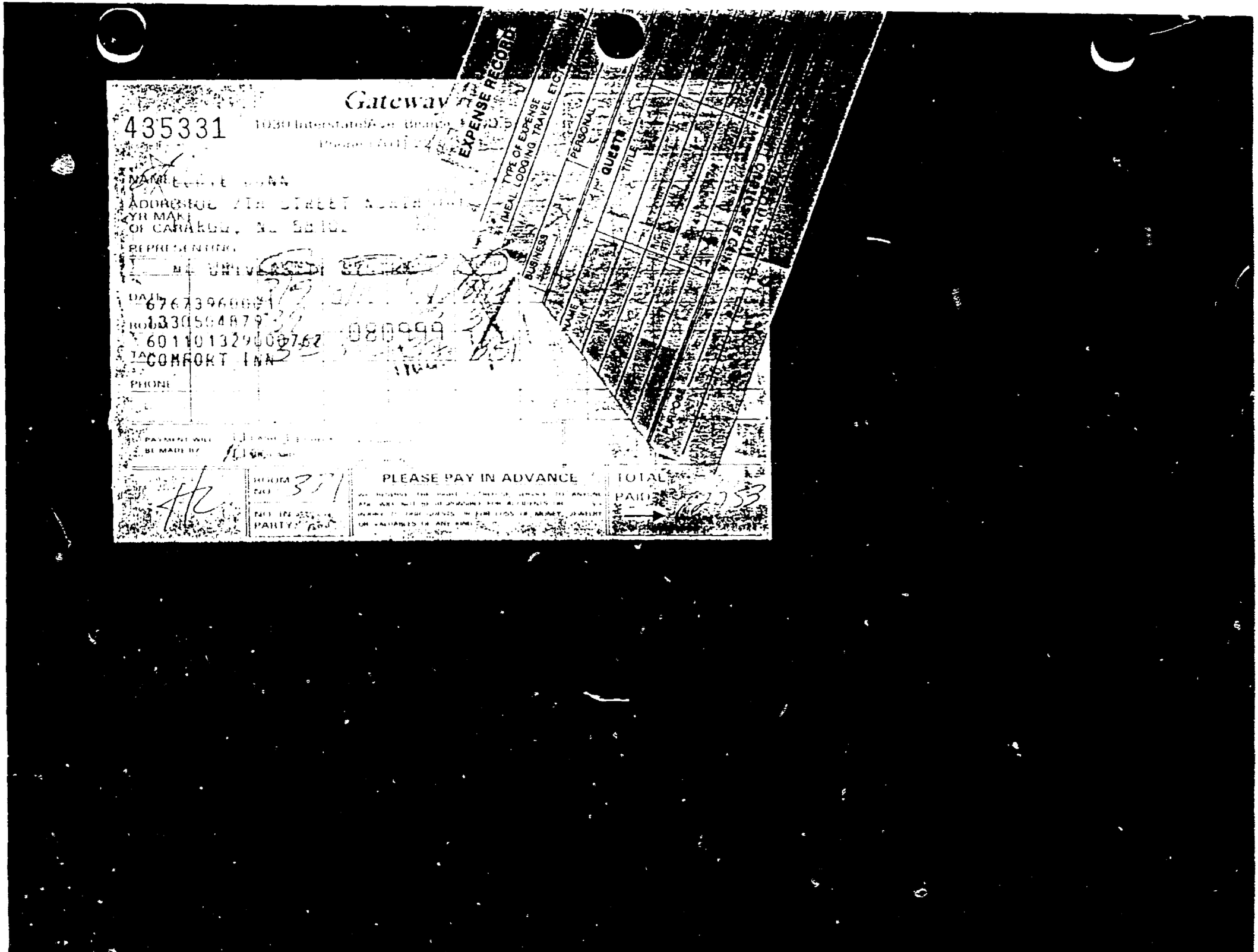
17th - 22nd: Attended Toastmasters International convention as part of professional development. My wife accompanied me but none of the expenses (travel, meals, lodging, etc.) associated with her attendance are included in the expense reimbursement request. *Miscellaneous expense: cab ($31); internet ($34.40); registration fee for international speech contest ($20); internet ($68.72); internet ($47.25); internet ($53.27); internet ($7.73); cab ($28.70).

25th - 26th: Participate in review of North Dakota Manufacturing Technology Partnership Program by the National Institute for Standards and Testing, in Gaithersburg, Maryland.
Expenses were covered by the Institute for Business & Industry Development (IBID)

*The total internet charge was $211.37. It was primarily from phone connection to the Internet, including technical difficulties in getting the system to configure properly, even with technical assistance from mo*el technical specialist.



Aug 3 '99
Parking Bisma
Airport.
•PAID•
1#
C••••139Nm
09-08••20T
⊖03-15:54PM
⊖03-14:55PM
C••••1.50$
••••1.50


EXPENSE RECORD
435331
TYPE OF EXPENSE
MEAL LODGING TRAVEL ETC.
BUSINESS
PERSONAL
NAME
TITLE
COMFORT INN
PHONE
PLEASE PAY IN ADVANCE
TOTAL
PAID

NORTH DAKOTA STATE UNIVERSITY
TRAVEL EXPENSE VOUCHER

PREP #TB 22212

Month: September 19 99 Department: College Technical Education Council

Position: Executive Director

Name: Eddie Dunn

Address: Merrill Hall 112
North Dakota State University

City: Fargo State: ND Zip Code: 58105

NAHB No. 21144-3

S.S. No. 501-48-2545

DAY	POINTS COVERED BY TRAVEL (INCLUDE DEPARTURE AND RETURN TIMES)	1 TCC 388 In State Meals Taxable	2 TCC 389 Out of State Meals Taxable	3 TCC 390 In State Meals Non-Tax	4 TCC 391 Out of State Meals Non-Tax	5 TCC 392 In State Lodging	6 TCC 393 Out of State Lodging	7 TCC 394 In State Mileage	8 TCC 395 Out of State Mileage	9 TCC 397 Air Fare	10 TCC 399 Other Travel	
1												
2												
3												
4												
5												
6												
7												
8	5:30am 8:30pm											
9	Fargo-Bismarck-Fargo	20.00						400				
10												
11												
12	6:00am 9:00pm											
13	Fargo-Bismarck-Fargo	20.00						400				
14												
	Fargo10am-GF-Fargo9:15pm	16.00						160				
	Fargo10:30am-Grand Forks			16.00		46.20		80				
	Grand Forks-Fargo3:00pm			10.00				80				
4												
2												
3												
	10:00am 5:00pm											
	Fargo-Wahpeton-Fargo	6.00						120				
3												
[redacted]	go-Jamestown-Fargo	6.00						200				
[redacted]	am 5:00pm											
7	3:30pm-Jamestown			10.00		45.36		100				
	Jamestown			20.00		45.36						
	Jamestown-Bismarck			20.00		46.18		100				1.65 2.05
8	Bismarck-Fargo8:30pm			20.00		145.78		200				.40
9												
	TOTAL ALL COLUMNS							MI	MI	MI		
	LESS NON-REIMBURSABLE ITEMS											
	NET EXPENSE REIMBURSEMENT	68.00		96.00		183.10		1840 MI	MI	MI		1.65
				182.70				At 28¢ 460.00	At 18¢ $	At 36¢ $		2.45
									At 23¢ $			

PURPOSE OF TRAVEL/EXPLANATION OF EXPENSES

PLEASE SEE ATTACHED SHEET

FUND	DEPARTMENT	TCC	PROJECT	AMOUNT	
9035	7780	388		68.00	
9035	7780	390		96.00	
9035	7780	392		183.10	182.70
9035	7780	394		460.00	
9035	7780	399		1.65	2.45
			TOTAL EXPENSES	$808.75	

HEREBY CERTIFY THAT THE WITHIN ITEMIZED STATEMENT REPRESENTING A CLAIM FOR PAYMENT OF PER DIEM, MILEAGE, OR TRAVEL EXPENSES OR COMBINATION THEREOF, TRUTHFULLY AND ACCURATELY STATES THE DAYS OF SERVICE AND THE MILEAGE TRAVELLED, AND THE PURPOSE THEREOF. I ALSO ACKNOWLEDGE THAT ANY RECEIPT BY ME OF PUBLIC FUNDS IN EXCESS OF THE AMOUNT ALLOWED BY LAW WILL SUBJECT ME TO ACTION BY THE ATTORNEY GENERAL AS PROVIDED BY ND LAW.

Individual Signature — Date 1-24-2000

[redacted] Approval — Date 1-27-00

[redacted] Approval — Date

Business Office Approval — Date

809.15

Acct Payment Feb 14, 00

STAFF RETREAT AGENDA

December 14, 2000 – Noon

BSC Office Building

- Report on 2001-03 Executive budget – Cathy/Laura
- Staffing changes
- Review legislation – Pat
- Organization of office during legislative session – Larry/Laura/Pat/Terry
  - Legislative process
  - Bill folders
  - Fiscal note process
  - Bill tracking reports
  - Weekly meetings
  - Resource Guide
  - At A Glance
  - Dress
- Status of Board Objectives
- Board and Cabinet Decision Schedule
- Brief report on the various councils:
  - Academic Affairs – Mike H.
  - Administrative Affairs – Laura
  - CTEC – Eddie
  - Financial Aid – Peggy
  - Human Resources – Mike Sandal
  - Student Affairs – Pat
  - Information Technology – Grant
  - Registrars – Julie
- Other items
- Date of next Staff Retreat
- Office Potluck – How does December 20 work?

COMFORT SUITES
929 GATEWAY AVENUE
BISMARCK, ND 58501
(701) 223-4009

Account: [redacted]
Date: 12/14/00
Page: 1 of 1
Room: 225 SGM
Arrival Date: 12/13/00 14:22
Departure Date: 12/14/00 07:13
Frequent Traveler ID:
You were checked out by: SA
You were checked in by: TC

DUNN, EDDIE

RM 112 MORRIALL HALL NDSU
FARGO, ND 58105

Post Date	Description		Amount
12/13/00	ROOM CHARGE	#225 DUNN, EDDIE	42.00
12/13/00	STATE TAX	STATE TAX	2.10
12/13/00	CITY/COUNTY TAX	CITY/COUNTY TAX	1.68
12/14/00	VISA PAYMENT	CHECKOUT Acct: XXXXXXXXXXXX5289 Exp: 07/03	-45.78
		Balance Due:	0.00

If payment by credit card, I agree to pay the above total charge amount according to the card issuer agreement.

X _____

COMFORT INN
1030 INTERSTATE AVE
BISMARCK, ND 58502
(701) 223-1911

Account: 110372
Date: 12/20/00
Page: 1 of 1
Room: 276 RACK
Arrival Date: 12/19/00 20:48
Departure Date: 12/20/00 07:33
Frequent Traveler ID:
You were checked out by: LH
You were checked in by: BM

DUNN, EDDIE

3108 7TH STREET NORTH
FARGO, ND 58102

Post Date			
12/19/00	ROOM CHARGE	#276 DUNN, EDDIE	41.00
12/19/00	STATE TAX	STATE TAX	2.05
12/19/00	CITY/COUNTY TAX	CITY/COUNTY TAX	1.64
12/20/00	VISA PAYMENT	CHECKOUT Acct: XXXXXXXXXXXX5289 Exp: 07/03	-44.69
		Balance Due:	0.00

If payment by credit card, I agree to pay the above total charge amount according to the card issuer agreement.

X _____

SEPTEMBER EXPENSES

9th:	Attend K-12 for 21st Century meeting. Quality Schools
13th:	Community College Consortium meeting.

15th: Chancellor's Cabinet meeting. Returned to Fargo that evening to attend Great Plains Stampede meeting the morning of September 16.

16th/17th: Board meeting.

22nd: Meeting at NDSCS on workforce training.

24th: Meeting with Dave Nething and Larry Isaak for site review of Civic Auditorium.

27th-29th:	Higher Education Roundtable meeting.
30th:	Chancellor's Cabinet meeting.

MISCELLANEOUS EXPENSES

~~19th:~~ Parking (1.65) (40¢ telephone)

29th

30th 40¢ telephone

Parking Division
Holiday Events

Sept 29 '99

PAID
2#
2....153 No.
99-09-30T
29-18:24 EX
29-16:04 EN
A....1.65$
....1.65$

FOLIO

GLADSTONE SELECT HOTEL
112 2ND STREET NE
JAMESTOWN, ND 58401

NAME: DUNN, EDDIE/LEGISLATIVE COUNCIL HI
ADDR:
CITY: FARGO, ND 58105
PAY METHOD: 4190004306555289 / 07-00 VISA

DATE	DESCRIPTION	CHARGES	PAYMENTS	BALANCE
09-27-99	*STATE - 1 PERSON	42.00		42.00
09-27-99	SALES TAX	3.36		45.36
09-27-99	RHC REST RM CHARGE	21.41		66.77
09-28-99	*STATE - 1 PERSON	42.00		108.77
09-28-99	SALES TAX	3.36		112.13
09-29-99	VM VISA/MASTER CARD		112.13	0.00
	TOTAL:		$	0.00

SELECT INN JAMESTOWN
111 2ND ST N.E
JAMESTOWN ND 58401

DATE: 09/29/99
MERH: 680886913327B TERH: 0001
O-F-F-L-I-N-E

REF: 0507 BCH: 015
CD TYPE: VI
TR TYPE: PR
AMOUNT: $112.13

ACCT: 4190004306555289 EXP: 0700
AP: 011938
GUEST INFO: 429

Received 9-29-99

I CARDMEMBER ACKNOWLEDGES RECEIPT OF GOODS AND/OR SERVICES IN THE AMOUNT OF THE TOTAL SHOWN HEREON AND AGREES TO PERFORM THE OBLIGATIONS SET FORTH BY THE CARDMEMBER'S AGREEMENT WITH THE ISSUER
THANK YOU FOR USING VISA

X _____

TOP COPY-MERCHANT BOTTOM COPY-CUSTOMER

Guest Account

Holiday Inn

Room no	Rate	Arrival	Departure	Folio No	Account	Affiliations	PP	ID	Pax
418	42.00	09/29/99	09/30/99	146801	2-CBANK	4. STA	11	ONE	1

EDDIE DUNN

ATTN ANNA

Check In 09/29/99 16:11 TWO
Check Out 09/30/99 06:56 ONE

Cod	Card	Reference	ID	Description	Charges	Payments	Balance
	411	141	XXX	701-282-1575	.40	.00	.40
	114	0929000	AUD	DISCOUNT ROOM	42.00	.00	42.40
0.	811	0929001	AUD	ROOM TAX	3.78	.00	46.18
0930	411	4	XXX	701-222-1575	.40	.00	46.58
0930	914	0930000	ONE	VISA/MASTERCAR	.00	-46.58	.00

TOTAL .00

E THAT MY LIABILITY FOR THIS BILL IS NOT WAIVED AND AGREE TO BE RSONALLY LIABLE IN THE EVENT THAT THE INDICATED PERSON, NT OR ASSOCIATION FAILS TO PAY THE FULL AMOUNT OF THESE

GUEST SIGNATURE

HOLIDAY INN HOTEL
Bismarck, ND
(701) 258-7000 • Fax (701) 258-0600

Independently owned and operated by
Manhattan Limited Partnership

IMPORTANT: RETAIN THIS COPY FOR YOUR RECORDS

CUS

01/27/00 THU 10:40 FAX 701 231 8482 College Tech Ed Council

NORTH DAKOTA STATE UNIVERSITY
TRAVEL EXPENSE VOUCHER

REF #TE 22213

Month: October 19 99 Department: College Technical Education Council

NA-ID No. 21144-3

[redacted]l Position: Executive Director

[redacted] Eddie Dunn

S.S. No. 501-48-2545

Morrill Hall 112

North Dakota State University

City: Fargo State: ND Zip Code: 58105

DAY / 1 POINTS COVERED BY TRAVEL (INCLUDE DEPARTURE AND RETURN TIMES)	2 In State Meals Taxable	3 Out of State Meals Taxable	4 TOC 390 In State Meals Not Tax	5 TOC 391 Out of State Meals Non-Tax	6 TOC 392 In State Lodging	7 TOC 393 Out of State Lodging	8 TOC 394 In State Mileage	9 Out of State Mileage	10 Air Tran.	11 TOC 399 Other Travel
1										
2										
3										
4										
5										
6										
7										
8										
9										
10										
11										
12										
13										
14 Fargo 11:00am - Atlanta				19.00		125.40			376.74	37.00
15 Atlanta, Georgia				38.00		125.40				
16 Atlanta - Fargo 3:40pm				19.00						10.00
17										
18 Fargo 6:45pm-Mason City, Iowa				15.00		57.42			579.76	4.00
19 Mason City - Bismarck				25.00	45.78					7.00
20 Bismarck-Fargo 7:23pm			16.00							
21										
22										
23										
24										
[redacted]										
[redacted] 3:30pm - Jamestown			10.00		45.36		100			.75
29 [redacted]stown-Carrington-Fargo			10.00				180			
30 3:30pm										
31										
TOTAL ALL COLUMNS							MI	MI	MI	
LESS NON-REIMBURSABLE ITEMS										
NET EXPENSE REIMBURSEMENT			36.00	116.00	91.14	308.22	280 MI	MI	956.50	58.75

At 25¢	At 18¢	At 35¢
$70.00	$	$
	At 30¢ $	

PURPOSE OF TRAVEL/EXPLANATION OF EXPENSES

PLEASE SEE ATTACHMENT

FUND	DEPARTMENT	TOC	PROJECT	AMOUNT
9035	7780	390		36.00
9035	7780	391		116.00
9035	7780	392		91.14
9035	7780	393		308.22
9035	7780	394		70.00
9035	7780	397		956.50
9035	7780	399		58.75

TOTAL EXPENSES $1,636.61

I HEREBY CERTIFY THAT THE WITHIN ITEMIZED STATEMENT REPRESENTING A CLAIM FOR PAYMENT OF PER DIEM, MILEAGE, OR TRAVEL EXPENSES OR COMBINATION THEREOF, TRUTHFULLY AND ACCURATELY STATES THE DAYS OF SERVICE AND THE MILEAGE TRAVELLED, AND THE PURPOSE THEREOF. I ALSO ACKNOWLEDGE THAT ANY RECEIPT BY ME OF PUBLIC FUNDS IN EXCESS OF THE AMOUNT ALLOWED BY LAW WILL SUBJECT ME TO ACTION BY THE ATTORNEY GENERAL AS PROVIDED BY LAW.

Eddie Dunn 1-24-00
Individual Signature Date

1-27-00
Department Approval Date

[redacted] Approval Date

Administrative Approval Date

Rec'd Payment Feb 14, 2000

01/27/00 (THU) 10:44 [TX/RX NO 5782]

OCTOBER EXPENSES

14-16th: Presentation on workforce training at American Community College Trustees meeting, Atlanta, Georgia.

Note: Original travel arrangements were made to leave Fargo on October 13 and return on October 17, 1999. Because of additional unexpected work activities, it was necessary to change the travel schedule to October 14 through October 16. Northwest Airlines charged $75.00 for the change in reservations. The total cost of airfare was $301.74 + $75.00 = $376.74.

18/19th: Site visit to Mason City, Iowa, for workforce training.

20th: Meeting with Senator Nething and Legislative Council and conference call with consultants. Attended SBVTE local director's meeting at Bismarck State College in the afternoon.

28/29th: HE Roundtable

MISCELLANEOUS EXPENSES

14th: $15.00 - parking at Fargo Airport
$22.00 - cab fare in Atlanta

16th: $10.00 - airport shuttle in Atlanta

18th: $4.00 - parking at Fargo Airport

19th: $7.00 - cab fare in Bismarck

28th: .75 - parking at Fargo Airport

APCOA/Standard Parking

RECEIPT FOR PAID PARKING

(This is a receipt only, not a bill)

1816 LOCATION

.75 AMOUNT

10.28.99 DATE

CASHIER

110 REV. 12/91 Fax Airport - Pick up Contracts Oct 28 '99

Holiday Inn
EXPRESS

803 20th St. S.W.
Jamestown, ND 58401
701/251-2131
Fax 701/251-2599

Name & Address

EDDIE DUNN
HAS MEETING ROOM
PER SHEILA-BISMARCK

Room	[illegible]
Arrive Date	10/28/99
Dept. Date	10/29/99
Folio #	VILU
Room Rate	1 42.00
Account	[illegible]
Mkt/Seg	[illegible]

JMS — Page 1

Lodging in Jamestown on oct 28 '99 for Higher Ed Roundtable mtg in Carrington on oct 29 '99.

Independently Owned and Operated by Yes Ko Lodging Development

I authorize you to bill the full balance of my account to my credit card which was presented upon [illegible]

[illegible] Check-Out℠

SIGNATURE

The management is not responsible for any valuables not secured in safety deposit boxes provided at the front office. I agree that my liability for the charges is not waived and agree to be held personally liable in the event that the indicated person, company or association fails to pay for any part or the full amount of such charges.

X
SIGNATURE

ATE	CODE	REFERENCE	ID	DESCRIPTION	CHARGE	PAYMENT	BALANCE
10/28	917	1026000	JMS	VISA CARD	.00	-45.36	-45.36
				TOTAL			-45.36

Holiday Inn
EXPRESS

ST. NO.

ID MEMBER NAME

ACCOUNT NO. & LOCATION

I AGREE TO PAY THE TOTAL AMOUNT DUE ON THIS BILL FOR PAYMENT

Holiday Inn
EXPRESS

ID MEMBER'S SIGNATURE

DATE OF CHARGE	FOLIO NO./CHECK NO.
AUTHORIZATION	I.D.
PURCHASES & SERVICES	
TOTAL AMOUNT	

NORTH DAKOTA STATE UNIVERSITY
TRAVEL EXPENSE VOUCHER

REF #'S 22214

[redacted] December to 99 Department: College Technical Education Council

Position: Executive Director

Pay to: Eddie Dunn

NA-ID No. 21144-3

Address: Morrill Hall 112

S.S. No. 501-48-2545

North Dakota State University

City: Fargo State: ND Zip Code: 58105

1 POINTS COVERED BY TRAVEL (INCLUDE DEPARTURE AND RETURN TIMES)	2 TCC 388 In State Meals Taxable	3 TCC 388 Out of State Meals Taxable	4 TCC 388 In State Meals Non-TSA	5 TCC 388 Out of State Meals Non-TSA	6 TCC 388 In State Lodging	7 TCC 388 Out of State Lodging	8 TCC 384 In State Mileage	9 TCC 388 Out of State Mileage	10 TCC 388 387/388 Air Fare	11 TCC 388 Other Travel
4 Fargo 3:30pm - Bismarck			10.00		42.51		200			
5 Bismarck			20.00		42.51					
6 Bismarck			20.00		42.51					
7 Bismarck --Fargo 8:30pm			20.00				200			
TOTAL ALL COLUMNS							MI	MI	MI	
LESS NON-REIMBURSABLE ITEMS										
NET EXPENSE REIMBURSEMENT			70.00		127.53		400 MI At 25¢	MI At 14¢	MI At 25¢	
							$100.00	$ At 25¢ $	$	

PURPOSE OF TRAVEL/EXPLANATION OF EXPENSES

Attended meetings in Bismarck and interviewed candidates for Project Director of North Dakota Two-Year Community College Consortium.

FUND	DEPARTMENT	TCC	PROJECT	AMOUNT
9035	7780	390		70.00
9035	7780	392		127.53
9035	7780	394		100.00
			TOTAL EXPENSES	$297.53

I HEREBY CERTIFY THAT THE WITHIN ITEMIZED STATEMENT PRESENTING A CLAIM FOR PAYMENT OF PER DIEM, MILEAGE, OR TRAVEL EXPENSES OR COMBINATION THEREOF, TRUTHFULLY AND ACCURATELY STATES THE DAYS OF SERVICE AND THE MILEAGE TRAVELED, AND THE PURPOSE THEREOF. I ALSO ACKNOWLEDGE THAT BY RECEIPT BY ME OF PUBLIC FUNDS IN EXCESS OF THE AMOUNT ALLOWED BY LAW WILL SUBJECT ME TO ACTION BY THE ATTORNEY GENERAL AS PROVIDED BY LAW.

Eddie Dunn 1-24-00
Date

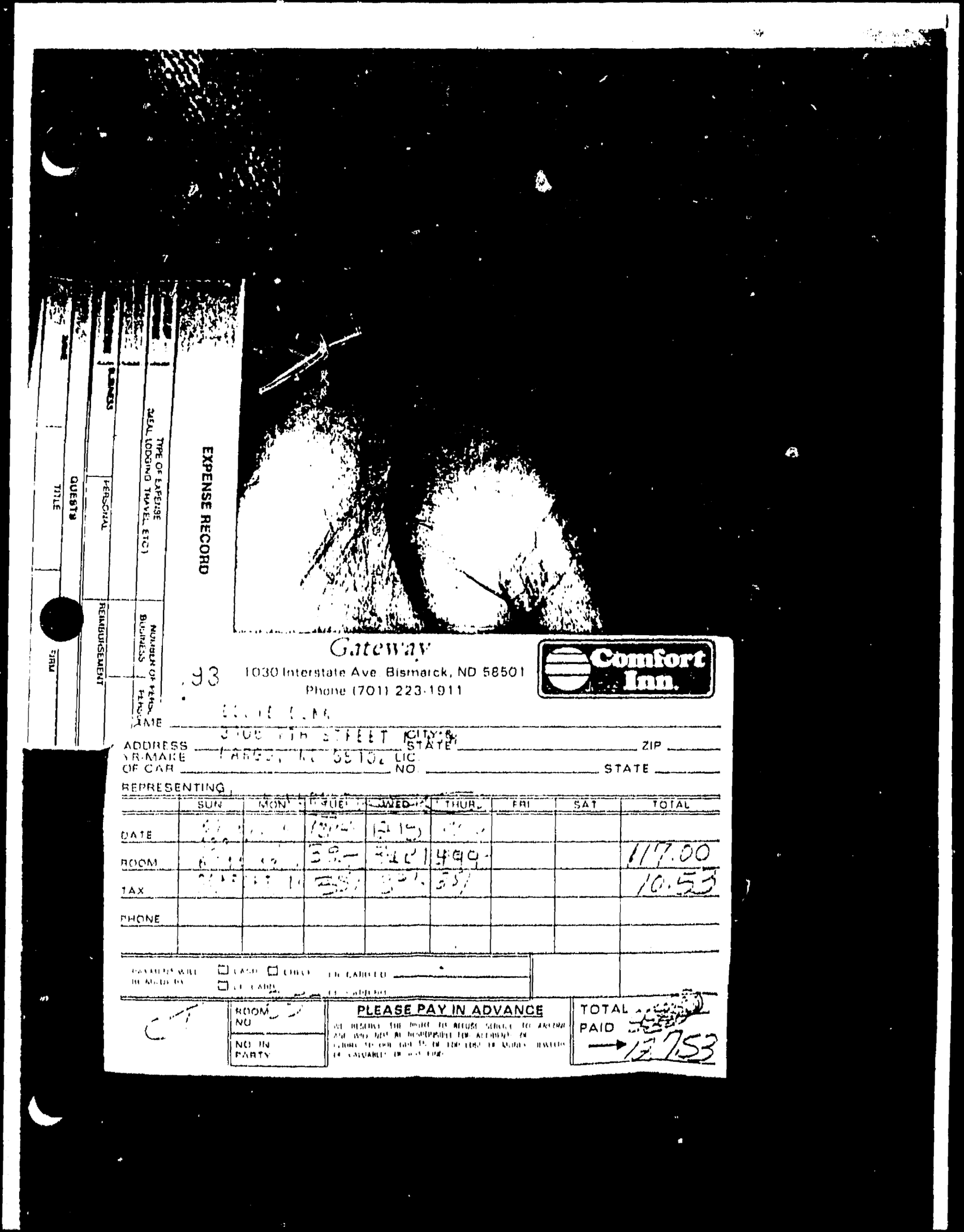
[redacted] 1-27-00
Date

Date

Date

Date

Approved for payment
1-28-00



EXPENSE RECORD

TYPE OF EXPENSE (MEAL LODGING TRAVEL ETC)

BUSINESS

PERSONAL

NUMBER OF PERSONS
BUSINESS
PERSONAL

REIMBURSEMENT

FIRM

TITLE

GUEST#

DATE

Gateway

1030 Interstate Ave Bismarck, ND 58501
Phone (701) 223-1911

Comfort Inn.

93

NAME

ADDRESS

CITY &
STATE

ZIP

REMARKS

LIC
NO

STATE

OF CAR

REPRESENTING

	SUN	MON	TUES	WED	THUR	FRI	SAT	TOTAL
DATE								
ROOM					490			117.00
TAX								10.53
PHONE								

PAYMENT WILL BE MADE BY ☐ CASH ☐ CHECK ☐ CHARGED

☐ CC CARD ☐ CC CARD

CT

ROOM NO

NO IN PARTY

PLEASE PAY IN ADVANCE

WE RESERVE THE RIGHT TO REFUSE SERVICE TO ANYONE AND WILL NOT BE RESPONSIBLE FOR ACCIDENTS OR LOSS OF OR DAMAGE TO ANY ARTICLES OR VALUABLES IN OUR CARE

TOTAL

PAID → 127.53

NORTH DAKOTA STATE UNIVERSITY
TRAVEL EXPENSE VOUCHER

REF #TE 22216

For January 1/2000 Department: College Technical Education Council

Name: [redacted] Executive Director

Name: Eddie Dunn

Address: Morrill Hall 112

North Dakota State University

City: Fargo State: ND Zip Code: 58105

NA-10 No. 21144-3

S.S. No. 501-48-2545

POINTS COVERED BY TRAVEL (INCLUDE DEPARTURE AND RETURN TIMES)	TCC 388 In State Meals Taxable	TCC 388 Out of State Meals Taxable	TCC 389 In State Meals Non-Tax	TCC 391 Out of State Meals Non-Tax	TCC 386 In State Lodging	TCC 389 Out of State Lodging	TCC 384 In State Mileage	TCC 385 Out of State Mileage	TCC 388 R&T/388 Air Trvl.	TCC 399 Other Travel
10 7:00am										
11 Fargo - Bismarck			16.00		42.51		200			
12 Bismarck			20.00		42.51					
13 Bismarck - Fargo			20.00				200			
14 8:30pm										
15										
16										
17										
18										
19 7:30am										
20 Fargo - Bismarck			16.00		42.51					
21 Bismarck - Fargo			16.00							
22 7:30pm										
23 Fargo 11am-Bismarck			16.00		45.78		200			
24 [redacted]marck			20.00		45.78					1.60
25 [redacted]marck			20.00		45.78					1.20
26 [redacted]marck			20.00		45.78					.40
27 Bismarck			20.00		45.78					.80
28 Bismarck - Fargo			10.00				200			1.20
29 2:30pm										
30										
31										
TOTAL ALL COLUMNS							MI	MI	MI	
LESS NON-REIMBURSABLE ITEMS										
NET EXPENSE REIMBURSEMENT			194.00		356.43		800 MI	MI	MI	5.20
							At 30¢	At 14¢	At 30¢	
							$200.00		$	
								At 30¢		
								$		

PURPOSE OF TRAVEL/EXPLANATION OF EXPENSES

Miscellaneous: telephone calls 23rd - 28th
January 11: NDUS staff meeting
January 12: staff meeting and conference call with consultants
January 13: CTEC meeting, meeting with Garvin Stevens, Jim Smith at Legislative Council
January 20: special Cabinet meeting on Higher Education Roundtable (rode on University plane)
January 21: Board orientation with Dick Kunkle and Workforce Directors meeting at BSC (rode back with JMigler)

January 23-28:
Participate in Higher Education Legislative Committee Roundtable Task Force meetings

I HEREBY CERTIFY THAT THE WITHIN ITEMIZED STATEMENT REPRESENTING A CLAIM FOR PAYMENT OF PER DIEM, MILEAGE, OR TRAVEL EXPENSES OR COMBINATION THEREOF, TRUTHFULLY AND ACCURATELY STATES THE DAYS OF SERVICE AND THE MILEAGE TRAVELLED, AND THE PURPOSE THEREOF. I ALSO ACKNOWLEDGE THAT ANY RECEIPT BY ME OF PUBLIC FUNDS IN EXCESS OF THE AMOUNT ALLOWED BY LAW WILL SUBJECT ME TO ACTION BY THE ATTORNEY GENERAL AS PROVIDED BY NO LAW.

[redacted] Date

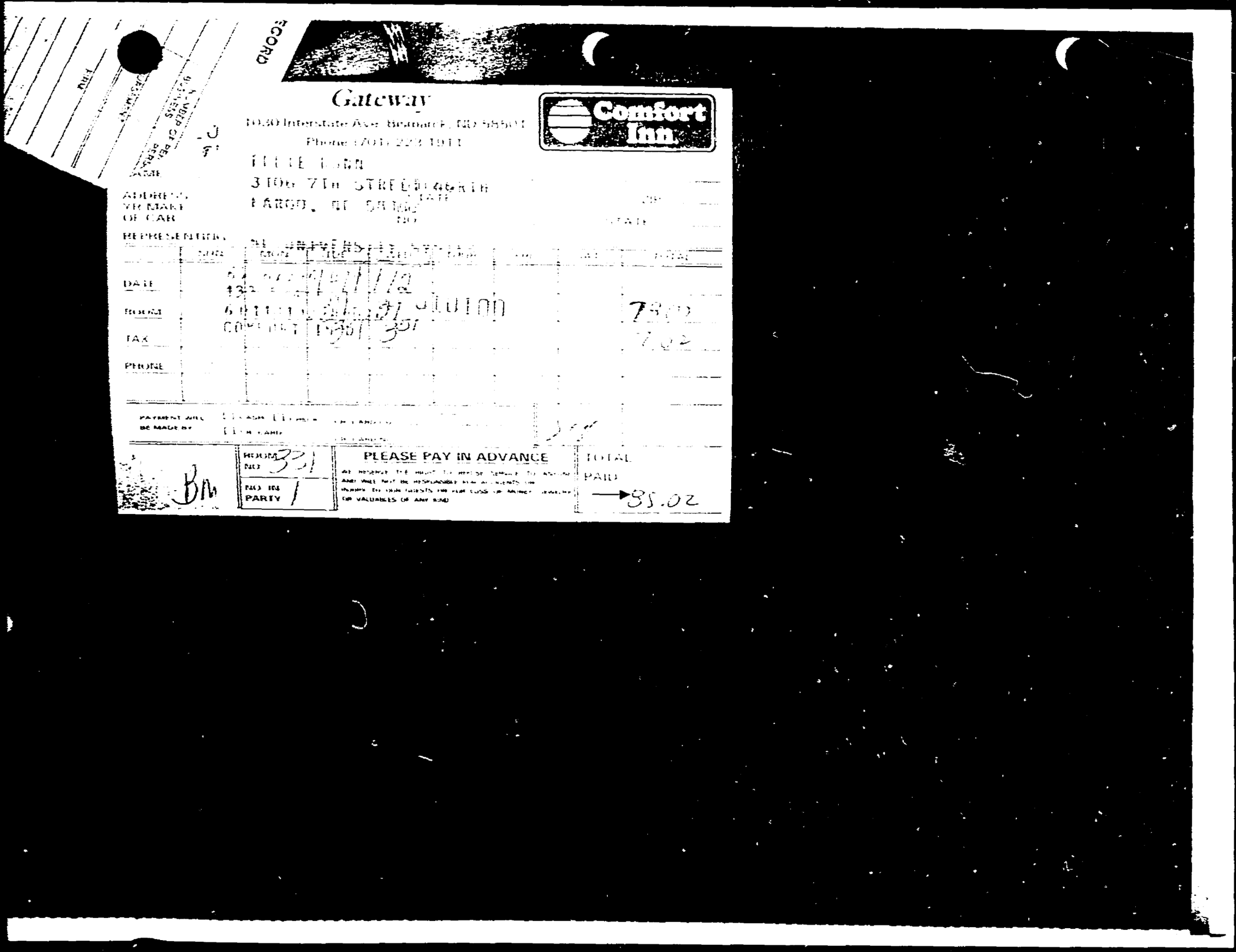
[redacted] 2-22-00
Date

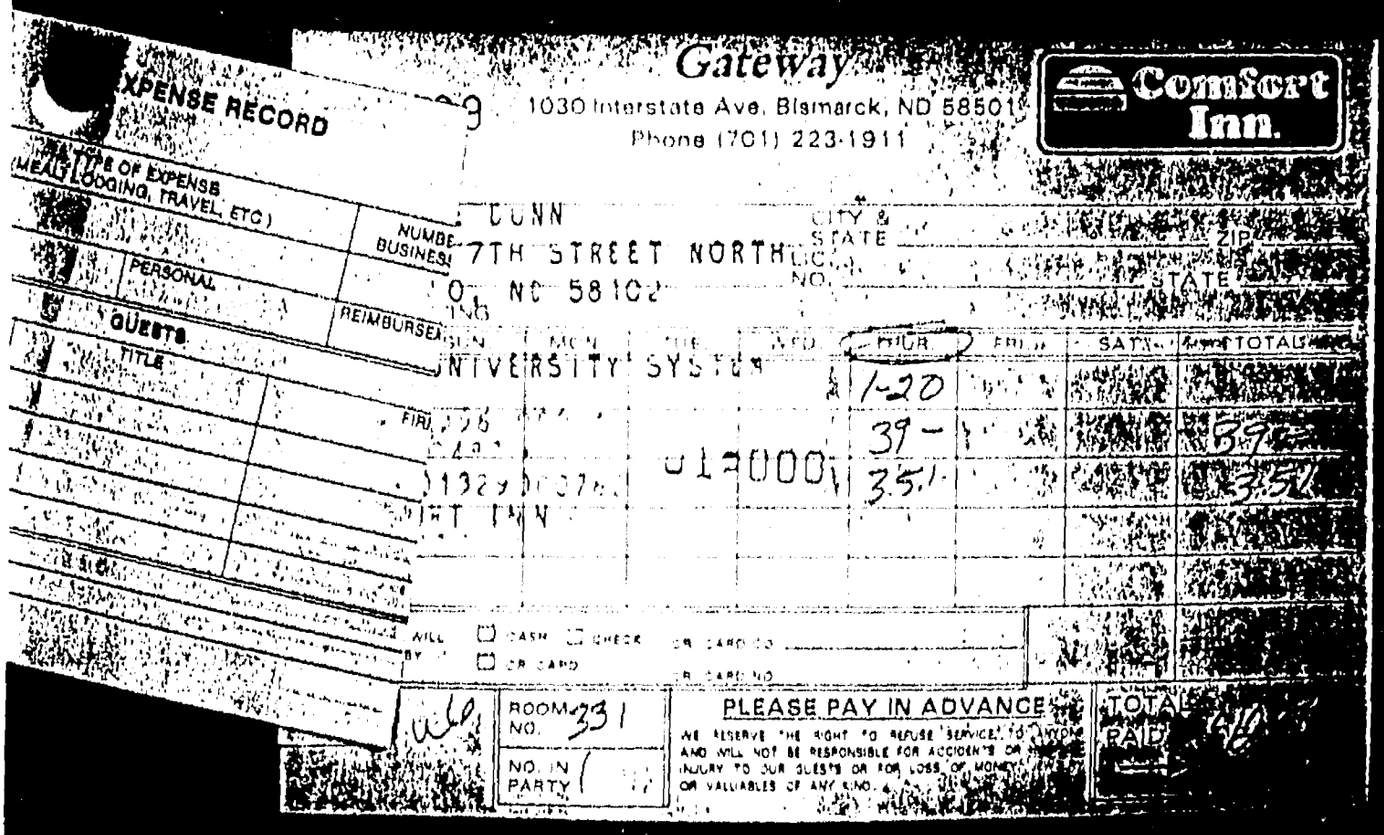
[redacted] Approval Date

Business Office Approval Date

FUND	DEPARTMENT	TCC	PROJECT	AMOUNT
9035	7780	390		194.00
9035	7780	392		356.43
9035	7780	394		200.00
9035	7780	399		5.20
			TOTAL EXPENSES	$755.63

Need payment on March 7, 2000


Gateway
Comfort Inn
PLEASE PAY IN ADVANCE
TOTAL PAID
35.02



EXPENSE RECORD

TYPE OF EXPENSE (MEALS, LODGING, TRAVEL, ETC.)

PERSONAL

GUESTS

TITLE

NUMBER BUSINESS

REIMBURSED

Gateway

1030 Interstate Ave. Bismarck, ND 58501

Phone (701) 223-1911

Comfort Inn.

DUNN

7TH STREET NORTH

O, ND 58102

CITY & STATE

ZIP

LIC. NO.

STATE

SUN | MON | TUE | WED | THUR | FRI | SAT | TOTAL

UNIVERSITY SYSTEM

1-20

39-

3.51

000

FIRST INN

WILL BY ☐ CASH ☐ CHECK ☐ CREDIT CARD CO. CREDIT CARD NO.

ROOM NO. 331

NO. IN PARTY 1

PLEASE PAY IN ADVANCE

WE RESERVE THE RIGHT TO REFUSE SERVICE TO ANYONE AND WILL NOT BE RESPONSIBLE FOR ACCIDENTS OR INJURY TO OUR GUESTS OR FOR LOSS OF MONEY OR VALUABLES OF ANY KIND.

TOTAL

PAID

Guest Account

Holiday Inn

Room	Rate	Arrival	Depart	Folio No.	Account	Affiliation	PF	ID	Page
630	42.00	01/23/00	01/28/00	156079	2=CBANK	4=STA	1	ONE	1

EDDIE DUNN
NO NON-SMOKING AVAIL
IONIZER IN ROOM

Check in: 01/23/00 13:01:WG
Check out 01/28/00 08:11 ONE

Date	Code	Reference	ID	Description	Charges	Payments	Balance
	114	0123000	AUD	DISCOUNT ROOM	42.00	.00	42.00
	811	0123001	AUD	ROOM TAX	3.78	.00	45.78
0124	411	7	XXX	701-222-1575	.40	.00	46.18
0124	411	9	XXX	701-222-1575	.40	.00	46.58
0124	811	1498	ZZ1	TERRACE FOOD	4.07	.00	50.65
0124	411	126	XXX	701-222-1575	.40	.00	51.05
0124	411	128	XXX	701-328-2960	.40	.00	51.45
0124	114	0124000	AUD	DISCOUNT ROOM	42.00	.00	93.45
0124	811	0124001	AUD	ROOM TAX	3.78	.00	97.23
0125	811	1617	ZZ1	TERRACE FOOD	9.35	.00	106.58
0125	411	23	XXX	701-222-1575	.40	.00	106.98
0125	411	210	XXX	701-222-1575	.40	.00	107.38
0125	411	264	XXX	701-222-1575	.40	.00	107.78
0125	114	0125000	AUD	DISCOUNT ROOM	42.00	.00	149.78
0125	811	0125001	AUD	ROOM TAX	3.78	.00	153.56
0126	411	239	XXX	701-222-1575	.40	.00	153.96
0126	114	0126000	AUD	DISCOUNT ROOM	42.00	.00	195.96
0126	811	0126001	AUD	ROOM TAX	3.78	.00	199.74
0127	411	19	XXX	701-222-1575	.40	.00	200.14
0127	411	174	XXX	701-222-1575	.40	.00	200.54
0127	114	0127000	AUD	DISCOUNT ROOM	42.00	.00	242.54
0127	811	0127001	AUD	ROOM TAX	3.78	.00	246.32
0128	411	2	XXX	701-222-1575	.40	.00	246.72
0128	411	3	XXX	701-222-1575	.40	.00	247.12

[illegible] THAT MY LIABILITY FOR THIS BILL IS NOT WAIVED AND AGREE TO BE PERSONALLY LIABLE IN THE EVENT THAT THE INDICATED PERSON, COMPANY OR ASSOCIATION FAILS TO PAY THE FULL AMOUNT OF THIS [illegible]

GUEST SIGNATURE

Guest Account

Holiday Inn

Room	Rate	Arrival	Depart	Folio No.	Account	Affiliation	PF	ID	Page
530	.00	/00	28/00	58079	E-CBANK	STA	1	ONE	2

NO NONSMOKING ROOMS AVAILABLE
IONIZER IN ROOM

Check in 01/23/00 15:51 ONE
Check out 01/28/00 08:11 ONE

Date	Code	Reference	ID	Description	Charges	Payments	Balance
28	11	10	XXX	7 [illegible] 6-1575	.40	.00	244.52
28	04	28000	ONE	VISA/MASTERCARD	.00	244.52	.00
TOTAL **							.00

[illegible] DO NOT WAIVE [illegible] THAT THE INDICATED [illegible]

GUEST SIGNATURE

[illegible] HOLIDAY INN HOTELS
[illegible]
[illegible] (701) 223-0400
[illegible] owned and operated by
[illegible] Limited Liability Partnership

Cabinet Agenda

Student Union Prairie Room, Bismarck State College

January 20, 2000—~~8~~ 9 a.m. CT

8:00-8:30	1.	Discussion on legislative priorities—Dr. Etemad (*no enclosure*)
8:30-9:00	2.	Distance education interface guidelines and initiatives—Dr. Hillman
9:00-9:30	3.	Academic Affairs Council proposal on statewide Academic Program Needs Assessment—Dr. Hillman
9:30-2:15	4.	Discussion on Roundtable Task Forces. Please bring the Roundtable Report of November 30, 1999; Goal and Cornerstones worksheets (including list of questions); and any recommendations you may have received from faculty, staff, administration, etc. Also bring at least one copy of the information you have or will be forwarding to the consultants as suggested in the "Guidelines for Recommendations" which you received 1/6/00 (to be filed in the System office). (*no enclosure*)
2:15		Adjourn for Board of Higher Education meeting via conference call. The Cabinet will be connected to the Board conference call at 2:30. The Cabinet will reconvene after the Board meeting if necessary.

~~BSC Food Services will serve lunch at noon. Please pay Sheila $6 to cover the cost of the morning break and lunch.~~ BSC providing

NORTH DAKOTA STATE UNIVERSITY
TRAVEL EXPENSE VOUCHER

REF #TE 22235

Month: February 19 2000 Department: College Technical Education Council

Position: Executive Director

Name: Eddie Dunn

Address: Merrill Hall 112
North Dakota State University

City: Fargo State: ND Zip Code: 58105

NA-ID No. 21144-3

S.S. No. 501-48-2548

POINTS COVERED BY TRAVEL (INCLUDE DEPARTURE AND RETURN TIMES)	3 TOC 388 In State Meals Taxable	4 TOC 388 Out of State Meals Taxable	5 TOC 389 In State Meals Non-Tax	6 TOC 389 Out of State Meals Non-Tax	7 TOC 388 In State Lodging	8 TOC 388 Out of State Lodging	9 TOC 394 In State Mileage	10 TOC 394 Out of State Mileage	11 TOC 388 527/396 Air Trav.	12 TOC 388 Other Travel
4:00pm Fargo-Bismarck			20.00		42.51		200			
Bismarck-Fargo 8:30pm			10.00				200			
4:00pm 9:00pm										
Fargo-Bismarck-Fargo	20.00						400			
7:00am Fargo-Mayville-Fargo	6.00						106			
6:30am Fargo-Mayville-Bismarck			16.00		39.24					
Bismarck-Fargo 8:30pm			10.00							
10:30am 5:00pm										
Fargo-Wahpeton-Fargo	6.00						120			
TOTAL ALL COLUMNS							MI	MI	MI	
LESS NON-REIMBURSABLE ITEMS										
NET EXPENSE REIMBURSEMENT	32.00		56.00		81.75		1026 MI	MI	MI	
							At 30¢	At 10¢	At 35¢	
							$256.50	$	$	
							At 35¢			
							$			

PURPOSE OF TRAVEL/EXPLANATION OF EXPENSES

PLEASE SEE ATTACHED

FUND	DEPARTMENT	TOC	PROJECT	AMOUNT
9035	7780	388		32.00
9035	7780	389		56.00
9035	7780	392		81.75
9035	7780	394		256.50
			TOTAL EXPENSES	9426.25

I HEREBY CERTIFY THAT THE WITHIN ITEMIZED STATEMENT REPRESENTING A CLAIM FOR PAYMENT OF PER DIEM, MILEAGE, OR TRAVEL EXPENSES OR COMBINATION THEREOF, TRUTHFULLY AND ACCURATELY STATES THE DAYS OF SERVICE AND THE MILEAGE TRAVELLED, AND THE PURPOSE THEREOF. I ALSO ACKNOWLEDGE THAT ANY RECEIPT BY ME OF PUBLIC FUNDS IN EXCESS OF THE AMOUNT ALLOWED BY LAW WILL SUBJECT ME TO ACTION BY THE ATTORNEY GENERAL AS PROVIDED BY LAW.

Eddie Dunn 6/30/00
Employee Date

7/10/00
Date

Dept. Head Approval Date

Business Office Approval Date

EXPENSES (February 2000)

February 7:	Meeting on North Dakota population decline.
February 8:	CTEC meeting by IVN. Worked in System office.
February 14:	Series of meetings relating to Workforce Training.

February 16: Cabinet meeting.

February 17: Board meeting in Mayville - (rode with Craig Schnell). Took chartered flight to Bismarck - (paid for by GNDA). Attended Association Executives meeting.

February 18: Meetings with Workforce Training Directors and Bill Goetz - (rode back to Fargo with Jerry Migler).

February 23: Meeting on Workforce Training.

EXPENSE RECORD

ALLOCATION

GUESTS

PERSONAL

BUSINESS

. 39

Gateway

1030 Interstate Ave. Bismarck, ND 58501
Phone (701) 223-1911

Comfort Inn.

NAME

ADDRESS

CITY & STATE

ZIP

YR MAKE OF CAR

LIC. NO.

STATE

REPRESENTING

	SUN	MON	TUE	WED	THUR	FRI	SAT	TOTAL
DATE								
ROOM $								
TAX								
PHONE								

PAYMENT WILL BE MADE BY ☐ CASH ☐ CHECK ☐ CR CARD

CR CARD CO

CR CARD NO

ROOM NO 531

NO IN PARTY

PLEASE PAY IN ADVANCE

WE RESERVE THE RIGHT TO REFUSE SERVICE TO ANYONE AND WILL NOT BE RESPONSIBLE FOR ACCIDENTS OR INJURY TO OUR GUESTS OR FOR LOSS OF MONEY, JEWELRY OR VALUABLES OF ANY KIND

TOTAL

PAID 42 51

02/18/00 FRI 08:30 FAX 701 328 4877 College Tech. Ed. Council

NORTH DAKOTA STATE UNIVERSITY
TRAVEL EXPENSE VOUCHER

REF #TE 22216

Month: January 1/2000 Department: College Technical Education Council

NA-IO No. 21144-3

Position: Executive Director

Name: Eddie Dunn

S.S. No. 501-48-2545

Address: Morrill Hall 112
North Dakota State University

City: Fargo State: ND Zip Code: 58105

POINTS COVERED BY TRAVEL (INCLUDE DEPARTURE AND RETURN TIMES)	1 TCC 390 In State Meals Taxable	2 TCC 390 Out of State Meals Taxable	3 TCC 392 In State Meals Non-Tax	4 TCC 393 Out of State Meals Non-Tax	5 TCC 394 In State Lodging	6 TCC 394 Out of State Lodging	7 TCC 394 In State Mileage	8 TCC 395 Out of State Mileage	9 TCC 395 Off/Site Air Trvl.	10 TCC 399 Other Travel
1										
2										
3										
4										
5										
6										
7										
8										
9										
10 7:00Am										
11 Fargo - Bismarck			16.00		42.51		200			
12 Bismarck			20.00		42.51					
13 Bismarck - Fargo			20.00				200			
14 8:30pm										
15										
16										
17										
18										
19 7:30am										
20 Fargo - Bismarck			16.00		42.51					
21 Bismarck - Fargo			16.00							
22 7:30pm										
23 Fargo 11am-Bismarck			16.00		45.78		200			
24 Bismarck			20.00		45.78					1.60
25 [redacted]marck			20.00		45.78					1.20
26 [redacted]marck			20.00		45.78					.40
27 [redacted]marck			20.00		45.78					.80
28 Bismarck - Fargo			10.00				200			1.20
29 2:30pm										
30										
31										
TOTAL ALL COLUMNS							MI	MI	MI	
LESS NON-REIMBURSABLE ITEMS										
NET EXPENSE REIMBURSEMENT			194.00		356.43		800 MI	MI	MI	5.20
							At $.XX	At $.XX	At $.XX	
							$200.00			
								At $.XX		
								$		

PURPOSE OF TRAVEL/EXPLANATION OF EXPENSES

Miscellaneous: telephone calls 23rd-28th
January 11: NDUS staff meeting
January 12: Staff meeting and conference call with consultants
January 13: CTEC meeting, meeting with Garvin Stevens, Jim Smith at Legislative Council
January 20: Special Cabinet meeting on Higher Education Roundtable (rode on University plane)
January 21: Board orientation with Dick Kunkle and Workforce Directors meeting at BSC (rode back with JMigler)

January 23-28:
Participate in Higher Education Legislative Committee Roundtable Task Force meetings

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[redacted] Date

Department Approval 2-22-00 Date

[redacted] Approval Date

Business Office Approval Date

FUND	DEPARTMENT	TCC	PROJECT	AMOUNT
9035	7780	390		194.00
9035	7780	392		356.43
9035	7780	394		200.00
9035	7780	399		5.20
			TOTAL EXPENSES	$755.63

Red Copy sent on March 7, 2000

02/18/00(FRI) 08:30 [TX/RX NO 6881]

NORTH DAKOTA STATE UNIVERSITY
TRAVEL EXPENSE VOUCHER

REF #TE 22234

Month: March 4d 2000 Department: College Technical Education Council

Classification: Executive Director

[redacted] Eddie Dunn

Address: Merrill Hall 112

North Dakota State University

City: Fargo State: ND Zip Code: 58105

NA-ID No. 21144-1

S.S. No. 501-48-2545

DAY	POINTS COVERED BY TRAVEL (INCLUDE DEPARTURE AND RETURN TIMES)	5 TOG 388 In State Meals Taxable	6 TOG 388 Out of State Meals Taxable	7 TOG 388 In State Meals Non-Tax	8 TOG 391 Out of State Meals Non-Tax	9 TOG 392 In State Lodging	10 TOG 392 Out of State Lodging	11 TOG 394 In State Mileage	12 TOG 394 Out of State Mileage	13 TOG 394 307/308 Air Trvl.	14 TOG 399 Other Travel
1											
2											
3											
4											
5											
6											
7											
8											
9											
10											
11											
12											
13	7:30am 8:00pm										
14	Fargo-Devils Lake-Fargo	16.00						330			
15											
16											
17											
18											
19											
20											
21											
22											
23	6:00am Fargo-Williston			20.00		40.78					
24	Williston-Fargo 3:00pm			10.00							
25											
[redacted]	pm Fargo-Bismarck			10.00		45.78		200			1.50
[redacted]	arck			20.00		45.78					.80
[redacted]	arck			20.00		45.78					.80
[redacted]	Bismarck-Fargo 5:30pm			10.00				200			
30											
31											
	TOTAL ALL COLUMNS							M	M	M	
	LESS NON-REIMBURSABLE ITEMS										
	NET EXPENSE REIMBURSEMENT	16.00		90.00		178.12		730 M	M	M	3.10
								At Rate	At Rate	At Rate	
								$ 182.50		$	
								At Rate			
								$			

PURPOSE OF TRAVEL/EXPLANATION OF EXPENSES

PLEASE SEE ATTACHED

FUND	DEPARTMENT	TOG	PROJECT	AMOUNT
9035	7780	388		16.00
9035	7780	390		90.00
9035	7780	392		178.12
9035	7780	394		182.50
9035	7780	399		3.10
			TOTAL EXPENSES	$469.72

I HEREBY CERTIFY THAT THE WITHIN ITEMIZED STATEMENT REPRESENTING A CLAIM FOR PAYMENT OF PER DIEM, MILEAGE, OR TRAVEL EXPENSES OR COMBINATION THEREOF, TRUTHFULLY AND ACCURATELY STATES THE DAYS OF SERVICE AND THE MILEAGE TRAVELLED; AND THE PURPOSE THEREOF. I ALSO ACKNOWLEDGE THAT ANY RECEIPT BY ME OF PUBLIC FUNDS IN EXCESS OF THE AMOUNT ALLOWED BY LAW WILL SUBJECT ME TO ACTION BY THE ATTORNEY GENERAL AS PROVIDED BY ND LAW.

[redacted] Eddie Dunn — 6/30/00 Date

[redacted] — 7/10/00 Date

Supervisor Approval — Date

[redacted] Approval — Date

Business Office Approval — Date

07/07/00(FRI) 09:27 [TX/RX NO 6722]

EXPENSES (March 2000)

March 14:	Meeting on Workforce Training.
March 23/24:	Cabinet and Board meeting - (rode on NDSU airplane).
March 26:	Pick up consultant at airport and prepare for meetings. (Miscellaneous expense: parking at Bismarck airport)
March 27/28:	Meetings relating to Roundtable. (Miscellaneous expense: telephone calls)
March 29:	Workforce Development Council meeting.

Guest Account

Holiday Inn

Room	Rate	Arrival	Depart	Folio No.	Account	Affiliation	PF	ID	Page
302	42.00	03/26/00	03/27/00	01691	3-CBANK	4 STA	1	INE	1

EDDIE DUNN

Check in 03/26/00 22:29 TWC
Check out 03/26/00

Date	Code	Reference	ID	Description	Charges	Payments	Balance
0326	114	0326000	AUD	DISCOUNT ROOM	42.00	.00	42.00
0326	811	0326001	AUD	ROOM TAX	3.78	.00	45.78
0327	211	1455	ZZ1	TERRACE FOOD	4.37	.00	50.15
0327	411	193	XXX	701-222-1575	.40	.00	50.55
0327	411	200	XXX	701-222-1575	.40	.00	50.95
0327	114	0327000	AUD	DISCOUNT ROOM	42.00	.00	92.95
0327	811	0327001	AUD	ROOM TAX	3.78	.00	96.73
0328	411	14	XXX	701-222-1575	.40	.00	97.13
0328	211	1574	ZZ1	TERRACE FOOD	4.37	.00	101.50
0329	411	314	XXX	701-222-1575	.40	.00	101.90
0328	114	0328000	AUD	DISCOUNT ROOM	42.00	.00	142.90
0329	811	0329001	AUD	ROOM TAX	3.78	.00	147.68

TOTAL $ 147.68

I AGREE THAT MY LIABILITY FOR THIS BILL IS NOT WAIVED AND AGREE TO BE HELD PERSONALLY LIABLE IN THE EVENT THAT THE INDICATED PERSON, COMPANY OR ASSOCIATION FAILS TO PAY THE FULL AMOUNT OF THESE CHARGES.

GUEST SIGNATURE

HOLIDAY INN HOTEL
Sixth and Broadway Ave.
Bismarck, ND 58501
(701) 255-6000 • Fax (701) 223-0400

Independently owned and operated by
Mindakota Limited Partnership.

0701 330 2661 ND UNIV SYSTEM 07/10/00 13:10 P.007/0
College Tech Ed Council --- Larry Isaak

NORTH DAKOTA STATE UNIVERSITY
TRAVEL EXPENSE VOUCHER

REF OTE 22236

Month: April 2000 Department: College Technical Education Council

NA-ID No. 21144-3

[redacted]: Executive Director

[redacted] Eddie Dunn

S.S. No. 501-48-2545

Address: Merrill Hall 112
North Dakota State University

City: Fargo State: ND Zip Code: 58105

DAY	1 POINTS COVERED BY TRAVEL (INCLUDE DEPARTURE AND RETURN TIMES)	2 TCC 388 In State Meals Taxable	3 TCC 389 Out of State Meals Taxable	4 TCC 390 In State Meals Non-Tax	5 TCC 391 Out of State Meals Non-Tax	6 TCC 392 In State Lodging	7 TCC 393 Out of State Lodging	8 TCC 394 In State Mileage	9 TCC 395 Out of State Mileage	10 TCC 396 397/398 Air Tran.	11 TCC 399 Other Travel
1											
2											
3											
4											
5											
6											
7											
8											
9											
10											
11	9:00am 4:00pm										
12	Fargo-Jamestown-Fargo	6.00						200			
13											
14											
15											
16											
17											
18	9:30am Fargo-Bismarck-Rugby			16.00		37.06		350			
19	Rugby-Bismarck			20.00		45.78		150			
20	Bismarck-Fargo 5:30pm			10.00				200			
21											
22											
23											
24											
25											
[redacted]											
28											
29											
30											
31											
	TOTAL ALL COLUMNS							MI	MI	MI	
	LESS NON-REIMBURSABLE ITEMS										
	NET EXPENSE REIMBURSEMENT	6.00		46.00		82.84		900 MI	MI	MI	
								At 25¢ $ 225.00	At 18¢ $	At 36¢ $	
									At 33¢ $		

PURPOSE OF TRAVEL/EXPLANATION OF EXPENSES

PLEASE SEE ATTACHED

FUND	DEPARTMENT	TCC	PROJECT	AMOUNT
9035	7780	388		6.00
9035	7780	390		46.00
9035	7780	392		82.84
9035	7780	394		225.00

TOTAL EXPENSES $359.84

I HEREBY CERTIFY THAT THE WITHIN ITEMIZED STATEMENT REPRESENTING A CLAIM FOR PAYMENT OF PER DIEM, MILEAGE, OR TRAVEL EXPENSES OR COMBINATION THEREOF, TRUTHFULLY AND ACCURATELY STATES THE DAYS OF SERVICE AND THE MILEAGE TRAVELLED, AND THE PURPOSE THEREOF. I ALSO ACKNOWLEDGE THAT ANY RECEIPT BY ME OF PUBLIC FUNDS IN EXCESS OF THE AMOUNT ALLOWED BY LAW WILL SUBJECT ME TO ACTION BY THE ATTORNEY GENERAL AS PROVIDED BY ND LAW.

Eddie Dunn 6/30/00
Traveler's Signature Date

Raina Platt 7/10/00
Supervisor's Signature Date

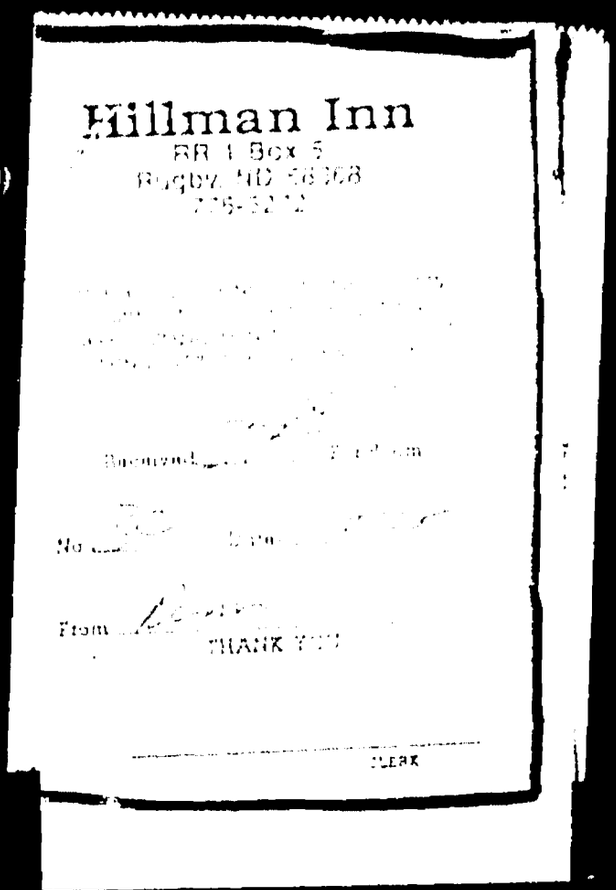
[redacted] Approval Date

Business Office Approval Date

07/07/00(FRI) 08:27 [TX/RX NO 6722]

EXPENSES (April 2000)

April 12:	School-To-Work consortium meeting.
April 18:	Pick up consultants from Bismarck airport and travel to Rugby.
April 19:	Roundtable on Higher Education meeting.
April 20:	Meetings related to Roundtable on Higher Education.



Hillman Inn
BR 1 Box 5
Rugby ND 58368
[illegible]

Received [illegible]

No. [illegible] Date [illegible]

From [illegible]

THANK YOU

CLERK

Guest Account

Holiday Inn

Room	Rate	Arrival	Depart	Folio No.	Account	Affilliation	PF	ID	Page
[illegible]									

EDDIE DUNN

NAME

Date	Code	Reference	ID	Description	Charges	Payments	Balance
041[illegible]	114	041[illegible]	AUD	DISCOUNT COM	48.00	.00	48.00
041[illegible]	811	041[illegible]	AUD	ROOM TAX	3.78	.00	51.78
[illegible]	911	[illegible]	ONE	VISA/MASTERCAR	.00	-51.78	.00

TOTAL

SALES SLIP

EDDIE Y DUNN
133 [illegible]

QTY.	CLASS	DESCRIPTION	PRICE	AMOUNT

DATE | AUTHORIZATION | SUB TOTAL

REFERENCE NO. | REG./DEPT. | TAX

FOLIO/CHECK NO. | SERVER/CLERK | TIP | MISC

OR VISA 5696961 TOTAL 4[illegible]

CUSTOMER SIGNATURE

X

I agree that my liability for this bill is not waived and agree to be held personally liable in the event that the indicated person, company or association fails to pay for any part or the full amount of these charges.

IMPORTANT: RETAIN THIS COPY FOR YOUR RECORDS

CUSTOMER COPY

NORTH DAKOTA STATE UNIVERSITY
TRAVEL EXPENSE VOUCHER

REP #/S# 22237

College Technical
Month: May 19 2000 Department: Education Council

NA-IB No. 21144-3

[redacted] Position: Executive Director

[redacted] Eddie Dunn

S.S. No. 501-48-2545

Address: Merrill Hall 112
North Dakota State University

City: Fargo State: ND Zip Code: 58105

DAY	POINTS COVERED BY TRAVEL (INCLUDE DEPARTURE AND RETURN TIMES)	2 TOC 388 In State Meals Taxable	3 TOC 388 Out of State Meals Taxable	4 TOC 390 In State Meals Non-Tax	5 TOC 391 Out of State Meals Non-Tax	6 TOC 392 In State Lodging	7 TOC 393 Out of State Lodging	8 TOC 394 In State Mileage	9 TOC 395 Out of State Mileage	10 TOC 396 387/395 Air Trav.	11 TOC 399 Other Travel
1											
2	7:30am 5:00pm										
3	Fargo-Jamestown-Fargo	6.00						200			
4											
5											
6											
7											
8											
9											
10											
11											
12											
13											
14											
15	3:00pm Fargo-Bismarck			10.00		42.51		200			
16	Bismarck-Fargo 8:30pm			10.00				200			
17											
18											
19											
20											
21											
22	4:00pm Fargo-Bismarck			10.00		42.51		200			
23	Bismarck			20.00		42.51					
24	[redacted]marck			20.00		42.51					
25	[redacted]marck-Fargo 8:30pm			10.00				200			
26											
27											
28											
29											
30	5:00pm 9:00pm										
31	Fargo-Wahpeton-Fargo	10.00		.00				120			
	TOTAL ALL COLUMNS							MI	MI	MI	
	LESS NON-REIMBURSABLE ITEMS										
	NET EXPENSE REIMBURSEMENT	16.00		80.00		170.04		1120 MI	MI	MI	
								At 28¢	At 18¢	At 36¢	
								$280.00	$	$	
								At 45¢			
								$			

PURPOSE OF TRAVEL/EXPLANATION OF EXPENSES

PLEASE SEE ATTACHED

FUND	DEPARTMENT	TOC	PROJECT	AMOUNT
9035	7780	388		16.00
9035	7780	390		80.00
9035	7780	392		170.04
9035	7780	394		280.00
			TOTAL EXPENSES	$546.04

I HEREBY CERTIFY THAT THE WITHIN ITEMIZED STATEMENT REPRESENTING A CLAIM FOR PAYMENT OF PER DIEM, MILEAGE, OR TRAVEL EXPENSES OR COMBINATION THEREOF, TRUTHFULLY AND ACCURATELY STATES THE DAYS OF SERVICE AND THE MILEAGE TRAVELLED, AND THE PURPOSES THEREOF. I ALSO ACKNOWLEDGE THAT ANY RECEIPT BY ME OF PUBLIC FUNDS IN EXCESS OF THE AMOUNT ALLOWED BY LAW WILL SUBJECT ME TO ACTION BY THE ATTORNEY GENERAL AS PROVIDED BY NO LAW.

Eddie Dunn 6/30/00
Traveler Signature Date

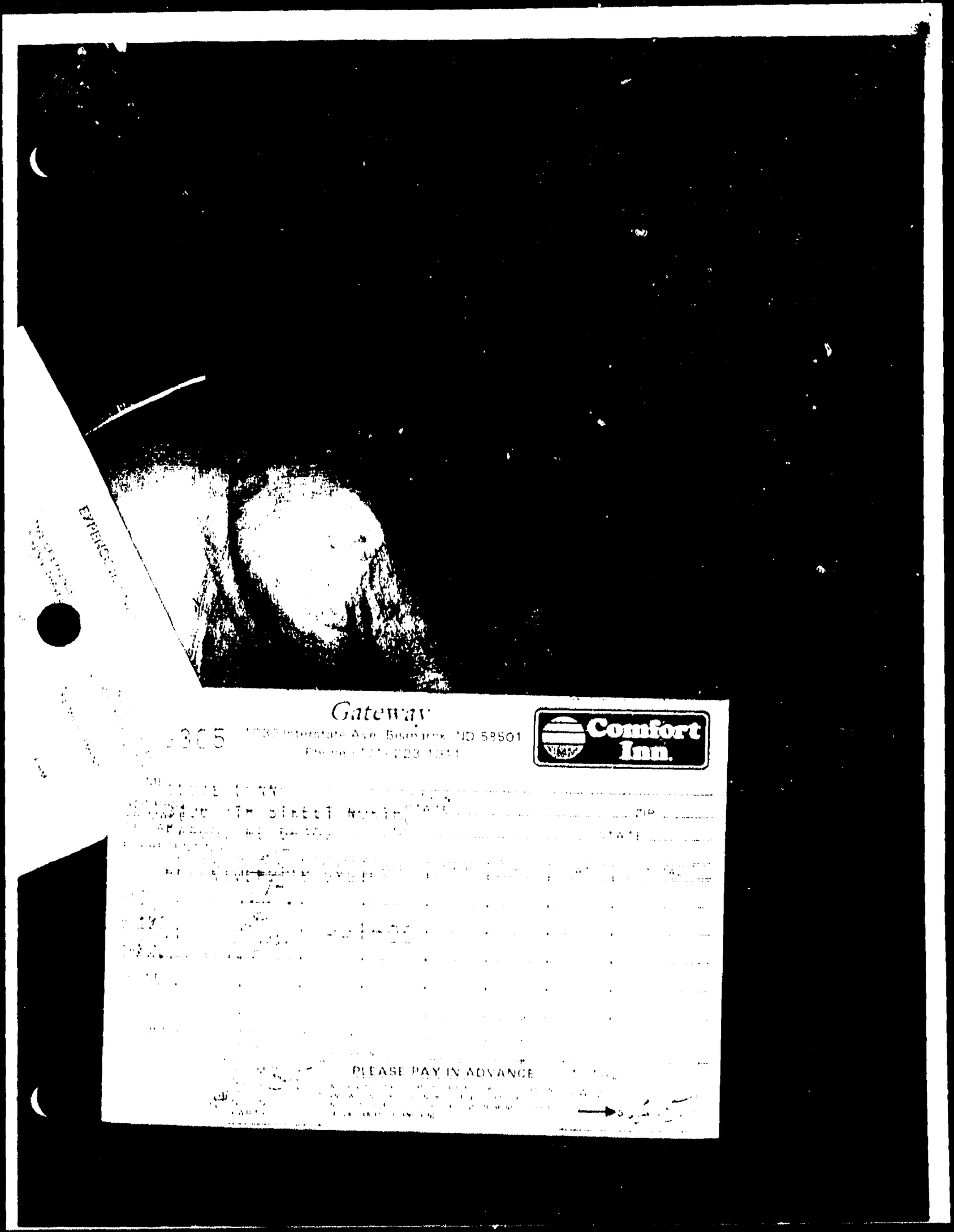
Laura Klatt 7/10/00
Department Head Date

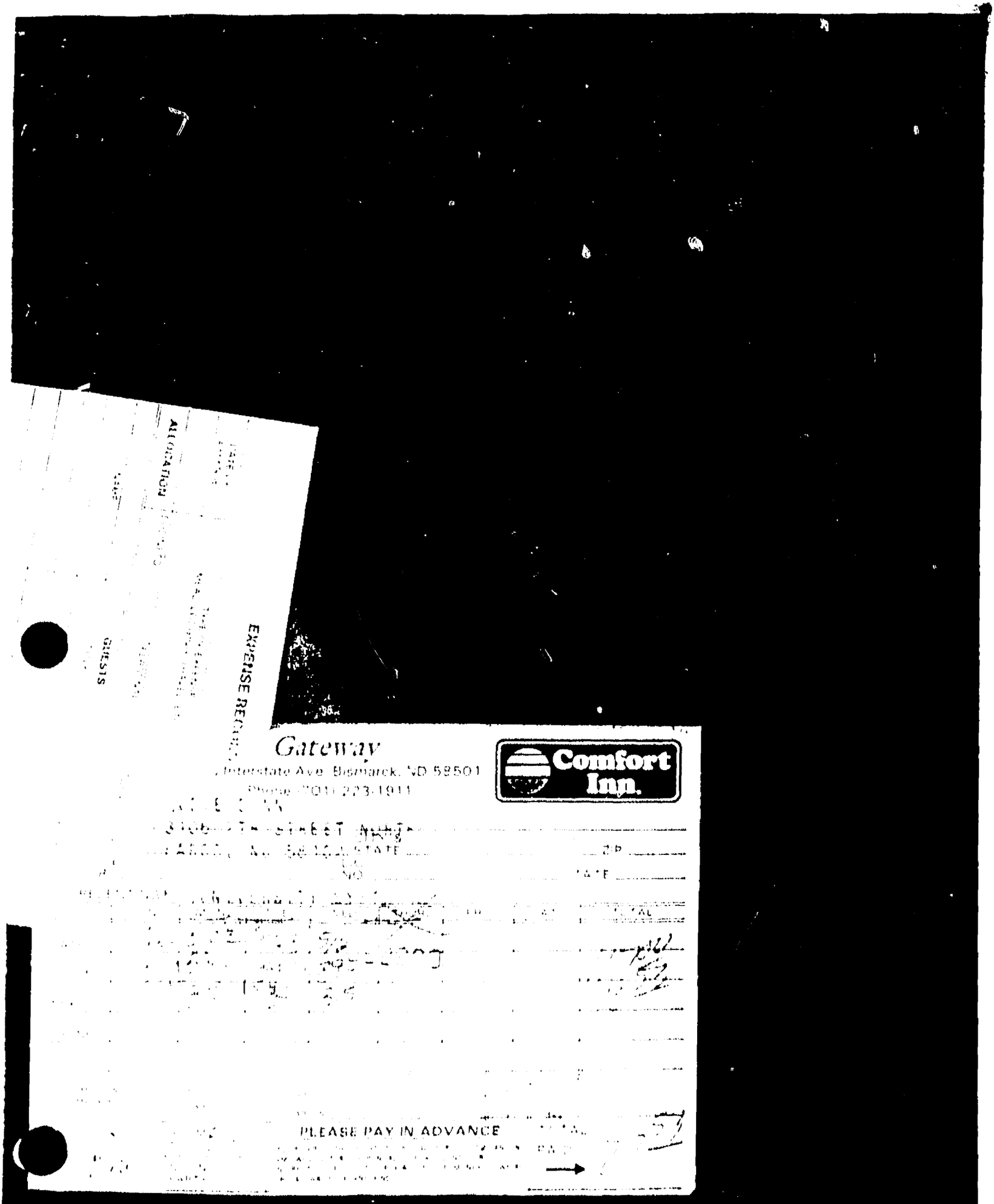
[redacted] Director Approval Date

Business Office Approval Date

EXPENSES (May 2000)

May 3:	System Office staff meeting.
May 15:	Travel to Bismarck for meetings Tuesday morning.
May 16:	CTEC meeting and Workforce Training briefing at Governor's Office and State Agencies.
May 22:	Travel to Bismarck for early meeting on Tuesday.
May 23:	Meeting with Bill Isaacson and SOICC administrator.
May 24:	Meeting with Chancellor Larry Isaak and Senator David Nething.
May 25:	Legislative Council meeting.
May 31:	Meet with new President of NDSCS.


Gateway
Comfort Inn
PLEASE PAY IN ADVANCE


EXPENSE
ALLOCATION
GUESTS
Gateway
Interstate Ave. Bismarck, ND 58501
Comfort Inn.
PLEASE PAY IN ADVANCE

NORTH DAKOTA STATE UNIVERSITY
TRAVEL EXPENSE VOUCHER

REF STR 22238

Month: June 16 2000 Department: College Technical Education Council

NA-ID No. 21144-3

Position: Executive Director

Name: Eddie Dunn

S.S. No. 501-48-2545

Address: Merrill Hall 112
North Dakota State University

City: Fargo State: ND Zip Code: 58105

DAY	POINTS COVERED BY TRAVEL (INCLUDE DEPARTURE AND RETURN TIMES)	1 TCC 0050 In State Meals Taxable	2 TCC 0050 Out of State Meals Taxable	4 TCC 0051 In State Meals Non-Tax	5 TCC 0051 Out of State Meals Non-Tax	6 TCC 0052 In State Lodging	7 TCC 0052 Out of State Lodging	8 TCC 0054 In State Mileage	9 TCC 0054 Out of State Mileage	10 TCC 0055 Airfare Air Taxi	11 TCC 0059 Other Taxable
1											
2	7:00am										
3	Fargo-Rapid City, SD						88.56			355.50	110.00
4	Rapid City-Bismarck			20.00			45.78				20.00
5	Bismarck			20.00			45.78				
6	Bismarck			20.00			45.78				
7	Bismarck-Fargo 7:40pm			10.00						311.00	
8											
9											
10											
11											
12											
13											
14											
15											
16											
17											
18											
19											
20	4:00 pm Fargo-Bismarck			10.00		41.42		200			3.79
21	Bismarck			20.00		41.42					
22	Bismarck-Fargo 8:30pm			20.00				200			
23											
24											
25											
26											
27	7:30am 8:30pm										
28	Fargo-Bismarck-Fargo	16.00						400			
29											
30											
31											
	TOTAL ALL COLUMNS							MI	MI	MI	
	LESS NON-REIMBURSABLE ITEMS										
	NET EXPENSE REIMBURSEMENT	16.00		120.00		82.84		800 MI	MI	666.50	133.79
								At 30¢ $200.00	At 1¢ $	At 80¢ $	
									At 80¢ $		

PURPOSE OF TRAVEL/EXPLANATION OF EXPENSES

PLEASE SEE ATTACHED

FUND	DEPARTMENT	TCC	PROJECT	AMOUNT
9035	7780	388		16.00
9035	7780	390		120.00
9035	7780	392		82.84
9035	7780	393		225.90
9035	7780	394		200.00
9035	7780	397		666.50
9035	7780	399		133.79
			TOTAL EXPENSES	$1,445.03

I HEREBY CERTIFY THAT THE WITHIN ITEMIZED STATEMENT REPRESENTING A CLAIM FOR PAYMENT OF PER DIEM, MILEAGE, OR TRAVEL EXPENSES OR COMBINATION THEREOF, TRUTHFULLY AND ACCURATELY STATES THE DAYS OF SERVICES AND THE MILEAGE TRAVELED, AND THE PURPOSE THEREOF. I ALSO ACKNOWLEDGE THAT ANY REBATE BY ME OF PUBLIC FUNDS IN EXCESS OF THE AMOUNT ALLOWED BY LAW WILL SUBJECT ME TO ACTION BY THE ATTORNEY GENERAL, AS PROVIDED BY NO LAW.

Employee Signature: [signature] Date: 6/30/00

Supervisor Approval: [signature] Date: 7/10/00

Budget Approval Date

Business Office Approval Date

EXPENSES (June 2000)

June 3/4:	Participate in regional Toastmasters training session. (Miscellaneous expense: registration fee/includes meals. Cab fare)
June 5:	System Office staff meeting with Dennis Jones. Meeting with OMB and Governor's Office.
June 6:	Meeting with Mel Olson regarding Program Enhancement Funds. CTEC meeting by IVN.
June 7:	Worked in System Office.
June 20:	Travel to Bismarck for staff retreat. (Miscellaneous expense: telephone call)
June 21:	Staff retreat. Meeting with Project Director of Community College Consortium.
June 22:	Give talk at School-To-Work Institute, meet with State Director of SBVTE, meet with Ellen Chaffee (in Valley City).
June 29:	Meet with Ryan Bernstein, Governor's Office intern, and attend System Office staff meeting.

NORTHWEST AIRLINES

PASSENGER TICKET AND BAGGAGE CHECK
SUBJECT TO CONDITIONS OF CONTRACT

ISSUED BY NORTHWEST AIRLINES

PASSENGER RECEIPT 1 OF 1

0123 18MAY88 [redacted]92 SITI US

ETKT FN1/KD FARE NO

NAME OF PASSENGER (NOT TRANSFERABLE) DUNN/EDDIE — FARE BASIS YUP — TOUR CODE

FROM **NOT VALID FOR* — CARRIER / FLIGHT — CLASS / DATE — THIS IS YOUR RECEIPT

TO **TRANSPORTATION — REVALIDATION

ENDORSEMENT/RESTRICTIONS

ORIGINAL ISSUE — ISSUED IN EXCHANGE FOR — CONJ TKT NO. — PNR CODE 7X25SR/NW

FARE CALCULATION
BIS NW X/MSP Q9.38NW FAR269.77USD279.07END NW Z9B1SMSP XF BIS3MSP3

FARE	USD	279.07
TAX	US	20.93
TAX	ZP	5.00
TAX	XF	6.00
TOTAL	USD	311.00

TOUR FARE PD. — FORM OF PAYMENT CCVI 4198 0043 0655 5289 N0087 046057

STOCK CONTROL NUMBER TK 0120165497054 2

DOCUMENT NUMBER 012 2154695391 1

DUNN/EDDIE

BISMARCK
NW 1868F 06JUN YUP
MINNEAPOLS/STPAUL
NW 3487P 06JUN YUP
FARE CLASS / DATE — TIME

GATE — BOARD TIME — SEAT — SMOKING

ADDITIONAL SEAT INFORMATION

ACCOUNTING NOT VALID FOR TRAVE

DOCUMENT NUMBER 012 2154695391 1

EXPENSE RECORD

TYPE OF EXPENSE (MEAL, LODGING, TRAVEL, ETC.)

BUSINESS | PERSONAL | NUMBER OF BUSINESS | REIMBURSEMENT

GUESTS

TITLE

FIRM

Gateway

1030 Interstate Ave. Bismarck, ND 58501
Phone (701) 223-1911

Comfort Inn

67

[illegible] LYNN
[illegible] 7TH STREET NORTH
[illegible] FARGO, ND 58102

CITY & STATE _____ ZIP _____

LIC. NO. _____ STATE _____

[illegible] UNIVERSITY SYST[illegible]

	SUN.	MON.	TUE.	WED.	THUR.	FRI.	SAT.	TOTAL
	01 [illegible]		6.20	42.10	2000			
	01 [illegible]		38.-	38.-				76.00
	[illegible]		3.42	3.42				6.84

PAYMENT WILL BE MADE BY ☐ CASH ☐ CHECK ☐ CR. CARD

CR. CARD CO. _____

CR. CARD NO. _____

BM

ROOM NO. 231

NO. IN PARTY 1

PLEASE PAY IN ADVANCE

WE RESERVE THE RIGHT TO REFUSE SERVICE TO ANYONE AND WILL NOT BE RESPONSIBLE FOR ACCIDENTS OR INJURY TO OUR GUESTS OR FOR LOSS OF MONEY, JEWELRY OR VALUABLES OF ANY KIND.

TOTAL PAID →

Staff Retreat Agenda
June 21, 2000 – 8 a.m.

Fort Union Room—State Capitol

- ★ Roundtable discussion
- ★ Board functioning for 2000-2001
- ★ Resource Guide
- ★ Update on major projects and completion timelines
- ★ NDUS Work Plan
- ★ Other items
- ★ Date of next staff retreat

North Dakota State University
TRAVEL EXPENSE VOUCHER

Ref # T 55536

Month:	July	Year:	2000	Department:	College Technical Education Council
Official Position:	Executive Director			NA-ID No.	21144-9
Pay To:	Eddie Dunn				
Address:	Morrill 112			S.S. No.	501-48-2545
	NDSU				
City:	Fargo	State:	North Dakota	Zip Code:	58105

DATE	POINTS COVERED BY TRAVEL	Departure Time	Return Time	TCC 388 In State Meals Taxable	TCC 389 Out of State Meals Taxable	TCC 390 In State Meals Non-Tax	TCC 391 Out of State Meals Non-Tax	TCC 392/393 In/Out State Lodging	TCC 394 In State and Mileage	TCC 395 Out of State Mileage	TCC 396 397/398/399 Air Trav. and Other
7	Fargo-Devils Lake-Fargo	10:30am	7:30pm	10.00				45.78	330		
18	Fargo - Bismarck	6:30am				16.00		43.78	200		
19	Bismarck					20.00		43.78			1.50
20	Bismark - Fargo	6:00pm	9:30pm			20.00		45.78	200		
24	Fargo-Bismarck-Fargo	5:30am	8:30pm	20.00					400		
30	Fargo - Bismarck	4:30pm				10.00		45.78	200		.50
31	Bismarck					20.00		45.78			
TOTAL ALL COLUMNS				$30	$0	$86	$0	$179.12	1330 MI	0 MI	$2
									At 25 cents	At 18 cents	
									$332.5	$0	
										GRAND TOTAL	$629.62

Purpose of Travel/Explanation of Expenses:
July 7: Workforce Training Meeting. July 18-19: State Board of Higher Education meeting. July 24: Workoroe Training Meeting. July 30: Travel day. July 31: Cabinet Retreat. Miscellaneous expenses: July 19 - parking at airport; July 30 - telephone call

I HEREBY CERTIFY THAT THE WITHIN ITEMIZED STATEMENT REPRESENTING A CLAIM FOR PAYMENT OF PER DIEM, MILEAGE, OR TRAVEL EXPENSES OR COMBINATION THEREOF, TRUTHFULLY AND ACCURATELY STATES THE DAYS OF SERVICE AND THE MILEAGE TRAVELLED, AND THE PURPOSE THEREOF. I ALSO ACKNOWLEDGE THAT ANY RECEIPT BY ME OF PUBLIC FUNDS IN EXCESS OF THE AMOUNT ALLOWED BY LAW WILL SUBJECT ME TO ACTION BY THE ATTORNEY GENERAL AS PROVIDED BY ND LAW.

Eddie Dunn — 1-2-01 — Date
Individual Signature

[signature] — 1-8-01 — Date
Approval

Note to user: Totals should be equal

FUND	DEPT	TCC	PROJECT	AMOUNT
9035	7780	388		30.00
9035	7780	390		86.00
9035	7780	392		179.12
9035	7780	394		332.50
9035	7780	399		2.00
			TOTAL EXPENSES	$629.62
				Ref # T 55536

179.12 - 183.12
629.62 633.62

NORTH DAKOTA UNIVERSITY SYSTEM

July 10, 2000

The Board of Higher Education will hold its annual retreat in the Executive I Room at the Seven Seas Inn & Conference Center, I-94 & Exit 152, Mandan, ND, Tuesday and Wednesday, July 18-19, 2000. Rooms have been reserved for Board members and NDUS staff at the Seven Seas for Tuesday and Wednesday nights (July 18 & 19, 2000). **The rooms have been guaranteed to our office for late arrival. Please call the motel if you need to cancel your reservation, phone 663-7401.**

Noon July 18, 2000, to 5 p.m. July 19, 2000

1. Opening remarks – Expectations for Board and Retreat—President Isaacson (*no enclosure*)
2. Review of Board and Board member responsibilities—Mr. Seaworth
3. Review of open records and open meetings laws—Mr. Seaworth
4. Discussion on Board agenda format (*no enclosure*)
5. Overview of Roundtable Report implications for Board responsibilities—Mr. Dennis Jones (Campus presidents will join the meeting at this time-approximately 3:30 p.m.) (*No enclosure. Please bring the Roundtable Report and the Recommendations by Proposed Responsibility Supplement that were previously mailed to you.*)
6. Board discussion of Higher Education Roundtable Report (*no enclosure*)
7. Board discussion of critical issues for the NDUS (*no enclosure*)
8. Discussion of SBHE recommendations in Roundtable Report
9. Set objectives for the Board for 2000-2001 (*no enclosure*)
10. Establish Board Committees and Membership—President Isaacson (*no enclosure*)
11. Set the Board budget for 2000-2001 (*to be distributed at meeting*)

State Capitol - 600 E. Boulevard Ave. Dept. 215, Bismarck, North Dakota 58505-0230 - (701) 328-2960
Fax (701) 328-2961 - E-mail NDUS_office@ndus.nodak.edu - Web www.ndus.nodak.edu

The North Dakota University System is governed by the State Board of Higher Education and consists of: Bismarck State College - Dickinson State University - Lake Region State College - Mayville State University - Minot State University - Minot State University-Bottineau Campus - North Dakota State College of Science - North Dakota State University - University of North Dakota - Valley City State University - Williston State College.

12. Discuss expectations (objectives) for the Chancellor and System for 2000-2001 (*no enclosure*)

13. Discussion with campus presidents/review all current campus mission statements

14. Initial planning meeting of newly formed Board committees (*no enclosure*)

15. Institutional Assignments—President Isaacson (*no enclosure*)

Future Board Meetings

- September 21-22, 2000—Dickinson State University
- November16-17, 2000—Lake Region State College
- December 21, 2000—conference call

Members, Board of Higher Education and Chancellor's Cabinet:
Lunch on July 18 & 19 will be served in the Santa Maria Room. Please pay Sheila $6 to cover the cost of each lunch.

July 18, cocktails will be served in the Cutty Sark Room at 6:00 (cash bar) and dinner will be served at 6:30 (off the menu). The Board will reconvene after dinner and work into the evening.

Please let Sheila know by July 14 if you will not be at these meals so that we can give the motel an appropriate count for the meals.

GUEST FOLIO

TIME 07:00 EMP FOLIO # 132823

ARRIVE .8/00 NGTS 2 DEPART 07/20/00

ROOM 108 MKT GG S/A # 101113 T/A #

TYPE OO A 1 K R C E D M

NAME / ADDRESS

DUNN, EDDIE
ND UNIVERSITY SYSTEM

LN	DATE	DESCRIPTION	REFERENCE	
1	07/18/00	REST2	108/ 4/ 4/1081	$3
2	07/18/00	REST1	108/ 36/000 /609	$21
3	07/18/00	ROOM CHARGE	#108 DUNN, EDI	$42
4	07/18/00	TAX		$3
5	07/19/00	ROOM CHARGE	#108 DUNN, EDI	$42
6	07/19/00	TAX		$3
7	07/20/00	VISA		($116.1

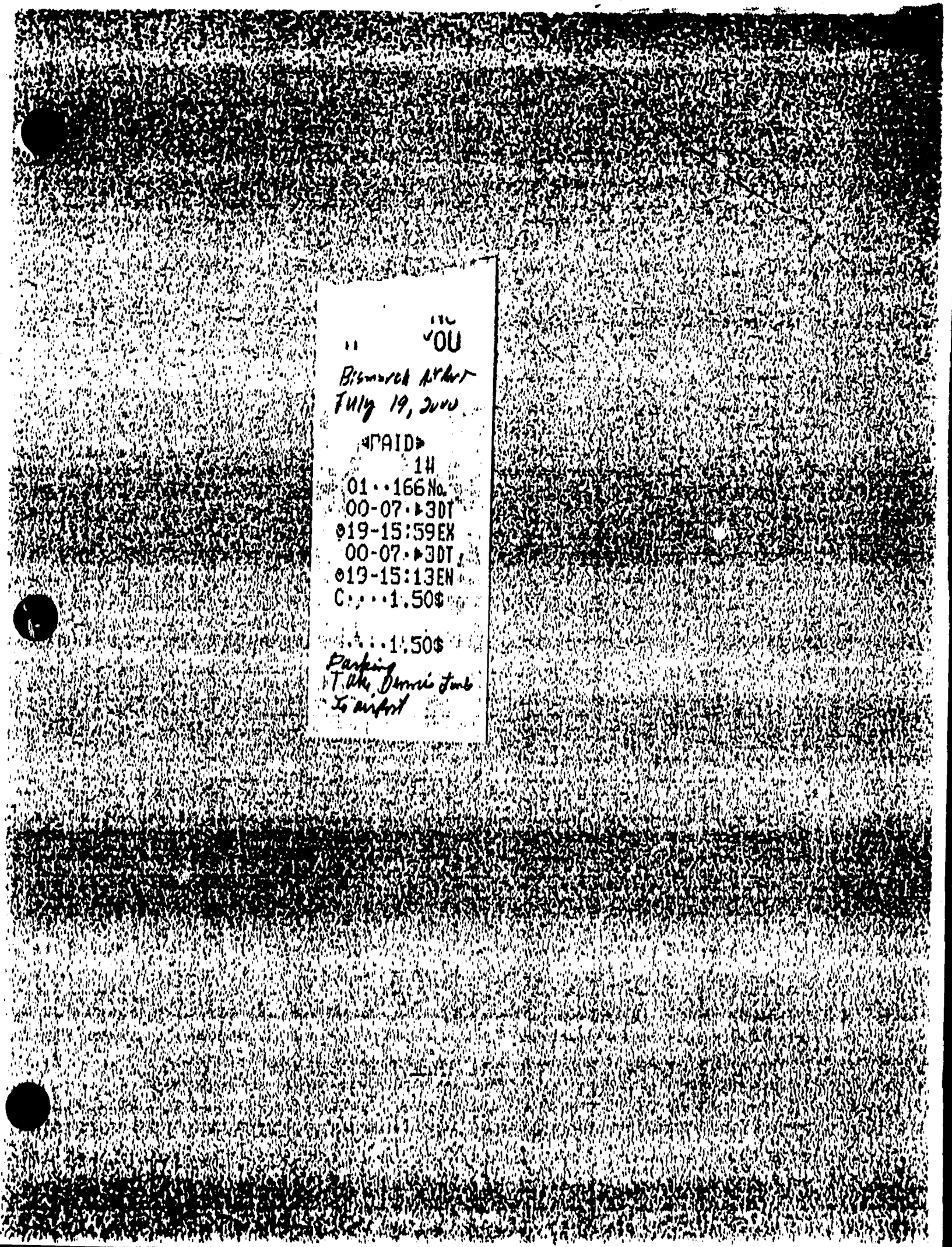
PAY BY 4190004306555289 07/03
GTD BY CC

BW SEVEN SEAS INN
2611 OLD RED TRAIL
MANDAN, ND 58554
Phone 701-663-7401 Fax 701-663-0025

Thanks for staying with us! If you need reservations for this or any other Best Western, just call 1-800-528-1234.

Have a safe trip!

Best Western Hotels are independently owned and operated.



"OU

Bismarck Airport
July 19, 2000

«PAID»
1H
01··166 No.
00-07·▶3DT
◎19-15:59EX
00-07·▶3DT
◎19-15:13EN
C·····1.50$

·····1.50$

Parking
Take Bonnie home
to airport

465368

Gateway

1030 Interstate Ave. Bismarck, ND 58501
Phone (701) 223-1911

Comfort Inn.

NAME ELLIE LUNN
ADDRESS 3106 7TH STREET NORTH CITY STATE ZIP
FARGO, ND 58102
YR-MAKE OF CAR LIC. NO. STATE
REPRESENTING ND UNIVERSITY SYSTEM

	SUN.	MON.	TUE.	WED.	THUR.	FRI.	SAT.	TOTAL
DATE	6757396000		8/8	8/9				
ROOM	1300304679			#1080800				82.–
TAX	6011013290003							738
PHONE	COMFORT INN			Thank You				

PAYMENT WILL BE MADE BY ☐ CASH ☐ CHECK ☒ CR. CARD CR. CARD CO. CR. CARD NO.

Aug 8-9, 2000

Amy W

ROOM NO. 330

NO. IN PARTY 1

PLEASE PAY IN ADVANCE

WE RESERVE THE RIGHT TO REFUSE SERVICE TO ANYONE AND WILL NOT BE RESPONSIBLE FOR ACCIDENTS OR INJURY TO OUR GUESTS OR FOR LOSS OF MONEY, JEWELRY OR VALUABLES OF ANY KIND.

TOTAL PAID → 8938

North Dakota State University
TRAVEL EXPENSE VOUCHER

Ref # T 55616

Month:	September	Year:	2000	Department:	College Technical Education Council		
Official Position:	Executive Director			NA-ID No.	22144-3		
Pay To:	Eddie Dunn						
Address:	Morrill Hall 112			S.S. No.	501-48-2545		
	NDSU Campus						
City:	Fargo	State:	North Dakota	Zip Code:	58105		

DATE	POINTS COVERED BY TRAVEL	Departure Time	Return Time	TCC 388 In State Meals Taxable	TCC 389 Out of State Meals Taxable	TCC 390 In State Meals Non-Tax	TCC 391 Out of State Meals Non-Tax	TCC 392/393 In/Out State Lodging	TCC 394 In State and Mileage	TCC 395 Out of State Mileage	TCC 396 397/398/399 Air Trav. and Other
5	Fargo-Wahpeton-Fargo	10:00am	2:30pm	6.00					120		
12	Fargo-Hebron	5:30pm							255		
13	Hebron-Dickinson-Fargo	7:00am	8:00pm			16.00			325		
20	Fargo-Dickinson	11:30am				16.00		45.78			
21	Dickinson					20.00		45.78			
22	Dickinson-Fargo	11:30am	1:30pm			4.00					
28	Fargo-Bismarck-Fargo	6:00am	9:00pm	20.00					400		
TOTAL ALL COLUMNS				$26	$0	$56	$0	$91.56	1100 MI	0 MI	$0
									At 25 cents	At 18 cents	
									$275	$0	
									GRAND TOTAL		$448.56

Rec'd Jan 23 2001

Purpose of Travel/Explanation of Expenses:

9/5/00: meeting with Workforce Training Director for Southeast Region. 9/12/00: travel day (no expenses - stayed with sister). 9/13/00: meeting with Economic Development group. 9/20/00: Cabinet meeting (University plane) 9/21-22/00: Board meeting (University plane) 9/25/00: Attend New Economy Committee meeting and Cabinet meeting with Aaron Krauter

I HEREBY CERTIFY THAT THE WITHIN ITEMIZED STATEMENT REPRESENTING A CLAIM FOR PAYMENT OF PER DIEM, MILEAGE, OR TRAVEL EXPENSES OR COMBINATION THEREOF, TRUTHFULLY AND ACCURATELY STATES THE DAYS OF SERVICE AND THE MILEAGE TRAVELLED, AND THE PURPOSE THEREOF. I ALSO ACKNOWLEDGE THAT ANY RECEIPT BY ME OF PUBLIC FUNDS IN EXCESS OF THE AMOUNT ALLOWED BY LAW WILL SUBJECT ME TO ACTION BY THE ATTORNEY GENERAL AS PROVIDED BY ND LAW.

Individual Signature — Date 1-12-01

Approval — Date 1-16-01

Note to user: Totals should be equal

FUND	DEPT	TCC	PROJECT	AMOUNT
9035	7780	388		6.00
9035	7780	390		76.00
9035	7780	392		91.56
9035	7780	394		275.00

TOTAL EXPENSES: $448.56

Ref # T 55616

North Dakota State University
TRAVEL EXPENSE VOUCHER

Ref # T 55635

Month:	October	Year:	2000	Department:	College Technical Education Council
Official Position:	Executive Director			NA-ID No.	21144-3
Pay To:	Eddie Dunn				
Address:	Morrill Hall 112			S.S. No.	501-48-2545
	NDSU Campus				
City:	Fargo	State:	North Dakota	Zip Code:	58105

DATE	POINTS COVERED BY TRAVEL	Departure Time	Return Time	TCC 388 In State Meals Taxable	TCC 389 Out of State Meals Taxable	TCC 390 In State Meals Non-Tax	TCC 391 Out of State Meals Non-Tax	TCC 392/393 In/Out State Lodging	TCC 394 In State Mileage	TCC 395 Out of State Mileage	TCC 396 397/398/399 Air Tran. and Other
4	Fargo-Bismarck	6:00am				16.00		44.69	200		
5	Bismarck-Fargo	4:30pm	8:00pm			20.00			200		
18	Fargo-Nashville, Tennessee	6:50am					33.60	168.74			631.83
19	Nashville						42.00	168.74			9.57
20	Nashville						42.00	168.74			1.07
21	Nashville, Tennessee-Fargo	5:40pm	9:45pm				42.00				75.00
TOTAL ALL COLUMNS				$0	$0	$36	$159.6	$550.91	400 MI	0 MI	$717.47
									At 25 cents	At 18 cents	
									$100	$0	
										GRAND TOTAL	$1563.98

Read Payment
Feb 12, 2001

Purpose of Travel/Explanation of Expenses:

October 4: Gave talk at Rural Electric Cooperative Managers meeting. October 5: Meeting with Curt Wolf and Office of Management and Budget. October 18-21: Attend National Association of Community College Trustee meeting. Miscellaneous: Registration ($390); Airfare ($220 + $75 flight change); Fax ($10.83); Shuttle ($11.00); internet access ($9.57); telephone ($1.07)

I HEREBY CERTIFY THAT THE WITHIN ITEMIZED STATEMENT REPRESENTING A CLAIM FOR PAYMENT OF PER DIEM, MILEAGE, OR TRAVEL EXPENSES OR COMBINATION THEREOF, TRUTHFULLY AND ACCURATELY STATES THE DAYS OF SERVICE AND THE MILEAGE TRAVELLED, AND THE PURPOSE THEREOF. I ALSO ACKNOWLEDGE THAT ANY RECEIPT BY ME OF PUBLIC FUNDS IN EXCESS OF THE AMOUNT ALLOWED BY LAW WILL SUBJECT ME TO ACTION BY THE ATTORNEY GENERAL AS PROVIDED BY ND LAW.

[signature] 1-13-01

Individual Signature Date

[signature] 1-16-01

Approval Date

Note to user: Totals should be equal

FUND	DEPT	TCC	PROJECT	AMOUNT
9035	7780	390		36.00
9035	7780	391		159.60
9035	7780	392		44.69
9035	7780	393		506.22
9035	7780	394		100.00
9035	7780	397		295.00
9035	7780	399		422.47

TOTAL EXPENSES $1563.98

Ref # T 55635

469670

Gateway

1030 Interstate Ave. Bismarck, ND 58501
Phone (701) 223-1911

Comfort Inn

NAME Edgar Dixon OCT 4, 2000

ADDRESS Room 112 111 W. H. CITY & STATE ND ZIP 58

YR-MAKE OF CAR _____ LIC. NO. _____ STATE _____

REPRESENTING _____

	SUN.	MON.	TUE.	WED.	THUR.	FRI.	SAT.	TOTAL
DATE				10/4				
ROOM				41				
TAX				3.09				
PHONE								

PAYMENT WILL BE MADE BY ☐ CASH ☐ CHECK ☐ CR. CARD

CR. CARD CO. _____

CR. CARD NO. _____

ROOM NO. 331

NO. IN PARTY 1

PLEASE PAY IN ADVANCE

WE RESERVE THE RIGHT TO REFUSE SERVICE TO ANYONE AND WILL NOT BE RESPONSIBLE FOR ACCIDENTS OR INJURY TO OUR GUESTS OR FOR LOSS OF MONEY, JEWELRY OR VALUABLES OF ANY KIND.

TOTAL

PAID → 44.68

North Dakota State University
TRAVEL EXPENSE VOUCHER

Ref # T 55639

Month:	November	Year:	2000	Department:	College Technical Education Council
Official Position:	Executive Director			NA-ID No.	21144-3
Pay To:	Eddie Dunn				
Address:	Morrill Hall 112			S.S. No.	501-48-2545
	NDSU Campus				
City:	Fargo	State:	North Dakota	Zip Code:	58105

DATE	POINTS COVERED BY TRAVEL	Departure Time	Return Time	TCC 388 In State Meals Taxable	TCC 389 Out of State Meals Taxable	TCC 390 In State Meals Non-Tax	TCC 391 Out of State Meals Non-Tax	TCC 392/393 In/Out State Lodging	TCC 394 In State and Mileage	TCC 395 Out of State Mileage	TCC 396 397/398/399 Air Trns. and Other
1	Fargo-Bismarck	5:00am				16.00		41.42	200		
2	Bismarck-Fargo	5:30pm	9:00pm			20.00			200		
6	Fargo-Bismarck	4:30am				20.00		41.42	200		
7	Bismarck-Fargo	4:30pm	8:00pm			20.00			200		
15	Fargo-Devils Lake	12:00pm				16.00		45.57			
16	Devils Lake-Fargo	8:30pm	9:15pm			20.00					
20	Fargo-Bismarck	5:30am				20.00		44.69	200		
21	Bismarck-Fargo	5:00pm	8:30pm			20.00			200		
30	Fargo-Valley City-Fargo	10:30am	3:45pm	6.00					140		
TOTAL ALL COLUMNS				$6	$0	$152	$0	$173.1	1340 MI	0 MI	$0
									At 25 cents	At 18 cents	
									$335	$0	
										GRAND TOTAL	$666.1

Rec'd
Jan 23 2001

Purpose of Travel/Explanation of Expenses:

November 1: Staff meeting on University System mission and vision statements. November 2: CTEC meeting and meeting with Curt Wolf. November 6: Interview candidates for Public Affairs position. November 7: Staff meeting and meeting with Curt Wolf. November 15: Cabinet meeting (University plane). November 16: State Board of Higher Education meeting (University plane). November 20: Presentation on Workforce Training to State Board of Vocational Technical Education. November 21: Worked in University System office. November 30: Talked at Toastmasters meeting at the request of Board member, Jeanette Satrom.

Note to user: Totals should be equal

FUND	DEPT	TCC	PROJECT	AMOUNT
9035	7780	388		6.00
9035	7780	390		152.00
9035	7780	392		173.10
9035	7780	394		335.00
			TOTAL EXPENSES	$666.1
				Ref # T 55639

I HEREBY CERTIFY THAT THE WITHIN ITEMIZED STATEMENT REPRESENTING A CLAIM FOR PAYMENT OF PER DIEM, MILEAGE, OR TRAVEL EXPENSES OR COMBINATION THEREOF, TRUTHFULLY AND ACCURATELY STATES THE DAYS OF SERVICE AND THE MILEAGE TRAVELLED, AND THE PURPOSE THEREOF. I ALSO ACKNOWLEDGE THAT ANY RECEIPT BY ME OF PUBLIC FUNDS IN EXCESS OF THE AMOUNT ALLOWED BY LAW WILL SUBJECT ME TO ACTION BY THE ATTORNEY GENERAL AS PROVIDED BY ND LAW.

Individual Signature — Date 1-13-01

Approval — Date 1-16-01

[redacted]

COMFORT INN
1030 INTERSTATE AVE
BISMARCK, ND 58502
(701) 223-1911

Account: 104429
Date: 11/02/00
Page: 1 Of 1
Room: 322 SGM
Arrival Date: 11/01/00 17:16
Departure Date: 11/02/00 07:47
Frequent Traveler ID:
You were checked out by: LF
You were checked in by: JS

[redacted]
[redacted] 7TH STREET NORTH
[redacted], ND [redacted]

[redacted]	ROOM CHARGE	#322 DUNN, EDDIE	38.00
[redacted]	STATE TAX	STATE TAX	1.90
[redacted]	CITY/COUNTY TAX	CITY/COUNTY TAX	1.52
[redacted]	VISA PAYMENT	CHECKOUT Acct: XXXXXXXXXXXX5289 Exp: 07/03	-41.42
		Balance Due:	0.00

If payment by credit card, I agree to pay the above total charge amount according to the card issuer agreement.

X _____

[redacted]

COMFORT INN
1036 INTERSTATE AVE
BISMARCK, ND 58502
(701) 223-1911

Account: 104512
Date: 11/07/00
Page: 1 Of 1
Room: 331 SGM
Arrival Date: 11/06/00 18:54
Departure Date: 11/07/00 07:23
Frequent Traveler ID:
You were checked out by: LK
You were checked in by: BM

[redacted]
[redacted]
[redacted]

[redacted]	ROOM CHARGE	#331 DUNN, EDDIE	38.00
[redacted]	STATE TAX	STATE TAX	1.90
[redacted]	CITY/COUNTY TAX	CITY/COUNTY TAX	1.52
[redacted]	VISA PAYMENT	CHECKOUT Acct: XXXXXXXXXXXX5289 Exp: 07/03	-41.42
		Balance Due:	0.00

[redacted] payment by credit card, I agree to pay the above total charge amount according to the card issuer agreement.

X _____

[redacted]

COMFORT INN
1030 INTERSTATE AVE
BISMARCK, ND 58502
(701) 223-1911

Account: 106749
Date: 11/21/00
Page: 1 Of 1
Room: 355 SCR
Arrival Date: 11/20/00 18:16
Departure Date: 11/21/00 07:23
Frequent Traveler ID:
You were checked out by: TB
You were checked in by: JK

[redacted]
[redacted] 7TH STREET NORTH
[redacted], ND 58102

[redacted]	ROOM CHARGE	#355 DUNN, EDDIE	41.00
[redacted]	STATE TAX	STATE TAX	2.05
[redacted]	CITY/COUNTY TAX	CITY/COUNTY TAX	1.64
[redacted]	VISA PAYMENT	CHECKOUT Acct: XXXXXXXXXXXX5289 Exp: 07/03	-44.69
		Balance Due:	0.00

If payment by credit card, I agree to pay the above total charge amount according to the card issuer agreement.

X _____

North Dakota State University
TRAVEL EXPENSE VOUCHER

Ref # T 55641

Month:	December	Year:	2000	Department:	College Technical Education Council
Official Position:	Executive Director			NA-ID No.	21144-3
Pay To:	Eddie Dunn				
Address:	Merrill Hall 112			S.S. No.	501-48-2545
	NDSU Campus				
City:	Fargo	State:	North Dakota	Zip Code:	58105

DATE	POINTS COVERED BY TRAVEL	Departure Time	Return Time	TCC 388 In State Meals Taxable	TCC 389 Out of State Meals Taxable	TCC 390 In State Meals Non-Tax	TCC 391 Out of State Meals Non-Tax	TCC 392/393 In/Out State Lodging	TCC 394 In State and Mileage	TCC 395 Out of State Mileage	TCC 396 397/398/399 Air Trav. and Other
4	Fargo-Wahpeton-Fargo	3:00pm	7:00pm	10.00					120		
7	Fargo-Bismarck	5:30am				20.00		44.69	200		
8	Bismarck-Fargo	4:00pm	8:30pm			20.00			200		
13	Fargo-Bismarck	11:30am				16.00		45.78	200		
14	Bismarck-Fargo	4:00pm	7:30pm			10.00			200		
19	Fargo-Bismarck	5:00pm				10.00		44.69	200		
20	Bismarck-Fargo	2:00pm	5:30pm			10.00			200		
TOTAL ALL COLUMNS				$10	$0	$86	$0	$135.16	1320 MI	0 MI	$0
									At 25 cents	At 18 cents	
									$330	$0	
									GRAND TOTAL		$561.16

Rec'd
Jan 23, 2001

1/12/01 3:17 PM

Purpose of Travel/Explanation of Expenses:
December 4: Meeting with Sharon Hart, Bob Ostle and Jerry Miller. December 7: Attend budget address by Governor Schafer and attend meeting of Cabinet. December 8: Information Technology Curriculum meeting and CTEC meeting. December 13: Meeting with Governor-Elect Hoeven. December 14: Staff retreat. December 20: Meeting with Lee Peterson, Chuck Stroup and Larry Isaak.

I HEREBY CERTIFY THAT THE WITHIN ITEMIZED STATEMENT REPRESENTING A CLAIM FOR PAYMENT OF PER DIEM, MILEAGE, OR TRAVEL EXPENSES OR COMBINATION THEREOF, TRUTHFULLY AND ACCURATELY STATES THE DAYS OF SERVICE AND THE MILEAGE TRAVELLED, AND THE PURPOSE THEREOF. I ALSO ACKNOWLEDGE THAT ANY RECEIPT BY ME OF PUBLIC FUNDS IN EXCESS OF THE AMOUNT ALLOWED BY LAW WILL SUBJECT ME TO ACTION BY THE ATTORNEY GENERAL AS PROVIDED BY ND LAW.

Eddie Dennis 1-13-01
Individual Signature Date

[signature] 1-16-01
Approval Date

Note to user: Totals should be equal

FUND	DEPT	TCC	PROJECT	AMOUNT
9035	7780	388		10.00
9035	7780	390		86.00
9035	7780	392		135.16
9035	7780	394		330.00
			TOTAL EXPENSES	$561.16

Ref # T 55641

[redacted]

COMFORT INN
1030 INTERSTATE AVE
BISMARCK, ND 58502
(701) 223-1911

[redacted]
Date 12/08/00
Page 1 of 1
Room: 355 SCR
Arrival Date: 12/07/00 16:13
Departure Date: 12/08/00 06:58
Frequent Traveler ID:
You were checked out by: LK
You were checked in by: BM

DUNN, EDDIE

3106 7TH STREET NORTH
FARGO, ND 58102

Post Date			
12/07/00	ROOM CHARGE	#355 DUNN, EDDIE	41.00
12/07/00	STATE TAX	STATE TAX	2.05
12/07/00	CITY/COUNTY TAX	CITY/COUNTY TAX	1.64
12/08/00	VISA PAYMENT	CHECKOUT Acct: XXXXXXXXXXXX5269 Exp: 07/03	-44.69
		Balance Due:	0.00

If payment by credit card, I agree to pay the above total charge amount according to the card issuer agreement.

x _____

NORTH DAKOTA UNIVERSITY SYSTEM OFFICE - TRAVEL EXPENSE VOUCHER

NAME *Sheila Lobke* MONTH *Nov.* *99* SOCIAL SECURITY NO. *501-74-8774*

Day	Description							Meals				
								B L D				
1								B L D				
2								B L D				
3								B L D				
4								B L D				
5								B L D				
6								B L D				
7								B L D				
8								B L D				
9								B L D				
10								B L D				
11								B L D				
12								B L D				
13								B L D				
14								B L D				
15								B L D				
16								B L D				
17								B L D				
18								B L D				
19								B L D				
20								B L D				
21								B L D				
22								B L D				
23								B L D				
24								B L D				
								B L D				
								B L D				
								B L D				
28								B L D				
29								B L D				
30	*Staff Retreat - Bismarck*							B (L) D		*6 —*		
31								B L D				
								B L D				

Purpose of Travel and Explanation of Expenses

SHADED AREAS TO BE COMPLETED BY OFFICE STAFF

Lodg. in State	2115	
Lodg. out of State	2116	
Meals in State	2110	
Meals out of State	2111	
IRS Meals Taxable	2140	*6 —*
Miscellaneous Expense		
Miscellaneous Expense		
Other Transportation in State	2124	
Other Transportation out of State	2125	
Air Transportation in State	2122	
Air Transportation out of State	2123	
Vehicle Miles in State	2120	
Vehicle Miles out of State	2121	
Cost Center: *89572* Apply to: FM *[illegible]* BIEN *[illegible]* TOTAL EXPENSES		*6 —*

I hereby certify that the within itemized statement representing a claim for payment or per diem, mileage or travel expenses or a combination thereof, truthfully and accurately states the days of service and the mileage traveled, and the purpose thereof.

Sheila Lobke *12/2/99*

Employee Signature Date

R Schott *12/2/99*

Departmental Approval Date

PAYMENT INFORMATION:

Nontaxable Payment *0* Taxable Portion *6 —*

Check #: Date Paid: *12-8-99 AR Check*

BOARD PER DIEM (SALARY) DAYS USED TO DATE:

	FY To Date	This Month	Totals
BUDGETED DAYS – TOTAL USED:			

PLEASE REFER TO REVERSE SIDE FOR TRAVEL POLICIES/PROCEDURES.

MAIL TO: North Dakota University System, 600 E Blvd Avenue, Dept 215, Bismarck, ND 58505-0230

Please submit one form per month by the 3rd day of the following month.

Staff Retreat Agenda
November 30, 1999 – noon

Bismarck State College Office Building
1930 Burnt Boat Drive

- Brief reports on the various councils:
  - Academic Affairs – Mike H.
  - Administrative Affairs – Laura
  - Financial Aid – Peggy
  - Human Resources – Mike S.
  - Student Affairs – Pat
  - CTEC – Eddie
- Update from Larry on what's going on in the NDUS
  - Legislative Study
  - Cabinet Task Forces
  - Board Members
  - Presidential Searches
  - Other items
- NDUS Workplan/Six-Year Plan and Board/Cabinet Decision Schedule – Larry
- Status of NDUS web site – Terry
- Update on NDUS Viewbook – Terry
- Casual Day Fund – Tammey and Tamra
- Christmas Party – Larry
- Date of Next Staff Retreat

g:\terry\terry\Staff Retreat Agenda November 1999.doc

NORTH DAKOTA UNIVERSITY SYSTEM OFFICE - TRAVEL EXPENSE VOUCHER

NAME *Sheila Stoke* | MONTH *Feb* | YEAR *2000* | SOCIAL SECURITY NO. *501-74-8774*

Day	Points Traveled	Depart	Arrive	Vehicle Miles	[illegible]	[illegible]	[illegible]	Meals (Circle if Charged)	Lodging	Meals Amount
								B L D		
1								B L D		
2								B L D		
3								B L D		
4								B L D		
5								B L D		
6								B L D		
7								B L D		
8								B L D		
9								B L D		
10								B L D		
11								B L D		
12								B L D		
13								B L D		
14								B L D		
15								B L D		
16	*to Mayville*	*8:15am*						B L (D)	*34.70*	*10 —*
17							*5.00*	B L (D)	*34.70*	*10 —*
18	*Return*		*4pm*				~~[illegible]~~	B (L) D		*6 —*
19								B L D		
20								B L D		
21								B L D		
22								B L D		
23								B L D		
24	*Staff Retreat*							B (L) D		*6 —*
25								B L D		
26								B L D		
27								B L D		
28								B L D		
29								B L D		
30								B L D		
31								B L D		
								B L D		

Purpose of Travel and Explanation of Expenses

Board of Higher Ed + Cabinet meetings

24th - Bismarck

SHADED AREAS TO BE COMPLETED BY OFFICE STAFF

Item	Code	Amount
Lodg. in State	2115	*69 40*
Lodg. out of State	2116	
Meals in State	2110	*26 —*
Meals out of State	2111	
IRS Meals Taxable	2140	*6 —*
Miscellaneous Expense	*2280*	*5 —*
Miscellaneous Expense		
Other Transportation in State	2124	
Other Transportation out of State	2125	
Air Transportation in State	2122	
Air Transportation out of State	2123	
Vehicle Miles in State	2120	
Vehicle Miles out of State	2121	
Cost Center: *#9734* *106.40* ... *100.40 trans*	TOTAL EXPENSES	*106 40*

PAYMENT INFORMATION

Nontaxable Payment: *100.40* Taxable Portion: *6 —*

Check #: *5678448* Date Paid: *3-2-00*

BOARD PER DIEM (SALARY) DAYS USED TO DATE:

FY To Date | This Month | Total:

BUDGETED DAYS -- TOTAL USED:

I hereby certify that the within itemized statement representing a claim for payment or per diem, mileage or travel expenses or a combination thereof, truthfully and accurately states the days of service and the mileage traveled, and the purpose thereof.

Sheila Stoke *2/28/00*

Employee Signature Date

[signature] *2/28/00*

Departmental Approval Date

PLEASE REFER TO REVERSE SIDE FOR TRAVEL POLICIES/PROCEDURES.

MAIL TO: North Dakota University System, 600 E Blvd Avenue, Dept 215, Bismarck, ND 58505-0230

Please submit one form per month by the 3rd day of the following month.

Staff Retreat Agenda
February 24, 2000 – noon

Bismarck State College Office Building
1930 Burnt Boat Drive

☑ Update from Larry on what's going on in the NDUS

- Presidential Searches
- Presentations to SBHE/Legislators/etc.

☑ Update on Task Force Cornerstones – Larry, Laura, Mike H., and Eddie

☑ Workforce Development and how its tied to the Cornerstones – Larry and/or Eddie

☑ Opinion Survey of the North Dakota Association of Executives -- Eddie

☑ NDUS Workplan/Six-Year Plan and Board/Cabinet Decision Schedule – Larry

☑ NDUS Web Site Update -- Terry

☑ Where are we at with the Receptionist position – Terry

☑ A New Copier – Terry

☑ LAN to ITD – Terry

☑ Christmas Party – Larry

☑ Date of Next Staff Retreat

☑ Video on *Technology and the Future of Rural America* – Bill Owens

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NORTH DAKOTA UNIVERSITY SYSTEM OFFICE - TRAVEL EXPENSE VOUCHER

NAME Laura Glatt | MONTH August YEAR 99 | SOCIAL SECURITY NO. 502-90-6811

Day							Meals		
							B L D		
1							B L D		
2							B L D		
3							B L D		
4	Bismarck					(2) consultants lunch 21.95	B (L) D		
5							B L D		
6							B L D		
7							B L D		
8							B L D		
9							B L D		
10							B L D		
11							B L D		
12							B L D		
13							B L D		
14							B L D		
15							B L D		
16							B L D		
17	To Denver $30 day rate / ✓ $42 day meal rate	11:15AM				shuttle 88.00	B (L) D		[illegible]
18	To Breckenridge	6:00pm					(B) L (D)	101.57	21 —
19							(B) (L) D	101.57	15 —
20							(B) L D	101.57	[illegible] —
21	Return Bismarck		7:30pm				(B) (L) D		15 —
22							B L D		
23							B L D		
24	Bismarck						B (L) D		6 —
25							B L D		
26							B L D		
27	Bismarck						B (L) D		6 —
28							B L D		
29							B L D		
30							B L D		
31							B L D		
							B L D		

Purpose of Travel and Explanation of Expenses

Aug. 4 - Mtg with Spady, Schwan & Jones - consultants on 21st Century Roundtable Init.

Aug. 17 - mtg. with TIAA-CREF airfare/lodging pd. by TIAA

Aug. 19-21 Attend SHEEFO Mtg. in Brekenridge

Aug. 24 - Luncheon mtg. with Grant Crawford & Mike Hillman RE: IT Standards

Aug. 27 - Staff retreat

SHADED AREAS TO BE COMPLETED BY OFFICE STAFF

[illegible]	2210	
[illegible]	2210	304.71
[illegible]	2210	
[illegible]	2210	69.60
[illegible]	2210	11 —
[illegible]		21.95
[illegible]		
[illegible]		
[illegible]		88 —
[illegible]		
[illegible]		
[illegible]		
[illegible]		
TOTAL		502.26

I hereby certify that the within itemized statement representing a claim for payment or per diem, mileage or travel expenses or a combination thereof, truthfully and accurately states the days of service and the mileage traveled, and the purpose thereof.

Laura Glatt — Signature — 10-4-99 — Date

[signature] — Departmental Approval — 10/4/99 — Date

BUDGETED DAYS - TOTAL USED: | Prior | This Month | Total

g:\nifah\ancaf\604chef\forms\revtravfrm 06/98

PLEASE REFER TO REVERSE SIDE FOR TRAVEL POLICIES/PROCEDURES.

MAIL TO: North Dakota University System, 600 E Blvd Avenue, Dept 215, Bismarck, ND 58505-0230

Please submit one form per month by the 3rd day of the following month.

Staff Retreat Agenda
August 27, 1999 – 8:30

Bismarck State College Office Building
1930 Burnt Boat Drive

- Update from Larry on what's going on in the NDUS
  - Legislative Study
  - Cabinet Task Forces
  - Board Members
  - Other items
- ACCESS Demo – Julie
- NDUS Workplan/Six-Year Plan and Board/Cabinet Decision Schedule – Larry
- Educational film on athletic team mascots – Rhonda
- Employee Suggestion Incentive Program
- Ethernet switch -- Anna
- Status of NDUS web site – Terry
- On-Line Letterhead
- Casual Day Fund
- Christmas Party
- Date of Next Staff Retreat

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NORTH DAKOTA UNIVERSITY SYSTEM OFFICE - TRAVEL EXPENSE VOUCHER

NAME Laura Glatt | MONTH Feb. | YEAR 00 | SOCIAL SECURITY NO. 502-90-6811

	Points Covered by Travel	Hour (AM or PM) Depart.	Hour (AM or PM) Arrival	Vehicle Miles	Comm'l Air Trans.	Taxi Other Trans.	Misc. Exp.	Circle Meals Claimed	Lodging	Meals Dollar Amount
								B L D		
1								B L D		
2								B L D		
3								B L D		
4								B L D		
5								B L D		
6								B L D		
7								B L D		
8	Bismarck	8:30 A	3:30 p					B (L) D		6 —
9								B L D		
10								B L D		
11	To Carrington – return	7:30 A	5:00 p					B (L) D		6 —
12								B L D		
13								B L D		
14								B L D		
15								B [illegible] D		
16	To Mayville	9:30 A						B (L) (D)	40.43	16 —
17								B L (D)	40.43	10 —
18	Return Bismarck		3:30 p					B (L) D		6 —
19								B L D		
20								B L D		
21								B L D		
22								B L D		
23								B L D		
24	Bismarck	Noon	3:30 pm					B (L) D		6 —
25								B L D		
26								B L D		
27								B L D		
28								B L D		
29								B L D		
30								B L D		
31								B L D		

Purpose of Travel and Explanation of Expenses

2/8 Admin. Affairs

2/11 Faculty and Staff Salary Comm

2/16-18 Cabinet & Bd. mtg

2/24 Staff retreat

SHADED AREAS TO BE COMPLETED BY OFFICE STAFF

Lodg. in State	2115	90	86
Lodg. out of State	2116		
Meals in State	2110	32	—
Meals out of State	2111		
IRS Meals Taxable	2140	18	—
Miscellaneous Expense			
Miscellaneous Expense			
Other Transportation in State	2124		
Other Transportation out of State	2125		
Air Transportation in State	2122		
Air Transportation out of State	2123		
Vehicle Miles in State	2120		
Vehicle Miles out of State	2121		
Cost Center 4c9726 Apply to: FM [illegible] BEH 29 TOTAL EXPENSES		130	86

PAYMENT INFORMATION

Nontaxable Payment 112.86 Taxable Portion 18 —

Check # ACH Dep. Date Paid: 3-2-00

BOARD PER DIEM (SALARY) DAYS USED TO DATE:

	FY To Date	This Month	Total
BUDGETED DAYS -- TOTAL USED:			

I hereby certify that the within itemized statement representing a claim for payment or per diem, mileage or travel expenses or a combination thereof, truthfully and accurately states the days of service and the mileage traveled, and the purpose thereof.

Laura Glatt 2/29/00

Signature Date

[illegible signature] [illegible date]

Departmental Approval Date

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PLEASE REFER TO REVERSE SIDE FOR TRAVEL POLICIES/PROCEDURES.

MAIL TO: North Dakota University System, 600 E Blvd Avenue, Dept 215, Bismarck, ND 58505-0230

Please submit one form per month by the 3rd day of the following month.

NORTH DAKOTA UNIVERSITY SYSTEM OFFICE - TRAVEL EXPENSE VOUCHER

Name: Mike Hillman | MONTH: 11 | YEAR: 99 | SOCIAL SECURITY NO.: 186421143

	Place Covered By Travel	Time (A.M. or P.M.) Depart.	Time (A.M. or P.M.) Arrive	Vehicle Miles	Common Air Trans.	Taxi/ Other Trans.	Misc. Exp.	CHECK MEALS CLAIMED B L D	Lodging	Meals Dollar Amount
1								B L D		
2	Carr/Stuart Return	7:30A	6P					B (L) D		6 —
3								B L D		
4								B L D		
5								B L D		
6								B L D		
7	Williston	6 PM						B L (D)	39.91	10 —
8	Return		7:30P					(B) L (D)		14 —
9								B L D		
10								B L D		
11								B L D		
12								B L D		
13								B L D		
14								B L D		
15								B L D		
16								B L D		
17	Minot	10A						B (L) D	45.78	6 —
18								(B) L (D)	45.78	14 —
19	Return		4P					(B) (L) D		10 —
20								B L D		
21								B L D		
22								B L D		
23								B L D		
24								B L D		
25								B L D		
26								B L D		
27								B L D		
28								B L D		
29								B L D		
30	Bismarck							B (L) D		6 —
31								B L D		

Purpose of Travel and Explanation of Expenses

2 AAC mtg
AWSC NCA visit
17-19 Cabinet + Board Mtgs.
20 Staff retreat - OSC

SHADED AREAS TO BE COMPLETED BY OFFICE STAFF			
Lodg. in State	2116	131	47
Lodg. out of State	2116		
Meals in State	2110	54	—
Meals out of State	2111		
IRS Meals Taxable	2140	12 —	
Miscellaneous Expense			
Misc./Lagoon Expense			
Other Transportation in State	2124		
Other Transportation out of State	2125		
Air Transportation in State	2122		
Air Transportation out of State	2123		
Vehicle Miles in State	2120		
Vehicle Miles out of State	2121		
Cost Center: #9576	Apply to: FM [illegible] SIEN 99 TOTAL EXPENSES	197	47

I hereby certify that the within itemized statement representing a claim for payment or per diem, mileage or travel expenses or a combination thereof, truthfully and accurately states the days of service and the mileage traveled, and the purpose thereof.

[signature] 12/1/99
Employee Signature Date

[signature] 12/2/99
Departmental Approval Date

PAYMENT INFORMATION
Nontaxable Payment: 185.47 Taxable Portion: 12 — 12-8-99 A/R
Check #: 599757 Date Paid: 12-3-99

BOARD PER DIEM (SALARY) DAYS USED TO DATE:

	FY To Date	This Month	Totals
BUDGETED DAYS – TOTAL USED:			

g:\shared\mlichoff\forms\travel.frm 06/99

PLEASE REFER TO REVERSE SIDE FOR TRAVEL POLICIES/PROCEDURES.
MAIL TO: North Dakota University System, 600 E Blvd Avenue, Dept 215, Bismarck, ND 58505-0230

Please submit one form per month by the 3rd day of the following month

NORTH DAKOTA UNIVERSITY SYSTEM OFFICE - TRAVEL EXPENSE VOUCHER

NAME: Mike Hillman | MONTH: 12 | YEAR: 00 | SOCIAL SECURITY NO.: 186421143

Day	Points Covered By Travel	Hour (AM or PM) Depart	Hour (AM or PM) Arrival	Vehicle Miles	Comm'l Air Trans.	Taxi/Other Trans.	Misc. Exp.	Circle Meals Claimed	Lodging	Meals Dollar Amount
								B L D		
1								B L D		
2								B L D		
3								B L D		
4								B L D		
5								B L D		
6								B L D		
7	Cabinet Mtg							B (L) D		6.00
8								B L D		
9								B L D		
10								B L D		
11								B L D		
12								B L D		
13								B L D		
14	Staff Meeting							B (L) D		6.00
15								B L D		
16								B L D		
17								B L D		
18								B L D		
19								B L D		
20								B L D		
21								B L D		
22								B L D		
23								B L D		
								B L D		
								B L D		
								B L D		
27								B L D		
28								B L D		
29								B L D		
30								B L D		
31								B L D		
								B L D		

Purpose of Travel and Explanation of Expenses

7th - Bismarck

14th - Bismarck

SHADED AREAS TO BE COMPLETED BY OFFICE STAFF

	Code	Amount
Lodg. in State	2115	
Lodg. out of State	2116	
Meals in State	2110	
Meals out of State	2111	
IRS Meals Taxable	2140	12.00
Miscellaneous Expense		
Miscellaneous Expense		
Other Transportation in State	2124	
Other Transportation out of State	2125	
Air Transportation in State	2122	
Air Transportation out of State	2123	
Vehicle Miles in State	2120	
Vehicle Miles out of State	2121	

Cost Center: Doc #10575 Apply to: FM PM BIEN 99

TOTAL EXPENSES 12.00

PAYMENT INFORMATION

Nontaxable Payment: —0— Taxable Portion: 12.00

Check #: Jrl Payroll Date Paid: 1/3/01

BOARD PER DIEM (SALARY) DAYS USED TO DATE:

	FY To Date	This Month	Total
BUDGETED DAYS - TOTAL USED:			

I hereby certify that the within itemized statement representing a claim for payment of per diem, mileage or travel expense, or a combination thereof, truthfully and accurately states the days of service and the mileage traveled, and the purpose thereof.

[signature] 1/3/01

Employee Signature Date

[signature] 1-3-01

Departmental Approval Date

\\Mish exec\Mitchell form\travvoucher 06/98

PLEASE REFER TO REVERSE SIDE FOR TRAVEL POLICIES/PROCEDURES.

MAIL TO: North Dakota University System, 600 E Blvd Avenue, Dept 215, Bismarck, ND 58505-0230

Please submit one form per month by the 3rd day of the following month.

NORTH DAKOTA UNIVERSITY SYSTEM OFFICE
AUTHORIZATION FOR OUT-OF-STATE TRAVEL

Person Traveling	Eddie Dunn
Title	Executive Director, College Technical Education Council
Destination	Chicago, Illinois

Method of Travel	☐ Train	☐ State Vehicle	☑ Commercial Air	☐ State Plane
	☐ Bus	☐ Personal Vehicle	☐ Charter Plane	☐ Other (Explain)
Date of Meeting	August 17-22, 1999			
Date of Departure	August 17, 1999			
Date of Return	August 22, 1999		Number of Vacation Days Included in Travel Days	0
Purpose of Trip	☐ Conference/ Meeting	☑ Seminar/Workshop/ Training	☐ Routine Work	☐ Other
Purpose of Trip / Business to be Conducted (Do Not Abbreviate)	Participate in International Convention of Toastmasters International as part of Continual Professional development.			
Number of Persons for this Trip/Meeting	1			

Estimated Cost of Trip (to nearest dollar)	Costs will be paid by:	☐ Department	☐ Other (explain)

Transportation	Meals/Lodging	Registration	Rental Car/Taxi	Total Expenses
$350	$1,000	—	$30	$1,380

Remarks

Signature of Employee Traveling — Date: July 23, 1999

Signature of Employee Supervisor — Date: 7/30/99

Please complete this form for supervisor approval PRIOR to incurring any expenses.

DISTRIBUTION OF COPIES:
System Office Trip File
Travel Expense Voucher
Payments to Travel Agency

Excel - g:\...\Out-of-State Travel Authorization 4/98

09/02/99 THU 13:50 FAX 701 231 [illegible] College Technical Education Council

NORTH DAKOTA STATE UNIVERSITY
TRAVEL EXPENSE VOUCHER

Ref No. 25613

[illegible] August 19 99 Department: College Technical Education Council

Vendor ID No. 21144-3

[illegible] Executive Director

[illegible] Eddie Dunn

S.S. No. 501-48-2545

Address: Morrill 112

NDSU Campus

City: Fargo State: ND Zip Code: 58105

1 POINTS COVERED BY TRAVEL (INCLUDE DEPARTURE AND RETURN TIMES)	2 TCC 3?? In State Meals Taxable	3 TCC 3?? Out of State Meals Taxable	4 TCC 390 In-State Meals Non-Tax	5 TCC 391 Out of State Meals Non-Tax	6 TCC 392 In State Lodging	7 TCC 393 Out of State Lodging	8 TCC 394 In State Mileage	9 TCC 395 Out of State Mileage	10 TCC 397 Air Travel	11 TCC 399 Other Travel
[illegible] 8am Fargo-Bismarck			16.00		42.51		200			1.50
Bismarck			20.00		42.51					
Bismarck			20.00		42.51					
Bismarck-Fargo 5:30pm			10.00				200			
8:30am Fargo-Bismarck			16.00		42.51		200			90.00
Bismarck			20.00		42.51					
Bismarck			20.00		42.51					
Bismarck-Fargo 4:30pm			20.00				200			
13										
14										
15										
16										
17 6:45am Fargo-Chicago				36.80		173.50			346.00	63.40
18 Chicago				46.00		173.50				88.72
19 Chicago				46.00		173.50				67.25
20 Chicago				46.00		173.50				51.27
21 Chicago				46.00		173.50				
22 Chicago-Fargo 7:10pm				9.20						36.43
23										
24										
25 [illegible] Fargo-Gaithersburg, MD				24.00		100.80			1360.00	
26 [illegible]ersburg - Fargo 11:55pm				30.00						
27										
28										
29										
30										
31										
TOTAL ALL COLUMNS							Mi	Mi	Mi	
LESS NON-REIMBURSABLE ITEMS										
NET EXPENSE REIMBURSEMENT			142.00	284.00	255.06	968.30	800 Mi	Mi	1706.00	382.57

At $.25	At $.18	At $.??
$200.00	$	$

At $.??
$

PURPOSE OF TRAVEL/EXPLANATION OF EXPENSES

PLEASE SEE ATTACHED

FUND	DEPARTMENT	TCC	PROJECT	AMOUNT
4215	4031	391		54.00
4215	4031	393		100.80
4215	4031	397		1,360.00
9035	7780	390		142.00
9035	7780	391		230.00
9035	7780	392		255.06
9035	7780	393		867.50
9035	7780	394		200.00
9035	7780	397		346.00
9035	7780	399		382.57

TOTAL EXPENSES $3,937.93

I HEREBY CERTIFY THAT THE WITHIN ITEMIZED STATEMENT REPRESENTING A CLAIM FOR PAYMENT OF PER DIEM, MILEAGE, OR TRAVEL EXPENSES OR COMBINATION THEREOF, TRUTHFULLY AND ACCURATELY STATES THE DAYS OF SERVICE AND THE MILEAGE TRAVELLED, AND THE PURPOSE THEREOF. I ALSO ACKNOWLEDGE THAT ANY RECEIPT BY ME OF PUBLIC FUNDS IN EXCESS OF THE AMOUNT ALLOWED BY LAW WILL SUBJECT ME TO ACTION BY THE ATTORNEY GENERAL AS PROVIDED BY ND LAW.

[signature] 9/2/99

Traveler's Signature [illegible] Date

[signature] 9-3-99

[illegible] Approval Date

3. Other Approval Date

1134.57

Recd payment 9-13-99

09/02/99(THU) 13:44 [TX/RX NO 7042]

August 1999 expenses

3rd - 4th: Meet with consultants regarding NDUS 21st century initiative.
Miscellaneous expense: parking fee ($1.50)

5th: Meet with Legislative Council and others regarding NDUS 21st century initiative.

6th: Staff meeting and site visit in Jamestown regarding roundtable meeting facilities.

9th - 13th: Participated in all-service conference.- State Board for Vocational Technical Ed.
Miscellaneous expense: conference registration ($25); American Vocational Association dues ($65).

17th - 22nd: Attended Toastmasters International convention as part of professional development. My wife accompanied me but none of the expenses (travel, meals, lodging, etc.) associated with her attendance are included in the expense reimbursement request.
***Miscellaneous expense: cab ($31); internet ($34.00); registration fee for international speech contest ($20); internet ($68.72); internet ($47.25); internet ($53.27); internet ($7.73); cab ($28.70).**

25th - 26th: Participate in review of North Dakota Manufacturing Technology Partnership Program by the National Institute for Standards and Testing, in Gaithersburg, Maryland.
Expenses were covered by the Institute for Business & Industry Development (IBID)

***The total internet charge was $211.37. It was primarily from phone connection to the internet, including technical difficulties in getting the system to configure properly, even with technical assistance from motel technical specialist.**

City	County and/or Other Defined Location	GSA Out-of-State Meal Allowance
Sarasota	Sarasota	38
Stuart	Martin	38
Tallahassee	Leon	34
Tampa/St. Petersburg	Pinellas & Hillsborough	38
Vero Beach	Indian River	38
GEORGIA		
Albany	Dougherty	34
Athens	Clarke	34
Atlanta	Fulton & Gwinnett	38
Cobb County	Cobb	34
Columbus	Muscogee	34
Conyers	Rockdale	34
DeKalb County	DeKalb County	34
Savannah	Chatham	38
IDAHO		
Boise	Ada	38
Coeur d' Alene	Kootenai	34
Ketchum	Blaine (except Sun Valley)	42
McCall	Valley	38
Stanley	Custer	38
Sun Valley	City limits of Sun Valley (see Blaine County)	42
ILLINOIS		
Chicago	Cook & Lake	46
Du Page County	Du Page County	38
INDIANA		
Carmel	Hamilton	38
Indianapolis	Marion	42
Michigan City	La Porte	34

EDDIE V DUNN
3106 7TH ST N
FARGO, ND 58102-1428

Convert to Canada & most major airports across the U.S. Simply insert any major credit card or your WorldPerks Gold or International Gold Elite card for identification and you're on your way.

If you are unable to use your E-TICKET or if your travel plans change, please visit our web site at www.nwa.com or call Northwest at 1-800-225-2525. For automated flight arrival and departure information, please see Flight Status at www.nwa.com or call 1-800-441-1818.

NAME(S)	E-TICKET NUMBER	WORLDPERKS	SPECIAL SERVICES
EDDIE.V MR DUNN	0122145603210	130002320	

ITINERARY

DAY	DATE	FLIGHT NUMBER		DEPART FROM		DEPART TIME	ARRIVE AT		ARRIVAL TIME	MEAL SVC	SEAT	EQP
Tue	17AUG	0611	Q	FARGO	FAR	645A	MPLS/STPAUL	MSP	747A			72R
Tue	17AUG	0124	Q	MPLS/STPAUL	MSP	900A	CHICAGO/O'HARE	ORD	1011A	Snack		D9S
[illegible]	22AUG	0129	Q	CHICAGO/O'HARE	ORD	1100A	MPLS/STPAUL	MSP	1222P	Snack		D9S
[illegible]	22AUG	1502	Q	MPLS/STPAUL	MSP	110P	FARGO	FAR	210P			D9S

A government-issued picture ID will be required for passengers 18 years of age and older for check-in.
If you change your travel plans, there may be a charge for changing your ticket. Different fares may apply.
Tickets are non-transferable and are void if transferred or resold. The ticket number will be required for refunds or exchanges.

RECEIPT

Payment For 1 Passenger(s)

All amounts shown in US Dollars

Payment By: Visa

Base Fare	301.85
Tax	32.15
PFC	12.00
Total Paid	346.00

Other Restrictions:
NW ONLY/NON-REFUNDABLE/PNLTY FOR CHGS

If you receive this document in error, please contact Northwest at 1-800-225-2525

[illegible]

Sheraton Chicago
HOTEL & TOWERS
CITYFRONT CENTER

Sheraton Chicago Hotel & Towers
301 E. North Water Street
Chicago, IL 60611
Tel: 312-464-1000 Fax: 312-464-9140

GUEST
M/m Eddie Dunn
3106 7th Street North
Fargo, ND 58102
United States

TOH10

ROOM 3139
RATE 151.00
NO. PERS 1
FOLIO 305199 A
PAGE 1
ARRIVE 17-AUG-99 10:59
DEPART 22-AUG-99 08:43
PAYMENT VM

TRAVEL AGENT CHARGE TO

DATE	REFERENCE	DESCRIPTION	CHARGES	CREDITS
17-AUG-99	54171241	Gift Shop	3.26	
17-AUG-99	RT3139	Room Charge - GROUP CORP	151.00	
17-AUG-99	RT3139	Room Tax State 11.9%	17.97	
17-AUG-99	RT3139	Room Tax City 3%	4.53	
17-AUG-99	653	917-123186 15:16 0001	4.30	
17-AUG-99	663	917-123186 15:17 0001	4.30	
17-AUG-99	674	917-122215 15:18 0001	4.30	
17-AUG-99	678	917-122215 15:19 0001	4.30	
17-AUG-99	681	917-122215 15:19 0001	4.30	
17-AUG-99	688	917-123186 15:20 0001	4.30	
17-AUG-99	949	917-123186 17:33 0001	4.30	
17-AUG-99	960	917-123186 17:34 0001	4.30	
18-AUG-99	RT3139	Room Charge - GROUP CORP	151.00	
18-AUG-99	RT3139	Room Tax State 11.9%	17.97	
18-AUG-99	RT3139	Room Tax City 3%	4.53	
18-AUG-99	283	701-231-8660 07:00 0002	7.73	
18-AUG-99	848	701-231-8660 08:40 0001	4.30	
18-AUG-99	077	701-231-8660 08:41 0027	50.67	
18-AUG-99	767	701-231-8660 12:12 0001	6.02	
19-AUG-99	RT3139	Room Charge - GROUP CORP	151.00	
19-AUG-99	RT3139	Room Tax State 11.9%	17.97	
19-AUG-99	RT3139	Room Tax City 3%	4.53	
19-AUG-99	246	701-231-8660 07:32 0011	23.19	
19-AUG-99	283	701-231-8660 07:44 0008	18.04	
19-AUG-99	873	701-231-8660 22:25 0002	6.03	
20-AUG-99	RT3139	Room Charge - GROUP CORP	151.00	
20-AUG-99	RT3139	Room Tax State 11.9%	17.97	
20-AUG-99	RT3139	Room Tax City 3%	4.53	
20-AUG-99	478	701-231-8660 07:10 0003	7.73	
20-AUG-99	276	701-231-8660 15:33 0009	19.76	
20-AUG-99	569	701-231-8660 16:18 0002	7.73	
20-AUG-99	111	701-231-8660 23:04 0002	6.02	

Phone connect charge due to problems in connecting to the internet.

Phone connect to internet

"

"

** continued on the next page **

Sheraton Chicago
HOTEL & TOWERS
CITYFRONT CENTER

Sheraton Chicago Hotel & Towers
301 E. North Water Street
Chicago, IL 60611
Tel: 312-464-1000 Fax: 312-464-9140

GUEST
M/m Eddie Dunn
3106 7th Street North
Fargo, ND 58102
United States

TOH10

ROOM 3139
RATE 151.00
NO. PERS
FOLIO 305199 A
PAGE 2
ARRIVE 17-AUG-99 10:59
DEPART 22-AUG-99 08:43
PAYMENT VM

TRAVEL AGENT CHARGE TO

DATE	REFERENCE	DESCRIPTION	CHARGES • CREDITS
20-AUG-99	168	701-231-8660 23:39 0001	4.30
20-AUG-99	178	701-231-8660 23:40 0003 Phone Connect Internet	7.73
21-AUG-99	RT3139	Room Charge - GROUP CORP	151.00
21-AUG-99	RT3139	Room Tax State 11.9%	17.97
21-AUG-99	RT3139	Room Tax City 3%	4.53
21-AUG-99	00374	Esplanade	9.05
22-AUG-99	213	701-231-8660 07:04 0002 "	7.73
22-AUG-99	VM	Visa/Mastercard	1091.18-

For Authorization Purposes Only
xxxxxxxxxxxx5289

Auth Date	Code	Authorized
17-AUG-99	072191	1000.00
20-AUG-99	036200	126.13

Total-Due 0.00

EXPENSE REPORT SUMMARY

Date	Room&Tax	Food&Bev	Telphone	Parking	Other	Total	Payment
17-AUG-99	173.50	0.00	34.40	0.00	3.26	211.16	0.00
18-AUG-99	173.50	0.00	68.72	0.00	0.00	242.22	0.00
19-AUG-99	173.50	0.00	47.25	0.00	0.00	220.75	0.00
20-AUG-99	173.50	0.00	53.27	0.00	0.00	226.77	0.00
21-AUG-99	173.50	9.05	0.00	0.00	0.00	182.55	0.00
22-AUG-99	0.00	0.00	7.73	0.00	0.00	7.73	1091.18-
Total	867.50	9.05	211.37	0.00	3.26	1091.18	1091.18-

a Starwood Preferred Guest, you could have earned 1896 points for this visit! You can enroll today; please contact the front desk.

M/m Eddie Dunn		ROOM	DEPART	AGENT	Sheraton Chicago
FOLIO: 305199	17-AUG-99	3139	22-AUG-99	TCRAN	Tel: 312-464-1000

Taxi Cab Receipts

NORTH DAKOTA STATE UNIVERSITY
TRAVEL EXPENSE VOUCHER

REF #TE 22215

Month: November 19 99 Department: College Technical Education Council

Position: Executive Director

Name: Eddie Dunn

Address: Morrill Hall 112
North Dakota State University

City: Fargo State: ND Zip Code: 58105

NA-ID No. 21144-3

S.S. No. 501-48-2545

DAY	POINTS COVERED BY TRAVEL (INCLUDE DEPARTURE AND RETURN TIMES)	TCC 388 In State Meals Taxable	TCC 389 Out of State Meals Taxable	TCC 390 In State Meals Non-Tax	TCC 391 Out of State Meals Non-Tax	TCC 392 In State Lodging	TCC 393 Out of State Lodging	TCC 394 In State Mileage	TCC 395 Out of State Mileage	TCC 396 387/388 Air Trans.	TCC 398 Other Travel
1											
2	9:00am 2:30pm										
3	Fargo-Grand Forks-Fargo	6.00						160			
4											
5											
6											
7											
8											
9											
10											
11											
12											
13											
14											
15											
16											
17	Fargo 9:00am - Minot			16.00		45.78					
18	Minot			20.00		45.78					
19	Minot - Fargo 2:30pm			10.00							
20											
21											
22											
23											
24											
25											
26											
27											
28	Fargo 4:00pm - Bismarck			10.00		39.00		200			
29	Bismarck - Fargo 8:30pm			20.00				200			
30											
31											
	TOTAL ALL COLUMNS							MI	MI	MI	
	LESS NON-REIMBURSABLE ITEMS										
	NET EXPENSE REIMBURSEMENT	6.00		76.00		130.56		560 MI	MI	MI	
								At 28¢ $140.00	At 18¢ $	At 36¢ $	
									At 36¢ $		

PURPOSE OF TRAVEL/EXPLANATION OF EXPENSES

November 3:
Small Business Development Center meeting

November 17-19:
Chancellor's Cabinet and Board meeting

November 29-30:
Meetings with Senator Nething, Legislative Council and staff meeting

FUN.	DEPARTMENT	YCC	PROJECT	AMOUNT
9035	7780	388		6.00
9035	7780	390		76.00
9035	7780	392		130.56
9035	7780	394		140.00
			TOTAL EXPENSES	$352.56

I HEREBY CERTIFY THAT THE WITHIN ITEMIZED STATEMENT REPRESENTING A CLAIM FOR PAYMENT OF PER DIEM, MILEAGE, OR TRAVEL EXPENSES OR COMBINATION THEREOF, TRUTHFULLY AND ACCURATELY STATES THE DAYS OF SERVICE AND THE MILEAGE TRAVELLED, AND THE PURPOSE THEREOF. I ALSO ACKNOWLEDGE THAT ANY RECEIPT BY ME OF PUBLIC FUNDS IN EXCESS OF THE AMOUNT ALLOWED BY LAW WILL SUBJECT ME TO ACTION BY THE ATTORNEY GENERAL AS PROVIDED BY NO LAW.

Eddie Dunn — 1-24-00
Traveler Signature — Date

Laura Scott — 1-27-00
Department Approval — Date

Director Approval — Date

Business Office Approval — Date

Direct Payment
1.16.11, 2000

STATEMENT

COMFORT INN - BISMARCK, N. DAK.

Bismarck, ND 58501 • 701-224-8316

Febco, Inc. • Box 2617 • Bismarck, North Dakota 58502

N.D. UNIVERSITY SYSTEMS
ROOM 112 MORROLL HALL N.D.S.U.
FARGO ND 58105

12/06/99 PAGE 1

DETACH AND MAIL THIS PORTION WITH YOUR CHECK. YOUR CANCELLED CHECK IS YOUR RECEIPT.

Previous Balance						( )	0.00
Folio No	From - To	Room	Tax	Phone	Other	Total	
444433	11/29/99 - 11/29/99	39.00	.00	.00	.00	39.00	
Current Charges		39.00	.00	.00	.00	(+)	39.00
Current Balance						(+)	39.00

MTG in Bismarck on
November 30 '99

THANK YOU

Send check payable to: Comfort Inn - Bismarck C/O Febco, Inc.
Box 2617, Bismarck, ND 58502 (701) 224-8316

NORTH DAKOTA UNIVERSITY SYSTEM OFFICE
AUTHORIZATION FOR OUT–OF–STATE TRAVEL

Person Traveling:	Gloria Dunn
Title:	Vice Chancellor, Strategic Planning, NDUS
Destination:	Rapid City, South Dakota

Method of Travel:	☐ Train	☐ State Vehicle	☑ Commercial Air	☐ State Plane
	☐ Bus	☐ Personal Vehicle	☐ Charter Plane	☐ Other (Explain)

Date of Meeting:	June 3-4, 2000		
Date of Departure:	June 3, 2000	Number of Vacation Days Included in Travel Days:	
Date of Return:	June 4, 2000		

Reason for Trip:	☐ Conference/ Meeting	☑ Seminar/Workshop/ Training	☐ Routine Work	☐ Other

Name of Meeting/ Purpose of Meeting (Do Not Abbreviate): Westmasters International Regional Conference. Participate in a Panel Presentation on Executive Leadership models & concepts and to attend seminars on Communication & Leadership. This Conference is Part of my Professional development strategies.

Number of Persons for this Trip/Meeting: one

Estimated Cost of Trip (to nearest dollar) — Costs will be paid by: ☐ Department ☐ Other (explain)

Transportation	Meals/Lodging	Registration	Rental Car/Taxi	Total Expenses
$ 616.00	$ 150	$ 110	—	$ 876.00

Remarks

Signature of Employee Traveling — Date: May 23, 2000

Signature of Employee Supervisor — Date: 5/23/00

616
150
110
876

Please complete this form for supervisor approval PRIOR to incurring any expenses.

DISTRIBUTION OF COPIES: System Office Trip File
Travel Expense Voucher
Payments to Travel Agency

sjaclar\404chief\forms\travauth 6/95

NORTH DAKOTA STATE UNIVERSITY
TRAVEL EXPENSE VOUCHER

REF SYS 22238

Month: June 16 2000 Department: College Technical Education Council

NA-ID No. 21144-3

Classification: Executive Director

Name: Eddie Dunn

S.S. No. 501-48-2545

Address: Merrill Hall 112
North Dakota State University

City: Fargo State: ND Zip Code: 58105

DAY	POINTS COVERED BY TRAVEL (INCLUDE DEPARTURE AND RETURN TIMES)	1 TCC 384 In State Meals Taxable	2 TCC 389 Out of State Meals Taxable	4 TCC 398 In State Meals Non-Tax	5 TCC 381 Out of State Meals Non-Tax	6 TCC 386 In State Lodging	7 TCC 388 Out of State Lodging	8 TCC 394 In State Mileage	9 TCC 399 Out of State Mileage	10 TCC 395 Services Air Trvl.	11 TCC 399 Other Travel
1											
2	7:00pm										
3	Fargo-Rapid City, SD						88.56			355.50	110.00
4	Rapid City-Bismarck			20.00			45.78				20.00
5	Bismarck			20.00			45.78				
6	Bismarck			20.00			45.78				
7	Bismarck-Fargo 7:40pm			10.00						311.00	
8											
9											
10											
11											
12											
13											
14											
15											
16											
17											
18											
19											
20	4:00 pm Fargo-Bismarck			10.00		41.42		200			3.79
21	Bismarck			20.00		41.42					
22	Bismarck-Fargo 8:30pm			20.00				200			
23											
24											
25											
26											
27											
28	1:30pm 8:30pm										
29	Fargo-Bismarck-Fargo	16.00						400			
30											
31											
	TOTAL ALL COLUMNS							MI	MI	MI	
	LESS NON-REIMBURSABLE ITEMS										
	NET EXPENSE REIMBURSEMENT	16.00		120.00		82.84		800MI	MI	666.50	133.79
								At 35¢	At 14¢	At 69¢	
								$200.00	$	$	
									At 69¢		
									$		

PURPOSE OF TRAVEL/EXPLANATION OF EXPENSES

PLEASE SEE ATTACHED

FUND	DEPARTMENT	TCC	PROJECT	AMOUNT
0035	7780	388		16.00
0035	7780	390		120.00
0035	7780	392		82.84
0035	7780	393		225.90
0035	7780	394		200.00
0035	7780	397		666.50
0035	7780	399		133.79
			TOTAL EXPENSES	$1,445.03

I HEREBY CERTIFY THAT THE WITHIN ITEMIZED STATEMENT REPRESENTING A CLAIM FOR PAYMENT OF PER DIEM, MILEAGE, OR TRAVEL EXPENSES OR COMBINATION THEREOF, TRUTHFULLY AND ACCURATELY STATES THE DAYS OF SERVICE AND THE MILEAGE TRAVELED, AND THE PURPOSE THEREOF. I ALSO ACKNOWLEDGE THAT ANY RECEIPT BY ME OF PUBLIC FUNDS IN EXCESS OF THE AMOUNT ALLOWED BY L/W WILL SUBJECT ME TO ACTION BY THE ATTORNEY GENERAL AS PROVIDED BY NO LAW.

Employee Signature: Eddie Dunn Date: 6/30/00

Supervisor Approval: Laura Klatt Date: 7/10/00

[redacted] Approval Date

[redacted] Approval Date

07/07/00(FRI) 08:27 [TX/RX NO 6722]

EXPENSES (June 2000)

June 3/4:	Participate in regional Toastmasters training session. (Miscellaneous expense: registration fee/includes meals. Cab fare)
June 5:	System Office staff meeting with Dennis Jones. Meeting with OMB and Governor's Office.
June 6:	Meeting with Mel Olson regarding Program Enhancement Funds. CTEC meeting by IVN.
June 7:	Worked in System Office.
June 20:	Travel to Bismarck for staff retreat. (Miscellaneous expense: telephone call)
June 21:	Staff retreat. Meeting with Project Director of Community College Consortium.
June 22:	Give talk at School-To-Work Institute, meet with State Director of SBVTE, meet with Ellen Chaffee (in Valley City).
June 29:	Meet with Ryan Bernstein, Governor's Office intern, and attend System Office staff meeting.

PASSENGER TICKET AND BAGGAGE CHECK
SUBJECT TO CONDITIONS OF CONTRACT

ISSUED BY NORTHWEST AIRLINES

NORTHWEST AIRLINES

PASSENGER RECEIPT 1 OF 1

Ø125 18MAY00 C5580002 SITI US

ETKT FR17KD FARGO ND

NAME OF PASSENGER (NOT TRANSFERABLE): DUNN/EDDIE

FARE BASIS: MULTI

TOUR CODE

FROM **NOT VALID FOR**

TO **TRANSPORTATION

THIS IS YOUR RECEIPT

NAME OF PASSENGER: DUNN/EDDIE

FARGO
NW 520Q 03JUN 0505218N
MINNEAPOLS/STPAUL
NW 1253Q 03JUN 0E5218N
RAPID CITY
NW 728Q 04JUN 0E21NR
MINNEAPOLS/STPAUL
NW 1213Q 04JUN 0E21NR
FARGO

7X77UD/NW

ISSUED IN EXCHANGE FOR

FARE CALCULATION:
FAR NW X/MSP Q9.30NW RAP141.56NW X/MSP Q9.30NW FAR152.56USD 313.02END NW ZP FARMSPRA
RMSP XF FAR3MSP3MSP3

FARE USD 313.02

FORM OF PAYMENT: CCTI 4190 0843 0655 5259 X000/ 489145

TAX US 42.48

STOCK CONTROL NUMBER: -01201654970520

DOCUMENT NUMBER: 012 2154690696 3

TOTAL USD 355.50

NOT VALID FOR TRAVEL

Ø12 2154690696 3

QD FARTRNW

[redacted]SENGER ITINERARY
[redacted]GE NO. 1

DUNN/EDDIE

18MAY00

A	SA	03JUN	LV FARGO	700A	NORTHWEST	6200 OK
			AR MINNEAPOLS/STPAUL	800A		0STOP D9S
A	SA	03JUN	LV MINNEAPOLS/STPAUL	925A	NORTHWEST	1253Q OK
			AR RAPID CITY	1004A		0STOP D9S
[redacted]	U	04JUN	LV RAPID CITY	115P	NORTHWEST	1258M OK
			AR MINNEAPOLS/STPAUL	346P		0STOP D9S
A	SU	04JUN	LV MINNEAPOLS/STPAUL	500P	NORTHWEST	3385B OK
			AR BISMARCK	700P		0STOP FRP
			OPERATED BY MESABA AV/NW AIRLINK			

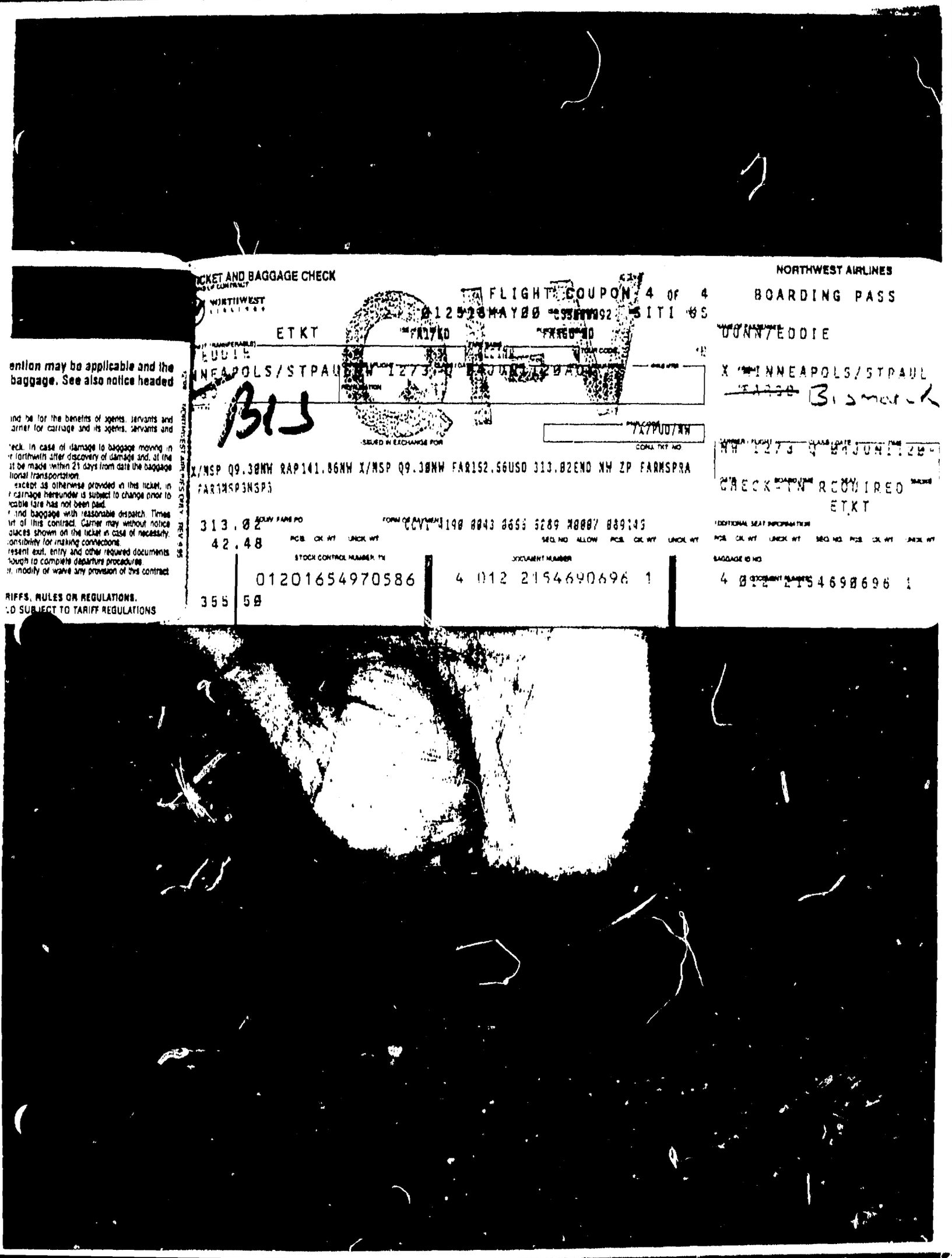
HAVE A PLEASANT TRIP

[redacted]

ELECTRONIC TICKET

ITINERARY FOR
DUNN/EDDIE

DAY	DATE	CITY		AIRLINE		CLASS	MEAL
SA	03JUN	LV FARGO	700A	NORTHWEST	0620	Y	
		AR MINNEAPOLIS/STPAUL	800A	NON-STOP			
SA	03JUN	LV MINNEAPOLIS/STPAUL	925A	NORTHWEST	1253	Y	
		AR RAPID CITY	1054A	NON-STOP			
SU	04JUN	LV RAPID CITY	755A	NORTHWEST	0728	Y	
		AR MINNEAPOLIS/STPAUL	1024A	NON-STOP			
SU	04JUN	LV MINNEAPOLIS/STPAUL	1120A	NORTHWEST	1273	Y	
		AR FARGO	1219P	NON-STOP			



CKET AND BAGGAGE CHECK

NORTHWEST AIRLINES

FLIGHT COUPON 4 OF 4

BOARDING PASS

ETKT

EDDIE

NNEAPOLS/STPAUL

Bismarck

DUNN/EDDIE

X MINNEAPOLS/STPAUL

Bismarck

7X7PUD/NW

NW 1273 Y 24JUN1 20

CHECK-IN REQUIRED

ETKT

X/MSP Q9.38NW RAP141.86NW X/MSP Q9.38NW FAR152.56USD 313.82END NW ZP FARMSPRA

FAR1MSP3NSP3

313.02 EQUIV FARE PD

42.48 PCS CK WT UNCK WT

FORM OF PAYMENT CCVI 4198 8043 8655 5289 XXXX 889149

SEQ NO ALLOW PCS CK WT UNCK WT

ADDITIONAL SEAT INFORMATION

PCS CK WT UNCK WT SEQ NO PCS CK WT UNCK WT

STOCK CONTROL NUMBER TKI

0120165497058 6

DOCUMENT NUMBER

4 012 2154690696 1

BAGGAGE ID NO

4 012 2154690696 1

355 59

ention may be applicable and the baggage. See also notice headed

ind be for the benefits of agents, servants and arrier for carriage and its agents, servants and

eck. In case of damage to baggage moving in r forthwith after discovery of damage and, at the t be made within 21 days from date the baggage tional transportation.

except as otherwise provided in this ticket, in carriage hereunder is subject to change prior to ceptable fare has not been paid.

and baggage with reasonable dispatch. Times rt of this contract. Carrier may without notice places shown on the ticket in case of necessity. onsibility for making connections.

resent exit, entry and other required documents. hrough to complete departure procedures.

ify, modify or waive any provision of this contract

RIFFS, RULES OR REGULATIONS.

D SUBJECT TO TARIFF REGULATIONS

Date

M RAPID TAXI CO.

Address 243-3030

Reg. No.	Date	Amount Paid
1		
2		
3		
4		
5		
6		
7		
8		
9		
10		
11		
12		
13		
14		
15	4891-12	

1200 Your Account Stated to Date - If Error is Found Return at Once

Holiday Inn
Rushmore Plaza

Rapid City, S.D.
June 3rd, 2000

HOTEL AND CONFERENCE CENTER
505 North Fifth Street
Rapid City, South Dakota 57701
(605) 348-4000
Fax (605) 348-4777

Name & Address

[illegible]

Room	[illegible]
Arrive	[illegible]
Dept. Date	[illegible]
Folio #	[illegible]
Room Rate	[illegible]
Account	[illegible]
Mkt/Seg	[illegible]

Page 1

OPERATED BY AN INDEPENDENT OWNER
UNDER FRANCHISE FROM HOLIDAY INNS, INC.

The management is not responsible for any valuables not secured in safety deposit boxes provided at the front office. The guest understands and agrees to be personally liable for all charges incurred at the hotel
SIGNATURE

I authorize you to bill the full balance of my account to my credit card which was presented upon registration.

SIGNATURE

DATE	CODE	REFERENCE	I.D.	DESCRIPTION	CHARGE	PAYMENT	BALANCE
06/3	110	0603000	SFJ	GROUP ROOM	88.00$	.00$	88.00$
06/3	5.1	0603001	SFJ	SALES TAX	5.28$	.00$	93.28$
0604	211	1951	CCI	C. B. BRKFST	5.53$	.00$	98.81$
0604	914	0604000	JTC	VISA/MASTERCARD	.00$	-98.81$	.00$
				TOTAL			.00$

HOLIDEX® code STDRG

ACCT. NO.
[illegible]

CARD MEMBER NAME
[illegible]

ESTABLISHMENT NO. & LOCATION
[illegible]

CARD MEMBER'S SIGNATURE
X

DATE OF CHARGE
[illegible]

FOLIO NO./CHECK NO.
[illegible]

AUTHORIZATION
[illegible]

I.D.
[illegible]

PURCHASES & SERVICES
[illegible]

TOTAL AMOUNT
[illegible]

STANDARD REGISTER FORM NO. 08-1742F

CONT.

Guest Account

Holiday Inn Bismarck Tha [illegible]

Room	Rate	Arrival	Depart	Folio No.	Account	Affiliation	PF	ID	Page
32[illegible]	42.00	06/04/00	06/07/00	VIEW	2-CREDIT CARD STA		11	ME	1

EDDIE DUNN

Check in 06/04/00 12:37 PM
Check out 06/07/00

Date	Code	Reference	ID	Description	Charges	Payments	Balance
0604	114	0604000	AUD	DISCOUNT ROOM	42.00	.00	42.00
0604	811	0604001	AUD	ROOM TAX	3.78	.00	45.78
0605	211	1474	ZZ1	TERRACE FOOD	7.90	.00	53.68
0605	211	1559	ZZ1	TERRACE FOOD	19.81	.00	73.49
0605	114	0605000	AUD	DISCOUNT ROOM	42.00	.00	115.49
0605	811	0605001	AUD	ROOM TAX	3.78	.00	119.27
0606	311	2761	ZZ1	OFF BROADWAY	7.95	.00	136.82
0606	114	0606000	AUD	DISCOUNT ROOM	42.00	.00	168.32
0606	811	0606001	AUD	ROOM TAX	3.78	.00	172.10

TOTAL $ 172.10

I AGREE THAT MY LIABILITY FOR THIS BILL IS NOT WAIVED AND AGREE TO BE HELD PERSONALLY LIABLE IN THE EVENT THAT THE [illegible]ORGANIZATED PERSON, COMPANY OR ASSOCIATION FAILS TO PAY THE FULL [illegible]OUNT OF THESE CHARGES.

GUEST SIGNATURE

HOLIDAY INN, HOTEL
Sixth and Broadway Ave.
Bismarck, ND 58501
(701) 255-3000 • Fax (701) 223-0400

Independently owned and operated by
Mindakota Limited Partnership

Staff Retreat Agenda
June 21, 2000 – 8 a.m.

Fort Union Room—State Capitol

- ★ Roundtable discussion
- ★ Board functioning for 2000-2001
- ★ Resource Guide
- ★ Update on major projects and completion timelines
- ★ NDUS Work Plan
- ★ Other items
- ★ Date of next staff retreat

North Dakota State University
TRAVEL EXPENSE VOUCHER

Ref # T 55536

Month:	July	Year:	2000	Department:	College Technical Education Council
Official Position:	Executive Director			NA-ID No.	21144-3
Pay To:	Eddie Dunn				
Address:	Morrill 112			S.S. No.	501-48-2545
	NDSU				
City:	Fargo	State:	North Dakota	Zip Code:	58105

DATE	POINTS COVERED BY TRAVEL	Departure Time	Return Time	TCC 388 In State Meals Taxable	TCC 389 Out of State Meals Taxable	TCC 390 In State Meals Non-Tax	TCC 391 Out of State Meals Non-Tax	TCC 392/393 In/Out State Lodging	TCC 394 In State and Mileage	TCC 395 Out of State Mileage	TCC 396 397/398/399 Air Trav. and Other
7	Fargo-Devils Lake-Fargo	10:30am	7:30pm	10.00				45.78	330		
18	Fargo - Bismarck	6:30am				16.00		43.78	200		
19	Bismarck					20.00		43.78			1.50
20	Bismark - Fargo	6:00pm	9:30pm			20.00		45.78	200		
24	Fargo-Bismarck-Fargo	5:30am	8:30pm	20.00					400		
30	Fargo - Bismarck	4:30pm				10.00		45.78	200		.50
31	Bismarck					20.00		45.78			
TOTAL ALL COLUMNS				$30	$0	$86	$0	$179.12	1330 MI	0 MI	$2
									At 25 cents	At 18 cents	
									$332.5	$0	
										GRAND TOTAL	$629.62

Purpose of Travel/Explanation of Expenses:
July 7: Workforce Training Meeting. July 18-19: State Board of Higher Education meeting. July 24: Workorce Training Meeting. July 30: Travel day July 31: Cabinet Retreat. Miscellaneous expenses: July 19 - parking at airport; July 30 - telephone call

I HEREBY CERTIFY THAT THE WITHIN ITEMIZED STATEMENT REPRESENTING A CLAIM FOR PAYMENT OF PER DIEM, MILEAGE, OR TRAVEL EXPENSES OR COMBINATION THEREOF, TRUTHFULLY AND ACCURATELY STATES THE DAYS OF SERVICE AND THE MILEAGE TRAVELLED, AND THE PURPOSE THEREOF. I ALSO ACKNOWLEDGE THAT ANY RECEIPT BY ME OF PUBLIC FUNDS IN EXCESS OF THE AMOUNT ALLOWED BY LAW WILL SUBJECT ME TO ACTION BY THE ATTORNEY GENERAL AS PROVIDED BY ND LAW.

Eddie Dunn — 1-7-11
Individual Signature — Date

Approval — 1-8-01
Date

Note to user: Totals should be equal

FUND	DEPT	TCC	PROJECT	AMOUNT
9035	7780	388		30.00
9035	7780	390		86.00
9035	7780	392		179.12
9035	7780	394		332.50
9035	7780	399		2.00
			TOTAL EXPENSES	$629.62
				Ref # T 55536

North Dakota State University
TRAVEL EXPENSE VOUCHER

Ref # T 55541

Month:	August	Year:	2000	Department:	College Technical Education Council
Official Position:		Executive Director		NA-ID No.	21144-3
Pay To:		Eddie Dunn			
Address:		Morrill 112		S.S. No.	501-48-2545
		NDSU Campus			
City:	Fargo	State:	North Dakota	Zip Code:	58105

DATE	POINTS COVERED BY TRAVEL	Departure Time	Return Time	TCC 389 In State Meals Taxable	TCC 389 Out of State Meals Taxable	TCC 390 In State Meals Non-Tax	TCC 391 Out of State Meals Non-Tax	TCC 392/393 In/Out State Lodging	TCC 394 In State and Mileage	TCC 395 Out of State Mileage	TCC 396 397/398/399 Air Trav. and Other
1	Bismarck					20.00		45.78			2.50
2	Bismarck					20.00		45.78			2.00
3	Bismarck - Fargo		8:30pm			20.00			200		.50
8	Fargo - Bismarck	5:00pm	8:30pm			10.00		44.69	200		
9	Bismarck					20.00		44.69			
10	Bismarck - Fargo	5:00pm	8:30pm			20.00			200		
31	Fargo-Bismarck-Fargo	12:30pm	5:15pm	6.00							
	TOTAL ALL COLUMNS			$6	$0	$110	$0	$180.94	600 MI	0 MI	$5
									At 25 cents	At 15 cents	
									$150	$0	
										GRAND TOTAL	$451.94

#451.94

Purpose of Travel/Explanation of Expenses:

August 1: Cabinet Retreat August 2: Meetings with Office of Management and Budget; State Occupation Coordinating Committee; Bill Goetz, Wayne Kutzer. August 3: Workforce Training Steering Committee; CTEC meeting. August 8: Travel day. August 9: New Board member orientation, presentation on N.P. economic landscape. August 10: Interim Legislative Council meeting on Higher Education. August 31: Cabinet meeting with Governor Schafer. (Rode in University plane) Miscellaneous expenses: telephone calls

I HEREBY CERTIFY THAT THE WITHIN ITEMIZED STATEMENT REPRESENTING A CLAIM FOR PAYMENT OF PER DIEM, MILEAGE, OR TRAVEL EXPENSES OR COMBINATION THEREOF, TRUTHFULLY AND ACCURATELY STATES THE DAYS OF SERVICE AND THE MILEAGE TRAVELLED, AND THE PURPOSE THEREOF. I ALSO ACKNOWLEDGE THAT ANY RECEIPT BY ME OF PUBLIC FUNDS IN EXCESS OF THE AMOUNT ALLOWED BY LAW WILL SUBJECT ME TO ACTION BY THE ATTORNEY GENERAL AS PROVIDED BY ND LAW.

Individual Signature — Date: 1-7-01

Approval — Date: 1-8-01

Notes to user: Totals should be equal

FUND	DEPT	TCC	PROJECT	AMOUNT
9035	7780	388		6.00
9035	7780	390		110.00
9035	7780	392		180.94
9035	7780	394		150.00
9035	7780	399		5.00
			TOTAL EXPENSES	$451.94

Ref # T 55541

[redacted]
[redacted] Street
[redacted] 58504

Telephone: (701) 258-7700 Fax: (701) 224-8212

Mr. Eddie Dunn

July 30 - Aug 2, 2000

Arrival: 07/30/00
Departure: 08/03/00

Room: 1257
Cashier: 31
Page: 1

INVOICE NO. 80556 Radisson Inn Bismarck, 08/03/00

Make your next reservation via the WWW at - http://www.radisson.com

Date	Text	Room	Charges	Credits
07/30	Room Charge	1257	42.00	
07/30	Tax - Occupancy 9%		3.78	
07/31	Telephone - Local #1257 : 2221575		0.50	
07/31	Room Charge		42.00	
07/31	Tax - Occupancy 9%		3.78	
08/01	Telephone - Local #1257 : 2221575		0.50	
08/01	Telephone - Local #1257 : 2221575		0.50	
08/01	Telephone - Local #1257 : 2221575		0.50	
08/01	Telephone - Local #1257 : 2221575		0.50	
08/01	Telephone - Local #1257 : 2221575		0.50	
08/01	Room Charge		42.00	
08/01	Tax - Occupancy 9%		3.78	
08/02	Telephone - Local #1257 : 2221575		0.50	
08/02	Telephone - Local #1257 : 2221575		0.50	
08/02	Telephone - Local #1257 : 2221575		0.50	
08/02	Telephone - Local #1257 : 2221575		0.50	
08/02	Room Charge		42.00	
08/02	Tax - Occupancy 9%		3.78	
08/03	Telephone - Local #1257 : 2221575		0.50	
08/03	Visa Card			188.62
	->4190004306555289 07/03			

...on Inn
...South Third Street
Bismarck, ND 58504

Telephone: (701) 258-7700 Fax: (701) 224-8212

Mr. Eddie Dunn

Arrival: 07/30/00
Departure: 08/03/00

Room: 1257
Cashier: 31
Page: 2

INVOICE NO. 80556 Radisson Inn Bismarck, 08/03/00

Make your next reservation via the WWW at - http://www.radisson.com

Date	Text	Room	Charges	Credits
	Total		188.62	188.62
	Balance			0.00 $

Thank you for choosing Radisson Inn Bismarck.

Reservations for future stays may be made at check out time or by calling 1 (701) 258-7700 or 1 (800) 333-3333.

Chancellor's Cabinet Retreat Agenda
Radisson Inn Room 3130
July 31, 2000, noon - August 1, 2000, 2 p.m. CT

luncheon

1. Brief discussion from each president about the mission for their campus and the future direction for their campus—campus presidents (*to be distributed at meeting*)
2. Review academic mapping—Dr. Hillman
3. Discussion with Board president and vice president—Dr. Bill Isaacson and Mr. Craig Caspers (*July 31 from approximately 3 p.m. to 5 p.m. No enclosures*)
4. Discuss workplan for Board objectives
5. Strategies and objectives for Roundtable recommendations assigned to the campuses
6. The president's role in the NDUS—balancing leadership and role between campus and state needs (*no enclosures*)
7. Building leadership from within—Dr. Thigpen (*no enclosures*)
8. Follow-up actions resulting from previous agenda items (*no enclosures*)
9. Update on Legislative Committee—Dr. Etemad (*no enclosures*)

Adjourn

The Radisson Inn is located at 800 S 3rd St., Bismarck, phone 258-7700.

Please pay Sheila $12 for lunch on Monday and Tuesday. If you do not plan to attend both lunches, please let Sheila know so that she can give the Radisson an accurate count for the meals.

The July 31 evening event is a pizza cruise on the Lewis & Clark Riverboat. The Riverboat departs from Meriwethers restaurant (1700 River Rd) at 6:00 p.m. and returns to dock at 7:30 p.m. Please be there by 5:45 to purchase your tickets in the gift shop. Tell them you are with the NDUS. Cost is $11.95 per person, which includes the cruise and pizza. Spouses and families are invited to attend.

When leaving the Radisson, go north to E. Bowen Ave (just a couple of blocks). Turn left (going west) to Washington Street. At Washington Street turn left (going south). You will drive just a few feet and then you need to turn right onto W. Bowen Ave (going west again). At the "Y" in the road go to the right onto Riverside Park Road, which then turns into River Road. This will take you right to Meriwetners and the Riverboat dock. This is a nice drive by the park and along the Missouri River.

NORTH DAKOTA UNIVERSITY SYSTEM OFFICE
AUTHORIZATION FOR OUT-OF-STATE TRAVEL

RECEIVED
JUL 26 2000
North Dakota University System

Person Traveling	Eddie Dunn
Title	Vice Chancellor, Strategic Planning
Destination	Miami, Florida

Method of Travel: ☐ Train ☐ State Vehicle ☑ Commercial Air ☐ State Plane ☐ Bus ☐ Personal Vehicle ☐ Charter Plane ☐ Other (Explain)

Date of Meeting	August 22-26 2000
Date of Departure	August 22, 2000
Date of Return	August 27, 2000

Number of Vacation Days Included in Travel Days: 0

Reason for Trip: ☐ Conference/Meeting ☑ Seminar/Workshop/Training ☐ Routine Work ☐ Other

Name of Meeting/Purpose of Meeting (Do Not Abbreviate): Participate in International Convention of Toastmasters International as part of Continual Professional Development.

Number of Persons for this Trip/Meeting: 1

Estimated Cost of Trip (to nearest dollar)

Costs will be paid by: ☐ Department ☐ Other (explain)

Transportation	Meals/Lodging	Registration	Rental Car/Taxi	Total Expenses
494.00	925	—	40.00	$1,459

Remarks

Signature of Employee Traveling: [signature] Date: July 22, 2000

Signature of Employee Supervisor: [signature] Date: 7/26/00

Please complete this form for supervisor approval PRIOR to incurring any expenses.

DISTRIBUTION OF COPIES: System Office Trip File
Travel Expense Voucher
Payments to Travel Agency

njsdor\904ekoll\forms\travauth 4/93

North Dakota State University
TRAVEL EXPENSE VOUCHER

Ref # T 55600

Month:	August	Year:	2000	Department:	College Technical Education Council
Official Position:		Executive Director		NA-ID No.	31144-3
Pay To:		Eddie Dunn			
Address:		Merrill Hall 112		S.S. No.	501-48-2545
		NDSU Campus			
City:	Fargo	State:	North Dakota	Zip Code:	58102

DATE	POINTS COVERED BY TRAVEL	Departure Time	Return Time	TCC 388 In State Meals Taxable	TCC 389 Out of State Meals Taxable	TCC 390 In State Meals Non-Tax	TCC 391 Out of State Meals Non-Tax	TCC 392/393 In/Out State Lodging	TCC 394 In State and Mileage	TCC 395 Out of State Mileage	TCC 396 397/398/399 Air Tran. and Other
22	Fargo-Miami, Florida	5:30am					42.00	140.63			330.63
23	Miami, Florida						42.00	140.63			15.36
24	Miami, Florida						42.00	140.63			24.14
25	Miami, Florida						42.00	140.63			48.30
26	Miami, Florida						42.00	140.63			27.00
27	Miami, Florida-Fargo	4:25pm	10:00pm				42.00				45.20
TOTAL ALL COLUMNS				$0	$0	$0	$252	$703.15	0 MI	0 MI	$690.63
									At 25 cents	At 18 cents	
									$0	$0	
										GRAND TOTAL	$1645.78

Purpose of Travel/Explanation of Expenses:

Attend Toastmasters International Convention. (Room rate/single or double occupancy) Miscellanoues Expenses: (22nd/7.50 airport parking; 22.00 cab; 7.13 internet access)-(23rd/15.36 internet access)-(24th/24.14 internet access)-(25th/48.30 internet access)-(26th/5.00 package handling; 22.00 speech contest)-(27th/19.20 internet access; 26.00 cab)

I HEREBY CERTIFY THAT THE WITHIN ITEMIZED STATEMENT REPRESENTING A CLAIM FOR PAYMENT OF PER DIEM, MILEAGE, OR TRAVEL EXPENSES OR COMBINATION THEREOF, TRUTHFULLY AND ACCURATELY STATES THE DAYS OF SERVICE AND THE MILEAGE TRAVELLED, AND THE PURPOSE THEREOF. I ALSO ACKNOWLEDGE THAT ANY RECEIPT BY ME OF PUBLIC FUNDS IN EXCESS OF THE AMOUNT ALLOWED BY LAW WILL SUBJECT ME TO ACTION BY THE ATTORNEY GENERAL AS PROVIDED BY ND LAW.

Eddie Dunn — 1-13-01
Individual Signature — Date

[signature] — 1-16-01
Approval — Date

Note to user: Totals should be equal

FUND	DEPT	TCC	PROJECT	AMOUNT
9035	7780	391		252.00
9035	7780	393		703.15
9035	7780	397		494.00
9035	7780	399		196.63
			TOTAL EXPENSES	$1645.78
				Ref # T 55600

-2.50 (parking)
$1643.28

Rec'd Feb. 23, 2001

Approval — Date

CITY — STATE — ZIP — GUEST SIGNATURE

(397)

22nd	494.00	airline ticket
	7.50	airport parking fee
	22.00	cab
	7.13	telephone (internet access)
23rd	15.36	telephone
24th	24.14	"
25th	48.30	"
26th	22.00	Speech
	5.00	~~internet~~ package handling
27th	19.20	internet
	26.00	taxi

[redacted]

196.63

+ 494

690.63

City	County and/or Other Defined Location	GSA Out-of-State Meal Allowance
Lewes	Sussex	42
Wilmington	New Castle	34
DISTRICT OF COLUMBIA		
Washington, DC (also the cities of Alexandria, Falls Church, and Fairfax, and the counties of Arlington, Loudoun, and Fairfax in Virginia; and the counties of Montgomery and Prince Georges County in Maryland. See also Maryland and Virginia)		46
FLORIDA		
Altamonte Springs	Seminole	38
Cocoa Beach	Brevard	34
Daytona Beach	Volusia	38
Fort Lauderdale	Broward	42
Fort Myers	Lee	42
Fort Pierce	St. Lucie	46
Fort Walton Beach	Okaloosa	38
Gainesville	Alachua	34
Gulf Breeze	Santa Rosa	38
Jacksonville/Mayport	Duvall County Naval Station	34
Key West	Monroe	46
Kissimmee	Osceola	34
Lakeland	Polk	34
Miami	Dade	42
Naples	Collier	38
Orlando	Orange	42
Palm Beach	Palm Beach (also the cities of Boca Raton, Delray Beach, Jupiter, Palm Beach Gardens, Palm Beach Shores, Singer Island, & West Palm Beach)	46
Panama City	Bay	38
Punta Gorda	Charlotte	38
Saint Augustine	Saint Johns	38

APCOA
FARGO A/P
FARGO,ND

Parking at Fgo
AirPort

PAID
1#
··410No.
00-08-+20T
*22-30:08EN
00-08-+20T
*22-05:10EN
A····7.50#
····7.50#

$7.50
Aug 20, 2000

Miami Dade Taxi
2766 N.W. 62nd St. PSC 016
DATE: Aug 22, 2000 CAB#
FROM: airport to
TO: Hilton
AMOUNT: 22.00
Tel **305.551.1111**

Super YELLOW Cab.
(305)- 888-7777 (Reciept)
Date: 8/21/00 Time: Cab #
From: Fontainebleu Hotel
To: Miami Airport
Amount: 26.00 Driver: IRC
Complaint or Compliment. Co. (305)-888-7777
P.T.R.D. (305)-375-2460

PASSENGER TICKET AND BAGGAGE CHECK
SUBJECT TO CONDITIONS OF CONTRACT

ISSUED BY NORTHWEST AIRLINES

PASSENGER RECEIPT 1 OF 1

0129 08AUG88 C35[illegible]092 SITI US

ET KT FAI/KD FARGO ND

NAME OF PASSENGER (NOT TRANSFERABLE) DUNN/EDDIE

FARE BASIS KE14NON* TOUR CODE

FROM **NOT VALID FOR

TO **TRANSPORTATIO

CARRIER / FLIGHT **THIS IS YOUR RECEIPT**

ENDORSEMENT/RESTRICTIONS

ORIGINAL ISSUE

ISSUED IN EXCHANGE FOR 0122151326449

CONJ TKT NO

ACQNAS/NW

FARE CALCULATION
FAR NW MSP Q9.3# 62.33 MIA147.91NW MSP147.9#NW FAR Q9.3# 62.32US0439.##END

FARE	USD 439.00	EQUIV FARE PD	FORM OF PAYMENT CASH
TAX/FEE/CHARGE	US 54.94	PCS	
TAX/FEE/CHARGE		STOCK CONTROL NUMBER TK	DOCUMENT NUMBER
TAX/FEE/CHARGE		01201700682616	012 2156938650 5
TOTAL	USD 494.00		

NORTHWEST AIRLINES

NAME/PASSENGER DUNN/EDDIE

FARGO
NW 681K 22AUG KE14NON*
MINNEAPOLS/STPAUL
NW 570H 22AUG KE14NON*
MIAMI
NW 575H 27AUG KE14NON*
MINNEAPOLS/STPAUL
NW 1863K 27AUG KE14NON*
FARGO

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012 2156938650 5

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http://www.fontainebleau.hilton.com

VI 4190004306555289 07/03

NAME: DUNN, EDDIE MR

IN / OUT: 08/22-08/27/00 FOLIO: 103041

Page 1 A

RATE: TST000 125.00 ROOM: 792

ADDRESS:
3106 7TH ST NTH
FARGO, ND 58102
PMSHHCPMSHH0817-0631MLO

TRAVEL AGENCY

DATE	DESCRIPTION	TRN	ID NO.	REF. NO.	CHARGES	CREDITS	BALANCE
08/22/00	701-231-8660 23	XAQ		18:12	7.13		
08/22/00	ROOM	XAP		792	125.00		
08/22/00	ROOM TAX	XAP		792	15.63		
08/23/00	701-231-9100 79	XAQ		09:20	6.04		
08/23/00	701-231-7014 96	XAQ		09:29	9.32		
08/23/00	TROP-ART-CAFE	XAQ		286	15.25		
08/23/00	TROP-ART-CAFE	XAQ		344	23.65		
08/23/00	ROOM	XAP		792	125.00		
08/23/00	ROOM TAX	XAP		792	15.63		
08/24/00	701-231-8660 94	XAQ		17:02	5.49		
08/24/00	701-231-8660 98	XAQ		17:03	5.49		
08/24/00	701-231-8660 05	XAQ		17:05	6.58		
08/24/00	701-231-8660 12	XAQ		17:08	6.58		
08/24/00	TROP-ART-CAFE	XAQ		45	12.38		
08/24/00	ROOM	XAP		792	125.00		
08/24/00	ROOM TAX	XAP		792	15.63		
08/25/00	701-231-8660 24	XAQ		07:12	5.49		
08/25/00	701-231-8660 26	XAQ		07:13	5.49		
08/25/00	701-231-8660 27	XAQ		07:14	5.49		
08/25/00	701-231-8660 28	XAQ		07:15	5.49		
08/25/00	701-222-1575 32	XAQ		07:21	6.58		
08/25/00	701-231-8660 34	XAQ		07:23	5.49		
08/25/00	701-231-8660 35	XAQ		07:24	5.49		
08/25/00	701-231-8660 78	XAQ		15:05	19.78		

RATES DO NOT INCLUDE APPLICABLE SALES, OCCUPANCY OR OTHER TAXES

TRANSFER TO CREDIT LEDGER

I AGREE THAT MY LIABILITY FOR THIS BILL IS NOT WAIVED AND AGREE TO BE HELD PERSONALLY LIABLE IN THE EVENT THAT THE INDICATED PERSON, COMPANY OR ASSOCIATION FAILS TO PAY FOR ANY PART OR THE FULL AMOUNT OF THESE CHARGES.

COMPANY

FIRM ADDRESS

CITY STATE ZIP GUEST SIGNATURE

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VI 4190004306555289 07/03

NAME: DUNN, EDDIE MR

IN / OUT: 08/22-08/27/00

FOLIO: 103041

Page 2 A

ADDRESS:
3106 7TH ST NTH
FARGO, ND 58102
PMSHHCPMSHH0817-0631MLO

RATE: TST000 125.00

ROOM 792

TRAVELCITY

DATE	DESCRIPTION	CODE	REF. NO	CHARGES	CREDITS	BALANCE
08/25/00	ROOM	XAP	792	125.00		
08/25/00	ROOM TAX	XAP	792	15.63		
08/26/00	TROP-ART-CAFE	XAQ	1196	11.77		
08/26/00	PKG HANDLING	EAR	04317	5.00		
08/26/00	ROOM	XAP	792	125.00		
08/26/00	ROOM TAX	XAP	792	15.63		
08/27/00	701-231-8660 17	XAQ	11:16	5.49		
08/27/00	701-231-8660 25	XAQ	11:20	7.67		
08/27/00	701-231-9100 29	XAQ	11:23	6.04		
08/27/00	VISA	MLO			885.33	
08/27/00	C/O TIME 11:56	MLO		0.00		
EFFECTIVE BALANCE						0.00

Checked In 12:57 PM by MLO Checked Out 11:56 AM by MLO.
Approval 044204 for 953.15 on 08/27/00

GUEST

FIRM ADDRESS

CITY STATE ZIP

RATES DO NOT INCLUDE APPLICABLE SALES, OCCUPANCY OR OTHER TAXES
TRANSFER TO CREDIT LEDGER
I AGREE THAT MY LIABILITY FOR THIS BILL IS NOT WAIVED AND AGREE TO BE HELD PERSONALLY LIABLE IN THE EVENT THAT THE INDICATED PERSON, COMPANY OR ASSOCIATION FAILS TO PAY FOR ANY PART OR THE FULL AMOUNT OF THESE CHARGES.

GUEST SIGNATURE

NORTH DAKOTA STATE UNIVERSITY

TRAVEL EXPENSE VOUCHER

Reference Number: T 60774

Month: January

Name: Eddie Dunn — NAID #: 21144-3

Department: College Technical Education Council — PO Box#: — Social Security #: 501-48-2545

Address: Morrill Hall 112
NDSU Campus

City/State/Zip: Fargo, ND 58105

2001

DATE (MO/DAY)	POINTS COVERED BY TRAVEL	DEPARTURE TIME	RETURN TIME	TCC 369 MEALS TAXABLE	TCC 369 MEALS NON-TAX	TCC 369 LODGING	TCC 394 VEHICLE MILES	TCC 369 MEALS TAXABLE	TCC 391 MEALS NON-TAX	TCC 369 LODGING	TCC 395 VEHICLE MILES	TCC 369/397 AIR TRANS IN/OUT OF STATE	TCC 369 OTHER TRANS AND MISC EXP	NOTES *
1/2	Fargo-Bismarck	6:30 AM			16.00	44.16	200							
					20.00	44.17								
					20.00	44.17								
1/5	Bismarck-Fargo		6:30 PM		10.00		200							
1/7	Fargo-Bismarck	4:00 PM			10.00	34.50	200							
					20.00	34.50								
					20.00	34.50								
					20.00	34.50								
					20.00	34.50								
1/12	Bismarck-Fargo		7:00 PM		10.00		200							
1/14	Fargo-Bismarck	6:00 PM				34.50	200							
					20.00	34.50								
					20.00	34.50								
					20.00	34.50								
					20.00	34.50								
1/19	Bismarck-Fargo		7:00 PM		20.00		200							
1/22	Fargo-Bismarck	6:00 AM			10.00	34.50	200							
					20.00	34.50								
					20.00	34.50								
					20.00	34.50								
					20.00	34.50								
[redacted]/27	Bismarck-Fargo		11:30 AM		4.00		200							
1/29	Fargo-Bismarck	4:00 PM			10.00	46.25	200							
					20.00	46.25								
					20.00	46.25								
[redacted]				0.00	410.00	788.75	1,600	0.00	0.00	0.00	0	0.00	0.00	
							0				0			
				0.00	410.00	788.75	1,600	0.00	0.00	0.00	0	0.00	0.00	
				(A) (TCC 369)	(B) (TCC 369)	(C) (TCC 369) (D) (TCC 394)	[redacted] $ 450.00	(E) (TCC 369)	(F) (TCC 391)	(G) (TCC 369) (H) (TCC 369)	[redacted] $ -	(I) (TCC 369/397)	(J) (TCC 369)	

Purpose of travel and Misc. Expense Details *(Detail for note column above)

See attached.

Agreement with Comfort Inn, Bismarck, for $650.00 per month lodging.
$650.00 for January runs from January 2, 2001 to January 27, 2001.
The $650.00 per month is prorated according to the days spent in Bismarck.

TOTAL EXPENSES (BOXES A-J) $ 1,948.75

FUND	DEPT.	TCC	PROJECT	AMOUNT
			AMOUNT AUTHORIZED	$ 0.00

[redacted] I hereby certify this itemized statement representing a claim for per diem, mileage and/or travel expense or combination thereof is truthful and accurate.

Employee Signature — Date

Departmental Approval — Date

[redacted] Approval (if required)

Please refer to the Accounting Office web site at www.ndsu.edu/accounting for procedures used to complete this form.

JANUARY 2001 EXPENSES

2nd Luncheon meeting with Steve Egeland, Bismarck-Mandan Area Development Assn., and Dr. Thigpen regarding workforce training and proposed Department of Commerce, Bismarck. Senate Appropriations Committee hearing on higher education, System overview.

3rd Work out of Bismarck System Office and attend Senate Appropriation Committee hearings concerning individual campuses.

4th CTEC meeting.

5th Staff meeting. Worked on presentation regarding Roundtable.

8th University System overview to Senate Appropriations Committee. Roundtable report presentation to Senate Appropriations Committee.

9th Worked out of System Office

10th North Dakota Rural Development Council Board meeting. Attend Market Place 2001.

11th SBVTE hearing before Senate Appropriations Committee (WFTR funding). ED&F budget hearing.

12th Economic Development Association of North Dakota executive Committee meeting with Chancellor and Exec. Dir., CTEC. Worked out of System Office.

15th Job Service ND hearing before Senate Appr. Worked out of System Office.

16th Hearing on SB-2041 regarding University System (strategic planning and unified system). Meeting with evaluation team for certifying North Dakota Small Business Development Centers.

17th Worked out of System Office.

18th Chancellor Isaak and Dunn met with House Majority Leader, Wes Belter, to provide a briefing on higher education legislative issues, including bills resulting from the Roundtable on Higher Education. Meeting with presidents Sharon Etemad and Joe McCann.

19th ED&F hearing before Senate Appropriations. Worked out of System Office preparing testimony and material for hearings and committee information requests.

22-24 Meetings with Senate Appropriations Committee to provide information regarding higher education. Chancellor's Cabinet meeting on 24th at BSC.

25-26 State Board of Higher Education Board meeting at BSC.

30th GNDA Business Day at the Legislature, Bismarck. New Economy Committee meeting.

31st Hearing on SB-2271 re workforce training. Meeting with consultants and executive committee for New Economy Committee.

MISCELLANEOUS EXPENSES

None

COMFORT INN
1030 INTERSTATE AVE
BISMARCK, ND 58502
(701) 223-1911

Room: 331 GROUP#
Arrival Date: 01/02/01 10:56
Departure Date: 04/23/01 00:00
Frequent Traveler ID:
You were checked out by:
You were checked in by: TC

DUNN, EDDIE

3108 7TH STREET NORTH
FARGO, ND 58102

01/02/01	ROOM CHARGE	#331 DUNN, EDDIE	21.67
01/03/01	ROOM CHARGE	#331 DUNN, EDDIE	21.67
01/04/01	ROOM CHARGE	#331 DUNN, EDDIE	21.67
01/05/01	ROOM CHARGE	#331 DUNN, EDDIE	21.67
01/06/01	ROOM CHARGE	#331 DUNN, EDDIE	21.67
01/07/01	ROOM CHARGE	#331 DUNN, EDDIE	21.67
01/08/01	ROOM CHARGE	#331 DUNN, EDDIE	21.67
01/09/01	ROOM CHARGE	#331 DUNN, EDDIE	21.67
01/10/01	ROOM CHARGE	#331 DUNN, EDDIE	21.67
01/11/01	ROOM CHARGE	#331 DUNN, EDDIE	21.67
01/12/01	ROOM CHARGE	#331 DUNN, EDDIE	21.67
01/13/01	ROOM CHARGE	#331 DUNN, EDDIE	21.67
01/14/01	ROOM CHARGE	#331 DUNN, EDDIE	21.67
01/15/01	ROOM CHARGE	#331 DUNN, EDDIE	21.67
01/16/01	ROOM CHARGE	#331 DUNN, EDDIE	21.67
[illegible]/01	ROOM CHARGE	#331 DUNN, EDDIE	21.67
[illegible]/01	ROOM CHARGE	#331 DUNN, EDDIE	21.67
01/19/01	ROOM CHARGE	#331 DUNN, EDDIE	21.67
01/20/01	ROOM CHARGE	#331 DUNN, EDDIE	21.67
01/21/01	ROOM CHARGE	#331 DUNN, EDDIE	21.67
01/22/01	ROOM CHARGE	#331 DUNN, EDDIE	21.67
01/23/01	ROOM CHARGE	#331 DUNN, EDDIE	21.67
01/24/01	ROOM CHARGE	#331 DUNN, EDDIE	21.67
01/25/01	ROOM CHARGE	#331 DUNN, EDDIE	21.67
01/26/01	ROOM CHARGE	#331 DUNN, EDDIE	21.67

COMFORT INN
1030 INTERSTATE AVE
BISMARCK, ND 58502
(701) 223-1911

Room: 331
Arrival Date: 01/02/01
Departure Date: 04/23/01
Account: 108450
Frequent Traveler ID:

Merchant Number:
Approval Number:
Card Type:
Date: 03/30/01
Card Number:
Credit Card Expiration: /
Total:

If payment by credit card, I agree to pay the above total charge amount according to the card issuer agreement.

EDDIE DUNN
3108 7TH STREET NORTH
FARGO, ND 58102

X _____

COMFORT INN
1030 INTERSTATE AVE
BISMARCK, ND 58502
(701) 223-1911

Date: 03/30/01
Page: 2 of 4
Room: 331 GROUP
Arrival Date: 01/02/01 10:58
Departure Date: 04/23/01 00:00
Frequent Traveler ID:
You were checked out by:
You were checked in by: TC

DUNN, EDDIE

3108 7TH STREET NORTH
FARGO, ND 58102

01/27/01	ROOM CHARGE	#331 DUNN, EDDIE	21.67
01/28/01	ROOM CHARGE	#331 DUNN, EDDIE	21.67
01/29/01	ROOM CHARGE	#331 DUNN, EDDIE	21.67
01/30/01	ROOM CHARGE	#331 DUNN, EDDIE	21.67
01/31/01	ROOM CHARGE	#331 DUNN, EDDIE	21.67
02/01/01	ROOM CHARGE	#331 DUNN, EDDIE	21.67
02/02/01	ROOM CHARGE	#331 DUNN, EDDIE	21.67
02/03/01	ROOM CHARGE	#331 DUNN, EDDIE	21.67
02/04/01	ROOM CHARGE	#331 DUNN, EDDIE	21.67
02/05/01	ROOM CHARGE	#331 DUNN, EDDIE	21.67
02/06/01	ROOM CHARGE	#331 DUNN, EDDIE	21.67
02/07/01	ROOM CHARGE	#331 DUNN, EDDIE	21.67
02/08/01	ROOM CHARGE	#331 DUNN, EDDIE	21.67
02/09/01	ROOM CHARGE	#331 DUNN, EDDIE	21.67
02/10/01	ROOM CHARGE	#331 DUNN, EDDIE	21.67
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[illegible]/01	ROOM CHARGE	#331 DUNN, EDDIE	21.67
02/13/01	ROOM CHARGE	#331 DUNN, EDDIE	21.67
02/14/01	ROOM CHARGE	#331 DUNN, EDDIE	21.67
02/15/01	ROOM CHARGE	#331 DUNN, EDDIE	21.67
02/16/01	ROOM CHARGE	#331 DUNN, EDDIE	21.67
02/17/01	ROOM CHARGE	#331 DUNN, EDDIE	21.67
02/18/01	ROOM CHARGE	#331 DUNN, EDDIE	21.67
02/19/01	ROOM CHARGE	#331 DUNN, EDDIE	21.67
02/20/01	ROOM CHARGE	#331 DUNN, EDDIE	21.67

COMFORT INN
1030 INTERSTATE AVE
BISMARCK, ND 58502
(701) 223-1911

Room: 331
Arrival Date: 01/02/01
Departure Date: 04/23/01
Account: 106460
Frequent Traveler ID:

Merchant Number:
Approval Number:
Card Type:
Date: 03/30/01
Card Number:
Credit Card Expiration: /
Total:

If payment by credit card, I agree to pay the above total charge amount according to the card issuer agreement.

EDDIE DUNN
3108 7TH STREET NORTH
FARGO, ND 58102

X _____

COMFORT INN BISMARCK
1030 INTERSTATE AVE
BISMARCK, ND 58502
(701) 223-1911

Date: 03/30/01
Page: 3 of 4
Room: 331 GROUP
Arrival Date: 01/02/01 10:58
Departure Date: 04/23/01 09:00
Frequent Traveler ID:
You were checked out by:
You were checked in by: TC

DUNN, EDDIE

3108 7TH STREET NORTH
FARGO, ND 58102

02/21/01	ROOM CHARGE	#331 DUNN, EDDIE	21.67
02/22/01	ROOM CHARGE	#331 DUNN, EDDIE	21.67
02/23/01	ROOM CHARGE	#331 DUNN, EDDIE	21.67
02/24/01	ROOM CHARGE	#331 DUNN, EDDIE	21.67
02/25/01	ROOM CHARGE	#331 DUNN, EDDIE	21.67
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03/04/01	ROOM CHARGE	#331 DUNN, EDDIE	21.67
03/05/01	ROOM CHARGE	#331 DUNN, EDDIE	21.67
03/06/01	ROOM CHARGE	#331 DUNN, EDDIE	21.67
03/07/01	ROOM CHARGE	#331 DUNN, EDDIE	21.67
[illegible]/01	ROOM CHARGE	#331 DUNN, EDDIE	21.67
[illegible]01	ROOM CHARGE	#331 DUNN, EDDIE	21.67
03/10/01	ROOM CHARGE	#331 DUNN, EDDIE	21.67
03/11/01	ROOM CHARGE	#331 DUNN, EDDIE	21.67
03/12/01	ROOM CHARGE	#331 DUNN, EDDIE	21.67
03/13/01	ROOM CHARGE	#331 DUNN, EDDIE	21.67
03/14/01	ROOM CHARGE	#331 DUNN, EDDIE	21.67
03/15/01	ROOM CHARGE	#331 DUNN, EDDIE	21.67
03/16/01	ROOM CHARGE	#331 DUNN, EDDIE	21.67
03/17/01	ROOM CHARGE	#331 DUNN, EDDIE	21.67

COMFORT INN
1030 INTERSTATE AVE
BISMARCK, ND 58502
(701) 223-1911

Room: 331
Arrival Date: 01/02/01
Departure Date: 04/23/01
Account: 105480
Frequent Traveler ID:

Merchant Number:
Approval Number:
Card Type:
Date: 03/30/01
Card Number:
Credit Card Expiration: /
Total:

If payment by credit card, I agree to pay the above total charge amount according to the card issuer agreement.

EDDIE DUNN
3108 7TH STREET NORTH
FARGO, ND 58102

X _____

COMFORT INN BISMARCK [illegible]

COMFORT INN
1030 INTERSTATE AVE
BISMARCK, ND 58502
(701) 223-1911

[illegible]
Date: [illegible]
Page: 4 of 4
Room: 331 GROUP
Arrival Date: 01/02/01 16:58
Departure Date: 04/23/01 08:08
Frequent Traveler ID:
You were checked out by:
You were checked in by: TC

DUNN, EDDIE

3106 7TH STREET NORTH
FARGO, ND 58102

03/18/01	ROOM CHARGE	#331 DUNN, EDDIE	21.67
03/19/01	ROOM CHARGE	#331 DUNN, EDDIE	21.67
03/20/01	ROOM CHARGE	#331 DUNN, EDDIE	21.67
03/21/01	ROOM CHARGE	#331 DUNN, EDDIE	21.67
03/22/01	ROOM CHARGE	#331 DUNN, EDDIE	21.67
03/23/01	ROOM CHARGE	#331 DUNN, EDDIE	21.67
03/24/01	ROOM CHARGE	#331 DUNN, EDDIE	21.67
03/25/01	ROOM CHARGE	#331 DUNN, EDDIE	21.67
03/26/01	ROOM CHARGE	#331 DUNN, EDDIE	21.67
03/27/01	ROOM CHARGE	#331 DUNN, EDDIE	21.67
03/28/01	ROOM CHARGE	#331 DUNN, EDDIE	21.67
03/29/01	ROOM CHARGE	#331 DUNN, EDDIE	21.67
		Balance Due:	1,[illegible].29

If payment by credit card, I agree to pay the above total charge amount according to the card issuer agreement.

X _____

COMFORT INN
1030 INTERSTATE AVE
BISMARCK, ND 58502
(701) 223-1911

Room: 331
Arrival Date: 01/02/01
Departure Date: 04/23/01
Account: 105460
Frequent Traveler ID:

Merchant Number:
Approval Number:
Card Type:
Date: 03/30/01
Card Number:
Credit Card Expiration: /
Total:

If payment by credit card, I agree to pay the above total charge amount according to the card issuer agreement.

EDDIE DUNN
3106 7TH STREET NORTH
[illegible]GO, ND 58102

X _____

NORTH DAKOTA STATE UNIVERSITY

TRAVEL EXPENSE VOUCHER

Reference Number: T 60775

Month: February

Name: Eddie Dunn — NAID #: 21144-3

[redacted] Department: College Technical Education Council — PO Box#: — Social Security #: 501-48-2545

[redacted] Address: Morrill Hall 112, NDSU Campus

City/State/Zip: Fargo, ND 58105

2001

DATE (MO/DAY)	POINTS COVERED BY TRAVEL	DEPARTURE TIME	RETURN TIME	TCC 388 MEALS TAXABLE	TCC 388 MEALS NON-TAX	TCC 388 LODGING	TCC 384 VEHICLE MILES	TCC 389 MEALS TAXABLE	TCC 391 MEALS NON-TAX	TCC 389 LODGING	TCC 389 VEHICLE MILES	TCC 388/397 AIR TRANS IN/OUT OF STATE	TCC 389 OTHER TRANS AND MISC EXP	NOTE *
					20.00	46.25								
2/2	Bismarck-Fargo		7:30 PM		20.00		200							
2/5	Fargo-Bismarck	8:00 PM				46.25	200							
					20.00	46.25								
					20.00	46.25								
					20.00	46.25								
2/9	Bismarck-Fargo		7:30 PM		20.00		200							
2/10	Fargo-Orlando	10:45 AM							33.60	196.69		597.00	15.00	
									42.00	196.69			15.00	
									21.00	196.69				
2/13	Orlando-Fargo		10:20 PM						42.00				10.00	
2/19	Fargo-Bismarck	9:00 AM			16.00	46.25	200							
					20.00	46.25								
					20.00	46.25								
					20.00	46.25								
2/23	Bismarck-Fargo		7:00 PM		20.00		200							
2/26	Fargo-Bismarck	5:00 PM			10.00	31.66	200							
[redacted]				0.00	226.00	447.91	1,200	0.00	138.60	596.07	0	597.00	40.00	
							0				0			
[redacted]				0.00	226.00	447.91	1,200	0.00	138.60	596.07	0	597.00	40.00	
				(A) (TCC 388)	(B) (TCC 388)	(C) (TCC 388)	[redacted]	(E) (TCC 389)	(F) (TCC 391)	(G) (TCC 389)	[redacted]	(I) (TCC 388/397)	(J) (TCC 389)	
						(D) (TCC 384)	$ 300.00			(H) (TCC 389)	$ -			

Purpose of travel and Misc. Expense Detail: *Detail for note columns above

See attached.

Agreement with Comfort Inn, Bismarck, for $660.00 per month lodging.
$660.00 for February runs from January 29 to March 2, 2001.
The $660.00 per month is prorated according to the days spent in Bismarck.

TOTAL EXPENSES (SCHEDULE A-J) $ 2,345.58

FUND	DEPT.	TCC	PROJECT	AMOUNT
			AMOUNT AUTHORIZED	$ 60.00

[redacted]

I hereby certify this itemized statement representing a claim for per diem, mileage and/or travel expenses or continuation thereof is truthful and accurate.

[redacted] Signature — Date

Departmental Approval — Date

[redacted] Approval (if required)

Please refer to the Accounting Office web site at www.ndsu.edu/accounting for procedures used to complete this form.

FEBRUARY 2001 EXPENSES

1st CTEC meeting, Bismarck. Worked out of System Office. Meeting with Keith Bjerke regarding campus strategic planning.

2nd Worked out of System Office.

6th Meeting with Chancellor Isaak, NDUS staff, and Representatives Wentz and Kelsch. Staff meeting on SBHE Objectives.

7th Senate Appropriations full committee meeting on higher education legislation.

8th Worked out of System Office.

9th Present testimony on SB-2379 (Senator Bill Bowman)

11-13 Community College Future's Assembly Conference in Orlando, Florida.

19th Meeting and demo on Ace-ware software for tracking workforce training and continuing education course offerings, Bismarck.

20th Hearing on SB-2271 re workforce training.

21st Worked out of System Office.

22nd Meeting regarding workforce training and Roundtable recommendations/ legislation. Conference call on WFTR, CEO's. Conference call on ND Legislative Bill Tracking System. Mtg. with Bill Goetz

23rd North Dakota Career Resources Network board meeting.

28th Traveled to Bismarck for hearing on Roundtable before House Appropriations Committee and CTEC meeting on March 1.

MISCELLANEOUS EXPENSES

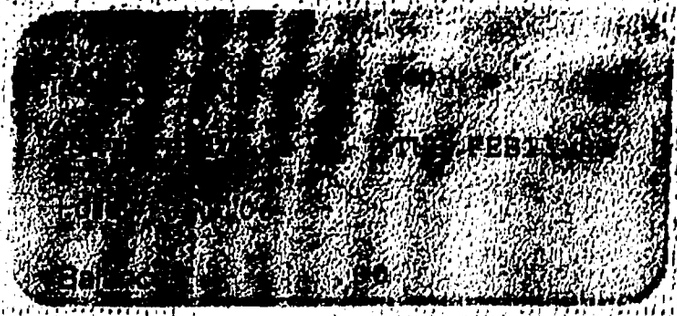
10th $15.00 - shuttle from airport to hotel.

11th $7.50 - share of cab fare to Valencia College site visit.
11th $7.50 - share of cab fare from Valencia College.

13th $10.00 - cab from hotel to airport.

Caribe Royale
RESORT SUITES & VILLAS LAKE BUENA VISTA

P.O. Box 22647 • Lake Buena Vista, FL 32830
8101 World Center Drive • Orlando, FL 32821
(407) 238-8800 • Fax (407) 238-8050
www.cariberoyale.com
email: guest@cariberoyale.com



Name EDDIE 1pm DUNN

Nights	Date	Time	ID	Page #
3	FEB13,01	1:04p	KE	1

Address Valencia Community Colleg
P.O. Box 5435

FARGO, ND 58102

Guaranteed by

Paid by WITHIN CXL TIME

Date	Ref	Description	Reference	Amount	ID
FEB10	01/1735	CAFE LARGO DINNR	3323/ ROSA	2.12+	
FEB10	01/1735	SUITE	Rm 1735	179.00+	KK
FEB10	01/1735	SUITE TAX	Rm 1735	19.69+	KK
FEB11	01/1735	CAFE LARGO LUNCH	3868/ MAGDALENA	2.12+	
FEB11	01/1735	CAFE LARGO LUNCH	3986/ REBECCA	3.13+	
FEB11	01/1735	CAFE LARGO DINNR	4404/ ROSA	6.13+	
FEB11	01/1735	SUITE	Rm 1735	179.00+	NB
FEB11	01/1735	SUITE TAX	Rm 1735	19.69+	NB
FEB12	01/1735	CAFE LARGO LUNCH	5132/ REBECCA	3.13+	
FEB12	01/1735	MONTEGO'S LUNCH	5032/ CURTIS LCH	19.52+	
FEB12	01/1735	LONG DISTANCE	2399-17013282962	15.46+	
FEB12	01/1735	SUITE	Rm 1735	179.00+	NB
FEB12	01/1735	SUITE TAX	Rm 1735	19.69+	NB
FEB12	01/1735	GIFT SHOP	7578	11.48+	PJ
FEB13	01/1735	VISA CARD	XXXXXXXXXXXX5289	659.16-	KE

www.cariberoyale.com

(Suites only hotel)

Guest Signature _____

I agree that my liability for this bill is not waived and agree to be held personally liable in the event that the indicated person, company or association fail to pay for any or the full amount of these charges.

ELECTRONIC TICKET

ITINERARY FOR
DUNN/EDDIE

DAY	DATE		CITY		AIRLINE		CLASS	MEAL
SA	18FEB	LV	FARGO	1055A	NW	3518	F	
		AR	MINNEAPOLS/STPAUL	1201P	NON-STOP			
		OPERATED BY MESABA AV JET/NW AIRLINK / CHECK-IN WITH MESABA AV JET/NW AIRLINK						
SA	18FEB	LV	MINNEAPOLS/STPAUL	120P	NW	0584	Y	LUNCH
		AR	ORLANDO-MCO	529P	NON-STOP SEARDING PASS ISSUED			
TU	13FEB	LV	ORLANDO-MCO	445P	NW	0589	Y	DINNER
		AR	MINNEAPOLS/STPAUL	728P	NON-STOP			

ELECTRONIC TICKET

ITINERARY FOR
DUNN/EDDIE

DAY	DATE		CITY		AIRLINE		CLASS	MEAL
TU	13FEB	LV	MINNEAPOLS/STPAUL	844P	NW	1231	Y	
		AR	FARGO	958P	NON-STOP			

PASSENGER TICKET AND BAGGAGE CHECK

NORTHWEST AIRLINES

PASSENGER RECEIPT 1 OF 1

ETKT

DUNN/EDDIE

**NOT VALID FOR **TRANSPORTATION

**THIS IS YOUR RECEIPT

DUNN/EDDIE

FARGO
NW 3518F 18FEB BELZINR
MINNEAPOLS/STPAUL
NW 0584Y 18FEB BELZINR
ORLANDO-MCO
NW 0589Y 13FEB BELZINR
MINNEAPOLS/STPAUL
NW 1231Y 13FEB BELZINR

USD 869.35
US 41.65

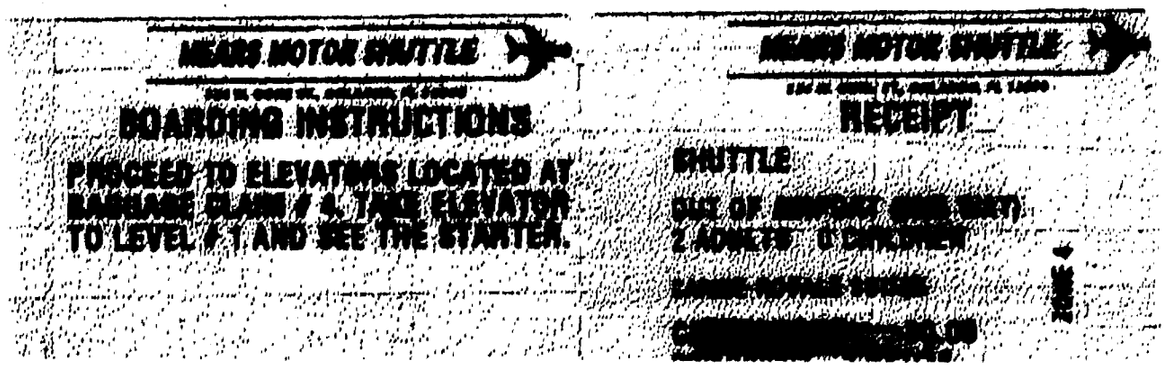
01201839204916

012 2162279611 1

USD 897.00

**NOT VALID FOR TRAVEL

012 2162279611 1



MEARS MOTOR SHUTTLE

BOARDING INSTRUCTIONS

PROCEED TO ELEVATORS LOCATED AT BAGGAGE CLAIM / TAKE ELEVATOR TO LEVEL # 1 AND SEE THE STARTER.

MEARS MOTOR SHUTTLE

RECEIPT

SHUTTLE

+ $10 taxi

2001 TESTIMONY

SB 2003

Prepared by the North Dakota Legislative Council staff for Senate Appropriations
December 29, 2000

Department 215 - North Dakota University System Office
Senate Bill No. 2003

	FTE Positions	General Fund	Other Funds	Total
2001-03 Executive Budget	19.00	$74,048,686	$3,716,822	$77,765,508
1999-2001 Legislative Appropriations	19.00[1]	11,773,288	4,933,900	16,707,188[2]
Increase (Decrease)	0.00	$62,275,398	($1,217,078)	$61,058,320

[1] The 1999-2001 appropriation is based on 18.50 FTE positions. Section 6 of 1999 House Bill No. 1003 authorized the University System to adjust FTE positions as needed. The 19 FTE positions shown above represent the employee positions reported to the Office of Management and Budget (OMB).

[2] The 1999-2001 appropriation amounts include $664 from the general fund for the agency's share of the $1.4 million funding pool appropriated to OMB for assisting agencies in providing the $35 per month minimum salary increases in July 1999 and July 2000 and $8,320 from the general fund for the agency's share of the $2,630,992 critical salary funding pool appropriated to the North Dakota University System to address additional salary increases beyond legislative appropriations for market and equity raises. The 1999-2001 appropriation amounts do not reflect $1,381,291 of other adjustments and 1997-99 carryover authority.

Major Items Affecting North Dakota University System Office 2001-03 Budget

	General Fund	Other Funds	Total
1. Consolidates the University System budget from 18 line items to five--system governance, student grant programs, campus-based programs, contingencies and board initiatives, and capital bond payments.			
2. Provides for the following changes in the system governance line item, which includes salaries and wages, operating, equipment, state approving agency, and technical administration:			
a. Provides a market equity salary adjustment for the University System counsel and human resource director.	$19,490		$19,490
b. Increases funding for University System dues.	$46,000		$46,000
3. Provides for the following changes in the student grant programs line item, which includes funding for the state grant program, professional student exchange program, scholars program, and Indian scholarship program:			
a. Decreases funding for the state grant program from $4,450,281 to $4,088,031, including an other funds reduction of $1,376,250 to account for an anticipated decrease in revenue received from the Minnesota reciprocity agreement.	$800,000	($1,162,250)	($362,250)
b. Increases funding for the professional student exchange program from $1,310,716 to $1,560,716.	$250,000		$250,000
c. Increases funding for the scholars program from $706,230 to $770,730, including an other funds reduction of $185,500 to account for an anticipated decrease in revenue received from the Minnesota reciprocity agreement.	$250,000	($185,500)	$64,500
4. Provides for the following changes in the campus-based programs line item, which includes an equity and special needs pool, a technology pool, and funding for the Interactive Video Network (IVN), On-line Dakota Information Network (ODIN), Higher Education Computer Network (HECN), information technology management, disabled student services, competitive research, and Title II:			
a. Provides an equity and special needs pool for critical needs in campus operations ($2.5 million), one-time funding for innovation initiatives ($2.5 million), equity salary adjustments ($1,069,596),	$19,445,765		$19,445,765

recommended compensation plan salary increases ($13,261,034), and other equity and special needs ($115,135).			
b. Provides a technology pool for University System equipment ($217,020), increased system technology and network costs ($1,200,000), and costs associated with the implementation of the statewide information technology network ($4,595,474).	$6,012,494		$6,012,494
c. Provides funding for the Interactive Video Network, On-line Dakota Information Network, and Higher Education Computer Network from the budgets of the University of North Dakota and North Dakota State University for the State Board of Higher Education to allocate to campuses.	$22,225,925		$22,225,925
d. Increases matching funds for competitive research from $1,971,100 to $3,471,100.	$1,500,000		$1,500,000
e. Increases funding for disabled student services from $26,560 to $51,560.	$25,000		$25,000
5. Provides for the following change in the contingencies and board initiatives line item which includes contingency and capital improvement funding and board initiatives:			
a. Provides University System board initiative funding of $2,445,797.	$625,000		$625,000
6. Adds funding for capital construction lease payments, previously funded in the Industrial Commission budget.	$11,261,502	$1,469,339	$12,730,841
7. Transfers funding for Prairie Public Broadcasting from the University System's budget to the Information Technology Department's budget.	($1,407,513)		($1,407,513)

Major Legislation Affecting the North Dakota University System Office

Senate Bill No. 2037 provides a continuing appropriation of higher education institutions' special revenue funds including tuition and allows institutions to carry over at the end of the biennium unspent general fund appropriations.

Senate Bill No. 2038 requires the budget request for the University System to include budget estimates for block grants for a base-funding component and for an initiative-funding component and a budget estimate for an asset-funding component and requires the appropriation for the University System to include block grants to the State Board of Higher Education for a base-funding appropriation and for an initiative-funding appropriation and an appropriation for asset-funding.

Senate Bill No. 2039 allows the State Board of Higher Education to authorize campus improvements and building maintenance projects that are financed by donations, gifts, grants, and bequests if the cost of the improvement or maintenance is not more than $500,000.

Senate Bill No. 2040 allows higher education institutions to provide bonuses, cash incentive awards, and temporary salary adjustments to employees without reporting the activity as a fiscal irregularity.

Senate Bill No. 2041 recognizes the institutions of higher education under the control of the State Board of Higher Education as the North Dakota University System and requires the University System to develop a strategic plan that defines University System goals and objectives and to provide a performance and accountability report.

Senate Bill No. 2042 removes language regarding the powers of the State Board of Higher Education and duties and responsibilities of higher education institutions which are no longer considered necessary.

Senate Bill No. 2136 revises the definition of "resident student" for tuition purposes to include an individual who has owned or whose parent has owned real property in the state at least one year before the beginning of the academic term.

Prepared by the North Dakota Legislative Council staff for Senate Appropriations
January 18, 2001

Department 215 - North Dakota University System Office
Senate Bill No. 2003

	FTE Positions	General Fund	Other Funds	Total
2001-03 Schafer Executive Budget	19.00	$74,046,686	$3,716,822	$77,765,508
1999-2001 Legislative Appropriations	19.00[1]	11,773,288	4,933,900	16,707,188[2]
Increase (Decrease)	0.00	$62,275,398	($1,217,078)	$61,058,320
2001-03 Hoeven Executive Budget	19.00	$75,407,586	$3,716,822	$79,124,408
Hoeven Increase (Decrease) to Schafer	0.00	$1,358,900	$0	$1,358,900

[1] The 1999-2001 appropriation is based on 18.50 FTE positions. Section 6 of 1999 House Bill No. 1003 authorized the University System to adjust FTE positions as needed. The 19 FTE positions shown above represent the employee positions reported to the Office of Management and Budget (OMB).

[2] The 1999-2001 appropriation amounts include $664 from the general fund for the agency's share of the $1.4 million funding pool appropriated to OMB for assisting agencies in providing the $30 per month minimum salary increases in July 1999 and July 2000 and $8,320 from the general fund for the agency's share of the $2,630,992 critical salary funding pool appropriated to the North Dakota University System to address additional salary increases beyond legislative appropriations for market and equity raises. The 1999-2001 appropriation amounts do not reflect $1,381,291 of other adjustments and 1997-99 carryover authority.

Major Schafer Recommendations Affecting North Dakota University System Office 2001-03 Budget

	General Fund	Other Funds	Total
1. Consolidates the University System budget from 18 line items to five--system governance, student grant programs, campus-based programs, contingencies and board initiatives, and capital bond payments.			
2. Provides for the following changes in the system governance line item, which includes salaries and wages, operating, equipment, state approving agency, and technical administration:			
a. Provides a market equity salary adjustment for the University System counsel and human resource director.	$19,490		$19,490
b. Increases funding for University System dues.	$46,000		$46,000
3. Provides for the following changes in the student grant programs line item, which includes funding for the state grant program, professional student exchange program, scholars program, and Indian scholarship program:			
a. Decreases funding for the state grant program from $4,450,281 to $4,088,031, including an other funds reduction of $1,376,250 to account for an anticipated decrease in revenue received from the Minnesota reciprocity agreement.	$800,000	($1,162,250)	($362,250)
b. Increases funding for the professional student exchange program from $1,310,716 to $1,560,716.	$250,000		$250,000
c. Increases funding for the scholars program from $706,230 to $770,730, including an other funds reduction of $185,500 to account for an anticipated decrease in revenue received from the Minnesota reciprocity agreement.	$250,000	($185,500)	$64,500
4. Provides for the following changes in the campus-based programs line item, which includes an equity and special needs pool, a technology pool, and funding for the Interactive Video Network (IVN),			

On-line Dakota Information Network (ODIN), Higher Education Computer Network (HECN), information technology management, disabled student services, competitive research, and Title II:			
a. Provides an equity and special needs pool for critical needs in campus operations ($2.5 million), one-time funding for innovation initiatives ($2.5 million), equity salary adjustments ($1,069,596), recommended compensation plan salary increases ($13,281,034), and other equity and special needs ($115,135).	$19,445,765		$19,445,765
b. Provides a technology pool for University System equipment ($217,020), increased system technology and network costs ($1,200,000), and costs associated with the implementation of the statewide information technology network ($4,595,474).	$6,012,494		$6,012,494
c. Provides funding for the Interactive Video Network, On-line Dakota Information Network, and Higher Education Computer Network from the budgets of the University of North Dakota and North Dakota State University for the State Board of Higher Education to allocate to campuses.	$22,226,926		$22,226,926
d. Increases matching funds for competitive research from $1,971,100 to $3,471,100.	$1,500,000		$1,500,000
e. Increases funding for disabled student services from $26,560 to $51,560.	$25,000		$25,000
5. Provides for the following change in the contingencies and board initiatives line item which includes contingency and capital improvement funding and board initiatives:			
a. Provides University System board initiative funding of $2,445,797.	$625,000		$625,000
6. Adds funding for capital construction lease payments, previously funded in the Industrial Commission budget.	$11,261,502	$1,469,339	$12,730,841
7. Transfers funding for Prairie Public Broadcasting from the University System's budget to the Information Technology Department's budget.	($1,407,513)		($1,407,513)

Major Hoeven Recommendations Affecting North Dakota University System Office 2001-03 Budget Compared to the Bill as Introduced (Schafer Budget)

	General Fund	Other Funds	Total
1. Increases funding for competitive research from $3,471,100 to $4 million.	$528,900		$528,900
2. Adds funding for education incentive programs including teacher retraining, loan payoffs, and technology training.	$830,000		$830,000

Major Legislation Affecting the North Dakota University System Office

Senate Bill No. 2037 provides a continuing appropriation of higher education institutions' special revenue funds including tuition and allows institutions to carry over at the end of the biennium unspent general fund appropriations.

Senate Bill No. 2038 requires the budget request for the University System to include budget estimates for block grants for a base-funding component and for an initiative-funding component and a budget estimate for an asset-funding component and requires the appropriation for the University System to include block grants to the State Board of Higher Education for a base-funding appropriation and for an initiative-funding appropriation and an appropriation for asset-funding.

Senate Bill No. 2039 allows the State Board of Higher Education to authorize campus improvements and building maintenance projects that are financed by donations, gifts, grants, and bequests if the cost of the improvement or maintenance is not more than $500,000.

Senate Bill No. 2040 allows higher education institutions to provide bonuses, cash incentive awards, and temporary salary adjustments to employees without reporting the activity as a fiscal irregularity.

Senate Bill No. 2041 recognizes the institutions of higher education under the control of the State Board of Higher Education as the North Dakota University System and requires the University System to develop a strategic plan that defines University System goals and objectives and to provide a performance and accountability report.

Senate Bill No. 2042 removes language regarding the powers of the State Board of Higher Education and duties and responsibilities of higher education institutions which are no longer considered necessary.

Senate Bill No. 2136 revises the definition of "resident student" for tuition purposes to include an individual who has owned or whose parent has owned real property in the state at least one year before the beginning of the academic term.

Prepared by the North Dakota Legislative Council staff for House Appropriations
February 26, 2001

Department 215 - North Dakota University System Office
Senate Bill No. 2003

	FTE Positions	General Fund	Other Funds	Total
2001-03 Schafer Executive Budget	19.00	$74,048,686	$3,716,822	$77,765,508
1999-2001 Legislative Appropriations	19.00[1]	11,773,288	4,933,900	16,707,188[2]
Increase (Decrease)	0.00	$62,275,398	($1,217,078)	$61,058,320
2001-03 Hoeven Executive Budget	19.00	$75,407,586	$3,716,822	$79,124,408
Hoeven Increase (Decrease) to Schafer	0.00	$1,358,900	$0	$1,358,900

[1] The 1999-2001 appropriation is based on 18.50 FTE positions. Section 6 of 1999 House Bill No. 1003 authorized the University System to adjust FTE positions as needed. The 19 FTE positions shown above represent the employee positions reported to the Office of Management and Budget (OMB).

[2] The 1999-2001 appropriation amounts include $664 from the general fund for the agency's share of the $1.4 million funding pool appropriated to OMB for assisting agencies in providing the $35 per month minimum salary increases in July 1999 and July 2000 and $8,320 from the general fund for the agency's share of the $2,630,992 critical salary funding pool appropriated to the North Dakota University System to address additional salary increases beyond legislative appropriations for market and equity raises. The 1999-2001 appropriation amounts do not reflect $1,381,291 of other adjustments and 1997-99 carryover authority.

Major Schafer Recommendations Affecting North Dakota University System Office 2001-03 Budget

	General Fund	Other Funds	Total
1. Consolidates the University System budget from 18 line items to five--system governance, student grant programs, campus-based programs, contingencies and board initiatives, and capital bond payments.			
2. Provides for the following changes in the system governance line item, which includes salaries and wages, operating, equipment, state approving agency, and technical administration:			
a. Includes a salary market equity increase of $623 per month for legal counsel and $162 per month for the human resource director effective January 1, 2002 (the amount included in the budget is $2,991 more than the required amount of $16,499). (The Senate reduced funding for salary market equity increases by $2,991.)	$19,490		$19,490
b. Increases funding for University System dues.	$46,000		$46,000
3. Provides for the following changes in the student grant programs line item, which includes funding for the state grant program, professional student exchange program, scholars program, and Indian scholarship program: (The Senate increased funding for student grant programs by $370,000.)			
a. Decreases funding for the state grant program from $4,450,281 to $4,088,031, including an other funds reduction of $1,376,250 to account for an anticipated decrease in revenue received from the Minnesota reciprocity agreement.	$800,000	($1,162,250)	($362,250)
b. Increases funding for the professional student exchange program from $1,310,716 to $1,560,716.	$250,000		$250,000
c. Increases funding for the scholars program from $706,230 to $770,730, including an other funds reduction of $185,500 to account for an anticipated decrease in revenue received from the Minnesota reciprocity agreement.	$250,000	($185,500)	$64,500

4. Provides for the following changes in the campus-based programs line item, which includes an equity and special needs pool, a technology pool, and funding for the Interactive Video Network (IVN), On-line Dakota Information Network (ODIN), Higher Education Computer Network (HECN), information technology management, disabled student services, competitive research, and Title II: (The Senate increased funding for campus-based programs by a net amount of $168,900 consisting of a $528,900 increase for competitive research which increased the funding for competitive research to the level recommended in the Hoeven executive budget and a $360,000 decrease in funding which decreased both the Schafer and Hoeven executive budgets for campus-based programs.)			
a. Provides an equity and special needs pool for critical needs in campus operations ($2.5 million), one-time funding for innovation initiatives ($2.5 million), equity salary adjustments ($1,069,596), recommended compensation plan salary increases ($13,261,034), and other equity and special needs ($115,135).	$19,445,765		$19,445,765
b. Provides a technology pool for University System equipment ($217,020), increased system technology and network costs ($1,200,000), and costs associated with the implementation of the statewide information technology network ($4,595,474).	$6,012,494		$6,012,494
c. Provides funding for the Interactive Video Network, On-line Dakota Information Network, and Higher Education Computer Network from the budgets of the University of North Dakota and North Dakota State University for the State Board of Higher Education to allocate to campuses.	$22,225,925		$22,225,925
d. Increases matching funds for competitive research from $1,971,100 to $3,471,100. (See comments in No. 4 above.)	$1,500,000		$1,500,000
e. Increases funding for disabled student services from $26,560 to $51,560.	$25,000		$25,000
5. Provides for the following change in the contingencies and board initiatives line item which includes contingency and capital improvement funding and board initiatives: (The Senate reduced funding for contingencies and board initiatives by $180,000.)			
a. Provides University System board initiative funding of $2,445,797.	$625,000		$625,000
6. Adds funding for capital construction lease payments, previously funded in the Industrial Commission budget.	$11,261,502	$1,469,339	$12,730,841
7. Transfers funding for Prairie Public Broadcasting from the University System's budget to the Information Technology Department's budget.	($1,407,513)		($1,407,513)

Major Hoeven Recommendations Affecting North Dakota University System Office 2001-03 Budget Compared to the Bill as Introduced (Schafer Budget)

	General Fund	Other Funds	Total
1. Increases funding for competitive research from $3,471,100 to $4 million. (The Senate increased funding for competitive research as recommended in the Hoeven executive budget.)	$528,900		$528,900
2. Adds funding for education incentive programs including teacher retraining, loan payoffs, and technology training. (The Senate increased funding by $370,000 for student grant programs; however, the Senate did not target the funding for education incentive programs.)	$830,000		$830,000

Major Legislation Affecting the North Dakota University System Office

Section 11 of Engrossed Senate Bill No. 2003 provides legislative intent relating to the content of the State Board of Higher Education's performance and accountability report required by Senate Bill No. 2041.

Section 13 of Engrossed Senate Bill No. 2003 provides a continuing appropriation of higher education institutions' special revenue funds including tuition (originally a provision in Senate Bill No. 2037).

Section 14 of Engrossed Senate Bill No. 2003 requires budget requests for the University System to include budget estimates for block grants for a base-funding component and for an initiative-funding component and a budget estimate for an asset-funding component (originally a provision in Senate Bill No. 2038).

Section 15 of Engrossed Senate Bill No. 2003 requires the appropriation for the University System to include block grants to the State Board of Higher Education for a base-funding appropriation and for an initiative-funding appropriation and an appropriation for asset-funding (originally a provision in Senate Bill No. 2038).

Section 16 of Engrossed Senate Bill No. 2003 allows institutions to carry over at the end of the biennium unspent general fund appropriations (originally a provision in Senate Bill No. 2037).

Senate Bill No. 2039 allows the State Board of Higher Education to authorize campus improvements and building maintenance projects that are financed by donations, gifts, grants, and bequests if the cost of the improvement or maintenance is not more than $250,000. (This bill has been passed by the Senate.)

Senate Bill No. 2040 allows higher education institutions to provide bonuses, cash incentive awards, and temporary salary adjustments to employees without reporting the activity as a fiscal irregularity. (This bill has been passed by the Senate.)

Senate Bill No. 2041 recognizes the institutions of higher education under the control of the State Board of Higher Education as the North Dakota University System and requires the University System to develop a strategic plan that defines University System goals and objectives and to provide a performance and accountability report. (This bill has been passed by the Senate.)

Senate Bill No. 2042 removes language regarding the powers of the State Board of Higher Education and duties and responsibilities of higher education institutions which are no longer considered necessary. (This bill has been passed by the Senate.)

Senate Bill No. 2136 revises the definition of "resident student" for tuition purposes to include an individual who has owned or whose parent has owned real property in the state at least one year before the beginning of the academic term. (This bill has been passed by the Senate.)

Summary of Legislative Changes Resulting From First House Action

See Statement of Purpose of Amendment (attached).

	1999 Session	Senate version	Amendments 0214
NDUS			
System Governance		3,660,491	
Student Grants		6,993,559	
Campus programs		52,126,420	
Contingencies and board initiatives		2,610,106	
Equity and special need pool	4,290,128		4,580,824
Technology pool	21,948,467		26,938,419
Critical salary pool (2001 in equity/sp needs pool	2,630,992		
General fund	28,869,587	65,390,576	31,519,243
NDUS Office			
Salaries and wages	2,194,131		2,572,562
Operating expenses	760,709		1,013,844
Equipment	26,000		25,481
Student financial asst grants	4,450,281		4,088,031
IT mgmt	215,255		216,676
PSEP	1,310,716		1,560,716
Disabled student services	26,560		51,560
Technical administration	197,627		-
Contingency and cap imp emerg fund	398,000		344,309
Scholars program	706,230		770,730
Native American scholarships	204,082		204,082
Title II	534,000		534,000
Competitive Research	1,971,100		4,000,000
Educational incentive programs			965,000
PPB	992,513		
Bond Payments		12,730,841	12,730,841
Board initiatives	2,296,000		870,797
Total operating funds	16,283,204	12,730,841	29,948,629
less income	4,933,900	3,716,822	3,716,822
General fund	11,349,304	9,014,019	26,231,807
Total General fund NDUS and NDUS Office	40,218,891	74,404,595	57,751,050
BSC			
Operating budget	14,327,300	14,767,975	15,381,193
Capital assets	958,835	250,000	846,000
Total operating funds	15,286,135	15,017,975	16,227,193
Less income	450,000	-	-
General fund	14,836,135	15,017,975	16,227,193
Lake Region			
Operating budget	4,408,771	4,649,231	4,881,954
Capital assets	1,094,318	74,831	399,831
Total operating funds	5,503,089	4,724,062	5,281,785
Less income	495,000	-	325,000
General fund	5,008,089	4,724,062	4,956,785
Williston			
Operating budget	4,750,469	5,094,457	5,390,441
Capital assets	88,790	88,790	88,790
Total operating funds - General fund	4,839,259	5,183,247	5,479,231

1999-01 Budgets are adjusted to reflect 2001-03 House amendments. Capital funding from other bills is not included in amounts.

	1999 Session	Senate version	Amendments 0214
UND			
Operating budget	80,907,555	81,436,447	85,601,876
Capital assets	4,917,136	13,572,136	13,572,136
Total operating funds	85,824,691	95,008,583	99,174,012
Less income	4,000,000	11,210,000	11,210,000
General fund	81,824,691	83,798,583	87,964,012
NDSU			
Operating budget	61,827,288	63,524,910	66,931,216
Capital assets	8,652,531	22,937,531	22,937,531
Fargo Skills Center	1,535,000		
Total operating funds	72,014,819	86,462,441	89,868,747
Less income	8,457,500	21,200,000	21,200,000
General fund	63,557,319	65,262,441	68,668,747
NDSCS			
Operating budget	22,320,237	22,893,128	24,099,302
Capital assets	2,635,885	3,800,220	3,800,220
Total operating funds -	24,956,122	26,693,348	27,899,522
Less income		916,720	916,720
General fund	24,956,122	25,776,628	26,982,802
DSU			
Operating budget	12,392,737	13,060,832	13,746,171
Capital assets	693,962	393,962	4,393,962
Total operating funds -	13,086,699	13,454,794	18,140,133
Less income		-	4,000,000
General fund	13,086,699	13,454,794	14,140,133
MaSU			
Operating budget	7,615,187	7,870,007	8,330,748
Capital assets	931,671	4,724,589	4,724,589
Total operating funds -	8,546,858	12,594,596	13,055,337
Less income		4,000,000	4,000,000
General fund	8,546,858	8,594,596	9,055,337
MiSU			
Operating budget	24,193,267	24,817,493	25,919,536
Capital assets	1,050,466	412,850	612,850
Total operating funds - General fund	25,243,733	25,230,343	26,532,386
VCSU			
Operating budget	10,349,606	10,767,570	11,325,513
Capital assets	812,334	1,115,334	1,115,334
CII	299,583		
Total operating funds - General fund	11,461,523	11,882,904	12,440,847
Bott			
Operating budget	3,775,777	3,895,490	4,097,577
Capital assets	218,130	187,663	187,663
Total operating funds	3,993,907	4,083,153	4,285,240
Less income	60,000		-
General fund	3,933,907	4,083,153	4,285,240

1999-01 Budgets are adjusted to reflect 2001-03 House amendments. Capital funding from other bills is not included in amounts.

	1999 Session	Senate version	Amendments 0214
Med School			
Operating budget	29,258,660	28,571,646	30,006,416
Forest Service			
Salaries and wages	1,770,118	1,909,639	1,909,639
Operating expenses	423,601	442,996	442,996
equipment	65,011	46,011	46,011
Capital improvements	79,541	171,061	171,061
Grants to Centennial trees	147,486	247,486	247,486
Total	2,485,757	2,819,395	2,819,395
less income	859,006	1,050,526	1,050,526
General fund	1,626,751	1,768,869	1,768,869
Total Appropriation bills 1003, 2003	328,398,637	367,763,836	360,259,048

1999-01 Budgets are adjusted to reflect 2001-03 House amendments. Capital funding from other bills is not included in amounts.

STATEMENT OF PURPOSE OF AMENDMENT:

Senate Bill No. 2003 - Funding Summary

	Executive Budget	Senate Changes	Senate Version
University System Office			
System governance	$3,663,482	($2,991)	$3,660,491
Student grant programs	6,623,559	370,000	6,993,559
Campus-based programs	51,957,520	168,900	52,126,420
Contingencies and board initiatives	2,790,106	(180,000)	2,610,106
Capital bond payments	12,730,841		12,730,841
Total all funds	$77,765,508	$355,909	$78,121,417
Less estimated income	3,716,822	0	3,716,822
General fund	$74,048,686	$355,909	$74,404,595
FTE	19.00	0.00	19.00
Bismarck State College			
Operations	$14,767,975		$14,767,975
Capital assets	250,000		250,000
Total all funds	$15,017,975	$0	$15,017,975
Less estimated income	0	0	0
General fund	$15,017,975	$0	$15,017,975
FTE	144.78	0.00	144.78
Lake Region State College			
Operations	$4,649,231		$4,649,231
Capital assets	74,831		74,831
Total all funds	$4,724,062	$0	$4,724,062
Less estimated income	0	0	0
General fund	$4,724,062	$0	$4,724,062
FTE	41.34	0.00	41.34
Williston State College			
Operations	$5,094,457		$5,094,457
Capital assets	88,790		88,790
Total all funds	$5,183,247	$0	$5,183,247
Less estimated income	0	0	0
General fund	$5,183,247	$0	$5,183,247
FTE	50.23	0.00	50.23
University of North Dakota			
Operations	$81,436,447		$81,436,447
Capital assets	13,572,136		13,572,136
Total all funds	$95,008,583	$0	$95,008,583
Less estimated income	11,210,000	0	11,210,000
General fund	$83,798,583	$0	$83,798,583
FTE	917.17	0.00	917.17
UND Medical Center			
Operations	$28,571,646		$28,571,646
Total all funds	$28,571,646	$0	$28,571,646
Less estimated income	0	0	0
General fund	$28,571,646	$0	$28,571,646
FTE	227.37	0.00	227.37

North Dakota State University			
Operations	$63,524,910		$63,524,910
Capital assets	23,488,351	($550,820)	22,937,531
Total all funds	$87,013,261	($550,820)	$86,462,441
Less estimated income	21,750,820	(550,820)	21,200,000
General fund	$65,262,441	$0	$65,262,441
FTE	805.49	0.00	805.49
State College of Science			
Operations	$22,893,128		$22,893,128
Capital assets.	3,883,500	(83,280)	3,800,220
Total all funds	$26,776,628	($83,280)	$26,693,348
Less estimated income	1,000,000	(83,280)	916,720
General fund	$25,776,628	$0	$25,776,628
FTE	229.90	0.00	229.90
Dickinson State University			
Operations	$13,060,832		$13,060,832
Capital assets	393,962		393,962
Total all funds	$13,454,794	$0	$13,454,794
Less estimated income	0	0	0
General fund	$13,454,794	$0	$13,454,794
FTE	130.15	0.00	130.15
Mayville State University			
Operations	$7,870,007		$7,870,007
Capital assets	724,589	4,000,000	4,724,589
Total all funds	$8,594,596	$4,000,000	$12,594,596
Less estimated income	0	4,000,000	4,000,000
General fund	$8,594,596	$0	$8,594,596
FTE	81.47	0.00	81.47
Minot State University			
Operations	$24,817,493		$24,817,493
Capital assets	3,186,850	(2,774,000)	412,850
Total all funds	$28,004,343	($2,774,000)	$25,230,343
Less estimated income	2,774,000	(2,774,000)	0
General fund	$25,230,343	$0	$25,230,343
FTE	266.21	0.00	266.21
Valley City State University			
Operations	$10,767,570		$10,767,570
Capital assets	1,115,334		1,115,334
Total all funds	$11,882,904	$0	$11,882,904
Less estimated income	0	0	0
General fund	$11,882,904	$0	$11,882,904
FTE	109.03	0.00	109.03
Minot State University - Bottineau			
Operations	$3,895,490		$3,895,490
Capital assets	187,663		187,663
Total all funds	$4,083,153	$0	$4,083,153
Less estimated income	0	0	0
General fund	$4,083,153	$0	$4,083,153
FTE	47.44	0.00	47.44

Forest Service			
Salaries and wages	$1,909,639		$1,909,839
Operating expenses	442,998		442,998
Equipment	48,011		48,011
Capital improvements	171,061		171,061
Grants	247,486		247,486
Total all funds	$2,819,395	$0	$2,819,395
Less estimated income	1,050,526	0	1,050,526
General fund	$1,768,869	$0	$1,768,869
FTE	17.81	0.00	17.81
Bill Total			
Total all funds	$408,900,095	$947,809	$409,847,904
Less estimated income	41,502,168	591,900	42,094,068
General fund	$367,397,927	$355,909	$367,753,836
FTE	3087.39	0.00	3087.39

Senate Bill No. 2003 - University System - General Fund Summary of Senate Action

	Executive Budget	Senate Changes	Senate Version
University System Office	$74,048,686	$355,909	$74,404,595
Bismarck State College	15,017,975		15,017,975
Lake Region State College	4,724,062		4,724,062
Williston State College	5,183,247		5,183,247
University of North Dakota	83,798,583		83,798,583
UND Medical Center	28,571,646		28,571,646
North Dakota State University	65,262,441		65,262,441
State College of Science	25,776,628		25,776,628
Dickinson State University	13,454,794		13,454,794
Mayville State University	8,594,596		8,594,596
Minot State University	25,230,343		25,230,343
Valley City State University	11,882,904		11,882,904
Minot State University - Bottineau	4,083,153		4,083,153
Forest Service	1,768,869		1,768,869
Total general fund	$367,397,927	$355,909	$367,753,836

Detail of Senate Changes to the General Fund

	Adjust Market Equity Salary Increase[1]	Increase Funding for Student Grant Programs[2]	Increase Funding for Competitive Research[3]	Decrease Funding for Campus-Based Programs	Decrease Funding for Contingencies and Board Initiatives	Add Capital Construction Funding[4]
University System Office	($2,991)	$370,000	$528,900	($360,000)	($180,000)	
Bismarck State College						
Lake Region State College						
Williston State College						
University of North Dakota						
UND Medical Center						
North Dakota State University						
State College of Science						
Dickinson State University						
Mayville State University						
Minot State University						
Valley City State University						
Minot State University - Bottineau						
Forest Service						
Total general fund	($2,991)	$370,000	$528,900	($360,000)	($180,000)	$0

	Remove Capital Construction Funding[5]	Total General Fund Changes
University System Office		$355,909
Bismarck State College		
Lake Region State College		
Williston State College		
University of North Dakota		
UND Medical Center		
North Dakota State University		
State College of Science		
Dickinson State University		
Mayville State University		
Minot State University		
Valley City State University		
Minot State University - Bottineau		
Forest Service		
Total general fund	$0	$355,909

Senate Bill No. 2003 - University System - Other Funds Summary of Senate Action

	Executive Budget	Senate Changes	Senate Version
University System Office	$3,716,822		$3,716,822
Bismarck State College			
Lake Region State College			
Williston State College			
University of North Dakota	11,210,000		11,210,000
UND Medical Center			
North Dakota State University	21,750,820	(550,820)	21,200,000
State College of Science	1,000,000	(83,280)	916,720
Dickinson State University			
Mayville State University		4,000,000	4,000,000
Minot State University	2,774,000	(2,774,000)	
Valley City State University			
Minot State University - Bottineau			
Forest Service	1,050,526		1,050,526
Total other funds	$41,502,168	$591,900	$42,094,068

Detail of Senate Changes to Other Funds

	Adjust Market Equity Salary Increase[1]	Increase Funding for Student Grant Programs[2]	Increase Funding for Competitive Research[3]	Decrease Funding for Campus-Based Programs	Decrease Funding for Contingencies and Board Initiatives	Add Capital Construction Funding[4]
University System Office						
Bismarck State College						
Lake Region State College						
Williston State College						
University of North Dakota						
UND Medical Center						
North Dakota State University						
State College of Science						916,720
Dickinson State University						
Mayville State University						4,000,000
Minot State University						
Valley City State University						
Minot State University - Bottineau						
Forest Service						
Total other funds	$0	$0	$0	$0	$0	$4,916,720

	Remove Capital Construction Funding[5]	Total Other Funds Changes
University System Office		
Bismarck State College		
Lake Region State College		
Williston State College		
University of North Dakota		
UND Medical Center		
North Dakota State University	(550,820)	(550,820)
State College of Science	(1,000,000)	(83,280)
Dickinson State University		
Mayville State University		4,000,000
Minot State University	(2,774,000)	(2,774,000)
Valley City State University		
Minot State University - Bottineau		
Forest Service		
Total other funds	($4,324,820)	$591,900

Senate Bill No. 2003 - University System - All Funds Summary of Senate Action

	Executive Budget	Senate Changes	Senate Version
University System Office	$77,765,508	$355,909	$78,121,417
Bismarck State College	15,017,975		15,017,975
Lake Region State College	4,724,062		4,724,062
Williston State College	5,183,247		5,183,247
University of North Dakota	95,008,583		95,008,583
UND Medical Center	28,571,646		28,571,646
North Dakota State University	87,013,261	(550,820)	86,462,441
State College of Science	26,776,628	(83,280)	26,693,348
Dickinson State University	13,454,794		13,454,794
Mayville State University	8,594,596	4,000,000	12,594,596
Minot State University	28,004,343	(2,774,000)	25,230,343
Valley City State University	11,882,904		11,882,904
Minot State University - Bottineau	4,083,153		4,083,153
Forest Service	2,819,395		2,819,395
Total all funds	$408,900,095	$947,809	$409,847,904
FTE	3087.39	0.00	3087.39

Detail of Senate Changes to All Funds

	Adjust Market Equity Salary Increase[1]	Increase Funding for Student Grant Programs[2]	Increase Funding for Competitive Research[3]	Decrease Funding for Campus-Based Programs	Decrease Funding for Contingencies and Board Initiatives	Add Capital Construction Funding[4]
University System Office	($2,991)	$370,000	$528,900	($360,000)	($180,000)	
Bismarck State College						
Lake Region State College						
Williston State College						
University of North Dakota						
UND Medical Center						
North Dakota State University						
State College of Science						916,720
Dickinson State University						
Mayville State University						4,000,000
Minot State University						
Valley City State University						
Minot State University - Bottineau						
Forest Service						
Total all funds	($2,991)	$370,000	$528,900	($360,000)	($180,000)	$4,916,720
FTE	0.00	0.00	0.00	0.00	0.00	0.00

	Remove Capital Construction Funding[5]	Total All Funds Changes
University System Office		$355,909
Bismarck State College		
Lake Region State College		
Williston State College		
University of North Dakota		
UND Medical Center		
North Dakota State University	(550,820)	(550,820)
State College of Science	(1,000,000)	(83,280)
Dickinson State University		
Mayville State University		4,000,000
Minot State University	(2,774,000)	(2,774,000)
Valley City State University		
Minot State University - Bottineau		
Forest Service		
Total all funds	($4,324,820)	$947,809
FTE	0.00	0.00

[1] **University System Office** - This amendment reduces, from $19,490 to $16,499, the amount provided for a market equity salary increase for the counsel and human resources director. The amount included in the bill will provide for a salary increase of $623 per month for counsel and $162 per month for the human resources director for the last 18 months of the biennium.

[2] **University System Office** - This amendment increases funding for student grant programs by $370,000, from $6,623,559 to $6,993,559. The Hoeven executive budget recommended an increase in funding for student grant programs of $830,000.

[3] **University System Office** - This amendment increases funding for competitive research by $528,900, from $3,471,100 to $4 million, which represents a $2,028,900 increase from the 1999-2001 biennium.

[4] **State College of Science** - This amendment adds special funds of $531,720 for renovation of the Blikre Activities Center and $385,000 for renovation of the Skills and Technology Training Center. A section of legislative intent is also added requiring all funds for the renovation of the Blikre Activities Center be raised before the start of the renovation project and any necessary additional funding required for the renovation to be from non-general fund sources.

Mayville State University - This amendment adds $4 million of special funds for renovation of the Lewy Lee Fieldhouse.

[5] **North Dakota State University** - This amenument removes special funding of $550,820 for Robinson Hall renovation as funding for the project was provided in Senate Bill No. 2137.

State College of Science - This amendment removes special funding of $1million for parking lot improvements as the funding would be addressed in Senate Bill No. 2023.

Minot State University - This amendment removes the local fund match requirement of $2,774,000 and Section 7 of the bill as introduced relating to the Old Main renovation project. The university's local match requirement would be addressed in Senate Bill No. 2023.

Senate Bill No. 2003 - Other Changes - Senate Action

This amendment also:

- Provides for a Legislative Council study regarding the State Board of Higher Education's implementation of the performance and accountability measures report required by Senate Bill No. 2041.
- Provides a section of legislative intent regarding higher education accountability measures.
- Incorporates the provisions of Senate Bill No. 2037 relating to the appropriation of special funds and the carryover of unexpended appropriations and Senate Bill No. 2038 relating to the University System budget request and appropriations bill that would expire on June 30, 2003.
- Removes Section 6 of the bill as introduced relating to the local match requirement for the Old Main renovation project at Minot State University as the university's local match requirement would be addressed in Senate Bill No. 2023.
- Removes Section 7 of the bill as introduced relating to the establishment of a reserve fund for utility and repair costs for the College of Business building to be constructed at North Dakota State University.
- Amends Section 11 of the bill as introduced to clarify that the estimated income appropriated in subdivision 13 of Section 1 includes $120,000 from the State Forester reserve account for the construction of the Towner Nursery tree storage building.

The following is a summary of the Senate general fund changes to the Schafer executive budget and the Hoeven executive budget recommendations:

	Schafer Executive Budget	Senate Changes	Senate Version	Hoeven Executive Budget	Increase (Decrease) to Hoeven Budget
University System					
System governance	$3,502,149	($2,991)	$3,499,158	$3,502,149	($2,991)
Student grant programs	5,071,409	370,000	5,441,409	5,901,409	(460,000)
Campus-based programs	51,423,520	168,900	51,592,420	51,952,420	(360,000)
Contingencies and board initiatives	2,790,106	(160,000)	2,610,106	2,790,106	(160,000)
Capital bond payments	11,261,502		11,261,502	11,261,502	
Subtotal	$74,048,686	$355,909	$74,404,595	$75,407,586	($1,002,991)
Bismarck State College	$15,017,975		$15,017,975	$15,017,975	
Lake Region State College	4,724,062		4,724,062	4,724,062	
Williston State College	5,183,247		5,183,247	5,183,247	
University of North Dakota	83,798,583		83,798,583	83,798,583	
UND Medical Center	28,571,646		28,571,646	28,571,646	
North Dakota State University	65,262,441		65,262,441	65,262,441	
State College of Science	25,776,628		25,776,628	25,776,628	
Dickinson State University	13,454,794		13,454,794	13,454,794	
Mayville State University	8,594,596		8,594,596	8,594,596	
Minot State University	25,230,343		25,230,343	25,405,343	($175,000)
Valley State University	11,882,904		11,882,904	11,882,904	
Minot State University - Bottineau	4,083,153		4,083,153	4,083,153	
Forest Service	1,768,869		1,768,869	1,768,869	
Total	$367,397,627	$355,909	$367,753,536	$368,931,627	($1,177,991)

NOTE: For the campus-based programs line item, the Senate change of $168,900 consists of a $528,900 increase for competitive research which increases the funding provided for competitive research to the level recommended in the Hoeven executive budget and a $360,000 decrease in funding which decreases both the Schafer executive budget and the Hoeven executive budget for campus-based programs.

NORTH DAKOTA
University System

December 2000

2001-03 Budget Highlights

Highlights of 2001-03 Budget Request (In Millions)

		General Fund	Other Funds	Total Funds
1	1999-01 Adjusted Appropriation	$367.1	$218.3	$585.4
	Increase (Decrease) in Hold-Even Budget:			
	~Costs of Operating Inflation, Utilities Increases, and			
2	Continuation of 2000-01 Salary Increases	15.1		15.1
	~Amount Funded From Continuation of 2000-01			
3	Tuition Increase	(6.0)	6.0	0.0
4	~Amount Funded Internally	(9.1)		(9.1)
5	~1999-01 One-time Funding for Infrastructure	(1.4)		(1.4)
6	~Other Net Increase in Other Funds		4.1	4.1
7	2001-03 Hold-Even Budget Request	$365.7	$228.4	$594.1
	Optional Package:			
	Restoration of Net Costs to Continue - Internally			
8	Funded in the Hold-Even Budget	$9.2	($0.4)	$8.8
9	Technology Applications/Access/Networking	8.2		8.2
10	Institutional Innovation	6.0		6.0
11	Public Agenda & Collaborative Initiative Pool	5.0		5.0
12	Equity Pool	4.2		4.2
13	Capital Improvements Increase	5.0	0.2	5.2
14	Student Financial Aid Enhancements	2.3	0.2	2.5
15	EPSCoR-Like Research Programs	4.2		4.2
16	Disabled Student Services Pool Increase	0.3		0.3
17	Agriculture Initiatives	4.3		4.3
18	Subtotal - Optional Adjustments	$48.7	$0.0	$48.7
19	Amount Funded from Tuition Increase	(11.3)	11.3	0.0
20	Total Optional Adjustments (line 18 + 19)	$37.4	$11.3	$49.7
	Total Request Before Statewide Networking &			
21	Comp Pkg (line 7 + 20)	$403.1	$239.7	$642.8
22	Increase From 1999-01 (line 21 minus line 1)	$36.0	$21.4	$57.4
23	Percent Increase	9.81%	9.80%	9.81%
24	Statewide Networking Plan Costs	$4.6	$0.0	$4.6
25	Adjusted Increase From 1999-01	$40.6	$21.4	$62.0
26	Percent Increase, Including Statewide Networking	11.06%	9.80%	10.69%

Estimated Cost for 2001-03 Compensation Package Recommended by SBHE

		General Fund	Other Funds	Total Funds
	3% Per Year Salary Increase, Plus Cost of Funding			
27	Salaries at 80% of Regional Average	$29.1		$29.1
28	Estimated Health Insurance Premium Increases	3.0		3.0
29	Total Estimated Cost of SBHE's Recommended Compensation Package (line 27 + 28)	$32.1		$32.1
30	**Total Increase, Including 2001-03 Compensation Package** (line 25 + 29)	**$72.7**	**$21.4**	**$94.1**
31	**Percent Increase From 1999-01**	**19.8%**	**9.8%**	**16.1%**

Note: Capital projects, carryover dollars, local funds, STTC, PPB & CII have been excluded from all totals

Note: Annual tuition increases of : UND/NDSU $150; MISU $100; DSU, MaSU, VCSU $85; two-year campuses $60

NORTH DAKOTA
University System

December 2000

Major Capital Project Priority List

2001-2003 Major Capital Project Priority List
Approved by State Board of Higher Education

Priority Rank			Source of Funding		
			State	Other	Total
New Construction/Renovation/Addition/Infrastructure					
Approved by Board for 2001-2003 Budget Request (#1 – xx)					
1	MiSU	Old Main Renovation	$5,640,000	$2,210,000	$7,850,000
2	BSC	Schafer Hall – Renovation 2nd & 3rd Floors	1,394,500		1,394,500
3	NDSCS	Infrastructure	2,385,863		2,385,863
4	VCSU	Replace boiler & related steam piping accessories	850,000		850,000
5	MaSU	Steam Line Replacement	719,950		719,950
6	NDSU	Minard Hall Renovation-Phase I	4,845,100		4,845,100
7	UND	O'Kelly Hall Renovation-Phase I	3,500,000		3,500,000
8	DSU	Murphy Hall Renovation/Addition	6,781,155		6,781,155
9	WSC	Diesel Technology Addition	524,616		524,616
10	NDSU	Ceres Hall Renovation–Phase II	3,000,000		3,000,000
11	MiSU-BC	Thatcher & Central Htg. Plant Elec. Upgrade	75,000		75,000
12	LRSC	Science Lecture Bowl & Laboratories Renovation	784,472		784,472
13	Forest Service	Tree Storage Bldg.-Towner Nursery	120,000		120,000
14	Forest Service	Equipment Storage Bldg.-Lisbon	20,000		20,000
15	Experiment	Langdon Headquarters and Conference Ctr.	300,000	500,000	800,000
Non-State General Fund Projects:					
xx	UND	Memorial Student Union Renovation		3,500,000	3,500,000
xx	UND	Carnegie Library Renovation		3,000,000	3,000,000
xx	UND	Smith Hall – Johnstone Hall - Fulton Hall Renovation		6,500,000	6,500,000
xx	UND	Wilkerson Hall Loading Dock Renovation		1,700,000	1,700,000
xx	UND	Rural Technology Center Addition		3,800,000	3,800,000
xx	UND	Rural Technology Center-Canopy Addition		60,000	60,000
xx	NDSU	College of Business Office & Classroom Bldg.		20,000,000	20,000,000
xx	NDSU	Residence Hall		11,000,000	11,000,000
xx	NDSU	Robinson Hall Renovation		550,820	550,820
xx	NDSCS	Parking Lot 2A, 2B, 2C, & 2D Renovation		1,000,000	1,000,000
xx	NDSCS	Student Union Remodeling		3,300,000	3,300,000
xx	NDSCS	Bilkre Activities Center Addition/Renovation		531,720	531,720
xx	Experiment	Agronomy Seed Farm-Seedstock Storage Bldg.		65,000	65,000
xx	Experiment	Agronomy Seed Farm-Bulk Seed Handling		45,000	45,000
xx	Experiment	Carrington-Bison Research Facility		130,000	130,000
xx	NDSU	Sudro Hall Renovation (total authority=$2,950,000) 1/		1,200,000	1,200,000
		Subtotal	$30,940,656	$59,092,540	$90,033,196

Priority Rank			Source of Funding		
			State	Other	Total
New Construction/Renovation/Addition/Infrastructure					
Unranked Projects not approved for 2001-2003 Budget Request:					
	BSC	Advanced Learning Complex	1,500,000	4,000,000	5,500,000
	LRSC	Technical Training Facility & Storage Addition	264,090		264,090
	WSC	Deferred Maintenance	308,600		308,600
	UND	Infrastructure Improvements	4,500,000		4,500,000
	UND	School of Medicine & Health Sciences Renovation	6,450,000		6,450,000
	NDSU	Infrastructure – Phase I	2,320,400		2,320,400
	NDSCS	Horton Hall Renovation	2,000,000		2,000,000
	DSU	Whitney Stadium Renovation	1,100,000	2,900,000	4,000,000
	MaSU	Fieldhouse Addition/Renovation	239,930	2,760,070	3,000,000
	VCSU	Graichen Gym, elevator & emergency exits ren.	375,000		375,000
	MiSU-BC	Thatcher Elevator Renovation	160,000		160,000
	Experiment	(2) Pesticide Handling Fac.-Phase II – new constr	120,000		120,000
	Experiment	Main Station-Research Greenhouse	7,300,000		7,300,000
	Experiment	Bunker Silo	120,000		120,000
		Subtotal	**$26,758,020**	**$9,660,070**	**$36,418,090**
		TOTAL	**$57,698,676**	**$68,752,610**	**$126,451,286**

1/ HB1003 (1999) included a $1,750,000 other fund appropriation for Sudro Hall renovation. NDSU intends to use $350,000 of this authority in 99-01 for the renovation of the animal care laboratory/research unit in Sudro Hall. This will leave an authorized balance of $1,400,000 which is requested in carryover to 2001-03 to be supplemented with $1,200,000 of additional other funds authority in the 2001-03 biennium.

(1)

	99-01	Hoeven Exec Rec
System Governance		
Salaries and wages Board office Staff + Dept. 215	$ 2,203,115	$ 2,312,636
Operating expenses	$ 1,105,480	$ 974,725
Equipment	$ 26,000	$ 20,000
CTEC	$ 197,627	$ 194,788
State approving agency	$ 154,733	$ 161,333
CYO Carryover last biennium	$ 99,328	
	$ 3,786,283	$ 3,663,482
Student Grant Programs		
Student financial asst grants	$ 5,254,537	$ 4,088,031
ND Scholars program	$ 916,211	$ 770,730
Indian Scholarship program	$ 205,854	$ 204,082
PSEP	$ 1,535,238	$ 1,560,716
Education incentive programs		$ 830,000
	$ 7,911,840	$ 7,453,559
Campus Based Programs		
Information technology mgmt	$ 215,255	$ 216,676
EPSCoR - Competitive Research Program + Similar Programs	$ 1,971,100	$ 4,000,000
Title II	$ 534,000	$ 534,000
Technology Pool	$ -	$ 6,012,494
Disabled student services	$ -	$ 51,560
Equity/Special needs pool - to start new Programs where needed	$ -	$ 19,445,765
	$ 2,720,355	$ 30,260,495
Capital Bond Payments		
Bond Payments	$ -	$ 12,730,841
Cont and Board Initiatives		
Cont and Cap Impr Emerg Fund	$ 320,524	$ 344,309
Board Initiatives	$ 1,941,964	$ 2,445,797
	$ 2,262,488	$ 2,790,106
PPB - moved to Information Technology dept. - ITD	$ 1,407,513	$ -
Total	[redacted]	

Operations of the Board

All

NORTH DAKOTA UNIVERSITY SYSTEM OFFICE
COMPARISON OF 2001-03 BUDGET REQUEST TO 1999-01 ADJUSTED APPROPRIATION

	(1)	(2)	(3)	(4)
	2001-03 Budget Request	1999-01 Adj Appropriation	Incr (Decr) over 1999-01 $$ change	Incr (Decr) over 1999-01 %% change
NDUS Office				
System Governance:				
Salaries and Wages	$2,263,339	$2,203,115	$60,224	2.7%
Operating Expenses	1,020,133	1,105,480	(85,347)	-7.7%
Equipment	26,000	26,000	0	0.0%
College Technical Administration	194,078	197,627	(3,549)	-1.8%
State Approving Agency	159,202	154,733	4,469	2.9%
1997-99 Carryover	0	99,328	(99,328)	-100.0%
	3,662,752	3,786,283	(123,531)	-3.3%
Student Grant Programs:				
Student Financial Assistance Grants	4,680,031	5,254,537	(574,506)	-10.9%
Scholars Program	935,730	916,211	19,519	2.1%
ND Indian Scholarship Program	239,082	205,854	33,228	16.1%
Professional Student Exchange Program	1,768,716	1,535,238	233,478	15.2%
	7,623,559	7,911,840	(288,281)	-3.6%
Campus-Based Programs:				
EPSCoR	6,171,100	1,971,100	4,200,000	213.1%
Title II Grant	534,000	534,000	0	0.0%
Disabled Student Services	326,560	0	326,560	100.0%
Information Technology Management	215,255	215,255	0	0.0%
Technology	8,795,474	0	8,795,474	100.0%
Institutional Innovation	219,881	0	219,881	100.0%
Equity & Special Needs	4,315,135	0	4,315,135	100.0%
	20,577,405	2,720,355	17,857,050	656.4%
Contingencies & Board Initiatives:				
Contingency & Cap Impr Emergency	398,000	320,524	77,476	24.2%
Board Initiatives	6,835,797	1,941,964	4,893,833	252.0%
	7,233,797	2,262,488	4,971,309	219.7%
Subtotal all funds	39,097,513	16,680,966	22,416,547	134.4%
Less estimated income	2,245,352	5,735,572	(3,490,220)	-60.9%
Subtotal general fund appropriation	36,852,161	10,945,394	25,906,767	236.7%
Capital Bond Payments	0	0	0	0.0%
Subtotal all funds	0	0	0	0.0%
Less estimated income	0	0	0	0.0%
Subtotal general fund appropriation	0	0	0	0.0%
TOTAL				
Total all funds	39,097,513	16,680,966	22,416,547	134.4%
Less estimated income	2,245,352	5,735,572	(3,490,220)	-60.9%
Total general fund appropriation	36,852,161	10,945,394	25,906,767	236.7%

NORTH DAKOTA UNIVERSITY SYSTEM OFFICE
COMPARISON OF 2001-03 EXECUTIVE RECOMMENDATION TO 1999-01 ADJUSTED APPROPRIATION

	(1)	(2)	(3)	(4)
	2001-03 Exec Recommendation	1999-01 Adj Appropriation	Incr (Decr) over 1999-01 $$ change	Incr (Decr) over 1999-01 %% change
NDUS Office				
System Governance (Block Grant):	$3,663,482	$0	$3,663,482	
Salaries and Wages	0	2,203,115	(2,203,115)	
Operating Expenses	0	1,105,480	(1,105,480)	
Equipment	0	26,000	(26,000)	
College Technical Administration	0	197,627	(197,627)	
State Approving Agency	0	154,733	(154,733)	
1997-99 Carryover	0	99,328	(99,328)	
	3,663,482	3,786,283	(122,801)	-3.2%
Student Grant Programs (Block Grant):	6,623,559	0	6,623,559	
Student Financial Assistance Grants	0	5,254,537	(5,254,537)	
Scholars Program	0	916,211	(916,211)	
ND Indian Scholarship Program	0	205,854	(205,854)	
Professional Student Exchange Program	0	1,535,238	(1,535,238)	
	6,623,559	7,911,840	(1,288,281)	-16.3%
Campus-Based Programs (Block Grant):	51,957,520	0	51,957,520	
EPSCoR	0	1,971,100	(1,971,100)	
Title II Grant	0	534,000	(534,000)	
Disabled Student Services	0	0	0	
Information Technology Management	0	215,255	(215,255)	
Technology	0	0	0	
Equity & Special Needs	0	0	0	
	51,957,520	2,720,355	49,237,165	1810.0%
Contingencies & Board Initiatives (Block Grant):	2,790,106	0	2,790,106	
Contingency & Cap Impr Emergency	0	320,524	(320,524)	
Board Initiatives	0	1,941,964	(1,941,964)	
	2,790,106	2,262,488	527,618	23.3%
Subtotal all funds	65,034,667	16,680,966	48,353,701	289.9%
Less estimated income	2,247,483	5,735,572	(3,488,089)	-60.8%
Subtotal general fund appropriation	62,787,184	10,945,394	51,841,790	473.6%
Capital Bond Payments	12,730,841	0	12,730,841	100.0%
Subtotal all funds	12,730,841	0	12,730,841	100.0%
Less estimated income	1,469,339	0	1,469,339	100.0%
Subtotal general fund appropriation	11,261,502	0	11,261,502	100.0%
TOTAL				
Total all funds	77,765,508	16,680,966	61,084,542	366.2%
Less estimated income	3,716,822	5,735,572	(2,018,750)	-35.2%
Total general fund appropriation	74,048,686	10,945,394	63,103,292	576.5%

NORTH DAKOTA UNIVERSITY SYSTEM
99-01 BOARD INITIATIVE POOL APPROPRIATION

SOURCES		
99-01 general fund appropriation	$796,000	
99-01 other fund appropriation (HECN savings)	1,500,000	$2,296,000
USES		
Commitments to date-Approved by BHE		
MHEC Dues 99-00	$75,000	
UND Entrepeneurship Program (+ $32,036 from Contingency)	32,036	
MHEC dues 00-01	75,000	
Common Course Numbering (+$75,000 in NDSCS budget)	100,000	
Interim Higher Education study (+$20,000 from office budget)	40,000	
Community College Consortium	30,000	
NDUS membership in Info. Technology Council of ND	2,000	
HECN-Next generation database platform and data warehouse)	1,200,000	
HECN-Staffing and administrative expenses associated with implementation of distance ed demonstration project	190,000	
On-line course development workshops	20,000	
Distance Education Project	175,000	
Research and Development Summitt	15,000	
UND-Entrepeneurship Program/Tech Savy	38,303	
[illegible]l Allocated to Date		$1,992,339
REMAINING UNALLOCATED BALANCE AS OF January 2, 2001		$303,661
($110,000 one-time, $203,661 general funds)		

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NORTH DAKOTA UNIVERSITY SYSTEM
99-01 BOARD INITIATIVE POOL APPROPRIATION

SOURCES		
99-01 general fund appropriation	$796,000	
99-01 other fund appropriation (HECN savings)	1,500,000	$2,296,000
USES		
Commitments to date-Approved by BHE		
MHEC Dues 99-00	$75,000	
UND Entrepeneurship Program (+ $32,036 from Contingency)	32,036	
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Common Course Numbering (+$75,000 in NDSCS budget)	100,000	
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Community College Consortium	30,000	
NDUS membership in Info. Technology Council of ND	2,000	
HECN-Next generation database platform and data warehouse)	1,200,000	
HECN-Staffing and administrative expenses associated with implementation of distance ed demonstration project	190,000	
On-line course development workshops	20,000	
Distance Education Project	175,000	
Research and Development Summitt	15,000	
UND-Entrepeneurship Program/Tech Savy	38,303	
Transfers to UND, NDSU, DSU, MaSU, MiSU and VCSU to assist with loss of reciprocity funds	96,842	
Total Committed to Date		2,089,181
REMAINING UNCOMMITTED BALANCE AS OF FEBRUARY 28, 2001 ($110,000 one-time, $96,819 general funds)		$206,819

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NORTH DAKOTA UNIVERSITY SYSTEM
1999-01 Contingency and Capital Improvement Emergency Fund

Carryover from 97-99	$69,000.37	
99-01 Appropriation	398,000.00	
Funds Available		467,000.37
Expenditures to date:		
UND-Entrepeneurship Program 1/	32,036.00	
MaSU-boiler 2/	99,763.00	
Scholar's Program-MN reciprocity shortall	114,440.00	
Allocations to date		246,239.00
Balance remaining January 2, 2001		220,761.37

1/ Allocated an additional $32,036 from Board initiative pool for a total allocation of $64,072.

2/ Allocated an additional $200,000 from the '97 carryover of capital improvements emergency funds for a total allocation of $299,763.

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NORTH DAKOTA UNIVERSITY SYSTEM
1999-01 Contingency and Capital Improvement Emergency Fund

Carryover from 97-99	$ 69,000	
99-01 Appropriation	398,000	
Funds Available		$ 467,000
Allocations/Committed to date:		
UND-Entrepeneurship Program 1/	32,036	
MaSU-boiler 2/	99,763	
Scholar's Program-MN reciprocity shortall	114,440	
DSU-Murphy Hall Emergency Repairs	100,000	
Transfers to UND, NDSU, DSU, MaSU, MISU & VCSU to assist with loss of Minnesota reciprocity funds 3/	120,761	
Allocations/committed to date		467,000
Balance remaining February 28, 2001		$ 0

1/ Allocated an additional $32,036 from Board initiative pool for a total allocation of $64,072.
2/ Allocated an additional $200,000 from the '97 carryover of capital improvements emergency funds for a total allocation of $299,763.
3/ Allocated an additional $96,842 from the Board initiative pool for a total allocation of $217,603.

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2001-03 Executive Recommendation – Major Proposed Changes

- The executive recommendation includes a general fund increase of $30.9 million (excluding bond payments) or 8.2% for the North Dakota University System (including agriculture)---NDUS campuses, Medical School, Forest Service and NDUS Office 7.97% and Agricultural Extension and Experiment 9.85%;

- For the first time, the NDUS budget will include $11.3 million (general fund) for capital construction bond payments, previously included in the Industrial Commission budget. Excluding this increase, higher education accounts for 20.9% of the state general fund Executive Budget recommendation, compared to 20.6% in the 1999-01 biennium.

- 68% of the general fund increase is needed to cover increased health insurance costs $5.0 million and to fund salary increases of 3% in 2001-02 and 2% in 2002-03 ($14.4 million). Total = $19.4 million increase.

- The executive budget provides funds for a 3% average salary increase in 01-02 and average 2% in 02-03 for state employees, with a required $35 per month or $420 per year minimum. Agencies are permitted to internally reallocate another one percent in 02-03 to provide a total 3% increase both years of the biennium.

- State employee health insurance premium costs increased $710 per employee per year or a 16.84% increase. Employees will also simultaneously see an increase in their co-payments and deductibles. Total annual premiums paid by the state for family coverage for each employee will be $4,925 per year in 01-03.

- The Executive Budget includes $5,076,000 in state bonding for the Old Main Renovation project at Minot State, the SBHE's #1 priority project. The budget requires $2,774,000 million in local matching funds (Board requested $5.6 million state bonds and $2.2 million local). Other projects on the Board's priority list that were funded from the state general fund are as follows: NDSCS-infrastructure $2,110,000; VCSU-boiler $850,000; MaSU-steam line replacement $510,000; MiSU-BC-Thatcher Hall electrical upgrade $75,000; and Langdon Headquarters $150,000 state and $510,000 local.

- The executive recommendation includes the following proposals which are consistent with the Roundtable expectations and recommendations:
  - ♣Removes all income, including tuition, from the appropriation process;
  - ♣Reduces the number of line items in the campuses and NDUS office budget;
  - ♣Provides partial block-grant funding to the SBHE;
  - ♣Increases SBHE discretionary funds to support Board & statewide initiatives +$625,000 (Total=$2.4 million);
  - ♣Funds investments in technology (statewide network, Internet II, distance learning) +$6.0 million;

- ♣Provides $1.5 million additional state matching funds for research (EPSCoR-like programs);
- ♣Provides $1.3 million general fund increase in student financial aid and access programs;
- ♣Provides $2.5 million for campus parity and equity;
- ♣Provides $2.5 million for new program and initiative start-up costs;
- ♣Provides $1.0 million discretionary salary equity pool;
- ♣Provides $4.3 million state bonding for energy improvement programs at UND & NDSU

- Deficiency appropriation funded at UND and NDSU for flood-related costs - $4.7 million from the state general fund;

- Funds investment of $20.0 million in state general funds to support the statewide Enterprise Resource Plan (ERP) in the Information Technology Department budget. This provides partial funding for the replacement of all student records, finance, human resource and payroll software systems in the NDUS, state government and K-12. It will provide enhanced capabilities to support distance learning, student services and management information systems.

- The executive recommendation did not include funding for the cost to continue the 2000-01 salary increases, inflation & utilities increases, etc. ($14.8 million not funded);

- Estimated enrollment increases, continuation of the 00-01 tuition rate increases, and additional annual tuition rate increases in the 01-03 biennium are estimated to generate an additional $17. million in new tuition revenues. The annual increases submitted by the SBHE in the need-based budget request were as follows: $150 at UND/NDSU; $100 at MiSU; $85 at VCSU, DSU, MaSU; and $50 at the two-year campuses.

Lg/budget/0103 major points

North Dakota University System Office-SB 2003
Major Budget Changes in 2001-03 Executive Budget Recommendation
Presented to Senate Appropriations on 1-8-01 by Laura Glatt

System Governance Block Grant

- Internally reallocated $60,224 to fund the cost to continue the 2000-01 salary increases in the budget request. **(No new funds are included in the Executive Recommendation to fund the cost to continue the 2000-01 salary increases)**

- The budget recommendation includes funding to cover dues increases for WICHE, MHEC, FINDET.

Student Grant Programs Block Grant

- The budget request included a general fund increase of $2.3 million for student financial aid and access programs. **(The Executive Recommendation includes a general fund increase of $1.3 million in the Student Grant Programs Block Grant. Some, or all, of the increased funding will be needed to help offset the funding loss in the State Grant and Scholar's Programs as a result of reduced federal funds and Minnesota reciprocity payments.)**

Campus Based Programs Block Grant

- The budget request included an increase of $4.2 million for EPSCoR-like programs, which when added to nonstate match could generate a minimum of $5 million in additional federal funding for EPSCoR-like programs. Without additional state matching funds, the NDUS would be unable to apply for additional federal funding. **(The Executive Recommendation includes a general fund increase of $1.5 million in the Campus-Based Programs Block Grant)**

- The budget request included $8,795,474 in technology funds for the NDUS, consisting of the following: $1.2 million to replace federal funds that will be expiring at the end of the biennium for Internet II service; $3 million for "system" technology enhancements; and $4,595,474 to support the statewide networking plan, of which $2,005,646 has been earmarked to connect IVN to K-12 and all other state agencies. **(The Executive Recommendation includes $1.2 million for the Internet II costs, $217,020 for increased equipment lease costs as a result of the rollout of the statewide network and $4,595,474 for support for the statewide networking plan)**

- Current base funding for IVN, ODIN, HECN and UND/NDSU campus computer centers, totaling $22.2 million, were removed from the UND and NDSU budgets, and included in the NDUS Office budget in Campus-Based Programs Block Grant;

- The 01-03 salary compensation package for all NDUS entities contained in SB2003, totaling $13.3 million, is included in the Campus-Based Programs Block

Grant. In addition, another $1 million in state general funds is provided for discretionary salary equity adjustments. All salary funds are to be distributed by the Board.

- The budget request included a $6 million funding request for institutional innovation for the campuses, Med School, Forest Service and NDUS Office ($219,881) to be used to fund new programs and initiatives that are consistent with the recommendations of the Roundtable. These new programs and initiatives would address issues related to economic development, quality and access. ***(Executive Budget includes $2.5 million in the Campus-Based Programs Block Grant to be allocated by the Board to the campuses for short-term and one-time allocations for innovation initiatives.)***

- The budget request included a $4.2 million increase for equity and special needs to address the adequacy of base funding for the campuses, based upon a comparison to other external benchmarks (i.e. peer institutions in other states.) **(The Executive Recommendation includes $2.5 million in the Campus-Based Programs Block Grant, to be allocated to the campuses to address parity and equity.)**

Contingency and Board Initiatives Block Grant

- The budget request included an increase of $5 million to address the priorities of the Board and state. **(The Executive Recommendation includes a total of $2,790,106 for the Contingencies & Board Initiatives Block Grant.)**

Capital Bond Payments

- Includes $12.73 million for capital construction bond payments ($11.26 million general fund and $1.47 million other funds) in the NDUS Office. These have historically been included in the budget of the Industrial Commission.

g:\Laura\wpdocs\NDUS Office-Major changes

North Dakota University System Office
Major Budget Changes in 2001-03 Engrossed SB 2003 (NDUS Office Portion)
Presented to House Appropriations (Education & Environment Division)
By Laura Glatt on March 5, 2001

System Governance Block Grant

- Internally reallocated $60,224 to fund the cost to continue the 2000-01 salary increases in the budget request. **(No new funds are included in the Engrossed SB 2003 to fund the cost to continue the 2000-01 salary increases)**

- Engrossed SB 2003 includes funding to cover dues increases for WICHE, MHEC and FINDET.

midwest higher Ed Comp
Western Interstate Comm.

Student Grant Programs Block Grant

- The budget request included a general fund increase of $2.3 million for student financial aid and access programs. **(Engrossed SB 2003 includes a general fund increase of $1.67 million in the Student Grant Programs Block Grant. Some of the increased funding will be needed to help offset the funding loss in the State Grant and Scholar's Programs as a result of reduced federal funds and Minnesota reciprocity payments. The Senate increased funding by $370,000 state general fund. $120,000 of this increase is specifically earmarked in HB 1310 to provide scholarship funding for K-12 teacher retraining.)**

Campus Based Programs Block Grant

- The budget request included an increase of $4.2 million for EPSCoR-like programs, which when added to nonstate match, could generate a minimum of $5 million in additional federal funding for EPSCoR-like programs. Without additional state funds, the NDUS would be unable to apply for additional federal funding. **(Engrossed SB 2003 includes a general fund increase of $2 million in the Campus-Based Programs Block Grant, which is $528,900 more than the Executive Recommendation.)**

- The budget request included $8,795,474 in technology funds for the NDUS, consisting of the following: $1.2 million to replace federal funds that will be expiring at the end of the biennium for Internet II and increased Internet I usage; $3 million for "system" technology enhancements; and $4,595,474 to support the statewide networking plan. **(Engrossed SB 2003 includes $1.2 million for a portion of the Internet I & II costs, $217,020 for increased equipment lease costs as a result of the rollout of the statewide network and $4,595,474 for support for the statewide networking plan, of which $2,005,646 has been earmarked to connect IVN to the K-12 network and all other state agencies.)**

- Current base funding for IVN, ODIN, HECN and UND/NDSU campus computer centers, totaling $22.2 million, were removed from the UND and NDSU budgets and included in the NDUS Office budget in Campus-Based Programs Block Grant.

- The 01-03 salary compensation package for all NDUS entities contained in Engrossed SB 2003, totaling $13.3 million, is included in the Campus-Based Programs Block Grant. In addition, another $1 million in state general funds is provided for discretionary salary equity adjustments. All salary funds are to be distributed by the Board.

- The budget request included a $6 million funding request for institutional innovation for the campuses, Med School, Forest Service and NDUS Office ($219,881), to be used to fund new programs and initiatives that are consistent with the recommendations of the Roundtable. These new programs and initiatives would address issues related to economic development, quality and access. **(Engrossed SB 2003 includes $2.32 million in the Campus-Based Programs Block Grant to be allocated by the Board to the campuses for allocations for innovation initiatives. This is $180,000 less than the $2.5 million that was included in the Executive Recommendation)**

- The budget request included a $4.2 million increase for equity and special needs to address the adequacy of base funding for the campuses, based upon a comparison to other external benchmarks (i.e. peer institutions in other states). **(Engrossed SB 2003 includes $2.32 million in the Campus-Based Programs Block Grant, to be allocated to the campuses to address parity and equity, and is $180,000 less than the $2.5 million that was included in the Executive Recommendation.)**

Contingency and Board Initiatives Block Grant

- The budget request included an increase of $5 million to address the priorities of the Board and state. **(Engrossed SB 2003 includes a total of $2,610,106 for the Contingencies & Board Initiatives Block Grant. This is $180,000 less than the Executive Recommendation of $2,790,106.)**

Capital Bond Payments

- Includes $12.73 million for capital construction bond payments ($11.26 million general fund and $1.47 million other funds) in the NDUS Office. These have been included in the budget of the Industrial Commission in the past.

g:\cathy\worddocs\NDUS Office-Major changes

NORTH DAKOTA
University System

Access - General

December 2000

State Grant Program

The North Dakota Student Financial Assistance Program (State Grant) provides a $600 non-repayable grant per year to North Dakota residents pursuing their undergraduate degree and who attend North Dakota's public, private (not-for-profit), and tribal colleges. The purpose of this need-based grant is to assist students with their cost of attending a North Dakota postsecondary institution. A State Grant also reduces the amount of money a student needs to borrow for their education.

- Over 29,000 North Dakota students applied for a state grant during 1999-2000. The State Grant Program was able to fund 3,711 needy students or roughly 13% of the eligible students during 1999-2000. For 1999-2000, the greatest unmet need of a State Grant Program recipient was $8,148 while the average unmet need was $4,300. Unmet need for this program is calculated as follows: Cost of education minus parent/student expected contribution, minus Federal Pell Grant, minus other resources, e.g., veterans' benefits, equals unmet need. For academic year 1999-2000, State Grant dollars were exhausted at an unmet need figure of $4,000. In other words, those students demonstrating an unmet need of $4,000 or less did not receive State Grant support.
- State funding to support the State Grant program is equivalent to 1.16 percent of annual tuition collections.

Students Receiving State Grant Dollars Awarded by Institution Type

	Public Institution	Private Non-Profit 4-Year Colleges & Hospital School of Nursing	Native American Community Colleges
1999-2000 School Year (est.)			
# Applying 28,724			
# of Students Receiving Awards	2,971	641	99
$ Awarded	$1,662,734	$364,440	$49,025
% of Total $ Awarded	80%	18%	2%
% of all ND Students Attending college	86.2%	9.8%	4%
2000-2001 School Year			
# Applying 28,132			
# of Students Receiving Awards	1,253	161	26
$ Awarded	$750,600	$96,300	$15,600
% of Total $ Awarded	87%	11%	2%
% of all ND Students Attending College	87%	9%	4%

FOR MORE INFORMATION CONTACT:
***Peggy Wipf**, Director of Financial Aid and Federal Relations Coordinator*
(701) 328-4114 Peggy_Wipf@ndus.nodak.edu

NORTH DAKOTA
University System

Access - General

December 2000

North Dakota Scholars Program

The North Dakota Scholars Program, a merit-based scholarship, was first funded by the Legislature in 1987 to provide scholarships to North Dakota's best and brightest high school graduates to encourage them to remain in North Dakota to obtain their postsecondary education.

- High school seniors must score at the 95 percentile or greater of all North Dakota ACT test takers and must also be in the upper 20 percent of their high school graduating class at the end of their junior year in order to be eligible for the scholarship.
- The scholarship is renewable for up to three years provided the recipient maintains a cumulative 3.50 grade point average.
- The scholarship is a full tuition scholarship for students attending North Dakota's public and tribal colleges and a tuition scholarship equal to NDSU/UND's tuition for students attending North Dakota's private institutions.

	1995-96	1996-97	1997-98	1998-99*	1999-2000	2000-2001
# of candidates meeting 5% ACT requirement	607	614	671	455	455	413
# of candidates also meeting high school rank requirement	475	496	507	387	376	347
Offers made	51	75	120	112	87	62
Offers accepted	23	31	55	49	39	25
% of those offered and accepted	45%	41%	46%	44%	44%	40%

* The number of students meeting both the ACT and class rank requirements for the ND Scholars Program is considerably less for 1998-99 compared to 1997-98. This reduction is due, in part, to a 1997 statue change which eliminated including the December ACT Test Data as part of the initial scholars consideration pool and has allowed for Scholars offers to be made in February versus April.

FOR MORE INFORMATION CONTACT:
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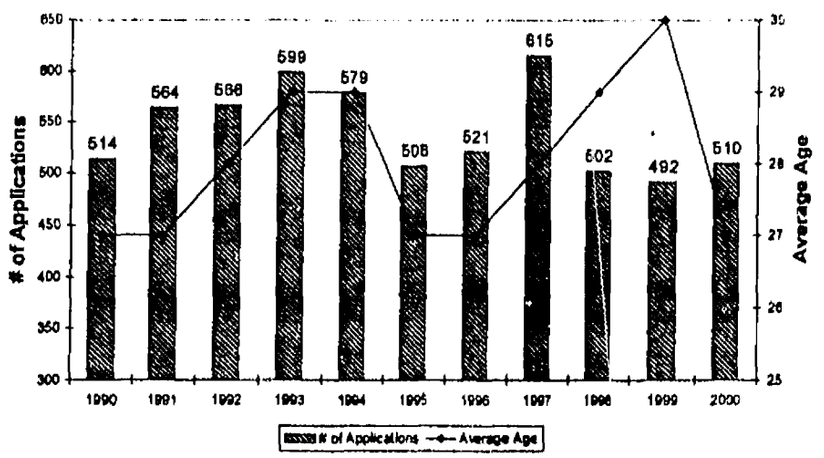
(701) 328-4114 Peggy_Wipf@ndus.nodak.edu

December 2000

Indian Scholarship Program

- The Indian Scholarship Program assists American Indian students in obtaining a basic college education by providing grants ranging in amount from $700 to $2,000 per year based upon scholastic ability and financial need. By limiting scholarship awards to $700, the Indian Scholarship Board has been able to make scholarship awards to approximately 148 eligible applicants annually.
- The qualifications for eligibility for the North Dakota Indian Scholarship Program are as follows:
  - Applicants must either be a resident of North Dakota with one-quarter degree Indian blood or an enrolled member of a tribe now resident in North Dakota. Residency for each student shall be determined by the institution that student is attending.
  - All applicants must have been accepted for admission at an institution of higher learning or state vocational education program within North Dakota.
  - Scholarship recipients must be enrolled full-time and may not have a grade point average below 2.00.
  - Students participating in internships, student teaching, teaching assistance, or cooperative education programs may be eligible for a scholarship award only if participation in that program is required for the degree and only if tuition must be paid for the credits earned.

Indian Scholarship Application History



*The average unmet need for applicants Fall 2000 was $3,500

*Approximately 20 students graduate each year

FOR MORE INFORMATION CONTACT:
Rhonda Schauer, *State Approving Agency Director/Coordinator of American Indian Higher Education*
(701) 328-2166 Rhonda_Schauer@ndus.nodak.edu

Indian Scholarship Program (cont.)

History of Native American Scholarship Program				
Biennium	Full-time Native American Students Attending College*	Native American Scholarships Awarded	State General Funds Appropriated	% of Full-time Students Receiving Funding
1973-75	1,366	50	$45,000	3.7%
1975-77	1,935	82	$75,000	4.2%
1977-79	2,258	89	$85,000	3.9%
1979-81	2,278	101	$85,000	4.4%
1981-83	1,964	173	$147,742	8.8%
1983-85	2,051	272	$175,000	13.3%
1985-87	2,729	276	$175,000	10.1%
1987-89	2,812	293	$175,000	10.4%
1989-91	2,699	246	$170,700	9.1%
1991-93	3,260	237	$200,000	7.3%
1993-95	2,669	300	$200,000	11.2%
1995-97	3,207	289	$204,000	9.0%
1997-99	4,367	292	$204,000	6.7%
1999-01	4,733	295	$204,082	6.2%

*Includes the following schools: Bismarck State College, Dickinson State University, Fort Berthold Community College, Jamestown College, UND-Lake Region, Little Hoop Community College, University of Mary, Mayville State University, Minot State University, Minot State University-Bottineau Campus, North Dakota State University, Standing Rock College, North Dakota State College of Science, Turtle Mountain Community College, University of North Dakota, UND-Williston, and Valley City State University.

FOR MORE INFORMATION CONTACT:
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NORTH DAKOTA
University System

Flexible and Responsive

December 2000

Professional Student Exchange Program (PSEP)

The Professional Student Exchange Program (PSEP), offered through WICHE provides access to North Dakota students in veterinary medicine, optometry, and dentistry program. These professional programs are not offered in North Dakota.

- In exchange for admitting qualified ND students into their programs, the out-of-state institutions receive a pre-determined support fee from the state of ND. As a participant of the program, the student pays resident tuition rates to the public out-of-state institutions and reduced tuition to private institutions. The support fee paid by ND provides guaranteed access to these programs assuming that students are academically prepared.

History of WICHE PSEP Support Fee Per Student Paid by North Dakota 1997-98 – 2002-03

Field	1997-98	1998-99	1999-00	2000-01	2001-02	2002-03
Veterinary Medicine	$19,900	$19,900	$20,400	$20,400	$21,700	$22,600
Optometry	$8,800	$9,100	$9,300	$9,500	$9,900	$10,300
Dentistry	$14,300	$14,300	$14,300	$14,700	$15,200	$15,900

- During the 1999-2001 biennium, a total of 123 students, freshmen through seniors, will receive support through this program with a state general fund appropriation of $1,535,238.

Number of Freshmen PSEP Slots Funded by Year

	Veterinary Medicine	Dentistry	Optometry
1995-1996	9	3	5
1996-1997	7	1	5
1997-1998	9	2	8
1998-1999	5 (3)**	2 (7)**	8
1999-2000	5 (2)**	1 (8)**	8
2000-2001	7 (1)**	2 (7)**	10
2001-2002 Request*	7	2	10
2002-2003 Request*	7	2	10

*2001-2003 Request assumes the carryover of unspent 1999-2001 general fund appropriation of approximately $112,934.

** Number of freshmen North Dakota students enrolled at U. of Minnesota – veterinary medicine & dentistry

FOR MORE INFORMATION CONTACT:
Peggy Wipf, *Director of Financial Aid and Federal Relations Coordinator*
(701) 328-4114 Peggy_Wipf@ndus.nodak.edu

PSEP (cont.)

- Applicant numbers for the Professional Student Exchange Program totaled 55 for academic year 2000-01; i.e., 18 in veterinary medicine, 13 in dentistry, and 24 in optometry.

- Approximately 65% of the total number of PSEP students, e.g., 78% in dentistry, 49% in optometry, and 75% in veterinary medicine, that receive state support through this program return to the state to practice in their given profession after graduation.

- North Dakota also participates in WICHE as a receiving state for physical and occupational therapy, medicine, architecture and pharmacy programs.

Number of Students North Dakota Receives through PSEP
Fall 1999

Sending State	Physical Therapy	Occup. Therapy	Medicine	Architecture	Pharmacy	TOTAL
Alaska						0
Arizona						0
Hawaii	4					4
Idaho		1				1
Montana		2	3			5
Nevada	1					1
New Mexico	2					2
Oregon	4					4
Wyoming	23		1			24
TOTAL	**34**	**3**	**4**	**0**	**0**	**41**

- The North Dakota University System also has two additional contractual agreements that provide programmatic access for ND students interested in veterinary medicine and dentistry. Since 1991-92, Iowa State has agreed to accept up to 10 ND students per year into their veterinary medicine program at approximately $10,000 less per student per year than required through the WICHE program. Under our current agreement with Iowa State's College of Veterinary Medicine, ND students who matriculate at Iowa State will be guaranteed enrollment for the duration of their program.

- The second agreement is with the University of Minnesota-Twin Cities, which extends reciprocity rates to ND students enrolled in their professional programs of veterinary medicine and dentistry under the Minnesota/ND reciprocity agreement. Under the current agreement, ND students pay the MN professional program resident rate while attending the University of Minnesota-Twin Cities. The current reciprocity agreement has resulted in significant savings to the PSEP program. The state incurs no cost for ND students attending a Minnesota campus for veterinary medicine and dentistry. For academic year 2000-2001, there are 30 North Dakota students enrolled in dentistry at the University of Minnesota, and 5 in their veterinary medicine program.

FOR MORE INFORMATION CONTACT:
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North Dakota University System O[illegible]propriation
Comparison of "Traditional Line Items" (Within the NDUS B[illegible]nts), Included in Engrossed SB 2003
2001-03 Biennium.

The Five Line Items (Block Grants) Included in SB 2003 are Bolded Below. (*Per Engrossed SB 2003, the Board would have the authorization to allocate funds within the block grants.*)	(1) 2001-03 Engrossed SB 2003	(2) 1999-01 Adjusted Appropriation	(3) Incr (Decr) over 1999-01 $$ Change	(4) Incr (Decr) over 1999-01 %% Change
1) System Governance (Includes):				
NDUS Office Salaries, Operating & Equipment	$ 3,304,370	$ 3,334,595	$ (30,225)	-0.9%
1997-99 Carryover		99,328	(99,328)	-100.0%
State Approving Agency	161,333	154,733	6,600	4.3%
CTEC	194,788	197,627	(2,639)	-1.4%
Total System Governance	$ 3,660,491	$ 3,786,283	$ (125,792)	-3.3%
2) Student Grant Programs (Includes):				
Scholars Program	$ 770,730	$ 916,211	$ (145,481)	-15.9%
State Grant Program	4,088,031	5,254,537	(1,166,506)	-22.2%
ND Indian Scholarship Program	204,082	205,854	(1,772)	-0.9%
Professional Student Exchange Program (PSEP)	1,560,716	1,535,238	25,478	1.7%
Undesignated Senate Increase	370,000	-	370,000	100.0%
Total Student Grant Programs	$ 6,993,559	$ 7,911,840	$ (918,281)	-11.6%
3) Campus-Based Programs (Includes):				
EPSCoR	$ 4,000,000	$ 1,971,100	$ 2,028,900	102.9%
Title II	534,000	534,000	-	0.0%
Disabled Student Services	51,560		51,560	100.0%
IT Management (CIO)	216,676	215,255	1,421	0.7%
Technology Pool	28,238,419		28,238,419	100.0%
Equity/Special Needs Pool	19,085,765		19,085,765	100.0%
Total Campus-Based Programs	$ 52,126,420	$ 2,720,355	$ 49,406,065	1816.2%
4) Contingencies & Board Initiatives (Includes):				
Contingency & Emergency Fund	$ 344,309	$ 320,524	$ 23,785	7.4%
Board Initiative Pool	2,265,797	1,941,964	323,833	16.7%
Total Contingencies & Board Initiatives	$ 2,610,106	$ 2,262,488	$ 347,618	15.4%
5) Capital Bond Payments	$ 12,730,841		$ 12,730,841	100.0%
Total Per Engrossed SB 2003	$ 78,121,417	$ 16,680,966	$ 61,440,451	368.3%
Funding Sources:				
General Fund				
Capital Bond Payments	$ 11,261,502		$ 11,261,502	100.0%
Other	63,143,093	10,945,394	52,197,699	476.9%
Total General Fund	74,404,595	10,945,394	63,459,201	579.8%
Federal Funds	909,333	1,190,512	(281,179)	-23.6%
Special Funds				
Capital Bond Payments	1,469,339		1,469,339	100.0%
Other	1,338,150	4,545,060	(3,206,910)	-70.6%
Total Special Funds	2,807,489	4,545,060	(1,737,571)	-38.2%
Total Funding Sources	$ 78,121,417	$ 16,680,966	$ 61,440,451	368.3%

g:\cathy\excel\[illegible]01-03 Engrossed\NDUS Office Line Items\Compare to 99-01

NDUS

Selected Portions of NDUS Office Block Grants (Included in Engrossed SB 2003)

Portion of Campus-Based Programs (Block Grants)

	Technology-General Fund
1999-01 Adjusted Base	
Increases per Engrossed SB 2003:	
HECN, IVN, ODIN & UND/NDSU Campus computer centers	22,225,925
Internet II	1,200,000
Incr equip lease costs from stwd netwkng plan	217,020
Statewide networking plan-NDUS	2,589,828
Statewide networking plan-Others	2,005,646
	28,238,419
Total per Engrossed SB 2003	$ 28,238,419

Increases

NDUS

Selected Portions of NDUS Office Block Grants (Included in Engrossed SB 2003)

Portion of Campus-Based Programs (Block Grants)

	Equity/Special Needs-General Fund
1999-01 Adjusted Base	115,135
Increases per Engrossed SB 2003:	
NDUS compensation package	- 14,330,630
Campus parity & equity	2,320,000
Campus new initiatives/programs	2,320,000
	18,970,630
Total per Engrossed SB 2003	$ 19,085,765

NDUS

Selected Portions of NDUS Office Block Grants (Included in Engrossed SB 2003)

Contingencies and Board Initiatives (Block Grants)

	Board Initiatives-General Fund
1999-01 Adjusted Base	1,820,797
Increases per Engrossed SB 2003:	
General increase	445,000
Total per Engrossed SB 2003	$ 2,265,797

	Contingency & Capital Improvement Emergency – General Fund
1999-01 Adjusted Base	344,309

UNIVERSITY OF MARY
Office of the President

7500 University Drive
Bismarck, ND 58504-9652
(701) 255-7500

North Dakota ~~Senate~~ House Appropriations Committee Hearing
~~March 6, 2001~~ March 5, 2001

Today I am here to speak in behalf of the North Dakota State Grant Program, a program that awards grants to North Dakota students choosing to attend a private or public college/university in our state. The grants are awarded exclusively on the basis of demonstrated financial need and, according to North Dakota Century Code, the awards never exceed $600 per student.

My comments today have a three-fold purpose:

1) To acknowledge the vital role the State Grant Program plays in helping make a private or public college accessible to students with limited financial capacity.
2) Then to request that the state make every effort to increase the State Grant for 2001-2003 as recommended by the State Board of Higher Education and included in the Governor's budget. The proposed increase from the State Board of Higher Education would allow for funding of 3,900 grants per year, or a 12.7% increase over the number of grants funded during the first year of the current biennium. While the number of student applicants far exceeds the dollars available, I can assure you the increase would be a significant benefit to many families.
3) And finally, I bring to your attention a bit of history that is critical to the two 4-year private institutions in the state, Jamestown College and the University of Mary. To illustrate my point, let's consider the first year of the current biennium. Only 1% of North Dakota's higher education spending - $368,000 out of a $328,813,637 appropriation - supported need based grants for students attending private schools in our state. It was the 1979 state legislature that established a Tuition Equalization Grant Program for students attending Jamestown College and the University of Mary. This decision on the part of the legislature recognized the value of tuition assistance for students choosing a private college. It was their way of maintaining that broad range of educational choices for our citizens and acknowledging the significant savings to taxpayers by private institutions in the state. In 1987, because of administrative recommendations, the Tuition Equalization Grant was folded into the State Grant Program with the understanding that the difference in tuition between private and public colleges would be recognized when allocating the number of grant awards. We ask that that principle of the budget differential between tuition at private and public colleges be strictly adhered to. Without the enforcement of that principle, the benefit to the private college and university will be lost and opportunities available to us through a combined State Grant program severely diminished.

Occasionally we North Dakotans will deliberate on that "too-much mistake." This is one time we can move forward with boldness. Increasing the budget to assure students access to one of our North Dakota colleges or universities, whether it be public, private, or tribal will not be enough to respond to all the qualified students with unmet need. But, neither can we be accused of "too-much" when the decision serves the citizens who are the future of our state.

Sister Thomas Welder

Partial Costs for Disability Support Services (DSS) 2/10/00

		UND	NDSU	MiSU	DSU	MaSU	VCSU	BSC	NDSCS	LRSC	WSC	MiSU-BC	Totals
1997-98	**Students**	360	170	106	14	36	15	67	186	81	31	13	**1,079**
1997-98	**Costs**	$341,001	$134,699	$111,776	$33,580	$38,516	$6,690	$44,762	$65,027	$50,520	$0	$2,500	**$829,071**
1998-99	**Students**	286	197	129	29	31	21	90	187	62	19	18	**1,069**
1998-99	**Costs**	$387,290	$184,501	$109,649	$34,300	$19,032	$6,770	$46,320	$53,530	$64,239	$0	$2,500	**$908,131**
1999-00	**Students**	300	189	111	32	41	25	82	145	70	44	12	**1,051**
1999-00	**Costs**	$278,250	$140,037	$110,257	$24,500	$16,060	$6,670	$46,820	$55,353	$98,299	$0	$2,500	**$778,746**

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National Center for Education Statistics (1996-98)

The National Center for Education Statistics reports there are over 400,000 students with disabilities on our campuses (one in eleven students) and 98% are at public institutions.

The disabilities are:

- **Learning (46%)**
- **Mobility-orthopedic (14%)**
- **Health (11%)**
- **Mental/emotional (7%)**
- **Hearing (5.5%)**
- **Visual (4%)**
- **Speech (.009%)**
- **Other (9%)**

The Chronicle of Higher Education *Today's News* 8/16/99
[www.chronicle.com/daily]

Types of Services

When we talk about services or accommodations for students with disabilities we are talking about*:

- **Alternative examination formats and/or additional time**
- **Tutors**
- **Readers or note-takers**
- **Registration assistance or priority class registration**
- **Assistive technologies, such as listening devices or talking computers**
- **Sign-language interpreters**
- **Course substitution or waivers**
- **Transportation**

SB 2003, SUBDISVION 1
TESTIMONY TO SENATE APPROPRIATIONS COMMITTEE
BY LARRY A. ISAAK, CHANCELLOR
JANUARY 8, 2001

- **The Board of Higher Education supports the concept of block granting in Subdivision one of SB2003. This subdivision includes five block grants. This compares with fifteen line items in the 1999 appropriation bill. Block granting of appropriations was a significant recommendation of the Higher Education Roundtable.**

- **The System Governance line item supports Board and office operations. The Board and office continue to keep the system governance expenditure a small expenditure within the total system budget. The amount in SB 2003 is only three-tenths of one percent of total system expenditures- a very, very low rate. Additional needs requiring support in the 2001-03 biennium are accountability reporting, budget planning and distance education coordination. We will attempt to fund these increased workloads within the Executive Budget levels for the System Governance and Board Initiative line item block grants.**

- **The Student Grant Programs line item will provide funds for the State Grant Program, State Scholars Program, Indian Scholarship program and the Professional Student Exchange Program. The grant provides discretion to the Board in the allocation of these funds. North Dakota has traditionally had a philosophy of having reasonable tuition rates as the best mechanism for financial aid. However, costs have increased in many areas. The Executive Budget recommends an increase of $1.3 million (compared to a requested increase of $2.3 million) in funds for this block grant, primarily to offset reduced payments from the Minnesota/North Dakota reciprocity agreement. Funds from this agreement have been used for several years to increase student aid, which has meant that the state general fund has not had to invest significantly more dollars. Eventually, we believe this program should be entirely funded from the state general fund, and leave the payments from Minnesota at the campuses as part of their tuition collections since the payment is intended to help pay for the cost of educating the additional students. Currently, only a portion of the Minnesota payment goes to the campus as tuition collections, and a portion goes to the student grants and scholars programs.**

- **The Campus-based Programs block grant includes funds for campus and system office salary increase dollars, EPSCOR, system-wide technology services including the funds for the roll-out of the state-wide network, equity**

funding, campus based initiatives and innovations, and disabled student services. The grant provides discretion to the Board in allocating these funds. We support the concept of this block grant since it is consistent with the Higher Education Roundtable recommendations.

- The Contingencies and Board Initiatives block grant provides funds for contingencies and for system and statewide initiatives. Past appropriations have included similar funds. This grant is also consistent with Roundtable recommendations, which we also support. This fund in the present biennium has been used primarily by the Board to fund technology improvements system-wide, fund student grants to partially cover the reduced payment from Minnesota, for system-wide enhancements in distance education and coordination, and for the entrepreneurial programs at UND and system-wide. This funding is critical to giving the Board some funding to promote and implement system-wide efforts and activities. It has been used for very productive investments.

- The Capital Bond Payments block grant is a new item that was previously included in the Industrial Commission appropriation.

NDUS

Selected Portions of NDUS Office Block Grants (Included in SB 2003)

2001-03 Executive Recommendation

Portion of Campus-Based Programs (Block Grants)	Equity/Special Needs-General Fund
1999-01 Adjusted Base	115,135
Increases per Exec Recommendation:	
NDUS compensation package	14,330,630
Campus parity & equity	2,500,000
Campus new initiatives/programs	2,500,000
	19,330,630
Total per Exec Recommendation	$ 19,445,765

NDUS

Selected Portions of NDUS Office Block Grants (Included in SB 2003)
2001-03 Executive Recommendation

Contingencies and Board Initiatives (Block Grants)

	Board Initiatives-General Fund
1999-01 Adjusted Base	1,820,797
Increases per Exec Recommendation:	
General increase	625,000
Total per Exec Recommendation	$ 2,445,797

	Contingency & Capital Improvement Emergency – General Fund
1999-01 Adjusted Base	344,309

North Dakota University System
Summary of General Fund Increases
Per 2001-03 Executive Recommendation
(SB 2003 and SB 2021)

	(1) Campuses, Med School, Forest Service & NDUS Office [SB 2003]	(2) UGPTI, NCI, Extension & Research [SB 2021]	(3) Total
1999-01 Adjusted General Fund Appropriation, Incl Major Capital Projects & General Fund Carryover	$ 332,348,234	$ 47,267,001	$ 379,615,235
Less 1999-01 Appropriation for Non-NDUS Entities:			
Skills Technical Training Center	(747,500)		(747,500)
Center for Innovation in Instruction	(349,583)		(349,583)
Prairie Public Broadcasting	(1,407,513)		(1,407,513)
	(2,504,596)	-	(2,504,596)
1999-01 Adjusted General Fund Appropriation, Excluding Non-NDUS Entities	329,843,638	47,267,001	377,110,639
2001-03 Executive Recommendation, Excluding General Fund Bond Payments	356,136,425	51,924,395	408,060,820
Increase, Excluding Bond Payments	**$ 26,292,787**	**$ 4,657,394**	**$ 30,950,181**
Percent Increase	**7.97%**	**9.85%**	**8.21%**
Plus 2001-03 General Fund Bond Payments	11,261,502		11,261,502
Total General Fund Increase	$ 37,554,289	$ 4,657,394	$ 42,211,683

NORTH DAKOTA UNIVERSITY SYSTEM
SUMMARY OF GENERAL FUND INCREASE/(DECREASE)
(SB 2003 - CAMPUSES, NDUS OFFICE, MED SCHOOL AND FOREST SERVICE)

General fund - Hold-even base request	$320,877,592
Increases (Decreases) to hold-even base:	
Correct error in SIBR	92,009
Cost to continue	61,468
Change in extraordinary repairs appropriation	(36,689)
Flood insurance-NDSU	210,000
2001-03 Capital projects	3,545,000
Remove UND infrastructure funding	(2,555,000)
Financial aid increases (Student Grant Programs Block Grant)	1,300,000
EPSCoR increase (Campus-Based Programs Block Grant)	1,500,000
WICHE/MHEC increase (System Governance Block Grant)	46,000
Disabled Student Service increase (Campus-Based Programs Block Grant)	25,000
Board initiative increase (Contingencies & Board Initiatives Block Grant)	625,000
Equity/special needs increase (Campus-Based Programs Block Grant)	5,000,000
Technology-HECN Netwkg, ITD costs increase (Campus-Based Programs Block Grant)	1,417,020
Technology-Statewide Netwkg Plan (Campus-Based Programs Block Grant)	4,595,474
Health insurance increase	5,020,530
Salary increases (Campus-Based Programs Block Grant)	14,413,021
Total Executive Recommendation, Excluding Capital Construction Bond Payments	356,136,425
Net Increase From 1999-01, Excluding Capital Construction Bond Payments	$26,292,787
Capital Construction Bond Payments	11,261,502
Total Executive Recommendation, Including Capital Construction Bond Payments	$367,397,927

NORTH DAKOTA UNIVERSITY SYSTEM
SUMMARY OF GENERAL & OTHER FUND INCREASES/(DECREASES)
(SB 2021 - Upper Great Plains Transportation Institute [UGPTI], Northern Crops Institute [NCI], Extension & Research and Agronomy Seed Farm)

General Fund:	Total
1999-01 Adjusted General Fund Appropriation	$ 47,267,001
Adjustments:	
99-01 GF Carryover	(103,864)
Total Adjustments to Base	(103,864)
General fund - Hold-even request	$ 47,163,137
Core program increases	2,019,987
Decrease capital repairs	(243,500)
Costs to continue	180,014
Major capital projects-GF (Langdon)	150,000
Increase operating-Langdon facility	15,000
Health insurance increases	674,732
Salary increases	1,965,025
Total per Executive Recommendation	$ 51,924,395
Incr/(Decr) from 99-01 Adjusted Appropriation	**$ 4,657,394**

(3) Executive Recommendation

SUMMARY OF GENERAL FUND INCR(DECR)
(CAMPUSES, NDUS OFFICE, MED SCHOOL AND FOREST SERVICE)

	(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)	(9)	(10)	(11)	(12)	(13)	(14)	(15)	(16)
General Fund:																
2019-21 Adj GF Approp	$ 15,347,661	$ 5,192,442	$ 5,488,188	$ 96,135,598	$ 75,272,671	$ 25,711,851	$ 14,309,605	$ 8,955,122	$ 26,526,901	$ 11,710,543	$ 4,227,569	$ 286,798,123	$ 12,362,957	$ 28,488,798	$ 1,699,446	$ 330,349,324
Adjustments																
19-81 One-time Funding	(40,000)	(40,000)	(30,000)		(493,500)	(462,733)	(30,340)		(145,000)			(1,172,797)	1,188,797		(17,000)	$ -
19-81 Major equip GF	(240,000)	(499,544)			(2,000,000)			(923,106)		(497,052)	(68,000)	(4,236,388)				$ (4,236,388)
19-81 One-time Adjustments					(685,000)		(300,000)		(500,000)			(1,485,000)				$ (1,485,000)
19-81 GF Carryover	(334,338)	(38,500)	(349,634)	(6,439)	(80,749)	(8,045)	(737,605)	(335,043)	(911,729)	(20,000)	(132,708)	(2,435,882)	(808,251)	(64)	(39,295)	$ (3,288,272)
19-81 Skill Pool Allocations		(15,149)	(30,000)	(75,402)		(100,000)					(115,135)	(325,787)	335,787			$ -
19-81 Med Sch - Pension				1,277,824								1,277,824		(1,277,824)		$ -
19-81 SEIC					(747,500)							(747,500)				$ (747,500)
19-81 ITD Major equip GF	-												(415,000)			$ (415,000)
Restore one-time Base Chgs					138,000			2,621				122,621				$ 122,621
Base to SEIC	(92,000)											(92,000)				$ (92,000)
Rounding				(1)	(1)					(2)		(4)				$ (4)
Total Adj to Base	(665,338)	(594,077)	(309,634)	1,195,711	(1,777,162)	(2,570,782)	(1,067,945)	(1,055,548)	(1,576,729)	(517,054)	(315,843)	(9,084,876)	310,313	(1,277,888)	(76,295)	(10,128,546)
General Fund - Bill as introduced	$ 14,859,537	$ 4,598,364	$ 5,804,564	$ 97,331,306	$ 73,495,510	$ 23,141,069	$ 13,241,638	$ 7,899,574	$ 24,950,172	$ 11,193,489	$ 3,911,726	$ 279,711,247	$ 12,673,270	$ 27,222,006	$ 1,623,151	$ 322,219,698
Current service SERS	92,005											92,005				$ 92,005
Cost to continue												-			$ 61,488	$ 61,488
Change in retirement cap	(161,700)	59,631				137,615		42,918	(137,616)		22,533	(36,669)				$ (36,669)
Rounding	1			2	1	1			2			7		1		$ 8
Fund insurance					210,000							210,000				$ 210,000
Capital projects GF				(2,395,000)		2,110,000		510,000		850,000	75,000	980,000				$ 980,000
Restore OE (Transfer to ITD)										(349,583)		(349,583)				$ (349,583)
Restore FFE-GF (Transfer to ITD)												-	(882,513)			$ (882,513)
Post SERS, PERS & OPEB				(12,479,362)	(9,746,163)							(22,225,525)	22,225,525			$ -
Financial aid increase												-	1,300,000			$ 1,300,000
SERS ASL increase												-	1,500,000			$ 1,500,000
VACRS/SERS Conversion												-	48,000			$ 48,000
Disabled Veteran Survivor												-	25,000			$ 25,000
Share - Athletics pool increase												-	625,000			$ 625,000
Spirit deposit made pool now												-	5,000,000			$ 5,000,000
Tech pool - SERS Funding, ITD costs												-	1,417,020			$ 1,417,020
Tech pool - Statewide Nursing Plan												-	4,586,474			$ 4,586,474
City Center Bond Pmts GF												-	11,281,502			$ 11,281,502
Health insurance	225,882	66,877	86,603	1,382,639	1,300,000	387,963	213,156	142,106	417,785	138,998	73,894	4,617,884	24,887	348,578	28,420	$ 5,020,536
Salary increase 1%												-	14,357,191		55,830	$ 14,413,021
Total per Base Budget	$ 15,017,573	$ 4,724,992	$ 5,853,247	$ 83,790,583	$ 65,262,443	$ 25,776,628	$ 13,454,794	$ 8,594,596	$ 25,230,343	$ 11,382,904	$ 4,083,153	$ 263,008,726	$ 74,048,686	$ 28,571,646	$ 1,768,889	$ 367,397,927
Incr/(Decr) from 19-81 Adj Appropriation	$ (330,088)	$ (468,379)	$ (282,941)	$ (12,337,818)	$ (10,010,228)	$ 64,777	$ (864,888)	$ (360,528)	$ (1,295,585)	$ 172,361	$ (144,416)	$ (25,787,387)	$ 61,886,779	$ (928,112)	$ 69,433	$ 36,048,693

NORTH DAKOTA UNIVERSITY SYSTEM
DETAIL OF GENERAL FUND INCREASES/(DECREASES)
(ENGROSSED SB 2003 - CAMPUSES, NDUS OFFICE, MED SCHOOL AND FOREST SERVICE)

	(1) BSC	(2) LRSC	(3) WSC	(4) UND	(5) NDSU	(6) NDSCS	(7) DSU	(8) MaSU
General Fund:								
1999-01 Adj GF Approp	$ 16,347,841	$ 5,392,401	$ [illegible]	$ [illegible]	$ 75,272,621	$ 25,711,861	$ 14,[illegible]	$ 8,995,122
Less Non-NDUS Entities:								
99-01 Skills Tech Training Center				-	(747,000)			
99-01 Prairie Public Broadcasting								
99-01 Center for Innovation								
	-	-	-	-	(747,000)	-	-	-
1999-01 Adj GF Approp, Excluding Non-NDUS Entities	16,347,841	5,392,401	[illegible]	[illegible]	74,525,171	25,711,861	14,[illegible]	8,995,122
Adjustments by OMB to establish hold-even budget base:								
99-01 One-time leg funding	(40,700)	(40,000)	(30,000)		(403,320)	(402,737)	(30,300)	
99-01 Major capital projects	(340,084)	(400,544)				(2,[illegible])	-	(823,100)
99-01 One-time Infrastructure					([illegible])		(300,000)	
97-99 GF Carryover	(114,235)	(30,303)	(240,824)	(8,436)	([illegible],740)	(8,848)	(232,000)	(136,963)
99-01 Bd Pool Allocations		(16,140)	(30,000)	(73,402)		(100,000)		
UND/Med Reallocation				1,277,834				
[illegible]	-				120,[illegible]			2,621
Error in SBHE & rounding	(92,000)			(1)	(1)			
Total Adj to Base	([illegible])	(804,077)	([illegible])	1,[illegible],711	(1,[illegible])	(2,570,782)	(1,[illegible])	(1,[illegible])
General fund - Hold-even adjusted	14,[illegible]	4,[illegible],364	[illegible]	97,331,304	73,[illegible]	23,141,080	13,741,836	7,[illegible]
Increase (Decrease) to hold-even base:								
Correct error in SBHE & rounding	92,005			2	1	1		
Cost to continue								
Change in [illegible]	(101,700)	30,521				137,816		42,918
[illegible]					210,000			
2001-03 Capital projects						2,110,000		610,000
Remove UND Infrastructure funding				(2,[illegible])				
Pool HECN, IVN & ODIN				(12,470,762)	(8,740,163)			
Financial aid increases								
EPSCoR increase								
WICHE/MNHEC increases								
Disabled Stud Svc increase								
Board Initiatives increase								
Equity/special needs increase								
Technology-HECN [illegible]								
Technology-Statewide [illegible] Plan								
Health insurance	236,883	86,877	86,[illegible]	1,382,839	1,383,853	387,943	213,156	142,184
Salary increases								
Total per Engrossed SB 2003, Excl. Capital Constr Bond Pmts	15,817,975	4,734,882	5,183,347	83,798,883	66,262,441	25,776,638	13,454,794	8,594,586
Net Increase (Decrease)	$ (329,866)	$ (408,379)	$ (222,941)	$ (12,337,000)	$ (8,262,730)	$ 64,777	$ (854,[illegible])	$ (308,526)
Capital Construction Bond Pmts								
Total Engrossed SB 2003, Including Cap Constr Bond Pmts	$ 15,817,975	$ 4,734,882	$ 5,183,347	$ 83,798,883	$ 66,262,441	$ 25,776,638	$ 13,454,794	$ 8,594,586

	(9) MiSU	(10) VCSU	(11) MiSU-BC	(12) Subtotal	(13) NDUS Office	(14) Med School	(15) Forest Service	(16) Total
General Fund:								
1999-01 Adj GF Approp	$ 26,[illegible],901	$ 11,710,543	$ 4,227,[illegible]	$ 288,796,123	$ 12,382,967	$ 29,400,730	$ 1,[illegible],446	$ 332,348,224
Less Non-NDUS Entities:								
99-01 Skills Tech Training Center				(747,000)				(747,000)
99-01 Prairie Public Broadcasting					(415,000)			(1,407,813)
99-01 Center for Innovation		(340,083)		(340,083)				(340,083)
	-	(340,083)	-	(1,087,083)	(415,000)	-	-	(2,[illegible])
1999-01 Adj GF Approp, Excluding Non-NDUS Entities	26,[illegible],901	11,[illegible]	4,227,[illegible]	287,[illegible]	11,837,967	29,400,730	1,[illegible],446	329,843,[illegible]
Adjustments by OMB to establish hold-even budget base:								
99-01 One-time leg funding	(185,000)			(1,172,797)	1,189,797		(17,000)	-
99-01 Major capital projects		(487,862)	(68,000)	(4,236,300)				(4,236,300)
99-01 One-time Infrastructure	(300,000)			(1,405,000)				(1,405,000)
97-99 GF Carryover	(811,729)	(30,000)	(132,700)	(2,436,[illegible])	([illegible],231)	(54)	([illegible],230)	(3,[illegible],272)
99-01 Bd Pool Allocations			(115,130)	(338,767)	338,767			-
UND/Med Reallocation				1,277,834		(1,277,834)		-
[illegible]				122,621				122,621
Error in SBHE & rounding		(2)		(92,000)				(92,000)
Total Adj to Base	(1,576,729)	(617,864)	(315,843)	(8,337,370)	728,313	(1,277,[illegible])	(76,230)	([illegible])
General fund - Hold-even adjusted	24,[illegible],172	10,843,[illegible]	3,911,726	273,361,[illegible]	12,[illegible],228	28,322,[illegible]	1,[illegible],181	320,377,[illegible]
Increase (Decrease) to hold-even base:								
Correct error in SBHE & rounding				92,000				92,000
Cost to continue				-			61,486	61,486
Change in [illegible]	(137,610)		22,333	(36,000)				(36,000)
[illegible]				210,000				210,000
2001-03 Capital projects		850,000	75,000	3,545,000				3,545,000
Remove UND Infrastructure funding				(2,[illegible])				(2,[illegible])
Pool HECN, IVN & ODIN				(22,226,925)	22,226,925			-
Financial aid increases				-	1,670,000			1,670,000
EPSCoR increase				-	2,020,000			2,020,000
WICHE/MNHEC increases				-	40,000			40,000
Disabled Stud Svc increase					25,000			25,000
Board Initiatives increase				-	445,000			445,000
Equity/special needs increase				-	4,540,000			4,540,000
Technology-HECN [illegible]				-	1,417,020			1,417,020
Technology-Statewide [illegible] Plan				-	4,[illegible],474			4,[illegible],474
Health insurance	417,707	138,398	73,894	4,617,987	24,807	349,576	28,420	5,020,530
Salary increases				-	14,364,200		86,830	14,410,030
Total per Engrossed SB 2003, Excl. Capital Constr Bond Pmts	25,238,343	11,382,904	4,083,153	263,908,726	64,136,[illegible]	28,871,846	1,700,[illegible]	358,482,334
Net Increase (Decrease)	$ (1,296,530)	$ 521,944	$ (144,410)	$ (24,890,314)	$ 52,197,[illegible]	$ (528,112)	$ 89,423	$ 26,[illegible]
Capital Construction Bond Pmts				-	11,261,882			11,261,882
Total Engrossed SB 2003, Including Cap Constr Bond Pmts	$ 25,238,343	$ 11,382,904	$ 4,083,153	$ 263,908,726	$ 75,397,[illegible]	$ 28,871,846	$ 1,700,[illegible]	$ 367,762,836

[illegible]

(5)

North Dakota University System

Analysis of 2001-2003 Executive Budget Recommendation

Prepared by:
NDUS Staff
December 2000

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North Dakota University System (NDUS)
Analysis of the 2001-03 Executive Budget Recommendation

The 2001-03 state general fund executive recommendation (including major capital projects) for the eleven campuses, UND School of Medicine and Health Sciences, Forest Service and North Dakota University System Office is $367.4 million, which includes an increase of $11.3 million in state general fund for capital construction bond payments. These payments have been historically included in the budget of the Industrial Commission. Excluding these capital construction bond payments, the total general fund recommendation is $356.1 million, which is an increase of $26.3 million or 8% from the current 1999-01 adjusted appropriation. However, when funds dedicated to the 2001-03 salary and benefit adjustments ($18.4 million) are taken into account, the state general fund increase over 1999-01 is $7.9 million.

The general fund executive recommendation (including major capital projects) for the Upper Great Plains Transportation Institute (UGPTI), Northern Crops Institute (NCI), Extension Service and Research Stations, for the 2001-03 biennium, is $51.9 million, an increase of $4.6 million or 9.9% from the current 1999-01 adjusted appropriation. When salary and benefit adjustments ($2.6 million) are taken into account, the state general fund support increase over 1999-01 for the operations of UGPTI, NCI, Extension Service and Research Stations is $2.0 million.

Thus, excluding the $11.3 million from the general fund for capital construction bond payments, the total recommended state general fund appropriation for all entities of the North Dakota University System (including major capital projects) for the 2001-03 biennium, is $408.1 million, an increase of $31 million or 8.2% more than the 1999-01 adjusted appropriation. Excluding the salary and benefit adjustments and salary initiative, the increase is $9.9 million for the University System.

All tuition and local fund income for the campuses and the UND School of Medicine and Health Sciences was removed from the appropriation process in the 2001-03 executive recommendation. In the campus budgets, only "other funds" associated with major capital projects are appropriated for 2001-03. This is consistent with the recommendations of the Roundtable.

North Dakota University System
2001-03 Executive Budget Recommendation By Funding Source
(In Millions of Dollars)

	2001-03 Executive Recommendation 1/			1999-01 Adjusted Appropriation 1/			Recommended Change to 1999-01		
	General Fund	*Income*	*Total*	*General Fund*	*Income*	*Total*	*General Fund*	*Income*	*Total*
11 Campuses and NDUS Office/Grants	$325.8	$48.3	$374.1	$298.6	$183.1	$481.7	$27.2 (+9.1%)	$-134.8 (-73.6%)	$-107.6 (-22.3%)
Related entities	30.3	1.1	31.4	31.2	12.1	43.3	-.9 (-2.9%)	-11.0 (-90.9%)	-11.9 (-27.5%)
Subtotal	**$356.1**	**$49.4**	**$405.5**	**$329.8**	**$195.2**	**$525.0**	**$26.3 (+8.0%)**	**$-145.8 (-74.7%)**	**$-119.5 (-22.8%)**
UGPTI, NCI, Extension & Research	52.0	61.4	113.4	47.3	49.5	96.8	4.7 (+9.9%)	11.9 (+24.0%)	16.6 (+17.1%)
TOTAL	**$408.1**	**$110.8**	**$518.9**	**$377.1**	**$244.7**	**$621.8**	**$31.0 (+8.2 %)**	**$-133.9 (-54.7%)**	**$-102.9 (-16.5%)**

NOTE: 1/ These amounts include major capital projects, but exclude capital construction bond payments that were appropriated for the first time in 2001-03.

BOARD OF HIGHER EDUCATION PRIORITIES INCLUDED IN NEEDS BASED BUDGET REQUEST:

All entities of the North Dakota University System prepared "hold-even" budget requests, which included no increase in general funds over the adjusted 1999-01 general fund appropriation (excluding one-time adjustments made by OMB). In addition, all entities prepared an optional needs-based budget, based on priorities set by the State Board of Higher Education. The following (page 3) is a schedule of the SBHE needs-based budget request, compared to the amount included in the executive budget recommendation. The amounts that were included in the optional package (lines 13-22) were not prioritized, and are not listed in any priority order. Pages 4 and 5 provide additional details regarding lines 13-22.

In addition, the Board was instructed to request $4,595,474 in their optional package to support the statewide networking plan (page 3-line 29), and this request was funded in the executive recommendation. Of the total, $2,005,646 has been earmarked to connect IVN to the K-12 network and all other state agencies. Additional details can also be found on page 25 of this report.

North Dakota University System (All Entities, Including Extension & Research)
Comparison of 2001-03 Budget Request to Executive Recommendation (SB 2003, 2021 and 2023)

(In Millions)

	Budget Request			Executive Recommendation		
	(1) General Fund	(2) Other Funds	(3) Total Funds	(4) General Fund	(5) Other Funds	(6) Total Funds
1 1999-01 Adjusted Appropriation	$ 379.6	$ 257.5	$ 637.1	$ 379.6	$ 257.5	$ 637.1
2 Less Non-NDUS Entities (STTC, CII & PPB)	(2.5)	(0.8)	(3.3)	(2.5)	(0.8)	(3.3)
3 1999-01 Adjusted Appropriation, Excluding Non-NDUS Entities	377.1	256.7	633.8	377.1	256.7	633.8
Increase (Decrease) in Hold-Even Budget:						
4 –Costs of Operating Inflation, Utilities Increases, and Continuation of 2000-01 Salary Increases	15.1		15.1			-
5 –Amount Funded From Continuation of 2000-01 Tuition Increase	(6.0)	6.0	-			-
6 –Amount Funded Internally	(9.1)		(9.1)			-
7 –1999-01 One-time Funding for Infrastructure	(1.4)		(1.4)	(1.4)		(1.4)
8 –1999-01 Major Capital Projects and General Fund Carryover	(10.1)	(38.4)	(48.5)	(10.1)	(38.4)	(48.5)
9 –2001-03 Major Capital Projects	2.6	90.0	92.6	3.7	47.1	50.1
10 –Other Net Increase in Other Funds		4.3	4.3		5.2	5.2
11 2001-03 Hold-Even Budget Request	368.2	318.6	686.8	369.3	270.6	639.9
12 Tuition Revenue Excluded in Exec Recommendation					(160.6)	(160.6)
Optional Package:						
Restoration of Net Costs to Continue Internally Funded in the Hold-Even Budget	9.2	(0.4)	8.8	0.5		0.5
14 Technology Applications/Access/Networking	8.2		8.2	1.4		1.4
15 Institutional Innovation ($2.5 M funded in Campus-Based Programs Block Grant)	6.0		6.0	2.5		2.5
16 Public Agenda & Collaborative Initiative Block Grant (Bd Initiative Block Grant Enhance)	5.0		5.0	0.6		0.6
17 Equity/Special Needs Increase ($2.5 M funded in Campus-Based Programs Block Grant)	4.2		4.2	2.5		2.5
18 Capital Improvements Increase	5.0	0.2	5.2	(0.3)		(0.3)
19 Student Financial Aid Enhancements	2.3	0.2	2.5	1.3	0.2	1.5
20 EPSCoR-Like Research Programs Increase	4.2		4.2	1.5		1.5
21 Disabled Student Services Appropriation Increase	0.3		0.3			-
22 Agriculture Initiatives	4.3		4.3	2.0		2.0
23 Subtotal - Optional Adjustments	48.7	-	48.7	12.0	0.2	12.2
24 Amount Funded from Tuition Increase	(11.3)	11.3	-			-
25 Total Optional Adjustments	37.4	11.3	48.7	12.0	0.2	12.2
26 Total Request Before Statewide Networking & Comp Pkg	$ 405.6	$ 329.9	$ 735.5	$ 381.3	$ 110.2	$ 491.5
27 Increase From 1999-01 Adj Approp, Excluding Non-NDUS Entities	$ 28.5	$ 73.2	$ 101.7	$ 4.2	$ (146.5)	$ (142.3)
28 Percent Increase	7.6%	28.5%	16.0%	1.1%	-57.1%	-22.5%
29 Statewide Networking Plan Costs	$ 4.6	$ -	$ 4.6	$ 4.6	$ -	$ 4.6
30 Adjusted Increase From 1999-01	$ 33.1	$ 73.2	$ 106.3	$ 8.8	$ (146.5)	$ (137.7)
31 Percent Increase, including Statewide Networking	8.8%	28.5%	16.8%	2.3%	-57.1%	-21.7%
Estimated Cost for 2001-03 Compensation Package Recommended by SBHE						
3% Per Year Salary Increase, Plus Cost of Funding Salaries at 80% of Regional Average ($14.3 M included in Campus-Based Programs Block Grant)	$ 29.1		$ 29.1	$ 16.4	1.0	$ 17.4
33 Estimated Health Insurance Premium Increases	3.0		3.0	5.7	0.4	6.1
34 Total Estimated Cost of SBHE's Recommended Compensation Package	$ 32.1		$ 32.1	$ 22.1	$ 1.4	$ 23.5
35 Total Increase, Including 2001-03 Compensation Package	$ 65.2	$ 73.2	$ 138.4	$ 30.9	$ (145.1)	$ (114.2)
36 Percent Increase From 1999-01	17.3%	28.5%	21.8%	8.2%	-56.5%	-18.0%
37 Total Request/Exec Recom, Excluding Capital Construction Bond Payments	$ 442.3	$ 329.9	$ 772.2	$ 408.0	$ 111.6	$ 519.6

Note: Local funds and capital construction bond payments have been excluded from all totals.

1. **Restoration of Net Costs to Continue-Internally Funded in Hold-Even Request - $9.2 million (Line 13):** In the hold-even budget request, the entities of the North Dakota University System (NDUS) had to reallocate funds and/or generate additional income to absorb the cost to continue operations. This includes costs to continue 2000-01 salary increases, projected utility cost increases, and operating inflation, totaling $15.2 million for the NDUS. The campuses indicated that they would partially fund these costs with the additional tuition income, estimated at approximately $6 million, that will become available during the 2001-03 biennium from the continuation of the 2000-01 tuition rate increases and continuation of additional tuition as a result of increased enrollments. This left an additional $9.2 million of costs that the NDUS entities were required to fund internally through reductions and reallocations in the hold-even budget requests. The Board requested that the $9.2 million be funded with new state funds. ***(Executive budget includes $.5 million)***

2. **Technology Applications/Access/Networking - $8.2 million (Line 14):** Almost one-half, or $4.0 million, of the Board's $8.2 million request was allocated to the campuses for their technology priorities, while the remaining $4.2 million was requested in a block grant by the Board for System priorities. The campus requests ($4.0 million) were to fund individual campus technology enhancements, including information technology (IT) infrastructure, IT support positions, expanding distance education, equipment replacements/upgrades, etc. The $4.2 million that was included in the request of the NDUS Office was to address several areas. Federal funding for Internet II will be expiring at the end of this biennium, and $1.9 million is needed to continue this service. Although not sufficient to fund all of the following needs, the remaining funds would help to address some necessary "system" technology enhancements including desktop video, on-line course management software, phase II of a data warehouse project and replacement of PALS software for the ODIN (on-line library) system. The current software vendor will be discontinuing support of the current PALS software tentatively in July 2004, and the replacement cost is estimated at $2 million. ***(Executive budget includes $1.2 million in the NDUS Office-Campus Based Programs Block Grant for Internet II costs and $217,020 for increased equipment lease costs as a result of the rollout of the statewide network. Nothing is specifically included in the Executive Budget for the campuses.)***

3. **Institutional Innovation - $6 million (Line 15):** This is equivalent to a 1.9% increase in general funds for the campuses, Med School, Forest Service and NDUS Office, and would be used to fund new programs and initiatives that are consistent with the recommendations of the Roundtable. These new programs and initiatives would address issues related to economic development, quality and access. ***(Executive Budget includes $2.5 million in the Campus-Based Programs Block Grant to be allocated to the campuses for new program and initiative start-up costs)***

4. **Public Agenda & Collaborative Initiative Block Grant - $5 million (Line 16):** This request is consistent with the Bush Report recommendations, which called for the Board to have a pool of discretionary funds for its own set of System priorities. It is also consistent with the goal of the Roundtable, which called for "a high quality, more responsive, equitable, flexible, accessible, entrepreneurial and accountable University System." Recommendation #7 of the Funding and Rewards Cornerstone recommended that the Legislature: a. Provide a lump sum general fund base appropriation to the Board and/or to the institutions; b. Provide a lump sum appropriation to the Board for the Board to invest in specific strategies to promote the agenda outlined in this report and also of the State. ***(Executive budget includes an increase of $625,000 to the Contingencies & Board Initiatives Block Grant)***

5. **Equity/Special Needs Increase - $4.2 million (Line 17):** The Funding & Rewards Cornerstone of the Roundtable report recommended a funding mechanism structured around three primary budgetary components, including base funding, incentive funding and asset funding. The adequacy of the base funding for each institution is measured by comparison to other external benchmarks, i.e. peer institutions in other states. ***(Executive budget includes $2.5 million in the Campus-Based Programs Block Grant, to be allocated to the campuses to address parity and equity issues)***

6. **Capital Improvements Increase - $5 million (Line 18):** The Board's six-year plan calls for funding capital improvements at 1.5% of plant value per year, by 2007-09. This $5 million increase would make progress towards this goal, and would bring the funding to .6246% of plant value per year for all campuses, and was requested in the individual optional packages of the campuses. ***(Executive budget includes a decrease of $.3 million in capital improvements, which funds the campuses at an average of .36% of plant value per year)***

7. **Student Financial Aid Enhancements - $2.3 million (Line 19):** This increase would be used to support additional grants, scholarships and slots in student financial aid and access programs. ***(Executive budget includes an increase of $1.3 million in the Student Grant Programs Block Grant)***

8. **EPSCoR-Like Research Programs Increase - $4.2 million (Line 20):** An increase of $4.2 million for state matching on EPSCoR-like programs, plus nonstate match, could generate (at a minimum) over $5 million more per biennium, in additional federal funding. The current funding level of approximately $2 million/biennium, has been static since 1992. Current information suggests that several federal agencies will be increasing their funding for EPSCoR (and EPSCoR-like) programs, and that North Dakota will have an opportunity to increase its research infrastructure. However, North Dakota will be unable to apply for these increased available federal funds without an increase in state matching funds. ***(Executive budget includes an increase of $1.5 million in the Campus-Based Programs Block Grant)***

9. **Disabled Student Services Increase - $300,000 (Line 21):** The number of disabled students on campuses continue to increase, and so do the cost of services. These funds are only used to cover the unexpected costs of late enrollments that were not anticipated by the campuses in earlier budget planning. The current funding level of $26,560 is woefully inadequate, compared to the needs of the system. A $300,000 increase would provide additional funds to respond to the costs of meeting the educational needs of the disabled. ***(Executive budget includes an increase of $25,000 in the Campus-Based Programs Block Grant)***

10. **Agriculture Initiatives - $4.3 million (Line 22):** This total includes $200,000 for the Upper Great Plains Transportation Institute to develop the North Dakota Biennial Strategic Transportation Analysis Program. The remaining $4.1 million was recommended by the State Board of Agricultural Research and Education (SBARE), and included $2.2 million for enhancements to the core salary and operating budgets of the Extension Service, Research Stations and Northern Crops Institute, and another $1.9 million for specific high priority initiatives that were prioritized and ranked by SBARE. ***(Executive budget includes an increase of $2 million for enhancements to core programs)***

Major Proposed Changes Included in 2001-03 Executive Recommendation:

- The executive recommendation includes a general fund increase of $30.9 million (excluding bond payments) or 8.2% for the North Dakota University System (including agriculture)—7.97% for the NDUS campuses, Medical School, Forest Service and NDUS Office, and 9.85% for Upper Great Plains Transportation Institute, Northern Crops Institute, Extension and Research Stations;

- For the first time, the NDUS budget will include $11.3 million (general fund) for capital construction bond payments, previously included in the Industrial Commission budget. Excluding this increase, higher education accounts for 20.9% of the state general fund executive budget recommendation, compared to 20.6% in the 1999-01 biennium.

- 68% of the general fund increase is needed to cover increased health insurance costs ($5.7 million) and to fund salary increases of 3% in 2001-02 and 2% in 2002-03 ($15.3 million). Total = $21.0 million increase.

- The executive budget provides funds for a 3% average salary increase in 2001-02 and average 2% in 2002-03 for state employees, with a required $35 per month or $420 per year minimum. Agencies are permitted to internally reallocate another one percent in 2002-03 to provide a total 3% increase both years of the biennium.

- State employee health insurance premium costs increased $710 per employee per year or a 16.84% increase. Employees will also simultaneously see an increase in their co-payments and deductibles. Total annual premiums paid by the state for family coverage for each employee will be $4,925 per year in 2001-03.

- The executive budget includes $5,076,000 in state bonding for the Old Main Renovation project at Minot State, the SBHE's #1 priority project. The budget requires $2,774,000 million in local matching funds (Board requested $5.6 million state bonds and $2.2 million local). Other projects on the Board's priority list that were funded from the state general fund are as follows: NDSCS-infrastructure $2,110,000; VCSU-boiler $850,000; MaSU-steamline replacement $510,000; MiSU-BC-Thatcher Hall electrical upgrade $75,000; and Langdon Headquarters $150,000 state and $510,000 local.

- The executive recommendation includes the following proposals which are consistent with the Roundtable expectations and recommendations:
  - ♣Removes all income, including tuition, from the appropriation process;
  - ♣Reduces the number of line items in the campuses and NDUS office budget (See analysis on pages 28-37);
  - ♣Provides partial block-grant funding to the SBHE;
  - ♣Increases SBHE discretionary funds to support Board & statewide initiatives +$625,000 (Total=$2.4 million);
  - ♣Funds investments in technology (statewide network, Internet II, distance learning) +$6.0 million;
  - ♣Provides $1.5 million additional state matching funds for research (EPSCoR-like programs);
  - ♣Provides $1.3 million general fund increase in student financial aid and access programs;
  - ♣Provides $2.5 million for campus parity and equity;
  - ♣Provides $2.5 million for new program and initiative start-up costs;
  - ♣Provides $1.0 million for discretionary salary equity adjustments;
  - ♣Provides $4.3 million state bonding for energy improvement programs at UND & NDSU

- Deficiency appropriation funded at UND and NDSU for flood-related costs - $4.7 million from the state general fund;

- Funds investment of $20.0 million in state general funds to support the statewide Enterprise Resource Plan {ERP} in the Information Technology Department budget. This provides partial funding for the replacement of all student records, finance, human resource and payroll software systems in the NDUS, state government and K-12. It will provide enhanced capabilities to support distance learning, student services and management information systems.

- The executive recommendation did not include funding for the cost to continue the 2000-01 salary increases, inflation & utilities increases, etc. ($14.8 million not funded);

- Estimated enrollment increases, continuation of the 2000-01 tuition rate increases, and additional annual tuition rate increases in the 2001-03 biennium are estimated to generate an additional $16.2 million in new tuition revenues. The annual increases submitted by the SBHE in the needs-based budget request were as follows: $150 at UND/NDSU; $100 at MiSU; $85 at VCSU, DSU, MaSU; and $50 at the two-year campuses.

Faculty and Staff Compensation Adjustments:

In March 2000, the Board accepted the report of the NDUS Compensation Committee (consisting of representatives from the NDUS Office, SBHE, faculty, staff and administration representatives from institutions within the NDUS), which recommended a minimum 3% annual salary increase for faculty and staff for 2001-03. The committee also recommended, and the Board endorsed, a request that would move faculty and staff salaries to at least 80% of the regional average. The total estimated cost of this proposal was $29.1 million. The executive recommendation includes an average 3% salary increase in 2001-02 and an average 2% increase in 2002-03, with a required $35 per month or $420 per year minimum. Agencies are permitted to internally reallocate another one percent in 2002-03 to provide a total 3% increase both years of the biennium. In addition, approximately $1 million was included in the executive recommendation for the Board to allocate for salary equity adjustments. Taking into consideration the $1 million equity funding, the NDUS would need another $8.1 million to fund faculty and staff salaries at a minimum of 80% of the regional average.

The executive recommendation includes a block grant in the NDUS Office budget for campus-based programs, which includes $14.4 million to fund the 3% and 2% salary increases ($13.4 million) and $1 million for salary equity adjustments for the campuses, Med School and NDUS Office. The adjustments to fund the health insurance increases have been included in the individual budgets of all entities.

Enrollment Levels:

The campuses are projecting that during the 2001-03 biennium, they will serve 30,222 full-time equivalent or 38,935 headcount students in degree-programs annually. This is a projected increase of 529 full-time equivalent and 1,147 headcount students from the 1999-01 revised estimates.

Capital Assets:

The executive recommendation includes two line items, "operations" and "capital assets," for the campuses and Med School. Operations includes salaries, operating and equipment funding. The capital assets line consists of extraordinary repairs and major capital assets, and a detailed schedule is provided on page 19. The executive recommendation included approximately $7.1 million for extraordinary repairs, which is a decrease of $1.8 million from the 1999-01 biennium. This decrease includes the removal of $1,465,000 for 1999-01 "one-time" funding for infrastructure needs at NDSU ($665,000), DSU ($300,000) and MiSU ($500,000). In addition, approximately $300,000 was reduced from base "hold-even" budgets in the 2001-03 executive recommendation, and an additional $263,000 of base funding was reallocated between campuses. The $7.1 million provides an average funding level of .36% of plant and infrastructure value per year for the campuses. It provides a 230 year replacement cycle for all state facilities located on the campuses, excluding infrastructure.

The 2001-03 budget recommendation for the NDUS includes approximately $50.8 million for major capital projects, and a detailed schedule by campus can be found on pages 20-21. The funding sources recommended for these projects are: $3,695,000 in general funds, $9,363,133 in state bonding and $37,719,820 in other and federal funds. State bonded projects include $5,076,000 for the Old Main Renovation at Minot State University (also requires $2,774,000 in local matching funds) and energy improvement programs at UND ($3,990,785) and NDSU ($296,348). In addition, section 7 of Senate Bill (SB) 2003 states, "North Dakota State University shall establish a reserve fund of $4,500,000, or so much of this sum as may be necessary, from non-state general fund sources, to be used to pay for all utility and repair costs for the college of business building to be constructed at North Dakota State University. The reserve fund must be established before the facility is completed."

Income:

All revenue, including tuition and local funds, have been removed from the budgets of the campuses and Med School in the 2001-03 executive recommendation. This is consistent with the expectations and recommendations of the Higher Ed Roundtable. The only revenue that has been included in the campus budgets relates to major capital projects.

The budgets for the Forest Service, Upper Great Plains Transportation Institute, Northern Crops Institute, Extension and Research Stations do include appropriated revenue amounts for grants, contracts and miscellaneous other revenue.

North Dakota University System Office:

The 2001-03 executive recommendation provides for a major change in line items for the NDUS Office. Previously, the budget has included approximately 18 separate line items for the various programs that are included in this budget. The 2001-03 executive recommendation condenses the number of lines to five, which include System Governance, Student Grant Programs, Campus-Based Programs, Contingencies and Board Initiatives and Capital Bond Payments. A comparison to the 1999-01 biennium can be found on page 28.

System Governance (Block Grant) - The 2001-03 executive budget recommendation includes $3,663,482 for system governance. This will fund the salaries, operating and equipment needs of the Board NDUS office staff, the College Technical Administration (CTEC) and the State Approving Agency ($161,333 federal funds).

Student Grant Programs (Block Grant) - The executive recommendation includes $6,623,559 to fund the student financial assistance grants, scholars, North Dakota Indian scholarship and professional student exchange programs. The funding sources include $5,071,409 general funds, $214,000 federal funds and $1,338,150 special funds. The executive recommendation includes a $1.3 million general fund increase for these student financial aid and access programs.

Campus-Based Programs (Block Grant) – Approximately $52 million is provided for pass-through funds for EPSCoR, Title II grants ($534,000 federal funds), disabled student services, information technology management (CIO), technology, and equity and special needs.

The technology portion of this line item includes approximately $22.2 million for base funding for the IVN, ODIN, HECN and the UND/NDSU campus computer centers, $1.2 million for Internet II costs and $4.6 million for the statewide networking plan (of which $2 million has been earmarked to connect IVN to the K-12 network and all other state agencies).

The executive recommendation for campus-based programs also includes approximately $13.3 million for the salary compensation package for the campuses, Med School and NDUS Office. In addition, $1 million is included for a discretionary salary equity adjustments.

The recommendation also included the following increases: $1.5 million for EPSCoR; $2.5 million for campus parity and equity; $2.5 million for campuses for new program and initiative start-up costs.

Contingencies and Board Initiatives (Block Grant) – This includes $2,790,106 for contingencies, capital improvements emergencies and board initiatives. The funds will be used to fund capital improvement emergencies that were unforeseen by the campuses at the time they prepared their budget requests. This block grant will also be used to address SBHE and statewide priorities, consistent with the recommendations of the Roundtable. The executive recommendation included an increase of $625,000 for board initiatives.

Capital Bond Payments - For the first time, the NDUS budget will include $12.73 million ($11.26 million general fund and $1.47 million other funds) for capital construction bond payments, previously included in the Industrial Commission budget.

Deficiency Appropriation

House Bill 1026 includes deficiency appropriations of $3,115,908 for UND for costs incurred as a result of the spring 1997 flooding, and $1,609,200 for NDSU for costs incurred as a result of the summer 2000 flooding.

NORTH DAKOTA UNIVERSITY SYSTEM
HISTORY OF LEGISLATIVE APPROPRIATIONS
Excludes Major Capital Projects & Capital Bond Payments
(In millions of dollars)

		(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)	(9)	(10)	(11)	(12)	(13)	(14)	(15)
		NDUS Office/Grants and Block Grants 1/			Extension and Experiment			Medical School			Other Entities 2/			Total All Entities		
	Years	General Fund	Income 5/	Total	General Fund	Income	Total	General Fund	Income 4/	Total	General Fund	Income	Total	General Fund	Income	Total
A.	2001-03 Executive Recommendation 6/	$322.25	$2.25	$324.50	$51.77	$60.51	$112.28	$28.57	$0.00	$28.57	$1.77	$0.91	$2.68	$404.36	$63.67	$468.03
B.	2001-03 Budget Request 3/	$324.12	$167.11	$491.23	$52.29	$58.53	$110.82	$29.46	$13.22	$42.68	$1.72	$0.91	$2.63	$407.59	$239.77	$647.36
C.	1999-01 Adjusted Appropriation 3/	$291.85	$159.28	$451.13	$47.27	$49.34	$96.61	$29.50	$11.11	$40.61	$1.70	$0.90	$2.60	$370.32	$220.63	$590.95
D.	1997-99 Adjusted Appropriation 3/	$273.59	$149.04	$422.63	$44.08	$44.62	$88.70	$27.66	$54.19	$81.85	$1.64	$0.81	$2.45	$346.97	$248.66	$595.63
E.	1995-97 Adjusted Appropriation 3/	$240.61	$138.65	$379.26	$39.47	$38.96	$78.43	$25.84	$49.64	$75.48	$1.34	$0.82	$2.16	$307.26	$228.07	$535.33
F.	1993-95 Adjusted Appropriation 3/	$226.18	$144.09	$370.27	$36.96	$38.69	$75.65	$25.36	$35.78	$61.14	$1.32	$26.33	$27.65	$289.82	$244.89	$534.71
G.	1991-93 Adjusted Appropriation	$235.95	$131.65	$367.60	$38.32	$36.75	$75.07	$27.42	$31.32	$58.74	$1.40	$25.38	$26.78	$303.09	$225.10	$528.19
H.	1981-83 Appropriation	$193.97	$46.65	$240.62	$30.27	$26.65	$56.92	$22.61	$17.36	$39.97	$1.62	$23.01	$24.63	$248.47	$113.67	$362.14

NOTES:

1/ NDUS Office/Grants includes block Grants for System Governance, Student Grant Programs, Campus-Based Programs and Contingencies and Board Initiatives.

2/ Other Entities includes Forest Service and, prior to 1995-97, the UND-Rehab. Hospital. Does not include the State Toxicologist.

3/ The amounts do not include major capital projects. Major capital projects included in the 2001-03 budget request total $2.55 million general funds and $90.03 million other funds, for a total of $92.58 million.
The 2001-03 executive recommendation includes major capital projects totaling $50.78 million, consisting of $3.70 million general fund and $47.08 million other funds.

4/ In the 1999-01 biennium, grants and contracts were included as "local" funds rather than "appropriated" funds for the Medical School, as they had been reported previous to that time. No income is included in the 2001-03 executive recommendation.

5/ The 2001-03 executive recommendation excludes all income from the campuses and Medical School.

6/ The amounts in line A, columns 1-3 do not include $12.73 million for capital bond payments that were included in the 2001-03 executive recommendation, consisting of $11.26 million general funds and $1.47 million other funds.

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North Dakota University System
Summary of General Fund Increases
Per 2001-03 Executive Recommendation
(SB 2003 and SB 2021)

	(1) Campuses, Med School, Forest Service & NDUS Office [SB 2003]	(2) UGPTI, NCI, Extension & Research [SB 2021]	(3) Total
1999-01 Adjusted General Fund Appropriation, Including Major Capital Projects and General Fund Carryover	$ 332,348,234	$ 47,267,001	$ 379,615,235
Less 1999-01 Appropriation for Non-NDUS Entities:			
Skills Technical Training Center 1/	(747,500)		(747,500)
Center for Innovation in Instruction 2/	(349,583)		(349,583)
Prairie Public Broadcasting 2/	(1,407,513)		(1,407,513)
	(2,504,596)	-	(2,504,596)
1999-01 Adjusted General Fund Appropriation, Excluding Non-NDUS Entities	329,843,638	47,267,001	377,110,639
2001-03 Executive Recommendation, Excluding General Fund Bond Payments 2/	356,136,425	51,924,395	408,060,820
Increase, Excluding Bond Payments	**$ 26,292,787**	**$ 4,657,394**	**$ 30,950,181**
Percent Increase	**7.97%**	**9.85%**	**8.21%**
Plus 2001-03 General Fund Bond Payments 3/	11,261,502		11,261,502
Total General Fund Increase	$ 37,554,289	$ 4,657,394	$ 42,211,683

1/ The 99-01 biennium was the last biennium STTC received a general fund appropriation. These funds have been treated as a pass-through appropriation in the NDUS budget.

2/ The 2001-03 appropriations for these entities were moved to the ITD appropriation.

3/ This appropriation for these general fund bond payments was previously included in the Industrial Commission appropriation. The executive recommendation for 2001-03 appropriates these funds to the North Dakota University System.

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NORTH DAKOTA UNIVERSITY SYSTEM
SUMMARY OF GENERAL FUND INCREASE/(DECREASE)
(SB 2003 - CAMPUSES, NDUS OFFICE, MED SCHOOL AND FOREST SERVICE)

General Fund:		
1999-01 Adj GF Appropriation	$	332,348,234
Less 99-01 Appropriations for Non-NDUS Entities, Including Skills Technical Training Center, Center for Innovation and Prairie Public Broadcasting		(2,504,596)
1999-01 Adj GF Appropriation, Excluding Non-NDUS entities		329,843,638
Adjustments by OMB to establish hold-even budget base:		
99-01 Major capital projects		(4,236,386)
99-01 One-time infrastructure		(1,465,000)
99-01 GF Carryover		(3,295,272)
Restore capital repairs-Emergency Clause		122,621
Error in SIBR		(92,009)
Total Adjustments to Base		(8,966,046)
General fund - Hold-even base request		320,877,592
Increases (Decreases) to hold-even base:		
Correct error in SIBR		92,009
Cost to continue		61,468
Change in extraordinary repairs appropriation		(36,689)
Flood insurance-NDSU		210,000
2001-03 Capital projects		3,545,000
Remove UND infrastructure funding		(2,555,000)
Financial aid increases (NDUS Student Grant Programs Block Grant Increase)		1,300,000
EPSCoR increase (NDUS Campus-Based Programs Block Grant Increase)		1,500,000
WICHE/MHEC increase (NDUS System Governance Block Grant Increase)		46,000
Disabled Student Service increase (NDUS Campus-Based Programs Block Grant Increase)		25,000
Board initiative increase (NDUS Contingencies & Board Initiatives Block Grant Increase)		625,000
Equity/special needs increase (NDUS Campus-Based Programs Block Grant Increase)		5,000,000
Technology-HECN Netwkg, ITD costs increase (NDUS Campus-Based Programs Block Grant Increase)		1,417,020
Technology-Statewide Netwkg Plan (NDUS Campus-Based Programs Block Grant Increase)		4,595,474
Health insurance increase		5,020,530
Salary increases (NDUS Campus-Based Programs Block Grant Increase)		14,413,021
Total Executive Recommendation, Excluding Capital Construction Bond Payments		366,136,426
Net Increase From 1999-01, Excluding Capital Construction Bond Payments	$	26,292,787
Capital Construction Bond Payments		11,261,502
Total Executive Recommendation, Including Capital Construction Bond Payments	$	367,397,927
Additional Information:		
Additional Tuition-Continuation of 00-01 rate increase	$	3,630,832
Additional Tuition-From 01-03 rate increase in budget request		11,303,471
Additional Tuition-From estimated enrollment increases		1,279,469
Estimated Increase in Tuition Revenue*	$	16,213,772

***The campuses are estimating increases of approximately $16.2 million in tuition revenue for the 2001-03 biennium, from which they will have to fund $14.2 million of the estimated cost to continue the 2000-01 salary increases, inflation and utility increases (which were not funded in the executive recommendation), plus additional costs associated with increased enrollments.**

g:\...\cel\fil\01-03 exec recom\locr from adj 99-01 GF approp\campus summary

NORTH DAKOTA UNIVERSITY SYSTEM
DETAIL OF GENERAL FUND INCREASES/(DECREASES)
(SB 2003 - CAMPUSES, NDUS OFFICE, MED SCHOOL AND FOREST SERVICE)

	(1) BSC	(2) LRSC	(3) WSC	(4) UND	(5) NDSU	(6) NDSCS	(7) DSU	(8) MaSU	(9) MiSU	(10) VCSU	(11) MiSU-BC	(12) Subtotal	(13) NDUS Office	(14) Med School	(15) Forest Service	(16) Total
General Fund:																
1999-01 Adj GF Approp	$ 15,347,841	$ 5,192,441	$ 6,488,188	$ 86,136,882	$ 75,372,071	$ 25,711,881	$ 14,388,883	$ 5,888,122	$ 26,526,384	$ 11,718,843	$ 4,227,380	$ [illegible]	$ 12,388,887	$ 29,488,388	$ 1,888,448	$ [illegible]
Less Non-NDUS Entities:																
99-01 Skills Tech Training Center					(747,988)							(747,988)				[illegible]
99-01 Prairie Public Broadcasting																[illegible]
99-01 Center for Innovation										(245,883)		(245,883)	(405,000)			[illegible]
	-	-	-	-	(747,988)	-	-	-	-	(245,883)	-	(1,[illegible])	(405,000)	-	-	[illegible]
1999-01 Adj GF Approp, Excluding Non-NDUS Entities	15,347,841	5,192,441	6,488,188	86,136,882	74,626,171	25,711,881	14,388,883	5,888,122	26,526,384	11,388,880	4,227,380	[illegible]	11,[illegible]	29,488,388	1,888,448	[illegible]
Adjustments by OMB to establish hold-even budget base:																
99-01 One-time leg funding	(40,700)	(40,000)	(38,000)		(400,000)	(405,737)	(38,200)		(105,000)			(1,132,700)	1,188,387			-
99-01 Major cap'tal projects	(340,004)	(408,544)				(2,[illegible])		(622,100)		(487,100)	(88,000)	(4,[illegible])			(17,[illegible])	[illegible]
99-01 One-time infrastructure					(665,000)		(300,000)		(800,000)			(1,[illegible])				[illegible]
99-01 GF Carryover	(114,338)	(38,383)	(348,884)	(8,438)	(88,748)	(8,848)	(737,000)	(136,003)	(611,720)	(38,000)	(132,700)	(2,[illegible])	[illegible]	(88)	(88,[illegible])	[illegible]
99-01 Ed Tech Allocations		(15,140)	(38,000)	(75,488)		(100,000)					(118,138)	(388,787)	338,787			-
Unfilled Reallocation				1,277,834								1,277,834		(1,277,000)		-
Restore extra-repairs-Error Choose					128,000			2,827				132,[illegible]				[illegible]
Error in SBIR & rounding	(82,808)			(1)	(1)					(2)		([illegible])				[illegible]
Total Adj to Base	(485,884)	(304,077)	(386,234)	1,195,711	(1,828,[illegible])	(2,570,782)	(1,087,000)	(1,085,648)	(1,576,728)	(617,864)	(318,843)	(8,337,370)	738,383	(1,277,000)	(88,[illegible])	[illegible]
General fund - hold-even request	14,861,837	4,888,364	6,088,884	87,331,304	73,408,888	23,141,888	13,301,838	7,888,874	24,888,172	10,943,888	3,911,723	278,384,884	12,088,380	28,382,888	1,888,380	[illegible]
Increases (Decreases) to hold-even base:																
Correct error in SBIR & rounding	82,808			2	1	1						88,[illegible]				[illegible]
Cost to continue												-				[illegible]
Change in extraord rep	(181,700)	88,821				137,818		42,818	(137,818)		22,333	(38,[illegible])			48,488	[illegible]
Fixed increases					210,000							278,[illegible]				[illegible]
2001-03 Capital projects						2,118,000		838,000		888,000	78,000	3,545,[illegible]				[illegible]
Remove UND infrastructure funding				(2,[illegible])								(2,[illegible])				[illegible]
Pool HECN, IVN & ODIN				(12,478,782)	(8,748,183)							(22,228,[illegible])	22,228,[illegible]			[illegible]
Financial aid increase												-	1,300,000			-
EPSCoR increase												-	1,[illegible]			1,[illegible]
WICHE/MHEC increase												-	40,000			1,[illegible]
Student Sv't Svc increase													25,000			40,000
Board initiatives increase												-	435,000			25,000
Equity/special needs increase												-	4,[illegible]			[illegible]
Technology-HECN Hosting, ITD costs												-	1,447,[illegible]			[illegible]
Technology-Statewide Hosting Plan												-	4,[illegible]			[illegible]
Health increase	275,883	88,877	88,883	1,882,838	1,383,883	387,943	213,185	142,184	417,787	188,888	73,884	4,857,887	34,887	248,578	28,488	[illegible]
Salary increase												-	14,287,[illegible]		88,[illegible]	[illegible]
Total per Exec Recom, Excluding Capital Constr Bond Pmts	15,017,075	4,724,882	5,183,247	83,788,883	88,282,441	25,776,838	13,464,784	5,584,888	25,238,343	11,882,884	4,883,183	283,888,735	63,778,887	28,571,888	1,788,888	[illegible]
Net Increase (Decrease)	$ (288,888)	$ (468,378)	$ (222,941)	$ (12,337,919)	$ (8,383,738)	$ 84,777	$ (884,888)	$ (308,836)	$ (1,288,888)	$ 821,944	$ (144,418)	$ (24,888,318)	$ 88,847,788	$ (888,152)	$ 88,488	$ [illegible]
Capital Construction Bond Pmts												-	11,204,[illegible]			[illegible]
Total Exec Recom, Including Cap Constr Bond Pmts	$ 15,017,078	$ 4,724,882	$ 5,183,247	$ 83,788,883	$ 88,382,441	$ 25,776,838	$ 13,464,784	$ 5,584,888	$ 25,238,343	$ 11,882,884	$ 4,883,183	$ 283,888,735	$ 78,881,188	$ 28,571,888	$ 1,788,888	$ [illegible]

[illegible]

NORTH DAKOTA U[illegible]SITY SYSTEM
SUMMARY OF GENERAL & OTHER [illegible]D INCREASES/(DECREASES)
(SB 2021 - Upper Great Plains Transportation Institute [UGPTI], Northern Crops Institute [NCI], Extension & Research and Agronomy Seed Farm)

	(1) UGPTI	(2) NCI	(3) Extension	(4) Main Station	(5) Research Ctrs	(6) Agronomy Seed Farm	(7) Total
General Fund:							
1999-01 Adjusted General Fund Appropriation	$ 486,991	$ 668,579	$ 12,975,020	$ 26,076,382	$ 7,080,029	$ -	$ 47,287,001
Adjustments:							
99-01 GF Carryover				(103,864)			(103,864)
Total Adjustments to Base	-	-	-	(103,864)	-	-	(103,864)
General fund - Hold-over request	$ 486,991	$ 668,579	$ 12,975,020	$ 25,972,518	$ 7,080,029	$ -	$ 47,183,137
Core program increases		30,010	574,094	1,112,987	302,896		2,019,987
Decrease capital repairs				(243,500)			(243,500)
Costs to continue				146,840	33,174		180,014
Major capital projects-GF (Langdon)					150,000		150,000
Increase operating-Langdon facility					15,000		15,000
Health insurance increases	4,589	5,684	202,195	367,852	94,612	-	674,732
Salary increases	13,499	20,153	628,436	1,059,488	243,449		1,985,025
Total per Executive Recommendation	$ 505,079	$ 724,426	$ 14,379,745	$ 28,415,986	$ 7,869,160	$ -	$ 51,824,396
Incr/(Decr) from 99-01 Adjusted Appropriation	$ 18,088	$ 55,847	$ 1,404,725	$ 2,339,603	$ 839,131	$ -	$ 4,657,394
Analysis of Incr/(Decr) from 1999-01 Adj Appropriation:							
99-01 One-time funding:							
99-01 GF Carryover				(103,864)			(103,864)
	-	-	-	(103,864)	-	-	(103,864)
Compensation Package:							
Health insurance increases	4,589	5,684	202,195	367,852	94,612	-	674,732
Salary increases	13,499	20,153	628,436	1,059,488	243,449		1,985,025
	18,088	25,837	830,631	1,427,140	338,061	-	2,659,757
2001-03 Capital:							
01-03 Major Capital Projects-GF					150,000		150,000
	-	-	-	-	150,000	-	150,000
Other Increases/(Decreases):							
Core program increases		30,010	574,094	1,112,987	302,896		2,019,987
Decrease capital repairs				(243,500)			(243,500)
Increase operating-Langdon facility					15,000		15,000
Costs to continue				146,840	33,174		180,014
	-	30,010	574,094	1,016,327	351,070	-	1,971,501
Incr/(Decr) from 99-01 Adjusted Appropriation	$ 18,088	$ 55,847	$ 1,404,725	$ 2,339,603	$ 839,131	$ -	$ 4,657,394

NORTH DAKOTA U[illegible]SITY SYSTEM
SUMMARY OF GE[illegible]RAL & OTHER [illegible]ND INCREASES/(DECREASES)
(SB 2021 - Upper Great Plains Transportation Institute [UGPTI], Northern Crops Institute [NCI], Extension & Research and Agronomy Seed Farms)

Other Funds	(1) UGPTI	(2) NCI	(3) Extension	(4) Main Station	(5) Research Ctrs	(6) Agronomy Seed Farm	(7) Total
1999-01 Adjusted Other Funds Appropriation	$ 8,288,442	$ 408,074	$ 16,744,746	$ 20,556,867	$ 4,341,788	$ 1,202,338	$ 49,522,055
Adjustments:							
99-01 One-time funding	(288,000)			(90,000)	-	(90,000)	(468,000)
Increase ASPEN program	350,000						350,000
Increase ATAC program	150,000						150,000
Continue ND Bien Strategic Transp Analysis Pgm	288,000						288,000
Increase ND Bien Strategic Transp Analysis Pgm	252,218						252,218
Fund GF portion of cost to continue with special funds	9,427	12,840	265,476	302,820	98,616		689,179
Cost to continue gifts, grants & other fund activities		6,331	204,386	561,614	169,826		942,156
Estimated increase in gifts, grants & special funds		285,949	1,550,588	4,874,767	468,624	27,165	7,207,093
Major capital projects-OF (Langdon)					800,000		800,000
Major capital projects-OF (Carrington)					130,000		130,000
Major capital projects-OF (Agronomy Seed Farm)						105,000	105,000
Total Adjustments to Base	761,645	305,120	2,020,450	5,648,101	1,667,166	42,165	10,446,656
Other funds - Hold-over request	$ 7,050,087	$ 713,194	$ 18,765,205	$ 26,205,788	$ 6,008,954	$ 1,244,504	$ 59,967,712
Increase ND Bien Strategic Transp Analysis Pgm	200,000						200,000
Major capital projects-OF (Dix)					100,000		100,000
Adjustment to major capital projects request-OF (Langdon)					(290,000)		(290,000)
Health insurance increases	36,621	5,684	163,012	148,186	16,228	4,265	373,996
Salary increases	116,640	13,819	370,084	458,658	38,303	10,371	1,007,875
Total per Executive Recommendation	$ 7,383,348	$ 732,697	$ 19,298,301	$ 26,812,632	$ 5,873,485	$ 1,259,140	$ 61,359,583
Incr/(Decr) from 99-01 Adjusted Appropriation	$ 1,114,906	$ 324,623	$ 2,553,555	$ 6,255,845	$ 1,531,697	$ 56,801	$ 11,837,527
Analysis of Incr/(Decr) from 1999-01 Adj Appropriation:							
99-01 One-time funding:	(288,000)			(90,000)	-	(90,000)	(468,000)
	(288,000)	-	-	(90,000)	-	(90,000)	(468,000)
Compensation Package:							
Health insurance increases	36,621	5,684	163,012	148,186	16,228	4,265	373,996
Salary increases	116,640	13,819	370,084	458,658	38,303	10,371	1,007,875
	153,261	19,503	533,096	606,844	54,531	14,636	1,381,871
2001-03 Capital:							
01-03 Major Capital Projects-OF					740,000	105,000	845,000
	-	-	-	-	740,000	105,000	845,000
Other Incr/(Decr):							
Fund cost to continue with special funds	9,427	19,171	469,871	864,334	268,542		1,631,345
Estimated increase in gifts, grants & special funds		285,949	1,550,588	4,874,767	468,624	27,165	7,207,093
Other increases	1,240,218						1,240,218
	1,249,645	305,120	2,020,458	5,739,101	737,166	27,165	10,078,656
Incr/(Decr) from 99-01 Adjusted Appropriation	$ 1,114,906	$ 324,623	$ 2,553,555	$ 6,255,945	$ 1,531,697	$ 56,801	$ 11,837,527

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NORTH DAKOTA UNIVERSITY SYSTEM
SUMMARY OF OUTSTANDING EXTRAORDINARY REPAIR NEEDS
(Excludes Renovations and New Construction)
2001-03 Biennium
State Funds

Name	(1) Category 1 Health & Safety	(2) Category 2 ADA	(3) Category 3 Networking	(4) Category 3 Major Repairs	(5) Total Outstanding Needs-Categories 1-3	(6) 2001-03 Exec. Recom.	(7) Unfunded Needs Categories 1-3
BSC	$163,000	$350,500	$0	$1,739,450	$2,252,950	$250,000	$2,002,950
LRSC	54,617	23,500	66,500	106,000	250,617	74,831	$175,786
WSC	40,000	28,300	90,000	1,060,300	1,218,600	88,790	$1,129,810
UND	1,257,634	4,765,242	3,993,826	20,458,369	30,475,071	2,362,136	$28,112,935
NDSU	721,480	1,037,478	268,000	32,903,358	34,930,316	1,737,531	$33,192,785
NDSCS	1,186,000	83,000	1,038,000	14,287,140	16,594,140	2,883,500	$13,710,640
DSU	1,294,360	429,640	4,678,008	2,597,344	8,999,352	393,962	$8,605,390
MaSU	374,400	639,340	25,000	4,174,953	5,213,693	724,589	$4,489,104
MiSU	1,062,000	617,000	0	8,680,000	10,359,000	412,850	$9,946,150
VCSU	220,000	148,500	25,000	1,982,000	2,375,500	265,334	$2,110,166
MiSU-BC	16,194	437,800	0	678,000	1,131,994	187,663	$944,331
ND Forest Service	58,600	54,800	355,200	0	468,600	31,061	$437,539
TOTAL	$6,448,285	$8,615,100	$10,539,534	$88,666,914	$114,269,833	$9,412,247	$104,857,586

NOTES:
Category 3 (major repairs) consists of roofs, streets, sidewalks, parking lots, curb, gutter, mechanical systems, HVAC, heating plant and electrical projects.

Column 6 includes $6,717,247 extraordinary repairs, plus the following amounts that are included in the executive recommendation as major capital projects: NDSCS-$2,110,000 for electrical & steamline distribution and roof replacement; MaSU-$510,000 for steamline replacement; and MiSU-BC-$75,000 for Thatcher Hall & Central Heating Plan Electrical Upgrade. The outstanding needs of these projects are included in column 4. The executive recommendation also includes $415,300 for extraordinary repairs of the Main and Branch Research Centers.

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NORTH DAKOTA UNIVERSITY SYSTEM
CAPITAL ASSETS (Extraordinary Repairs and Major Capital Projects)

Institution	(1) 2001-03 Executive Recommendation		(3)	(4) 2001-03 Budget Request		(6)	(7) 1999-01 Adjusted Appropriation 1/		(9)	(10) 2001-03 Executive Recommendation Increase (Decrease) from 1999-01 Adjusted Appropriation		(12)
	Extraordinary Repairs	Major Capital Projects	TOTAL	Extraordinary Repairs	Major Capital Projects	TOTAL	Extraordinary Repairs	Major Capital Projects	TOTAL	Extraordinary Repairs	Major Capital Projects	TOTAL
BSC	$250,000	$0	$250,000	$790,360	$1,394,500	$2,184,860	$411,760	$698,684	$1,110,444	($161,760)	($698,684)	($860,444)
LRSC	74,831	0	74,831	102,254	784,472	886,726	99,774	994,544	1,094,318	(24,943)	(994,544)	(1,019,487)
WSC	88,790	0	88,790	145,533	524,616	670,149	88,790	4,500,000	4,588,790	0	(4,500,000)	(4,500,000)
UND	2,362,136	15,200,785	17,562,921	4,511,259	24,615,000	29,126,259	2,362,136	7,026,845	9,388,981	0	8,173,940	8,173,940
NDSU	1,737,531	22,047,168	23,784,699	3,033,831	40,595,920	43,629,751	2,282,531	10,665,000	12,947,531	(545,000)	11,382,168	10,837,168
NDSCS	773,500	3,110,000	3,883,500	1,089,611	7,217,583	8,287,194	635,885	2,000,000	2,635,885	137,615	1,110,000	1,247,615
DSU	393,962	0	393,962	439,592	6,781,155	7,220,747	693,962	3,204,588	3,898,550	(300,000)	(3,204,588)	(3,504,588)
MASU	214,589	510,000	724,589	271,495	719,950	991,445	171,671	920,485	1,092,156	42,918	(410,485)	(367,567)
MiSU	412,850	7,850,000	8,262,850	1,016,226	7,850,000	8,866,226	1,050,466	0	1,050,466	(637,616)	7,850,000	7,212,384
VCSU	265,334	850,000	1,115,334	408,714	850,000	1,258,714	265,334	497,052	762,386	0	352,948	352,948
MiSU-BC	112,663	75,000	187,663	125,124	75,000	200,124	90,130	128,000	218,130	22,533	(53,000)	(30,467)
Subtotal	6,686,186	49,642,953	56,329,139	11,913,999	91,408,196	103,322,195	8,162,439	30,635,198	38,797,637	(1,486,253)	18,907,785	17,541,532
Forest Service	31,061	140,000	171,061	32,501	140,000	172,501	31,061	48,480	79,541	0	91,520	91,520
NDSU-Main Research	415,300	0	415,300	658,800	0	658,800	658,800	90,000	748,800	(243,500)	(90,000)	(333,500)
Dickinson Research	0	100,000	100,000	0	0	0	0	0	0	0	100,000	100,000
Langdon Research	0	660,000	660,000	0	800,000	800,000	0	0	0	0	660,000	660,000
Carrington Research	0	130,000	130,000	0	130,000	130,000	0	0	0	0	130,000	130,000
Agronomy Seed Farm	0	105,000	105,000	0	105,000	105,000	0	90,000	90,000	0	15,000	15,000
Subtotal	446,361	1,135,000	1,581,361	691,301	1,175,000	1,866,301	689,861	228,480	918,341	(243,500)	906,520	663,020
Total	$7,132,547	$50,777,953	$57,910,500	$12,605,300	$92,583,196	$105,188,496	$8,852,300	$30,863,678	$39,715,978	($1,729,753)	$19,914,275	$18,204,522
General Fund	$ 7,132,547	$ 3,695,000	$10,827,547	$ 12,605,300	$ 2,555,000	$15,160,300	$ 8,771,239	$ 6,788,765	$ 15,560,004	($1,638,692)	($3,093,765)	($4,732,457)
State Bonding	0	9,363,133	$9,363,133	0	30,940,656	$30,940,656	0	3,707,500	$ 3,707,500	-	5,655,633	$5,655,633
Other/Federal Funds	0	37,719,820	$37,719,820	0	59,087,540	$59,087,540	174,925	20,367,413	$ 20,542,338	(174,925)	17,352,407	$17,177,482
Total	$7,132,547	$50,777,953	$57,910,500	$12,605,300	$92,583,196	$105,188,496	$8,946,164	$30,863,678	$39,809,842	($1,813,617)	$19,914,275	$18,100,658

1/ The 1999-01 adjusted appropriation amounts do not include the $12,000,000 line of credit authorization at UND.

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NORTH DAKOTA UNIVERSITY SYSTEM
2001-03 MAJOR CAPITAL PROJECTS (Included in SB 2003, 2021 and 2023)

		(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)	(9)	(10)
		2001-03 Executive Recommendation					2001-03 Budget Request				
		General Fund	Other Funds	Federal Funds	State Bonding	Total Funds	General Fund	Other Funds	Federal Funds	State Bonding	Total Funds
BSC:											
Major Remodeling											
Schafer Hall Renovation-2nd & 3rd Floors						-				1,384,000	1,384,000
Subtotal		-	-	-	-	-	-	-	-	1,384,000	1,384,000
LRSC:											
Major Remodeling											
Science Lecture Bowl & Laboratories						-				784,472	784,472
Subtotal		-	-	-	-	-	-	-	-	784,472	784,472
WSC:											
New Construction/Addition											
Diesel Technology Addition						-				534,636	534,636
Subtotal		-	-	-	-	-	-	-	-	534,636	534,636
UND:											
Infrastructure (Phase II):											
Electrical Distribution System						-	1,960,233				1,960,233
Storm Sewer & Area Drainage						-	694,767				694,767
Major Remodeling											
O'Kelly Hall-Phase I						-				3,888,888	3,888,888
Memorial Student Union Renovation						-		3,888,888			3,888,888
Carnegie Library Renovation			3,000,000			3,000,000		3,888,888			3,888,888
Smith, Johnstone & Fulton Hall Renovations	(SB 2003)		2,850,000			2,850,000		6,888,888			6,888,888
Witmer Hall Loading Dock			1,788,000			1,788,000		1,788,888			1,788,888
New Construction/Additions											
Rural Technology Center			3,800,000			3,800,000		3,888,888			3,888,888
Rural Technology Center Canopy			60,000			60,000		88,888			88,888
Energy Improvement Program (SB 2023)					3,990,785	3,990,785		-			-
Subtotal		-	11,210,000	-	3,990,785	15,200,785	2,655,000	18,888,888	-	3,888,888	35,535,888
MDSU:											
Major Remodeling											
Minard Hall Renovation-Phase I						-				4,845,188	4,845,188
Ceres Hall Renovation-Phase II						-				3,888,888	3,888,888
Robinson Hall Renovation			550,820			550,820		888,828			888,828
Sudro Hall Renovation (incr to 99-01 authority) 1/	(SB 2003)		1,200,000			1,200,000		1,288,888			1,288,888
New Construction/Additions						-					-
College of Business Office & Classroom Building			20,000,000			20,000,000		28,888,888			28,888,888
Residence Hall						-		11,888,888			11,888,888
Energy Improvement Program (SB 2023)					296,348	296,348		-			-
Subtotal		-	21,750,820	-	296,348	22,047,168	-	32,758,828	-	7,845,188	40,695,828
NDSCS:											
Infrastructure:											
Electrical Distribution		1,328,648				1,328,648				1,328,648	1,328,648
Steamline Distribution		624,437				624,437				624,437	624,437
Roof Replacement	(SB 2003)	158,923				158,923				434,786	434,786
Major Remodeling											
Parking Lots 2A, 2B, 2C & 2D			1,000,000			1,000,000		1,888,888			1,888,888
Student Union Remodeling						-		3,388,888			3,388,888
New Construction/Additions											
Bilitra Activities Center Addition						-		531,728			531,728
Subtotal		2,110,008	1,000,000	-	-	3,110,008	-	4,831,728	-	2,387,883	7,217,883

NORTH DAKOTA UNIVERSITY SYSTEM
2001-03 MAJOR CAPITAL PROJECTS (Authorized in SB 2003, 2021 and 2023)

	(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)	(9)	(10)
	2001-03 Executive Recommendation					2001-03 Budget Request				
	General Fund	Other Funds	Federal Funds	State Bonding	Total Funds	General Fund	Other Funds	Federal Funds	State Bonding	Total Funds
BSC:										
Major Remodeling										
Murphy Hall Renovation/Addition					-				6,781,185	6,781,185
Subtotal	-	-	-	-	-	-	-	-	6,781,185	6,781,185
NDSU:										
Infrastructure:										
Steam Line Replacement (SB 2003)	519,000				519,000				719,500	719,500
Subtotal	519,000	-	-	-	519,000	-	-	-	719,500	719,500
MSU:										
Major Remodeling										
Old Main Renovation (SB 2003 & 2023)		2,774,000		5,075,000	7,849,000		2,210,000		5,648,000	7,858,000
Subtotal	-	2,774,000	-	5,075,000	7,849,000	-	2,210,000	-	5,648,000	7,858,000
VCSU:										
Major Remodeling										
Replace boiler & related steam piping accessories (SB 2003)	559,000				559,000				559,000	559,000
Subtotal	559,000	-	-	-	559,000	-	-	-	559,000	559,000
NDSU-Bottineau Campus:										
Infrastructure:										
Thatcher & Old Heating Plant Electrical Upgrade (SB 2003)	75,000				75,000				75,000	75,000
Subtotal	75,000	-	-	-	75,000	-	-	-	75,000	75,000
Campus Subtotal	3,645,000	36,734,820	-	9,363,133	49,642,953	2,585,000	58,382,540	-	30,580,685	91,498,195
Forest Service:										
Tree Storage Building-Towner Nursery (SB 2003)		120,000			120,000				120,000	120,000
Equipment Storage Building-Lisbon (SB 2003)		20,000			20,000				20,000	20,000
Subtotal	-	140,000	-	-	140,000	-	-	-	140,000	140,000
Agronomy Seed Farm:										
Grain Storage Hopper Bins (SB 2021)		60,000			60,000		60,000			60,000
Bulk Seed Handling (SB 2021)		45,000			45,000		45,000			45,000
Subtotal	-	105,000	-	-	105,000	-	105,000	-	-	105,000
Research Centers:										
New Construction/Additions										
Langdon Headquarters & Conference Center (SB 2021)	100,000	510,000			610,000		500,000		300,000	800,000
Carrington Bison Research Facility (SB 2021)		130,000			130,000		130,000			130,000
Dickinson Agronomy Work Lab (SB 2021)		80,000			80,000					-
Dickinson Chemical Storage Building (SB 2021)		60,000			60,000					-
Subtotal	100,000	740,000	-	-	840,000	-	630,000	-	300,000	930,000
Related Entities Subtotal	159,000	986,000	-	-	1,135,000	-	735,000	-	440,000	1,175,000
TOTAL ALL	3,886,000	37,719,820	-	9,363,133	50,777,953	2,585,000	59,087,540	-	30,940,685	92,583,195

1/ HB1003 (1999) included a $1,750,000 other fund appropriation for Sudro Hall renovation. NDSU intends to use $350,000 of this authority in 99-01 for the renovation of the animal care laboratory/ research unit in Sudro Hall. The will leave an authorized balance of $1,400,000 which is requested in carryover to 2001-03 to be supplemented with $1,200,000 of additional other funds authority in the 2001-03 biennium.

NORTH DAKOTA UNIVERSITY SYSTEM
2001-2003 Campus Major Capital Projects (SB 2003, 2021 and 2023)
SBHE Priority List

	(1) Priority #	(2) Project Type	(3) Project Description	SBHE Priority List (4) State Funding	(5) Cost Other	(6) Total	(7) Other Source	(8) State Accumulated Total	Executive Recommendation (9) State Funding [SB 2023]	(10) State General Fund [SB 2003 & 2021]	(11) Other Sources [SB 2003 & 2021]	(12) Total
STATE GENERAL FUND PROJECTS INCLUDED IN 01-02 BUDGET REQUEST												
NDSU	1	renovation	Old Main Renovation (SB 2003 & 2023)	$5,940,000	$2,210,000	$7,850,000	private	$5,940,000	$ 5,670,000		$ 2,774,000	$ 7,880,000
NDSU	2	renovation	Schafer Hall - Renovation 2nd & 3rd Floors	1,394,500		1,394,500		7,334,500				
NDSCS	3	renovation	Infrastructure (SB 2003)	2,385,863		2,385,863		9,420,363				
			Replace boiler & related steam piping accessories (SB 2003)							2,110,000		2,110,000
VCSU	4	renovation	Steam Line Replacement (SB 2003)	850,000		850,000		10,270,363		850,000		850,000
MaSU	5	renovation	Minard Hall Renovation-Phase I	718,950		718,950		10,989,313		510,000		510,000
MiSU	6	renovation	O'Kelly Hall-Phase I	4,845,100		4,845,100		15,834,413				
LRSU	7	renovation	Mosby Hall Renovation/Addition	3,500,000		3,500,000		19,334,413				
NDSU	8	addition/renovate	Diesel Technology Addition	6,781,155		6,781,155		26,115,568				
VCSU	9	addition	Crites Hall Renovation - Phase II	524,616		524,616		26,641,184				
MiSU	10	renovation	Thatcher & Cooled Rig. Plant Elec. Upgrade (SB 2003)	3,000,000		3,000,000		29,641,184				
MiSU-BC	11	renovation	Science Lecture Hall & Laboratories	75,000		75,000		29,716,184		75,000		75,000
LRSC	12	renovation	Tony Shanaga Bldg.-Former Nursery (SB 2003)	784,472		784,472		30,500,656				
Forest Service	13	new const.	Equipment Storage Bldg.-Lisbon (SB 2003)	120,000		120,000		30,620,656			120,000	120,000
Forest Service	14	new const.	Lonesome Hollow and Conference Ctr. (SB 2021)	20,000		20,000		30,640,656			20,000	20,000
Agriculture	15	new const.		368,000	500,000	868,000	private	30,640,656				
Remaining General Projects (NOT INCLUDED IN 01-03 BUDGET REQUEST):										150,000	519,000	669,000
NDSC		new const.	Advanced Learning Complex	1,800,000	4,000,000	5,800,000	private	32,440,656				
LRSC		addition	Technical Training Facility & Storage	264,090		264,090		32,704,746				
VCSC		renovation	Deferred Maintenance	308,600		308,600		33,013,346				
NDSU		renovation	Infrastructure Improvements	4,500,000		4,500,000		37,513,346				
NDSU		renovation	School of Medicine & Health Sciences	6,488,000		6,488,000		43,883,346				
NDSU		renovation	Infrastructure - Phase I	2,320,400		2,320,400		46,203,746				
NDSCS		renovation	Hultze Hall	2,000,000		2,000,000		48,203,746				
NDSU		renovation	Whitney Stadium Renovation	1,180,000	2,000,000	4,000,000	local/private	48,383,746				
MaSU		addition/renovate	Fieldhouse Addition	239,830	2,700,070	3,000,000	private	48,623,576				
VCSU		renovation	Graham Gymnasium elevator & emergency exits	375,000		375,000		48,998,576				
MiSU-BC		renovation	Thatcher Elevator	160,000		160,000		50,158,576				
Agriculture		new const.	(2) Pesticide Handling Facilities-Phase II	120,000		120,000		50,278,576				
Agriculture		new const.	Main Station-Research Greenhouses	7,300,000		7,300,000		57,578,576				
Agriculture		new const.	Beaver Site	120,000		120,000		57,698,576				
TOTAL STATE GENERAL FUND PROJECTS				$57,698,576	$12,370,070	$70,068,746			$5,670,000	$3,585,000	$3,424,000	$12,195,000

NORTH DAKOTA UNIVERSITY SYSTEM
2001-2003 Campus Major Capital Projects (SB 2003, 2021 and 2023)
SBHE Priority List

(1) Priority #	(2) Project Type	(3) Project Description	SBHE Priority List (4) State Funding	(5) Cost Other	(6) Total	(7) Other Source	(8) State Accumulated	Executive Recommendation (9) State Funding	(10) State General Fund	(11) Other Sources	(12) Total
NEW STATE GENERAL FUND PROJECTS TO BE INCLUDED IN 01-03 BUDGET REQUEST											
MSU	renovation	Memorial Student Union		3,000,000	3,000,000	debt loan					-
	renovation	Computer Library (SB 2003)		3,000,000	3,000,000	private					-
	renovation	Smith Hall - Johnstone Hall - Pulver Hall (SB 2003)		6,000,000	6,000,000	auxiliary				3,000,000	3,000,000
	renovation	Wilkinson Hall Loading Dock (SB 2003)		1,700,000	1,700,000	auxiliary				2,000,000	2,000,000
	addition	Rural Technology Center (SB 2003)		3,000,000	3,000,000	gift/pledges				1,700,000	1,700,000
	addition	Rural Technology Center-canopy (SB 2003)		60,000	60,000	private				3,000,000	3,000,000
										60,000	60,000
NDSU	new constr.	College of Business Office & Classroom Bldg (SB 2003)		20,000,000	20,000,000	private					-
	new constr.	Residence Hall		11,000,000	11,000,000	auxiliary				20,000,000	20,000,000
	renovation	Robinson Hall (SB 2003)		550,820	550,820	bond/local					-
										550,820	550,820
NDSCS	renovation	Parking Lot 2A, 2B, 2C, & 2D (SB 2003)		1,000,000	1,000,000	auxiliary					-
	renovation	Student Union Remodeling		3,300,000	3,300,000	auxiliary				1,000,000	1,000,000
	addition/renovate	Wine Activities Center Addition		531,720	531,720	private					-
										1,800,000	1,800,000
Agricultural Colleges	new constr.	Agronomy Seed Farm-Grain Storage Hopper Bin		60,000	60,000	income					-
	new constr.	Agronomy Seed Farm-Bulk Seed Handling		45,000	45,000	income				60,000	60,000
	new constr.	Carrington-Hoos Research Facility	(SB 2021)	130,000	130,000	private/grants				45,000	45,000
	addition	Dickinson Agronomy Work Lab				oil revenue				130,000	130,000
	new constr.	Dickinson-Chemical Storage Building				oil revenue				60,000	60,000
TOTAL NEW- STATE GENERAL FUND PROJECTS			$0	$66,177,540	$66,177,540			$0	$0	$33,885,820	$33,885,820
PREVIOUSLY REQUESTED FOR POTENTIALLY AUTHORIZED PROJECTS											
NDSU	renovation	Stocker Hall (total authority= $2,000,000) 1/ (SB 2003)		1,200,000	1,200,000	private/fed/schools				1,200,000	1,200,000
GRAND TOTAL ALL PROJECTS			$57,000,670	$69,747,910	$126,448,289			$5,076,000	$3,000,000	$37,719,820	$45,499,820

1/ NDSU (1999) included a $1,700,000 other fund appropriation for Stocker Hall renovation. NDSU intends to use $300,000 of this authority in 01-01 for the renovation of the natural area laboratory/ classroom unit in Stocker Hall. This will leave an unfinished balance of $1,400,000 which is requested to be carryover to 2001-03 to be supplemented with $1,200,000 of additional other funds authority in the 2001-03 biennium.

S:\budget\2001-03 Exec Request\Campus Exec Request to Ed Priorities-Capital

Selected Portions of NDUS O[illegible]ck Grants (Included in SB 2003)
2001-03 Execu[illegible]ecommendation

	(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)	(9)
	Student Grant Programs (Block Grants)			Portion of Campus-Based Programs (Block Grants)		Contingencies and Board Initiatives (Block Grants)			
	General Fund	Other Funds	Total Funds	Technology-General Fund	Equity/Special Needs-General Fund	Conting/Cap Impr Emergencies-General Fund	Board Initiatives-General Fund	Board Initiatives-Other Funds	Board Initiatives-Total Funds
1999-01 Appropriation	$ 3,771,409	$ 2,899,900	$ 6,671,309	$ 21,948,467	$ 4,290,128	$ 398,000	$ 796,000	$ 1,500,000	$ 2,296,000
1997-99 Carryover	479,152	646,939	1,126,091			69,000			-
1999-01 Allocations (thru 4/30/00):									
To all campuses					(4,290,128)				
To HECN, IVN, ODIN & UND/NDSU Campus computer centers				(21,948,467)					
UND-Entrep. Program						(32,036)	(32,036)		(32,036)
NDUS-Scholars Program	114,440		114,440			(114,440)			-
NDUS-MHEC Dues (Permanent)							(150,000)		(150,000)
NDUS-Interim Committee							(40,000)		(40,000)
NDUS-IT Council Dues							(2,500)		(2,500)
WSC-NDCCC Director							(30,000)		(30,000)
NDSCS-Common Course Numbering							(100,000)		(100,000)
Adj 99-01 Appropriation	4,365,001	3,546,839	7,911,840	-	-	320,524	441,464	1,500,000	1,941,464
Adjustments:									
HECN-One time Other Funds								(1,500,000)	(1,500,000)
1997-99 Carryover	(479,152)	(646,939)	(1,126,091)			(69,000)			-
One-time 99-01 pool allocations	(114,440)		(114,440)		115,135	146,476	204,536		204,536
Est decrease in federal & other funds		(1,561,750)	(1,561,750)						
Fund cost to continue salary increases						(32,691)			-
Fund 01-03 WICHE increase						(21,000)			-
Fund 01-03 MHEC increase							(15,000)		(15,000)
One-time 99-01 leg funding to campuses, reallocated to Board for 01-03							1,189,797		1,189,797
Total Adj to Base	(593,592)	(2,208,689)	(2,802,281)	-	115,135	23,785	1,379,333	(1,500,000)	(120,667)
1999-01 Adjusted Base	3,771,409	1,338,150	5,109,559	-	115,135	344,309	1,820,797	-	1,820,797
Increases per Exec Recommendation:									
NDUS compensation package 1/					14,330,630				
Campus parity & equity					2,500,000				
Campus new initiatives/programs 2/					2,500,000				
Increase in student grant programs	1,300,000	214,000	1,514,000						
HECN, IVN, ODIN & UND/NDSU Campus computer centers				22,225,925					
Internet II				1,200,000					
Incr equip lease costs from statewide networking plan				217,020					
Statewide networking plan-NDUS 3/				2,589,828					
Statewide networking plan-Others 4/				2,005,646					
General increase							625,000		625,000
	1,300,000	214,000	1,514,000	28,238,419	19,330,630	-	625,000	-	625,000
Total per Exec Recommendation	$ 5,071,409	$ 1,552,150	$ 6,623,559	$ 28,238,419	$ 19,445,765	$ 344,309	$ 2,445,797	$ -	$ 2,445,797

1/ Includes $1 million for equity, in addition to salary compensation package of $13,330,630 for the NDUS campuses, Med School & NDUS Office

2/ For new initiatives & one-time or short-term funds to establish new programs that will either become self-sustaining or replace existing programs on the campuses.

3/ Includes $2,589,828 - NDUS funding for IVN ($733,548), ODIN ($422,980), HECN South ($935,100) and permanent funding for distance ed coordinator salary, operating and equipment ($498,200).

4/ Includes $1,675,806 - costs to connect IVN to all other state agencies and $330,040 - costs to connect IVN to K-12 network

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North Dakota University System
Technology Pool
2001-03 Executive Recommendation

Base funding for HECN, IVN, ODIN and UND/NDSU Campus Computer Centers		$ 22,225,925		
Internet II and network funding		1,200,000		
Increased equipment lease costs from statewide networking plan		217,020		
Statewide Networking Plan:				
ND Interactive Video Network (IVN):				
*System enhancements to IVN	$ 733,548			
*Costs to connect IVN to all other state agencies (*Non-NDUS*)	1,675,606			
*Costs to connect IVN to K-12 network (*Non-NDUS*)	330,040			
Total IVN Costs	2,739,194			
Online Dakota Information Network (ODIN):				
*Expanded digital holdings and staff to manage them for all ODIN libraries	422,980			
HECN South:				
*Staff, software and hardware to support online course management packages with the NDUS	935,100			
NDUS Office:				
*Permanent funding of Distance Education Coordinator salary, operating and equipment to facilitate development of distance delivery content	498,200			
Total additional costs resulting from the rollout of the Statewide Networking Plan		4,595,474	K-12 & Other state agencies	$ 2,006,646
			Higher Ed	2,589,828
				$ 4,595,474
Total Technology Pool Funded in Executive Recommendation		$ 28,238,419		

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NORTH DAKOTA UNIVERSITY SYSTEM
FULL-TIME EQUIVALENT (FTE) AND HEADCOUNT ENROLLMENTS
(Average Annual Projection)

Institution	(1) 2001-03 Estimates		(2) 1999-01 Revised Estimates		(3) 2001-03 Estimates to 1999-01 Revised Estimates			
	FTE	Headcount	FTE	Headcount	FTE		Headcount	
BSC	2,425	3,325	2,281	3,138	144	6.3%	187	6.0%
LRSC	446	850	471	864	(25)	-5.3%	(14)	-1.6%
WSC	600	750	610	755	(10)	-1.6%	(5)	-0.7%
UND	9,620	12,350	9,339	12,005	281	3.0%	345	2.9%
UND School of Medicine & Health Sciences 2/	232	232	232	232	0	0.0%	0	0.0%
NDSU	8,817	11,197	8,437	10,415	380	4.5%	782	7.5%
NDSCS	2,155	2,550	2,294	2,607	(139)	-6.1%	(57)	-2.2%
DSU	1,558	2,081	1,510	1,980	48	3.2%	101	5.1%
MASU	637	816	637	838	0	0.0%	(22)	-2.6%
MISU	2,432	3,139	2,552	3,283	(120)	-4.7%	(144)	-4.4%
VCSU	920	1,205	919	1,206	1	0.1%	(1)	-0.1%
MISU-BC	380	440	411	465	(31)	-7.5%	(25)	-5.4%
TOTAL	**30,222**	**38,935**	**29,693**	**37,788**	**529**	**1.8%**	**1,147**	**3.0%**

NOTES:

1/ Based on projections made by the institutions in December, 2000.

2/ FTE and headcount for the UND School of Medicine and Health Sciences include professional M.D. students only.

g:\testly\wordSN01-03 Exec Recom\Enrollments

NORTH DAKOTA UNIVERSITY SYSTEM
TUITION RATES

(NOTE: The Executive Recommendation Excludes Tuition Income From the 2001-03 Appropriation)

	(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)	(9)	(10)	(11)	(12)	(13)
	Actual Rates							2001-03 Budget Request		2001-03 Requested Increase			
	[illegible] Rates	[illegible] Rates	[illegible] Rates	[illegible] Rates	[illegible] Rates	[illegible] Rates	2000-01 Rates	2001-02 Rates	2002-03 Rates	2001-02 Amount	2001-02 Percent	2002-03 Amount	2002-03 Percent
[illegible]													
Undergraduate:													
1. Resident	$2,110	$2,110	$2,110	$2,236	$2,362	$2,480	$2,604	$2,754	$2,904	$150	5.76%	$150	5.45%
2. Non-Resident	5,634	5,634	5,634	5,970	6,306	6,622	6,953	7,353	7,754	401	5.76%	401	5.45%
3. Minnesota	2,638	2,638	2,356	2,422	2,511	2,596	2,776	2,936	3,096	160	5.76%	160	5.45% *
4. Contiguous State/Province	3,165	3,165	3,165	3,354	3,544	3,720	3,906	4,131	4,356	225	5.76%	225	5.45%
Graduate:													
5. Resident	$2,320	$2,320	$2,320	$2,446	$2,572	$2,690	$2,814	$2,564	$3,114	$150	5.33%	$150	5.06%
6. Non-Resident	6,194	6,194	6,194	6,532	6,868	7,182	7,513	7,914	8,314	401	5.33%	401	5.06%
7. Minnesota	2,900	2,900	2,884	2,966	3,072	3,188	3,380	3,560	3,740	180	5.33%	180	5.06% *
8. Contiguous State/Province	3,480	3,480	3,480	3,670	3,868	4,035	4,221	4,446	4,671	225	5.33%	225	5.06%
Other:													
9. Law (Resident)	$2,530	$2,530	$2,530	$2,656	$2,782	$2,900	$3,024	$3,174	$3,324	$150	4.96%	$150	4.73%
10. Law (Non-Resident)	6,755	6,755	6,755	7,092	7,428	7,743	8,074	8,475	8,875	401	4.96%	401	4.73%
11. Law (Minnesota)	3,163	3,163	2,884	2,966	3,072	3,188	3,380	3,560	3,740	180	5.33%	180	5.06% *
12. Resident Medical School	8,460	8,460	8,460	9,220	10,050	10,955	11,940	11,940	11,940	0	0.00%	0	0.00% **
13. Non-Resident Med. School	22,588	22,588	22,588	24,618	26,834	29,249	31,880	31,880	31,880	0	0.00%	0	0.00%
14. Minnesota- Med. School	10,575	10,575	9,476	9,958	10,654	11,502	12,776	12,776	12,776	0	0.00%	0	0.00% *
15. Resident Physical Therapy	4,300	4,300	4,300	4,425	4,552	4,670	4,794	4,944	5,094	150	3.13%	150	3.03%
[illegible]													
Undergraduate:													
16. Resident	$1,780	$1,780	$1,780	$1,870	$1,960	$2,050	$2,144	$2,244	$2,344	$100	4.66%	$100	4.46%
17. Non-Resident	4,753	4,753	4,753	4,994	5,234	5,474	5,724	5,991	6,258	267	4.66%	267	4.46%
18. Minnesota	2,225	2,225	1,994	2,020	2,078	2,152	2,294	2,401	2,508	107	4.66%	107	4.46% *
19. Contiguous State/Province	2,225	2,225	2,225	2,338	2,450	2,563	2,680	2,805	2,930	125	4.66%	125	4.46%
Graduate:													
20. Resident	$2,326	$2,326	$2,320	$2,446	$2,572	$2,690	$2,814	$2,964	$3,114	$150	5.33%	$150	5.06%
21. Non-Resident	6,210	6,210	6,194	6,532	6,868	7,182	7,513	7,914	8,314	401	5.33%	401	5.06%
22. Minnesota	2,908	2,908	2,884	2,966	3,072	3,188	3,380	3,560	3,740	180	5.33%	180	5.06% *
23. Contiguous State/Province	2,908	2,908	3,480	3,670	3,868	4,035	4,221	4,446	4,671	225	5.33%	225	5.06%
[illegible]													
Undergraduate:													
24. Resident	$1,680	$1,680	$1,680	$1,756	$1,832	$1,906	$1,982	$2,067	$2,152	$85	4.29%	$85	4.11%
25. Non-Resident	4,486	4,486	4,486	4,690	4,892	5,089	5,292	5,519	5,746	227	4.29%	227	4.11%
26. Minnesota	2,100	2,100	1,882	1,896	1,942	2,000	2,120	2,211	2,302	91	4.29%	91	4.11% *
27. Contiguous State/Province	2,100	2,100	2,100	2,196	2,290	2,383	2,478	2,584	2,690	106	4.29%	106	4.11%
[illegible]													
Undergraduate:													
28. Resident	$1,582	$1,582	$1,582	$1,582	$1,582	$1,582	$1,632	$1,682	$1,732	$50	3.06%	$50	2.97%
29. Non-Resident	4,144	4,144	4,144	4,144	4,144	4,251	4,357	4,491	4,624	134	3.06%	134	2.97%
30. Minnesota	1,940	1,940	1,578	1,922	2,000	2,074	2,166	2,234	2,301	66	3.06%	66	2.97% *
31. Contiguous State/Province	1,940	1,940	1,940	1,940	1,940	1,990	2,040	2,103	2,165	63	3.06%	63	2.97%
32. BBC Resident Per Credit Rate	64.67	64.67	64.67	64.67	64.67	66.33	83.42	85.36	67.31	1.94	3.06%	1.94	2.97%

* Since the Minnesota/ [illegible] Reciprocity Agreement calls for the higher of the two state rates, it will most likely be the Minnesota rate, however, we do not know what that will be at this time. For estimate purposes, the 2000-01 MinSD ratio was used.

** The Med School did not request a tuition increase for the 2001-03 biennium, as they had just completed a 4-year tuition increase plan of 9% per year during 2000-01.

g:\[illegible]\[illegible]-03 Request\Tuition rates\[illegible]-%

NORTH DAKOTA UNIVERSITY SYSTEM
ANALYSIS OF 2001-03 EXECUTIVE RECOMMENDATION BY LINE ITEM (SB 2003 & 2023)
(NDUS Office, Campuses, Forest Service and UND School of Medicine & Health Sciences)

	2001-03 Exec Recommendation	1999-01 Adj Appropriation	Incr (Decr) over 1999-01 $$ change	Incr (Decr) over 1999-01 %% change
NDUS Office				
System Governance (Block Grant):	$3,663,482	$0	$3,663,482	
Salaries and Wages	0	2,203,115	(2,203,115)	
Operating Expenses	0	1,105,480	(1,105,480)	
Equipment	0	26,000	(26,000)	
College Technical Administration	0	197,627	(197,627)	
State Approving Agency	0	154,733	(154,733)	
1997-99 Carryover	0	99,328	(99,328)	
	3,663,482	3,786,283	(122,801)	-3.2%
Student Grant Programs (Block Grant):	6,623,559	0	6,623,559	
Student Financial Assistance Grants	0	5,254,537	(5,254,537)	
Scholars Program	0	916,211	(916,211)	
ND Indian Scholarship Program	0	205,854	(205,854)	
Professional Student Exchange Program	0	1,535,238	(1,535,238)	
	6,623,559	7,911,840	(1,288,281)	-16.3%
Campus-Based Programs (Block Grant):	51,957,520	0	51,957,520	
EPSCoR	0	1,971,100	(1,971,100)	
Title II Grant	0	534,000	(534,000)	
Disabled Student Services	0	0	0	
Information Technology Management	0	215,255	(215,255)	
Technology	0	0	0	
Equity & Special Needs	0	0	0	
	51,957,520	2,720,355	49,237,165	1810.0%
Contingencies & Board Initiatives (Block Grant):	2,790,106	0	2,790,106	
Contingency & Cap Impr Emergency	0	320,524	(320,524)	
Board Initiatives	0	1,941,964	(1,941,964)	
	2,790,106	2,262,488	527,618	23.3%
Subtotal all funds	65,034,667	16,680,966	48,353,701	289.9%
Less estimated income	2,247,483	5,735,572	(3,488,089)	-60.8%
Subtotal general fund appropriation	62,787,184	10,945,394	51,841,790	473.6%
Capital Bond Payments	12,730,841	0	12,730,841	100.0%
Subtotal all funds	12,730,841	0	12,730,841	100.0%
Less estimated income	1,469,339	0	1,469,339	100.0%
Subtotal general fund appropriation	11,261,502	0	11,261,502	100.0%
TOTAL				
Total all funds	77,765,508	16,680,966	61,084,542	366.2%
Less estimated income	3,716,822	5,735,572	(2,018,750)	-35.2%
Total general fund appropriation	74,048,686	10,945,394	63,103,292	576.5%

NORTH DAKOTA UNIVERSITY SYSTEM
ANALYSIS OF 2001-03 EXECUTIVE RECOMMENDATION BY LINE ITEM (SB 2003 & 2023)
(NDUS Office, Campuses, Forest Service and UND School of Medicine & Health Sciences)

	2001-03 Exec Recommendation	1999-01 Adj Appropriation	Incr (Decr) over 1999-01	
			$$ change	%% change
NDUC:				
Operations	14,767,975	0	14,767,975	
Capital Assets (Excluding Major Capital Projects)	250,000	0	250,000	
Salaries and Wages	0	17,012,164	(17,012,164)	
Operating Expenses	0	5,045,212	(5,045,212)	
Equipment	0	415,262	(415,262)	
Capital Improvements	0	411,760	(411,760)	
1997-99 Carryover	0	185,533	(185,533)	
Subtotal all funds	15,017,975	23,069,931	(8,051,956)	-34.9%
Less estimated income	0	7,970,974	(7,970,974)	-100.0%
Subtotal general fund appropriation	15,017,975	15,098,957	(80,982)	-0.5%
Capital Assets - Major Capital Projects	0	698,684	(698,684)	-100.0%
Subtotal all funds	0	698,684	(698,684)	-100.0%
Less estimated income	0	450,000	(450,000)	-100.0%
Subtotal general fund appropriation	0	248,684	(248,684)	-100.0%
TOTAL				
Total all funds	15,017,975	23,768,615	(8,750,640)	-36.8%
Less estimated income	0	8,420,974	(8,420,974)	-100.0%
Total general fund appropriation	15,017,975	15,347,641	(329,666)	-2.1%
FRSC:				
Operations	4,649,231	0	4,649,231	
Capital Assets (Excluding Major Capital Projects)	74,831	0	74,831	
Salaries and Wages	0	4,436,036	(4,436,036)	
Operating Expenses	0	1,411,162	(1,411,162)	
Equipment	0	160,336	(160,336)	
Capital Improvements	0	99,774	(99,774)	
1997-99 Carryover	0	88,127	(88,127)	
Subtotal all funds	4,724,062	6,195,437	(1,471,375)	-23.7%
Less estimated income	0	1,502,540	(1,502,540)	-100.0%
Subtotal general fund appropriation	4,724,062	4,692,897	31,165	0.7%
Capital Assets - Major Capital Projects	0	994,544	(994,544)	100.0%
Subtotal all funds	0	994,544	(994,544)	100.0%
Less estimated income	0	495,000	(495,000)	100.0%
Subtotal general fund appropriation	0	499,544	(499,544)	100.0%
TOTAL				
Total all funds	4,724,062	7,189,981	(2,465,919)	-34.3%
Less estimated income	0	1,997,540	(1,997,540)	-100.0%
Total general fund appropriation	4,724,062	5,192,441	(468,379)	-9.0%

NORTH DAKOTA UNIVERSITY SYSTEM
ANALYSIS OF 2001-03 EXECUTIVE RECOMMENDATION BY LINE ITEM (SB 2003 & 2023)
(NDUS Office, Campuses, Forest Service and UND School of Medicine & Health Sciences)

	2001-03 Exec Recommendation	1999-01 Adj Appropriation	Incr (Decr) over 1999-01	
			$$ change	% change
Operations				
Capital Assets (Excluding Major Capital Projects)	5,094,457	0	5,094,457	
Salaries and Wages	88,790	0	88,790	
Operating Expenses	0	5,597,327	(5,597,327)	
Equipment	0	1,521,116	(1,521,116)	
Capital Improvements	0	249,596	(249,596)	
1997-99 Carryover	0	88,790	(88,790)	
Subtotal all funds	0	296,130	(296,130)	
Less estimated income	5,183,247	7,752,959	(2,569,712)	-33.1%
Subtotal general fund appropriation	0	2,346,771	(2,346,771)	-100.0%
	5,183,247	5,406,188	(222,941)	-4.1%
Capital Assets - Major Capital Projects				
Subtotal all funds	0	4,500,000	(4,500,000)	-100.0%
Less estimated income	0	4,500,000	(4,500,000)	-100.0%
Subtotal general fund appropriation	0	4,500,000	(4,500,000)	-100.0%
	0	0	0	0.0%
TOTAL				
Total all funds	5,183,247	12,252,959	(7,069,712)	-57.7%
Less estimated income	0	6,846,771	(6,846,771)	-100.0%
Total general fund appropriation	5,183,247	5,406,188	(222,941)	-4.1%

NORTH DAKOTA UNIVERSITY SYSTEM
ANALYSIS OF 2001-03 EXECUTIVE RECOMMENDATION BY LINE ITEM (SB 2003 & 2023)
(NDUS Office, Campuses, Forest Service and UND School of Medicine & Health Sciences)

Line Item	2001-03 Exec Recommendation	1999-01 Adj Appropriation	Incr (Decr) over 1999-01 $$ change	Incr (Decr) over 1999-01 XX change
Operations	81,436,447	0	81,436,447	
Capital Assets (Excluding Major Capital Projects)	2,362,136	0	2,362,136	
Salaries and Wages	0	102,592,811	(102,592,811)	
Operating Expenses	0	28,070,077	(28,070,077)	
Equipment	0	1,759,760	(1,759,760)	
Capital Improvements	0	2,362,136	(2,362,136)	
Special Initiatives	0	1,950,864	(1,950,864)	
1997-99 Carryover	0	791,508	(791,508)	
Subtotal all funds	83,798,583	137,527,156	(53,728,573)	-39.1%
Less estimated income	0	56,343,905	(56,343,905)	-100.0%
Subtotal general fund appropriation	83,798,583	81,183,251	2,615,332	3.2%
Capital Assets - Major Capital Projects	15,200,785	7,026,845	8,173,940	116.3%
Subtotal all funds	15,200,785	7,026,845	8,173,940	116.3%
Less estimated income	15,200,785	4,471,845	10,728,940	239.9%
Subtotal general fund appropriation	0	2,555,000	(2,555,000)	-100.0%
HECN/Campus Computer Center	0	9,742,521	(9,742,521)	-100.0%
Subtotal all funds	0	9,742,521	(9,742,521)	-100.0%
Less estimated income			0	0.0%
Subtotal general fund appropriation	0	9,742,521	(9,742,521)	-100.0%
Interactive Video Network	0	2,067,107	(2,067,107)	-100.0%
Subtotal all funds	0	2,067,107	(2,067,107)	-100.0%
Less estimated income			0	0.0%
Subtotal general fund appropriation	0	2,067,107	(2,067,107)	-100.0%
ODIN	0	587,714	(587,714)	-100.0%
Subtotal all funds	0	587,714	(587,714)	-100.0%
Less estimated income			0	0.0%
Subtotal general fund appropriation	0	587,714	(587,714)	-100.0%
TOTAL				
Total all funds	98,999,368	156,951,343	(57,951,975)	-36.9%
Less estimated income	15,200,785	60,815,750	(45,614,965)	-75.0%
Total general fund appropriation	83,798,583	96,135,593	(12,337,010)	-12.8%

NORTH DAKOTA UNIVERSITY SYSTEM
ANALYSIS OF 2001-03 EXECUTIVE RECOMMENDATION BY LINE ITEM (SB 2003 & 2023)
(NDUS Office, Campuses, Forest Service and UND School of Medicine & Health Sciences)

	2001-03 Exec Recommendation	1999-01 Adj Appropriation	Incr (Decr) over 1999-01	
NDUS:			$$ change	%% change
Operations	63,524,910	0	63,524,910	
Capital Assets (Excluding Major Capital Projects)	1,737,531	0	1,737,531	
Salaries and Wages	0	87,668,421	(87,668,421)	
Operating Expenses	0	21,812,719	(21,812,719)	
Equipment	0	1,867,800	(1,867,800)	
Capital Improvements	0	2,282,531	(2,282,531)	
1997-99 Carryover	0	80,740	(80,740)	
Subtotal all funds	65,262,441	113,712,211	(48,449,770)	-42.6%
Less estimated income	0	48,866,414	(48,866,414)	-100.0%
Subtotal general fund appropriation	65,262,441	64,845,797	416,644	0.6%
Capital Assets - Major Capital Projects	22,047,168	10,665,000	11,382,168	106.7%
Subtotal all funds	22,047,168	10,665,000	11,382,168	106.7%
Less estimated income	22,047,168	10,665,000	11,382,168	106.7%
Subtotal general fund appropriation	0	0	0	0.0%
HECN/Campus Computer Center	0	9,679,374	(9,679,374)	-100.0%
Subtotal all funds	0	9,679,374	(9,679,374)	-100.0%
Less estimated income	0	0	0	0.0%
Subtotal general fund appropriation	0	9,679,374	(9,679,374)	-100.0%
TOTAL				
Total all funds	87,309,609	134,056,585	(46,746,976)	-34.9%
Less estimated income	22,047,168	59,531,414	(37,484,246)	-63.0%
Total general fund appropriation	65,262,441	74,525,171	(9,262,730)	-12.4%

NORTH DAKOTA UNIVERSITY SYSTEM
ANALYSIS OF 2001-03 EXECUTIVE RECOMMENDATION BY LINE ITEM (SB 2003 & 2023)
(NDUS Office, Campuses, Forest Service and UND School of Medicine & Health Sciences)

	2001-03 Exec Recommendation	1999-01 Adj Appropriation	Incr (Decr) over 1999-01	
			$$ change	%% change
NDUS:				
Operations	22,893,128	0	22,893,128	
Capital Assets (Excluding Major Capital Projects)	773,500	0	773,500	
Salaries and Wages	0	24,613,486	(24,613,486)	
Operating Expenses	0	6,545,903	(6,545,903)	
Equipment	0	1,394,368	(1,394,368)	
Capital Improvements	0	635,885	(635,885)	
1997-99 Carryover	0	182,150	(182,150)	
Subtotal all funds	23,666,628	33,371,792	(9,705,164)	-29.1%
Less estimated income	0	9,659,941	(9,659,941)	-100.0%
Subtotal general fund appropriation	23,666,628	23,711,851	(45,223)	-0.2%
Capital Assets - Major Capital Projects	3,110,000	2,000,000	1,110,000	55.5%
Subtotal all funds	3,110,000	2,000,000	1,110,000	55.5%
Less estimated income	1,000,000	0	1,000,000	100.0%
Subtotal general fund appropriation	2,110,000	2,000,000	110,000	5.5%
TOTAL				
Total all funds	26,776,628	35,371,792	(8,595,164)	-24.3%
Less estimated income	1,000,000	9,659,941	(8,659,941)	-89.6%
Total general fund appropriation	25,776,628	25,711,851	64,777	0.3%
UND:				
Operations	13,060,832	0	13,060,832	
Capital Assets (Excluding Major Capital Projects)	393,962	0	393,962	
Salaries and Wages	0	14,559,650	(14,559,650)	
Operating Expenses	0	4,112,916	(4,112,916)	
Equipment	0	544,271	(544,271)	
Capital Improvements	0	693,962	(693,962)	
1997-99 Carryover	0	767,084	(767,084)	
Subtotal all funds	13,454,794	20,677,883	(7,223,089)	-34.9%
Less estimated income	0	6,368,280	(6,368,280)	-100.0%
Subtotal general fund appropriation	13,454,794	14,309,603	(854,809)	-6.0%
Capital Assets - Major Capital Projects	0	3,204,588	(3,204,588)	-100.0%
Subtotal all funds	0	3,204,588	(3,204,588)	-100.0%
Less estimated income	0	3,204,588	(3,204,588)	-100.0%
Subtotal general fund appropriation	0	0	0	0.0%
TOTAL				
Total all funds	13,454,794	23,882,471	(10,427,677)	-43.7%
Less estimated income	0	9,572,868	(9,572,868)	-100.0%
Total general fund appropriation	13,454,794	14,309,603	(854,809)	-6.0%

NORTH DAKOTA UNIVERSITY SYSTEM
ANALYSIS OF 2001-03 EXECUTIVE RECOMMENDATION BY LINE ITEM (SB 2003 & 2023)
(NDUS Office, Campuses, Forest Service and UND School of Medicine & Health Sciences)

	2001-03 Exec Recommendation	1999-01 Adj Appropriation	Incr (Decr) over 1999-01	
			$$ change	%% change
[illegible]:				
Operations	7,870,007	0	7,870,007	
Capital Assets (Excluding Major Capital Projects)	214,589	0	214,589	
Salaries and Wages	0	8,254,971	(8,254,971)	
Operating Expenses	0	2,211,150	(2,211,150)	
Equipment	0	170,500	(170,500)	
Capital Improvements	0	171,671	(171,671)	
1997-99 Carryover	0	220,620	(220,620)	
Subtotal all funds	8,084,596	11,028,912	(2,944,316)	-26.7%
Less estimated income	0	2,994,275	(2,994,275)	-100.0%
Subtotal general fund appropriation	8,084,596	8,034,637	49,959	0.6%
Capital Assets - Major Capital Projects	510,000	920,485	(410,485)	-44.6%
Subtotal all funds	510,000	920,485	(410,485)	-44.6%
Less estimated income	0	0	0	0.0%
Subtotal general fund appropriation	510,000	920,485	(410,485)	-44.6%
TOTAL				
Total all funds	8,594,596	11,949,397	(3,354,801)	-28.1%
Less estimated income	0	2,994,275	(2,994,275)	-100.0%
Total general fund appropriation	8,594,596	8,955,122	(360,526)	-4.0%
[illegible]:				
Operations	24,817,493	0	24,817,493	
Capital Assets (Excluding Major Capital Projects)	412,850	0	412,850	
Salaries and Wages	0	29,505,104	(29,505,104)	
Operating Expenses	0	6,043,525	(6,043,525)	
Equipment	0	917,929	(917,929)	
Capital Improvements	0	1,050,466	(1,050,466)	
1997-99 Carryover	0	1,158,953	(1,158,953)	
Subtotal all funds	25,230,343	38,675,977	(13,445,634)	-34.8%
Less estimated income	0	12,149,076	(12,149,076)	-100.0%
Subtotal general fund appropriation	25,230,343	26,526,901	(1,296,558)	-4.9%
Capital Assets - Major Capital Projects	7,850,000	0	7,850,000	100.0%
Subtotal all funds	7,850,000	0	7,850,000	100.0%
Less estimated income	7,850,000	0	7,850,000	100.0%
Subtotal general fund appropriation	0	0	0	0.0%
TOTAL				
Total all funds	33,080,343	38,675,977	(5,595,634)	-14.5%
Less estimated income	7,850,000	12,149,076	(4,299,076)	-35.4%
Total general fund appropriation	25,230,343	26,526,901	(1,296,558)	-4.9%

NORTH DAKOTA UNIVERSITY SYSTEM
ANALYSIS OF 2001-03 EXECUTIVE RECOMMENDATION BY LINE ITEM (SB 2003 & 2023)
(NDUS Office, Campuses, Forest Service and UND School of Medicine & Health Sciences)

	2001-03 Exec Recommendation	1999-01 Adj Appropriation	Incr (Decr) over 1999-01	
			$ change	% change
[illegible]				
Operations	10,767,570	0	10,767,570	
Capital Assets (Excluding Major Capital Projects)	265,334	0	265,334	
Salaries and Wages	0	11,288,379	(11,288,379)	
Operating Expenses	0	2,842,167	(2,842,167)	
Equipment	0	323,100	(323,100)	
Capital Improvements	0	265,334	(265,334)	
1997-99 Carryover	0	84,840	(84,840)	
Special Initiatives	0	18,714	(18,714)	
Subtotal all funds	11,032,904	14,822,534	(3,789,630)	-25.6%
Less estimated income	0	3,958,626	(3,958,626)	-100.0%
Subtotal general fund appropriation	11,032,904	10,863,908	168,996	1.6%
Capital Assets - Major Capital Projects	850,000	497,052	352,948	71.0%
Subtotal all funds	850,000	497,052	352,948	71.0%
Less estimated income	0	0	0	0.0%
Subtotal general fund appropriation	850,000	497,052	352,948	71.0%
TOTAL				
Total all funds	11,882,904	15,319,586	(3,436,682)	-22.4%
Less estimated income	0	3,958,626	(3,958,626)	-100.0%
Total general fund appropriation	11,882,904	11,360,960	521,944	4.6%
[illegible]				
Operations	3,895,490	0	3,895,490	
Capital Assets (Excluding Major Capital Projects)	112,663	0	112,663	
Salaries and Wages	0	4,069,782	(4,069,782)	
Operating Expenses	0	1,076,785	(1,076,785)	
Equipment	0	165,300	(165,300)	
Capital Improvements	0	90,130	(90,130)	
1997-99 Carryover	0	140,958	(140,958)	
Subtotal all funds	4,008,153	5,542,955	(1,534,802)	-27.7%
Less estimated income	0	1,383,386	(1,383,386)	-100.0%
Subtotal general fund appropriation	4,008,153	4,159,569	(151,416)	-3.6%
Capital Assets - Major Capital Projects	75,000	128,000	(53,000)	-41.4%
Subtotal all funds	75,000	128,000	(53,000)	-41.4%
Less estimated income	0	60,000	(60,000)	-100.0%
Subtotal general fund appropriation	75,000	68,000	7,000	10.3%
TOTAL				
Total all funds	4,083,153	5,670,955	(1,587,802)	-28.0%
Less estimated income	0	1,443,386	(1,443,386)	-100.0%
Total general fund appropriation	4,083,153	4,227,569	(144,416)	-3.4%

NORTH DAKOTA UNIVERSITY SYSTEM
ANALYSIS OF 2001-03 EXECUTIVE RECOMMENDATION BY LINE ITEM (SB 2003 & 2023)
(NDUS Office, Campuses, Forest Service and UND School of Medicine & Health Sciences)

	[redacted]	[redacted]	Incr (Decr) over 1999-01	
			$ change	% change
[redacted]				
Salaries and Wages	1,909,839	1,783,518	126,321	7.1%
Operating Expenses	442,996	423,601	19,397	4.6%
Equipment	48,011	65,011	(17,000)	-26.1%
Capital Improvements	31,061	31,061	0	0.0%
1997-99 Carryover	0	149,875	(149,875)	-100.0%
Grants	247,486	147,486	100,000	67.8%
Subtotal all funds	2,679,395	2,600,552	78,843	3.0%
Less estimated income	910,526	901,106	9,420	1.0%
Subtotal general fund appropriation	1,768,869	1,699,446	69,423	4.1%
Capital Assets - Major Capital Projects	140,000	48,480	91,520	188.8%
Subtotal all funds	140,000	48,480	91,520	188.8%
Less estimated income	140,000	48,480	91,520	188.8%
Subtotal general fund appropriation	0	0	0	0.0%
TOTAL				
Total all funds	2,819,395	2,649,032	170,363	6.4%
Less estimated income	1,050,526	949,586	100,940	10.6%
Total general fund appropriation	1,768,869	1,699,446	69,423	4.1%
[redacted]				
Operations	28,571,646	0	28,571,646	
Capital Assets (Excluding Major Capital Projects)	0	0	0	
Salaries and Wages	0	32,473,934	(32,473,934)	
Operating Expenses	0	7,588,827	(7,588,827)	
Equipment	0	549,915	(549,915)	
1997-99 Carryover	0	64	(64)	
Total all funds	28,571,646	40,612,740	(12,041,094)	-29.6%
Less estimated income	0	11,112,982	(11,112,982)	-100.0%
Total general fund appropriation	28,571,646	29,499,758	(928,112)	-3.1%

NORTH DAKOTA UNIVERSITY SYSTEM
ANALYSIS OF 2001-03 EXECUTIVE RECOMMENDATION BY LINE ITEM (SB 2003 & 2023)
(NDUS Office, Campuses, Forest Service and UND School of Medicine & Health Sciences)

	2001-03 Exec. Recommendation	1999-01 Adj. Appropriation	Incr (Decr) over 1999-01	
			$$ change	% change
Operating Budget and Capital Improvements:				
Total General Fund	$ 352,591,425	$ 323,054,873	$ 29,536,552	9.1%
Total Estimated Income	3,158,009	171,293,848	(168,135,839)	-98.2%
Total All Funds	355,749,434	494,348,721	(138,599,287)	-28.0%
Capital Assets - Major Capital Projects				
Total General Fund	3,545,000	6,788,765	(3,243,765)	-47.8%
Total Estimated Income	46,237,953	23,894,913	22,343,040	93.5%
Total All Funds	49,782,953	30,683,678	19,099,275	62.2%
TOTAL, EXCLUDING CAPITAL BOND PAYMENTS:				
Total General Fund	356,136,425	329,843,638	26,292,787	8.0%
Total Estimated Income	49,395,962	195,188,761	(145,792,799)	-74.7%
Total All Funds	406,532,387	525,032,399	(119,599,012)	-22.8%
Capital Bond Payments				
Total General Fund	11,261,502	0	11,261,502	100.0%
Total Estimated Income	1,469,339	0	1,469,339	100.0%
Total All Funds	12,730,841	0	12,730,841	100.0%
TOTAL, INCLUDING CAPITAL BOND PAYMENTS:				
Total General Fund	367,397,927	329,843,638	37,554,289	11.4%
Total Estimated Income	50,865,301	195,188,761	(144,323,460)	-73.9%
Total All Funds	$ 418,263,228	$ 525,032,399	$ (106,769,171)	-20.3%

1/ The 1999-01 adjusted appropriation amounts do not include the following: $12 million "other funds" line of credit authorization for UND; Skills Technical Training Center at NDSU - $747,500 general fund and $787,500 other funds; Center for Innovation in Instruction at VCSU - $349,583 general fund; and Prairie Public Broadcasting in the NDUS Office budget - $1,407,513 general fund.

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NORTH DAKOTA UNIVERSITY SYSTEM
ANALYSIS OF 2001-03 EXECUTIVE RECOMMENDATION BY LINE ITEM (SB 2021)
(UPPER GREAT PLAINS TRANSPORTATION INSTITUTE, NORTHERN CROPS INSTITUTE EXTENSION SERVICE, RESEARCH STATIONS & AGRONOMY SEED FARM)

	2001-03 Exec Recommendation	1999-01 Adj Appropriation	Incr (Decr) over 1999-01	
			$$ change	%% change
Upper Great Plains Transportation Institute				
Salaries and Wages	$ 4,057,304	$ 3,081,325	$ 976,039	31.7%
Operating Expenses	2,418,063	2,268,108	149,955	6.6%
Equipment	263,000	256,000	7,000	2.7%
Grants	1,150,000	1,150,000	0	0.0%
Total all funds	7,888,427	6,755,433	1,132,994	16.8%
Less estimated income	7,383,348	6,268,442	1,114,906	17.8%
Total general fund appropriation	505,079	486,991	18,088	3.7%
Northern Crops Institute				
Salaries and Wages	985,010	885,123	99,887	11.3%
Operating Expenses	399,233	118,650	280,583	236.5%
Equipment	72,880	72,880	0	0.0%
Total all funds	1,457,123	1,076,653	380,470	35.3%
Less estimated income	732,697	408,074	324,623	79.6%
Total general fund appropriation	724,426	668,579	55,847	8.4%
Extension Service				
Salaries and Wages	26,183,226	24,965,080	1,218,146	4.9%
Operating Expenses	6,216,970	3,656,836	2,560,134	70.0%
Equipment	697,850	517,850	180,000	34.8%
Grants	580,000	580,000	0	0.0%
Total all funds	33,678,046	29,719,766	3,958,280	13.3%
Less estimated income	19,298,301	16,744,746	2,553,555	15.2%
Total general fund appropriation	14,379,745	12,975,020	1,404,725	10.8%

NORTH DAKOTA UNIVERSITY SYSTEM
ANALYSIS OF 2001-03 EXECUTIVE RECOMMENDATION BY LINE ITEM (SB 2021)
(UPPER GREAT PLAINS TRANSPORTATION INSTITUTE, NORTHERN CROPS INSTITUTE EXTENSION SERVICE, RESEARCH STATIONS & AGRONOMY SEED FARM)

[illegible]	2001-03 Exec Recommendation	1999-01 Adj Appropriation	Incr (Decr) over 1999-01	
			$$ change	%% change
Salaries and Wages	40,952,648	37,754,309	3,198,339	8.5%
Operating Expenses	10,027,903	4,193,330	5,834,573	139.1%
Equipment	1,532,746	1,532,746	0	0.0%
Capital Improvements	415,300	658,800	(243,500)	-37.0%
1997-99 Carryover	0	103,864	(103,864)	-100.0%
Ag Research Grants	2,000,000	2,000,000	0	0.0%
Animal Replacement	300,000	300,000	0	0.0%
Subtotal all funds	55,228,597	46,543,049	8,685,548	18.7%
Less estimated income	26,812,612	20,466,667	6,345,945	31.0%
Subtotal general fund appropriation	28,415,985	26,076,382	2,339,603	9.0%
Major Capital Projects:	0	90,000	(90,000)	-100.0%
Subtotal all funds	0	90,000	(90,000)	-100.0%
Less estimated income	0	90,000	(90,000)	-100.0%
Subtotal general fund appropriation	0	0	0	0.0%
Total:				
Total all funds	55,228,597	46,633,049	8,595,548	18.4%
Less estimated income	26,812,612	20,556,667	6,255,945	30.4%
Total general fund appropriation	28,415,985	26,076,382	2,339,603	9.0%

NORTH DAKOTA UNIVERSITY SYSTEM
ANALYSIS OF 2001-03 EXECUTIVE RECOMMENDATION BY LINE ITEM (SB 2021)
(UPPER GREAT PLAINS TRANSPORTATION INSTITUTE, NORTHERN CROPS INSTITUTE EXTENSION SERVICE, RESEARCH STATIONS & AGRONOMY SEED FARM)

	2001-03 Exec Recommendation	1999-01 Adj Appropriation	Incr (Decr) over 1999-01 $$ change	Incr (Decr) over 1999-01 %% change
Branch Research Centers				
Dickinson Research Center	3,032,653	2,744,804	287,849	10.5%
Central Grasslands Research Center	1,413,962	1,384,499	29,463	2.1%
Hettinger Research Center	1,559,583	1,303,355	256,228	19.7%
Langdon Research Center	1,121,894	1,081,797	40,097	3.7%
North Central Research Center	1,511,068	1,307,598	203,470	15.6%
Williston Research Center	1,413,786	1,130,381	283,405	25.1%
Carrington Research Center	2,829,679	2,449,383	380,296	15.5%
Subtotal all funds	12,882,645	11,401,817	1,480,828	13.0%
Less estimated income	5,133,485	4,341,788	791,697	18.2%
Subtotal general fund appropriation	7,749,160	7,060,029	689,131	9.8%
Major Capital Projects:	890,000	0	890,000	100.0%
Subtotal all funds	890,000	0	890,000	100.0%
Less estimated income	740,000	0	740,000	100.0%
Subtotal general fund appropriation	150,000	0	150,000	100.0%
Total:				
Total all funds	13,772,645	11,401,817	2,370,828	20.8%
Less estimated income	5,873,485	4,341,788	1,531,697	35.3%
Total general fund appropriation	7,899,160	7,060,029	839,131	11.9%
Agronomy Seed Farm				
Single Line-Item Budget	1,154,140	1,112,339	41,801	3.8%
Total estimated income	1,154,140	1,112,339	41,801	3.8%
Major Capital Projects:	105,000	90,000	15,000	16.7%
Subtotal all funds	105,000	90,000	15,000	16.7%
Less estimated income	105,000	90,000	15,000	16.7%
Subtotal general fund appropriation	0	0	0	0.0%
Total:				
Total all funds	1,259,140	1,202,339	56,801	4.7%
Less estimated income	1,259,140	1,202,339	56,801	4.7%
Total general fund appropriation	0	0	0	0.0%

NORTH DAKOTA UNIVERSITY SYSTEM
ANALYSIS OF 2001-03 EXECUTIVE RECOMMENDATION BY LINE ITEM (SB 2021)
(UPPER GREAT PLAINS TRANSPORTATION INSTITUTE, NORTHERN CROPS INSTITUTE EXTENSION SERVICE, RESEARCH STATIONS & AGRONOMY SEED FARM)

TOTAL-ALL:	2001-03 Exec Recommendation	1999-01 Adj Appropriation	Incr (Decr) over 1999-01 $$ change	Incr (Decr) over 1999-01 %% change
Operating Budget and Capital Improvements:				
Total General Fund	$ 51,774,395	$ 47,267,001	$ 4,507,394	9.5%
Total Special Funds	60,514,583	49,342,056	11,172,527	22.6%
Total All Funds	112,288,978	96,609,057	15,679,921	16.2%
Major Capital Projects:				
Total General Fund	150,000	0	150,000	100.0%
Total Special Funds	845,000	180,000	665,000	369.4%
Total All Funds	995,000	180,000	815,000	452.8%
TOTAL-ALL:				
Total General Fund	51,924,395	47,267,001	4,657,394	9.9%
Total Special Funds	61,359,583	49,522,056	11,837,527	23.9%
Total All Funds	$ 113,283,978	$ 96,789,057	$ 16,494,921	17.0%

1/ SB 2021 includes single line-item budgets for the NDSU Branch Research Stations and Agronomy Seed Farm, although major capital projects are listed separately for this analysis.

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North Dakota University System Office
Salaries, Operating and Equipment
Comparison of 1999-01 Budget to 2001-03 Engrossed SB 2003

	1999-01 Budget		2001-03	
	First Year Expenditures 1999-01	Total Budget 1999-01 Biennium	Engrossed SB 2003	Increase (Decrease)
Salaries & Wages	$ 1,035,159	$ 2,203,115	$ 2,309,645	$ 106,530
Operating Expenses:				
IT-Data Processing	23,701	53,316	53,316	-
IT-Telephone	16,726	35,448	35,448	-
IT-Software & Supplies	653	2,803	2,500	(303)
IT-Contractual Services	2,980	6,690	10,000	3,310
Travel	87,812	197,983	133,500	(64,483)
Postage	17,257	40,947	40,779	(168)
Lease/Rent-Equipment		7,400	19,620	12,220
Lease/Rent-Bldg/Land	245	555	555	-
Dues & Professional Development	182,576	399,626	480,561	80,935
Operating Fees & Services	15,912	39,595	39,595	-
Repairs	3,564	4,614	4,614	-
Professional Services	51,587	171,766	50,000	(121,766)
Insurance	150	300	3,300	3,000
Office Supplies	3,400	9,444	6,944	(2,500)
Printing	36,143	106,532	75,532	(31,000)
Professional Supplies	9,250	23,160	13,160	(10,000)
Miscellaneous Supplies	1,401	5,301	5,301	-
	453,357	1,105,480	974,725	(130,755)
Equipment	1,967	26,000	20,000	(6,000)
Total Salaries, Operating & Equipment	$ 1,490,483	$ 3,334,595	$ 3,304,370	$ (30,225)

g:\cathy\excelfil\01-03Engrossed\Office Operating

(4)

		Bismarck State College		Lake Region State College		Williston State College		University of North Dakota	
		1999-01	Exec Rec	1999-01	Exec Rec	1999-01	Exec Rec	1999-01	Exec Rec
Salaries	1001	11,154,213	11,179,433	2,848,053	3,090,999	3,915,222	3,987,792	71,409,078	72,100,038
Temp, OT, and Shift dif	1002	2,273,664	2,299,730	619,853	413,626	404,235	356,026	10,071,793	10,119,618
Benefits	1008	3,584,287	3,870,738	968,130	1,063,279	1,277,870	1,375,457	21,111,940	23,082,980
Salary budget adjustment	1900	-	(8,229,476)	-	(1,460,504)	-	(2,416,928)		(55,193,076)
IT- Data processing	3002	200,300	206,300	58,764	60,232	59,105	56,351	100,081	100,081
IT - Telephone	3003	245,000	248,400	65,790	68,976	66,333	68,750	2,699,078	2,976,708
Travel	3004	302,100	317,100	114,229	107,084	141,653	152,048	1,134,824	1,130,763
IT-software supplies	3005	72,725	74,925	20,625	16,139	-		27,000	27,000
IT Utilities	3006	979,000	1,175,500	335,045	396,649	236,195	277,819	9,026,055	8,957,619
Postage	3007	128,325	141,325	67,170	68,849	25,241	26,179	628,967	628,967
IT-Contractual Services	3008	93,600	96,400						
Lease/Rent Equipment	3011	104,500	104,500	35,376	36,246	8,801	9,044	1,141,299	1,141,299
Lease/Rent Buildings	3012	211,068	255,069			132,426	132,425	9,560	9,561
Dues and Prof Development	3013	123,160	128,815	40,687	41,694	23,146	24,300	663,806	663,806
Operating Fees and Services	3014	108,100	111,340	3,997	4,036	60,980	63,310	1,790,556	1,769,556
Repairs	3016	594,197	501,925	160,408	73,918	155,735	140,618	5,134,455	5,045,869
Professional Services	3018	406,300	418,490	144,723	147,393	55,773	57,846	2,573,675	2,571,675
Insurance	3019	53,400	84,220	27,476	40,022	17,644	28,538	883,933	1,095,740
Office Supplies	3021	284,870	286,800	75,492	77,353	65,687	57,890	1,339,251	1,304,603
Printing	3024	121,900	125,550	39,383	40,358	37,157	38,538	12,195	12,195
Prof supplies	3025	505,980	520,965	121,359	124,392	209,520	212,750	324,494	305,802
food and clothing	3027	5,300	5,300			1,779	1,845		
Bldg, grounds, and veh maint	3030	264,750	272,650	41,244	42,275	31,787	32,968		
Misc Supplies	3033	240,637	197,494	59,394	60,877	192,154	199,295	580,848	469,332
IT equipment	4003	232,000	232,000	96,208	71,208	165,294	139,294	307,950	307,950
Other equipment	4020	183,262	142,482	64,130	64,130	80,302	72,302	1,451,810	1,312,310
Cap improvements -	5000	1,110,444	250,000	1,094,318	74,831	4,588,790	88,790	21,388,981	17,562,921
IVAN								2,067,107	
ODIN								587,714	
HECN/Campus comp center								9,742,521	
Special initiatives pool								1,950,864	1,486,051
Fargo Skills Center/CII									
Local funds	7090	11,370,000		6,403,766		2,667,077		282,733,609	
Carryover - gf	7991	114,335		39,393		249,623		150,116	
Carryover - spec funds	7993	71,198		48,734		46,507		641,392	
		35,138,615	15,017,975	13,593,747	4,724,062	14,920,036	5,183,247	451,684,952	98,999,368
General		15,347,641	15,017,975	5,192,441	4,724,062	5,406,188	5,183,247	96,135,593	83,798,583
Special		19,790,974		8,401,306		9,513,848		355,549,359	15,200,785
		35,138,615	15,017,975	13,593,747	4,724,062	14,920,036	5,183,247	451,684,952	98,999,368

		NDSU		CS		DSU		Mayville St U	
		1999-01	Exec Rec	1999-01	Exec Rec	1999-01	Exec Rec	1999-01	Exec Rec
Salaries	1001	63,469,534	64,987,678	17,619,600	17,105,254	10,033,385	10,168,405	5,610,451	5,715,683
Temp, OT, and Shift dif	1002	6,347,433	5,792,225	1,388,768	1,388,768	1,282,254	1,370,061	779,574	731,946
Benefits	1008	17,851,454	20,516,891	5,605,118	5,942,840	3,244,011	3,450,947	1,864,946	2,101,140
Salary budget adjustment	1900		(52,422,295)		(8,906,377)		(6,759,565)		(3,088,916)
IT- Data processing	3002	124,323	109,494	151,700	151,700	79,290	82,245	49,400	49,400
IT - Telephone	3003	677,226	1,418,469	432,245	432,245	286,269	285,000	193,000	187,653
Travel	3004	1,190,637	1,036,604	420,354	376,164	425,787	441,655	158,170	148,670
IT-software supplies	3005	166,072	166,072	128,439	128,439	32,230	33,431	22,500	22,500
IT Utilities	3006	8,316,537	9,102,552	2,079,392	2,375,355	1,164,786	1,270,976	722,152	911,224
Postage	3007	485,505	451,694	139,830	139,830	154,887	160,658	49,830	47,280
IT-Contractual Services	3008							118,400	118,400
Lease/Rent Equipment	3011	1,262,704	560,204	24,200	24,200	20,130	20,880	52,800	50,300
Lease/Rent Buildings	3012	4,747	4,747	1,152	1,152	2,850	2,956	20,000	20,000
Dues and Prof Development	3013	590,565	529,994	66,804	66,804	57,769	59,922	45,420	42,920
Operating Fees and Services	3014	771,111	747,571	90,405	90,405	135,586	115,569	11,850	11,850
Repairs	3016	1,735,094	2,161,052	761,257	546,474	444,095	460,652	113,400	102,880
Professional Services	3018	835,607	763,139			167,295	173,530	153,041	138,397
Insurance	3019	390,856	710,856	194,700	241,667	46,000	72,785	52,000	63,863
Office Supplies	3021	530,045	508,022	127,345	103,695	266,771	276,713	57,165	54,188
Printing	3024	1,083,736	1,130,389	293,196	249,484	178,010	184,644	78,095	72,745
Prof supplies	3025	2,597,625	2,041,799	980,337	850,637	306,324	317,742	188,100	189,200
food and clothing	3027	17,767	17,767	12,700	12,700	12,000	12,447	2,800	2,800
Bldg, grounds, and veh maint	3030	497,453	487,177	269,600	169,600	226,000	234,428	40,000	35,417
Misc Supplies	3033	635,009	835,009	372,247	292,247	106,837	110,820	83,027	75,237
IT equipment	4003	1,472,053	1,472,053	334,500	334,500	282,124	282,124	54,000	54,000
Other equipment	4020	395,747	395,747	1,059,868	777,345	262,147	231,787	116,500	31,250
Cap improvements -	5000	12,947,531	23,784,699	2,635,885	3,883,500	3,898,550	393,962	1,092,156	724,589
IVAN									
ODIN									
HECN/Campus comp center		9,679,374							
Special initiatives pool									
Fargo Skills Center/CII		1,535,000							
Local funds	7090	111,620,179		15,580,000		8,221,397		7,400,000	
Carryover - gf	7991	80,740		8,045		737,605		135,063	
Carryover - spec funds	7993			174,105		29,479		85,557	
		247,311,764	87,309,609	50,951,792	26,776,628	32,103,868	13,454,794	19,349,397	8,594,596
General		75,272,671	65,262,441	25,711,851	25,776,628	14,309,603	13,454,794	8,955,122	8,594,596
Special		171,939,093	22,047,168	25,239,941	1,000,000	17,794,265		10,394,275	
		247,211,764	87,309,609	50,951,792	26,776,628	32,103,868	13,454,794	19,349,397	8,594,596

		Minot St Univ		y City		Bottineau	
		1999-01	Exec Rec	1999-01	Exec Rec	1999-01	Exec Rec
Salaries	1001	21,555,359	21,903,679	7,838,893	8,086,231	2,730,545	2,821,295
Temp, OT, and Shift dif	1002	1,624,075	1,314,093	934,378	811,270	358,483	320,090
Benefits	1008	6,325,670	6,850,516	2,515,108	2,855,008	980,754	1,070,893
Salary budget adjustment	1900		(12,130,291)		(4,194,066)		(1,573,580)
IT- Data processing	3002	138,183	138,183	19,965	19,965	63,120	65,396
IT - Telephone	3003	470,000	347,200	206,000	289,000	32,872	34,366
Travel	3004	469,618	469,618	236,668	233,668	87,120	90,413
IT-software supplies	3005	127,097	127,097	83,132	83,132		
IT Utilities	3006	1,692,096	1,819,240	777,075	824,861	303,589	337,197
Postage	3007	180,941	180,941	77,607	77,607	57,473	59,645
IT-Contractual Services	3008			213,351	213,351		
Lease/Rent Equipment	3011	70,538	70,538	5,460	5,460	7,632	7,921
Lease/Rent Buildings	3012	15,599	15,599	23,200	23,200		
Dues and Prof Development	3013	146,541	144,582	78,405	75,905	23,675	24,570
Operating Fees and Services	3014	58,333	58,333	7,730	7,730	2,783	2,889
Repairs	3016	523,388	519,046	140,820	130,801	37,899	39,314
Professional Services	3018	529,236	529,236	202,742	191,742	52,477	54,573
Insurance	3019	103,989	153,509	59,200	76,444	17,569	29,934
Office Supplies	3021	169,346	169,346	100,105	90,170	32,815	34,055
Printing	3024	219,704	219,704	88,638	79,721	69,088	71,699
Prof supplies	3025	604,937	604,937	384,018	357,238	106,707	96,470
food and clothing	3027	19,323	19,323	3,000	3,000	3,260	3,383
Bldg, grounds, and veh maint	3030	231,122	181,602	93,682	84,663	130,445	107,376
Misc Supplies	3033	273,532	273,532	39,369	38,369	48,261	50,091
IT equipment	4003	529,376	449,376	224,900	224,900	86,995	69,195
Other equipment	4020	388,553	388,554	98,200	98,200	78,305	78,305
Cap improvements -	5000	1,050,466	8,262,850	762,386	1,115,334	218,130	187,663
IVAN							
ODIN							
HECN/Campus comp center							
Special initiatives pool				68,714			
Fargo Skills Center/CII				299,583			
Local funds	7090	19,003,936		8,820,000		2,578,570	
Carryover - gf	7991	911,729		20,000		132,708	
Carryover - spec funds	7993	247,224		64,840		8,250	
		57,679,913	33,080,343	24,489,169	11,882,904	8,249,525	4,083,153
General		26,526,901	33,080,343	11,710,543	11,882,904	4,227,569	
Special		31,153,012		12,778,626		4,021,956	
		57,679,913	33,080,343	24,489,169	11,882,904	8,249,525	-

Co[illegible]dgets by Object Code

		Bismarck State College			[illegible] Region State College			Williston State College		
		1999-01	Exec Rec	Senate	1999-01	Exec Rec	Senate	1999-01	Exec Rec	Senate
Salaries	1001	11,154,213	11,179,433	11,179,433	2,848,053	3,090,999	3,090,999	3,915,222	3,987,792	3,987,792
Temp, OT, and Shift dif	1002	2,273,864	2,299,730	2,299,730	619,853	413,626	413,626	404,235	356,026	356,026
Benefits	1008	3,584,287	3,870,738	3,870,738	968,130	1,063,279	1,063,279	1,277,870	1,375,457	1,375,457
Salary budget adjustment	1900	-	(8,229,476)	(8,229,476)	-	(1,460,504)	(1,460,504)	-	(2,416,928)	(2,416,928)
IT- Data processing	3002	200,300	206,300	206,300	58,764	60,232	60,232	59,105	56,351	56,351
IT - Telephone	3003	245,000	248,400	248,400	65,790	68,976	68,976	66,333	68,750	68,750
Travel	3004	302,100	317,100	317,100	114,229	107,084	107,084	141,653	152,048	152,048
IT-software supplies	3005	72,725	74,925	74,925	20,625	16,139	16,139	-		
IT Utilities	3006	979,000	1,175,500	1,175,500	335,045	396,649	396,649	236,195	277,819	277,819
Postage	3007	128,325	141,325	141,325	67,170	68,849	68,849	25,241	26,179	26,179
IT-Contractual Services	3008	93,600	96,400	96,400						
Lease/Rent Equipment	3011	104,500	104,500	104,500	35,376	36,246	36,246	8,801	9,044	9,044
Lease/Rent Buildings	3012	211,068	255,069	255,069				132,426	132,425	132,425
Dues and Prof Development	3013	123,160	128,815	128,815	40,687	41,694	41,694	23,146	24,300	24,300
Operating Fees and Services	3014	106,100	111,340	111,340	3,997	4,036	4,036	60,980	63,310	63,310
Repairs	3016	594,197	501,925	501,925	160,408	73,918	73,918	155,735	140,618	140,618
Professional Services	3018	406,300	418,490	418,490	144,723	147,393	147,393	55,773	57,846	57,846
Insurance	3019	53,400	84,220	84,220	27,476	40,022	40,022	17,644	28,538	28,538
Office Supplies	3021	284,870	286,800	286,800	75,492	77,353	77,353	65,687	57,890	57,890
Printing	3024	121,900	125,550	125,550	39,383	40,358	40,358	37,157	38,538	38,538
Prof supplies	3025	505,980	520,965	520,965	121,359	124,392	124,392	209,520	212,750	212,750
food and clothing	3027	5,300	5,300	5,300				1,779	1,845	1,845
Bldg, grounds, and veh maint	3030	264,750	272,650	272,650	41,244	42,275	42,275	31,787	32,968	32,968
Misc Supplies	3033	240,637	197,494	197,494	59,394	60,877	60,877	192,154	199,295	199,295
IT equipment	4003	232,000	232,000	232,000	96,208	71,208	71,208	169,294	139,294	139,294
Other equipment	4020	183,262	142,482	142,482	64,130	64,130	64,130	80,302	72,302	72,302
Cap improvements -	5000	1,110,444	250,000	250,000	1,094,318	74,831	74,831	4,588,790	88,790	88,790
IVAN										
ODIN										
HECN/Campus comp center										
Special initiatives pool										
Fargo Skills Center/CII										
Local funds	7090	11,370,000			6,403,766			2,667,077		
Carryover - gf	7991	114,335			39,393			249,623		
Carryover - spec funds	7993	71,198			48,734			46,507		
		35,138,615	15,017,975	15,017,975	13,593,747	4,724,062	4,724,062	14,920,036	5,183,247	5,183,247
General		15,347,641	15,017,975	15,017,975	5,192,441	4,724,062	4,724,062	5,406,188	5,183,247	5,183,247
Special		19,790,974			8,401,306			9,513,848		
		35,138,615	15,017,975	15,017,975	13,593,747	4,724,062	4,724,062	14,920,036	5,183,247	5,183,247

Col[illegible]gets by Object Code

		University of North Dakota			NDSU		
		1999-01	Exec Rec	Senate	1999-01	Exec Rec	Senate
Salaries	1001	71,409,078	72,100,038	72,100,038	63,469,534	64,967,678	64,967,678
Temp, OT, and Shift dif	1002	10,071,793	10,119,618	10,119,618	6,347,433	5,792,225	5,792,225
Benefits	1006	21,111,940	23,092,980	23,092,980	17,851,454	20,516,891	20,516,891
Salary budget adjustment	1900		(55,193,076)	(55,193,076)		(52,422,295)	(52,422,295)
IT- Data processing	3002	100,061	100,061	100,061	124,323	109,494	109,494
IT - Telephone	3003	2,699,078	2,976,708	2,976,708	677,226	1,418,469	1,418,469
Travel	3004	1,134,824	1,130,763	1,130,763	1,190,637	1,036,604	1,036,604
IT-software supplies	3005	27,000	27,000	27,000	166,072	166,072	166,072
IT Utilities	3006	9,026,055	8,957,619	8,957,619	8,316,637	9,102,552	9,102,552
Postage	3007	628,967	628,967	628,967	485,505	451,694	451,694
IT-Contractual Services	3008						
Lease/Rent Equipment	3011	1,141,299	1,141,299	1,141,299	1,262,704	560,204	560,204
Lease/Rent Buildings	3012	9,560	9,561	9,561	4,747	4,747	4,747
Dues and Prof Development	3013	663,806	663,806	663,806	590,565	529,994	529,994
Operating Fees and Services	3014	1,790,556	1,769,556	1,769,556	771,111	747,571	747,571
Repairs	3016	5,134,455	5,045,869	5,045,869	1,735,094	2,161,052	2,161,052
Professional Services	3018	2,573,675	2,571,675	2,571,675	835,607	763,139	763,139
Insurance	3019	883,933	1,095,740	1,095,740	390,856	710,856	710,856
Office Supplies	3021	1,339,251	1,304,603	1,304,603	530,045	508,022	508,022
Printing	3024	12,195	12,195	12,195	1,083,736	1,130,389	1,130,389
Prof supplies	3025	324,494	305,802	305,802	2,597,625	2,041,799	2,041,799
food and clothing	3027				17,767	17,767	17,767
Bldg, grounds, and veh maint	3030				497,453	487,177	487,177
Misc Supplies	3033	580,848	469,332	469,332	635,009	835,009	835,009
IT equipment	4003	307,950	307,950	307,950	1,472,053	1,472,053	1,472,053
Other equipment	4020	1,451,810	1,312,310	1,312,310	395,747	395,747	395,747
Cap improvements -	5000	21,388,981	17,562,921	21,062,921	12,947,531	23,784,699	37,103,879
IVAN		2,067,107					
ODIN		587,714					
HECN/Campus comp center		9,742,521			9,679,374		
Special initiatives pool		1,950,864	1,486,051	1,486,051			
Fargo Skills Center/CII					1,535,000		
Local funds	7090	282,733,609			111,620,179		
Carryover - gf	7991	150,116			80,740		
Carryover - spec funds	7993	641,392					
		451,684,952	98,999,368	102,499,368	247,311,764	87,309,609	100,628,789
General		96,135,593	83,798,583	83,798,583	75,272,671	65,262,441	65,262,441
Special		355,549,359	15,200,785	18,700,785	171,939,093	22,047,168	35,366,348
		451,684,952	98,999,368	102,499,368	247,211,764	87,309,609	100,628,789

Co[illegible]gets by Object Code

		NDSCS			DSU			Mayville St Univ		
		1999-01	Exec Rec	Senate	1999-01	Exec Rec	Senate	1999-01	Exec Rec	Senate
Salaries	1001	17,619,800	17,105,254	17,105,254	10,033,385	10,168,405	10,168,405	5,610,451	5,715,683	5,715,683
Temp, OT, and Shift dif	1002	1,388,768	1,388,768	1,388,768	1,282,254	1,370,081	1,370,081	779,574	731,946	731,946
Benefits	1006	5,605,118	5,942,840	5,942,840	3,244,011	3,450,947	3,450,947	1,864,946	2,101,140	2,101,140
Salary budget adjustment	1900		(8,908,377)	(8,908,377)		(6,759,565)	(6,759,565)		(3,088,916)	(3,088,916)
IT- Data processing	3002	151,700	151,700	151,700	79,290	82,245	82,245	49,400	49,400	49,400
IT - Telephone	3003	432,245	432,245	432,245	286,269	285,000	285,000	193,000	187,653	187,653
Travel	3004	420,354	376,164	376,164	425,787	441,655	441,655	158,170	148,670	148,670
IT-software supplies	3005	128,439	128,439	128,439	32,230	33,431	33,431	22,500	22,500	22,500
IT Utilities	3006	2,079,392	2,375,355	2,375,355	1,164,786	1,270,976	1,270,976	722,152	911,224	911,224
Postage	3007	139,830	139,830	139,830	154,887	160,658	160,658	49,830	47,280	47,280
IT-Contractual Services	3008							118,400	118,400	118,400
Lease/Rent Equipment	3011	24,200	24,200	24,200	20,130	20,880	20,880	52,800	50,300	50,300
Lease/Rent Buildings	3012	1,152	1,152	1,152	2,850	2,956	2,956	20,000	20,000	20,000
Dues and Prof Development	3013	66,804	66,804	66,804	57,769	59,922	59,922	45,420	42,920	42,920
Operating Fees and Services	3014	90,405	90,405	90,405	135,596	115,569	115,569	11,850	11,850	11,850
Repairs	3016	761,257	546,474	546,474	444,095	460,652	460,652	113,400	102,860	102,860
Professional Services	3018				167,295	173,530	173,530	153,041	138,397	138,397
Insurance	3019	194,700	241,667	241,667	46,000	72,785	72,785	52,000	63,863	63,863
Office Supplies	3021	127,345	103,695	103,695	266,771	276,713	276,713	57,165	54,188	54,188
Printing	3024	293,196	249,484	249,484	178,010	184,644	184,644	78,095	72,745	72,745
Prof supplies	3025	980,337	850,637	850,637	306,324	317,742	317,742	188,100	169,200	169,200
food and clothing	3027	12,700	12,700	12,700	12,000	12,447	12,447	2,800	2,800	2,800
Bldg, grounds, and veh maint	3030	269,600	169,600	169,600	226,000	234,428	234,428	40,000	35,417	35,417
Misc Supplies	3033	372,247	292,247	292,247	106,837	110,820	110,820	83,027	75,237	75,237
IT equipment	4003	334,500	334,500	334,500	282,124	282,124	282,124	54,000	54,000	54,000
Other equipment	4020	1,059,868	777,345	777,345	262,147	231,787	231,787	116,500	31,250	31,250
Cap improvements -	5000	2,635,885	3,883,500	8,100,220	3,898,550	393,962	393,962	1,092,156	724,589	4,724,589
IVAN										
ODIN										
HECN/Campus comp center										
Special initiatives pool										
Fargo Skills Center/CII										
Local funds	7090	15,580,000			8,221,397			7,400,000		
Carryover - gf	7991	8,045			737,605			135,063		
Carryover - spec funds	7993	174,105			29,479			85,557		
		50,951,792	26,776,628	30,993,348	32,103,868	13,454,794	13,454,794	19,349,397	8,594,596	12,594,596
General		25,711,851	25,776,628	25,776,628	14,309,603	13,454,794	13,454,794	8,955,122	8,594,596	8,594,596
Special		25,239,941	1,000,000	5,216,720	17,794,265			10,394,275		4,000,000
		50,951,792	26,776,628	30,993,348	32,103,868	13,454,794	13,454,794	19,349,397	8,594,596	12,594,596

Co[illegible]gets by Object Code

		Minot St Univ			Valley City			Bottineau		
		1999-01	Exec Rec	Senate	1999-01	Exec Rec	Senate	1999-01	Exec Rec	
Salaries	1001	21,586,389	21,903,679	21,903,679	7,838,865	8,066,231	8,066,231	2,730,545	2,821,295	2,821,295
Temp, OT, and Shift dif	1002	1,824,075	1,314,083	1,314,083	934,378	811,270	811,270	358,483	320,090	320,090
Benefits	1006	6,325,670	6,860,516	6,860,516	2,515,108	2,855,008	2,855,008	980,754	1,070,893	1,070,893
Salary budget adjustment	1999		(12,130,291)	(12,130,291)		(4,194,086)	(4,194,086)		(1,573,580)	(1,573,580)
IT- Data processing	3002	138,183	138,183	138,183	19,985	19,985	19,985	63,120	65,396	65,396
IT - Telephone	3003	470,000	347,200	347,200	208,000	289,000	289,000	32,872	34,366	34,366
Travel	3004	468,618	468,618	468,618	236,868	233,868	233,868	87,120	90,413	90,413
IT-software supplies	3005	127,087	127,087	127,087	83,132	83,132	83,132			
IT Utilities	3006	1,882,088	1,819,240	1,819,240	777,075	824,861	824,861	303,589	337,197	337,197
Postage	3007	180,941	180,941	180,941	77,807	77,807	77,807	57,473	59,645	59,645
IT-Contractual Services	3008				213,351	213,351	213,351			
Lease/Rent Equipment	3011	70,538	70,538	70,538	5,460	5,460	5,460	7,632	7,921	7,921
Lease/Rent Buildings	3012	15,589	15,589	15,589	23,200	23,200	23,200			
Dues and Prof Development	3013	146,541	144,582	144,582	78,405	75,905	75,905	23,675	24,570	24,570
Operating Fees and Services	3014	58,333	58,333	58,333	7,730	7,730	7,730	2,783	2,889	2,889
Repairs	3016	523,388	519,046	519,046	140,820	130,801	130,801	37,899	39,314	39,314
Professional Services	3018	529,236	529,236	529,236	202,742	191,742	191,742	52,477	54,573	54,573
Insurance	3019	103,989	153,509	153,509	59,200	76,444	76,444	17,569	29,934	29,934
Office Supplies	3021	169,346	169,346	169,346	100,105	90,170	90,170	32,815	34,055	34,055
Printing	3024	219,704	219,704	219,704	88,638	79,721	79,721	69,088	71,699	71,699
Prof supplies	3025	604,937	604,937	604,937	384,018	357,238	357,238	106,707	96,470	96,470
food and clothing	3027	19,323	19,323	19,323	3,000	3,000	3,000	3,260	3,383	3,383
Bldg, grounds, and veh maint	3030	231,122	181,602	181,602	93,682	84,663	84,663	130,445	107,376	107,376
Misc Supplies	3033	273,532	273,532	273,532	39,369	38,369	38,369	48,261	50,091	50,091
IT equipment	4003	529,376	449,376	449,376	224,900	224,900	224,900	86,995	69,195	69,195
Other equipment	4020	388,553	388,554	388,554	98,200	98,200	98,200	78,305	78,305	78,305
Cap improvements -	5000	1,050,486	8,262,850	8,262,850	762,386	1,115,334	1,115,334	218,130	187,663	187,663
IVAN										
ODIN										
HECN/Campus comp center										
Special initiatives pool					68,714					
Fargo Skills Center/CII					299,583					
Local funds	7090	19,003,936			8,820,000			2,578,570		
Carryover - gf	7991	911,729			20,000			132,708		
Carryover - spec funds	7993	247,224			64,840			8,250		
		57,679,913	33,080,343	33,080,343	24,489,169	11,882,904	11,882,904	8,249,525	4,083,153	4,083,153
General		26,526,901	25,230,343	25,230,343	11,710,543	11,882,904	11,882,904	4,227,569	4,083,153	4,083,153
Special		31,153,012	7,850,000	7,850,000	12,778,626			4,021,956		
		57,679,913	33,080,343	33,080,343	24,489,169	11,882,904	11,882,904	8,249,525	4,083,153	4,083,153

OPTIONAL ADJUSTMENTS SUMMARY

227 BISMARCK STATE COLLEGE

Version: 2001-0227-B-01

Priority	Optional Adjustment	Reporting Level	FTE Change	Federal Fund	Special Fund	General Fund	Total Adjustment
1	Reallocation of Cost to Continue	00-227-100-00-00-00-00	1.50	0	0	92,005	92,005
2	Reallocation of Cost to Continue	00-227-300-00-00-00-00	0.00	0	0	30,820	30,820
3	Institutional Innovation	00-227-100-00-00-00-00	0.75	0	116,548	0	116,548
4	Renewal-Replacement	00-227-300-00-00-00-00	0.00	0	378,600	0	378,600
5	Technology Applications-Access	00-227-200-00-00-00-00	1.00	0	10,252	119,590	129,842
6	Technology Application-Access	00-227-100-00-00-00-00	0.75	0	0	135,000	135,000
7	Institutional Innovation	00-227-200-00-00-00-00	1.00	0	0	95,000	95,000
8	Institutional Innovatio	00-227-100-00-00-00-00	0.00	0	52,500	17,500	70,000
	TOTAL ADJUSTMENTS		5.00	0	557,900	489,915	1,047,815

Priority	Optional Adjustment	Reporting Level	FTE Change	Federal Fund	Special Fund	General Fund	Total Adjustment
1	Restoration - Repairs	00-228-300-00-00-00-00	0.00	0	42,867	0	42,867
2	Restoration - Cap. Improvements	00-228-300-00-00-00-00	0.00	0	5,064	0	5,064
3	Reinvest - Instructional fees	00-228-100-00-00-00-00	0.00	0	18,969	16,477	35,446
4	Reinvest - Nursing Instructor	00-228-100-00-00-00-00	0.75	0	0	44,054	44,054
5	Tech. Applications pool	00-228-100-00-00-00-00	0.00	0	0	65,017	65,017
6	Instit. Innovation pool	00-228-200-00-00-00-00	1.00	0	0	86,635	86,635
7	Renewal-Replacement pool	00-228-300-00-00-00-00	0.00	0	0	81,980	81,980
	TOTAL ADJUSTMENTS		1.75	0	66,900	294,163	361,063

OPTIONAL ADJUSTMENTS SUMMARY

229 WILLISTON STATE COLLEGE

Version: 2001-0229-B-01

Date: 3/8/01
Time: 15:33:31

Priority	Optional Adjustment	Reporting Level	FTE Change	Federal Fund	Special Fund	General Fund	Total Adjustment
1	Reallocate Cost to Continue	00-229-100-00-00-00-00	0.00	0	79,459	0	79,459
6	Reallocate Cost to Continue	00-229-200-00-00-00-00	0.00	0	10,238	0	10,238
11	Technology Applications-Access	00-229-100-00-00-00-00	1.00	0	12,116	55,755	67,871
16	Technology Applications-Access	00-229-200-00-00-00-00	0.00	0	0	11,684	11,684
21	Institutional Innovation	00-229-200-00-00-00-00	1.00	0	0	69,772	69,772
26	Institutional Innovation	00-229-100-00-00-00-00	0.00	0	0	26,249	26,249
31	Renewal-Replacement	00-229-300-00-00-00-00	0.00	0	0	56,743	56,743
	TOTAL ADJUSTMENTS		2.00	0	101,813	220,203	322,016

OPTIONAL ADJUSTMENTS SUMMARY

230 UNIVERSITY OF NORTH DAKOTA

Version: 2001-0230-B-01

Date: 3/8/01
Time: 15:33:46

Priority	Optional Adjustment	Reporting Level	FTE Change	Federal Fund	Special Fund	General Fund	Total Adjustment
1	Cost to Continue	00-230-100-00-00-00-00	0.00	0	722,419	79,731	802,150
2	Cost to Continue	00-230-200-00-00-00-00	0.00	0	643,080	285,690	928,770
3	Cost to Continue	00-230-300-00-00-00-00	0.00	0	343,124	0	343,124
4	Cost to Continue	00-230-400-00-00-00-00	0.00	0	448	0	448
5	Technology Applications-Access Funds	00-230-200-00-00-00-00	5.00	0	1,129,275	14,600	1,143,875
6	Institutional Innovation	00-230-200-00-00-00-00	11.00	0	1,530,398	181,223	1,711,621
7	Institutional Innovation	00-230-100-00-00-00-00	0.00	0	0	49,931	49,931
8	Renewal-Replacement	00-230-300-00-00-00-00	0.00	0	144,759	2,004,364	2,149,123
	TOTAL ADJUSTMENTS		**16.00**	**0**	**4,513,503**	**2,615,539**	**7,129,042**

OPTIONAL ADJUSTMENTS SUMMARY

232 UND-MEDICAL CENTER

Version: 2001-0232-B-01

Date: 3/8/01
Time: 15:34:00

Priority	Optional Adjustment	Reporting Level	FTE Change	Federal Fund	Special Fund	General Fund	Total Adjustment
1	Cost to Continue	00-232-100-00-00-00-00	0.00	0	0	458,090	458,090
2	Technology Applications-Access	00-232-100-00-00-00-00	1.00	0	0	227,103	227,103
3	Institutional Innovation	00-232-100-00-00-00-00	1.00	0	0	555,786	555,786
	TOTAL ADJUSTMENTS		2.00	0	0	1,240,979	1,240,979

OPTIONAL ADJUSTMENTS SUMMARY

235 NORTH DAKOTA STATE UNIVERSITY

Version: 2001-0235-B-01

Date: 3/8/01
Time: 15:34:28

Priority	Optional Adjustment	Reporting Level	FTE Change	Federal Fund	Special Fund	General Fund	Total Adjustment
1	Restoration & Reinvestment	00-235-100-00-00-00-00	0.00	0	2,333,729	0	2,333,729
2	Restoration & Reinvestment	00-235-200-00-00-00-00	0.00	0	215,665	0	215,665
3	Restoration & Reinvestment	00-235-300-00-00-00-00	0.00	0	117,815	0	117,815
4	Renewal & Replacement Adjustment	00-235-300-00-00-00-00	0.00	0	1,296,300	0	1,296,300
5	Institutional Innovation	00-235-100-00-00-00-00	11.50	0	212,787	1,171,897	1,384,684
6	Technology Applications	00-235-200-00-00-00-00	6.00	0	0	713,632	713,632
7	Technology Applications	00-235-100-00-00-00-00	3.00	0	0	350,077	350,077
	TOTAL ADJUSTMENTS		**20.50**	**0**	**4,176,296**	**2,235,606**	**6,411,902**

OPTIONAL ADJUSTMENTS SUMMARY

238 ND STATE COLLEGE OF SCIENCE

Version: 2001-0238-B-01

Date: 3/8/01
Time: 15:34:42

Priority	Optional Adjustment	Reporting Level	FTE Change	Federal Fund	Special Fund	General Fund	Total Adjustment
1	Restoration of Cost to Continue	00-238-100-00-00-00-00	0.00	0	150,128	0	150,128
2	Restoration of Cost to Continue	00-238-200-00-00-00-00	0.00	0	57,445	0	57,445
3	Restoration of Cost to Continue	00-238-300-00-00-00-00	0.00	0	53,857	0	53,857
4	Restoration of Cost to Cont	00-238-100-00-00-00-00	0.00	0	88,077	0	88,077
5	Restoration of Cost to Cont	00-238-200-00-00-00-00	0.00	0	16,506	57,332	73,838
6	Restoration of Cost to Cont	00-238-300-00-00-00-00	0.00	0	0	330,299	330,299
7	Technology Applications-Access	00-238-200-00-00-00-00	1.00	0	0	306,598	306,598
8	Institutional Innovation	00-238-200-00-00-00-00	2.00	0	0	435,987	435,987
9	Renewal-Replacement	00-238-300-00-00-00-00	0.00	0	0	433,726	433,726
	TOTAL ADJUSTMENTS		**3.00**	**0**	**366,013**	**1,563,942**	**1,929,955**

OPTIONAL ADJUSTMENTS SUMMARY

239 DICKINSON STATE UNIVERSITY

Version: 2001-0239-B-01 §

Date: 3/8/01
Time: 15:34:54

Priority	Optional Adjustment	Reporting Level	FTE Change	Federal Fund	Special Fund	General Fund	Total Adjustment
1	RESTORATION AND REALLOCATION	00-239-100-00-00-00-00	0.00	0	317,894	0	317,894
2	INSTITUTIONAL INNOVATION	00-239-100-00-00-00-00	2.00	0	113,525	135,953	249,478
3	TECHNOLOGY APPLICATIONS	00-239-100-00-00-00-00	0.00	0	0	201,440	201,440
4	RENEWAL-REPLACEMENT	00-239-300-00-00-00-00	0.00	0	0	45,630	45,630
	TOTAL ADJUSTMENTS		**2.00**	**0**	**431,419**	**383,023**	**814,442**

OPTIONAL ADJUSTMENTS SUMMARY

240 MAYVILLE STATE UNIVERSITY

Version: 2001-0240-B-01

Date: 3/8/01
Time: 15:35:15

Priority	Optional Adjustment	Reporting Level	FTE Change	Federal Fund	Special Fund	General Fund	Total Adjustment
1	Restoration of cost to continue	00-240-100-00-00-00-00	0.00	0	169,802	48,794	218,596
2	Restoration of cost to continue	00-240-200-00-00-00-00	0.00	0	0	98,082	98,082
3	Restoration of cost to continue	00-240-300-00-00-00-00	0.00	0	0	37,406	37,406
4	Institutional Innovation	00-240-200-00-00-00-00	1.50	0	0	148,831	148,831
5	Technology Applications-Access	00-240-100-00-00-00-00	0.00	0	0	98,043	98,043
6	Renewal and Replacement	00-240-300-00-00-00-00	0.00	0	0	99,824	99,824
	TOTAL ADJUSTMENTS		1.50	0	169,802	530,980	700,782

OPTIONAL ADJUSTMENTS SUMMARY

241 MINOT STATE UNIVERSITY

Version: 2001-0241-B-01

Date: 3/8/01
Time: 15:35:38

Priority	Optional Adjustment	Reporting Level	FTE Change	Federal Fund	Special Fund	General Fund	Total Adjustment
1	Restoration & Reallocation	00-241-100-00-00-00-00	0.00	0	488,499	0	488,499
2	Restoration & Reallocation	00-241-200-00-00-00-00	0.00	0	123,600	0	123,600
3	Restoration & Reallocation	00-241-300-00-00-00-00	0.00	0	65,000	49,520	114,520
4	Restoration & Reallocation	00-241-400-00-00-00-00	0.00	0	4,868	0	4,868
5	Renewal & Replacement Adjustment	00-241-300-00-00-00-00	0.00	0	108,266	357,494	465,760
6	Technology Applications	00-241-100-00-00-00-00	1.00	0	0	354,122	354,122
7	Institutional Innovation	00-241-100-00-00-00-00	0.00	0	0	470,071	470,071
	TOTAL ADJUSTMENTS		1.00	0	790,233	1,231,207	2,021,440

OPTIONAL ADJUSTMENTS SUMMARY

242 VALLEY CITY STATE UNIVERSITY

Version: 2001-0242-B-01

Date: 3/8/01
Time: 15:35:55

Priority	Optional Adjustment	Reporting Level	FTE Change	Federal Fund	Special Fund	General Fund	Total Adjustment
1	Restoration of cost to continue	00-242-100-00-00-00-00	0.75	0	210,056	0	210,056
2	Restoration of costs to continue	00-242-200-00-00-00-00	0.00	0	20,811	103,802	124,613
3	Restoration of cost to continue	00-242-300-00-00-00-00	0.00	0	0	29,262	29,262
4	Institutional Innovation	00-242-100-00-00-00-00	2.00	0	0	205,246	205,246
5	Technology Applicatons-Access	00-242-200-00-00-00-00	0.00	0	0	135,059	135,059
6	Renewal & Replacement	00-242-300-00-00-00-00	0.00	0	0	143,380	143,380
7	Center for Innovation in Instruction	00-242-100-00-00-00-00	0.00	0	0	594,000	594,000
	TOTAL ADJUSTMENTS		**2.75**	**0**	**230,867**	**1,210,749**	**1,441,616**

OPTIONAL ADJUSTMENTS SUMMARY

243 MINOT STATE UNIVERSITY - BOTTINEAU

Version: 2001-0243-B-01

Date: 3/8/01
Time: 15:36:13

Priority	Optional Adjustment	Reporting Level	FTE Change	Federal Fund	Special Fund	General Fund	Total Adjustment
1	Restoration of Cost to Continue	00-243-100-00-00-00-00	0.00	0	65,128	43,573	108,701
2	Restoration of Cost to Continue	00-243-300-00-00-00-00	0.00	0	0	11,701	11,701
3	Renewal and Replacement	00-243-300-00-00-00-00	0.00	0	0	34,994	34,994
4	Technology Application	00-243-200-00-00-00-00	0.00	0	0	50,000	50,000
5	Technology Application	00-243-100-00-00-00-00	0.00	0	0	10,640	10,640
6	Institutional Innovation	00-243-100-00-00-00-00	0.76	0	0	73,698	73,698
	TOTAL ADJUSTMENTS		**0.76**	**0**	**65,128**	**224,606**	**289,734**

NORTH DAKOTA UNIVERSITY SYSTEM
2001-03 SCHEDULE OF SUGGESTED AMENDMENTS AND RESTORATION 02/02/01

(Italicized items are addressed in Gov. Hoeven's Budget Proposal)

Proposed Non-Fiscal Amendments:

- *Eliminate reserve fund requirement for College of Business at NDSU to support utilities and upkeep (no cost in 01-03)-SB2003*
- *Remove $550,820 for renovation of Robinson Hall at NDSU. Project will be addressed in SB2137-SB2003*
- Bond for local fund match for Old Main Renovation at Minot State.-SB2003 and SB2023 **
- Add revenue bond authority for $1.0 million parking lot projects at NDSCS

B. ***Proposed Fiscal Amendments to SB2003, 2020, 2023- State General Fund (in priority order):***

1	Continuation of 2nd year salary increase (00-01) - also see priority 7-SB2003	$3,649,343
2	Reduce local fund match on renovation of Old Main at MISU-SB2003 and SB2023 **	564,000
3	*Workforce Training per WF Training taskforce (funded in Voc. Ed budget)-SB2020*	475,000
4	Network Costs (growth in distance learning and Internet II)-SB2003	1,600,000
5	Extra-ordinary repairs - also see priority 8-SB2003	2,594,914
6	ODIN replacement (library network)-SB2003	2,000,000
7	Continuation of 2nd year salary increase (00-01) - also see priority 1-SB2003	3,649,341
8	Extra-ordinary repairs - also see priority 5-SB2003	2,594,914
9	Request to move faculty & staff salaries to 80% of regional average (amt. needed after 3/2% increase and cont'd 00-01 incr.)-SB2003	8,129,658

C. ***Proposed Fiscal Amendments - Other Major Capital Projects-SB2003 (not in priority order)***

- *UND- Memorial Student Union renovation (non-state funding, revenue bonds)-SB2003*	3,500,000
- *NDSU-residence hall construction revenue bonds(non-state funding, revenue bonds)-SB2003*	11,000,000
- *NDSCS-Student Union remodeling(non-state funding, revenue bonds)-SB2003*	3,300,000
- NDSCS Bikre Activities Center Addition(non-state funding)-SB2003	531,720
- Other general fund/bonding projects per SBHE priority list-SB2003 or 2023	21,605,656
- STTC Renovation-NDSCS (non-state funding)-SB2003	385,000
- MaSU Fieldhouse Renovation/Addition (non-state funding) SB2003	4,000,000

D. ***Additional Proposed State General Fund Fiscal Amendments-SB2003 (not in priority order):***

- Technology applications/access	7,000,000
- Equity and Special Needs Block Grant	1,700,000
- Institutional Innovation	3,500,000
- Public Agenda and Collaborative Initiative Block Grant	4,400,000
- Board and System positions to support Roundtable recommendations	362,190
- EPSCoR-like Programs	2,700,000
- Student Financial Aid (State Grant, Scholar's, PSEP, Indian Scholarship)	1,000,000
- Disabled Student Services	275,000
- Agricultural Initiatives	2,335,879

NOTES:

** Old Main Renovation-MiSU

	General Fund/State Bonding	Local Funds
BHE request	$5,640,000	$2,210,000
Schafer Budget	5,076,000	2,774,000
Hoeven Budget	5,251,000	2,599,000

lp/g/laura\excel\blenbudt\01-03 restoration 020201

NORTH DAKOTA UNIVERSITY SYSTEM OFFICE
RESTORATION REQUEST

Proposed Fiscal Amendments to SB2003, 2020, 2023- State General Fund (in priority order):	
1 Continuation of 2nd year salary increase (00-01) - also see priority 7-SB2003	$28,338
2 Reduce local fund match on renovation of Old Main at MiSU-SB2003 and SB2023	
3 Workforce Training per WF Training taskforce (funded in Voc. Ed budget)-SB2020	
4 Network Costs (growth in distance learning and Internet II)-SB2003	1,800,000
5 Extra-ordinary repairs - also see priority 8-SB2003	
6 ODIN replacement (library network)-SB2003	2,000,000
7 Continuation of 2nd year salary increase (00-01) - also see priority 1-SB2003	28,337
8 Extra-ordinary repairs - also see priority 5-SB2003	
9 Request to move faculty & staff salaries to 80% of regional average (amt. needed after 3/2% increase and cont'd 00-01 incr.)-SB2003	8,129,658
	$ 11,986,333

\cathy\excelfil\01-03 Exec Recom\01-03 Senate restoration-NDUS Office

NORTH DAKOTA UNIVERSITY SYSTEM

2001-2003 Campus Major Capital Projects (SB 2003, 2021 and 2023) - Governor Hoeven's Suggested Revision Noted

SBHE Priority List

	(1) Priority #	(2) Project Type	(3) Project Description	SBHE Priority List (4) State Bonding	(5) Cost Other	(6) Total	(7) Other Source	(8) State Accumulated Total	Executive Recommendation (9) State Bonding (SB 2023)	(10) State General Fund (SB 2003 & 2021)	(11) Other Sources (SB 2003 & 2021)	(12) Total
STATE GENERAL FUND PROJECTS INCLUDED IN 01-03 BUDGET REQUEST												
MiSU												
BSC	1	renovation	Old Main Renovation (SB 2003 & 2023, Hoeven 1/)	$5,640,000	$2,210,000	$7,850,000	private	$5,640,000	$ 5,076,000		$ 2,774,000	$ 7,850,000
NDSCS	2	renovation	Schafer Hall - Renovation 2nd & 3rd Floors	1,394,500		1,394,500		7,034,500				-
	3	renovation	Infrastructure (SB 2003)	2,385,863		2,385,863		9,420,363		2,780,000		2,780,000
VCSU			Replace boiler & related steam piping accessories (SB 2003)									
MaSU	4	renovation	Steam Line Replacement (SB 2003)	850,000		850,000		10,270,363		850,000		850,000
NDSU	5	renovation	Minard Hall Renovation-Phase I	719,950		719,950		10,990,313		530,000		530,000
UND	6	renovation	O'Kelly Hall-Phase I	4,845,100		4,845,100		15,835,413				-
DSU	7	renovation	Murphy Hall Renovation/Addition	3,500,000		3,500,000		19,335,413				-
WSC	8	addition/renovate	Diesel Technology Addition	6,781,155		6,781,155		26,116,568				-
NDSU	9	addition	Ceres Hall Renovation - Phase II	524,516		524,516		26,641,184				-
MiSU-BC	10	renovation	Thatcher & Central Htg. Plant Elec. Upgrade (SB 2003)	3,000,000		3,000,000		29,641,184				-
LRSC	11	renovation	Science Lecture Bowl & Laboratories	75,000		75,000		29,716,184				-
Forest Service	12	renovation	Tree Storage Bldg.-Towner Nursery (SB 2003)	784,472		784,472		30,500,656		75,000		75,000
Forest Service	13	new constr	Equipment Storage Bldg -Lisbon (SB 2003)	120,000		120,000		30,620,656				-
Experiment	14	new constr	Langdon Hdqtrs and Conference Ctr. (SB 2021)	20,000		20,000		30,640,656				-
Experiment	15	new constr.		300,000	500,000	800,000	private	30,940,656				-
Remaining Unranked Projects (NOT INCLUDED IN 01-03 BUDGET REQUEST):												
BSC		new constr.	Advanced Learning Complex	1,500,000	4,000,000	5,500,000	private	32,440,656				-
LRSC		addition	Technical Training Facility & Storage	264,090		264,090		32,704,746		150,000	120,000	270,000
WSC		renovation	Deferred Maintenance	308,600		308,600		33,013,346			20,000	20,000
UND		renovation	Infrastructure Improvements	4,500,000		4,500,000		37,513,346			530,000	530,000
UND		renovation	School of Medicine & Health Sciences	6,450,000		6,450,000		43,963,346				680,000
NDSU		renovation	Infrastructure - Phase I	2,320,400		2,320,400		46,283,746				515,000
NDSCS		renovation	Morton Hall	2,000,000		2,000,000		48,283,746				-
DSU		renovation	Whitney Stadium Renovation	1,100,000	2,900,000	4,000,000	local/private	49,383,746				-
MaSU		addition/renovate	Fieldhouse Addition	239,930	2,760,070	3,000,000	private	49,623,676				-
VCSU		renovation	Gracken Gymnasium elevator & emergency exits	375,000		375,000		49,998,676				-
MiSU-BC		renovation	Thatcher Elevator	160,000		160,000		50,158,676				-
Experiment		new constr.	(2) Pesticide Handling Facilities-Phase II	120,000		120,000		50,278,676				-
Experiment		new constr	Main Station-Research Greenhouses	7,300,000		7,300,000		57,578,676				-
Experiment		new constr	Bunker Sea	120,000		120,000		57,698,676				-
TOTAL STATE GENERAL FUND PROJECTS				$57,698,676	$12,370,070	$70,068,746			$5,076,000	$3,695,000	$3,424,000	$12,195,000

1/ Governor Hoeven's proposed amendments provide $175,000 increased state funds for MiSU-Old Main Renovation from $5,076,000 state bonding to a combination of $5,076,000 state bonding and $175,000 general fund, with an offsetting decrease of $175,000 to the other funds match (from $2,774,000 to $2,599,000).

NORTH DAKOTA UNIVERSITY SYSTEM

2001-2003 Campus Major Capital Projects (SB 2003, 2021 and 2023) - Governor Hoeven's Suggested Revision Noted

SBHE Priority List

(1) Priority #	(2) Project Type	(3) Project Description	SBHE Priority List (4) State Bonding	(5) Cost Other	(6) Total	(7) Other Source	(8) State Accomodated	Executive Recommendation (9) State Bonding	(10) State General Fund	(11) Other Sources	(12) Total
NON-STATE GENERAL FUND PROJECTS TO BE INCLUDED IN 01-03 BUDGET REQUES:											
UND											
	renovation	Memorial Student Union (revenue bonds)		3,500,000	3,500,000	stdnt fees					
	renovation	Carnegie Library (SB 2003)		3,000,000	3,000,000	private				3,000,000	3,000,000
	renovation	Smith Hall - Johnstone Hall - Fulton Hall (SB 2003)		6,500,000	6,500,000	auxiliary				2,850,000	2,850,000
	renovation	Wilkerson Hall Loading Dock (SB 2003)		1,700,000	1,700,000	auxiliary				1,700,000	1,700,000
	addition	Rural Technology Center (SB 2003)		3,800,000	3,800,000	gifts/grants				3,800,000	3,800,000
	addition	Rural Technology Center-canopy (SB 2003)		60,000	60,000	private				60,000	60,000
NDSU											
	new constr	College of Business Office & Classrm Bldg (SB 2003)		20,000,000	20,000,000	private				20,000,000	20,000,000
	new constr	Residence Hall (revenue bonds)		11,000,000	11,000,000	auxiliary					
	renovation	Robinson Hall (SB 2003-Hoeven increase to $560,000 and transfer from SN2002 to SB2137)		550,820	550,820	fed/local				550,820	550,820
NDSCS											
	renovation	Parking Lot 2A, 2B, 2C, & 2D (SB 2003) (revenue bonds)		1,000,000	1,000,000	auxiliary				1,000,000	1,000,000
	renovation	Student Union Remodeling (revenue bonds)		3,300,000	3,300,000	auxiliary					
	addition/renovate	Bilkre Activities Center Addition		531,720	531,720	private					
	renovation	Skills Technology and Training Center 2/		385,000	385,000	grant					
MaSU											
	addition/renovate	Fieldhouse 2/		4,000,000	4,000,000	gifts					
Experiment Stations											
	new constr	Agronomy Seed Farm-Grain Storage Hopper Bins		60,000	60,000	income				60,000	60,000
	new constr	Agronomy Seed Farm-Bulk Seed Handling		45,000	45,000	income				45,000	45,000
	new constr	Carrington-Bison Research Facility	(SB 2021)	130,000	130,000	private/grants				130,000	130,000
	addition	Dickinson-Agronomy Work Lab				oil revenue				50,000	50,000
	new constr	Dickinson-Chemical Storage Building				oil revenue				50,000	50,000
TOTAL NON-STATE GENERAL FUND PROJECTS			$0	$59,562,540	$59,562,540			$0	$0	$33,685,820	$33,685,820
INCREASED AUTHORITY REQUESTED FOR PREVIOUSLY AUTHORIZED PROJECTS											
NDSU	renovation	Sudro Hall (total authority= $2,950,000) 1/ (SB 2003)		1,200,000	1,200,000	private/fed/asbestos				1,200,000	1,200,000
GRAND TOTAL ALL PROJECTS			$57,698,676	$73,132,610	$130,831,286			$5,076,000	$3,696,000	$37,719,820	$46,490,820

1/ HB1003 (1999) included a $1,750,000 other fund appropriation for Sudro Hall renovation. NDSU intends to use $350,000 of this authority in 99-01 for the renovation of the animal care laboratory/research unit in Sudro Hall. The will leave an authorized balance of $1,400,000 which is requested in carryover to 2001-03 to be supplemented with $1,200,000 of additional other funds authority in the 2001-03 biennium.

2/ Projects approved by the Board in January 2001.

g:\fiatura\excel\budget\capital list revised

Prepared by the North Dakota Legislative Council
staff for Representative Wentz
February 2001

INFORMATION REGARDING THE NORTH DAKOTA UNIVERSITY SYSTEM

This memorandum provides the following information regarding the North Dakota University System:

- Employment contracts and performance evaluation processes for the University System chancellor and campus presidents.
- Presidential search and screening procedures.
- History of University System office personnel salaries.
- College Technical Education Council minutes.
- North Dakota University System office 2001-03 executive budget overview.

EMPLOYMENT CONTRACTS AND PERFORMANCE EVALUATION PROCESSES

The University System consists of 11 institutions that are governed by the State Board of Higher Education. The State Board of Higher Education is responsible for appointing a chancellor of the University System to serve as the system's chief executive officer and for hiring presidents for each of the 11 institutions. A copy of the current State Board of Higher Education employment contracts with the chancellor and all campus presidents are attached as Appendix A.

In regards to the performance evaluation process for the chancellor, at the beginning of each fiscal year, the chancellor is required to prepare an annual statement of goals and objectives for the University System for the upcoming year. At the end of the year, the president of the State Board of Higher Education and the chancellor review progress toward achievement of the goals and objectives along with a self-evaluation prepared by the chancellor. In addition to the performance review, the State Board of Higher Education also conducts an annual review of the chancellor's salary and adjusts the salary as deemed appropriate.

For performance evaluations of campus presidents, each president is required annually to prepare an annual statement of goals and objectives that must be approved by the chancellor. At the end of each fiscal year, the chancellor and the president of the State Board of Higher Education review the progress in achieving the goals and objectives along with a self-evaluation completed by each of the campus presidents. The chancellor is also responsible for reviewing each of the campus presidents' salary and recommending salary adjustments to the State Board of Higher Education. The State Board of Higher Education reviews the recommendations of the chancellor and determines the appropriate salary adjustment for each president.

PRESIDENTIAL SEARCH AND SCREENING PROCEDURES

In order to fill a presidential vacancy at one of the University System institutions, a Search and Screening Committee is appointed to serve as an advisory committee to the State Board of Higher Education. The members of the committee are appointed by the president of the State Board of Higher Education from a list of recommended individuals prepared by the chancellor. The members include faculty, students, administrative staff, classified staff, and alumni from the institution in search of a new president and business and community leaders from the region in which the institution is located.

The committee is responsible for advertising the open position, soliciting nominations and applications, narrowing the field of candidates, conducting campus visits for candidates, and forwarding candidates for consideration to the State Board of Higher Education. The State Board of Higher Education is responsible for considering the candidates forwarded and for offering the president's position to the candidate of their choice.

UNIVERSITY SYSTEM OFFICE PERSONNEL SALARIES

The chancellor and the University System office personnel support the State Board of Higher Education in developing policies for the governance of the University System and administer student grant and system grant programs. Information regarding University System office personnel salaries for the 1995-97, 1997-99, and 1999-2001 bienniums is attached as Appendix B.

COLLEGE TECHNICAL EDUCATION COUNCIL

The College Technical Education Council (CTEC) was formed in 1993 to improve the coordination and cooperation among the State Board for Vocational Technical Education and the secondary and postsecondary institutions involved in vocational technical education and workforce training in North Dakota. The College Technical Education Council is responsible for developing goals, programs, and communication strategies relating to vocational technical education. A copy of the College Technical Education

Council meeting minutes for the 1999-2001 biennium is attached as Appendix C.

NORTH DAKOTA UNIVERSITY SYSTEM OFFICE 2001-03 EXECUTIVE BUDGET OVERVIEW

Both the Schafer and the Hoeven executive budget recommendations for the North Dakota University System office consolidate the budget from 18 line items to five--system governance, student grant programs, campus-based programs, contingencies and board initiatives, and capital bond payments. The Schafer executive budget recommended total funding for the North Dakota University System office of $77,765,508, of which $74,048,686 is from the general fund. The Hoeven executive budget recommended increasing funding for the North Dakota University System office by $528,900 for competitive research and $830,000 for education incentive programs to $79,124,408, of which $75,407,586 is from the general fund.

The following provides information regarding the funding recommended in the executive budget for system governance line item for the 2001-03 biennium which includes funding for University System office salaries and wages, operating, equipment, and funding for state-approving agency and technical administrative functions:

Description	General Fund	Other Funds	Total
State Board of Higher Education			
Salaries and wages (per diem)	$50,312		$50,312
Travel	64,000		64,000
Subtotal - State Board of Higher Education	$114,312[1]		$114,312
North Dakota University System (NDUS) office			
Salaries and wages	$2,262,324[2]		$2,262,324
Operating	910,725		910,725
Equipment	20,000		20,000
Subtotal - NDUS office	$3,193,049		$3,193,049
State-approving agency		$161,333	$161,333
Technical administration (CTEC)	$194,788		$194,788
Total	$3,502,149	$161,333	$3,663,482

[1] All consultants, contracts, and other expenses for the State Board of Higher Education are budgeted in the operating expense for the North Dakota University System office.

[2] The Senate reduced funding for salaries and wages by $2,991 to $2,259,333 to adjust the amount provided for a market equity salary increase for the University System counsel and human resources director. The amount included in the bill was $2,991 more than the necessary amount.

ATTACH:3

NORTH DAKOTA UNIVERSITY SYSTEM

TO: Members, House Appropriations Education and Environment Subcommittee

FROM: Laura Glatt

DATE: March 23, 2001

RE: Additional Travel Information

Attached is the last of the information on the detailed travel analysis for the NDUS Office staff and State Board of Higher Education members as requested. This should be added to the information you received this morning.

If you have any questions, please call me at 328-4116.

Attachment

(g:georgia/300/301/information request3 032301)

State Capitol - 600 E. Boulevard Ave. Dept. 215, Bismarck, North Dakota 58505-0230 - (701) 328-2960
Fax (701) 328-2961 - E-mail NDUS_office@ndus.nodak.edu - Web www.ndus.nodak.edu

The North Dakota University System is governed by the State Board of Higher Education and consists of: Bismarck State College - Dickinson State University - Lake Region State College - Mayville State University - Minot State University – Minot State University-Bottineau Campus - North Dakota State College of Science - North Dakota State University - University of North Dakota - Valley City State University - Williston State College.

Analysis of 1999 - 2001 Travel
Expenditures to date 2/28/01

Grant Crawford

	PURPOSE OF TRAVEL	IN STATE CHARTER.	IN STATE TRAVEL	OUT OF STATE	TOTAL TRAVEL
July 1, 1999	HECN meeting, Grand Forks		29.45		29.45
July 8, 1999	Meeting with Etling, Stukel, Kerian, Grand Forks		37.50		37.50
July 12, 1999	HECN meeting, Grand Forks		29.07		29.07
July 14, 1999	Meeting Directors, IVN staff, Grand Forks		79.62		79.62
July 15-16, 1999	SBHE retreat, Valley City		57.00		57.00
July 21, 1999	Meeting with Vickers, Dickinson		73.75		73.75
Aug. 10, 1999	Meeting with Elde, Minot		66.03		66.03
Aug. 11, 1999	Meeting with ITD, Tech TF, Bismarck		66.50		66.50
Aug. 12, 1999	Meeting HECN & Admin. System Mgrs., Lehn, Grand Forks		37.50		37.50
Aug. 16-17, 1999	Staff Planning meeting, Valley City		132.28		132.28
Aug. 19, 1999	HECN meeting, Grand Forks		33.67		33.67
Aug. 24, 1999	Meeting - Glatt, Info. Tech. Comm., Bismarck		160.78		160.78
Aug. 27, 1999	HECN meeting, Grand Forks		33.66		33.66
Aug. 30, 1999	Meeting with Corak, Sund, Bottineau		101.97		101.97
Aug. 31, 1999	Meeting - Registrar, Bus. Office, Williston		127.07		127.07
Sept. 1, 1999	Meetings - WSC Stevens; LRSC Etemad, Williston, DL		123.75		123.75
Sept. 6, 1999	IVN, ODIN, HECN Director's meeting, Grand Forks		37.50		37.50
Sept. 9, 1999	HECN meeting, Grand Forks		33.66		33.66
Sept. 16, 1999	HECN meeting, Grand Forks		67.55		67.55
Sept. 19-20, 1999	Dorgan E-Commerce meeting, Bismarck		142.46		142.46
Sept. 23, 1999	HECN meeting, Grand Forks		39.16		39.16
Sept. 27, 1999	Meeting - ITD, Thigpen, Bismarck		102.50		102.50
Sept. 29-30, 1999	ITD Focus Group, Bismarck		158.28		158.28
Oct. 1, 1999	Meeting: HECN, Admin. System Mgrs., Lucke, Grand Forks		37.50		37.50
Oct. 5, 1999	Meeting SSC Academic Affairs, Bismarck		113.03		113.03
Oct. 6, 1999	Meeting IT Plans, Stds., Bismarck		67.25		67.25
Oct. 7, 1999	Meeting St. Aubyn, Kerian, Grand Forks		37.50		37.50
Oct. 14, 1999	Meeting VCSU, Valley City		65.78		65.78
Oct. 15, 1999	Meeting MSU IT Plan, Minot		156.84		156.84
Oct. 21-22, 1999	Meeting with Wolfe, Educ. Telec. Comm., Bismarck		45.78		45.78
Oct. 23-29, 1999	EDUCAUSE Conference, Long Beach, CA			976.16	976.16
Nov. 2, 1999	NDIN Exec., Bismarck		107.03		107.03
Nov. 3, 1999	Legislative IT Comm., Bismarck		55.78		55.78
Nov. 4, 1999	Meeting - HECN, Admin. System Mgrs., Stukel, Lucke, Grand Forks		92.75		92.75
Nov. 11-12, 1999	MHEC Computing, Chicago, IL			249.92	249.92
Nov. 17-18, 1999	Cabinet meeting, Minot		224.28		224.28
Nov. 22, 1999	FCC Hearing, Bismarck		122.50		122.50
Nov. 23, 1999	Meeting with Warren Wilson, Vermillion, SD		118.20		118.20
Nov. 28-29, 1999	MHEC, Bismarck		111.56		111.56
Nov. 30, 1999	Statewide Area Network Advisory Comm., Bismarck		71.25		71.25
Dec. 7-8, 1999	Academic Affairs meeting, Bismarck		148.28		148.28
Dec. 28, 1999	Y2K meeting, Bismarck		51.25		51.25
Dec. 30, 1999	Meeting Lehn, Kerian, Grand Forks		37.50		37.50
Jan. 4, 2000	Meeting Isaak, Glatt, Hillman, Bismarck		103.38		103.38
Jan. 5-6, 2000	Academic Affairs meeting, Bismarck		124.18		124.18
Jan. 13, 2000	Meeting - HECN, Admin. System Mgrs., Lehn, Grand Forks		37.50		37.50
Jan. 20, 2000	Meeting - Stukel, John Burbank, Mahar, Grand Forks		37.50		37.50
Jan. 27, 2000	Meeting - CAS, Grand Forks		37.50		37.50
Jan. 31, 2000	Educ. Telec. Comm. meeting, Bismarck		107.03		107.03
Feb. 1, 2000	Meeting - Hillman, Glatt, Bismarck		71.25		71.25
Feb. 2, 2000	Data Warehouse Project Launch, Grand Forks		37.50		37.50
Feb. 3, 2000	Meeting - Lucke, Grand Forks		37.50		37.50
Feb. 4, 2000	Meeting - HECN, Admin. System Mgrs., Grand Forks		37.50		37.50
Feb. 10, 2000	HECN meeting, Grand Forks		37.50		37.50
Feb. 15, 2000	Meeting - Hillman, Glatt, Bismarck		113.03		113.03
Feb. 16, 2000	IT Comm., Bismarck		57.25		57.25
Feb. 17, 2000	Meeting - Shaeffer, Grand Forks		37.50		37.50
Feb. 24, 2000	Meeting - Burbank, Mahar, Grand Forks		37.50		37.50
Mar. 2, 2000	Meeting - Registrar's, Gary Gott, Grand Forks		37.50		37.50
Mar. 7-8, 2000	Great Plains Network Board, Kansas City			633.20	633.20
Mar. 9, 2000	Meeting - Stukel, Shaeffer, Grand Forks		37.50		37.50
Mar. 16, 2000	Meeting - Kerian, Hanson, Grand Forks		37.50		37.50
Mar. 20, 2000	Chester Fritz Library finalist, Grand Forks		37.50		37.50
Mar. 23, 2000	Meeting - Mahar, Grand Forks		37.50		37.50
Mar. 24, 2000	Chester Fritz Library finalist, Grand Forks		37.50		37.50
Mar. 30, 2000	HECN meeting, Grand Forks		37.50		37.50
Apr. 5, 2000	Academic Affairs meeting, Bismarck		114.28		114.28
Apr. 6, 2000	IT Plan Review, Bismarck		65.78		65.78
Apr. 7, 2000	ITD RFP Bid Conference, Bismarck		62.50		62.50
Apr. 13-15, 2000	Northwest Academic Forum annual meeting, Sacramento			1,101.04	1,101.04
Apr. 16-18, 2000	State Networks, St. Louis			214.10	214.10
Apr. 25, 2000	Meeting - Wolfe, Goetz, Bismarck		111.00		111.00
Apr. 26, 2000	Mayville IT Plans, Grand Forks		42.75		42.75
Apr. 27, 2000	HECN meeting, Grand Forks		37.50		37.50
Apr. 28, 2000	NDSCS & VCSU IT Plans, Wahpeton		58.50		58.50
May 4, 2000	HECN, Admin. Systems Mgrs. meeting, Grand Forks		37.50		37.50

Grant Crawford Cont'd.

	PURPOSE OF TRAVEL	IN STATE CHARTER	IN STATE TRAVEL	OUT OF STATE	TOTAL TRAVEL
May 8-9, 2000	WSC & DSU IT Plans, Williston, Dickinson		261.78		261.78
May 10-12, 2000	Standards Conference, Washington, DC			944.60	944.60
May 15-17, 2000	CUMREC (Higher Ed. Admin. Tech. Conf.), Crystal City, VA			858.66	858.66
May 18, 2000	Meeting - Kerian, Chalmers, Grand Forks		37.50		37.50
May 23, 2000	OMB, Educ. Telec. Comm., Bismarck		106.50		106.50
June 5-10, 2000	Northwest Academic Computing Consortium Conference, Seattle			401.36	401.36
June 13, 2000	Meeting - Gov. Budget, Wolfe, Valley City		30.00		30.00
June 16, 2000	Meeting - Stukel, Grand Forks		37.50		37.50
June 20-21, 2000	NDUS Staff meeting, Isaak, Bismarck		176.78		176.78
June 22, 2000	Meeting - Stukel, Grand Forks		37.50		37.50
June 27-28, 2000	Meeting - Goetz, Backman, Wolfe, Isaak, Bismarck		174.06		174.06
June 29, 2000	ITD E-Commerce, Bismarck		62.50		62.50
Total 1999-2000			**$6,097.26**	**$5,376.95**	**$11,476.21**
July 10-13, 2000	ERP Demos, Bismarck		268.37		268.37
July 14, 2000	Interim IT Comm., Bismarck		71.25		71.25
Aug. 2-3, 2000	Academic Affairs, Devils Lake		138.50		138.50
Aug. 4, 2000	Meeting - Meher, Grand Forks		37.50		37.50
Aug. 11, 2000	Meeting - Stukel, Grand Forks		37.50		37.50
Aug. 15-16, 2000	NDUS IT Planning, Devils Lake		89.00		89.00
Aug. 17, 2000	MHEC, Chicago			615.00	615.00
Aug. 24, 2000	State Net Rollout, Grand Forks		37.50		37.50
Aug. 30, 2000	Meeting - HECN, Admin. System Mgrs., Grand Forks		37.50		37.50
Aug. 31, 2000	Meeting - Student Information Systems, Grand Forks		37.50		37.50
Sept. 8, 2000	Meeting - HECN, Admin. System Mgrs., Grand Forks		37.50		37.50
Sept. 11-12, 2000	BSC, ITD, Glatt, Bismarck		170.19		170.19
Sept. 14, 2000	HECN, Admin. System Mgrs., ERP, Grand Forks		37.50		37.50
Sept. 19-21, 2000	BSC-HECN Coordinators, Bismarck; DSU Cabinet mtg., Dickinson		282.81		282.81
Sept. 22, 2000	Meeting - HECN - North Staff, Grand Forks		37.50		37.50
Oct. 2-3, 2000	ERP Steering, ERP Bidders Conf., Bismarck		174.28		174.28
Oct. 5, 2000	Meeting - HECN, Admin. System Mgrs., Grand Forks		37.50		37.50
Oct. 9, 2000	EDUCAUSE, Nashville, TN			1,649.16	1,649.16
Oct. 10, 2000	ERP Steering, Bismarck		106.00		106.00
Oct. 19, 2000	Meeting - Stukel, MaSU, Grand Forks		42.75		42.75
Oct. 24, 2000	Performance Review, Bismarck		106.00		106.00
Oct. 30, 2000	DPI, ERP, Bismarck		6.00		6.00
Nov. 2, 2000	Educ. Telec. Comm., ITD, Bismarck		111.73		111.73
Nov. 6, 2000	Leg. IT, Bismarck		106.00		106.00
Nov. 9, 2000	Meeting - Hanson, Lehn, Grand Forks		37.50		37.50
Nov. 13, 2000	ERP, Glatt (turned back due to ice)		30.00		30.00
Nov. 16, 2000	Meeting - Stoltz (Dir. Chester Fritz Lib.), Kerian, Grand Forks		37.50		37.50
Nov. 17-18, 2000	Quality Schools, Wolfe, Bismarck		171.78		171.78
Dec. 3-4, 2000	ERP, LC ITC, Bismarck		161.56		161.56
Dec. 5, 2000	Academic Affairs, Bismarck		65.78		65.78
Dec. 6, 2000	Educ. Telec. Comm., Bismarck		65.78		65.78
Dec. 7, 2000	Cabinet meeting, Bismarck		60.00		60.00
Dec. 8, 2000	HECN, Admin. System Mgrs., Grand Forks		37.50		37.50
Dec. 13, 2000	IT Council, Devils Lake		97.03		97.03
Dec. 14, 2000	Student Affairs, Staff meeting, Bismarck		98.28		98.28
Dec. 15, 2000	ERP Steering Group, Bismarck		60.00		60.00
Jan. 4, 2001	HECN Meeting, Grand Forks		37.50		37.50
Jan. 7-8, 2001	Senate Appropriations, Bismarck		159.62		159.62
Jan. 10, 2001	Meeting - Coordinators, Directors, Grand Forks		37.50		37.50
Jan. 11, 2001	HECN, Admin. System Mgrs., Grand Forks		37.50		37.50
Jan. 11-12, 2001	Senate GVA, Bismarck		165.78		165.78
Jan. 23-26, 2001	SLND HB 1182, Peoplesoft Demo, Bismarck		279.34		279.34
Jan. 31, 2001	Oracle Demo, Bismarck		109.78		109.78
Feb. 1-2, 2001	Oracle Demo, Senate Approp., Bismarck		119.78		119.78
Feb. 7, 2001	Wolfe, SCT, Bismarck		109.12		109.12
Feb. 8, 2001	Meeting - HECN, Admin. System Mgrs., Burbank, Grand Forks		37.50		37.50
Feb. 12, 2001	ERP Steering, Bismarck		109.12		109.12
Feb. 15, 2001	Meeting - HECN, Admin. System Mgrs., Grand Forks		37.50		37.50
Feb. 22, 2001	Meeting - HECN, Grand Forks		37.50		37.50
Feb. 26, 2001	MHEC, Chicago			802.00	802.00
Feb. 27, 2001	Glatt, Hillman, Distance Ed., Bismarck		115.78		115.78
Feb. 28, 2001	New Economy Initiative, Mandan		59.78		59.78
Total 2000-2001			**4,386.19**	**3,066.16**	**7,452.35**
TOTAL			**10,483.45**	**8,445.11**	**18,928.56**

	PURPOSE OF TRAVEL	IN STATE CHARTER	IN STATE TRAVEL	OUT OF STATE	TOTAL TRAVEL
EDDIE DUNN					
July 5-6, 1999	Meeting on Customized Training, Bismarck		136.00		136.00
July 12, 1999	Meeting at NDSCS, Wahpeton		36.00		36.00
July 14-16, 1999	SBHE/Cabinet retreat, Valley City		148.41		148.41
July 29-30, 1999	Staff meeting, Bismarck		175.24		175.24
Aug. 3-4, 1999	Meet with consultant re: NDUS 21st Century Init., Bismarck		172.62		172.62
Aug. 5, 1999	Meet with Leg. Council re: NDUS 21st Century Init., Bismarck		62.61		62.61
Aug. 6, 1999	Staff meeting/site visit re: Roundtable, Bismarck		60.00		60.00
Aug. 9-13, 1999	Participated in all-service conference, Bismarck		303.63		303.63
Aug. 17-22, 1999	Toastmasters International Conv., Chicago			1,603.20	1,603.20
Aug. 25-26, 1999	Participate in review of ND Mfg. Tech., Gaithersburg, Maryland			1,514.60	1,514.60
Sept. 9, 1999	Attend K-12 for 21st Century meeting, Bismarck		120.00		120.00
Sept. 13, 1999	Community college Consortium meeting, Bismarck		120.00		120.00
Sept. 15, 1999	Cabinet meeting, Grand Forks		56.00		56.00
Sept. 16-17, 1999	SBHE meeting, Grand Forks		112.20		112.20
Sept. 22, 1999	Meeting at NDSCS on workforce training, Wahpeton		36.00		36.00
Sept. 24, 1999	Meet Dave Nething/Larry Isaak for site review, Jamestown		56.00		56.00
Sept. 27-29, 1999	HE Roundtable meeting, Jamestown		238.15		238.15
Sept. 30, 1999	Cabinet meeting, Bismarck		70.00		70.00
Oct. 14-15, 1999	Presentation on workforce training at ACCT, Atlanta, GA			750.54	750.54
Oct. 18-19, 1999	Site visit Mason City, IA for workforce training			733.96	733.96
Oct. 20, 1999	Meet with Senator Nething/Leg. Council, Bismarck		16.00		16.00
Oct. 28-29, 1999	HE Roundtable, Carrington		136.11		136.11
Nov. 3, 1999	Small Bus. Dev. Center meeting, Grand Forks		46.00		46.00
Nov. 17-19, 1999	SBHE/Cabinet meeting, Minot		137.56		137.56
Nov. 29-30, 1999	Meet with Senator Nething, Leg. Council/staff meeting, Bismarck		169.00		169.00
Dec. 14-17, 1999	Meetings/interview candidates for Proj. Dir. 2 Yr. Col., Bismarck		297.53		297.53
Jan. 11, 2000	NDUS staff meeting, Bismarck		108.51		108.51
Jan. 12, 2000	Staff meeting/Conf. Call with consultants, Bismarck		62.51		62.51
Jan. 13, 2000	CTEC meeting, met with Leg. Council, Bismarck		70.00		70.00
Jan. 20, 2000	Cabinet meeting on HE Roundtable, Bismarck		58.51		58.51
Jan. 21, 2000	Board orientation R. Kunkle/Workforce Dir. meeting, Bismarck		16.00		16.00
Jan. 23-28, 2000	Participate in HE Leg. Com. Roundtable Task Force, Bismarck		440.10		440.10
Feb. 7-8, 2000	Mtg. On ND pop. Decline, CTEC mtg. by IVN, worked in Bismarck		172.51		172.51
Feb. 14, 2000	Series of meetings relating to Workforce Training, Bismarck		120.00		120.00
Feb. 16-17, 2000	SBHE/Cabinet meeting, Mayville		87.74		87.74
Feb. 18, 2000	Meetings with Workforce Training Directors, Bismarck		10.00		10.00
Feb. 23, 2000	Meeting on Workforce Training, Wahpeton		36.00		36.00
Mar. 14, 2000	Meeting on Workforce Training, Devils Lake		98.50		98.50
Mar. 23-24, 2000	SBHE/Cabinet meeting, Williston		70.78		70.78
Mar. 26-28, 2000	Meetings relating to Roundtable, Bismarck		237.34		237.34
Mar. 29, 2000	Workforce Development Council meeting, Bismarck		60.00		60.00
Apr. 12, 2000	School-to-Work Consortium meeting, Jamestown		56.00		56.00
Apr. 18-20, 2000	Meetings re: Roundtable on HE/pick up Consultants, Rugby		303.84		303.84
May 3, 2000	Staff meeting, Jamestown		56.00		56.00
May 15-16, 2000	CTEC mtg./Workforce Train ng briefing at Gov. Office, Bismarck		162.51		162.51
May 22-23, 2000	Meetings with B. Isaacson & SOICC Administrator, Bismarck		165.02		165.02
May 24, 2000	Meeting with Larry Isaak and Senator David Nething, Bismarck		62.51		62.51
May 25, 2000	Leg. Council meeting, Bismarck		60.00		60.00
May 31, 2000	Meet with new President of NDSCS, Wahpeton		40.00		40.00
June 3-4, 2000	Participate in reg. Toastmasters training session, Rapid City			529.84	529.84
June 5, 2000	Staff mtg. with Dennis Jones/meet with OMB & Gov. Off., Bismarck		65.78		65.78
June 6-7, 2000	Mtg. With Mel Olson re: Prog. Enhancement/CTEC, Bismarck		386.78		386.78
June 20-21, 2000	Staff retreat, mtg. With Proj. Dir. Com. College Cons., Bismarck		162.64		162.64
June 22, 2000	Gave talk to School-to-Work Institute, meet with State Dir. of SBVTE, meet with Ellen Chaffee, Valley City		70.00		0.00 70.00
June 29, 2000	Meet with R. Bernstein, Gov. Office Intern/Attend mtg., Bismarck		116.00		116.00
Total 1999-2000			**$6,060.54**	**$5,032.34**	**$11,092.88**

	PURPOSE OF TRAVEL	IN STATE CHARTER	IN STATE TRAVEL	OUT OF STATE	TOTAL TRAVEL
EDDIE DUNN					
July 7, 2000	Workforce Training meeting, Devils Lake		92.60		92.60
July 18-20, 2000	SBHE meeting, Bismarck		249.06		249.06
July 24, 2000	Workforce Training meeting, Bismarck		120.00		120.00
July 30-Aug. 1, 2000	Cabinet retreat, Bismarck		237.34		237.34
Aug. 2, 2000	Meeting with OMB/State Occupation Coor. Comm., Bismarck		65.78		65.78
Aug. 3, 2000	Workforce Training Steering Committee, CTEC meeting, Bis.		70.00		70.00
Aug. 8-10, 2000	New Board Member Orien., Presentation on N.P. Economic Landscape, Interim Leg. Council meeting on HE, Bismarck		239.38		239.38
					0.00
Aug. 22-27, 2000	Toastmasters International Conv., Miami, FL			1,502.15	1,502.15
Aug. 31, 2000	Cabinet meeting with Gov. Schafer, Bismarck		6.00		6.00
Sept. 5, 2000	Meeting with Workforce Training Dir. For SE Region, Wahpeton		36.00		36.00
Sept. 12-13, 2000	Meeting with Economic Dev. Group, Dickinson		161.00		161.00
Sept. 20-22, 2000	SBHE/Cabinet meetings, Dickinson		131.56		131.56
Sept. 28, 2000	New Economy Comm. meeting/Cabinet mtg. With Aaron Krauter, Bis.		120.00		120.00
Oct. 4, 2000	Gave talk at Rural Electric Coop. Managers meeting, Bismarck		110.69		110.69
Oct. 5, 2000	Meeting with Curt Wolfe and OMB, Bismarck		70.00		70.00
Oct. 16-21, 2000	Attend Nat. Assoc. of Comm. College Trustee mtg., Nashville, TN			971.82	971.82
Nov. 1, 2000	Staff mtg. on Univ. System Mission/Vision Statements, Bismarck		107.42		107.42
Nov. 2, 2000	CTEC meeting and meeting with Curt Wolf, Bismarck		70.00		70.00
Nov. 6, 2000	Interview candidates for Public Affairs position, Bismarck		111.42		111.42
Nov. 7, 2000	Staff meeting and meeting with Curt Wolf, Bismarck		70.00		70.00
Nov. 15-16, 2000	SBHE/Cabinet meetings, Devils Lake		81.57		81.57
Nov. 20-21, 2000	Presentation on Workforce Training to State Board of Vocational Tech. Education, worked in System Office, Bismarck		184.69		184.69
					0.00
Nov. 30, 2000	Meeting with Board Member, Valley City		41.00		41.00
Dec. 4, 2000	Meeting with Sharon Hart, Bob Gette & Jerry Migler, Wahpeton		40.00		40.00
Dec. 7, 2000	Cabinet meeting/Attend Budget Address by Gov. Schafer, Bismarck		114.69		114.69
Dec. 8, 2000	Information Tech. Curriculum meeting/CTEC meeting, Bismarck		70.00		70.00
Dec. 13, 2000	Meeting with Gov.-Elect Hoeven, Bismarck		111.78		111.78
Dec. 14, 2000	Staff meeting, Bismarck		60.00		60.00
Dec. 19-20, 2000	Meeting with Lee Peterson, Chuck Stroup and Larry Isaak, Bismarck		184.69		184.69
Jan. 2-5, 2001	Leg. Hearings/CTEC meeting/work out of Bis. Office, Bismarck		298.50		298.50
Jan. 7-12, 2001	Leg. Hearings/ND Rural Dev. Council mtg., ND Econ. Dev. Assn. Meeting, Bismarck		372.50		372.50
					0.00
Jan. 14-19, 2001	Leg. Hearings/Met with House Majority Leader, Bismarck		372.50		372.50
Jan. 22-27, 2001	Leg. Hearings/SBHE and Cabinet meetings, Bismarck		366.50		366.50
Jan. 29-Feb. 2, 2001	Leg. Hearings/GNDA Bus. D. and New Economy mtg., Bismarck		375.00		375.00
Feb. 5-9, 2001	Leg. Hearings/Meeting with New Board Members, Bismarck		365.00		365.00
Feb. 10-13, 2001	Comm. College Futures Assembly, Orlando, FL			1,371.67	1,371.67
Feb. 19-23, 2001	Leg. Hearings/Meet with Bill Goetz, SDICC meeting, Bismarck		381.00		381.00
Feb. 26, 2001	Leg. Hearings/Leg. Bill Tracking meeting, Bismarck		91.66		91.66
Total 2000-2001			**$5,559.23**	**$3,845.64**	**$9,404.87**
TOTAL			**11,619.77**	**8,877.98**	**20,497.75**

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NORTH DAKOTA

Prepared by the
NDUS Office

Analysis of 1999 - 2001 Travel Expenditures to date 2/28/01

	In State	Out of State	Motor Pool Vehicle Allowance	Total
State Board of Higher Ed.				
1999-2000	$21,574.29	$10,298.37	$0.00	$31,872.66
2000-2001	9,269.14	3,181.99	0.00	12,451.13
Total	**$30,843.43**	**$13,480.36**	**$0.00**	**$44,323.79**
Faculty Rep/ Student Member				
1999-2000	$2,866.88	$1,095.33	$498.25	$4,480.46
2000-2001	968.39	0.00	411.29	1,379.68
Total	**$3,855.27**	**$1,095.33**	**$909.54**	**$5,860.14**
NDUS Staff				
1999-2000	$11,666.72	$21,319.78	$10,491.35	$43,477.85
2000-2001	4,647.39	8,460.30	6,194.11	19,301.80
Total	**$16,314.11**	**$29,780.08**	**$16,685.46**	**$62,779.65**
Non NDUS Employees				
1999-2000	$5,116.74	$2,865.86		$7,982.60
2000-2001	3,844.07	0.00		3,844.07
Total	**$8,960.81**	**$2,865.86**	**$0.00**	**$11,826.67**
1999-2000	$41,244.63	$35,579.34	$10,989.60	$87,813.57
2000-2001	18,728.99	11,642.29	6,605.40	36,976.68
GRAND TOTAL	**$59,973.62**	**$47,221.63**	**$17,595.00**	**$124,790.25**

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Prepared by the North Dakota Legislative Council staff for House Appropriations - Education and Environment Division
March 2001

ANALYSIS OF UNIVERSITY SYSTEM OFFICE AND STATE BOARD OF HIGHER EDUCATION TRAVEL FOR THE 1999-2001 BIENNIUM

The chancellor and the University System office personnel support the State Board of Higher Education in developing policies for the governance of the University System and administering student grant and system grant programs. Information provided by the University System office regarding University System staff and State Board of Higher Education travel expenses for the 1999-2001 biennium to date is attached as an appendix.

ATTACH:1

NDPEA NORTH DAKOTA PUBLIC EMPLOYEES ASSOCIATION
AMERICAN FEDERATION OF TEACHERS LOCAL 4660 AFL-CIO

3333 EAST BROADWAY AVE, SUITE 1220
BISMARCK, NORTH DAKOTA 58501-3396

701-223-1964
1-800-472-2698

EMAIL: ndpea@btigate.com
WEBSITE: www.ndpea.org

TESTIMONY ON SB 2003

Before the Senate Appropriations Committee
North Dakota Public Employees Association, AFT Local 4660, AFL-CIO
January 8, 2001

Chairman Nething, members of the Senate Appropriations Committee, my name is Chris Runge and I am the Executive Director of the North Dakota Public Employees Association, AFT Local 4660. I am here to testify on the salary compensation package in the University System budget bill, SB 2003.

Throughout the past week we have heard testimony from Chancellor Isaak and the university and college presidents on what is happening on their campuses. They also spoke of the cooperative venture they entered into with you and the business community that culminated in the Roundtable recommendations. It was clear that compensation for faculty and staff was and is a very high priority for them. In fact, the Roundtable came to the conclusion that "bold steps are needed to change the trajectory of the state—steps that must be pursued with utmost urgency."

The faculty and staff of our university need some bold steps to be taken on their behalf as well. The continued strategy of minimal salary increases, which does not keep pace with inflation is beginning to crack the people infrastructure of the higher education system. The University system has done a great deal over the last few sessions to meet the demands for greater flexibility. The faculty and staff of the

Testimony

University system have also done their parts creating a system of academic excellence that can compete with any university system in this country. But without adequate salary funding, the ability of the campuses to recruit and retain quality faculty and staff, the system will not remain competitive for long. It is clearly already having difficulty attracting qualified faculty to North Dakota and we are losing quality faculty and staff to out of state institutions. It will only get worse.

NDPEA agrees wholeheartedly agrees with the NDSBHE Report on Employee Compensation. I am not going to take much of your time to talk about the statistics regarding faculty and staff salaries. You have been hearing about them for a while now and you have copies of the compensation report. There is not much more that I can add to what has already been said.

Now we realize that there are many demands for the precious few dollars available and that you have a difficult job ahead of you to determine how those dollars should be spent. The University system is expected to be the cornerstone of economic development in North Dakota and they are up to the challenge. They've more than proven that already. But if the University System is truly going to the economic development cornerstone of North Dakota, then we must take those bold steps now to increase the salaries of the employees who are expected to encourage economic development in North Dakota. We must take those bold steps now to increase the salaries of those employees who are expected to be the engine that drives economic development in North Dakota and to increase the salaries of those employees who provide a quality liberal arts education to our children. These employees live and work in our communities. The money they receive goes to support community businesses to keep them going. That will only increase if they are given adequate salary increases.

If we don't take those bold steps now, the next legislative session will hear these same administrators and employee representatives stand before you once again, and even more money will be needed. Let's take the bold step now by providing the 3% salary increases for each year of the 2001-2003 biennium, and by providing funding to bring salaries to 80% of regional averages.

Thank you and I am available to answer any questions that you may have.

HISTORY OF NORTH DAKOTA UNIVERSITY SYSTEM (NDUS) OFFICE PERSONNEL SALARIES FOR THE 1995-97, 1997-99, AND 1999-2001 BIENNIUMS

Employee	Title	Fiscal Year 1995-96	Fiscal Year 1996-97	Fiscal Year 1997-98	Fiscal Year 1998-99	Fiscal Year 1999-2000	Fiscal Year 2000-2001
Larry Isaak	Chancellor	122,400	127,400	139,400	143,000	145,860	150,860
Michael Hillman	Vice Chancellor for Academic Affairs	8,167	98,000	103,400	107,000	110,210	114,400
Gene Kemper [1]	Interim Vice Chancellor for Academic Affairs and Interim Co-Chancellor	103,020					
Laura Glatt	Vice Chancellor for Administrative Affairs	77,000	82,000	90,000	95,000	101,000	107,500
Eddie Dunn [2]	Vice Chancellor for Strategic Planning and CTEC Director	57,800	60,000	63,000	65,200	93,000	97,000
Grant Crawford [3]	Chief Information Officer				63,750	87,550	92,050
Hugh Seaworth [4]	Legal Counsel	60,000	64,000	67,200	62,295	65,232	76,202
Cathy McDonald	Director of Finance	23,750	48,925	51,925	54,000	58,000	62,360
Mick Pytlik	Interim Director of Finance	11,475					
Michael Sandal	Director of Human Resources	40,490	42,300	44,000	50,333	55,000	59,770
Julie Schepp [5]	Academic Affairs Assoc. and Director of Research			7,000	42,000	43,000	45,150
Nancy Rittel [6]	Academic Affairs Assoc. and Director of Research	36,312	39,000	31,005			
Debra Anderson [7]	Public Affairs Director						24,286
Stacey Herron [8]	Director of Communications		20,303	36,483			
Peggy Wipf	Director of Financial Aid and Federal Relations	34,015	34,417	35,880	37,315	38,315	40,565
Rhonda Schauer	State Approving Agency Director and Coord. Of American Indian Higher Education	28,550	29,410	30,585	36,434	39,000	40,300
Nilah Adams [9]	Accountant	21,500	22,360	23,255	26,000	27,000	4,705
Pauline McKinzie [10]	Accountant						24,662
Terry Meyer [11]	Office Manager	18,360	19,268	21,803	32,000	33,400	34,900

Employee	Title	Fiscal Year 1995-96	Fiscal Year 1996-97	Fiscal Year 1997-98	Fiscal Year 1998-99	Fiscal Year 1999-2000	Fiscal Year 2000-2001
Bruce Haugen [12]	Executive Secretary	38,250	19,508				
Carol Asplund [13]	Administrative Officer	26,250	27,040	28,120			
Diane Faiman [14]	Administrative Assistant				10,250	22,300	23,290
Sheila Tibke	Administrative Secretary	18,090	18,815	19,615	23,000	24,500	26,240
Anna Domagala	Administrative Secretary	16,620	17,965	18,685	20,000	20,850	23,625
Tammey Olsen [15]	Administrative Secretary	16,305	17,965	18,685	21,000	21,474	1,880
Tamra Volkert [16]	Administrative Secretary				12,273	9,721	
Gina Padilla [17]	Secretary					5,031	20,429
Georgia VanVoorhis [18]	Secretary						14,487
Darlene Holznagel [19]	Secretary					6,704	
Jacque Alvarez [20]	Receptionist						16,690

[1] This individual ended employment with the NDUS office on June 30, 1996.

[2] This individual was promoted to Vice Chancellor for Strategic Planning on July 1, 1999.

[3] This individual began employment with the NDUS office on October 1, 1998.

[4] The salary information reflects that the individual worked 90% effective July 1, 1998, 95% effective February 1, 2000, and 100% effective August 1, 2000.

[5] This individual began employment with the NDUS office on May 1, 1998.

[6] This individual ended employment with the NDUS office on March 31, 1998.

[7] This individual began employment with the NDUS office on December 18, 2000.

[8] This individual began employment with the NDUS office on December 30, 1996 and ended employment on May 15, 1998.

[9] This individual ended employment with the NDUS office on August 31, 2000.

[10] This individual began employment with the NDUS office on August 21, 2000.

[11] This individual became a full-time employee on April 1, 1998. Prior to that, the individual was working part-time hours.

[12] This individual ended employment with the NDUS office on December 31, 1996.

[13] This individual ended employment with the NDUS office on June 30, 1998.

[14] This individual started employment with the NDUS office on January 1, 1999.

[15] This individual ended employment with the NDUS office on July 31, 2000.

[16] This individual started employment with the NDUS office on October 19, 1998 and ended employment on January 7, 2000.

[17] This individual started employment with the NDUS office on March 20, 2000.

[18] This individual started employment with the NDUS office in October 2000.

[19] This individual started employment with the NDUS office on February 10, 2000 and ended employment on June 21, 2000.
[20] This individual started employment with the NDUS office on July 25, 2000.

Prepared by the North Dakota Legislative Council staff for House Appropriations - Education and Environment Division
March 2001

NORTH DAKOTA UNIVERSITY SYSTEM - PRESIDENTS' SALARY HISTORY

The North Dakota University System consists of 11 institutions under the control of the State Board of Higher Education. The State Board of Higher Education is responsible for hiring presidents for the institutions and for determining the appropriate salary for each individual. A summary of presidents' salaries for the 1995-97, 1997-99, and 1999-2001 bienniums is attached as an appendix.

ATTACH:1

North Dakota [illegible] System Office
Presidential Salary History
Prepared at the request of Representative Wentz 3/12/01

Campus	Fiscal Year 96-97			Fiscal Year 97-98			Fiscal Year 98-99			Fiscal Year 99-00			Fiscal Year 00-01			Fiscal Year 01-02		
	Salary	Housing	Total	Salary	Housing	Total	Salary	Housing	Total	Salary	Housing	Total	Salary	Housing	Total	Salary	Housing	Total
BSC																		
Thigpen, Donna	$78,000	$12,000	$90,000	$82,000	$12,000	$94,000	$87,500	$14,000	$101,500	$100,000	$14,400	$114,400	$104,080	$15,000	$119,080	$112,400	$15,450	$127,850
LRSC*																		
Elwood, Sharon	$61,352	$7,200	$68,552	$63,205	$7,200	$70,405	$67,565	$8,200	$75,765	$70,665	$8,200	$78,865	$75,000	$11,000	$86,000	$86,500	$15,450	$101,950
WSC*																		
McCann, Joseph																$85,000	$15,450	$100,450
Stevens, Garvin	$63,672	$6,000	$69,672	$65,582	$6,000	$71,582	$69,582	$8,200	$77,782	$70,422	$10,260	$80,682	$75,000	$11,000	$86,000			
UND																		
Kupchella, Charles													$140,000	Campus Housing Provided	$140,000	$147,000	Campus Housing Provided	$147,000
Baker, Kendall	$121,576	Campus Housing Provided	$121,576	$125,226	Campus Housing Provided	$125,226	$132,226	Campus Housing Provided	$132,226	$132,226	Campus Housing Provided	$132,226						
NDSU																		
Chapman, Joseph													$140,000	Campus Housing Provided	$140,000	$147,000	Campus Housing Provided	$147,000
Fischer, Allan (Interim)										$125,000	Campus Housing Provided	$125,000						
Plough, Tom	$120,000	Campus Housing Provided	$120,000	$123,650	Campus Housing Provided	$123,650	$130,650	Campus Housing Provided	$130,650									
NDSCS																		
Hart, Sharon																$100,000	$15,450	$115,450
Olson, Jerry	$80,074	$12,000	$92,074	$82,474	$12,000	$94,474	$87,976	$14,000	$101,976	$95,000	$14,400	$109,400	$97,000	$15,000	$112,000			
DSU																		
Vickers, Lee													$110,000	Campus Housing Provided	$110,000	$116,000	Campus Housing Provided	$116,000
Brauhn, Richard (Interim)										$96,000		$96,000						
Conn, Philip	$92,453	Campus Housing Provided	$92,453	$95,453	Campus Housing Provided	$95,453	$100,203	Campus Housing Provided	$100,203									
MaSU/VCSU																		
Challey, Ellen	$92,453	$12,000	$104,453	$95,453	$12,000	$107,453	$100,953	$18,000	$118,953	$104,000	$18,500	$122,500	$108,000	$19,100	$127,100	$112,320	$20,000	$132,320
MiSU																		
Shaw, Erik	$97,412	$12,000	$109,412	$100,412	$12,000	$112,412	$105,162	$14,000	$119,162	$108,316	$14,400	$122,716	$110,500	$15,000	$125,500	$112,710	$15,450	$128,160

*Salaries for WSC and LRSC presidents were set by the UND president prior to 1999-01

(S:\programs\cost\salaries\salary history presidents)

APPENDIX

SHEEO STATE HIGHER EDUCATION OFFICERS
1999-2000 STAFFING AND SALARY SURVEY
CEO SALARIES

GOVERNING BOARDS

North Carolina	282,880
Georgia	264,800
Massachusetts	195,000
Wisconsin**	181,527
New Hampshire*	*178,100*
Nevada	176,000
Hawaii	167,184
Univ. Alaska System	164,800
Mississippi	160,000
West Virginia	160,000
Utah	151,143
Maine	150,468
Arizona	150,000
[redacted]	[redacted]
Oregon	141,808
South Dakota	140,370
Rhode Island	133,581
Montana	128,038
Iowa	118,900
Kansas*	*115,955*
Vermont	112,732
Idaho	109,242

* 1998-99 figures, current year not reported
** 1998-99 figures, current year not set

SHEEO Source 11/12/99

19[illegible]000 STAFFING AND SALARY SURVEY IN[illegible]MATION — STATE HIGHER EDUCATION BOARDS

State Higher Education Governing Boards

State	Type of Board Coord/Govern	FTE of Staff Prof	FTE of Staff Support	Position	Salary	Public Institution President or Executive Officer Highest	Public Institution President or Executive Officer Lowest
University of Alaska System (AK) 13 Campuses	G	26.75	9	President	164,800 [A]		
				Vice Pres, Finance & Planning (Interim)	113,345		
				Vice President, University Relations	121,853		
				General Counsel	110,785		
				Executive Director, Human Resources	95,510		
				Director, Information Services	92,201		
				Chief of Staff	111,320		
Arizona (AZ) 6 Campuses	G	27	8	Executive Director	$150,000	$195,000 [B]	$138,744 [B]
				Deputy Executive Director/Board Counsel	105,300		
				Associate Executive Director, Financial Affrs	92,250		
				Associate Executive Director, Academic Affrs	98,000		
				Assistant Executive Director, Public Affairs	73,500		
				Assistant Director for Operations	65,000		
Florida (FL) 10 Campuses	G	100	64	Chancellor, State University System	255,000	243,399	159,945
				Vice Chancellor	125,000		
				Vice Chancellor, Academic & Student Affairs	194,410		
				Vice Chancellor, Administration & Finance	135,000		
				Vice Chancellor, Governmental Affairs	136,286		
				VC, Planning, Budgeting & Policy Analysis	162,000		
Georgia (GA) 34 Campuses	G	109	41	Chancellor	$264,800 [C]		
				Sr Vice Chanc, Human & External Resources	147,856		
				Sr Vice Chancellor for Capital Resources	156,457		
				Sr Vice Chancellor for Academic Affairs	153,514		
				Vice Chancellor for External Affairs	124,449		
				Vice Chancellor for Facilities	128,908		
				Vice Chanc, Info/Instructional Technology	142,880		
				Vice Chancellor for Student Services	107,065		

[A] Estimated annual housing and auto benefit not included in salary: $29,000. (U of AK)

[B] Plus furnished home or allowance of $46,993, car allowances of $8,394, and cash balance pension plan equivalent to 18% of salary. (AZ)

[C] Chancellor also receives $60,000 housing allowance. Car lease is paid direct. (GA)

1999-2000 STAFFING AND SALARY SURVEY INFORMATION — STATE HIGHER EDUCATION BOARDS

State Higher Education Governing Boards

State	Type of Board Coord/Govern	FTE of Staff Prof	FTE of Staff Support	Position	Salary	Public Institution President or Executive Officer Highest	Public Institution President or Executive Officer Lowest
Hawaii	G	6	0	President-Chancellor(by State Statute)	167,184 [A]		
				Senior VP Legal Affairs/Univ General Consel	130,009		
				Senior Vice President for Administration	125,664		
				Director of University Relations (Interim)	82,440		
				Vice President for Student Affairs	119,568		
				Vice President for Planning & Policy	107,376		
Idaho	G	10	8	Executive Director	109,242	$143,915 [B]	$110,156 [B]
(ID) 4 Campuses				Chief Fiscal Officer	82,950		
				Chief Academic Officer	82,451		
				Plans & Policy Officer	52,624		
				Management Information Officer	56,763		
				Program Compliance Officer	45,760		
				Public Information Officer	45,448		
				Learning Technology Officer	60,008		
				Admin Asst & Secretary to the Board	36,878		
				Legal Counsel to the Board	53,600		
Iowa	G	13	7	Executive Director	118,900	218,275	171,975
(IA) 5 Campuses				Deputy Executive Director & Director of Academic Affairs	114,077		
				Director, Business & Finance	94,870		
				Director of Legal Affairs, Human Resources & Information Systems	106,370		
				Assoc Director, Business & Finance, Academic Affairs, Human Resources	62,580 – 80,574		

[A] Perquisites include house, utilities, housekeeping and groundskeeping services, use of car, protocol funds, and personal property insurance. (HI)
[B] Perquisites include house and use of car. Institutional foundations can provide additional compensation/perquisites not to exceed 50% of base salary. (ID)

1999-2000 STAFFING AND SALARY SURVEY INFORMATION — STATE HIGHER EDUCATION BOARDS

State Higher Education Governing Boards

State	Type of Board Coord/Govern	FTE of Staff Prof	FTE of Staff Support	Position	Salary	Public Institution President or Executive Officer Highest	Public Institution President or Executive Officer Lowest
Kansas (FY99 figures) (KS) 6 Campuses	G	11	7	Executive Director	$115,955 [A]	$182,532	$117,520
				General Counsel	78,753		
				Director of Facilities	74,880		
				Director of Planning, Budget & Govmt'l Affairs	78,000		
				Director of Academic Affairs	Vacant		
				Associate Director of Planning & Budget	55,000		
				Director of Financial Aid	55,622		
				Director of Communications	44,453		
				Associate Director of Academic Affairs	52,000		
				Associate General Counsel	Vacant		
				Research Associate	33,000		
Maine (ME) 7 Campuses	G	11	2	Chancellor	150,468	$142,881	$89,587
				Vice Chancellor, Academic Affairs	115,566		
				Chief Financial Officer/Treasurer	116,868		
				Associate Chancellor/Clerk of the Board	101,240		
Massachusetts (MA) 14 Campuses	G	20 [B]	5	Chancellor	195,000 [C]		
				Vice Chancellor (2)	$95,000 – 100,000		
				Director of Academic Policy	80,259		
				Director of Fiscal Policy	80,259		
				Director of Human Resources	91,364		
				Director of Institutional Research	Vacant		
				Director of Student Financial Assistance	84,334		
				General Counsel	77,390		
				Director of Administrative Services	67,622		

[A] FY98 salary, plus $4,000 car allowance; interim appointment. (KS)
[B] Office of Student Financial Aid and Education Computer Network Staff not included. (MA)
[C] Plus $19,2000 annual housing allowance. (MA)

1999-2000 STAFFING AND SALARY SURVEY INFORMATION — STATE HIGHER EDUCATION BOARDS

State Higher Education Governing Boards

State	Type of Board Coord/Govern	FTE of Staff Prof	FTE of Staff Support	Position	Salary	Public Institution President or Executive Officer Highest	Public Institution President or Executive Officer Lowest
Nevada	G	32[A]	17	Chancellor	$176,000 [B,C]	$202,269	$120,544
				Vice Chancellor, Finance & Administration	153,510		
(NV) 8 Campuses				Vice Chancellor, Academic Affairs	145,932		
				General Counsel	110,853		
				Budget Officer	85,344		
				Secretary, Board of Regents	91,394		
				Director, Computing Services	112,373		
				Senior Deputy to the Chancellor	106,078		
New Hampshire	G	27.88	11.75	Chancellor	178,100	167,740	117,210
(FY99 figures)				Vice Chancellor, Finance	147,750		
				Vice Chancellor, Planning & Budget	124,940		
(NH) 5 Campuses				Secretary for the University System (.6 FTE)	51,480		
				General Counsel	113,400		
				Director, Human Resources	98,910		
North Carolina	G	50	73.4	President	282,880	219,240	125,770
				Vice President, Academic Affairs	203,200		
(NC) 16 Campuses				Vice President, Finance	156,300		
				Vice President, University School Programs	134,950		
				Vice President, Planning	140,837		
				Vice President & General Counsel	127,243		
				Vice President, Public Affairs	153,750		
				Vice President, Program Assess & Public Svc	139,992		
				Vice President, Information Resources	173,170		

[A] Does not include computing services or press. (NV)
[B] Plus auto allowance of $12,000 per year. (NV)
[C] Plus housing allowance of $12,000 per year. (NV)

1995-[illegible]0 STAFFING AND SALARY SURVEY INFO[illegible]ATION — STATE HIGHER EDUCATION BO[illegible]DS

State Higher Education Governing Boards

State	Type of Board Coord/Govern	FTE of Staff Prof	FTE of Staff Support	Position	Salary	Public Institution President or Executive Officer Highest	Public Institution President or Executive Officer Lowest
Mississippi	G	21	13[A]	Commissioner of Higher Education	160,000	150,000[B]	134,000
				Asst Comm Public Affairs & Development	105,000		
(MS) 7 Campuses				Asst Commissioner Technology	105,000		
				Assistant Commissioner of Academic Affairs	110,000		
				Chief of Staff	102,500		
				Asst Commissioner of Economic Research	102,500		
				Asst Comm, Finance & Administration	102,500		
				Asst Commissioner Research & Planning	105,000		
				Asst Comm, Construction & Phys Affairs	105,000		
Montana	G	11	8.5	Commissioner	128,038	120,405	87,100
				Deputy Comm for Academic Affairs	102,900		
(MT) 11 Campuses				Associate Commissioner for Fiscal Affairs	101,858		
				Chief Counsel	85,959		
				Director, Educational Talent Search Program	43,693		
				Director, Workforce Development	56,500		
				Director, Labor Relations & Personnel	56,788		
				Director, Budget & Accounting	70,648		
				Director of Benefits	63,345		
				Director, Guaranteed Student Loan Program	62,732		
				Dir, American Indian/Minority Achievement	40,851		

[A] System Administration, University Research Center, and State Financial Aid Office not included. (MS)

[B] Plus salary supplements for the heads of the three comprehensive universities. All university presidents are provided housing. (MS)

1999-2000 STAFFING AND SALARY SURVEY INFORMATION — STATE HIGHER EDUCATION BOARDS

State Higher Education Governing Boards

State	Type of Board Coord/Govern	FTE of Staff Prof	FTE of Staff Support	Position	Salary	Public Institution President or Executive Officer Highest	Public Institution President or Executive Officer Lowest
North Dakota (ND) 11 Campuses	G	10	6	Chancellor	$145,860	$140,000 [A]	$75,000 [A,B]
				Vice Chancellor for Academic Affairs	110,210		
				Vice Chancellor for Administrative Affairs	101,000		
				Director of Finance	58,000		
				Academic Affairs Associate & Dir of Research	43,000		
				Director of Financial Aid	38,315		
				Director of Human Resources	55,000		
				General Counsel	71,290		
				Coordinator, American Indian Higher Educ	39,000		
				Vice Chancellor for Strategic Planning & Executive Director-CTEC	93,000		
Oregon State System (OR) 7 Campuses	G	59	104.9	Chancellor	141,808 [C]	$137,925 [D]	$110,338 [E]
				Vice Chancellor for Finance & Administration	120,948 [F]		
				Vice Chancellor for Academic Affairs	124,560 [F]		
Rhode Island (RI) 4 Campuses	G	14.5	6.5	Commissioner	$133,581 [G]	$158,800 [G,H]	$136,710 [G,H]
				Assoc Comm, Finance & Management	108,187		
				Assoc Commissioner, Program & Planning	102,063		
				Associate Commissioner, External Affairs	97,626		

[A] Plus housing allowance of $11,000-19,100, or home provided. Vehicle allowance determined by institution. (ND)
[B] Positions that were previously branch campus deans became presidents on 7-1-99 when those campuses became autonomous. (ND)
[C] Plus $16,188 in expenses incident to position; housing also provided.(OR)
[D] Plus $15,000 in expenses incident to position; housing also provided. (OR)
[E] Plus $10,084 in expenses incident to position; housing also provided. (OR)
[F] Plus $7,008 in expenses incident to the position. (OR)
[G] Automobile plus fuel, maintenance, and insurance. (RI)
[H] Housing plus upkeep. (RI)

1999-2000 STAFFING AND SALARY SURVEY INFORMATION — STATE HIGHER EDUCATION BOARDS

State Higher Education Governing Boards

State	Type of Board Coord/Govern	FTE of Staff Prof	FTE of Staff Support	Position	Salary	Public Institution President or Executive Officer Highest	Public Institution President or Executive Officer Lowest
South Dakota	G	11	5	Executive Director	140,370	128,115 [A]	105,834 [A]
(SD) 6 Campuses				Senior Administrator	99,098		
				Director of Administrative Affairs	57,102		
				Director of Information Systems	78,032		
				General Counsel	83,155		
				Director of Information & Institutional Research	51,217		
Utah	G	76 [C]	51 [C]	Commissioner of Higher Education	151,143 [D]	209,844 [B]	102,554 [B]
(UT) 9 Campuses				Assoc Commissioner for Academic Affairs	120,140		
				Asst Comm for Budget & Finance	76,896		
				Assoc Comm for Student Financial Aid	121,900		
				Executive Assistant to the Commissioner	77,493		
Vermont State Colleges	G	11	19	Chancellor	112,732 [E]		
(VT) 4 Campuses				Director of Finance	89,643 [F]		
				Director of Academic Affairs	75,212 [F]		
				Director of Facilities	62,733 [F]		
				Director, Employee Relations	89,643 [F]		
				Director of Computer Services	66,116		
				Director of Planning & Research	68,640 [F]		

[A] Housing provided by the state. (SD)
[B] Housing provided by the state. (UT)
[C] Includes all staff in student loan program. Support staff includes collectors, loan processors, and accounting staff. (UT)
[D] Automobile plus fuel, maintenance, and insurance. (UT)
[E] Housing allowance and automobile. (VT)
[F] Automobile. (VT)

199[illegible]00 STAFFING AND SALARY SURVEY INFO[illegible]ATION — STATE HIGHER EDUCATION BO[illegible]RDS

State Higher Education Governing Boards

State	Type of Board	FTE of Staff		Position	Salary	Public Institution President or Executive Officer	
	Coord/Govern	Prof	Support			Highest	Lowest
West Virginia [A]	G	38.49	24.88	Chancellor, State College System of WV	160,000	225,000	92,200
				Chancellor, University System of WV	160,000		
(WV) 12 Campuses				Vice Chanc Comm & Technical Coll Educ	94,596		
				Vice Chancellor for Health Sciences	178,968		
				Senior Administrator, Central Office	103,285		
				Director, Finance & Facilities	92,304		
				Director, Academic Affairs	92,304		
				Director, Research & Information Systems	91,344		
				General Counsel	91,851		
Wisconsin [B]	G	72.6 [C]	109.66 [D]	President of the University System	$181,527	$175,000	$125,500
				Senior Vice President for Administration	140,000	Chancellors [E]	
(WI) 14 Campuses				Senior Vice President for Academic Affairs	145,000		
				Vice President for University Relations	96,000		
				Vice President for Business & Finance	118,000		
				Secretary of the Board of Regents	63,187		

[A] Two governing boards, one central staff. (WV)
[B] FY99 figures, not yet adjusted by legislature for 1999-2000. (WI)
[C] Unclassified positions. (WI)
[D] Classified positions. (WI)
[E] Plus $1,477 monthly housing allowance or furnished house. (WI)

North Dakota University System

Report of the State Board of Higher Education's Committee on Employee Compensation

CONTACT UNIVERSITY SYSTEM OFFICE OR STATE LIBRARY FOR COPY

Presented to the State Board of Higher Education
March 23, 2000

NORTH DAKOTA STATE BOARD OF HIGHER EDUCATION

STAFF CONTRACT

JULY 1, 2000

The following contract is offered to you on behalf of the North Dakota State Board of Higher Education. This contract is subject to satisfactory performance and all of the policies of the North Dakota State Board of Higher Education.

Name: Larry A. Isaak

Position: Chancellor, North Dakota University System

Annual Salary: $150,860 plus fringe benefits provided by law and policy, subject to payroll deductions and annual review and adjustments as provided by law or Board policy.

Term: July 1, 2000 – June 30, 2003

Special Conditions:

1. To the extent permitted by law, this contract shall be renewed, on July 1, 2001 and July 1, 2002, for an equivalent term of three years and prior to July 1, 2003, this appointment may be terminated only for cause. On or after July 1, 2003 the contract may be renewed for a term of less than three years.

2. If this appointment is terminated without cause on or after July 1, 2003, you are entitled, to the extent permitted by law, to an appointment within the System with the type of appointment to be determined at that time. The term of the appointment shall be through June 30, 2006. The annual salary shall be equal to at least sixty-five percent of your annual salary on the date of termination of the appointment as Chancellor, subject to payroll deductions and annual review and adjustments as provided by law or Board policy.

3. You are entitled to a vehicle allowance in the amount of $550.00 monthly in accordance with SBHE Policy 705.1.

Please indicate your acknowledgment and acceptance of this contract by signing and returning one copy to me.

Jeanette Satrom, President

I accept the terms and conditions described above.

RECEIVED
JUL 10 2000
North Dakota University System

Accepted: _____
Larry A. Isaak

Date: 6/25/00

NORTH DAKOTA STATE BOARD OF HIGHER EDUCATION
PRESIDENTIAL CONTRACT
July 1, 2000

The following contract is offered to you on behalf of the North Dakota State Board of Higher Education. This contract is subject to satisfactory performance and all of the policies of the North Dakota State Board of Higher Education.

Name: Dr. Donna Thigpen

Position: President

Institution: Bismarck State College

Annual Salary: $112,400 plus fringe benefits provided by law and policy and subject to payroll deductions and annual review and adjustments as provided by law or Board policy.

Term: July 1, 2000 – June 30, 2003

Special Conditions:

1. Annual housing allowance is provided in the amount of $15,450, payable monthly.
2. A vehicle or monthly vehicle allowance is provided as specified in Policy 705.1.
3. On July 1, 2001, this contract shall be renewed for an equivalent term of three years. Prior to July 1, 2004, this appointment may be terminated only for cause.

Please indicate your acknowledgment and acceptance of this contract by signing and returning one copy to me.

Larry A. Isaak

Larry A. Isaak, Chancellor

I accept the terms and conditions described above.

Accepted: Donna D Thigpen

Donna Thigpen

Date: 6-21-00

NORTH DAKOTA STATE BOARD OF HIGHER EDUCATION
PRESIDENTIAL CONTRACT
July 1, 2000

The following contract is offered to you on behalf of the North Dakota State Board of Higher Education. This contract is subject to satisfactory performance and all of the policies of the North Dakota State Board of Higher Education.

Name: Dr. Lee A. Vickers

Position: President

Institution: Dickinson State University

Annual Salary: $116,000 plus fringe benefits provided by law and policy and subject to payroll deductions; subject also to annual review and adjustment as provided by law and policy.

Term: July 1, 2000 – June 30, 2002

Special Conditions:

1. You are required to reside in the residence provided for the DSU president, and shall use the residence for entertainment and other purposes to further the interests of the institution.
2. A vehicle or monthly vehicle allowance is provided as specified in Policy 705.1.

Academic Appointment:

Position: Professor of Education

Tenured Status: Not tenured

Please indicate your acknowledgment and acceptance of this contract by signing and returning one copy to me.

Larry A. Isaak, Chancellor

I accept the terms and conditions described above.

Accepted: _____
Lee A. Vickers

Date: _____

NORTH DAKOTA STATE BOARD OF HIGHER EDUCATION
PRESIDENTIAL CONTRACT

July 1, 2000

The following contract is offered to you on behalf of the North Dakota State Board of Higher Education. This contract is subject to satisfactory performance and all of the policies of the North Dakota State Board of Higher Education.

Name: Dr. Sharon Etemad

Position: President

Institution: Lake Region State College

Annual Salary: $86,500 plus fringe benefits provided by law and policy and subject to payroll deductions.

Term: July 1, 2000 – June 30, 2002

Special Conditions:

1. Annual housing allowance is provided in the amount of $15,450, payable monthly.
2. A vehicle or monthly vehicle allowance is provided as specified in Policy 705.1.

Please indicate your acknowledgment and acceptance of this contract by signing and returning one copy to me.

Larry A. Isaak

Larry A. Isaak, Chancellor

I accept the terms and conditions described above.

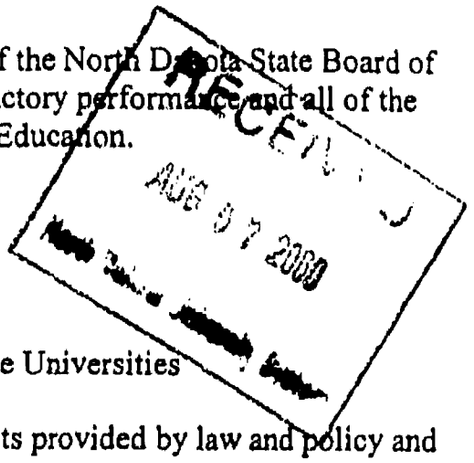
Accepted: Sharon L Etemad

Sharon Etemad

Date: 6-21-00

NORTH DAKOTA STATE BOARD OF HIGHER EDUCATION
PRESIDENTIAL CONTRACT

July 1, 2000



The following contract is offered to you on behalf of the North Dakota State Board of Higher Education. This contract is subject to satisfactory performance and all of the policies of the North Dakota State Board of Higher Education.

Name: Dr. Ellen Chaffee

Position: President

Institutions: Mayville and Valley City State Universities

Annual Salary: $112,320 plus fringe benefits provided by law and policy and subject to payroll deductions.

Term: July 1, 2000 – June 30, 2002

Special Conditions:

1. Annual housing allowance is provided in the amount of $20,000, payable monthly.
2. A vehicle or monthly vehicle allowance is provided as specified in Policy 705.1.

Academic Appointment:

Position: Professor

Tenured Status: Not tenured

Please indicate your acknowledgment and acceptance of this contract by signing and returning one copy to me.

Larry A. Isaak, Chancellor

I accept the terms and conditions described above.

Accepted: Ellen Chaffee
Ellen E. Chaffee

Date: 8/7/00

NORTH DAKOTA STATE BOARD OF HIGHER EDUCATION
PRESIDENTIAL CONTRACT
July 1, 2000

The following contract is offered to you on behalf of the North Dakota State Board of Higher Education. This contract is subject to satisfactory performance and all of the policies of the North Dakota State Board of Higher Education.

Name: Dr. Erik Shaar

Position: President

Institution: Minot State University

Annual Salary: $112,710 plus fringe benefits provided by law and policy and subject to payroll deductions.

Term: July 1, 2000 – June 30, 2002

Special Conditions:

1. Annual housing allowance is provided in the amount of $15,450, payable monthly.
2. A vehicle or monthly vehicle allowance is provided as specified in Policy 705.1.

Academic Appointment:

Position: Professor

Tenured Status: Not tenured

Please indicate your acknowledgment and acceptance of this contract by signing and returning one copy to me.

Larry A. Isaak

Larry A. Isaak, Chancellor

I accept the terms and conditions described above.

Accepted: H Erik Shaar

Erik Shaar

Date: 6/23/00

RECEIVED

JUN 27 2000

NORTH DAKOTA STATE BOARD OF HIGHER EDUCATION
PRESIDENTIAL CONTRACT

The following contract is offered to you on behalf of the North Dakota State Board of Higher Education. This contract is subject to satisfactory performance and Board policies.

Name: Dr. Sharon Hart

Position: President

Institution: North Dakota State College of Science

Annual Salary: $100,000 plus fringe benefits provided by law and policy and subject to payroll deductions; subject also to annual adjustment as provided by law and Board policy.

Term: July 1, 2000 - June 30, 2003

Special Conditions:

1. Annual housing allowance is provided in the amount of $15,450, payable monthly.
2. A vehicle or monthly vehicle allowance is provided as specified in SBHE Policy 705.1.

Tenure Status: Not tenured.

Please indicate your acknowledgment and acceptance of this contract by signing and returning one copy to me.

Larry A. Isaak, Chancellor

I accept the terms and conditions described above.

Dr. Sharon Hart

Date: 4/3/00

NORTH DAKOTA STATE BOARD OF HIGHER EDUCATION
PRESIDENTIAL CONTRACT

The following contract is offered to you on behalf of the North Dakota State Board of Higher Education. This contract is subject to satisfactory performance and Board policies.

Name: Joseph E. McCann

Position: President

Institution: Williston State College

Annual Salary: $85,000.00 plus fringe benefits provided by law and policy and subject to payroll deductions; subject also to annual adjustment as provided by law and Board policy.

Term: July 1, 2000 – June 30, 2003

Special Conditions:

1. Annual housing allowance is provided in the amount of $15,450, payable monthly.

2. A vehicle or monthly vehicle allowance is provided as specified in SBHE Policy 705.1.

Academic Appointment:

Position:

Tenure Status: Not tenured.

Please indicate your acknowledgment and acceptance of this contract by signing and returning one copy to me.

Larry A. Isaak, Chancellor

I accept the terms and conditions described above.

Joseph E. McCann

Date: 5/22/00

NORTH DAKOTA STATE BOARD OF HIGHER EDUCATION
PRESIDENTIAL CONTRACT
July 1, 2000

The following contract is offered to you on behalf of the North Dakota State Board of Higher Education. This contract is subject to satisfactory performance and all of the policies of the North Dakota State Board of Higher Education.

Name: Joseph Chapman

Position: President

Institution: North Dakota State University

Annual Salary: $147,000 plus fringe benefits provided by law and policy and subject to payroll deductions and annual adjustments as approved by the Board

Term: July 1, 2000 – June 30, 2002

Special Conditions:

1. You are required to reside in the residence provided for the NDSU president, and shall use the residence for entertainment and other purposes to further the interests of the institution.
2. A vehicle or monthly vehicle allowance is provided as specified in SBHE Policy 705.1.
3. You are appointed as professor of biology, co-terminus with appointment as president.

Please indicate your acknowledgment and acceptance of this contract by signing and returning one copy to me.

Larry A. Isaak, Chancellor

I accept the terms and conditions described above.

Accepted: Joseph Chapman

Date: 6/23/00

NORTH DAKOTA STATE BOARD OF HIGHER EDUCATION JUN 28 2000
PRESIDENTIAL CONTRACT
July 1, 2000

The following contract is offered to you on behalf of the North Dakota State Board of Higher Education. This contract is subject to satisfactory performance and all of the policies of the North Dakota State Board of Higher Education.

Name: Dr. Charles E. Kupchella

Position: President

Institution: University of North Dakota

Annual Salary: $147,000 plus fringe benefits provided by law and policy and subject to payroll deductions; subject also to annual review and adjustment as provided by law and policy.

Term: July 1, 2000 – June 30, 2002

Special Conditions:
1. You shall reside in the residence provided for the UND president and use the residence for entertaining and other purposes to further the interests of the institution.
2. A vehicle or monthly vehicle allowance is provided as specified in SBHE Policy 705.1.
3. If the appointment is not renewed on July 1, 2002, you are entitled to a special appointment to the UND faculty, with a rank of professor and salary equivalent to the average at UND for a full time professor in your discipline, for the term July 1, 2002 to June 30, 2004.

Academic Appointment:
Position: Professor of Biology
Tenured Status: Not tenured

Please indicate your acknowledgment and acceptance of this contract by signing and returning one copy to me.

Larry A. Isaak

Larry A. Isaak, Chancellor

I accept the terms and conditions described above.

Accepted: C E Kupchella

Dr. Charles E. Kupchella

Date: JUNE 26, 2000

RECEIVED
JUN 28 2000
North Dakota University System

Testimony on Engrossed SB 2003 and 2021
Scot A. Stradley, Ph.D.
President, Council of College Faculties

Members of the House Appropriations Committee,

Thank you for the opportunity to present faculty input on SB 2003 and 2021. We appreciate the support for this opportunity provided by the State Board of Higher Education and the Chancellor's office. This legislation begins a new future for the North Dakota University System.

The Council of College Faculties looks forward to making a positive contribution to the success of this initiative. As the Council stated last fall in its resolution of support for the Legislative Council Higher Education Report: We look forward to continuing to work with the Legislature and Board to make the North Dakota University System the excellent seedbed of educational opportunity and economic development it has been in the past. The following discussion addresses the major components of the engrossed bill. We ask that you approve the bill as it is.

This bill authorizes two important changes in the system: Board block grants to campuses and campus retention of tuition income. Change does create insecurity, and not all faculties agree on what the effects of these changes will be. However, faculty does agree that change is inevitable and so we join in the spirit of initiative that underlies these changes. Allowing the campuses to retain tuition income clearly creates an incentive that is tied to enrollment. We are acutely aware of the enrollment challenge and want to work to insure the achievements of this system to date. We want to grow our own industry and appreciate your willingness to entrust us with some of the responsibility for this growth. We are willing to work to increase tuition income throughout the system to replace that which is going to be lost due to declining numbers of North Dakota high school graduates. The growth of enrollments is in our self-interest and so there is a union of self and the States' interest in this regard.

An allocation model based on block grants has been used in other parts of the public sector during the last 20 to 30 years. It seems to have been met with innovation in the delivery of public services. We hope the same success is achieved in this application. The State Board of Higher Education has a strong record of advocacy for the North Dakota University System. We trust that the enlarged role of the Board in allocating the State appropriation will result in the greater good for the system. The Board has supported the tradition of a solid liberal arts education while supporting new initiatives in professional and technical education. The increased appropriations authority should work to make the system more responsive to change and new initiatives while at the same time preserving the tradition of providing a broad foundation in science, social science, languages, humanities, and mathematics/logic.

We sincerely hope that the House Appropriations Committee and the House of Representatives will approve Engrossed SB 2003 and 2021 without changing the system and campus budgetary provisions. The State of North Dakota might face a new revenue projection that will result in efforts to cut the salary recommendation from a 3/2 to a 2/2 level. We recommend that new spending initiatives be examined for cuts before scrutiny is applied to the higher education bill. There are solid reasons for not changing the

budgetary authorizations. There has been significant and substantial salary compression during the 1990s. Today we are hiring faculty at rates of pay equal to that received by the senior members of departments. This has made it hard to retain experienced faculty, and the State has suffered from the related brain drain. The second reason relates to the facts that University salaries are approximately 80 percent and College salaries are approximately 90 percent of regional averages. North Dakota faces a significant challenge retaining teachers in the face of the future teacher scarcity that is already affecting higher education. North Dakota total personal income increased more in the 1990s by a larger percentage than faculty salaries. By approving the Senate Bill, you can prevent this gap from growing.

The Bill also contains a section on accountability criteria. The Council of College Faculties is concerned about this section. The Legislature is asking the Board and the campuses to be accountable for their actions. This is fair. Faculty hold other faculty accountable when they make promotion and tenure decisions. Faculty must prove that they have fulfilled the expectations of faculty, administration, and the Board before they gain this privilege. Our concern relates to the tradition of faculty governance on the diverse campuses of the system. We want to insure that there is faculty input into the accountability process. We are and will be working with campus governance structures in order to insure faculty input related to achieving the Roundtable goals. We are concerned that fixed costs might increase as a percentage of total revenue if we don't, and prefer that as much revenue as possible be returned to the students in classrooms and laboratories. We hope that the Board and Legislature both approve and expect faculty response to the various accountability criteria. The ultimate success of the Roundtable initiative depends on faculty incorporating the goals and expectations of the Roundtable report into their already busy schedules. The Council of College Faculties is committed to this success.

The social philosopher Jeremy Bentham is the author of the Utilitarian ideal of the greatest good for the greatest number. The Council of College Faculties hopes to continue working with the students, the Board, the Legislature, and the people of North Dakota to continue the tradition of excellence in North Dakota higher education.

NORTH DAKOTA UNIVERSITY SYSTEM

TO: House Appropriations Subcommittee on Education and Environment -- Education Section

FROM: Eddie Dunn, Vice Chancellor for Strategic Planning and Executive Director of College Technical Education Council

DATE: March 22, 2001

SUBJECT: Workforce Development and Training Funds

Attached is a Summary of Workforce Development and Training Funds Prepared by the North Dakota Legislative Council Staff for Representative Wald, March 20, 2001. The summary lists the North Dakota University System, College Technical Education Council (CTEC) as receiving workforce development or training funds. Please note: there are no workforce development or training funds in this budget item nor does workforce training represent the the major activity of CTEC. However, CTEC does provide coordinating support to the community colleges within the University System that have been assigned primary responsibility for workforce training.

CTEC was formed in 1993 as a joint effort of the two-year colleges within the University System and the State Director of the State Board for Vocational Technical Education to improve the coordination and cooperation in the delivery of technical education in North Dakota. CTEC is focused on: defining the common purposes, roles and responsibilities of the two-year institutions; developing a mechanism for academic program mapping (to assist in determining on which campus, or system-wide, programs are currently and/or potentially offered); reducing barriers to education and training within higher education; improving the transferability of credits from one level of education to another and among institutions of higher education, and; becoming more responsive and effective in the design and delivery of training, including customized training.

This budget item also includes the responsibilities for strategic planning for the University System. A major focus of the strategic planning effort is to provide staff support to the State Board of Higher Education, the System Office, and the campuses in developing a comprehensive strategic plan which aligns the University System with the present and future needs of students, stakeholders, and the needs and opportunities of the state of North Dakota.

See attached job description for a detailed description of essential duties and responsibilities within this budget item.

State Capitol - 600 E. Boulevard Ave. Dept. 215, Bismarck, North Dakota 58505-0230 - (701) 328-2960
Fax (701) 328-2961 - E-mail NDUS_office@ndus.nodak.edu - Web www.ndus.nodak.edu

The North Dakota University System is governed by the State Board of Higher Education and consists of: Bismarck State College - Dickinson State University - Lake Region State College - Mayville State University - Minot State University – Minot State University-Bottineau Campus - North Dakota State College of Science - North Dakota State University - University of North Dakota - Valley City State University - Williston State College.

Prepared by the North Dakota Legislative Council staff for Representative Wald
March 2001

SUMMARY OF WORKFORCE DEVELOPMENT TRAINING FUNDS

Workforce development training includes education from high school through college and advanced, customized, and specialized training courses. For the purpose of this summary, workforce development training excludes any educational training provided as general coursework by the North Dakota University System and any educational training below the higher education level. Within this context, the major state agencies partnering to provide workforce development training throughout the state include the North Dakota University System, Job Service North Dakota, State Board for Vocational and Technical Education, Department of Human Services, and Workforce Development Council.

	1999-2001 Biennium	2001-03 Biennium Schafer Executive Budget	2001-03 Biennium Hoeven Executive Budget	2001-03 Biennium as of Crossover
General Fund Programs				
North Dakota University System - College Technical Education Council (CTEC)	$197,627	$194,788	$194,788	$194,788
Vocational Education - Workforce training	675,000	675,000	1,350,000	1,350,000
Vocational Education - Industry training program	32,795	30,000	30,000	30,000
Workforce Development Council \1	104,157	104,159	103,964	880,703
Job Service - Work Force 2000 \2	1,250,676	2,000,356	2,250,356	2,250,356
Department of Human Services - JOBS program \3	0	36,523	36,523	36,523
Subtotal - General fund	$2,460,255	$3,240,826	$3,965,631	$4,742,370
Federal Funds Programs				
Workforce Development Council \1	$104,157	$104,156	$103,963	$60,584
Job Service - Senior community service employment program	1,069,624	1,052,242	1,052,242	1,052,242
Job Service - Job Corps \4	449,822	0	0	0
Job Service - Trade adjustment assistance	1,271,962	1,176,217	1,176,217	1,176,217
Job Service - Job Training Partnership Act \5	4,247,422	0	0	0
Job Service - Welfare-to-work \6	1,629,639	0	0	0
Job Service - Dislocated worker	1,849,660	235,345	235,345	235,345
Job Service - Job opportunities and basic skills	3,598,513	4,472,977	4,472,977	4,472,977
Job Service - Workforce Investment Act	6,983,485	12,496,060	12,496,060	12,496,060
Department of Human Services - JOBS program \3	5,296,965	7,172,682	7,172,682	7,172,682
Department of Human Services - TANF program	587,170	3,083,671	3,083,671	3,083,671
Department of Human Services - Food stamp \7	510,000	507,500	507,500	507,500
Subtotal - Federal funds	$27,598,239	$30,300,850	$30,300,857	$30,257,278
Special Funds Programs				
Job Service - Department of Veterans Affairs \8	$66,681	$0	$0	$0
Job Service - New jobs	179,884	110,000	110,000	110,000
Job Service - Bremer Foundation \8	70,000	0	0	0
Department of Human Services - JOBS program \3	0	12,370	12,370	12,370
Department of Human Services - Food stamp \7	10,000	7,500	7,500	7,500
Subtotal - Special funds	$326,565	$129,870	$129,870	$129,870
Total all funds	$30,385,059	$33,671,546	$34,396,158	$35,129,518

\1 Funding for the Workforce Development Council for the 1999-2001 biennium was included in the budget for the Department of Economic Development and Finance. In the 2001-03 Schafer executive budget recommendation, funding for the council was moved from the Department of Economic Development and Finance to the State Board for Vocational and Technical Education. In the 2001-03 Hoeven executive budget recommendation, funding for the council was provided for in the budget for the Department of Commerce. As of crossover, funding for the Workforce Development Council is included in the budget for the Department of Commerce. The following provides information regarding the total funding provided in the 1999-2001 biennium and the total funding proposed for the 2001-03 biennium:

	1999-2001 Biennium	2001-03 Biennium Schafer Executive Budget	2001-03 Biennium Hoeven Executive Budget	2001-03 Biennium as of Crossover
General fund	$104,157	$104,159	$103,964	$880,703
Special funds	104,157	104,156	103,963	60,584
Total	$208,314	$208,315	$207,927	$941,287

\2 The funding provided for Work Force 2000 in the 1999-2001 biennium was $1,250,676, of which $1,250,000 was from the general fund, and $67 was from federal funds. All funding proposed for Work Force 2000 for the 2001-03 biennium is from the general fund.

\3 The following provides information regarding the total funding provided in the 1999-2001 biennium and the total funding proposed for the 2001-03 biennium for the Department of Human Services JOBS program:

	1999-2001 Biennium	2001-03 Biennium Schafer Executive Budget	2001-03 Biennium Hoeven Executive Budget	2001-03 Biennium as of Crossover
General fund	$0	$36,523	$36,523	$36,523
Federal funds	5,296,965	7,172,682	7,172,682	7,172,682
Special funds	0	12,370	12,370	12,370
Total	$5,296,965	$7,221,575	$7,221,575	$7,221,575

\4 The Job Corps contract terminated on June 30, 2000.

\5 The Job Training Partnership Act expired on June 30, 2000.

\6 Funding availability for the welfare-to-work program expires on June 30, 2001.

\7 The following provides information regarding the total funding provided in the 1999-2001 biennium and the total funding proposed for the 2001-03 biennium for the Department of Human Services food stamp employment training program:

	1999-2001 Biennium	2001-03 Biennium Schafer Executive Budget	2001-03 Biennium Hoeven Executive Budget	2001-03 Biennium as of Crossover
Federal funds	$510,000	$507,500	$507,500	$507,500
Special funds	10,000	7,500	7,500	7,500
Total	$520,000	$515,000	$515,000	$515,000

\8 This program was funded in the 1999-2001 biennium with one-time grant funding.

FILE:EDjobdes.wpd
Disk:Workplan

Position Description
Vice Chancellor for Strategic Planning &
Executive Director of the College Technical Education Council
North Dakota University System
November 7, 2000

I. Overview

Vice Chancellor: The Vice Chancellor for Strategic Planning provides leadership and serves as the primary staff person in the strategic planning area for the University System. A major focus of the strategic planning effort is to provide staff support to the State Board of Higher Education, the System Office, and the campuses in developing a comprehensive strategic plan which aligns the University System with the present and future needs of students, stakeholders, and the needs and opportunities of the state of North Dakota.

CTEC Executive Director: The Executive Director of the College Technical Education Council (CTEC) provides leadership and staff support to the two-year colleges in the University System. The primary objective of CTEC is to improve the coordination and cooperation in the delivery of technical education in North Dakota. The Council's efforts are focused on: defining the common purposes, roles and responsibilities of the two-year institutions; developing a mechanism for academic program mapping (to assist in determining on which campus, or system-wide, programs are currently and/or potentially offered); reducing barriers to education and training; improving the transferability of credits from one level of education to another and among institutions of higher education, and; becoming more responsive and effective in the design and delivery of training, including customized training.

II. Essential Duties and Responsibilities -- Strategic Planning

A. System Level

- Provide leadership in developing a strategic plan for the University System which is based on the Report of the Roundtable but also includes SBHE goals; objectives, and strategies beyond those recommended in the Report of the Roundtable.

- Provide leadership and support to the System staff, Chancellor's Cabinet, and the SBHE in identifying and developing data collection mechanisms for non-financial accountability measures at the Board/System level.

- Assist in arranging an annual research and development summit as a cooperative effort of the SBHE and ED&F. The purposes of the summit are to increase awareness

of the capabilities of the University System and to identify opportunities of the University System in enhancing the economy of North Dakota.

- Provide assistance to the public affairs director in preparing information and guidance to the Public Affairs Council (PAC) in developing materials for communicating the results and recommendations of the Roundtable and keeping the major stakeholders informed on progress.

- Assist the Chancellor, SBHE, and the legislative leadership in developing and designing the agenda to assure the appropriate information is available and the key purposes of the annual roundtable are met.

- Develop a checklist and action plan for continued engagement of key stakeholders in carrying out the respective responsibilities as called for in the Report of the Roundtable.

B. Campus Level

- Develop consensus by the Chancellor's Cabinet and Board on campus level accountability measures and data collection mechanisms.

- Take responsibility for obtaining campus plans for addressing the SBHE goals and objectives. Responsibility to include the development of guidelines for campuses to use in submitting plans (and reporting on progress) consistent with the respective campus missions, for implementing the six Cornerstones/goals assigned to the campuses as outlined in the Roundtable Report.

- Prepare, in cooperation with the public affairs director, presentation materials highlighting the conclusions, major themes, expectations, and recommendations of the Roundtable and the SBHE to be used by campuses in conducting campus forums and legislative briefing sessions.

III. Essential Duties and Responsibilities -- Executive Director of CTEC

A. Identify Issues and Needs

- Provide leadership and assistance to the community colleges in identifying new programs that are in high demand and also have potential for development in the state.

- Review, in cooperation with the vice chancellor of academic affairs, academic program offerings for updating and possible modification.

- Identify issues and opportunities relevant to two-year campuses along with action plans for addressing each.

B. Workforce Training

- Provide leadership for the continued successful implementation of the workforce training system and assuring it meets the legislative provisions and the SBHE policies.

- Collaborate with the North Dakota Economic Development Association in developing a coordinated funding approach involving the workforce training system, the Workforce-2000 fund, and the New Jobs Training Program.

C. FINDET (Follow-up Information on North Dakota Education and Training)

- Continue implementing the FINDET system.

- Assist in the preparation of the FINDET report of NDUS graduates.

- Assist in developing data and reporting formats for campus-level and program-level FINDET information in addition to the state-wide data.

- Assist in the expanded use of FINDET by SBVTE centers and comprehensive vocational technical programs.

- Provide support to the North Dakota Career Resources Network (formally State Occupational Coordinating Committee) in exploring the possibility of a cooperative agreements involving information exchanges with other states.

D. SPARC (Student Progress and Research Collaboration):

- Cooperate in exploring the possibility of expanding implementation of SPARC beyond the CTEC institutions to all 11 campuses.

- Cooperate in developing and proposing a plan for continued funding of the SPARC initiative.

E. Community College Consortium

- Supervise and assist project director regarding successfully obtaining and implementing provision of the Bush Foundation grant for faculty development.

- Provide supervision to project director regarding faculty development needs and opportunities particularly as they relate to CTEC.

F. Bachelor's Degree in Vocational Technical Education

- Provide guidance and support of the Bachelor's Degree for Vocational Technical Education instructors and explore ways of maximizing the participation and benefits of the program.

G. School-to-Work

- Serve on the Management Team for the North Dakota School-to-Work program representing the University System.

H. Collaborate with Business

- Collaborate with GNDA in providing the annual meeting of business and industry leaders focused on increasing awareness of, and more effectively connecting, the education, research, and service capabilities of the University System with the economic development needs and opportunities of the State.

I. Legislative Bill Tracking System

- Serve on the management team for the Legislative Bill Tracking System in cooperation with the Legislative Council, Information Technology Division, and the Information Technology Services Division at NDSU.

J. Information and Communication

- Assist the Chancellor, other staff, and the Board in providing information to the SBHE, Cabinet, legislature, and other key stakeholders including documentation of System progress and accomplishments.

North Dakota
Experimental Program to Stimulate Competitive Research
(ND EPSCoR)

ND EPSCoR has enhanced the state's research capacity by:

- Building research infrastructure
- Increasing the success rate of science, engineering and mathematics (SEM) researchers in North Dakota for merit-based grants from public and private sources.
- Increasing the opportunities for students and faculty to develop their capabilities in SEM
- Enhancing technology transfer for the universities to the private sector

About *North Dakota EPSCoR*

Experimental Program to Stimulate Competitive Research **is a North Dakota University System (NDUS) program open to all NDUS faculty and students through:**

INFRASTRUCTURE IMPROVEMENT PROGRAMS

- *New Faculty Start-Up Awards*
- *Equipment Grants*
- *Principal Investigator Seed Grants*
- *Travel Awards*
- *Graduate Fellowships*

SCIENCE OUTREACH AND RECRUITMENT (SOAR) PROGRAMS

- **AURA** *Advanced Undergraduate Research Awards*
- **SCIENCE BOUND**
- **FLARE** *Faculty Laboratory And Research Experiences*
- **FLITE** *Faculty Laboratory and Instructional Techniques Enhancement*

TECHNOLOGY TRANSFER PROGRAMS

- **STTAR** *Students in Technology Transfer And Research*
- **Phase 0-TRIC** *Technology Research Into Commercialization*
- **FITT** *Faculty In Technology Transfer*

Visit ND EPSCoR's website at: http://www.ndsu.nodak.edu/epscor

Infrastructure Improvement Programs

Contributions to resources:

- Acquired database for sponsored program information
- Enhanced computing capabilities
- Established the "Virtual Center for Plant Genomics" (joint effort with Montana State Univertsity)

Contributions to faculty development:

- Played critical role in hiring new research faculty; ca. 31 new faculty were brought to ND that would otherwise gone elsewhere.
- Provided critical support to initiate projects with high potential for external funding in a broad spectrum of disciplines, ranging from agriculture to energy to medicine.

Graduate student recruitment/development:

- Provided advanced education and training opportunities to over 450 students.
- Forges links between the comprehensive 4-year institutions and the research universities by offering research assistantships to qualified graduates of the 4-year schools.
- Several EPSCoR-supported students have stayed in ND and become faculty members at 4-year institutions (e.g., Mayville, Minot).

Science Outreach and Recruitment Programs

Advanced undergraduate research awards (SOAR):

- Provides opportunities for summer research experience for advanced undergraduates (Jr, Sr)
- Students report that the program affords critical "hands-on" experience and makes them more "job ready" and competitive for positions after graduation.

Science Bound:

- Provides incoming students an opportunity to work part-time as a team member on an original research project.
- Increases recruitment of highly qualified students into SEM, helping meet a national need.

Together, SOAR and Science Bound have involved over 507 students since 1992; students from 50 counties in ND have benefitted since the start of the program.

Faculty laboratory and research experiences (FLARE):

- Allows faculty at 4-year institutions to obtain research experience.
- Faculty from 14 institutions of higher education across the state have participated in EPSCoR.

Faculty laboratory and instructional techniques enhancement (FLITE):

- Supports science curriculum in North Dakota's five Tribally Controlled community colleges.
- Twenty-two faculty have participated in program.

Technology Development and Transfer

Students in technology transfer and research (STTAR):

- Science, engineering and mathematics students work on technology-based projects in ND companies.
- Over 180 students and 65 companies in 20 communities have benefitted from the program. Examples include the following:

Ground Positioning Systems (GPS) technology development for agricultural production. Ag Advantage, Grand Forks.

Assist in the design of a just-in-time continuous flow manufacturing assembly line. Alloway Industries, Fargo

Analyze critical waste factors, improve processing and reduce spills. Modernize inventory and packaging control systems. Train packaging supervisors and machine operators in new software and ink jet labeling system. Dakota Growers Pasta, Carrington.

Develop CAD engineering drawings for new and modified products and design new parts. Global Electric Motorcars, Fargo.

Conduct feasibility studies. North American Bison Cooperative, New Rockford.

- Here's what the companies had to say:

"The students we've employed through the STTAR program are a contributing factor to our success, many are still employed at our company. We thank you again for the opportunity to be part of the program." —David A. Hagert, President, Agridata, Inc., Grand Forks

"STTAR students come from college with up-to-date skills, which are crucial to our satellite imagery business. They have taken the pressure off me and helped us become a successful company in our initial year of operation." —Rodney Faleide, Co-Owner, Agri ImiGIS, Maddock, ND.

Great results by great students. We want them back next year."—Dennis Sexhus, Chief Operating Officer, North American Bison Cooperative, New Rockford.

"Phoenix International will continue to work with the STTAR program for many reasons including the quality of work and the mutually beneficial relations between the company and the students."—Eric Moltumyr, Systems Analyst, Phoenix International Corporation, Fargo, ND.

Faculty in technology transfer (FITT):

- Helps businesses address problems that require faculty expertise.
- Eleven faculty and 11 companies have participated in the program. Examples include the following:

  Dr. Joel Jorgenson (NDSU) designed an onboard recorder, monitoring and read-out system to solve a problem for Global Electric MotorCars, LLC (GEM), of Fargo, ND. GEM manufactures the electrically-driven vehicle for speed-limited environments.

  Dr. Cliff Staples (UND) conducted a census of Grand Forks businesses to determine their plans and responses to the flood disaster. His research team conducted 1400 interviews, provided assistance for companies through referral, and developed a baseline for tracking the recovery effort.

  Dr. Robert Nelson (NDSU) studied power line carrier (PLC) transmission signals in North Dakota for Ottertail Power Company and devised a means to detect when and where a fault has occurred on the power line. His efforts have increased the efficiency of the transmission lines.

Phase 0–Technology research into commercialization:

- Provides funds to assess commercial potential of research and leads to Small Business Innovation Research (SBIR) proposal.
- Thirteen faculty have participated in the program.

Innovations:

- EPSCoR-supported researchers were awarded 4 patents during 1986-1992 and eight patents during 1992-1999

New business:

- Michael Chambers (BS, NDSU, 1997) combined his EPSCoR Advanced Undergraduate Research Award (AURA) experience with his dreams. He is chief executive officer of Aldevron, a biotech company located in Fargo. EPSCoR's Advanced Undergraduate Research Awards (AURA) program was an important factor in the initial stages of his research. The U.S. Small Business Administration (SBA) named Michael Chambers as the Region VIII Young Entrepreneur of the Year 2000.

How are we doing?

The EPSCoR program has enabled NDUS researchers to increase the success rate in obtaining merit-based grants in science, engineering, and mathematics.

- The state's per capita funding received from the National Science Foundation, the major federal sponsor of basic SEM research, increased from 52nd in 1986 to 33rd in 1999.

1st USDA

1st DOE

1st EPA per capita

30th DOC

33rd NSF

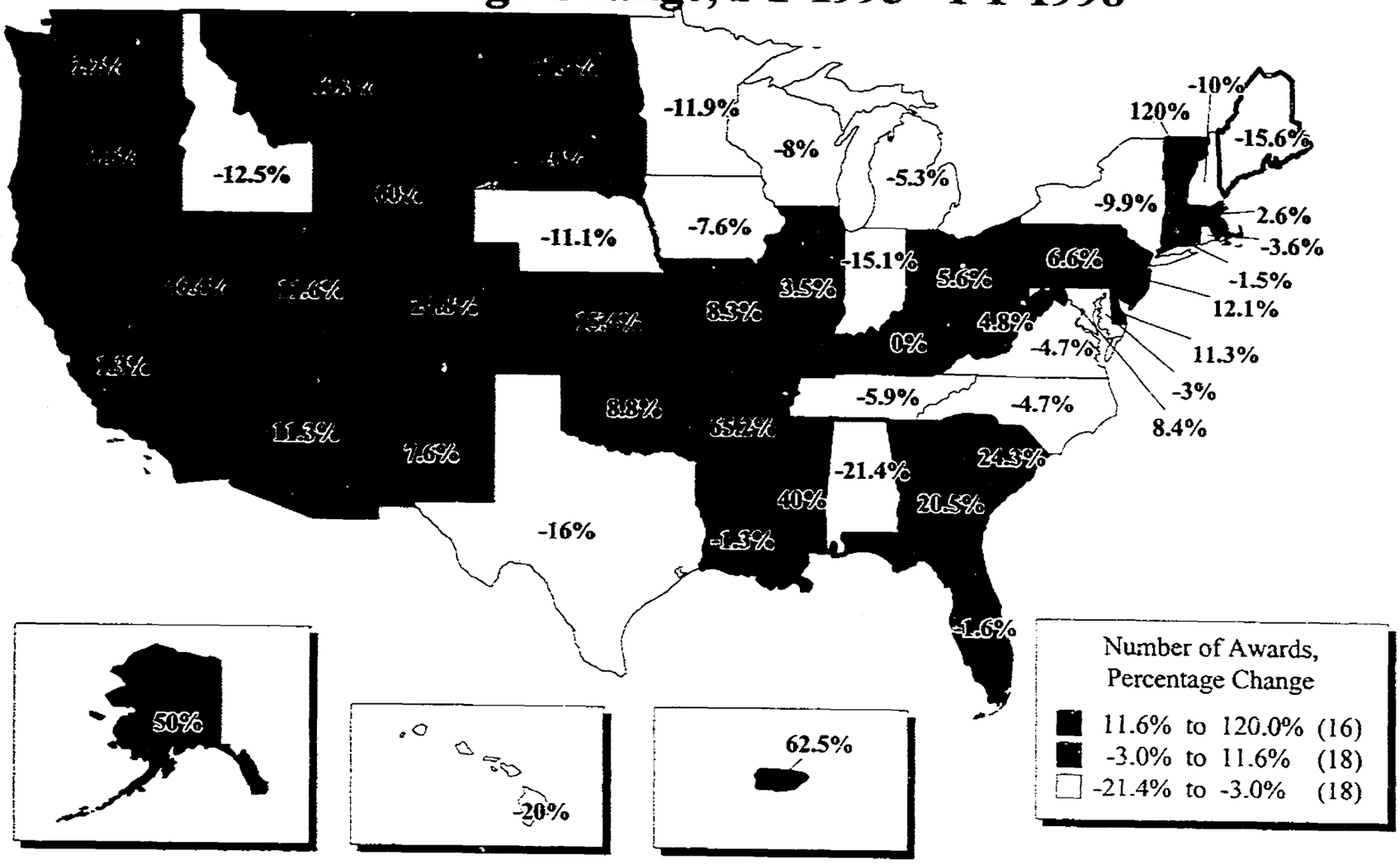
35th NASA

34th DoDResearch Only

43rd HHS

24th Agencies Combined

Total Number of Competitive NSF Awards, Percentage Change, FY 1993 - FY 1998

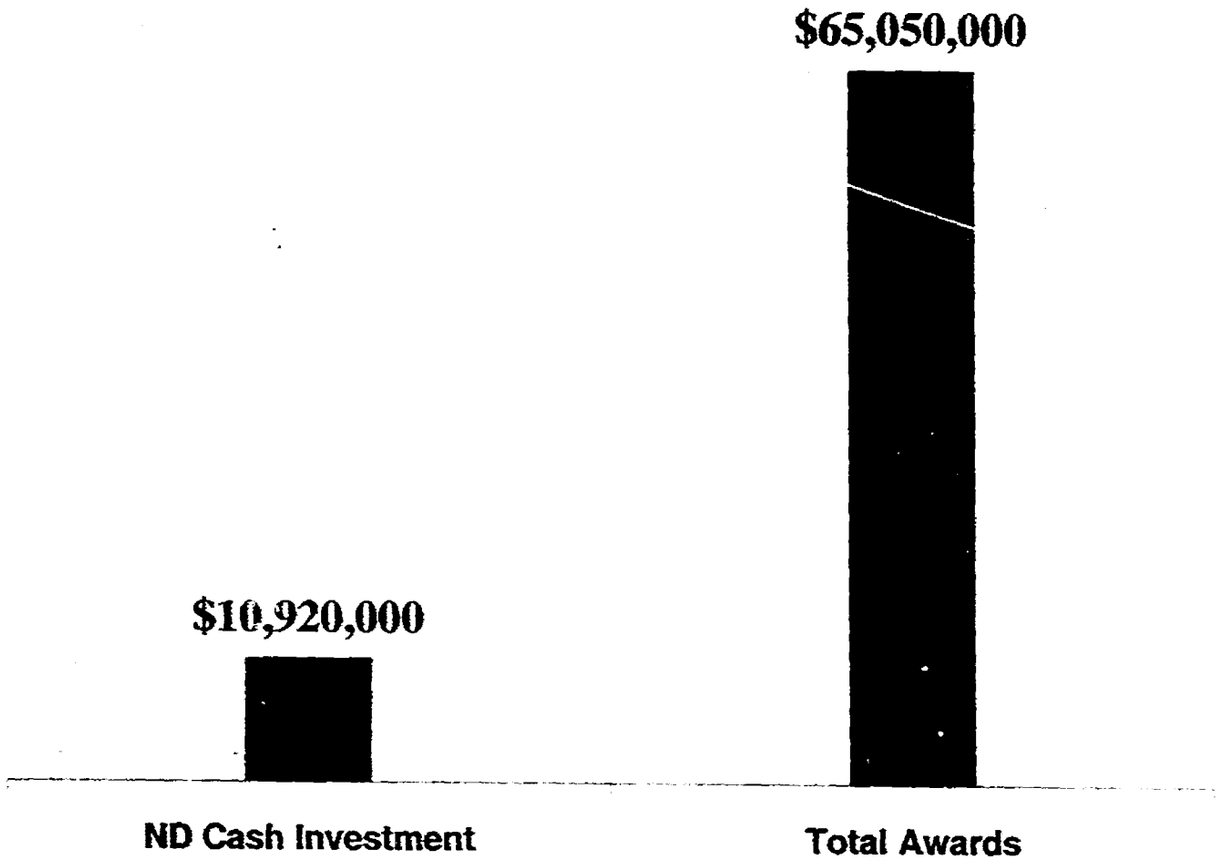


Note: Competitive NSF awards include all new merit-reviewed NSF awards. It does not include continuing increments or supplements.
Source: NSF/BFA Budget Internet Information System (BIIS), http://ntalpha.bfa.nsf.gov/

Date Created: February 1999

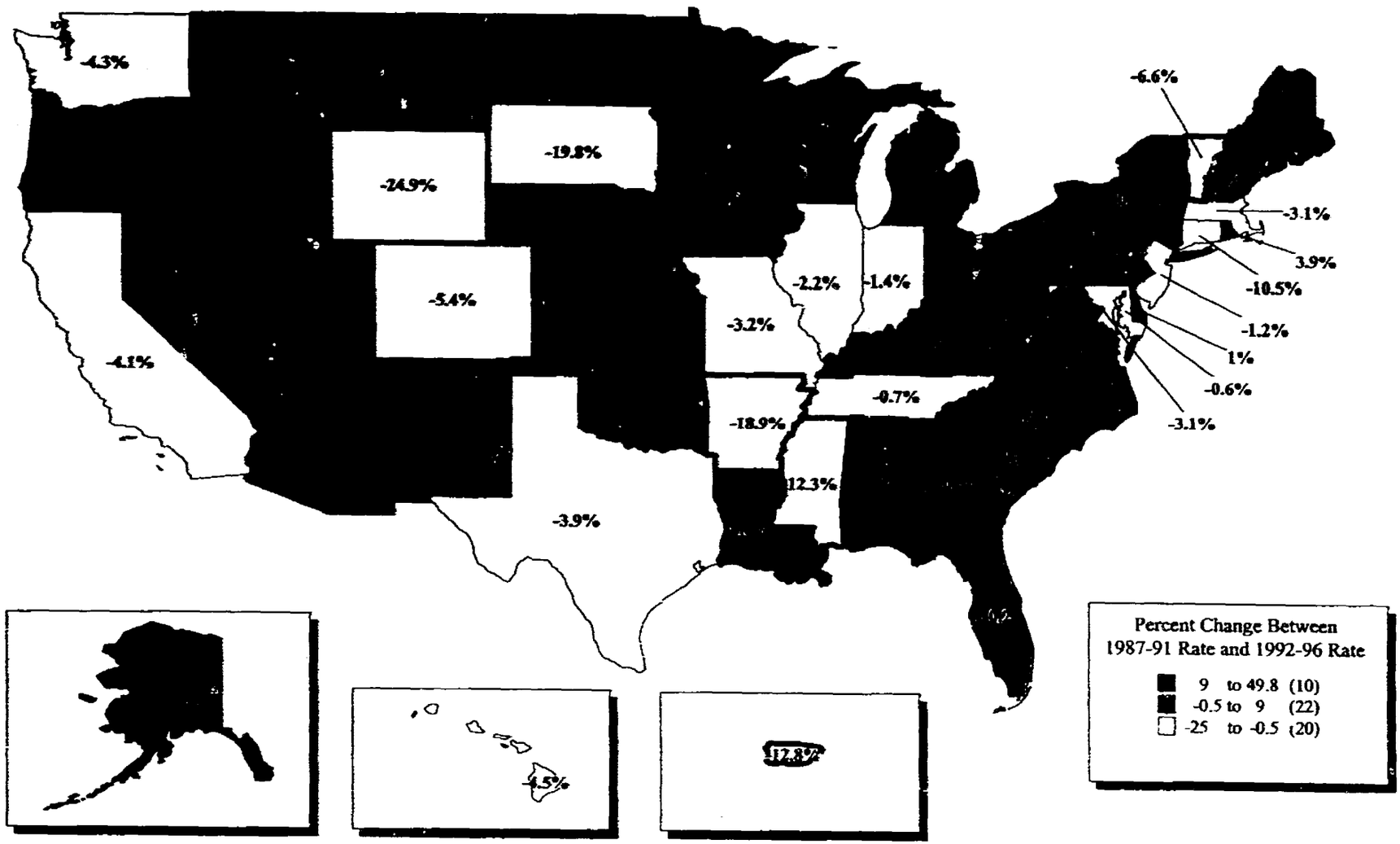
Projected Update: February 2000

EPSCoR Return on Investment
1986-2000



2-Jan-01

Average Funding Rate for Competitive NSF Research Project Proposals, Percent Change Between 1987-91 Rate and 1992-96 Rate

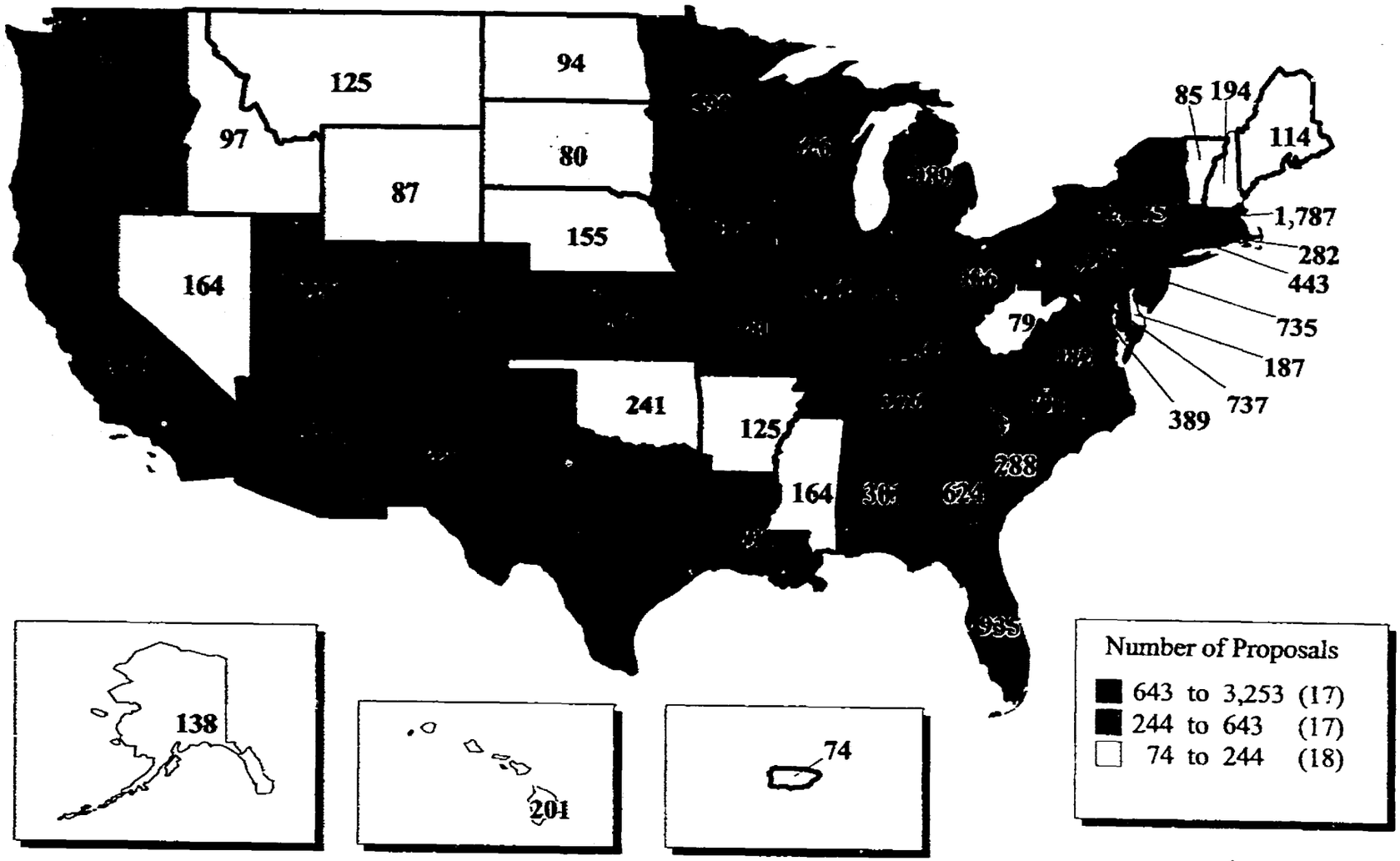


Note: "Funding Rate" refers to the percent of all proposals submitted that were awarded.
"Competitive NSF Research Project Awards" includes all new merit-reviewed NSF research project awards. It does not include continuing increments or supplements.

Source: Data supplied by NSF/BFA, 10/97.

November 1997

Total Number of Competitive NSF Proposals, FY 1998

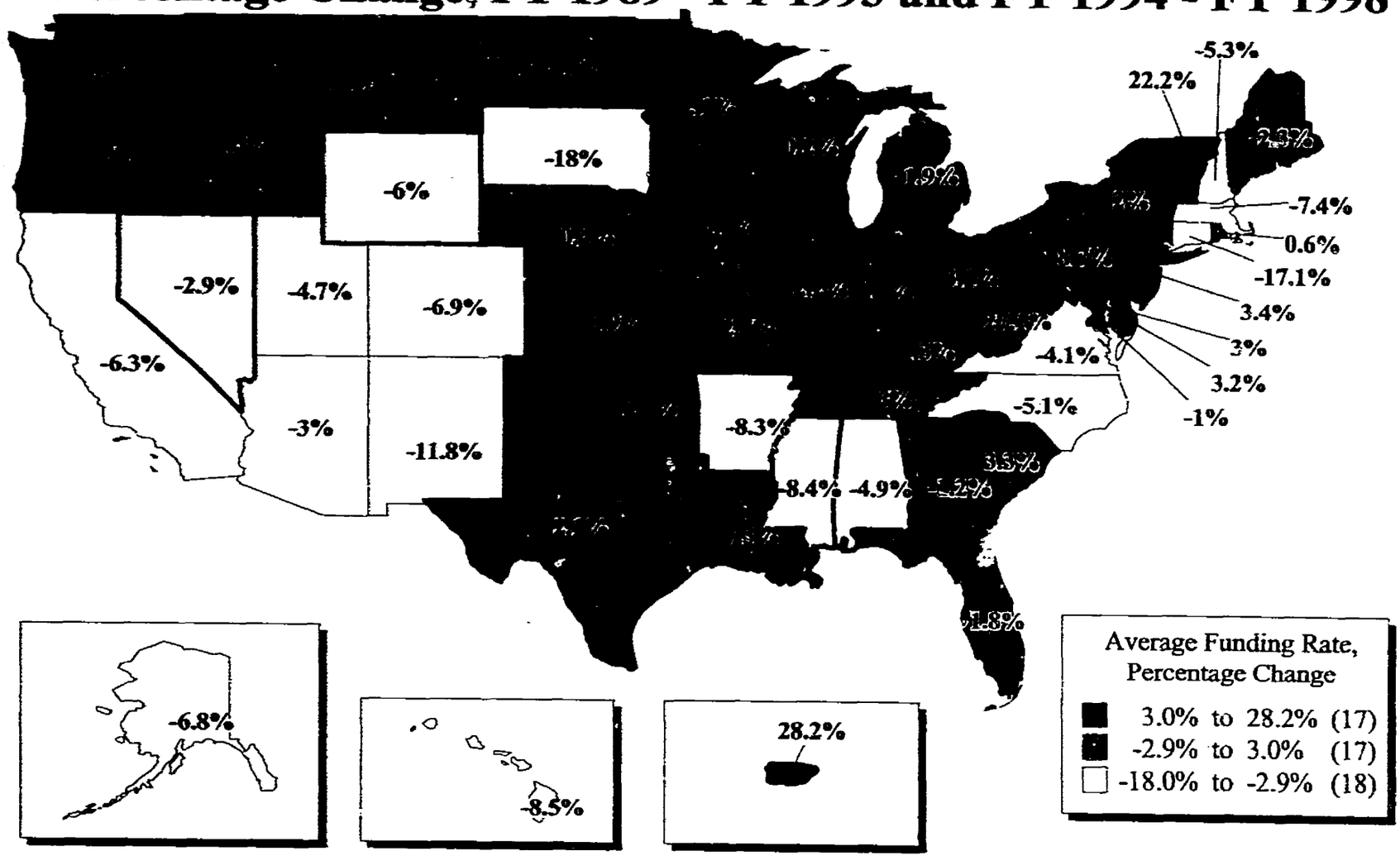


Note: Competitive NSF proposals include all new merit-reviewed NSF proposals. It does not include continuing increments or supplements.
Source: NSF/BFA Budget Internet Information System (BIIS), http://ntalpha.bfa.nsf.gov/

Date Created: February 1999

Projected Update: February 2000

Average Funding Rate for Competitive NSF Proposals, Percentage Change, FY 1989 - FY 1993 and FY 1994 - FY 1998



Note: Competitive NSF proposals include all new merit-reviewed NSF proposals. It does not include continuing increments or supplements.
Funding Rate refers to the percent of all proposals submitted that were awarded.
Source: NSF/BFA Budget Internet Information System (BIIS), http://ntalpha.bfa.nsf.gov/

Date Created: February 1999 *Projected Update: February 2000*

NSF EPSCoR in North Dakota 1995-99

NSF funds: $5.32M
ND funds: $2.96M

External Awards: 13.0M

NSF EPSCoR Supported Participants:
121 Faculty
127 Graduate Students
307 Undergraduates
15 Post Doctorals
10 Technicians
12 Staff

- Some summary data:

86-92: 81 PIs supported with NSF EPSCoR funds; 59 PIs → $13.5M in external awards.

92-99: 173 PIs supported with NSF EPSCoR funds;

- 105 → $34M in external awards.

Success at NSF: 86-92: $3.76M
92-95: $3.83M
95-99: $6.37M

Seven NSF Career Awardees since '97
One Presidential Early Career awardee
New Faculty Startup Awards

-

51 new faculty received $2.1M + match on 1:1 basis. Awards are made to chairs on a competitive basis before the hiring process is started.

Seed Grants

95-99: 74 PIs received $1.1M
99-02: 49 PIs recived$0.69M

FLARE
29 faculty from 14 institutions in ND since 1993.

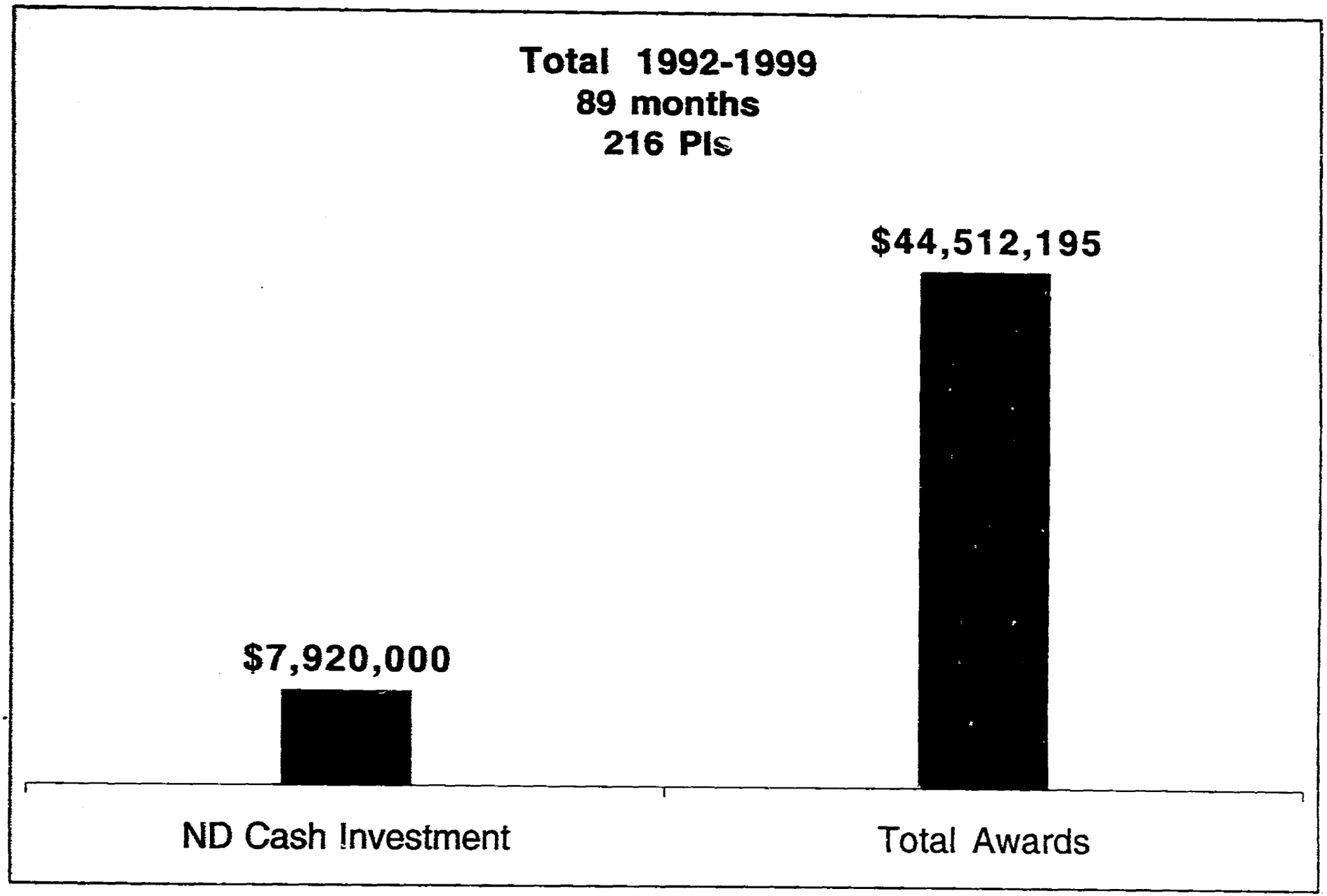
Graduate Student Support

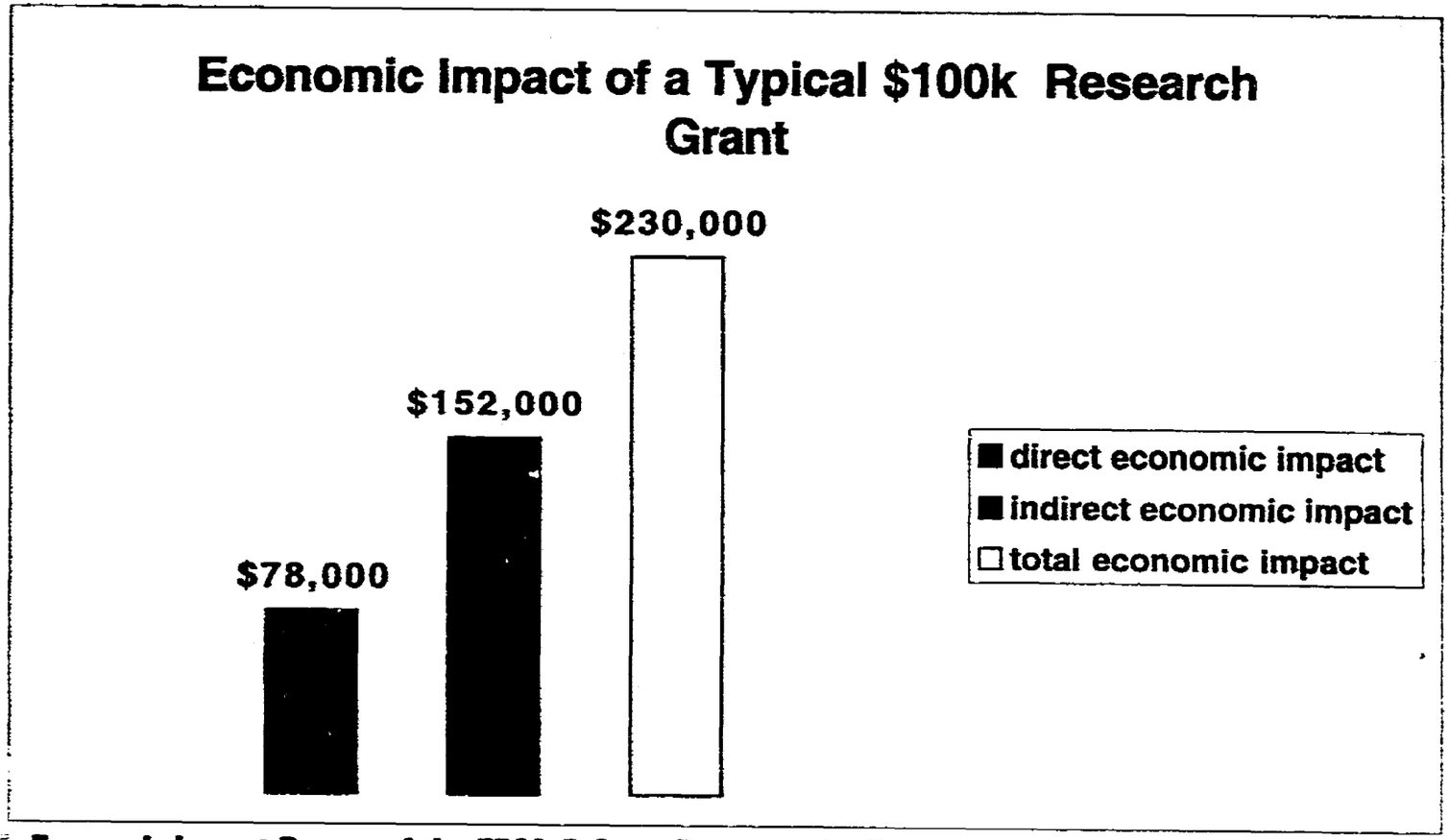
Doctoral Dissertation Awards
Up to 10/yr per campus
20 awards to 5o departments since '98

Up to 4 Grad Assistantships to NDUS students

Three were awarded $81,000 in '99-00.

Undergraduates:
135 AURA awards '95 - 99
130 Science Bound Awards '95 - 99


Total 1992-1999
89 months
216 PIs
$44,512,195
$7,920,000
ND Cash Investment
Total Awards



SOURCE: Economic Impact Report of the EPSCoR Grant Program, April, 2000.

F. Larry Leistritz, Ph.D.
Department of Agribusiness & Applied Economics
North Dakota State University

Table 1. Direct and Total Economic Impacts of Typical EPSCoR Grant Award (N. D.)

Economic Sector	Economic Impact	
	Direct	Total
	---------- $ 000 ----------	
Communications & public utilities		7.7
Retail trade	13.6	64.8
Finance, insurance, & real estate	4.9	16.3
Business & personal services	1.6	16.3
Households (personal income)	58.3	103.0
Other[1]		32.6
Total Direct Impact	78.4	230.2

[1] Includes agriculture, construction, transportation, manufacturing, mining, professional and social services, and government.

Statement of

Kathleen A. Mangskau, RDH, MPA
Oral Health Program Director
North Dakota Department of Health

SB No. 2003
Regarding
Professional Student Exchange

Before the
Senate Appropriations Committee

January 8, 2001

Good afternoon Mr. Chairman and members of the Senate Appropriations Committee. My name is Kathleen Mangskau. I am the Oral Health Program Director in the Division of Maternal and Child Health of the North Dakota Department of Health. I am here to provide information on oral health provider needs in the state. The professional student exchange program provides an opportunity to train the much-needed dental providers for North Dakota since there is no dental school in the state.

Access to oral health care services could become a problem for many residents of North Dakota if current trends in dental provider distribution continue. Access is already a critical issue for many low-income families in North Dakota. Currently 26 percent of dentists report that new patients must wait more than four weeks for treatment. Over three-fourths (76%) of the dentists report they do not actively seek new patients and only 43 percent accept new Medicaid patients.

Currently there are 12 counties designated as dental health professional shortage areas (dental HPSAs). The counties include Billings, Bottineau, Burke, Dunn, Foster, Golden Valley, Kidder, McIntosh, McKenzie, Sioux, Slope, and Towner. Four additional designations have been requested in Nelson, Griggs, Steele, and Cass counties and two additional counties (Burleigh and Morton) are being studied to see if they would qualify. The loss of just one dentist in many rural counties places them in health professional shortage area status.

North Dakota ranks below the national average for population to dentist ratios. In North Dakota we have one dentist for every 2253 people while the national average is one dentist for every 1700 people. The current recommended ratio under many state managed care contracts is 2000:1. There are currently 285 licensed practicing dentists in the state. To reach that ratio North Dakota needs another 46 dentists in the state. We were at that level in the 1980s and there were few access problems. In the past 10 years North Dakota has lost an average to 12 dentists per year and get six new ones for an average net loss of six per year. If this trend continues, the average citizen will have difficulty finding dental care in a timely manner.

A November 1998 survey of North Dakota dentists showed 36 percent of the licensed practicing dentists were over the age of fifty. The average age of North Dakota dentists is 48.2 years. We have an aging dentist population. Currently nearly one-fourth (23%) of our dentists are over the age of 55 while in the mid 1980s and early 1990s only 16 percent of our practicing dentists were over 55 years of age.

The shortage of dentists is a nationwide problem. In the last 10 years dental schools have closed and enrollments have decreased. We are competing with other states to secure dental providers for our state.

In April of 2000 a statewide dental summit of public and private providers identified the lack of dental manpower and the financing and resources to support manpower improvement in the state as a priority issue. Since the Summit the North Dakota Dental Association has developed a mentoring program to encourage young people to pursue careers in dentistry and has dental mentors available to encourage young people to return to the state. In August of 2000, North Dakota re-instituted an externship program with the University of Minnesota. Four dental externs spent a month working in rural and underserved communities in the state. Post externship interviews with the students indicated they need incentives to return to the state. Tuition assistance programs with a requirement to return to the state and practice would be one such incentive.

Dental schools currently have long waiting lists. Even though there may be North Dakota students seeking entry into dental school, we have no contracted slots. Neighboring states are looking at contracting slots to ensure their qualified students are accepted. We must look at similar methods if we are to ensure an adequate dental provider base to meet the oral health needs of our state. Support of the professional student exchange program has merit to assure the provision of dental providers for the future.

Mr. Chairman, this completes my formal testimony. I would be pleased to answer any questions that you or other members of the committee have regarding dental provider status.

Pg 2 of 2
K. Bergsbaken Testimony

January 8, 2001
Testimony before Senate Committee on Appropriations
Harvest Room
Senator David Nething, Chairman
Senate Bill 2003-ND University System's Professional Student Exchange Program.

My name is Joe Cichy, and I am the Executive Director of the North Dakota Dental Association. The North Dakota Dental Association supports the Professional Student Exchange Program as it gives North Dakota students an opportunity to access dental education as North Dakota does not have a dental school.

There is a shortage of dentists in North Dakota. The situation will only worsen as our dentist population ages. It is projected that between 1998 and 2008 over 40% of those who practiced in 1998 will retire. If this situation is not addressed, it will create a significant health care problem in North Dakota. The PSEP program can help to sustain an adequate number of dentists in North Dakota as approximately 70% of the dental students who benefit from this program return to North Dakota to practice. However, we believe that the program can better address the dentist shortage with the following changes:

1. Direct NDUS, within its available funds, to fund up to four dental students eligible under WICHE.
2. Contract with universities for dental seats to ensure that qualified North Dakota students are able to attend dental school under the WICHE program.
3. Require those who accept WICHE funds to accept them as a loan, with the loan being forgiven if they return to North Dakota to practice dentistry.

The North Dakota Dental Association believes that these changes would encourage students to apply for WICHE funding and to return to North Dakota. This would be a step in helping ameliorate the pending dental care crises.

It is imperative that during this legislative session steps be taken to address this shortage issue. This shortage problem is not indigenous to North Dakota. Except for a few states, it is a nationwide problem. Twenty years ago, there were approximately 6,000 dental students per class, now there are approximately 4,000. There were 68,258 applications for these slots in 1997. The competition is stiff. However, contracting with colleges for a specific number of seats will help ensure North Dakota residents will have the opportunity to study dentistry. We must act quickly and positively to prevent a serious health care problem for the citizens of North Dakota.

March 5, 2001
Testimony before House Appropriations Education Sub Committee
Roughrider Room
Representative Janet Wentz, Chair
Senate Bill 2003-ND University System's Professional Student Exchange Program.

My name is Joe Cichy, and I am the Executive Director of the North Dakota Dental Association. The North Dakota Dental Association supports the Professional Student Exchange Program as it gives North Dakota students an opportunity to access dental education as North Dakota does not have a dental school.

There is a shortage of dentists in North Dakota. The situation will only worsen as our dentist population ages. It is projected that between 1998 and 2008 over 40% of those who practiced in 1998 will retire. If this situation is not addressed, it will create a significant health care problem in North Dakota. The PSEP program can help to sustain an adequate number of dentists in North Dakota as approximately 70% of the dental students who benefit from this program return to North Dakota to practice.

Access to oral health care services is already a problem for many North Dakotans and a critical issue for many low-income families in North Dakota. Currently 26 percent of dentists report that new patients must wait more than four weeks for treatment. Over three-fourths (76%) of the dentists report they do not actively seek new patients and only 43 percent accept new Medicaid patients.

Currently there are 16 counties designated as dental health professional shortage areas (dental HPSAs). , Two additional counties are being studied to see if they would qualify. The loss of just one dentist in many rural counties places them in health professional shortage area status.

North Dakota ranks below the national average for population to dentist ratios. In North Dakota we have one dentist for every 2253 people while the national average is one dentist for every 1700 people. There are currently 282 licensed practicing dentists in the state. To reach that ratio North Dakota needs another 46 dentists in the state. We were at that level in the 1980s and there were few access problems. In the past 10 years North Dakota has lost an average to 12 dentists per year and get six new ones for an average net loss of six per year. If this trend continues, the average citizen will have difficulty finding dental care in a timely manner.

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In April of 2000 a statewide dental summit of public and private providers identified the lack of dental manpower and the financing and resources to support manpower improvement in the state as a priority issue. Since the Summit the North Dakota Dental Association has developed a mentoring program to encourage young people to pursue careers in dentistry and has dental mentors available to encourage young people to return to the state. In August of 2000, North Dakota re-instituted an externship program with the University of Minnesota. Four dental externs spent a month working in rural and underserved communities in the state. Post externship interviews with the students indicated they need incentives to return to the state.

Dental schools currently have long waiting lists. We must look at various strategies to ensure an adequate dental provider base to meet the oral health needs of our state. Support of the professional student exchange program will help assure dental providers for the future.

The North Dakota Dental Association has been working with and will continue to work with NDUS to encourage students to apply for WICHE funding and to return to North Dakota. This would be a step to help ameliorate the pending dental care crises. Another step is to require those who accept WICHE funds to accept them as a loan, with the loan being forgiven if they return to North Dakota to practice dentistry. This concept is being proposed in SB2292. The final piece of legislation that addresses the dentist shortage is a loan repayment incentive program contained is SB 2276 which provides loan repayment for dentists coming to the state and practicing in underserved areas.

It is imperative that this legislature take steps to address this shortage issue. This shortage problem is a nationwide problem. We are competing with other states to secure dental providers for our state.In the last 10 years dental schools have closed and enrollments have decreased. Twenty years ago, there were approximately 6,000 dental students per class, now there are approximately 4,000. There were 68,258 applications for these slots in 1997. The competition is stiff. However, funding WICHE along with the approval of the other legislative initiatives will help ensure North Dakota residents access to dental care. We must act quickly and positively to prevent a serious health care problem for the citizens of North Dakota.

SB2003

Mr. Chairman, members of the appropriations committee, thank you for allowing me to speak today.

My name is Mike Schnell and I am the lobbyist for the North Dakota Student Association. NDSA is a group made up of student leaders from across the state and represents the students of all eleven statewide institutions.

This year much of the debate surrounding higher education will focus on the recent higher education roundtable report. This report calls for allowing schools to chart their own course, focus on the needs of their specific students, and to be entrepreneurial. The report then concludes that higher education will be a key factor in expanding North Dakota's economy, which will stabilize and increase our population as well as brining in more revenue to our state and making our institutions more fiscally independent. The institutions will gain flexibility, still be held accountable for their actions, and continue to focus on student-centered programs and liberal arts education. In our November meeting NDSA unanimously supported the roundtable report because of its focus on the entrepreneurial spirit, flexibility with accountability and its new approach to the challenges North Dakota will face in the twenty-first century.

We look forward to working with you to face our current challenges, as well as conquering the challenges that we will face in the future, but we know the direction taken in this legislative session, will decide the future of higher education for years to come. The implementation of these recommendations will not come without their growing pains, but by allowing these institutions the flexibility they need to be competitive North Dakota will be better off because of your work.

pg 1 of 2

On December 3rd, a Bismarck Tribune Editorial stated the future of higher education is the most important issue in this upcoming legislative session. This statement is entirely true, in order for North Dakota to move forward we need to have adequately funded education system. Higher education is the key to economic development in the state of North Dakota. Higher education brings in thousands of new people to North Dakota each year, many of these students fall in love with the state and would stay if the opportunity arose. Proper funding, and a focus on the recommendations of the roundtable report will increase the opportunities for these students and help grow North Dakota.

This is a key time in our state's history. It is important to allow each campus grow at their own pace. There are no cookie cutter solutions to the issues facing higher education. Some of our campuses need new buildings and infrastructure and because of the limited resources of our own states budget they need to find alternative funding sources for the needs facing their campuses. Student fees, alumni donations and donations from friends of the university are some of the ways our campuses fill these needs. It is important that we do not hinder these campuses, with excess regulations.

NDSA was greatly encouraged by the budget outlined by former Governor Ed Schafer. Throughout the recent campaign, Governor John Hoeven, and many others talked about the importance of higher education to North Dakota's future. We look forward to working with you to improve North Dakota.

Thank you, I would be happy to answer any questions.

SB 2003

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In order for North Dakota to move forward we need to have adequately funded education system, SB 2003 provides us that opportunity. Higher education is the key to economic development in the state of North Dakota. Higher education brings in thousands of new people to North Dakota each year, many of these students fall in love with the state and would stay if the opportunity arose. Proper funding, and a focus on the recommendations of the roundtable report will increase the opportunities for these students and help grow North Dakota.

This is a key time in our state's history, and it is important to allow each campus grow at their own pace. There are no cookie cutter solutions to the issues facing higher education. Some of our campuses need new buildings and infrastructure and because of the limited resources of our own states budget they need to find alternative funding sources for the needs facing their campuses. Student fees, alumni donations and donations from friends of the university are some of the ways our campuses fill these needs. It is important that we do not hinder these campuses, with excess regulations.

NDSA was greatly encouraged by the vision the Senate Appropriations Committee took when passing SB 2003, their focus on the needs of the state and the recommendations of the roundtable report guaranteed that higher education will be a vital piece of our future for years to come. It is important this vision continues, the decisions you make during this session will decide the future of North Dakota. We look forward to working with you to improve North Dakota.

Thank you, I would be happy to answer any questions.

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North Dakota University System

2000 Fall Enrollment

North Dakota Institutions of Higher Education

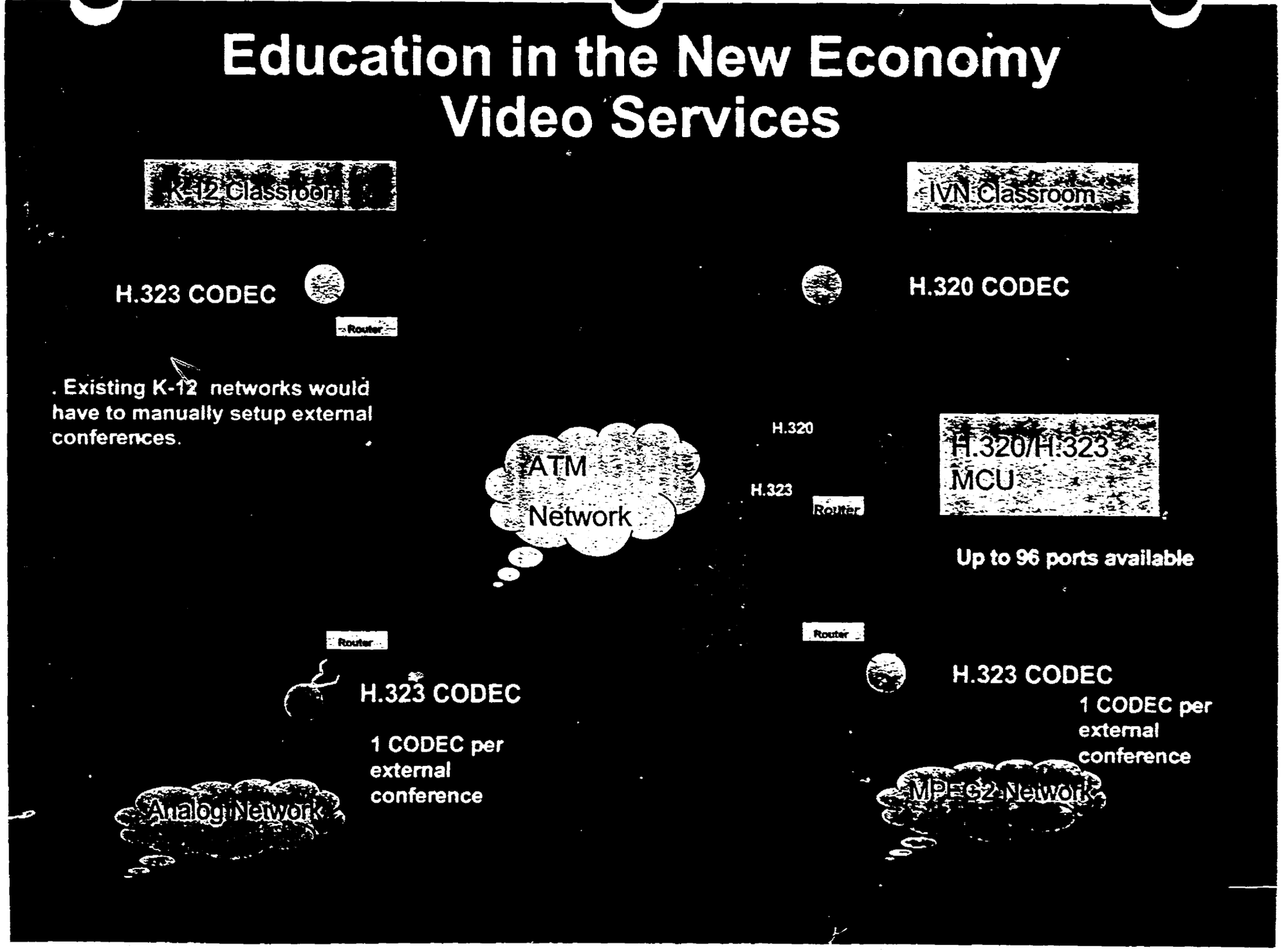
Published by the North Dakota University System

Building Telecommunications Infrastructure

2001-2003 Network Biennium Budget

Phase II Network Rollout:		Budget
Center for Innovation in Instruction		$ 594,000
ND Educational Telecommunications Council (ETC)		
K-12 Equipment - Video and LAN		3,500,000
[redacted]		[redacted]
SEND IT Technology Services		1,427,000
IT Technology Education Program Development		590,300
Association of Counties		248,000
[redacted]		[redacted]
CPE - K-12/ Libraries - one-time		3,340,000
Recurring Cost:		
• Circuit		3,879,744
• Internet		147,741
• Network Management		233,280
	SUB-TOTAL	18,555,539
E-Rate Credit		< 3,700,000 >
	TOTAL	$ 14,855,539

Education in the New Economy
Video Services



NORTH DAKOTA
UNIVERSITY SYSTEM

RESOURCE GUIDE

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2001

State Board of Higher Education Objectives

July 19, 2000 – June 30, 2001

From SBHE Retreat, July 19, 2000

1. Propose and gain consensus with the legislative and executive branches on University System level fiscal and performance accountability mechanisms which will allow the North Dakota University System to implement the full recommendations of the Roundtable; and to develop financial and performance accountability measures and mechanisms for campus reporting to the Board of Higher Education.

2. Review and change policies and procedures that are barriers to empowering campus Presidents providing them with flexibility and accountability allowing them to implement the full recommendations of the Roundtable report.

3. Develop and recommend to the executive and legislative branches a proposed long-term financing plan for the North Dakota University System.

4. Develop and recommend to the executive and legislative branches a proposed resource allocation mechanism.

5. Develop a University System which has intellectual capacity and programs aligned with the needs of the State. Convene, with ED&F, a 2-day Research and Development summit by the end of 2000 which (1) showcases the research being done in the North Dakota University System, (2) reinforces the value research and development to economic growth, and (3) encourages entrepreneurial behavior and the use of the principles of entrepreneurship to create new businesses in the State.

6. Develop a report on the current status of North Dakota University System distance education capabilities and develop a plan for increasing access to educational opportunities throughout the State through distance education, collaboration, and relationships with the private and tribal colleges, to better serve students and others.

7. Develop a plan to: implement the recommendations of the Higher Education Roundtable on sustaining the vision; reconvene the Roundtable on an annual basis to gain new input from stakeholders and to report on progress; and communicate successes and achievements.

8. Work towards attainment of the 2001-03 needs based budget and legislative agenda for the North Dakota University System.

9. Conduct a self-assessment of Board performance on meeting the objectives stated above before July 20, 2001.

Action Plan for Board Objective #1-a:

University System Level
Financial Accountability Mechanisms

September 22, 2000

Board Objective: Propose and gain consensus with the legislative and executive branches on University System level fiscal and performance accountability mechanisms which will allow the NDUS to implement the full recommendations of the Roundtable; and to develop financial and performance accountability measures and mechanisms for campus reporting to the Board of Higher Education.

Liaison: State Board of Higher Education

Proposed Steps:

1. Subcommittee of the Roundtable (chaired by Senator Nething) meets to develop draft financial accountability measures. Continue to provide updates to the subcommittee as they meet.

2. Interim Higher Education meets to refine and adopt measures developed by subcommittee.

3. Review proposed action plan with Cabinet. Revise as necessary.

4. Form a small working group composed of the following functional areas: budget and grants and contracts (Sharon Berning-MiSU), controller (Gary Wawers-NDSU), HECN (Mick Pytlik-NDUS), VP for Finance (Bob Gallager-UND) and NDUS Office staff (Laura Glatt/Cathy McDonald), and representatives from OMB and Legislative Council for project oversight.

5. Review proposed action plan with Board President and Vice President. Revise as necessary.

6. Review proposed action plan with Board. Revise as necessary.

7. Evaluate current information systems and other data sources to determine what information is currently available and ensure consistency of data. Determine what additional information needs to be gathered to meet reporting requirements. *(Review with Administrative Affairs Council)*

8. Establish benchmarks, reporting mechanisms and timelines for measures where data is currently available, and ensure reliability and validity of data. Develop format and written instructions for collection of data. *(Review with Administrative Affairs Council and Cabinet)*

9. Develop definitions, guidelines and systems for gathering additional data. Establish timelines for implementation of remaining measures. *(Review with Administrative Affairs Council and Cabinet with status report presented to the Board)*

10. **Determine if similar financial accountability benchmark data is wanted from peer comparator institutions and if so, develop data gathering processes for pertinent information for internal use.** ***(Review with Administrative Affairs Council and Cabinet)***

11. **Continue to work with Interim Higher Education Committee or Legislative Assembly on determining which measures will require an audit process. Work with the State Auditor's Office on the audit process.**

12. Simultaneously seek approval to eliminate other current standardized reports from the Legislative Council, Budget Section or other committee or agency (e.g. Capital Improvement and Local Fund reports).

13. Develop and distribute an annual written report, including narrative explanations, on each measure according to implementation timelines. The report would be distributed on intervals as defined by the Legislative Assembly to:
    - Members, Legislative Assembly
    - Members, State Board of Higher Education
    - Governor's Office
    - Office of Management and Budget
    - State Auditor's Office
    - Campuses-Presidents and VP for Finance

Proposed Timelines:	**Target Date**
1. Roundtable subcommittee meets to draft measures	July 11, 2000
2. Interim HE Committee considers draft measures	August 10, 2000
3. Review proposed action plan with Cabinet. Revise as necessary	August 1, 2000
4. Create working group	August 2000
5. Review proposed action plan with Board Pres and VP. Revise as necessary	August 15, 2000
6. Review proposed action plan with SBHE	September 22, 2000
7. Evaluate current information systems	October 2000
8. Establish benchmarks/format and written instructions	December 2000
9. Define Additional Information needs	February 2001
10. Define peer benchmark data	Summer 2001
11. Define audit process	Summer 2001
12. Eliminate current reporting	simultaneously
13. Distribute first annual report	By December 31, 2001

Resource Issues:

Determine resources needed, if any, for additional staffing, data collection and consulting time. Present plan to Board for approval

Action Plan Board Objective 1-b:

Performance Accountability Measures and Performance Accountability Mechanisms

September 22, 2000

Board Objective: Propose and gain consensus with the legislative and executive branches on University level fiscal and performance accountability mechanisms which will allow the North Dakota University System to implement the full recommendations of the Roundtable; and to develop financial and performance accountability measures and mechanisms for campus reporting to the Board of Higher Education.

Liaison: State Board of Higher Education

This draft action plan focuses on non-financial accountability and does so at two levels - campus level and Board level:

Campus level accountability to the Board -- which will require:

1. Non-financial accountability measures for each campus for reporting to the Board; and
2. Mechanisms for obtaining non-financial accountability data for each campus for reporting to the Board.

Board (System) level accountability to the executive and legislative branches -- which will require: Mechanisms for obtaining the non-financial accountability data. (The University System level accountability measures have been identified and are outlined on Pages 67-70 in the Roundtable Report).

Proposed Steps:

1. Explore having the Data Warehouse Committee serve as the workgroup on non-financial accountability and supplement as necessary to address the accountability measures called for in the Roundtable Report and the mechanisms available and necessary to obtain the accountability data.

2. ***Campus level.*** Chancellor's Cabinet review system level accountability measures identified in the Roundtable Report and determine if additional accountability measures are needed in reporting to the Board. Distinguish between accountability measures common to all campuses from those unique to individual campuses.

3. Board reviews, revises, and approves action plan.

4. ***Campus level.*** Identify, develop, and/or recommend mechanisms for obtaining non-financial accountability data for each campus for reporting to the Board.

5. ***System level.*** Identify, develop, and/or recommend mechanisms for obtaining the non-financial accountability data specified in the Roundtable Report (Pages 67-70), for University

System level accountability measures and for reporting to the Executive and Legislative branches.

6. ***Both levels.*** Identify and recommend reports and processes which can be eliminated as a result of the adoption of the new accountability measures and mechanisms.

7. Potential Resources and Information Sources include:
   Data Warehouse
   HECN (Higher Education Computer Network)
   FINDET (Follow-up Information on North Dakota Education and Training)
   SPARC (Student Progress and Achievement Research Cooperative)
   Campus institutional research offices
   WFTR System accountability measures

Proposed Timelines:	**Target Date**
1. Review proposed action plan with Cabinet. Revise as necessary	August 1, 2000
2. Meet with OMB to link action plan to North Dakota Delivers Report	August 11, 2000
3. Explore having Data Warehouse Committee serve as the workgroup for the non-financial accountability measures and mechanisms	August 14, 2000
4. Review success indicators in North Dakota Delivers Report with Data Warehouse Committee to determine the availability of historical data on success indicators to be provided to OMB.	August 14, 2000
5. Review proposed action plan with Board Pres. and VP. Revise as necessary	August 15, 2000
6. Consult with Dennis Jones on proposed action plan	August 17, 2000
7. If Data Warehouse Committee is not able to take on the task, form a work group comprised of the appropriate functional areas to do so	September 7, 2000
8. Review progress with OMB regarding success indicators desired	September 20, 2000
9. Board reviews, revises, and approves action plan	September 22, 2000
10. Finalize and forward historical data on success indicators to OMB	September 30, 2000
11. Create timelines for implementation of plan for years 1, 2, etc.	To be determined
12. Identification of bench marking data to be used and data sources	To be determined
13. Progress report to Cabinet and Board	To be determined
14. Complete recommendations for establishing mechanisms for systematically obtaining accountability measures and related data	To be determined
15. Obtain Board approval of System and campus accountability measures	To be determined
16. Publish phase one of accountability report	By December 31, 2001

Resource Issues:
May require additional staff support.

Action Plan for Board Objective #2:
Removing Barriers
September 22, 2000

Board Objective: Review and change policies and procedures that are barriers to empowering campus presidents providing them with flexibility and accountability allowing them to implement the full recommendations of the Roundtable report.

Liaison: State Board of Higher Education

Proposed Steps:

1. Review action plan with cabinet. Revise as necessary.
2. Review action plan with Board president and vice president. Revise as necessary.
3. Review action plan with Board. Revise as necessary.
4. Form a small working group including one or two presidents, one or two vice presidents and NDUS staff *(Pat Seaworth)*.
5. Review policies and procedures and solicit recommendations from institution employees to identify existing barriers that limit institution flexibility in implementing Roundtable recommendations.
6. Draft proposed policy and procedures amendments to eliminate barriers and delegate to institutions necessary and appropriate authority permitting flexibility with accountability to implement Roundtable recommendations.
7. Review draft amendments with cabinet. Revise as necessary.
8. Prepare final policy drafts and present to Board for Board action.
9. Prepare final NDUS procedures manual drafts and forward to chancellor.

Proposed Timelines:	Target Date
1. Review proposed action plan with cabinet	August 1, 2000
2. Review proposed action plan with SBHE officers	August 10, 2000
3. Review proposed action plan with Board Pres and VP. Revise as necessary	August 15, 2000
4. Form a small working group	August 20, 2000
5. Report action plan to SBHE	September 22, 2000
6. Review policies and procedures	November 15, 2000
7. Draft proposed amendments	December 31, 2000
8. Review amendments with cabinet	Spring 2001
9. Present policy drafts to SBHE	Spring 2001
10. Forward procedures drafts to chancellor	Summer 2001

Resource Issues:
None

Action Plan for Board Objective #3:

Developing Long-Term Financing Plan for NDUS

September 22, 2000

Board Objective: Develop and recommend to the executive and legislative branches a proposed long-term financing plan for the NDUS.

Liaison: Board Member Korsmo

Proposed Steps:

1. Review proposed action plan with Cabinet. Revise as necessary.
2. Review proposed action plan with Board Member Korsmo. Revise as necessary.
3. Review proposed action plan with SBHE. Revise as necessary.
4. Survey and collect financing plans from other states.
5. Consult with Dennis Jones on plan components.
6. Outline plan components, develop list of needed data and identify data sources. *(Review with Administrative Affairs Council and Cabinet)*
7. Collect comparative data and compile results for: state support measures, tuition and fee, other fund source support including private donations, private sector contributions and community support and other data as identified in #6. *(Review with Administrative Affairs Council)*
8. Present data results to the Cabinet and Board. SBHE provide guidance on plan objectives or expectations regarding state, student, campus and private support.
9. Based on Board direction, develop a draft plan with recommendations to address the gap between current funding levels and the resources needed to fully meet the expectations expressed in the Roundtable report and of the State. The plan will reflect shared funding responsibility between: students, the state, the private sector, donors, local governments, communities and the campuses. The plan will also make allowance for the need for institutions to regularly fund plant asset depreciation from operating budgets. *(Review with Administrative Affairs Council and Cabinet)*
10. Present draft plan to the SBHE for their consideration. Make necessary revisions.
11. Board meet with interim legislative committee to discuss draft plan.

12. **Revise plan based on discussion with Roundtable Subcommittee.**

13. **SBHE meet with interim legislative committee to finalize plan.**

14. **SBHE distribute final plan to the following:**
    Legislative Assembly
    Governor's Office
    Office of Management and Budget
    Campuses-President and Administrative Affairs Council

15. **SBHE schedule a biennial meeting to review the financing plan with a broad-based group including representatives from the legislative and executive branches, private sector, and campuses.**

Proposed Timelines:	**Target Date**
1. Review proposed action plan with Cabinet. Revise as necessary	August 1, 2000
2. Review proposed action plan with Board Member Korsmo	August 2000
3. Survey other states	August 2000
4. Consult with Dennis Jones	August 2000
5. Review proposed action plan with Board Pres and VP. Revise as necessary	August 15, 2000
6. Review proposed action plan with SBHE	September 22, 2000
7. Outline plan and identify data sources	September 2000
8. Collect and Compile Data	December 2000
9. Present data to SBHE and Cabinet	January 25, 2001
10. Develop draft plan	June 2001
11. Present draft plan to SBHE	June 21, 2001
12. SBHE meet with interim legislative committee to finalize plan	Summer 2001
13. Revise plan	Summer 2001
14. SBHE meet with interim legislative committee to finalize plan	Fall 2001
15. SBHE distribute final plan	By December 31, 2001
16. Schedule biennial review of plan	October-even numbered years

Resource Issue:
Determine resources needed, if any, for additional staffing, data collection and consulting time.
Present plan to Board for approval.

Action Plan for Board Objective #4:

Developing A Resource Allocation Mechanism

February 22, 2001

Board Objective: Develop and recommend to the executive and legislative branches a proposed resource allocation mechanism.

Liaison: Board Budget and Finance Committee

Proposed Steps:

Peer Comparators

1. Reviewed proposed action plan with the Cabinet. Revised as necessary. (Completed August 1, 2000)

2. Reviewed proposed action plan with Board President and Vice President. Revised as necessary. (Completed August 15, 2000)

3. Document draft peer selection criteria developed by internal Administrative Affairs Council working committee (Alice Brekke-UND, Dave Clark-BSC, Larry Eide-MiSU, Cathy McDonald-NDUS, Celeste Kubasta-OMB and Laura Glatt-NDUS). (Completed September 4, 2000)

4. Reviewed draft peer selection criteria with Dennis Jones and revised as necessary. (September 4, 2000)

5. Reviewed proposed action plan with SBHE for developing a draft resource allocation mechanism which includes three funding components: base, asset, and incentive, as specified in the roundtable report. Revised as necessary. (Completed September 22, 2000)

6. Broadly circulated draft criteria and Dennis Jones and Laura Glatt met with Academic Affairs and Administrative Affairs Councils to discuss. Revised draft as necessary and circulated revised draft for comment. (Circulated draft to Councils on September 5, 2000, and met over IVN to discuss on September 11, 2000)

7. Dennis Jones presented draft selection criteria to the Cabinet for their review and approval. Revised as necessary and circulated revised draft for comment. (Completed September 20, 2000)

8. Presented peer comparator selection criteria to the BHE for their approval. (Completed October 16, 2000 via conference call)

9. Dennis Jones developed list of draft peer comparators for each NDUS institution that met the pre-established criteria. (Completed and distributed lists to Cabinet on November 6, 2000)

10. Dennis Jones and Laura Glatt met with each campus (by type) to discuss first draft list of peer comparators. (Completed November 27, 2000)

11. Revised and distributed draft list of peer comparators for each campus (second version dated 12-14-00) to the Cabinet on December 15, 2000.

12. Dennis Jones presented 12-14-00 draft peer comparators lists and schedule of central tendency to SBHE. (Completed December 21, 2000)

13. Dennis Jones, Chancellor Isaak and Laura Glatt met with each campus (by type) to receive feedback from the campuses on 12-14-00 draft of peer comparator lists: UND and NDSU on February 12, 2001; two-year campuses on February 16, 2001; and four-year campuses on February 26, 2001.

14. Revise and distribute final draft peer comparator lists.

15. Review final list of peer comparators with SBHE.

16. SBHE meet with Interim Legislative Committee to review.

17. SBHE finalize list of peer comparators for each NDUS institution.

Resource Allocation Mechanism

1. Administrative Affairs Council subcommittee developed draft resource allocation mechanism which includes three funding components: base, asset and incentive. (Completed October 11, 2000)

2. Reviewed draft resource allocation mechanism with Dennis Jones. Revised as necessary. (Completed October 11, 2000)

3. Reviewed draft resource allocation mechanism with Administrative Affairs Council. Revised as necessary. (Completed October 26, 2000; November 22, 2000; December 5, 2000)

4. Presented draft resource allocation mechanism to Cabinet for their review. Revised as necessary. (Completed December 20, 2000)

5. Presented draft resource allocation mechanism to the Board. Revised as necessary. (Completed December 21, 2000)

6. Finalize draft resource allocation mechanism to be reviewed with the Administrative Affairs Council and Cabinet when peer comparators and long-term financing plans are nearly final. Revise as necessary.

7. Present final draft resource allocation mechanism to the SBHE.

8. **SBHE meet with interim legislative committee to review the proposed resource allocation mechanism.**

9. SBHE finalize resource allocation mechanism.

Proposed Timelines: **Target Date**

Peer Comparators

1. Reviewed proposed action plan with Cabinet ..... August 1, 2000
2. Reviewed proposed action plan with Board Pres and V.Pres.. ..... August 15, 2000
3. Document draft peer selection criteria ..... September 4, 2000
4. Review draft selection criteria with Dennis Jones ..... September 4, 2000
5. Review proposed action plan with SBHE ..... September 22, 2000
6. Circulate draft criteria and met with Councils ..... September 11, 2000
7. Present draft selection criteria to Cabinet..... September 20, 2000
8. Present draft selection criteria to SBHE..... October 16, 2000
9. Develop draft list of comparators by campus..... November 6, 2000
10. Met with each campus to review draft list ..... November 27, 2000
11. Distribute list of revised draft peer comparators to campuses ..... December 15, 2000
12. Dennis Jones present draft list of peer comparators to SBHE..... December 21, 2000
13. Met with each campus to review revised draft list ..... February 12, 16, 26, 2001
14. Revise and distribute final draft list to campuses ..... April/May 2001
15. Review revised draft list of peer comparators with SBHE..... June 21, 2001
16. SBHE meet with interim legislative committee to review ..... Summer/Fall 2001
17. SBHE finalize peer comparators ..... November 15, 2001

Resource Allocation Mechanism

1. Developed draft resource allocation mechanism ..... October 11, 2000
2. Reviewed draft mechanism with Dennis Jones ..... October 11, 2000
3. Reviewed draft with Administrative Affairs Council..... Oct. 26, Nov. 22, Dec. 5, 2000
4. Presented draft mechanism to Cabinet..... December 20, 2000
5. Presented draft mechanism to SBHE..... December 21, 2000
6. Revise draft in conjunction with peers and financing plans ..... Summer/Fall 2001
7. Present revised draft resource allocation mechanism to SBHE..... September 20, 2001
8. SBHE meet with interim legislative committee to review..... Summer/Fall 2001
9. SBHE finalize resource allocation mechanism..... November 15, 2001

Resource Issue:

Determine resources needed, if any, for additional staffing, data collection and consulting time. Present plan to the Board for approval.

Action Plan for Board Objective #4:

Developing A Resource Allocation Mechanism

September 22, 2000

Board Objective: Develop and recommend to the executive and legislative branches a proposed resource allocation mechanism.

Liaison: Board Budget and Finance Committee

Proposed Steps:

1. Review proposed action plan with the Cabinet. Revise as necessary.
2. Review proposed action plan with Board President and Vice President. Revise as necessary.
3. Review proposed action plan with SBHE for developing a draft resource allocation mechanism which includes three funding components: base, asset, and incentive, as specified in the Roundtable report. Revise as necessary.
4. Document draft peer selection criteria developed to date by internal Administrative Affairs Council working committee (Alice Brekke-UND, Dave Clark-BSC, Larry Eide-MiSU, Cathy McDonald-NDUS, Celeste Kubasta-OMB and Laura Glatt-NDUS).
5. Review draft peer selection criteria with Dennis Jones and revise as necessary.
6. Broadly circulate draft and meet with Academic Affairs and Administrative Affairs Councils to discuss. Revise draft as necessary and circulate revised draft for comment.
7. Present final draft selection criteria to the Cabinet for their review and approval. Revise as necessary and circulate revised draft for comment.
8. Present peer comparator selection criteria to the BHE for their approval. (Will require a special Board Meeting)
9. Work with Dennis Jones on generating list of peer comparators for each NDUS institution that meet the pre-established criteria.
10. In consultation with the Councils and Cabinet, refine the list of peer comparators identified by Dennis Jones for each campus.
11. Circulate list of peer institutions to the campuses for their further review and input (every campus will receive copies of the other campuses' peer lists). Provide an organized process for the campuses to petition the Board to change their identified draft peer comparator institutions.

12. **SBHE finalize list of peer institutions.**

13. **Administrative Affairs Council Subcommittee develop draft resource allocation mechanism which includes three funding components: base, asset and incentive.**

14. **Review draft resource allocation mechanism with Dennis Jones. Revise as necessary.**

15. **Review draft resource allocation mechanism with Administrative Affairs Council. Revise as necessary and circulate revised draft.**

16. **Finalize draft resource allocation mechanism proposal and present to Cabinet for their review. Revise as necessary and circulate revised draft.**

17. **Present draft resource allocation mechanism to the Board. Revise based on Board input.**

18. **Finalize Board draft resource allocation mechanism.**

19. **SBHE meet with interim legislative committee to review the proposed resource allocation mechanism and list of selected peer institutions.**

20. **Revise mechanism based on comments of the Roundtable Subcommittee.**

21. **SBHE meet with interim legislative committee to finalize the proposed resource allocation mechanism.**

Proposed Timelines:	**Target Date**
1. Review proposed action plan with Cabinet	August 1, 2000
2. Review proposed action plan with SBHE	September 22, 2000
3. Document peer selection criteria	August 2000
4. Review criteria with Dennis Jones	August 2000
5. Review proposed action plan with Board Pres and VP. Revise as necessary	August 15, 2000
6. Circulate draft criteria for review to Councils	September 2000
7. Present draft criteria to Cabinet	September 22, 2000
8. Present draft criteria to SBHE	October 2000
9. Generate list of peer comparators	October 2000
10. Refine list of peer comparators	October 2000
11. Circulate list of draft peer comparators to campuses	November 2000
12. SBHE finalize list of peer comparators	December 2000
13. Develop draft resource allocation mechanism	September 2000
14. Review draft mechanism with Dennis Jones	September 2000
15. Review draft mechanism with Administrative Affairs Council	October 2000
16. Present draft mechanism to Cabinet	October 2000
17. Present draft mechanism to SBHE	December 2000

18. Finalize draft mechanism	January-March 2001
19. SBHE meet with interim legislative committee	April 2001
20. Revise mechanism	May 2001
21. Finalize and distribute	June 2001

Resource Issue:
Determine resources needed, if any, for additional staffing, data collection and consulting time. Present plan to the Board for approval.

Action Plan for Board Objective #5:

Align the University System with the Needs of the State

September 22, 2000

Board Objective: Develop a University System which has intellectual capacity and programs aligned with the needs of the State. Convene, with ED&F, a 2-day Research and Development summit by the end of 2000 which: (1) showcases the research being done in the North Dakota University System, (2) reinforces the value of research and development to economic growth, and (3) encourages entrepreneurial behavior and the use of the principles of entrepreneurship to create new businesses in the State.

Liaison: Bill Isaacson.

Proposed Steps:

1. The Board of Higher Education along with the Department of Economic Development and Finance, select a date and develop an agenda for holding a two-day research and development summit by the end of 2000.

2. Request campuses to develop plans, consistent with the respective campus missions, for implementing the six Summary Recommendations assigned to the campuses by the Roundtable and listed on Page 65 of the Roundtable Report.

3. Campuses report to the Chancellor and the Board on progress toward implementing the recommendations included in their respective plans.

4. Develop a time schedule for offering educational courses on the topic of entrepreneurship at every institution within the University System.

5. Commission an analysis of equipment and technology on the campuses in relation to that being used in business and industry. Upon completion, develop a plan for ensuring colleges/universities and faculty are provided with current and relevant equipment and technology so students are able to make a smooth transition from the university experience to the workplace.

Proposed Timelines:	Target Date
1. Review proposed action plan with Cabinet. Revise as necessary	August 1, 2000
2. Review proposed action plan with Board Pres and VP. Revise as necessary	August 15, 2000
3. Consult with Dennis Jones on plan components	August 16, 2000
4. Develop agenda, participants, and audience for research summit	September 14, 2000
5. Review proposed action plan with the Board	September 22, 2000
6. Request campuses to develop plans for implementing Summary Recommendations assigned to campuses (request, not due)	September 29, 2000
7. Develop time schedule for providing courses on entrepreneurship by all campuses	June 2001
8. Campuses provide progress report to Cabinet and Board	June 2001
9. Commission and analysis of equipment and technology	July 2001
10. Develop a plan for assuring the use of current and relevant equipment and technology	January 2002

Resource Issues:
May require additional staff support.

Action Plan for Board Objective #6:

Increasing Access to Educational Opportunities Throughout the State

September 22, 2000

Board Objective: Develop a report on the current status of North Dakota University system distance education capabilities and develop a plan for increasing access to educational opportunities throughout the State through distance education, collaboration, and relationships with the private and tribal colleges, to better serve students and others.

Liason: State Board of Higher Education

Proposed Steps:

1. Review proposed action plan with Cabinet. Revise as necessary.
2. Review proposed action plan with Board of Higher Education. Revise as necessary.
3. Contract for distance education coordinator provided by Board funded access initiative.
4. Inventory existing and planned distance education courses/programs.
5. Conduct a quick gap analysis to identify geographic and programmatic need areas in the state.
6. Make arrangements for necessary courses to be in place for a statewide AA degree by Fall, 2001.
7. Organize faculty development activities.
8. Negotiate hardware/software arrangements, if appropriate.
9. Work with private and tribal colleges in North Dakota.
10. Identify existing off campus delivery locations with some support services in place.
11. Develop a plan for 45-mile access to services.
12. Support and help coordinate training and services related to implementation of the new state network.
13. Use the Academic Affairs Council as the major advisory group for distance education plan development but also work directly with:
    - A distance education contact on each campus.
    - The distance education demo project.
    - The Enrollment Reporting Committee.

- Administrative Affairs Council.
- Admission, Registrars and Financial Aid offices on each campus.
- Statewide Associate Degree planning group.
- Four Year business and information systems degree planning group.
- IVN.
- State IT officials.
- Student Affairs Council and Public Affairs Council.

14. Develop further specifications for AIS system changes to make multi-campus enrollments student friendly.

15. Help campuses coordinate system and program developments with NCA.

16. Present draft plans to Cabinet and Board for consideration. Make necessary revisions.

17. Meet with interim legislative committee to discuss statewide access plan draft.

18. Revise plan based on Roundtable recommendations.

19. Obtain SBHE plan approval.

20. Develop and implement a process to update the plan.

Proposed Timelines	**Target Date**
1. Review proposed action plan with Cabinet. Revise as necessary	August 1, 2000
2. Finalize distance education course inventory and course development plan	August 15, 2000
3. Review proposed action plan with Board Pres & VP. Revise as necessary	August 15, 2000
4. Review proposed action plan with SBHE	September 22, 2000
5. Identify campus distance education contacts	November 1, 2000
6. Provide results of geographic and programmatic gap analysis	December 1, 2000
7. Conduct major statewide access planning meeting	December 15, 2000
8. Propose plan for statewide AA degree start-up by August 2001	December 31, 2000
9. Announce statewide AA degree effective August 2001	December 31, 2000
10. Develop plan for coordination and resolution of NCA issues	December 31, 2000
11. Identify existing off campus delivery locations with some support services in place.	December 31, 2000
12. Complete major faculty development activities	June 15, 2001
13. Complete statewide 45-minute access to services plan	June 30, 2001
14. Complete plans for statewide 4-year degrees in business and information systems	June 30, 2001

Resources Issue:

Determine resources needed, if any, for additional staffing, data collection, and consulting time.

Action Plan for Board Objective #7:
Sustaining the Vision
September 22, 2000

Board Objective: Develop a plan to: implement the recommendations of the Higher Education Roundtable on sustaining the vision; reconvene the Roundtable on an annual basis to gain new input from stakeholders and to report on progress; and communicate successes and achievements.

Liaison:

John Korsmo - Strategic plan component
Craig Caspers - Communications plan and annual roundtable meeting components

Strategic Plan Component - Proposed Steps:

1. Do a comparison of the current University System Strategic Plan with the 27 Summary Recommendations and 92 specific recommendations presented in the Roundtable Report. *(Completed as of July 10, 2000).*

2. Take formal action to adopt the Roundtable Report as the basis of the strategic plan for the SBHE and move aggressively for implementation. *(Completed by Board action on July 19, 2000).*

3. Adopt the six Summary Recommendations of the Roundtable assigned to the SBHE as goals of the Board for 2000-2001. *(Completed by Board action on July 19, 2000).*

4. Develop proposed annual action plan for implementing each of the goals.

5. Review proposed annual action plan with Cabinet

6. Review proposed annual action plan (this specific action plan) with Dennis Jones.

7. Review proposed action plans with Board President and Vice President. Revise as necessary.

8. Review proposed annual action plan with John Korsmo.

9. Draft and recommend legislative changes to replace the requirement of a six-year plan with a strategic plan. *(Being considered by interim committee.)*

10. Review proposed action plan with the Board.

11. Revise as necessary and proceed in developing the strategic plan and obtaining data for implementing the strategic plan

12. Request each institution to review its mission and strategic initiatives and take steps to align these with the vision and expectations agreed upon by the Roundtable participants.

13. Report on progress in implementing the Strategic plan at annual Roundtable meeting.

Proposed Timelines:	**Target Date**
1. Compare current Strategic Plan with Roundtable Report	July 10, 2000
2. SBHE adopts the Roundtable Report as the basis of the strategic plan for the SBHE	July 19, 2000
3. SBHE adopts the six Summary Recommendations of the Roundtable as goals of the Board for 2000-2001	July 19, 2000
4. Develop proposed annual action plan for implementing each of the goals as adopted by the Board	July 30, 2000
5. Review proposed annual action plan with Cabinet	August 1, 2000
6. Review proposed annual action plan with Dennis Jones	August 10, 2000
7. Review proposed action plan with Board Pres and VP. Revise as necessary.	August 15, 2000
8. Review proposed annual action plan with John Korsmo	September 15, 2000
9. Draft and recommend legislative changes to replace the requirement of a six-year plan with a strategic plan	September 21, 2000
10. Review proposed action plan with the Board	November 16, 2000
11. Revise as necessary and proceed in developing the strategic plan and obtaining data for implementing the strategic plan	November 20, 2000
12. Request each institution to review its mission and initiatives and align these with the vision and expectations of Roundtable	April 2001
13. Report on progress in implementing the Strategic plan at annual Roundtable meeting	by end of 2001

Resource Issues:

Will require assistance from campuses and may require additional staff support.

Communications Plan Component - Proposed Steps:

1. Request the Public Affairs Council (PAC) develop a proposed communications plan for consideration by the Board for: communicating the results and recommendations of the Roundtable; keeping the legislature, executive branch, and other stakeholder groups informed on a timely basis regarding progress toward achieving the high priority expectations agreed upon by the Roundtable; and, informing stakeholders and the public of the major accomplishments of the University System.

2. Work with Public Affairs Council in preparing presentation materials highlighting the conclusions, major themes, expectations, and recommendations of the Roundtable.

3. Review proposed communications plan with Craig Caspers.

4. Implement the various components of the communications plan as outlined in the time schedule provided by the Public Affairs Council.

5. Coordinate with legislative leadership in presenting a state-of-the-University System report to the full legislature.

Proposed Timelines:	**Target Date**
1. Review proposed action plan with Cabinet. Revise as necessary	August 1, 2000
2. Consult with Dennis Jones on plan components	August 10, 2000
3. Review proposed action plan with Board Pres and VP. Revise as necessary	August 15, 2000
4. Prepare presentation material with assistance from PAC	August 18, 2000
5. Request the Public Affairs Council develop proposed communications plan	September 14, 2000
6. Review proposed communications plan with Craig Caspers	September 21, 2000
7. Review proposed action plan with Board	September 21, 2000
8. Initiate implementation of communication plan	September 23, 2000
9. Coordinate with legislative leadership in presenting state-of-the-University report to the full legislature	January 2001

Resource Issues:

Will require assistance from Public Affairs Council members and may require additional staff support.

Annual Roundtable Meetings Component - Proposed Steps:

1. Arrange an annual roundtable meeting for purposes of: (1) broadening and sustaining the engagement of leaders from the private sector as well as from education and state government in addressing key issues facing North Dakota and its higher education system; (2) reviewing progress on the overall state of implementation of the recommendations presented in the Roundtable Report and subsequent roundtable meetings; (3) helping ensure all participants assume their share of the responsibility for implementing recommendations arising out of the Roundtable; (4) providing an venue for coordination among those parties responsible for the ongoing implementation of the intent and recommendations of the Roundtable; (5) providing an on-going opportunity for the SBHE to gather information from its various client groups about the priority needs of North Dakota and the expectations of these groups for the North Dakota University System; (6) revise accountability measures as necessary and as agreed upon; and, (7) keeping alive and passing on to newcomers the philosophy and commitment engendered at the Roundtable to making North Dakota a state with a stronger economy and an improved quality of life.

2. Schedule the roundtable meeting in consultation with key stakeholders to be involved.

3. Consult with stakeholders in developing and designing the agenda to assure the appropriate information is available and the key purposes of the event are met.

4. Hold the roundtable meeting.

5. Make changes and develop new initiatives in line with input and recommendations of roundtable participants.

Proposed Timelines:	**Target Date**
1. Review proposed action plan with Cabinet. Revise as necessary	August 1, 2000
2. Consult with Dennis Jones on plan components	August 10, 2000
3. Review proposed action plan with Board Pres and VP. Revise as necessary	August 15, 2000
4. Select date for roundtable meeting	October 1, 2000
5. Consult with stakeholders in developing agenda	October 20, 2000
6. Hold roundtable meeting before year-end of 2001	December 31, 2001
7. Initiate changes resulting from input and recommendations from Roundtable meeting	January 2002

Resource Issues:

Additional Public affairs support may be needed and continued assistance of Dennis Jones for The 2001 Roundtable meeting.

Action Plan for Board Objective #8:
2001-03 Needs Based Budget
September 22, 2000

Board Objective: Work towards attainment of the 2001-03 needs based budget request and legislative agenda for the North Dakota University System.

Liaison: State Board of Higher Education

Proposed Steps:

1. Review proposed action plan with Cabinet and Board. Revise as necessary

2. Board review proposed legislation and introduce bills. Also, Board reviews legislation recommended by interim Higher Education Committee.

3. Prepare summary of needs-based budget for use in presentations by Board, Chancellor and Cabinet members.

4. Campuses hold legislative briefings in fall 2000. Board president assigns Board members to attend these briefings. Short comments are made by a Board member and the Chancellor on the system-wide budget priorities. Campus president makes presentation on campus budget requests.

5. Campuses host Legislative Council budget tours in fall 2000. Board members and Chancellor's office attend.

6. Chancellor and cabinet members participate in OMB budget hearings. Board president and vice-president or designees participate in overview session with OMB.

7. Prepare System Resource Guide for legislative session

8. Board president and vice-president or designees, Chancellor and Cabinet members meet collectively with Governor to discuss needs-based budget and other legislation from the Roundtable. Also meet with governor candidates.

9. Continue work with interim Higher Education Committee to develop legislation to implement Roundtable recommendations.

10. During January Board meeting, review legislation being introduced in 2001 legislative session and take Board positions.

11. Board and campuses receive weekly status report on legislation.

12. Board president makes overview presentation on needs-based budget to appropriations committees.

13. Board members and presidents attend weekend briefings by legislators to discuss legislator's actions on higher education legislation and appropriations.

Proposed Timelines:	**Target Date**
1. Review proposed action plan with Cabinet. Revise as necessary	August 1, 2000
2. Review proposed action plan with SBHE officers	August 10, 2000
3. Review proposed action plan with Board Pres and VP. Revise as necessary	August 15, 2000
4. Review proposed action plan with Board	September 22, 2000
5. Prepare summary documents for presentations	August 20, 2000
6. Campus legislative briefings	August/October 2000
7. Legislative Council budget tours	August/October 2000
8. OMB budget hearings	September/October2000
9. Meet with Governor and governor candidates	October 1, 2000
10. Board decides on legislation it wants to introduce	November 16,2000
11. Board reviews legislation introduced in 2001 session	January 18, 2001
12. Presentations to appropriations committees	January/March 2001
13. Weekly status reports on legislation	January/April 2001
14. Attend weekend legislative briefings	January/April 2001
15. Final report on legislation passed	April 26, 2001

Resource Issues:

May require additional secretarial support and public information support staff.

Action Plan for Board Objective #9:

Board Self-Assessment

September 22, 2000

Board Objective: Conduct a self-assessment of Board performance on meeting the objectives state above before July 20, 2001.

Proposed Steps:

1. SBHE president and vice president, with assistance of university system staff, prepare draft action plan, including self assessment or review of SBHE progress in achieving SBHE goals and objectives and compliance with policies as part of each meeting agenda.

2. Review draft action plan with SBHE and solicit advice from NCHEMS consultant. Revise as necessary.

3. Include self-assessment and review of progress in achieving SBHE goals in regular SBHE meeting agendas.

4. Contract with NCHEMS or other consultant to assist in assessment of SBHE performance and facilitate end of year discussion and review.

5. End of year review and discussion on success in achieving goals and objectives and compliance with SBHE policies.

Proposed Timelines	Target Date
1. Draft action plan	August 10, 2000
2. Review action plan with SBHE	September 22, 2000
3. Include self assessment in meeting agendas	(start September 22, 2000)
4. Contract with consultant	Spring 2001
5. End of year review and discussion	July 2001

Resource Issues:

Consultant costs.

Potential Policies for
Mission, Vision, Beliefs and Core Values, Responsibilities,
and Campus Strategic Plans

STATE BOARD OF HIGHER EDUCATION POLICY MANUAL

SUBJECT: _____ **EFFECTIVE:** _____

Section: 100.4 Mission and Vision of the North Dakota University System

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Our Mission:

To enhance the quality of life for all those we serve and the economic and social vitality of North Dakota through the discovery, sharing, and application of knowledge."

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Our Vision:

"The North Dakota University System is the vital link to a brighter future."

A brighter future for:

- *Our students*
- *The citizens of North Dakota*
- *All those we serve*

A brighter future through:

- *A University System where students have the opportunity to receive the education necessary to be professionally and personally successful;*
- *High quality, innovative learning opportunities tailored to the needs of students and other clients and readily accessible to all learners in the state;*
- *The creation of strategic alliances with economic entities in the state and being a major player and primary engine in impacting the economic and demographic trends;*
- *A University System which is a solid investment for the state and is seen as such by its citizens.*

STATE BOARD OF HIGHER EDUCATION POLICY MANUAL

SUBJECT: _____ **EFFECTIVE:** _____

Section: 100.5 Beliefs and Core Values of the State Board of Higher Education

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I. Beliefs:

- **We believe** the most valuable asset of any state is its human capital: well-educated and highly skilled citizens, employees, business owners, community leaders, and contributing members of society.

- **We believe** a brighter future for North Dakota is directly linked to and dependent upon its University System. Likewise, a brighter future for the University System is linked to the economy of North Dakota.

- **We believe** the University System, in conjunction with the elected and private sector leadership in North Dakota, can and should take positive steps to enhance the economy of North Dakota.

- **We believe** depopulation is a major threat to the overall viability of North Dakota and if not addressed, with urgency, the infrastructure, quality of life, and services available to the citizens of the state will diminish.

- **We believe** the faculty are the foundation of the North Dakota University System.

- **We believe** performance of the University System will be enhanced in an environment which is conducive to innovation, creativity, and flexibility – coupled with appropriate accountability.

- We believe in the implementation of education programs and curriculums to meet the needs of a culturally diverse student population and to prepare students to interact in an increasing pluralistic society.

- **We believe** the citizens and the legislature created and expect the University System to function as a system; i.e., to collaborate, whenever appropriate and feasible, in offering programs, serving students and citizens, and in providing administrative services.

- **We believe** the benefits of the University System can and should be available to all of North Dakota, geographically and demographically.

- **We believe** it is important for all the key stakeholders of the University System to adopt and apply the same set of expectations and accountability measures which were identified and agreed to by the 1999 Roundtable on Higher Education.

- **We believe** it is possible to create a University System for the 21st century, as envisioned by the 1999 Roundtable on Higher Education and further believe making it a reality will require all entities to do their part as described in the Report of the Roundtable.

II. **Core Values** – To be reflected in how the Board and all personnel of the University System carry out responsibilities on a daily basis:

- High integrity
- Open, honest, and forthright in discussion and actions
- Trustworthy
- Accountable
- Cooperative valued partner with other state agencies and entities
- Responsible stewards of state investment in the University System
- Scholarship and the pursuit of excellence in the discovery, sharing, and application of knowledge

STATE BOARD OF HIGHER EDUCATION POLICY MANUAL

SUBJECT: _____ **EFFECTIVE:** _____

Section: 100.6 Role and Responsibility of the State Board of Higher Education

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In fulfilling the Mission and Vision, the State Board of Higher Education will govern the institutions in the North Dakota University System in accordance with the North Dakota Constitution and state statutes and will be guided by the Belief Statements and Core Values adopted by the Board.

The people of North Dakota created the Board through the state Constitution to ensure the institutions and their employees were protected from political interference. Recognizing the legitimacy and importance of such protection and believing each institution properly retains substantial responsibility for its own affairs, the Board and the system honor the integrity of each institution and its people.

The State Board of Higher Education will provide the leadership and governing environment necessary to maximize the opportunities for the NDUS colleges and universities to be successful in fulfilling their individual missions and enhancing the economic and social vitality of North Dakota.

I. Guiding Principles:

The state Constitution provides the State Board of Higher Education with broad powers and specifies the Board retains any powers it does not specifically delegate to the campuses. The Board adopted the following guiding principles regarding the complementary roles of the Board, as stewards of the system, and the institutions:

A. <u>Complementary Role of the Board</u>

1. Board approval for new or discontinued programs and organizational units ensures the overall pattern of service to the state is coherent and efficient.

2. Board review of institutional and program quality ensures the institutions provide positive educational experiences and seek continually to improve. Academic program quality and faculty quality determinations are made by faculty peers and campus administrators. The Board's role is to support, provide oversight, and hold the institutions accountable for results.

3. Board budget guidelines and final approval of budget requests promote equity and appropriate investment of state resources throughout the system.

4. Board priority-setting of facility requests promotes facilities funding on the basis of statewide need.

5. On matters that involve major costs for equipment or facilities which could be shared effectively among campuses, such as computing and telecommunications, the Board expects and will help facilitate such sharing.

6. The Board sets system priorities regarding all requests of the legislature and executive branch. Those representing the institutions are not to undermine those priorities.

7. The Board appoints institutional presidents in consultation with the Chancellor and an institutional search and screening committee.

8. The Board delegates substantial authority and responsibility to each institution's president through the Chancellor, as defined in Board policy, and holds each accountable for his or her performance.

9. The Board must have access to information about students, programs, faculty, staff, and finances of each campus to carry out its responsibilities.

B. <u>Program Collaboration and Duplication:</u>

1. General-education courses and programs are central to the mission of all postsecondary institutions. Overlap among institutions is expected and necessary, although the Board will continue to guard against unnecessary program duplication. Collaborative delivery will be encouraged where the quality of the program can be maintained and the total cost of delivery can be reduced.

2. Professional, technical, vocational, and graduate courses and programs require institutional and Board judgments regarding their number and location.

C. <u>Complementary Role of Institutions</u>:

1. Effective management of each institution requires latitude for reallocation of resources on each campus, subject to Board review.

2. Effective service from each campus requires faculty involvement and institutional latitude for personnel decisions, the development of new programs, determination of curriculum, decisions about research and public service initiatives, and establishment of organizational structure, consistent with each institution's mission and subject to provisions of related Board policies.

3. Faculty peers and institutional administrators are responsible for ensuring the quality of academic programs and faculty, subject to Board review.

4. Institutional administrators identify and place in priority order the needs of their campuses with respect to Board and legislative actions within any relevant parameters established by the Board. While the Board may challenge the grounds for such decisions or decline to place them as high as desired on the system's priority list, and the legislature may not concur with the Board or the institutions, the institution is the proper point of origin for these decisions.

5. The Board expects institutional representatives to bring to the Board's attention any difficulties in the application of these principles that threaten to impede institutional effectiveness or efficiency. The Board is committed to free and fair inquiry and just resolution of such difficulties.

STATE BOARD OF HIGHER EDUCATION POLICY MANUAL

SUBJECT: _____ **EFFECTIVE:** _____

Section: 100.7 Institution Mission Statements

The State Board of Higher Education must approve the individual mission/role/ scope statements of the constituent campuses as published in their bulletins. Mission statements are expected to reflect North Central Association requirements and, as appropriate, the strategic plan of the North Dakota University System. Such statements must be submitted to the Chancellor's Office every seven years, beginning in1993, for review and forwarding to the Board. If a campus wishes to revise its mission in less than seven years, the Board will consider such a request.

SB2003 Testimony

Provided to:

The Senate Appropriations Committee

Presented by:

William B. Isaacson, Ph.D., President, State Board of Higher Education

January 2, 2001

Good afternoon, Mr. Chairman and members of the Senate Appropriations Committee. My name is Bill Isaacson, and I'm the president of the State Board of Higher Education. I serve on the board with eight distinguished colleagues. If present, board members, please stand when I mention your name:

Craig Caspers, vice president, Wahpeton
Jeanette Satrom, Oriska
Beverly Clayburgh, Grand Forks
Richard Kunkel, Devils Lake
John Korsmo, Fargo
Chuck Stroup, Hazen
Jason Bernhardt, student member, University of North Dakota
Robert Kibler, faculty representative, Minot State University

I appreciate the opportunity to speak to you today, and I thank you for your past support of the North Dakota University System. The board asks for your continued support as we move forward with implementing the recommendations of the Roundtable on Higher Education and the North Dakota Legislative Council Interim Committee on Higher Education. As you may know, the roundtable resulted from a resolution to study the North Dakota University System passed by the 1999 Legislative Assembly. Recommendations made by members of the roundtable are commonly referred to as the Roundtable Report. This document calls for bold, urgent change, including investments in strategies that will help reverse negative economic and demographic trends in the state. The roundtable developed the following vision statement:

> Their vision for the NDUS is, in ten years, it will have created a win-win strategic alliance with the economic entities in the state and is a major player and primary engine in reversing the economic and demographic trends of the 1990s; it will have high quality, innovative learning opportunities, tailored to the needs of individual clients, readily accessible to all adult learners in the state; and it will have proven to be a solid investment for the state and is seen as such by its citizens (Roundtable Report, executive summary).

The members of the roundtable recognized the importance of creating a new relationship between the North Dakota University System and the state, a relationship based on trust and common purpose rather than suspicion and skirmishes over power, according to the Roundtable Report. The report also suggests the new relationship should be based on flexibility with accountability ... I'd like to emphasis the importance of *flexibility with accountability* to the success of this initiative.

Thanks to the excellent work of the members of the roundtable – some of whom are on this committee and others who are in the room today – the University System now has a clear set of expectations. One of those expectations is that the University System will be more closely tied to enhancement of the state's economic future. Secondly, the board now has a set of accountability measures, which have been agreed upon and can be used to measure progress. Thirdly, and perhaps most importantly, we have a clear vision of what legislators and other key stakeholders expect of the University System. The work of the members of the roundtable has been valuable and is greatly appreciated.

The governor's budget proposal takes a number of important steps toward advancing this agenda. I will address these later in my remarks, but first I want to highlight the action steps already taken by the board to implement the recommendations of the Roundtable Report.

In July 2000, the board unanimously endorsed the Roundtable Report and is aggressively proceeding with implementation. The board also has endorsed the six bills introduced by Legislative Council to implement the roundtable recommendations. Board objectives based on these recommendations have been developed; personnel have been assigned, and an action plan to achieve each objective also has been developed. The nine board objectives are:

1. Developing reports of agreed-upon performance and fiscal accountability measures
2. Identifying and removing barriers and empowering campuses to be more entrepreneurial
3. Developing a long-term financing plan for each campus
4. Developing a resource allocation model for block grant funding
5. Sponsoring a research and development summit to showcase research within the NDUS
6. Developing a plan on access to programs and services
7. Developing a plan to reconvene the roundtable annually
8. Supporting the board's needs-based budget request which is consistent with Roundtable Report recommendations
9. Conducting a self-assessment of board performance

In conjunction, our board meeting agendas now are aligned around attainment of these nine objectives. During the December 21, 2000, meeting, the board adopted new mission and vision statements. These new guiding principles are the direct result of the recommendations developed by the Roundtable on Higher Education.

The new mission statement reads:

> The mission of the North Dakota University System is to enhance the quality of life of all we serve and the economic and social vitality of North Dakota through teaching, research and service.

The new vision statement reads:

> **The North Dakota University System is the vital link to a brighter future.** A brighter future for our students, the citizens of North Dakota and all we serve.

In addition to participating in the roundtable, during the 1999 – 2001 biennium the University System has:

- Successfully implemented the workforce training initiative passed by the 1999 Legislature.
- Developed and enacted several proposals for streamlining board policies, delegating more operational responsibilities to the presidents and the chancellor.
- Taken action on about 60 requests to eliminate existing programs, offer new programs and provide programs via distance education. These changes will help the University System keep pace with the state's rapidly changing needs. Several of these are new programs in technology or e-commerce.
- Developed and launched the ACCESS web site. This web site serves as a one-stop clearinghouse for all distance education courses offered by NDUS campuses.
- Been selected by the U.S. Department of Education to serve as a distance education demonstration site to streamline financial aid policies for students.
- Continued implementation of policies for dual-credit programs, which allow high school students to take college courses and receive both high school and college credits.
- Implemented an innovative broadbanding system for classification and compensation of NDUS personnel.
- Prepared the first FINDET report, which provides important accountability information including documentation about the employment, retention and average earnings of NDUS graduates.
- Formed an NDUS Cultural Diversity Council.
- Redesigned and expanded the NDUS website.
- Conducted a system-wide survey of faculty workload.
- Begun implementation of a system-wide data warehousing project through the Higher Education Computer Network. This project will further automate and aggregate system-wide data.
- Developed and implemented a web-based North Dakota Legislative Bill Tracking System in cooperation with the Legislative Council and Information Technology Department.
- Analyzed current admission policies of the NDUS.
- Examined financial aid strategies in use in the state.
- Restructured the State Approving Agency (SAA) to reduce assigned positions from 2.0 FTEs to 1.5 FTEs.
- Joined the Midwest Higher Education Commission.
- Approved charging of per-credit-hour tuition at Bismarck State College.
- Received re-accreditation from the North Central Association, a regional accreditation agency, at MiSU – Bottineau Campus, Bismarck State College, Williston State College and North Dakota State College of Science.
- Approved a new policy on charging tuition for distance education students.

As you can see, we have been busy – busy with initiatives that will strengthen the University System and, as a result, enhance the quality of and access to higher education and training in

North Dakota as called for in the Roundtable Report. We trust you will see that we take the roundtable recommendations seriously, and, as a result, you will look favorably on the board's budget request within available resources.

As I mentioned earlier, the governor's budget proposal provides a good foundation for moving the Roundtable Report and the board's agenda forward during the next biennium. The governor's budget proposal before you is consistent with the following Roundtable Report recommendations:

- Provide the board and campuses discretion in the use of tuition revenue, allowing campuses to be more responsive and entrepreneurial (If no additional state funds are appropriated beyond the governor's budget, this income will be used to maintain the salary increases given in Fiscal Year 2000 -- 01 and to cover anticipated inflationary operating and utilities costs during the next biennium.)
- Provide block grant funding to the State Board of Higher Education
- Fund the necessary investments in technology
- Provide funding for parity and equity adjustments for campuses
- Increase state matching for research efforts
- Increase student financial aid and expand access to students
- Provide partial funding for a new administrative system, thus allowing the NDUS to compete in a global economy via increased distance learning opportunities and better management information
- Fund salary equity adjustments for positions that are most difficult to recruit and retain

Although the governor's budget proposal provides a good foundation, it does not totally fund the board's needs-based budget request. Consequently, the board requests that, if additional resources become available during the session, the Legislature address the unfunded items in our budget request. Additional investments would address faculty and staff salaries, workforce training, deferred maintenance and enhance technology development. Chancellor Isaak will provide you with a prioritized restoration plan later.

In closing, the Board of Higher Education understands there is a mutual dependency between the University System and the state. This point was made very clear in the Roundtable Report. The state needs a strong University System that contributes to resolution of our economic and population challenges; at the same time, the University System needs resources, which can come only from an expanding economic and population base. The Roundtable Report said the state and the University System must grow together, not contribute to one another's decline. The board encourages you, as you consider appropriations for the next biennium, to invest the state's limited resources in the initiatives that will have the greatest potential impact on growing our state's economy. We believe the University System is a wise investment toward making that happen.

Thank you. I would be pleased to respond to any questions or comments from the committee.

Lg w:\0103 senate testimony for Bill

SB2003 Testimony

Provided to:

The House Appropriations Committee

Presented by:

President William B. Isaacson, State Board of Higher Education

March 1, 2001

Good morning, Mr. Chairman and members of the House Appropriations Committee. My name is Bill Isaacson, and I'm the president of the State Board of Higher Education. I serve on the board with eight distinguished colleagues. If present, board members, please stand when I mention your name:

Craig Caspers, vice president, Wahpeton
Jeanette Satrom, Oriska
Beverly Clayburgh, Grand Forks
Richard Kunkel, Devils Lake
John Korsmo, Fargo
Chuck Stroup, Hazen
Jason Bernhardt, student member, University of North Dakota
Robert Kibler, faculty representative, Minot State University

We are also pleased that Ralph Kingsbury has joined us today. Ralph, as you may know, has been nominated to be a board member. His confirmation hearing will take place late today. Ralph, we wish you the best.

I appreciate the opportunity to speak to you today, and I thank you for your past support of the North Dakota University System. The board asks for your continued support as we move forward with implementing the recommendations of the Roundtable on Higher Education and the North Dakota Legislative Council Interim Committee on Higher Education. Some of you served on this committee. As you may know, the roundtable resulted from a resolution to study the North Dakota University System passed by the 1999 Legislative Assembly. Recommendations made by members of the roundtable are commonly referred to as the Roundtable Report. This document calls for bold, urgent change, including investments in strategies that will help reverse negative economic and demographic trends in the state. The roundtable developed the following vision statement:

> Their vision for the NDUS is, in ten years, it will have created a win-win strategic alliance with the economic entities in the state and is a major player and primary engine in reversing the economic and demographic trends of the 1990s; it will have high quality, innovative learning opportunities, tailored to the needs of individual clients, readily accessible to all adult learners in the state; and it will have proven to be a solid investment for the state and is seen as such by its citizens (Roundtable Report, executive summary)

The members of the roundtable recognized the importance of creating a new relationship between the North Dakota University System and the state, a relationship based on trust and common purpose rather than suspicion and skirmishes over power, according to the Roundtable Report. The report also suggests the new relationship should be based on flexibility with accountability ... I'd like to emphasis the importance of *flexibility with accountability* to the success of this initiative.

Thanks to the excellent work of the members of the roundtable – some of whom are on this committee and others who are in the room today – the University System now has a clear set of expectations. One of those expectations is that the University System will be more closely tied to enhancement of the state's economic future. Secondly, there now is a set of accountability measures that have been agreed upon and can be used to measure progress. These measures are included in Senate Bill 2003. Thirdly, and perhaps most importantly, we have a clear vision of what legislators and other key stakeholders expect of the University System. The work of the members of the roundtable has been valuable and is greatly appreciated.

The budget proposals of former Governor Schafer and Governor Hoeven, as well as the Senate proposal, take a number of important steps toward advancing the roundtable's agenda. And, we hope the House of Representatives also agrees to it. I will address these later in my remarks, but first I want to highlight the action steps already taken by the board to implement the recommendations of the Roundtable Report.

In July 2000, the board unanimously endorsed the Roundtable Report and is aggressively proceeding with implementation. The board also has endorsed the legislation introduced by Legislative Council to implement the roundtable recommendations. Board objectives based on these recommendations have been developed; personnel have been assigned, and an action plan to achieve each objective also has been developed. The nine board objectives are:

1. Developing reports of agreed-upon performance and fiscal accountability measures
2. Identifying and removing barriers and empowering campuses to be more entrepreneurial
3. Developing a long-term financing plan for each campus
4. Developing a resource allocation model for block grant funding
5. Sponsoring a research and development summit to showcase research within the NDUS
6. Developing a plan to expand access
7. Developing a plan to reconvene the roundtable annually
8. Supporting the board's needs-based budget request which is consistent with Roundtable Report recommendations
9. Conducting a self-assessment of board performance

In conjunction, our board meeting agendas now are aligned around attainment of these nine objectives. During the December and January meetings, the board adopted new mission and vision statements. These guiding principles are the direct result of the recommendations developed by the Roundtable on Higher Education.

The new mission statement reads:

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In addition to participating in the roundtable, during the 1999 – 2001 biennium the University System has:

- Successfully implemented the workforce training initiative passed by the 1999 Legislature.
- Developed and enacted several proposals for streamlining board policies, delegating more operational responsibilities to the presidents and the chancellor.
- Taken action on about 60 requests to eliminate existing programs, offer new programs and provide programs via distance education. These changes will help the University System keep pace with the state's rapidly changing needs. Several of these are new programs in technology or e-commerce.
- Developed and launched the ACCESS web site. This web site serves as a one-stop clearinghouse for all distance education courses offered by NDUS campuses.
- Been selected by the U.S. Department of Education to serve as a distance education demonstration site to streamline financial aid policies for students.
- Continued implementation of policies for dual-credit programs, which allow high school students to take college courses and receive both high school and college credits.
- Implemented an innovative broad banding system for classification and compensation of NDUS personnel.
- Prepared the first FINDET report, which provides important accountability information including documentation about the employment, retention and average earnings of NDUS graduates.
- Formed an NDUS Cultural Diversity Council.
- Redesigned and expanded the NDUS website.
- Conducted a system-wide survey of faculty workload.
- Begun implementation of a system-wide data warehousing project through the Higher Education Computer Network. This project will further automate and aggregate system-wide data.
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- Analyzed current admission policies of the NDUS.
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- Restructured the State Approving Agency (SAA) to reduce assigned positions from 2.0 FTEs to 1.5 FTEs.

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- Received re-accreditation from the North Central Association, a regional accreditation agency, at MiSU – Bottineau Campus, Bismarck State College, Williston State College and North Dakota State College of Science.
- Approved a new policy on charging tuition for distance education students.

As you can see, we have been busy – busy with initiatives that will strengthen the State and the University System and, as a result, enhance the quality of and access to higher education and training in North Dakota as called for in the Roundtable Report. We trust you will see that we take the roundtable recommendations seriously, and, as a result, you will look favorably on the board's budget request within available resources.

As I mentioned earlier, former Governor Schafer and Governor Hoeven as well as the Senate proposal provides a good foundation for moving the Roundtable Report agenda forward during the next biennium. The proposal before you is consistent with the following Roundtable Report and interim committee recommendations and we ask you to also endorse these:

- Provide the board and campuses discretion in the use of tuition revenue, allowing campuses to be more responsive and entrepreneurial (If no additional state funds are appropriated beyond the governor's budget, this income will be used to maintain the salary increases given in Fiscal Year 2000-01 and to cover anticipated inflationary operating and utilities costs during the next biennium.)
- Provide block grant funding to the State Board of Higher Education and campuses.
- Fund necessary investments in technology.
- Provide funding for parity and equity adjustments for campuses.
- Increase state matching for research efforts.
- Increase state support for student financial aid.
- Provide partial funding for a new student records and administrative system, thus allowing the NDUS to compete in a global economy via increased distance learning opportunities and better management information
- Fund salary equity adjustments for positions that are most difficult to recruit and retain

The budgets submitted by former Governor Schafer, Governor Hoeven, and the Senate provide a good foundation, however this funding does not totally meet the board's needs-based budget request. Consequently, the board requests that, if additional resources become available during the session, the Legislature address the unfunded items in our budget request. Additional investments would address faculty and staff salaries, deferred maintenance and enhance technology development. Chancellor Isaak will provide you with a restoration plan later.

In closing, the Board of Higher Education understands there is a mutual dependency between the University System and the state. This point was made very clear in the Roundtable Report. The state needs a strong University System that contributes to resolution of our economic and population challenges; at the same time, the University System needs resources, which can come only from an expanding economic and population base. The Roundtable Report said the state and the University System must grow together, not contribute to one another's decline. The board encourages you, as you consider appropriations for the next biennium, to invest the state's

limited resources in the initiatives that will have the greatest potential impact on growing our state's economy. We believe the University System is a wise investment toward making that happen.

Thank you. I would be pleased to respond to any questions or comments from the committee.

Lg w:\House testimony for Bill

See march 1, 2001 testimony - same as this with exception of the following pages for 1-2-2001

NDUS OVERVIEW

Presented by

Chancellor Larry Isaak

To

Senate Appropriations Committee

January 2, 2001

1999-01 NDUS Major Accomplishments

- Prepared FINDET report on documenting the employment, retention, and average earnings of NDUS graduates.
- Redesigned and expanded the NDUS website.
- Conducted system-wide survey on faculty workload.
- Began implementation of a system-wide data warehousing project, through the Higher Education Computer Network, to further automate and aggregate system-wide data.
- Developed and implemented a web-based North Dakota Legislative Bill Tracking System in cooperation with the ND Legislative Council and Information Technology Department.
- Analyzed current System admission policies of the NDUS.

1999-01 NDUS Major Accomplishments

- Prepared a summary report of the financial aid strategies currently being employed by the SBHE.

- Restructured the State Approving Agency (SAA) to reduce assigned FTE positions from 2.0 FTE to 1.5 FTE.

- Formed a NDUS Cultural Diversity Council. Joined Midwest Higher Education Commission.

- Approved charging of per-credit hour tuition at Bismarck State College.

- Since April 1998, Bismarck State College, Williston State College, and North Dakota State College of Science, have been re-accredited by North Central Association.

North Dakota University System
Summary of General Fund Increases
Per 2001-03 Executive Recommendation
(SB 2003 and SB 2021)

	(1) Campuses, Med School, Forest Service & NDUS Office [SB 2003]	(2) UGPTI, NCI, Extension & Research [SB 2021]	(3) Total
1999-01 Adjusted General Fund Appropriation, Incl.Major Capital Projects & General Fund Carryover	$ 332,348,234	$ 47,267,001	$ 379,615,235
Less 1999-01 Appropriation for Non-NDUS Entities:			
Skills Technical Training Center	(747,500)		(747,500)
Center for Innovation in Instruction	(349,583)		(349,583)
Prairie Public Broadcasting	(1,407,513)		(1,407,513)
	(2,504,596)	-	(2,504,596)
1999-01 Adjusted General Fund Appropriation, Excluding Non-NDUS Entities	329,843,638	47,267,001	377,110,639
2001-03 Executive Recommendation, Excluding General Fund Bond Payments	356,136,425	51,924,395	408,060,820
Increase, Excluding Bond Payments	**$ 26,292,787**	**$ 4,657,394**	**$ 30,950,181**
Percent Increase	**7.97%**	**9.85%**	**8.21%**
Plus 2001-03 General Fund Bond Payments	11,261,502		11,261,502
Total General Fund Increase	$ 37,554,289	$ 4,657,394	$ 42,211,683

North Dakota University System (All Entities, Including Extension & Research)
Comparison of 2001-03 Budget Request to Executive Recommendation
(SB 2003, 2021 and 2023)
(In Millions)

		Budget Request General Fund	Executive Recommendation General Fund
1	1999-01 Adjusted Appropriation	$ 379.6	$ 379.6
2	Less Non-NDUS Entities (STTC, CII & PPB)	(2.5)	(2.5)
3	1999-01 Adjusted Appropriation, Excluding Non-NDUS Entities	377.1	377.1
	Increase (Decrease) in Hold-Even Budget:		
	~Costs of Operating Inflation, Utilities Increases, and		
4	Continuation of 2000-01 Salary Increases	15.1	
	~Amount Funded From Continuation of 2000-01		
5	Tuition Increase	(6.0)	
6	~Amount Funded Internally	(9.1)	
7	~1999-01 One-time Funding for Infrastructure	(1.4)	(1.4)
8	~1999-01 Major Capital Projects and General Fund Carryover	(10.1)	(10.1)
9	~2001-03 Major Capital Projects	2.6	3.7
10	~Other Net Increase in Other Funds		
11	2001-03 Hold-Even Budget Request	368.2	369.3
12	Tuition Revenue Excluded in Exec Recommendation		

North Dakota University System (All Entities, Including Extension & Research)
Comparison of 2001-03 Budget Request to Executive Recommendation
(SB 2003, 2021 and 2023)
(In Millions)

		Budget Request	Executive Recommendation
	Optional Package:		
13	Restoration of Net Costs to Continue- Internally Funded In the Hold-Even Budget	9.2	0.5
14	Technology Applications/Access/Networking	8.2	1.4
15	Institutional Innovation ($2.5 M funded in Campus-Based Programs Block Grant)	6.0	2.5
16	Public Agenda & Collaborative Initiative Block Grant (Bd Initiative Block Grant Enhance)	5.0	0.6
17	Equity/Special Needs Increase ($2.5 M funded in Campus-Based Programs Block Grant)	4.2	2.5
18	Capital Improvements Increase	5.0	(0.3)
19	Student Financial Aid Enhancements	2.3	1.3
20	EPSCoR-Like Research Programs Increase	4.2	1.5
21	Disabled Student Services Appropriation Increase	0.3	
22	Agriculture Initiatives	4.3	2.0
23	Subtotal - Optional Adjustments	48.7	12.0
24	Amount Funded from Tuition Increase	(11.3)	
25	Total Optional Adjustments	37.4	12.0
26	Total Request Before Statewide Networking & Comp Pkg	$ 405.6	$ 381.3
27	Increase From 1999-01 Adj Approp, Excluding Non-NDUS Entities	$ 28.5	$ 4.2
28	Percent Increase	7.6%	1.1%
29	Statewide Networking Plan Costs	$ 4.6	$ 4.6
30	Adjusted Increase From 1999-01	$ 33.1	$ 8.8
31	Percent Increase, Including Statewide Networking	8.8%	2.3%

Estimated Cost for 2001-03 Compensation Package Recommended by SBHE

		Budget Request	Executive Recommendation
32	3% Per Year Salary Increase, Plus Cost of Funding Salaries at 80% of Regional Average ($14.3 M included in Campus-Based Programs Block Grant)	$ 29.1	$ 16.4
33	Estimated Health Insurance Premium Increases	3.0	5.7
34	Total Estimated Cost of SBHE's Recommended Compensation Package	$ 32.1	$ 22.1
35	Total Increase, Including 2001-03 Compensation Package	$ 65.2	$ 30.9
36	Percent Increase From 1999-01	17.3%	8.2%
37	Total Request/Exec Recom, Excluding Capital Construction Bond Payments	$ 442.3	$ 408.0

Note: Local funds and capital construction bond payments have been excluded from all totals.

NORTH DAKOTA UNIVERSITY SYSTEM
SUMMARY OF GENERAL FUND INCREASE/(DECREASE)
(SB 2003 - CAMPUSES, NDUS OFFICE, MED SCHOOL AND FOREST SERVICE)

General fund - Hold-even base request	$320,877,592
Increases (Decreases) to hold-even base:	
Correct error in SIBR	92,009
Cost to continue	61,468
Change in extraordinary repairs appropriation	(36,689)
Flood insurance-NDSU	210,000
2001-03 Capital projects	3,545,000
Remove UND infrastructure funding	(2,555,000)
Financial aid increases (Student Grant Programs Block Grant)	1,300,000
EPSCoR increase (Campus-Based Programs Block Grant)	1,500,000
WICHE/MHEC increase (System Governance Block Grant)	46,000
Disabled Student Service increase (Campus-Based Programs Block Grant)	25,000
Board initiative increase (Contingencies & Board Initiatives Block Grant)	625,000
Equity/special needs increase (Campus-Based Programs Block Grant)	5,000,000
Technology-HECN Netwkg, ITD costs increase (Campus-Based Programs Block Grant)	1,417,020
Technology-Statewide Netwkg Plan (Campus-Based Programs Block Grant)	4,595,474
Health insurance increase	5,020,530
Salary increases (Campus-Based Programs Block Grant)	14,413,021
Total Executive Recommendation, Excluding Capital Construction Bond Payments	356,136,425
Net Increase From 1999-01, Excluding Capital Construction Bond Payments	$26,292,787
Capital Construction Bond Payments	11,261,502
Total Executive Recommendation, Including Capital Construction Bond Payments	$367,397,927

NDUS

Selected Portions of NDUS Office Block Grants (Included in SB 2003)
2001-03 Executive Recommendation

	Student Grant Programs (Block Grants)		
	General Fund	Other Funds	Total Funds
1999-01 Adjusted Base	3,771,409	1,338,150	5,109,559
Increases per Exec Recommendation:			
NDUS compensation package			
Campus parity & equity			
Campus new initiatives/programs			
Increase in student grant programs	1,300,000	214,000	1,514,000
HECN, IVN, ODIN & UND/NDSU			
Campus computer centers			
Internet II			
Incr equip lease costs from stwd netwkng plan			
Statewide networking plan-NDUS			
Statewide networking plan-Others			
General increase			
	1,300,000	214,000	1,514,000
Total per Exec Recommendation	$ 5,071,409	$ 1,552,150	$ 6,623,559

NDUS
Selected Portions of NDUS Office Block Grants (Included in SB 2003)
2001-03 Executive Recommendation

Portion of Campus-Based Programs
(Block Grants)

	Technology-General Fund
1999-01 Adjusted Base	
Increases per Exec Recommendation:	
NDUS compensation package	
Campus parity & equity	
Campus new initiatives/programs	
Increase in student grant programs	
HECN, IVN, ODIN & UND/NDSU	
Campus computer centers	22,225,925
Internet II	1,200,000
Incr equip lease costs from stwd netwkng plan	217,020
Statewide networking plan-NDUS	2,589,828
Statewide networking plan-Others	2,005,646
General increase	
	28,238,419
Total per Exec Recommendation	$ 28,238,419

NDUS
Selected Portions of NDUS Office Block Grants (Included in SB 2003)
2001-03 Executive Recommendation

Portion of Campus-Based Programs (Block Grants)

	Equity/Special Needs-General Fund
1999-01 Adjusted Base	115,135
Increases per Exec Recommendation:	
NDUS compensation package	14,330,630
Campus parity & equity	2,500,000
Campus new initiatives/programs	2,500,000
Increase in student grant programs	
HECN, IVN, ODIN & UND/NDSU	
Campus computer centers	
Internet II	
Incr equip lease costs from stwd netwkng plan	
Statewide networking plan-NDUS	
Statewide networking plan-Others	
General increase	
	19,330,630
Total per Exec Recommendation	$ 19,445,765

NDUS

Selected Portions of NDUS Office Block Grants (Included in SB 2003)
2001-03 Executive Recommendation

Contingencies and Board Initiatives (Block Grants)

	Conting/Cap Impr Emergencies-General Fund
1999-01 Adjusted Base	344,309
Increases per Exec Recommendation:	
NDUS compensation package	
Campus parity & equity	
Campus new initiatives/programs	
Increase in student grant programs	
HECN, IVN, ODIN & UND/NDSU Campus computer centers	
Internet II	
Incr equip lease costs from stwd netwkng plan	
Statewide networking plan-NDUS	
Statewide networking plan-Others	
General increase	-
Total per Exec Recommendation	$ 344,309

NDUS

Selected Portions of NDUS Office Block Grants (Included in SB 2003)
2001-03 Executive Recommendation

Contingencies and Board Initiatives (Block Grants)

	Board Initiatives-General Fund
1999-01 Adjusted Base	1,820,797
Increases per Exec Recommendation:	
NDUS compensation package	
Campus parity & equity	
Campus new initiatives/programs	
Increase in student grant programs	
HECN, IVN, ODIN & UND/NDSU Campus computer centers	
internet II	
Incr equip lease costs from stwd netwkng plan	
Statewide networking plan-NDUS	
Statewide networking plan-Others	
General increase	625,000
	625,000
Total per Exec Recommendation	$ 2,445,797

NORTH DAKOTA UNIVERSITY SYSTEM

SUMMARY OF GENERAL & OTHER FUND INCREASES/(DECREASES)

(SB 2021 - Upper Great Plains Transportation Institute [UGPTI], Northern Crops Institute [NCI], Extension & Research and Agronomy Seed Farm)

	Total
General Fund:	
1999-01 Adjusted General Fund Appropriation	$ 47,267,001
Adjustments:	
99-01 GF Carryover	(103,864)
Total Adjustments to Base	(103,864)
General fund - Hold-even request	$ 47,163,137
Core program increases	2,019,987
Decrease capital repairs	(243,500)
Costs to continue	180,014
Major capital projects-GF (Langdon)	150,000
increase operating-Langdon facility	15,000
Health insurance increases	674,732
Salary increases	1,965,025
Total per Executive Recommendation	$ 51,924,395
Incr/(Decr) from 99-01 Adjusted Appropriation	**$ 4,657,394**

NORTH DAKOTA UNIVERSITY SYSTEM
SUMMARY OF GENERAL & OTHER FUND INCREASES/(DECREASES)
(SB 2021 - Upper Great Plains Transportation Institute [UGPTI], Northern Crops Institute [NCI], Extension & Research and Agronomy Seed Farm)

	Total
Incr/(Decr) from 99-01 Adjusted Appropriation	**$ 4,657,394**
Analysis of Incr/(Decr) from 1999-01 Adj Appropriation:	
99-01 One-time funding:	
99-01 GF Carryover	(103,864)
	(103,864)
Compensation Package:	
Health insurance increases	674,732
Salary increases	1,965,025
	2,639,757
2001-03 Capital:	
01-03 Major Capital Projects-GF	150,000
	150,000
Other Increases/(Decreases):	
Core program increases	2,019,987
Decrease capital repairs	(243,500)
Increase operating-Langdon facility	15,000
Costs to continue	180,014
	1,971,501
Incr/(Decr) from 99-01 Adjusted Appropriation	**$ 4,657,394**

NORTH DAKOTA UNIVERSITY SYSTEM

2001-03 MAJOR CAPITAL PROJECTS (Included in SB 2003, 2021 and 2023)

	2001-03 Executive Recommendation				
	General Fund	Other Funds	Federal Funds	State Bonding	Total Funds
BSC:					
Major Remodeling					
Schafer Hall Renovation-2nd & 3rd Floors					-
Subtotal	-	-	-	-	-
LRSC:					
Major Remodeling					
Science Lecture Bowl & Laboratories					-
Subtotal	-	-	-	-	-
WSC:					
New Construction/Addition					
Diesel Technology Addition					-
Subtotal	-	-	-	-	-

NORTH DAKOTA UNIVERSITY SYSTEM

2001-03 MAJOR CAPITAL PROJECTS (Included in SB 2003, 2021 and 2023)

		2001-03 Executive Recommendation				
		General Fund	Other Funds	Federal Funds	State Bonding	Total Funds
UND:						
Infrastructure (Phase II):						
Electrical Distribution System						-
Storm Sewer & Area Drainage						-
Major Remodeling						
O'Kelly Hall-Phase I						-
Memorial Student Union Renovation						-
Carnegie Library Renovation	(SB 2003)		3,000,000			3,000,000
Smith, Johnstone & Fulton Hall Renov.			2,650,000			2,650,000
Wilkerson Hall Loading Dock			1,700,000			1,700,000
New Construction/Additions						
Rural Technology Center			3,800,000			3,800,000
Rural Technology Center Canopy			60,000			60,000
Energy Improvement Program (SB 2023)					3,990,785	3,990,785
Subtotal		-	11,210,000	-	3,990,785	15,200,785

NORTH DAKOTA UNIVERSITY SYSTEM

2001-03 MAJOR CAPITAL PROJECTS (Included in SB 2003, 2021 and 2023)

		2001-03 Executive Recommendation				
		General Fund	Other Funds	Federal Funds	State Bonding	Total Funds
NDSU:						
Major Remodeling						
Minard Hall Renovation-Phase I						-
Ceres Hall Renovation-Phase II						-
Robinson Hall Renovation			550,820			550,820
Sudro Hall Renovation (Incr to 99-01 authority)			1,200,000			1,200,000
New Construction/Additions	(SB 2003)					-
College of Business Office & Classroom Building			20,000,000			20,000,000
Residence Hall						-
Energy Improvement Program	(SB 2023)				296,348	296,348
Subtotal		-	21,750,820	-	296,348	22,047,168

NORTH DAKOTA UNIVERSITY SYSTEM

2001-03 MAJOR CAPITAL PROJECTS (Included in SB 2003, 2021 and 2023)

		2001-03 Executive Recommendation				
		General Fund	Other Funds	Federal Funds	State Bonding	Total Funds
NDSCS:						
Infrastructure:						
Electrical Distribution	(SB 2003)	1,326,640				1,326,640
Steamline Distribution		624,437				624,437
Roof Replacement		158,923				158,923
Major Remodeling						
Parking Lots 2A, 2B, 2C & 2D			1,000,000			1,000,000
Student Union Remodeling						-
New Construction/Additions						
Blikre Activities Center Addition						-
Subtotal		2,110,000	1,000,000	-	-	3,110,000

NORTH DAKOTA UNIVERSITY SYSTEM

2001-03 MAJOR CAPITAL PROJECTS (Included in SB 2003, 2021 and 2023)

	2001-03 Executive Recommendation				
	General Fund	Other Funds	Federal Funds	State Bonding	Total Funds
DSU:					
Major Remodeling					
Murphy Hall Renovation/Addition					-
Subtotal	-	-	-	-	-
MaSU:					
Infrastructure:					
Steam Line Replacement (SB 2003)	510,000				510,000
Subtotal	510,000	-	-	-	510,000
MiSU:					
Major Remodeling					
Old Main Renovation (SB 2003 & 2023)		2,774,000		5,076,000	7,850,000
Subtotal	-	2,774,000	-	5,076,000	7,850,000

NORTH DAKOTA UNIVERSITY SYSTEM

2001-03 MAJOR CAPITAL PROJECTS (Included in SB 2003, 2021 and 2023)

	2001-03 Executive Recommendation				
	General Fund	Other Funds	Federal Funds	State Bonding	Total Funds
VCSU:					
Major Remodeling					
Replace boiler & related steam piping accessories (SB 2003)	850,000				850,000
Subtotal	850,000	-	-	-	850,000
MiSU-Bottineau Campus:					
Infrastructure:					
Thatcher & Ctrl Heating Plant Electrical Upgrade (SB 2003)	75,000				75,000
Subtotal	75,000	-	-	-	75,000
Campus Subtotal	3,545,000	36,734,820	-	9,363,133	49,642,953

NORTH DAKOTA UNIVERSITY SYSTEM

2001-03 MAJOR CAPITAL PROJECTS (Included in SB 2003, 2021 and 2023)

	2001-03 Executive Recommendation				
	General Fund	Other Funds	Federal Funds	State Bonding	Total Funds
Forest Service:					
Tree Storage Building-Towner Nursery (SB 2003)		120,000			120,000
Equipment Storage Building-Lisbon (SB 2003)		20,000			20,000
Subtotal	-	140,000	-	-	140,000
Agronomy Seed Farm:					
Grain Storage Hopper Bins (SB 2021)		60,000			60,000
Bulk Seed Handling (SB 2021)		45,000			45,000
Subtotal	-	105,000	-	-	105,000

NORTH DAKOTA UNIVERSITY SYSTEM

2001-03 MAJOR CAPITAL PROJECTS (Included in SB 2003, 2021 and 2023)

		2001-03 Executive Recommendation				
		General Fund	Other Funds	Federal Funds	State Bonding	Total Funds
Research Centers:						
New Construction/Additions						
Langdon Headquarters & Conference Center	(SB 2021)	150,000	510,000			660,000
Carrington Bison Research Facility	(SB 2021)		130,000			130,000
Dickinson Agronomy Work Lab	(SB 2021)		50,000			50,000
Dickinson Chemical Storage Building	(SB 2021)		50,000			50,000
Subtotal		150,000	740,000	-	-	890,000
Related Entities Subtotal		150,000	985,000	-	-	1,135,000
TOTAL-ALL		3,695,000	37,719,820	-	9,363,133	50,777,953

NORTH DAKOTA UNIVERSITY SYSTEM
2001-2003 Campus Major Capital Projects (SB 2003, 2021 and 2023)
SBHE Priority List (in descending order)

	Project Description	Cost			Other Source	State Accumulated Total
		State Bonding	Other	Total		
STATE GENERAL FUND PROJECTS INCLUDED IN 01-03 BUDGET REQUEST						
MiSU	Old Main Renovation (SB 2003 & 2023)	$5,640,000	$2,210,000	$7,850,000	private	$5,640,000
BSC	Schafer Hall - Renovation 2nd & 3rd Floors	1,394,500		1,394,500		7,034,500
NDSCS	Infrastructure (SB 2003)	2,385,863		2,385,863		9,420,363
VCSU	Replace boiler & related steam piping accessories (SB 2003)	850,000		850,000		10,270,363
MaSU	Steam Line Replacement (SB 2003)	719,950		719,950		10,990,313
NDSU	Minard Hall Renovation-Phase I	4,845,100		4,845,100		15,835,413
UND	O'Kelly Hall-Phase I	3,500,000		3,500,000		19,335,413
DSU	Murphy Hall Renovation/Addition	6,781,155		6,781,155		26,116,568
WSC	Diesel Technology Addition	524,616		524,616		26,641,184
NDSU	Ceres Hall Renovation - Phase II	3,000,000		3,000,000		29,641,184
MiSU-BC	Thatcher & Central Htg. Plant Elec. Upgrade (SB 2003)	75,000		75,000		29,716,184

NORTH DAKOTA UNIVERSITY SYSTEM
2001-2003 Campus Major Capital Projects (SB 2003, 2021 and 2023)
SBHE Priority List

	Project Type	Project Description		Cost			Other
				State Bonding	Other	Total	Source
NON-STATE GENERAL FUND PROJECTS TO BE INCLUDED IN 01-03 BUDGET REQUEST							
UND	renovation	Memorial Student Union			3,500,000	3,500,000	stdnt fees
	renovation	Carnegie Library **(SB 2003)**			3,000,000	3,000,000	private
	renovation	Smith Hall - Johnstone Hall - Fulton Hall **(SB 2003)**			6,500,000	6,500,000	auxiliary
	renovation	Wilkerson Hall Loading Dock **(SB 2003)**			1,700,000	1,700,000	auxiliary
	addition	Rural Technology Center **(SB 2003)**			3,800,000	3,800,000	gifts/grants
	addition	Rural Technology Center-canopy **(SB 2003)**			60,000	60,000	private
NDSU	new constr.	College of Business Office & Classrm Bldg **(SB 2003)**			20,000,000	20,000,000	private
	new constr.	Residence Hall			11,000,000	11,000,000	auxiliary
	renovation	Robinson Hall **(SB 2003)**			550,820	550,820	fed/local
		Parking Lot 2A, 2B, 2C, & 2D **(SB 2003)**			1,000,000	1,000,000	auxiliary
NDSCS	renovation	Student Union Remodeling			3,300,000	3,300,000	auxiliary
	renovation	Blikre Activities Center Addition			531,720	531,720	private
		Agronomy Seed Farm-Grain Storage					
Experiment	new constr.	Hopper Bins			60,000	60,000	income
		Agronomy Seed Farm-Bulk Seed					
Stations	new constr.	Handling			45,000	45,000	income
	new constr.	Carrington-Bison Research Facility	(SB 2021)		130,000	130,000	private/grants
	addition	Dickinson-Agronomy Work Lab					oil revenue
	new constr.	Dickinson-Chemical Storage Building					oil revenue
TOTAL NON- STATE GENERAL FUND PROJECTS				$0	$55,177,540	$55,177,540	

NORTH DAKOTA UNIVERSITY SYSTEM
2001-03 SCHEDULE OF SUGGESTED AMENDMENTS AND RESTORATION 01/02/01

A. **The NDUS endorses the following proposals made by Gov. Schafer which are consistent with the Roundtable expectations and recommendations:**

- removes all income, including tuition, from the appropriation process.
- provides partial block-grant funding to the SBHE.
- reduces the number of line items in the campus and NDUS Office budget.
- funds investments in technology (statewide network, Internet II, distance learning) +$6.0 M.
- increase in SBHE discretionary funds to support Board and statewide initiatives $625,000 (total =$2.4 M).
- provides funding for campus parity and equity + $2.5 M.
- funding for new program and initiative start-up costs $2.5 M.
- provides additional state matching funds for research (EPSCoR-like programs) +$1.5 M.
- investments in increased student financial aid and access programs +$1.3 M.
- funding for salary equity for faculty and staff retention and recruitment $1.0 M.
- deficiency appropriation funding for UND and NDSU $4.7 M.
- funds investments in technology infrastructure to support distance learning-ERP (not in NDUS budget) $20.0 M.
- performance contracting projects at UND and NDSU - state bonding $4.3 M.

NORTH DAKOTA UNIVERSITY SYSTEM
2001-03 SCHEDULE OF SUGGESTED AMENDMENTS
AND RESTORATION 01/02/01

B. Proposed Non-Fiscal Amendments:

- Consider block-grant funding all appropriations to SBHE as proposed in bill draft introduced by Interim HE Committee -SB2003.
- Reduce or eliminate intent language associated with SBHE or System block grants-SB2003.
- Eliminate reserve fund requirement for College of Business at NDSU to support utilities and upkeep (no cost in 01-03)-SB2003.
- Remove $550,820 for renovation of Robinson Hall at NDSU. Project will be addressed in a separate bill.-SB2003.
- Bond for local fund match of $2,210,000 for Old Main Renovation at Minot State.-SB2003 and SB2023.
- Add revenue bond authority for $1.0 million parking lot projects at NDSCS

NORTH DAKOTA UNIVERSITY SYSTEM
2001-03 SCHEDULE OF SUGGESTED AMENDMENTS
AND RESTORATION 01/02/01

C.	**Proposed Fiscal Amendments to SB2003, 2020, 2023- State General Fund (in priority order):**	
1	Continuation of 2nd year salary increase (00-01) - also see priority 7-SB2003	$4,880,101
2	Reduce local fund match on renovation of Old Main at MiSU-SB2003 and SB2023	564,000
3	Workforce Training per WF Training taskforce (funded in Voc. Ed budget)-SB2020	475,000
4	Network Costs (growth in distance learning and Internet II)-SB2003	1,800,000
5	Extra-ordinary repairs - also see priority 8-SB2003	2,594,914
6	ODIN replacement (library network)-SB2003	2,000,000
7	Continuation of 2nd year salary increase (00-01) - also see priority 1-SB2003	4,880,101
8	Extra-ordinary repairs - also see priority 5-SB2003	2,594,914
9	Request to move faculty & staff salaries to 80% of regional average (amt. needed after 3/2% increase and cont'd 00-01 incr.)-SB2003	8,129,658

NORTH DAKOTA UNIVERSITY SYSTEM
2001-03 SCHEDULE OF SUGGESTED AMENDMENTS
AND RESTORATION 01/02/01

D. Proposed Fiscal Amendments - Other Major Capital Projects-SB2003 (not in priority order)	
- UND- Memorial Student Union renovation (non-state funding)-SB2003	3,500,000
- NDSU-residence hall construction(non-state funding)-SB2003	11,000,000
- NDSCS-Student Union remodeling(non-state funding)-SB2003	3,300,000
- NDSCS Blikre Activities Center Addition(non-state funding)-SB2003	531,720
- Other general fund/bonding projects per SBHE priority list-SB2003 or 2023	21,605,656

NORTH DAKOTA UNIVERSITY SYSTEM
2001-03 SCHEDULE OF SUGGESTED AMENDMENTS
AND RESTORATION 01/02/01

E. Additional Proposed State General Fund Fiscal Amendments-SB2003 (not in priority order):	
- Technology applications/access	7,000,000
- Equity and Special Needs Block Grant	1,700,000
- Institutional Innovation	3,500,000
- Public Agenda and Collaborative Initiative Block Grant	4,400,000
- Board and System positions to support Roundtable recommendations	362,190
- EPSCoR-like Programs	2,700,000
- Student Financial Aid (State Grant, Scholar's, PSEP, Indian Scholarship)	1,000,000
- Disabled Student Services	275,000
- Agricultural Initiatives	2,300,000

NDUS OVERVIEW

Presented by

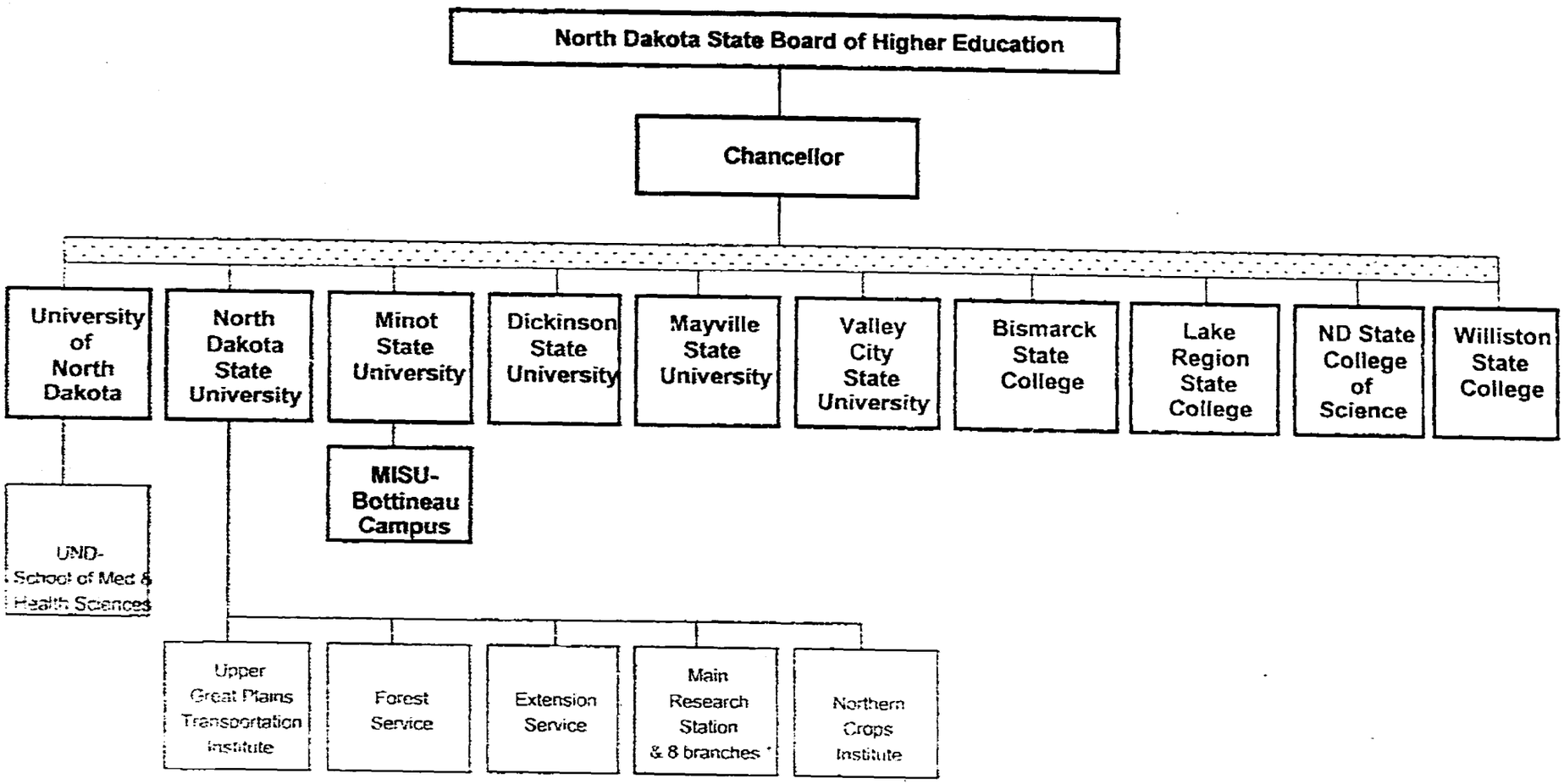
Chancellor Larry Isaak

To

House Appropriations Committee

March 1, 2001

ORGANIZATIONAL CHART OF THE NORTH DAKOTA UNIVERSITY SYSTEM



* Eight branch Research Stations: Agronomy Seed Farm, Carrington, Central Grass, Dickinson, Hettinger, Langdon, North Central, and Williston.

1999-01 NDUS Major Accomplishments

- Actively participated in the Roundtable for Legislative Council Interim Committee on Higher Education.
- Restructured SBHE agenda focusing on policy objectives of Higher Education Roundtable.
- Successfully implemented the workforce training initiative passed by the 1999 Legislature.
- Developed and enacted several proposals for streamlining SBHE policies delegating more operational responsibilities to the presidents and chancellor.
- Took action on more than 60 requests to develop new programs, eliminate programs or offer programs via distance education.

1999-01 NDUS Major Accomplishments

- Developed and launched the ACCESS web site. This web site serves as a one-stop clearinghouse on all distance education courses offered by the NDUS campuses.
- Served as a Distance Education Demonstration site for the U.S. Department of Education; one of 15 sites chosen to participate.
- Continued implementation of policies for dual credit programs that allow high school students to take college courses and receive both high school and college credit.
- Implemented a broadbanding system for classification and compensation of NDUS personnel.

1999-01 NDUS Major Accomplishments

- Prepared FINDET report on documenting the employment, retention, and average earnings of NDUS graduates.
- Redesigned and expanded the NDUS website.
- Conducted systemwide survey on faculty workload.
- Began implementation of a systemwide data warehousing project through the Higher Education Computer Network.
- Developed and implemented a web-based North Dakota Legislative Bill Tracking System in cooperation with the ND Legislative Council and Information Technology Department.
- Analyzed current NDUS admission policies.

1999-01 NDUS Major Accomplishments

- Prepared a summary report of financial aid strategies currently used by the SBHE.
- Restructured the State Approving Agency (SAA) to reduce FTE positions from 2.0 to 1.5.
- Formed a NDUS Cultural Diversity Council. Joined Midwest Higher Education Commission.
- Approved charging of per-credit hour tuition at Bismarck State College.
- Received re-accreditation by North Central Association; colleges accredited since 1998 are Bismarck State College, Williston State College, and North Dakota State College of Science.

State Board of Higher Education

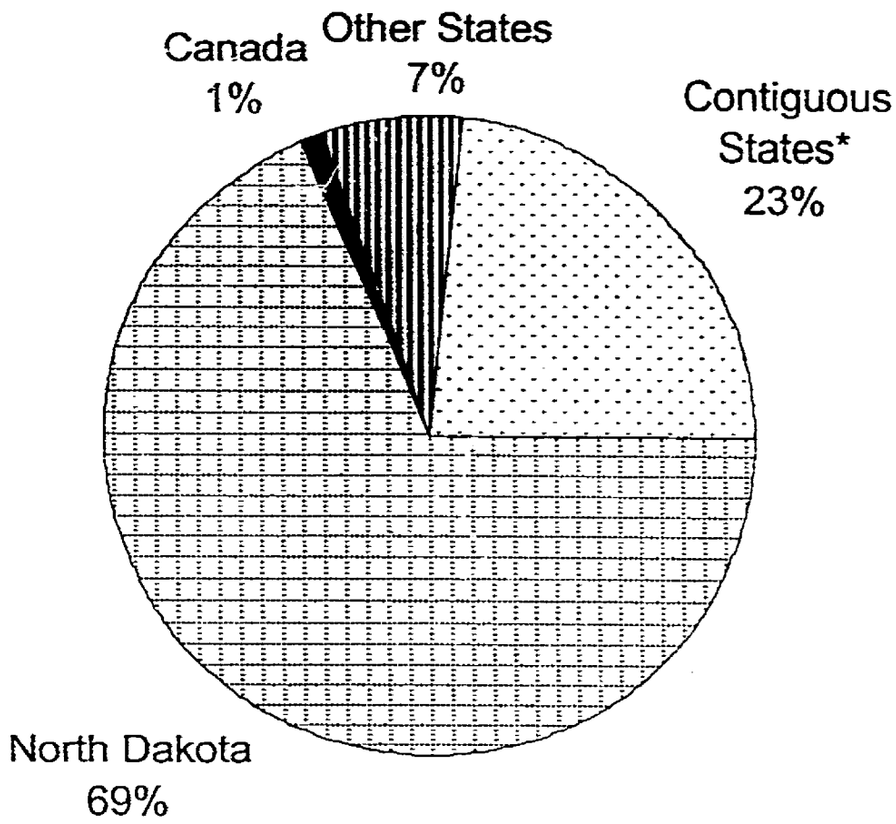
Objectives are to:

- Develop finance and performance accountability mechanisms.
- Change policies that are barriers.
- Recommend a long-term financing plan.
- Recommend a resource allocation mechanism.
- Align intellectual capacity and programs with the needs of the State. Convene research and development summit.

State Board of Higher Education Objectives are to: (cont.)

- Develop a plan for increased access.
- Sustain the vision and reconvene the Roundtable.
- Promote a 2001-03 needs based budget and legislative agenda.
- Do a self-assessment of board performance.

Enrollment by Residency
Fall 2000

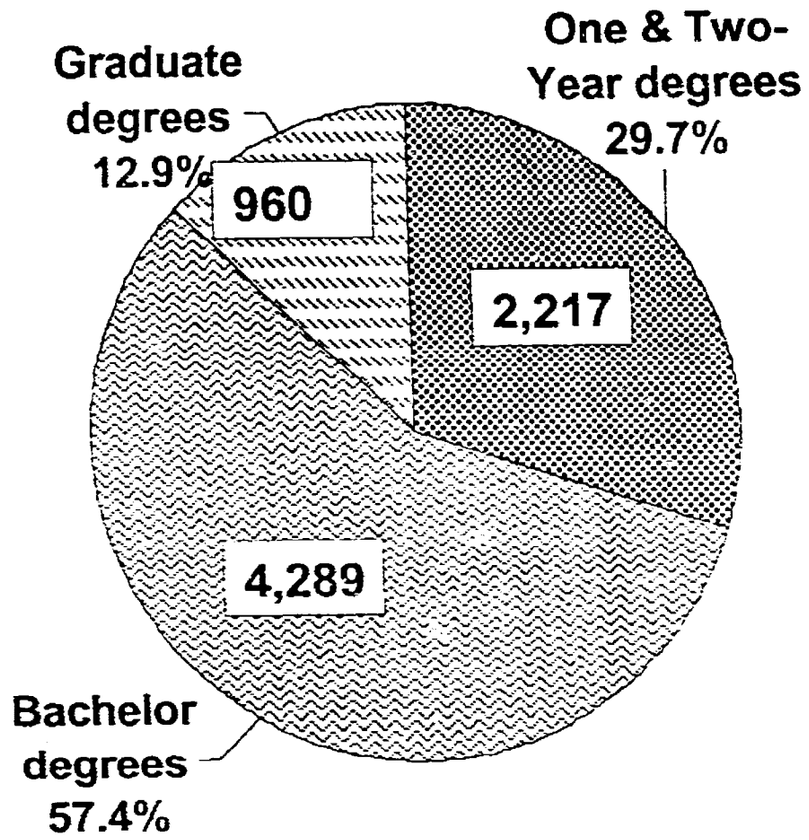


*SD. MT. Manitoba. and Saskatchewan

Note: Percentages are rounded

NDUS Program Completers by Level of Award
Academic Year 1999-2000

One & Two-Year	
Cert.	330
Dipl	63
Assoc	1,824
Sub-total	**2,217**
Bachelor	**4,289**
Graduate	
Masters	691
Sp.	15
Ed.D & Ph.D.	58
Prof.	191
Sub-total	**955**
TOTAL	**7,461**

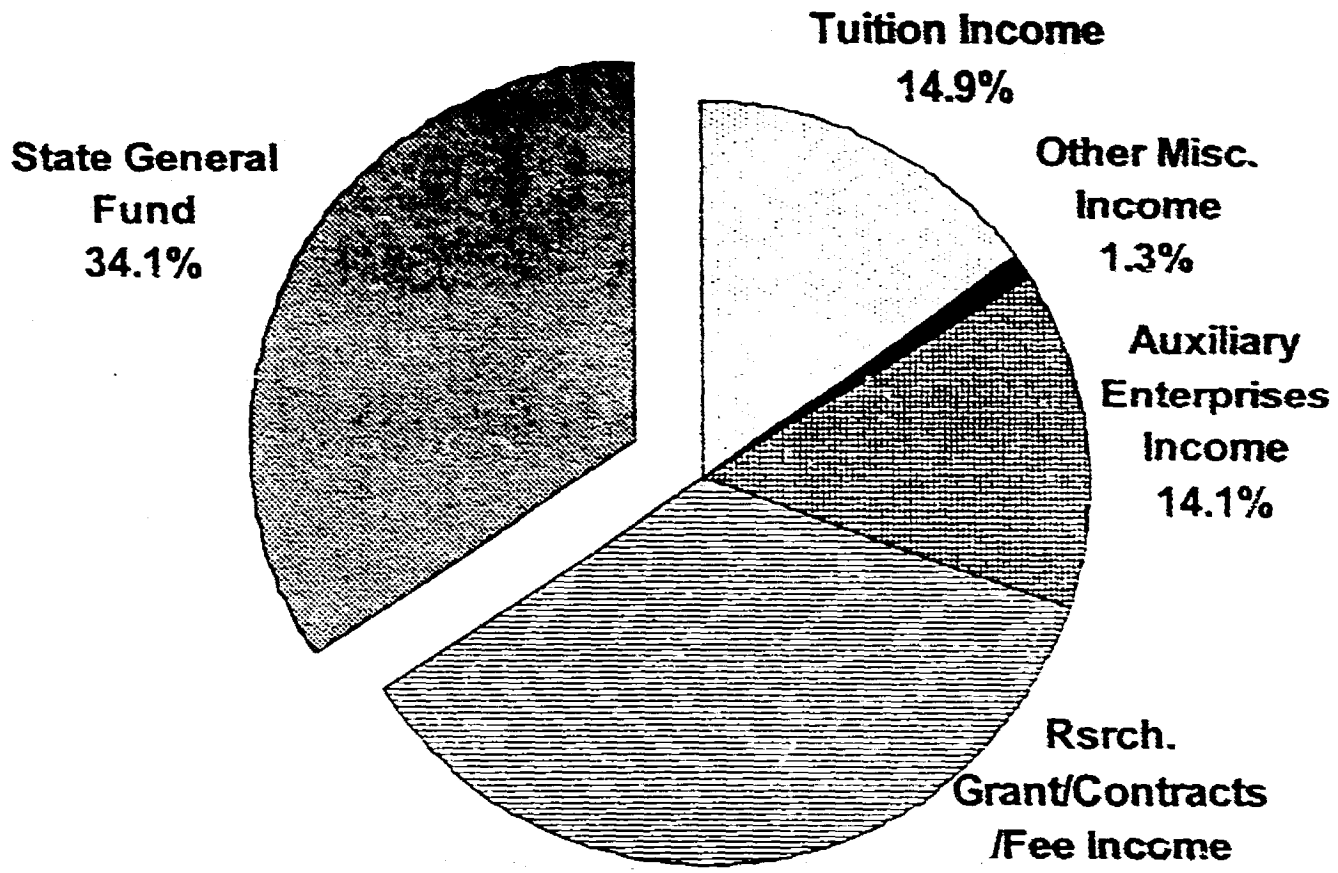


2000-2001 Annual Budget

2000-2001 Operating Budget Supported by:		
Tuition Income	$ 79.3 million	14.90%
Other Misc. Income	6.8 million	1.30%
Auxiliary Enterprises Income	74.8 million	14.10%
Research Grants & Contracts and Fee Income	189.5 million	35.60%
Subtotal	350.4 million	65.90%
State General Fund	181.5 million	34.10%
TOTAL	$531.9 million	[1/] 100.00%

[1/] Does not include $44.9 million in capital improvements (including 1997-1999 carryover) and capital projects for 1999-2001

2000-2001 Annual Budget Funding Source



Salary Levels

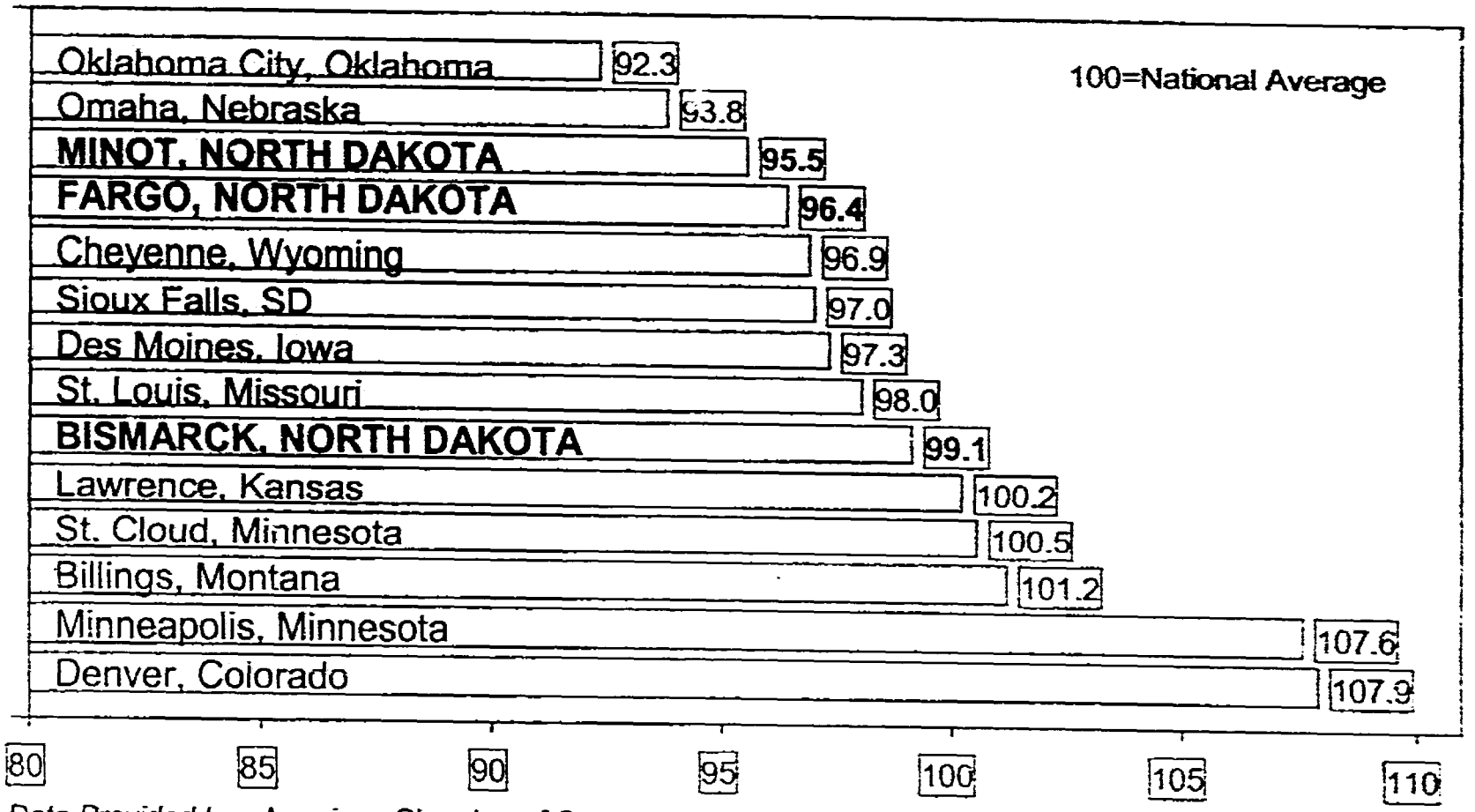
Amount and Percent North Dakota Average Faculty Salaries Lag Behind the National and Regional Markets
Percent Variance From Market
(In thousands of dollars)

	Doctoral			Comprehensive			Baccalaureate			Two-Year		
Year	U.S.	Reg.	N.D.	U.S.	Reg.	N.D.	U.S.	Reg.	N.D.	U.S.	Reg.	N.D.
1982-83	-12.7	-7.8	---	-8.5	0	---	0.8	4.5	---	-4.3	4.3	---
1998-99	-33	-31.8	---	-30.2	-21.3	---	-26.1	-19.3	---	-27.2	-17.2	---

Cost of Living Index

Selected Regional Metropolitan Areas

1st Quarter 2000



Data Provided by: American Chamber of Commerce Research Association

NOTE: The American Chamber of Commerce Research Association consides the following categories when determining an area relative to cost of living: grocery items,housing, utilities, transportation, health care, and miscellaneous goods and services.

Graduation Employment and Retention

North Dakota University System
Status of Academic Year 1998 Graduates
One Year After Graduation by State of Residency

State of Residency	Graduates	Total Retained in North Dakota [1/]	
		Number	Percent
North Dakota [2/]	4,893	2,815	57.50%
Minnesota	1,110	200	18.00%
Montana	206	50	24.30%
South Dakota	113	24	21.20%
Other State	511	80	15.70%
State Unknown	95	37	39.00%
Total	**6,928**	**3,206**	**46.30%**

1/ Does not account for graduates who were in North Dakota but unemployed, employed in jobs not covered by unemployment insurance, or attending private colleges.

2/ Includes military personnel stationed in North Dakota.

North Dakota University System
Summary of 2001-03 General Fund Increases
Per Engrossed SB's 2003 and 2021

	(1) Campuses, Med School, Forest Service & NDUS Office [SB 2003]	(2) UGPTI, NCI, Extension & Research [SB 2021]	(3) Total
1999-01 Adjusted General Fund Appropriation, Incl.Major Capital Projects & General Fund Carryover	$ 332,348,234	$ 47,267,001	$ 379,615,235
Less 1999-01 Appropriation for Non-NDUS Entities:			
Skills Technical Training Center	(747,500)		(747,500)
Center for Innovation in Instruction	(349,583)		(349,583)
Prairie Public Broadcasting	(1,407,513)		(1,407,513)
	(2,504,596)	-	(2,504,596)
1999-01 Adjusted General Fund Appropriation, Excluding Non-NDUS Entities	329,843,638	47,267,001	377,110,639
2001-03 Engrossed Bills, Excluding General Fund Bond Payments	356,492,334	51,742,895	408,235,229
Increase, Excluding Bond Payments	$ **26,648,696**	$ **4,475,894**	$ **31,124,590**
Percent Increase	**8.08%**	**9.47%**	**8.25%**
Plus 2001-03 General Fund Bond Payments	11,261,502		11,261,502
Total General Fund Increase	$ 37,910,198	$ 4,475,894	$ 42,386,092

North Dakota University System (All Entities, Including Extension & Research)
Comparison of 2001-03 General Fund Budget Request to Engrossed SB's 2003, 2021, 2023 and 2137
(In Millions)

		Budget Request	Engrossed Recommendation
	Optional Package:		
	Restoration of Net Costs to Continue- Internally		
13	Funded In the Hold-Even Budget	9.2	0.5
14	Technology Applications/Access/Networking	8.2	1.4
15	Institutional Innovation ($2.5 M funded in Campus-Based Programs Block Grant)	6.0	2.3
16	Public Agenda & Collaborative Initiative Block Grant (Bd Initiative Block Grant Enhance)	5.0	0.4
17	Equity/Special Needs Increase ($2.5 M funded in Campus-Based Programs Block Grant)	4.2	2.3
18	Capital Improvements Increase	5.0	(0.3)
19	Student Financial Aid Enhancements	2.3	1.7
20	EPSCoR-Like Research Programs Increase	4.2	2.0
21	Disabled Student Services Appropriation Increase	0.3	
22	Agriculture Initiatives	4.3	1.9
23	Subtotal - Optional Adjustments	48.7	12.2
24	Amount Funded from Tuition Increase	(11.3)	
25	Total Optional Adjustments	37.4	12.2
26	Total Request Before Statewide Networking & Comp Pkg	$ 405.6	$ 381.5
27	Increase From 1999-01 Adj Approp, Excluding Non-NDUS Entities	$ 28.5	$ 4.4
28	Percent Increase	7.6%	1.2%
29	Statewide Networking Plan Costs	$ 4.6	$ 4.6
30	Adjusted Increase From 1999-01	$ 33.1	$ 9.0
31	Percent Increase, Including Statewide Networking	8.8%	2.4%

Estimated Cost for 2001-03 Compensation Package Recommended by SBHE

		Budget Request	Engrossed Bills
32	3% Per Year Salary Increase, Plus Cost of Funding Salaries at 80% of Regional Average ($14.3 M included in Campus-Based Programs Block Grant)	$ 29.1	$ 16.4
33	Estimated Health Insurance Premium Increases	3.0	5.7
34	Total Estimated Cost of SBHE's Recommended Compensation Package	$ 32.1	$ 22.1
35	Total Increase, Including 2001-03 Compensation Package	$ 65.2	$ 31.1
36	Percent Increase From 1999-01	17.3%	8.2%
37	Total Request/Engrossed Bills, Excluding Capital Constr. Bond Payments	$ 442.3	$ 408.2

Note: Local funds and capital construction bond payments have been excluded from all totals.

NORTH DAKOTA UNIVERSITY SYSTEM
SUMMARY OF GENERAL FUND INCREASE/(DECREASE)
(Engrossed SB 2003 - Campuses, NDUS Office, MED School and Forest Service)

General Fund:		
1999-01 Adj GF Appropriation	$	332,348,234
Less 99-01 Appropriations for Non-NDUS Entities, Including Skills Technical Training Center, Center for Innovation and Prairie Public Broadcasting		(2,504,596)
1999-01 Adj GF Appropriation, Excluding Non-NDUS entities		329,843,638
Adjustments by OMB to establish hold-even budget base:		
99-01 Major capital projects		(4,236,386)
99-01 One-time infrastructure		(1,465,000)
99-01 GF Carryover		(3,295,272)
Restore capital repairs-Emergency Clause		122,621
Error in SIBR		(92,009)
Total Adjustments to Base		(8,966,046)
General fund - Hold-even base request		320,877,592

NORTH DAKOTA UNIVERSITY SYSTEM
SUMMARY OF GENERAL FUND INCREASE/(DECREASE)
(Engrossed SB 2003 - Campuses, NDUS Office, MED School and Forest Service)

General fund - Hold-even base request	$320,877,592
Increases (Decreases) to hold-even base:	
Correct error in SIBR	92,009
Cost to continue	61,468
Change in extraordinary repairs appropriation	(36,689)
Flood insurance-NDSU	210,000
2001-03 Capital projects	3,545,000
Remove UND infrastructure funding	(2,555,000)
Financial aid increases (Student Grant Programs Block Grant)	1,670,000
EPSCoR increase (Campus-Based Programs Block Grant)	2,028,900
WICHE/MHEC increase (System Governance Block Grant)	46,000
Disabled Student Service increase (Campus-Based Programs Block Grant)	25,000
Board initiative increase (Contingencies & Board Initiatives Block Grant)	445,000
Equity/special needs increase (Campus-Based Programs Block Grant)	4,640,000
Technology-HECN Netwkg, ITD costs increase (Campus-Based Programs Block Grant)	1,417,020
Technology-Statewide Netwkg Plan (Campus-Based Programs Block Grant)	4,595,474
Health insurance increase	5,020,530
Salary increases (Campus-Based Programs Block Grant)	14,410,030
Total Engrossed SB 2003, Excluding Capital Construction Bond Payments	356,492,334
Net Increase From 1999-01, Excluding Capital Construction Bond Payments	$26,648,696
Capital Construction Bond Payments	11,261,502
Total Engrossed SB 2003, Including Capital Construction Bond Payments	$367,753,836

NORTH DAKOTA UNIVERSITY SYSTEM
SUMMARY OF GENERAL FUND INCREASE/(DECREASE)
(Engrossed SB 2003 - Campuses, NDUS Office, MED School and Forest Service)

Additional Information:

Additional Tuition-Continuation of 00-01 rate increase	$	3,630,832
Additional Tuition-From 01-03 rate increase in budget request		11,303,471
Additional Tuition-From estimated enrollment increases		1,279,469
Estimated Increase in Tuition Revenue*	$	16,213,772

*The campuses are estimating increases of approximately $16.2 million in tuition revenue for the 2001-03 biennium, from which they will have to fund $14.2 million of the estimated cost to continue the 2000-01 salary increases, inflation and utility increases (which were not funded in the executive recommendation), plus additional costs associated with increased enrollment.

North Dakota University System Office Appropriation
Included in Engrossed SB 2003
2001-03 Biennium

	2001-03 Engrossed Recommendation
3) Campus-Based Programs (Includes):	
EPSCoR	$4,000,000
Title II	534,000
Disabled Student Services	51,560
IT Management (CIO)	216,676
Technology Pool	28,238,419
Equity/Special Needs Pool	19,085,765
Total Campus-Based Programs	$52,126,420

North Dakota University System Office Appropriation
Included in Engrossed SB 2003
2001-03 Biennium

	2001-03 Engrossed Recommendation
2) Student Grant Programs (Includes):	
Scholars Program	$770,730
State Grant Program	4,088,031
ND Indian Scholarship Program	204,082
Professional Student Exchange Program (PSEP)	1,560,716
Undesignated Senate Increase	370,000
Total Student Grant Programs	$6,993,559

North Dakota University System Office Appropriation
Included in Engrossed SB 2003
2001-03 Biennium

	2001-03 Engrossed Recommendation
3) Campus-Based Programs (Includes):	
EPSCoR	$4,000,000
Title II	534,000
Disabled Student Services	51,560
IT Management (CIO)	216,676
Technology Pool	28,238,419
Equity/Special Needs Pool	19,085,765
Total Campus-Based Programs	$52,126,420

North Dakota University System Office Appropriation
Included in Engrossed SB 2003
2001-03 Biennium

	2001-03 Engrossed Recommendation
4) Contingencies & Board Initiatives (Includes):	
Contingency & Emergency Fund	$344,309
Board Initiative Pool	2,265,797
Total Contingencies & Board Initiatives	$2,610,106

NORTH DAKOTA UNIVERSITY SYSTEM
SUMMARY OF GENERAL & OTHER FUND INCREASES/(DECREASES)

(Engrossed SB 2021 - Upper Great Plains Transportation Institute [UGPTI], Northern Crops Institute [NCI], Extension & Research and Agronomy Seed Farm)

	Total
General Fund:	
1999-01 Adjusted General Fund Appropriation	$ 47,267,001
Adjustments:	
99-01 GF Carryover	(103,864)
Total Adjustments to Base	(103,864)
General fund - Hold-even request	$ 47,163,137
Core program increases	2,019,987
Decrease capital repairs	(243,500)
Costs to continue	180,014
Major capital projects-GF (Langdon)	150,000
Undesignated general fund decrease	(166,500)
Health insurance increases	674,732
Salary increases	1,965,025
Total per Engrossed SB 2021	$ 51,742,895
Incr/(Decr) from 99-01 Adjusted Appropriation	$ 4,475,894

NORTH DAKOTA UNIVERSITY SYSTEM
SUMMARY OF GENERAL & OTHER FUND INCREASES/(DECREASES)
(Engrossed SB 2021 - Upper Great Plains Transportation Institute [UGPTI], Northern Crops Institute [NCI], Extension & Research and Agronomy Seed Farm)

	Total
Incr/(Decr) from 99-01 Adjusted Appropriation	$ 4,475,894
Analysis of Incr/(Decr) from 1999-01 Adj Appropriation:	
99-01 One-time funding:	
99-01 GF Carryover	(103,864)
	(103,864)
Compensation Package:	
Health insurance increases	674,732
Salary increases	1,965,025
	2,639,757
2001-03 Capital:	
01-03 Major Capital Projects-GF	150,000
Other Increases/(Decreases):	150,000
Core program increases	2,019,987
Decrease capital repairs	(243,500)
Undesignated general fund decrease	(166,500)
Costs to continue	180,014
	1,790,001
Incr/(Decr) from 99-01 Adjusted Appropriation	$ 4,475,894

NORTH DAKOTA UNIVERSITY SYSTEM
2001-03 MAJOR CAPITAL PROJECTS
(Included in Engrossed SB's 2003, 2021, 2023 and 2137)

	2001-03 Engrossed Bills				
	General Fund	Other Funds	Revenue Bonding	State Bonding	Total Funds
BSC:					
Major Remodeling					
Schafer Hall Renovation-2nd & 3rd Floors					-
Subtotal	-	-	-	-	-
LRSC:					
Major Remodeling					
Science Lecture Bowl & Laboratories					-
Subtotal	-	-	-	-	-
WSC:					
New Construction/Addition					
Diesel Technology Addition					-
Subtotal	-	-	-	-	-

NORTH DAKOTA UNIVERSITY SYSTEM
2001-03 MAJOR CAPITAL PROJECTS
(Included in Engrossed SB's 2003 2021, 2023 and 2137)

		2001-03 Engrossed Bills				
		General Fund	Other Funds	Revenue Bonding	State Bonding	Total Funds
UND:						
Infrastructure (Phase II):						
Electrical Distribution System						-
Storm Sewer & Area Drainage						-
Major Remodeling						
O'Kelly Hall-Phase I						-
Memorial Student Union Ren. (SB 2023)				3,500,000		3,500,000
Carnegie Library Renovation	(SB 2003)		3,000,000			3,000,000
Smith, Johnstone & Fulton Hall Ren.	(SB 2003)		2,650,000			2,650,000
Wilkerson Hall Loading Dock	(SB 2003)		1,700,000			1,700,000
New Construction/Additions	(SB 2003)					
Rural Technology Center	(SB 2003)		3,800,000			3,800,000
Rural Technology Center Canopy	(SB 2003)		60,000			60,000
Energy Improvement Program (SB 2023)					3,990,785	3,990,785
Subtotal		-	11,210,000	3,500,000	3,990,785	18,700,785

NORTH DAKOTA UNIVERSITY SYSTEM
2001-03 MAJOR CAPITAL PROJECTS
(Included in Engrossed SB's 2003, 2021, 2023 and 2137)

		2001-03 Engrossed Bills				
		General Fund	Other Funds	Revenue Bonding	State Bonding	Total Funds
NDSU:						
Major Remodeling						
Minard Hall Renovation-Addition Only (SB 2023)				3,000,000		3,000,000
Ceres Hall Renovation-Phase II						-
Robinson Hall Renovation (SB 2137)			560,000			560000
Sudro Hall Renovation (Incr to 99-01 authority)	(SB 2003)		1,200,000			1,200,000
New Construction/Additions						-
College of Business Office & Classroom Building			20,000,000			20,000,000
Residence Hall (SB 2023)				8,000,000		8,000,000
Student Housing Apartment Building (SB 2137)			2,310,000			2,310,000
Energy Improvement Program (SB 2023)					296,348	296,348
Subtotal		-	24,070,000	11,000,000	296,348	35,366,348

NORTH DAKOTA UNIVERSITY SYSTEM
2001-03 MAJOR CAPITAL PROJECTS
(Included in Engrossed SB's 2003, 2021, 2023 and 2137)

		2001-03 Engrossed Bills				
		General Fund	Other Funds	Revenue Bonding	State Bonding	Total Funds
NDSCS:						
Infrastructure:						
Electrical Distribution	(SB 2003)	1,326,640				1,326,640
Steamline Distribution	(SB 2003)	624,437				624,437
Roof Replacement	(SB 2003)	158,923				158,923
Major Remodeling						
Parking Lots 2A, 2B, 2C & 2D	(SB 2023)			1,000,000		1,000,000
Student Union Remodeling	(SB 2023)		1,300,000	2,000,000		3,300,000
Skills Technical Training Center	(SB 2003)		385,000			385,000
New Construction/Additions						
Blikre Activities Ctr. Floor Repl. & Add.	(SB 2003)		531,720			531,720
Subtotal		2,110,000	2,216,720	3,000,000	-	7,326,720

NORTH DAKOTA UNIVERSITY SYSTEM
2001-03 MAJOR CAPITAL PROJECTS
(Included in Engrossed SB's 2003, 2021, 2023 and 2137)

	2001-03 Engrossed Bills				
	General Fund	Other Funds	Revenue Bonding	State Bonding	Total Funds
DSU:					
Major Remodeling					
Murphy Hall Renovation/Addition					0
Subtotal	0	0	0	0	0
MaSU:					
Infrastructure:					
Steam Line Replacement	510,000				510,000
Major Remodeling (SB 2003)					
Lewy Lee Fieldhouse Renv./Add.		4,000,000			4,000,000
Subtotal	510,000	4,000,000	0	0	4,510,000
MiSU:					
Major Remodeling					
Old Main Renovation (SB 2023)		2,599,000		5,251,000	7,850,000
Subtotal	0	2,599,000	0	5,251,000	7,850,000

NORTH DAKOTA UNIVERSITY SYSTEM
2001-03 MAJOR CAPITAL PROJECTS
(Included in Engrossed SB's 2003, 2021, 2023 and 2137)

	2001-03 Engrossed Bills				
	General Fund	Other Funds	Revenue Bonding	State Bonding	Total Funds
VCSU:					
Major Remodeling					
Replace boiler & related steam piping accessories (SB 2003)	850,000				850,000
Subtotal	850,000	-	-	-	850,000
MiSU-Bottineau Campus:					
Infrastructure:					
Thatcher & Ctrl Heating Plant Electrical Upgrade (SB 2003)	75,000				75,000
Subtotal	75,000	-	-	-	75,000
Campus Subtotal	3,545,000	44,095,720	17,500,000	9,538,133	74,678,853

NORTH DAKOTA UNIVERSITY SYSTEM
2001-03 MAJOR CAPITAL PROJECTS
(Included in Engrossed SB's 2003, 2021, 2023 and 2137)

	2001-03 Engrossed Bills				
	General Fund	Other Funds	Revenue Bonding	State Bonding	Total Funds
Forest Service:					
Tree Storage Building-Towner Nursery (SB 2003)		120,000			120,000
Equipment Storage Building-Lisbon (SB 2003)		20,000			20,000
Subtotal	-	140,000	-	-	140,000
Agronomy Seed Farm:					
Grain Storage Hopper Bins (SB 2021)		60,000			60,000
Bulk Seed Handling (SB 2021)		45,000			45,000
Subtotal	-	105,000	-	-	105,000

NORTH DAKOTA UNIVERSITY SYSTEM
2001-03 MAJOR CAPITAL PROJECTS
(Included in Engrossed SB's 2003, 2021, 2023 and 2137)

		2001-03 Engrossed Bills				
		General Fund	Other Funds	Revenue Bonding	State Bonding	Total Funds
Research Centers:						
New Construction/Additions						
Langdon Headquarters & Conference Center	(SB 2021)	150,000	660,000			810,000
Carrington Bison Research Facility			130,000			130,000
Dickinson Agronomy Work Lab			50,000			50,000
Dickinson Chemical Storage Building			50,000			50,000
Land-Williston Research Center			80,000			80,000
Subtotal		150,000	970,000	-	-	1,120,000
Related Entities Subtotal		150,000	1,215,000	-	-	1,365,000
TOTAL-ALL		3,695,000	45,310,720	17,500,000	9,538,133	76,043,853

NORTH DAKOTA UNIVERSITY SYSTEM
2001-2003 Campus Major Capital Projects (Engrossed SB 2003, 2021, 2023 and 2137)
SBHE Priority List

	Project Description	Cost			Other
		State Bonding	Other	Total	Source
STATE GENERAL FUND PROJECTS INCLUDED IN 01-03 BUDGET REQUEST					
MiSU	Old Main Renovation (SB 2023)	$5,640,000	$2,210,000	$7,850,000	private
BSC	Schafer Hall - Renovation 2nd & 3rd Floors	1,394,500		1,394,500	
NDSCS	Infrastructure (renovation) (SB 2003)	2,385,863		2,385,863	
VCSU	Replace boiler & related steam piping accessories (SB 2003)	850,000		850,000	
MaSU	Steam Line Replacement (SB 2003)	719,950		719,950	
NDSU	Minard Hall Renovation-Phase I (SB 2023)	4,845,100		4,845,100	revenue bonds
UND	O'Kelly Hall Renovation-Phase I	3,500,000		3,500,000	
DSU	Murphy Hall Renovation/Addition	6,781,155		6,781,155	
WSC	Diesel Technology Addition	524,616		524,616	
NDSU	Ceres Hall Renovation - Phase II	3,000,000		3,000,000	
MiSU-BC	Thatcher & Central Htg. Plant Elec. Renovation (SB2003)	75,000		75,000	
LRSC	Science Lecture Bowl & Laboratories Renovation	784,472		784,472	
Forest Service	New Tree Storage Bldg.-Towner Nursery (SB 2003)	120,000		120,000	
Forest Service	New Equipment Storage Bldg.-Lisbon (SB 2003)	20,000		20,000	
Experiment	New Langdon Hdqtrs and Conference Ctr. (SB 2021)	300,000	500,000	800,000	private
UND	Energy Improvement Program (SB 2023)	3,990,785		3,990,785	
NDSU	Energy Improvement Program (SB 2023)	296,348		296,348	

NORTH DAKOTA UNIVERSITY SYSTEM
2001-2003 Campus Major Capital Projects (SB 2003, 2021 and 2023)
SBHE Priority List

	Project Description	Cost			Other
		State Bonding	Other	Total	Source
NON-STATE GENERAL FUND PROJECTS TO BE INCLUDED IN 01-03 BUDGET REQUEST					
UND	Memorial Student Union Renovation (SB 2023)		3,500,000	3,500,000	revenue bonds
	Carnegie Library Renovation (SB 2003)		3,000,000	3,000,000	private
	Smith Hall - Johnstone Hall - Fulton Hall Renovations (SB 2003)		6,500,000	6,500,000	auxiliary
	Wilkerson Hall Loading Dock Renovation (SB 2003)		1,700,000	1,700,000	auxiliary
	Rural Technology Center Addition (SB 2003)		3,800,000	3,800,000	gifts/grants
	Rural Technology Center-Canopy Addition (SB 2003)		60,000	60,000	private
NDSU	New College of Business Office & Classrm Bldg (SB 2003)		20,000,000	20,000,000	private
	New Residence Hall (SB 2023)		11,000,000	11,000,000	revenue bonds
	Robinson Hall Renovation (SB 2137)		550,820	550,820	fed/local
	New Student Housing Apartment Building (SB 2137)		2,310,000	2,310,000	insur/other local
NDSCS	Parking Lot 2A, 2B, 2C, & 2D Renovation (SB 2023)		1,000,000	1,000,000	revenue bonds
	Student Union Remodeling (SB 2023)		3,300,000	3,300,000	revenue bonds and local funds
	Blikre Activities Center Floor Replacement & Addition (SB 2003)		531,720	531,720	private
	Skills Technology and Training Center Renovation (SB 2003)		385,000	385,000	grant
MaSU	Fieldhouse Renovation (SB 2003)		4,000,000	4,000,000	private
Experiment Stations	Agronomy Seed Farm-New Grain Storage Hopper Bins		60,000	60,000	income
	Agronomy Seed Farm-New Bulk Seed Handling		45,000	45,000	income
	Carrington-New Bison Research Facility	(SB 2021)	130,000	130,000	private/grants
	Dickinson-Agronomy Work Lab Addition				oil revenue
	Dickinson- New Chemical Storage Building				oil revenue
	Williston-Land				income
TOTAL NON- STATE GENERAL FUND PROJECTS		$0	$61,872,540	$61,872,540	

NORTH DAKOTA UNIVERSITY SYSTEM

The NDUS endorses the following proposals included in Engrossed SB2003 which are consistent with the Roundtable expectations and recommendations:

- Treats income, including tuition, the same as other income generated on the campuses;
- Consolidated the number of line items in the campus budgets;
- Provides partial block-grant funding to the SBHE;
- Includes accountability measures adopted by interim committee and supports continued implementation and review of the measures through a roundtable format;
- Includes authorization for a number of major capital projects and capital improvement projects funded from non-state sources;
- Funds investments in technology (statewide network, Internet II, distance learning) +6.0 ($2.0 million of which is dedicated to K-12 and state agencies);
- SBHE discretionary funds to support Board and statewide initiatives + $445,000 (total+$2.3 M);
- Funding for campus parity and equity +$2.3 M;
- Funding for campus new programs and initiative start-up costs $2.3 M;
- Matching funds for research (EPSCoR-like programs) +$2.0 M (total=$4.0 M);
- Investments in increased student financial aid and access programs +$1.67 M (total=$5.M);
- Funding for salary equity for faculty and staff retention and recruitment $1.0 M;
- Deficiency appropriation funding for UND and NDSU $4.7 M;
- Investments in technology infrastructure to support global competitiveness and distance learning-ERP (SB 2022) $11.5 M

NORTH DAKOTA UNIVERSITY SYSTEM RESTORATION PLAN

2001-03 SCHEDULE OF SUGGESTED AMENDMENTS TO SB2003, 2021 AND 2023

Final 03/01/2001

Proposed Fiscal Amendments to SB2003,2021 and 2023- State General Fund:

Restore budget to Gov. Hoeven's recommended general fund level ($1.0 M-SB2003 and $181,500-SB2021)	$1,181,500
Continuation of 2nd year salary increase (00-01)	7,298,684
Reduce local fund match on renovation of Old Main at MiSU to SBHE request-SB2023 **	389,000
Network Costs (network costs increases, growth in distance learning and Internet II)-SB2003	1,800,000
Extra-ordinary repairs - SB2003	5,189,828
ODIN replacement (library network)-SB2003	2,000,000
Request to move faculty & staff salaries to 80% of regional average (amt. needed after 3/2% increase and cont'd 00-01 incr.)-SB2003	8,129,658
Other general fund/bonding projects per SBHE priority list-SB2003 or 2023	21,994,656

(Continued)

NORTH DAKOTA UNIVERSITY SYSTEM RESTORATION PLAN

2001-03 SCHEDULE OF SUGGESTED AMENDMENTS TO SB2003, 2021 AND 2023

Final 03/01/2001

Proposed Fiscal Amendments to SB2003,2021 and 2023- State General Fund:

(continued)

Technology applications/access to SBHE request	7,000,000
Equity and Special Needs Block Grant to SBHE request	1,880,000
Institutional Innovation to SBHE request	3,680,000
Public Agenda and Collaborative Initiative Block Grant to SBHE request	4,555,000
EPSCoR-like Programs to SBHE request	2,171,100
Student Financial Aid (State Grant, Scholar's, PSEP, Indian Scholarship) to SBHE request	630,000
Disabled Student Services to SBHE request	275,000
Agricultural Initiatives to SBHE request	2,237,263

NOTES:

** Old Main Renovation-MiSU

	General Fund/State Bonding	Local Funds
BHE request	$5,640,000	$2,210,000
Schafer Budget	5,076,000	2,774,000
Hoeven Budget	5,251,000	2,599,000
Senate Budget	5,251,000	2,599,000

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A North Dakota University System for the 21st Century

The Report of the Roundtable for the North Dakota Legislative Council Interim Committee on Higher Education

May 25, 2000

-SUMMARY-
Report of the Roundtable

Steps must be taken to ensure:

- **North Dakota's future is not an extension of the trends of the past,**
- **All of North Dakota must benefit from a stronger economy, and**
- **The economic vitality of North Dakota is closely linked to the North Dakota University System.**

Those were the three fundamental conclusions arrived at by a group of 61 state leaders who spent seven months studying global forces and projections, examining North Dakota's economic and demographic trends, and developing recommendations for creating a North Dakota University System for the 21st century.

Following is a summary of the key components of the Report of the Roundtable – *A North Dakota University System for the 21st Century*:

I. Legislative Initiative

The 1999 North Dakota Legislative Assembly passed a resolution directing a study of the North Dakota University System to specifically address:

1. The expectations of the NDUS in meeting the State's needs in the 21st century
2. Funding methodology needed to meet those expectations
3. Accountability system and reporting methodology

II. Roundtable Formed

A Roundtable was formed consisting of 61 state leaders – 21 legislators and an additional 40 leaders from the private sector, government, and education -- to assist the Interim Committee on Higher Education in conducting the study. (See Attachment for a listing of members).

III. The Challenge

Expectations. The Roundtable members were challenged by chairman, Senator David Nething, to look to the future, think outside the box, be bold but also realistic, be non-parochial, and bring forth a clear set of expectations to serve as the cornerstones upon which the North Dakota University System for the future should be built.

Accountability. The Roundtable was also asked to identify and agree upon a reasonable number of accountability measures for the University System to replace the extensive, and often conflicting, accountability measures currently being applied. The accountability measures identified were to be consistent with the expectations for creating a University System for the 21st century; i.e., high quality, responsive, entrepreneurial, flexible, and accessible. The desired result, as stated by the Roundtable, is a University System characterized by: ***"flexibility with accountability."***

IV. Goal of the Roundtable

The goal developed and agreed upon by the Roundtable is:

"To enhance the economic vitality of North Dakota and the quality of life of its citizens through a high quality, more responsive, equitable, flexible, accessible, entrepreneurial, and accountable University System."

V. Cornerstones

The Roundtable identified six key cornerstones on which to build a university system for the future. Those cornerstones are:

1. **Economic Development Connection-**
   Increase the direct connections and contributions of the University System to the economic growth and social vitality of North Dakota.
2. **Education Excellence-**
   Provide high quality education and skill development opportunities which prepare students to be personally and professionally successful, readily able to advance and change careers, be life-long learners, good citizens, leaders, and knowledgeable contributing members of an increasingly global and multi-cultural society.
3. **Flexible and Responsive System-**
   Create a University System environment which is responsive to the needs of its various clients and is flexible, empowering, competitive, entrepreneurial, and rewarding.
4. **Accessible System-**
   Create a University System which is proactively accessible to all areas of North Dakota and seeks students and customers from outside the state. It provides students, business, industry, communities, and citizens with access to educational programs, workforce training opportunities, and technology access and transfer – and does so with the same performance characteristics as described in the "Flexible and Responsive System" Goal.

5. **Funding and Rewards-**
   Develop a system of funding, resource allocation, and rewards which assures quality and is linked to the expressed high priority needs and expectations of the University System – assures achievement of the expectations envisioned.
6. **Sustaining the Vision-**
   Develop a structure and process which assures the University System for the 21st Century, as described by these goals, remains connected, understood, relevant, and accountable to the present and future research, education, and public service needs of the state and its citizens – sustaining the vision.

VI. Summary of Recommendations

The Roundtable, through the task forces for the six cornerstones, developed a total of 92 specific recommendations to implement the intentions and expectations called for in the six cornerstones. The 92 recommendations were condensed into 27 summary recommendations. The main thrusts of the collective set of recommendations of the Roundtable, by assigned responsibility, are:

State Board of Higher Education

1. Take the leadership in ensuring key steps required for implementation of the Roundtable are taken, specifically to develop and recommend (to the legislative and executive branches):
   - A long-term plan for the financing of the NDUS.
   - A resource allocation mechanism.
   - Accountability mechanisms, both performance and fiscal.
2. Change policies and procedures to empower campus presidents; grant to the campuses the same conditions being sought for the NDUS – flexibility with accountability.
3. Develop a University System which has intellectual capacity and programs aligned with the needs of the State.
4. Develop a delivery system capable of making the capacities of the NDUS accessible to all of North Dakota.
   - Learning Centers.
   - Distance delivery.
   - Collaborative delivery.
   - Duplicated programs where appropriate.
5. Cooperate with other participants in collectively moving the agenda forward.

Executive Branch

1. Assume the leadership in ensuring the necessary technology infrastructure is extended throughout North Dakota.
2. Work with the SBHE and the legislature in devising funding and accountability mechanisms and then:
   - Revise and simplify the budget process in conformance with these agreements.

- Modify accountability mechanisms – both performance and fiscal – to make them consistent with those of the other key actors.

3. Be a full participant in efforts to communicate to the public and other audiences the message emerging from the Roundtable and to move the agenda forward.

Legislature

1. Work with the Executive Branch to ensure the necessary technology infrastructure is extended throughout North Dakota
2. Work with the SBHE and the Executive Branch to create agreed-upon funding and accountability mechanisms and then:
   - Modify budget and appropriation processes so they are consistent with the directions and expectations of the Roundtable.
   - Utilize the agreed-upon accountability process.
   - Bring the audit function into conformance with the intent of the Roundtable.
3. Take steps to ensure actions of the legislature and its staff reflect a relationship with NDUS which grants flexibility with accountability.
4. Participate with other entities in communicating the agenda which has emerged from the Roundtable and in continuing the process in future years.

The NDUS

1. The NDUS take steps to ensure the collective capacity of its campuses – intellectual assets and programs – are aligned with the needs of the State and its citizens. In this regard, find ways to utilize the strengths of tribal colleges, private institutions, and other providers to expand the educational asset base available to the citizens of North Dakota.
2. Expand the definition of institutional clients to include non-traditional students, employers, and other groups in addition to the traditional student body which has been the hallmark of the NDUS.
3. Create a delivery system which can make these intellectual assets accessible to citizens throughout the State.
   - Learning Centers
   - Technology
4. Provide the staff leadership necessary to create new financing, resource allocation, and accountability mechanisms.
5. Create a culture, policies, and practices which support and reward entrepreneurial behavior and responsiveness to clients on the part of campus leaders and staff.
6. Develop the information systems and processes to ensure accountability can be (and is) demonstrated in accordance with the agreed-upon measures.

Campuses

1. Create unique, high quality institutional strengths – capacities which serve to make the NDUS, as a system, a stronger enterprise and one which is aligned with the needs of the State and its citizens.

2. Collaborate with others in utilizing these strengths in ways which serve the identified needs of clients throughout the State. Minimize the barriers to accessing these assets.
3. Develop internal values, policies, and behaviors which encourage and reward entrepreneurship and responsiveness to the needs of clients.
4. Strengthen ties to clients, engaging them in meaningful relationships and developing mutually rewarding partnerships. Become engaged campuses.
5. Develop academic programs which help students understand the application of their knowledge at places of employment and in the larger society.
6. Put in place those mechanisms to ensure their end of the "flexibility for accountability" agreement is upheld.

Private Sector

1. Work with institutions to ensure educational providers understand expectations regarding skills and knowledge of college graduates.
2. Collaborate with institutions in ensuring students gain an appreciation for application of their learning – internships, mentorships, etc.
3. Participate in statewide efforts to expand and diversify the economy of the State.

VII. Summary of Accountability Measures

The Roundtable also identified a total of 84 potential accountability measures for which the University System would be accountable. Those 84 were condensed into 34 accountability measures for the six cornerstones and are presented in the Roundtable Report.

VIII. Complete Roundtable Report

The results of the study by the Roundtable including the cornerstones, specific recommendations and accountability measures, are published in a report titled, "A North Dakota University System for the 21st Century, May 25, 2000." The Report is available through the North Dakota Legislative Council, the University System Office, or from any of the NDUS campuses. The Report is also available on the Web at: www.ndus.edu

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8/21/00

Higher Education Roundtable

(Listed by Task Force)

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Flexible and Responsive System

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*Ryan Bernstein is assigned to the Education Excellence Task Force and will also serve at large on the Economic Development Connection and the Funding and Rewards Task Forces

*Maggie Clemens is assigned to the Accessible System Task Force and will also serve on the Flexible and Responsive System and the Sustaining the Vision Task Forces.

Report of the Roundtable

A North Dakota University System for the 21st Century

Reasons for the Study

- 20 years since legislative study
- North Dakota not keeping pace
- Higher Education system is single most effective source
- Financial health of campuses related to economic health of state
- Lack of true buy-in to six-year plan
- Expectations increased to unrealistic number

Legislative Initiative

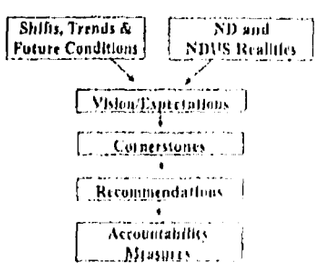
1999 N.D. Legislative Assembly passed a resolution directing study of the NDUS to specifically address:

- **Expectations of the NDUS in meeting state's needs in the 21st century**
- **Funding methodology to meet expectations**
- **Accountability system and reporting methodology**

Roundtable Members

- **61 state leaders**
  - **21 legislators**
  - **40 leaders from the private sector, government and education**

The Process



Early Conclusions

Steps must be taken to ensure:

- **North Dakota's future is not an extension of the trends of the past**
- **All of North Dakota must benefit from a stronger economy**
- **The economic vitality of North Dakota is closely linked to the North Dakota University System**

Goal of the Roundtable

To enhance the economic vitality of North Dakota and the quality of life of its citizens through a high quality, more responsive, equitable, flexible, accessible, entrepreneurial and accountable University System.

Themes

- **Accountability with flexibility**
- **A new relationship based on trust**
- **Clear vision**
- **Agreed-upon expectations**
- **Explicit accountability measures**

Six Cornerstones

- **Economic Development Connection**
- **Education Excellence**
- **Flexible and Responsive System**
- **Accessible System**
- **Funding and Rewards**
- **Sustaining the Vision**

Summary Recommendations State Board of Higher Education

- **Implement roundtable recommendations**
- **Empower campus presidents**
- **Develop University System in which intellectual capacity and programs are aligned with needs of the state**
- **Develop a delivery system which makes capacities of NDUS accessible to all of North Dakota**
- **Cooperate in moving agenda forward**

Funding and Rewards Roundtable Recommendations

Major Themes:

- **Maximize other sources of revenues**
- **Keeps access affordable**
- **Reasonable sharing of financial responsibility**

The Funding Methodology Should:

- **Promote state objectives**
- **Sustain institutions, including a strong liberal arts education**
- **Maintain physical assets**

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- Accessible System
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- Sustaining the Vision

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- Keeps access affordable
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The Funding Methodology Should:

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- Sustain institutions, including a strong liberal arts education
- Maintain physical assets

Use and Management of Resources

- SBHE and campuses have flexibility with accountability
- Delegate responsibility for agreed accountability
- Encourage campuses to act entrepreneurially
- Reward collaboration
- Reward performance

Accountability

- Performance
- Agreed upon fiscal and non-fiscal measures

Funding Mechanism Solution

Three Components:

- Base funding to sustain each campus
- Incentive funding to promote State priorities
- Asset funding for physical assets

Summary Recommendations for:

- State Board of Higher Education
- Executive Branch
- Legislative Branch
- NDUS
- Campuses
- Private Sector

Recommendations to the Board

- Maintain affordability
- Enhance ability to serve students
- Maximize other resources
- Revise policies to provide flexibility to campuses

Recommendations to the Legislature

- Block grant appropriation to Board and/or campuses
- Funding for Board for investment in statewide initiatives
- Funding for campus special initiatives

Recommendations to Executive and Legislative Branches

- Treat tuition like other income and specifically appropriate General Fund only
- Eliminate restrictions on pay practices
- Provide maximum spending flexibility within base-funding appropriation
- Approve construction of new facilities and major renovations

SBHE, Legislature and Executive Branch:

- Agree on accountability measures
- Legislature and auditor revise audit process consistent with flexibility, accountability and materiality

Board Progress to Date

- Board endorsed Roundtable Report and is aggressively implementing the recommendations
- Board endorsed bills to implement roundtable recommendations
- Board objectives based on the recommendations

Action Plans

Designed to implement each board objective

Objective #1-a: Financial Accountability Measures

- Interim legislative committee developed and adopted financial accountability measures
- A progress report issued by year-end 2001
- Upgraded information management systems critical

Objective #1-b: Non-Financial Accountability Measures

- Data gathering underway through the Apollo Project
- Initial accountability report published by year-end 2001
- Upgraded information management systems critical
- FINDET system key to obtaining accountability data

Objective #2: Remove Barriers

- NDBHE has enacted policies to:
  - -- streamline program approval process
  - -- streamline fee approval process & bidding and purchasing process
  - -- reduce board review of operational decision-making
  - -- make distance education tuition rates market-driven

Objective #3: Develop a Long-term Financing Plan

- National comparative data presented to NDBHE by NCHEMS
- Campus plans finalized in Summer 2001

Obj. #4: Develop Resource Allocation Mechanism

- Draft resource allocation model and peer comparator list presented to NDBHE in December 2000
- Final resource allocation model and peer comparator list presented Summer 2001

Objective #5: Align NDUS with Needs of the State

- Campus strategic plans aligned with six cornerstones and NDUS strategic plan
- Research and development summit will be held next week
- NDBHE approved several programs to expand technology and E-commerce programs

Obj. #6: Increase Access to Educational Opportunities

- System distance education coordination function created
- Gap analysis will identify geographic and programmatic needs
- Statewide AA degree available Fall 2001
- Statewide BA degree available Fall 2002
- Support for faculty development to use technology in instruction

Objective #7: Sustain the Vision

- Held roundtable briefings
- Developed new mission and vision statements
- Developing a new strategic plan
  - -- Comparison report completed
  - -- Cornerstones adopted as goals
  - -- Campus strategic plans aligned with cornerstones
- Developing a communication plan
- Convene roundtable meeting in 2001

Objective #8: Develop Needs-Based Budget

- Budget request focused on implementation of roundtable recommendations
- Legislative testimony based on cornerstones

Objective #9: Conduct Board Self-Assessment

- Contracting with NCHEMS
- Process to be completed by July 2001
- *Did we do what we said we were going to do?*

Mission Statement

The mission of the North Dakota University System is to enhance the quality of life of all those we serve and the economic and social vitality of North Dakota through the discovery, sharing and application of knowledge.

Vision Statement

The North Dakota University System is the vital link to a brighter future.

A Brighter Future for:

- Our students
- The citizens of North Dakota
- All those we serve

A Brighter Future through:

- A University System where students have the opportunity to receive the education necessary to be professionally and personally successful
- High quality, innovative learning opportunities tailored to the needs of students and other clients and readily accessible to all learners in the state

A Brighter Future through:

- The creation of strategic alliances with economic entities in the state and being a major player and primary engine in impacting the economic and demographic trends
- A University System which is a solid investment for the state and is seen as such by its citizens

For more information

About the Report of the Roundtable

visit the NDUS website at: www.ndus.nodak.edu

Roundtable Report Testimony

Provided to:

The Senate Appropriations Committee

Presented by:

Beverly Clayburgh, State Board of Higher Education Member

January 8, 2001

Good afternoon, Mr. Chairman and members of the Senate Appropriations Committee. My name is Beverly Clayburgh, and I'm a member of the State Board of Higher Education.

I will keep my comments brief, but the board has asked me to express our appreciation to the Legislative Council Interim Committee on Higher Education for including us in this very important process. As you know, the Roundtable Report is the result of a tremendous collaborative effort by the executive branch, the legislative branch and private industry. As a member of the State Board of Higher Education, I am very proud of what we have accomplished through our teamwork.

The board is very committed to the roundtable recommendations; we voted to aggressively implement them. The board has established nine specific objectives with detailed action plans. The campuses also are developing plans based on the roundtable cornerstones. Finally, the board has endorsed all six bills submitted by the interim Committee on Higher Education.

Thank you again for allowing the board to be a part of the roundtable process. I'd be pleased to respond to questions or comments from the committee.

Prepared by the North Dakota Legislative Council staff for Senate Appropriations
January 8, 2001

EXCERPT FROM THE FINAL REPORT OF THE HIGHER EDUCATION COMMITTEE
Higher Education Funding Study

Section 19 of 1999 House Bill No. 1003 directed a study of higher education funding. The study was to solicit input from the Governor, State Board of Higher Education, executive branch, University System campuses, and representatives of business and industry and address:

- The expectations of the University System in meeting the state's needs in the 21st century;
- The funding methodology needed to meet these expectations and needs; and
- The appropriate accountability and reporting system for the University System.

In addition, pursuant to NDCC Section 15-10-14.2, the committee was assigned the responsibility to receive reports from the State Board of Higher Education with respect to the status of the University System, including progress in meeting goals and objectives.

Higher Education Roundtable

A Higher Education Roundtable consisting of the 21 members of the Higher Education Committee and 40 representatives from the State Board of Higher Education, business and industry, higher education institutions including tribal colleges and private colleges, and the executive branch was formed to address the expectations and needs of the University System in meeting the state's needs in the 21st century. The University System contracted with Mr. Dennis Jones, President, National Center for Higher Education Management Systems, Boulder, Colorado, and Dr. Charles Schwahn, Schwahn Leadership Associates, Custer, South Dakota, for consulting services and to facilitate roundtable discussion and recommendations.

The University System received financial support for the Higher Education Roundtable from the Western Interstate Commission for Higher Education and the Western Policy Exchange, supported by funding from the Kellogg and Ford Foundations.

The Higher Education Roundtable with assistance from the facilitators conducted meetings in Jamestown, Carrington, and Rugby and completed the following:

1. Discussed shifts, trends, and future conditions that impact the state of North Dakota and the University System.
2. Discussed realities relating to the state of North Dakota and the University System.
3. Developed a vision and expectations for the University System.
4. Developed recommendations concerning higher education in North Dakota.
5. Developed accountability measures and success indicators that correspond with the expectations for the University System.

Shifts, Trends, and Future Conditions

The Higher Education Roundtable received information from the facilitators regarding the following shifts, trends, and future conditions that are redefining life, opportunities, challenges, organizations, and careers and impacting the state of North Dakota and the University System:

- Change is our only constant.
- Quality is an entrance requirement.
- Lifelong learning is required of everyone.
- Customers demand value.
- Quality and success are transitory.
- Competence must be considered as capital, knowledge as power.
- The anywhere, anytime workplace is here.

North Dakota Realities

The Higher Education Roundtable received information from the facilitators regarding the following realities facing the state of North Dakota and the University System:

- North Dakota's population is static in size, getting older, and becoming more concentrated in Fargo, Grand Forks, Bismarck, and Minot.
- North Dakota has higher college participation rates than all the surrounding states except Minnesota and Iowa.
- The number of North Dakota high school graduates is projected to decline by more than 1,000 over the next 12 years.
- The proportion of the state's tax revenue allocated to higher education is well above the national average while the overall level of total support provided on a per student basis, which includes tuition and state appropriations, is well below the national average.
- The share of the cost being borne by students has increased considerably in recent years, although tuition relative to family income is not above the national average.

North Dakota University System Expectations

The Higher Education Roundtable, based on its discussions of the shifts, trends, future conditions, and realities facing the state of North Dakota, by consensus, identified that the University System should:

1. Serve an expanded client base, including nontraditional students, lifelong learners, communities, businesses and industries, and should provide rewards and incentives for doing so.
2. Be accessible to clients, considering alternative methods of delivery.
3. Be affordable based upon the client's ability to pay.
4. Be customer-centered and have procedures to "connect" to its customers.
5. Be a high-quality system that emphasizes learner outcomes, high-quality faculty and staff, and current technology.
6. Function as a system where the resources of the system are used to respond to customer needs and the funding mechanism encourages this behavior.
7. Strive to eliminate borders, including the recruitment of out-of-state students, distance education development, and global thinking.
8. Be flexible, responsive, entrepreneurial, and accountable.

North Dakota University System Goal

The Higher Education Roundtable by consensus identified the goal of the University System to be "to enhance the economic vitality of North Dakota and the quality of life of its citizens through a high-quality, more responsive, equitable, flexible, accessible, entrepreneurial, and accountable University System."

Task Force Process

To assist the University System in meeting the established goal, six task forces composed of Higher Education Roundtable members were formed to study the following key areas or "cornerstones" that emerged from Higher Education Roundtable discussions:

- Economic Development Connection - Direct connections and contributions of the University System to the economic growth and social vitality of North Dakota.
- Education Excellence - High-quality education and skills development opportunities that prepare students to be personally and professionally successful, readily able to advance and change careers, be lifelong learners, good citizens, leaders, and knowledgeable contributing members of an increasingly global and multicultural society.
- Flexible and Responsive System - A University System environment responsive to the prioritized needs of its clients and that serves as a model of a flexible, empowering, competitive, entrepreneurial, and rewarding organization for a new economy in a rural state.
- Accessible System - A University System that is proactively accessible to all areas of North Dakota and seeks students and customers from outside the state. It provides students, business, industry, communities, and citizens with access to educational programs, work force training opportunities, and technology access and transfer.
- Funding and Rewards - A system of funding, resource allocation, and rewards which assures quality and is linked to the expressed high-priority needs and expectations of the University System.
- Sustaining the Vision - A structure and process that assures the University System for the 21st century remains connected, understood, relevant, and accountable to the present and future research, education, and public services needs of the state and its citizens.

The task forces, chaired by legislative committee members, met in early 2000 and with the assistance of the facilitators developed, by consensus, the following recommendations:

Economic Development Connection

1. High-potential primary sector business alliances and partnerships should be actively pursued.
2. Planning and working relationships with local and state development organizations should be strengthened.
3. Program offerings and delivery capabilities should be developed to close the gap between the demand for individuals with technical educational knowledge and skills and the number of such graduates available within the state and nation.
4. Educational programs on the topic of entrepreneurship should be offered at every institution within the University System.
5. Institutions should utilize partnering entities to ensure that state-of-the-art technology is being used.
6. Partnerships with the tribal college should be established to deliver training to the reservations.
7. Opportunities should be developed which take advantage of the underemployed and unemployed work force on the reservations.

8. The development and operation of the statewide technology infrastructure should be viewed as a public utility.
9. Support for the work force training delivery system that was enacted by the 1999 Legislative Assembly should be continued.
10. Entrepreneurial behavior should be encouraged at each level of the University System.
11. The budget process, appropriation process, and audit function should be modified to be consistent with the direction of the roundtable.
12. Accountability measures must be agreed upon.
13. Technology must be viewed as a key component of the new economy and added to the "four-part economy" proposed in the Vision 2000 report.
14. High-potential research and development opportunities should be identified.
15. Campuses should be encouraged to maximize the potential of the "global" marketplace to the institution, the students, and North Dakota.

Education Excellence

Students and learning:

1. Institutions must be assertive in attracting, recruiting, registering, and retaining quality students.
2. There should be a clear tie between learner outcomes, workplace needs, and the values and attitudes required for living a full and rewarding life.
3. Students should experience the workplace as part of their quality education.
4. Colleges and universities should clearly identify course and program learner outcomes; instructors should teach those outcomes; and students should be required to demonstrate the outcomes.
5. Students should exit programs with the skills and attitudes to be lifelong learners.
6. Colleges and universities should partner with kindergarten through grade 12 in the development and implementation of education standards.

Faculty and teaching:

1. The University System should make teaching in the University System attractive so campuses can employ and retain a faculty of highest quality.
2. Faculty should regularly involve employers in determining learner outcomes.
3. Skills, attitudes, and strategies of the entrepreneur should be infused into courses and programs.
4. There should be indicators of quality and excellence for all learning experiences.
5. Faculty members and institutions should move from an accountability system focused on process and input to one focused on ends or outcomes.
6. Faculty members should continue to update their knowledge, skills, and teaching strategies.
7. Institutions and their faculties should be provided with state-of-the-art equipment and technology.
8. Colleges and universities should create a culture of continuous improvement.
9. Continuous improvement strategies should not be limited to instructional programs but include all aspects of university operations.
10. Courses and degree programs should focus on the economic and social needs of North Dakota as well as individual needs.
11. Colleges and universities should utilize information technology to provide easy access for rural populations, nontraditional students, out-of-state learners, and lifelong learners.
12. The University System and its campuses should maximize technology opportunities to improve instruction.

Research function:

1. The University System and its faculties should accept the charge to be a critical force in the economic well-being of North Dakota.
2. Research should be allowed to create business opportunities for researchers and entrepreneurs.
3. Research grants should be focused on the economic, social, and educational needs of North Dakota.
4. Faculty should be strongly encouraged, supported, and rewarded in their pursuit of research grants.
5. Students should gain practical research skills.
6. College and university faculty should serve as lifelong learning role models.

Service obligation:

1. Faculty and institutions should apply their knowledge and expertise to meet the real-world economic and social needs of North Dakota and its people.
2. Institutions should continue to provide high-quality cultural activities to the community.
3. The University System should be attractive and available to nontraditional students, and learner outcomes should be based on practical employment needs of the student.
4. The University System and campuses should take responsibility to keep academic

programs current and to discontinue programs that are no longer meeting a need.
5. The citizens of North Dakota should be able to view tangible forms of faculty and institution services provided to communities and to the state.
6. Institutions should serve the state by expanding their work force training services to business and industry.

Flexible and Responsive System

Concerning the culture, policies, and practices of the University System:

1. The State Board of Higher Education, the executive branch, the legislative branch, the business community, and campuses should make conscious efforts to build trusting relationships.
2. The University System and individual campuses should create policies, practices, and a culture that encourages and rewards entrepreneurial thinking.
3. Campus leaders should be given more control over and responsibility for their budgets.
4. Campuses should move from a seat time-based credentialing system to a results-based system of credentialing.
5. The University System should provide training necessary to improve the staff's ability to deliver up-to-date learning.
6. The formula for budget allocation should be changed to a system that encourages and rewards the meeting of the needs of nontraditional students, businesses, and industries.
7. The University System should not lose its focus on the traditional college student.
8. The University System should ensure that any movement toward flexibility and responsiveness be met with an equally strong commitment to quality.

Concerning customer/client/learner focus:

1. The University System through the use of technology should allow individuals to "learn anything, from anywhere, in any way, at any time."
2. The University System should create a "seamless" organization from the perspective of the student.
3. On-campus programs should be customer/learner focused, flexible, and responsive.

Concerning the University System's relationship to the business community:

1. Colleges and universities should identify their customers, customer needs, and delivery systems available to meet the needs.
2. Faculty and staff of the University System should continually update their knowledge, skills, and strategies to meet the needs of their customers/clients.

Accessible System

1. The State Board of Higher Education should designate or establish learner centers throughout the state to provide educational access to underserved areas.
2. Campuses must develop alternative delivery opportunities that are responsive to the needs of all students.
3. The University System must develop and offer programs that are responsive to the needs of the state and are consistent with market trends of the future.
4. Tribal and private colleges should be partners with the University System in meeting educational access needs of the state.
5. Communities and the private sector should partner with the University System to meet local training and educational needs.
6. State government should be responsible for ensuring that affordable broadband high-speed Internet access is available to all citizens throughout North Dakota.
7. The University System should partner with kindergarten through grade 12 to ensure that students leave school systems with the knowledge and skills necessary to function effectively as college students.
8. The funding practices should be modified to encourage multicampus collaboration, to recognize the constituents served, to encourage new delivery methods, and to balance funding so student costs remain affordable to North Dakota citizens.
9. The State Board of Higher Education should review and modify tuition rates to remain competitive in the global marketplace and expand the client base.
10. The University System should modify its administrative information systems and fiscal practices to support the expanding client base and alternative education delivery methods.
11. The State Board of Higher Education and the campuses should modify their procedures to support the values of the roundtable.
12. The State Board of Higher Education should recommend a fiscal accountability report that is consistent with the new funding model and the values of the roundtable.
13. The University System should take a leadership role in creating an easily accessible

directory of education, research, and other higher education services.

Funding and Rewards

1. The State Board of Higher Education and the chancellor should develop and recommend to the Legislative Assembly a financing plan to address the gap between current funding levels and resources needed to implement the recommendations of the roundtable, a resource allocation model, and mechanisms to demonstrate both performance and fiscal accountability. The funding plan should reflect a shared funding responsibility among all payers and make allowance for the need for institutions to fund plant asset depreciation.
2. The resource allocation model should be comprised of a base-funding component, an incentive/performance component, and an asset-funding component.
3. The Legislative Assembly should work with the University System to reach agreement on the proposed funding mechanism.
4. The Office of Management and Budget and the Legislative Assembly should revise the budget request process.
5. The executive and legislative branches should modify the budget and appropriation process.
6. The State Board of Higher Education should establish revenue structures and rates so that affordability of access to the University System is maintained, the campuses' abilities to serve students are enhanced, and the utilization of the state's investment is maximized.
7. The Legislative Assembly should provide lump sum base and strategic appropriations to the State Board of Higher Education and the institutions.
8. The executive and legislative branches should remove all income that is in addition to the state general fund appropriation from the specific appropriation process and modify processes to provide campuses budgetary flexibility.
9. The State Board of Higher Education should adopt the recommendations outlined in the "Sustaining the Vision" cornerstone.
10. The State Board of Higher Education should develop a consistent set of limited financial reporting measurements that will be used to measure the financial accountability of the campuses.
11. The Legislative Assembly and the State Auditor's office should revise the audit process.
12. The State Board of Higher Education should develop procedures that grant flexibility in the use of resources as long as an institution meets or exceeds expectations established by the board.
13. The State Board of Higher Education and campuses should revise board and institution policies and procedures to reflect the vision of the roundtable.
14. Campuses should allocate funds for maintenance of physical assets based on priorities established by individual campuses.

Sustaining the Vision

1. There should be a mechanism established for sustaining the work of the roundtable through an annual roundtable meeting.
2. The University System should take the initiative in arranging roundtable meetings with state agencies and other organizations.
3. The University System should develop or modify communication feedback systems to obtain essential information for monitoring and measuring progress on accountability measures.
4. The State Board of Higher Education should review the University System's current strategic plan (six-year plan) and redefine as necessary to incorporate the recommendations of the roundtable.
5. The University System should provide an annual performance and accountability report.
6. The State Board of Higher Education should provide a status report on higher education in the state to the Legislative Assembly.
7. The State Board of Higher Education and the chancellor's office should develop and implement a plan for communicating the results and recommendations of the roundtable.

The Higher Education Roundtable accepted the task force reports at its April 2000 meeting in Rugby and forwarded the recommendations to the Higher Education Committee for its consideration.

Higher Education Roundtable Recommendations Requiring Legislative Action

The committee reviewed the recommendations in the Higher Education Roundtable report which may require legislative action. The recommendations were in six areas--funding issues, information technology infrastructure, reporting and audit issues, research, sustaining the vision, and work force training. Following are summaries of the recommendations:

Funding Issues

- Modify and simplify the budget request and appropriation process to provide campus budgetary flexibility.
- Modify funding practices to encourage and reward multicampus collaboration and the meeting of the needs for students, businesses, and industries.
- Assist in making teaching in the University System attractive so campuses can employ and retain high-quality faculty, including providing state-of-the-art equipment and technology.
- Remove strong oversight and move from a means accountability system to an ends accountability system.
- Provide lump sum base and strategic appropriations to the State Board of Higher Education and institutions.
- Remove all income that is in addition to the state general fund from the appropriation process.
- Continue to approve the construction of new facilities and the major renovation of existing facilities.

Information Technology Infrastructure

- View the development and operation of the technology infrastructure as a public utility thereby ensuring affordable broadband, high-speed Internet access is available to all citizens in North Dakota.

Reporting and Audit Issues

- Reach agreement on financial and performance accountability measures.
- Revise the audit process.

Research

- Maximize research and development funding opportunities such as Experimental Program to Stimulate Competitive Research (EPSCoR) whereby funds are available to assist in research projects in North Dakota.

Sustaining the Vision

- Make a conscious effort to build trusting relationships.
- Provide the legislative changes to allow for the conversion from a "long-range plan" to a "strategic plan."
- Assist the State Board of Higher Education in scheduling a joint session of the Legislative Assembly during each legislative session at which the board can provide a status report on higher education in North Dakota.

Work Force Training

- Provide support for the work force training delivery system.

Constitutional Issues Related to Higher Education Roundtable Recommendations

The committee received information regarding constitutional issues relating to its study of higher education funding and the history of changes in the appropriation of special funds. The committee learned Section 6 of Article VIII of the Constitution of North Dakota provides that the State Board of Higher Education has "full authority over the institutions under its control with the right, among its other powers, to prescribe, limit, or modify the courses offered at the several institutions." Section 6 of Article VIII further provides that the "said state board of higher education shall have the control of the expenditure of the funds belonging to, and allocated to such institutions and also those appropriated by the legislature, for the institutions of higher education in this state; provided, however, that funds appropriated by the legislature and specifically designated for any one or more of such institutions, shall not be used for any other institution."

There have been no judicial decisions concerning the proposal to provide a lump sum or block grant appropriation to the State Board of Higher Education rather than individual institution appropriations. However, legislation passed in 1965 which attempted to authorize the construction of buildings on college campuses and leave it to the discretion of the State Board of Higher Education to determine which facilities and at which locations the buildings were to be constructed was challenged and taken to the Supreme Court. The Supreme Court decision stated the State Board of Higher Education is not vested with legislative powers, and the Legislative Assembly may not delegate legislative powers to the State Board of Higher Education. Supreme Court decisions indicate the Legislative Assembly may delegate certain responsibilities to other governmental entities if there are reasonably clear guidelines that provide adequate standards and procedural safeguards. The Supreme Court has also held that the Legislative Assembly cannot refuse to fund a constitutionally mandated function. Therefore, delegating to the State Board of Higher Education the authority to determine which institutions are to receive appropriated funds cannot be used in a manner that results in not funding one of the constitutionally created institutions.

In regard to continuing appropriations and changes in the appropriation of special funds, the committee learned Section 12 of Article X of the Constitution requires all public moneys to be deposited with the State Treasurer and disbursed only pursuant to a legislative appropriation. As a general rule, continuing

appropriations have not been favored. A recent court decision upheld a continuing appropriation and determined that continuing appropriations do not violate Article X, Section 12 or unconstitutionally bind future legislatures.

The Legislative Assembly does, however, have a history of legislative review and appropriation of special funds. Special fund appropriations have been added for various agencies and institutions to provide legislative control and oversight and to provide for a more all-inclusive statement of agency costs and of total state appropriations. Federal funds were first appropriated for the Department of Transportation and the University of North Dakota Medical Center for the 1967-69 biennium. Federal funds received by the Social Service Board and the Department of Public Instruction were first appropriated for the 1975-77 biennium. The funds from the state tuition fund were first appropriated for the 1979-81 biennium. The agricultural commodity groups were removed from the appropriation process in the 1993-95 biennium, and for the 1997-99 biennium, higher education local funds were appropriated for the first time. The 1999 Legislative Assembly provided an appropriation for three "funding pools" to the North Dakota University System which were to be allocated based on guidelines established by the Legislative Assembly as contained in 1999 House Bill No. 1003.

Financial Accountability Measurements

To assist in the development of financial accountability measurements for the University System, a subcommittee of the committee, the Financial Accountability Measurements Subcommittee, was formed that included some committee members, the chairmen of the Legislative Audit and Fiscal Review Committee and the Information Technology Committee, and private sector, higher education, and executive branch representatives. The development of acceptable financial accountability measurements was determined to be the key to allowing the University System the flexibility recommended in the Higher Education Roundtable report.

The Financial Accountability Measurements Subcommittee reviewed information regarding higher education measurement practices in other states and learned many states tie higher education accountability measurements to higher education goals, and many accountability measurements are related to student successes, access, and program reviews. The subcommittee developed financial accountability measurements, or annual performance indicators, for the University System in the areas of financing and financial management, faculty and staff excellence, research and development, and financial and statutory compliance. The performance indicators were linked to the expectations or performance standards for the University System included in the Higher Education Roundtable report. The committee accepted the recommended measurements that are discussed in the recommendation section of this report.

North Dakota University System Testimony Regarding the Higher Education Roundtable Report

The committee received testimony from a representative of the University System identifying the following State Board of Higher Education policy changes, statutory changes, campus changes, and changes to the higher education funding methodology to implement the roundtable recommendations:

State Board of Higher Education policy changes:

- Review institution missions to add special emphasis on technical education and work force development, entrepreneurship programs, and partnerships with tribes and opportunities for American Indians.
- Revise salary policies to encourage salary increases and other incentives based on outcomes.
- Update purchasing policies to permit greater flexibility.
- Review patent and copyright policies to encourage high-potential research.
- Review policies to streamline the program approval, termination, evaluation, and student proficiency processes, eliminate unnecessary reporting, provide institutions with greater flexibility to meet changing demands, and shift the focus to a results-based or outcomes-based accountability system.
- Review tuition policies to enhance ways to attract students.

Statutory changes:

- Recognize the University System as a unified system of higher education.
- Amend statutes relating to the powers of the State Board of Higher Education and institution missions to support a responsive, flexible, entrepreneurial, and accountable University System.
- Amend statutes relating to budget requests to eliminate excessive detail relating to the University System.
- Provide for lump sum appropriations to the State Board of Higher Education or to University System institutions, or both. Amend statutes to provide that tuition and other institution revenue are not specifically appropriated but are subject to a continuing appropriation and may be spent as approved by the State Board of Higher Education and to permit institutions to carry over funds from one biennium to the next.

- Amend statutes relating to the higher education system review and the six-year plan to provide for annual roundtable meetings, strategic planning, and a review involving both public and private sector leaders.
- Amend statutes relating to "fiscal irregularities" and appropriation measures to remove additional reporting requirements and restrictions on performance-based compensation or other incentives.
- Amend statutes relating to patents and copyrights to encourage more high-potential research.
- Amend statutes relating to buildings and capital improvements financed with private funds to clarify when the State Board of Higher Education may authorize improvements or renovations without Budget Section approval.

The committee learned the college and university presidents who were members of the roundtable provided suggestions to the University System on steps to be taken at the campus level to implement the roundtable recommendations. The State Board of Higher Education endorsed the Higher Education Roundtable report and has set nine objectives for its implementation. One of the objectives is to combine the University System's strategic plan and the Higher Education Roundtable report. The University System included in its 2001-03 biennium needs-based budget request two special funding pools--a public agenda and collaborative initiatives pool and an institutional innovation pool. The public agenda and collaborative initiatives pool would be allocated to fund initiatives and reward collaboration that reflects the theme of the roundtable report. The institutional innovation pool would be allocated to campuses to provide seed money for the implementation of the roundtable recommendations.

The committee learned the current higher education funding formula model was developed by the University System in collaboration with the Office of Management and Budget and the Legislative Council in the 1960s and was refined through a legislative study during the 1983-84 interim. The funding model is in large part driven by the number of traditional students enrolled and does not account for nontraditional enrollments such as on-line students or for the high-fixed cost of operations at some of the campuses. During recent bienniums, funding has been on an incremental basis with the formula calculations made but not used in determining budget requests.

The committee learned a new funding model could be developed consisting of three components--base funding, initiative funding, and asset funding. The base funding would be funding used to sustain the academic mission of an institution and could be provided as a lump sum appropriation to the campuses or the State Board of Higher Education. The level of funding would be based on external benchmark comparisons. Benchmark comparisons would replace the current per student cost comparisons made within the system as those comparisons tend to compare institutions with different missions and costs. The initiative funding would be funds allocated by either the Legislative Assembly or the State Board of Higher Education to support the priorities of the Higher Education Roundtable. The asset funding would be funding for the renewal and replacement of physical plant assets.

Statewide Information Technology Network

The committee received information from a representative of the Information Technology Department regarding the status of the statewide information technology network. The committee learned the Information Technology Department issued a request for proposals (RFP) for the statewide information technology network in March 2000. The RFP was comprised of four parts--transport and local access, customer premises equipment, Internet access, and video bridging and scheduling. The department will award contracts for each portion of the RFP. The implementation of the transport and local access portion that involves connecting 194 cities and 552 physical locations across the state was separated into two phases. The first phase of the implementation expected to be completed by December 2000 involves 64 cities and 218 physical locations and is anticipated to cost approximately $3 million. The Information Technology Department has financed $2 million of the anticipated cost with a three-year loan that will be repaid during the 2001-03 and 2003-05 bienniums using funds received from agency billings, and the remaining $1 million will be paid by using funds collected from 1999-2001 biennium agency billings. The second phase of the implementation which connects the remaining locations will be completed during the 2001-03 biennium. The 2001-03 biennium statewide information technology budget request, which includes implementation and reoccurring transport and local access costs, is approximately $21 million and is primarily funded from the general fund with a possibility of receiving an e-rate credit of $3.5 million. The budget may be recommended in various agency budgets including the Information Technology Department, the University System, and the Department of Public Instruction.

University System's Strategic Plan 1998-2004

The University System completed a strategic plan for the six-year period 1998-2004 in accordance with

NDCC Section 15-10-14.2. The purpose of the plan was to continue the process of developing a public agenda for higher education in North Dakota and articulate the proposed vision, mission, goals, and funding needs for the University System to the year 2004. The plan detailed the following seven goals of the University System:

1. Education excellence - To strive for excellence and improve quality learning for students which ensures knowledge and competency in their chosen discipline and emphasizes strong communications skills, analytical thinking, use of technology, and interpersonal skills.
2. Technology and access - To emphasize enhanced use of technology to improve access to programs and services and as a regular component for instructional services and research.
3. Relevant programs - To align programs and services with student interests and with current and future needs of business, communities, and the state, including cultural, social, and citizenry components.
4. Leadership in research - To provide leadership in addressing the high-priority research and development needs and opportunities of the state.
5. Learning environment - To provide an up-to-date and innovative environment for students, employees, and the public and an environment that supports learning, research, and public service.
6. Documented performance - To document the performance and effectiveness of the University System.
7. Collaboration - To improve educational opportunities and services among the campuses, kindergarten through grade 12, and other entities through cooperation and collaboration.

Pursuant to NDCC Section 15-10-14.2, the committee met with the Governor to receive a report from a representative of the State Board of Higher Education regarding the progress toward meeting the goals and objectives in the University System's strategic plan. The report provided information regarding University System and individual campus successes toward the implementation of the goals specifically identified in the University System's strategic plan. The committee learned the seven initial goals identified in the University System's strategic plan were expanded into 23 University System and 313 individual campus strategies which were reviewed and approved by the State Board of Higher Education and include specific measurements, indicators, and timelines.

Committee Recommendations

The committee accepted the Higher Education Roundtable May 2000 report and the recommendations of the Financial Accountability Measurements Subcommittee, and recommends the following bills:

- Senate Bill No. 2037 to provide a continuing appropriation for all funds in higher education institutions' special revenue funds including tuition and to allow institutions to carry over at the end of the biennium unspent general fund appropriations.
- Senate Bill No. 2038 relating to the requirements of the University System's budget request and appropriation. The budget request for the University System would include budget estimates for block grants for a base-funding component and for an initiative-funding component for specific strategies or initiatives and a budget estimate for an asset-funding component for renewal and replacement of physical plant assets at the institutions of higher education. The appropriation for the University System would include block grants to the State Board of Higher Education for a base-funding appropriation and for an initiative-funding appropriation for specific strategies or initiatives and an appropriation for asset funding for renewal and replacement of physical plant assets.
- Senate Bill No. 2039 to allow the State Board of Higher Education to authorize campus improvements and building maintenance projects that are financed by donations, gifts, grants, and bequests if the cost of the improvement or maintenance is not more than $500,000. Buildings financed by donations, gifts, grants, and bequests would continue to require Budget Section approval.
- Senate Bill No. 2040 to allow the University System to provide bonuses, cash incentive awards, and temporary salary adjustments without reporting the activity to the Office of Management and Budget as a fiscal irregularity.
- Senate Bill No. 2041 to recognize the institutions under the control of the State Board of Higher Education as the North Dakota University System, and to require the University System to develop a strategic plan which defines University System goals and objectives and to provide an annual performance and accountability report regarding performance and progress toward the goals and objectives.
- Senate Bill No. 2042 to amend and repeal statutes relating to the powers of the State Board of Higher Education and the duties and responsibilities of institutions under the control of the

State Board of Higher Education which are no longer appropriate.

The committee recommends the financial and nonfinancial accountability measurements be reported annually at the University System level, the State Board of Higher Education be responsible for expanding and refining the measurements to evaluate the individual institutions of higher education, that trend information be presented for a 6- to 10-year period depending on the nature of the performance indicator and the information presented, and that the areas be audited as deemed necessary by the Legislative Assembly.

Higher education financial accountability measurements:

Expectations (Performance Standards)	Annual Performance Indicators
Financing and Financial Management The University System develop a long-term plan for financing the higher education system that addresses any funding gap between current resources and needs, reflects a shared funding responsibility among the state, students, private sector, donors, local governments, communities, and campuses and allows for the funding of plant asset depreciation.	A status report on higher education financing as compared to the long-term financing plan.
The University System ensure: • Base funding provided to individual institutions is adequate and provides stable funding consistent with the mission of the campus and is responsive to changing priorities.	Base funding levels and uses including trends in base funding.
• Incentive funding pools meet the guidelines established by the Legislative Assembly, the Higher Education Roundtable recommendations, and State Board of Higher Education priorities.	Incentive funding information, including: • Allocation, use of, and results of incentive funding. • Incentive funding as a percentage of the higher education budget.
• Asset funding provided to individual institutions is used in conjunction with other funds for capital renewal and addressing deferred maintenance.	Value of institutional buildings, funds spent on renewal or updates as compared to depreciation, and the status of deferred maintenance including a comparison of the funds appropriated for deferred maintenance to actual funds spent on deferred maintenance. Deferred maintenance ratio measures the size of the University System's outstanding maintenance as compared to its expendable net assets: $\frac{\text{Deferred Maintenance}}{\text{Expendable Net Assets}}$
• Funds appropriated by the Legislative Assembly for the construction of new facilities and the major renovation of existing facilities are used in a cost-effective manner and within statutory building authority lease payment limitations.	Report on new construction and major renovation capital projects for which specific appropriations are made, including budget to actual comparison, use of third-party funding, and related debt.
• Institutions are funded on an equitable basis.	Funding levels of institutions or other selected indicators as compared to peer institutions.
The University System identify and maximize all financial resources available to support the University System, including:	The amount and trends of funding from all financial sources.
• Internally generated revenue.	Operating income ratio measures how inflows from fees for services provided contribute to the University System's overall funding: $\frac{\text{Operating Income}}{\text{Educational and General Expenses}}$
• Externally generated revenue.	Contributed income ratio measures how externally generated resources other than debt contribute to the University System's overall funding: $\frac{\text{Contributed Income}}{\text{Educational and General Expenses}}$

Expectations (Performance Standards)	Annual Performance Indicators
• Revenue generated from the state.	State general fund appropriation levels and trends as compared to changes in the state's economy and total state general fund appropriations. Trend report on per capita and per student appropriations for higher education.
• Debt issued to support University System operations.	The amount of debt incurred and supported in relation to limitation requirements. Debt coverage ratio demonstrates the portion of net income available to meet the debt burden should economic conditions change: $\frac{\text{Adjusted Change in Net Assets}}{\text{Debt Service}}$
• Tuition and fees.	Affordability index detailing: • Tuition and fees on a per student basis and total cost of attendance compared to peer institutions. • Tuition and fees as a percentage of median North Dakota household income. • Student affordability considering financial aid.
The University System use moneys appropriated from the general fund and other income, including tuition in a cost-effective manner in meeting the recommendations identified in the Higher Education Roundtable report including the following areas:	Trend reports on the distribution of expenditures by function.
• Funding for core education services.	Educational core services ratio provides information regarding the portion of total funds being used for instruction, research, and public service: $\frac{\text{Educational Core Services Expenses}}{\text{Educational and General Income (all funding excluding capital and debt service amounts)}}$
• Funding for educational support services.	Educational support services ratio provides information regarding the portion of total funds being used for academic support and student services: $\frac{\text{Educational Support Expenses}}{\text{Educational and General Income (all funding excluding capital and debt service amounts)}}$
• Funding for general support services.	General support ratio provides information regarding the portion of total funds being used for institutional support, operations, and maintenance of physical plant: $\frac{\text{General Support}}{\text{Educational and General Income (all funding excluding capital and debt service amounts)}}$
• Investment in equipment and technology.	Equipment expenditure ratio provides information regarding the portion of equipment inventory replaced: $\frac{\text{Annual Expenditures for Equipment Replacement}}{\text{Equipment Inventory Value}}$
The University System be financially sound and viable.	Financial ratios and other financial information which would indicate: • Viability ratio measures the ability of expendable net assets to cover debt. • Primary reserve ratio measures the ability to continue to operate without additional net assets. • Return on net assets ratio measures the changes in net assets. • Net income ratio measures surpluses or deficiencies. • Debt, assets, and end-of-year fund balances.

Expectations (Performance Standards)	Annual Performance Indicators
Faculty and Staff Excellence The University System should strive for a quality-focused, productive, and rewarded faculty and staff.	Faculty and staff trend information, including: • Ratio of faculty and staff to students. • Faculty and staff turnover rates and major reasons. • Faculty and staff salary levels, including annual average salary increases and comparisons with peer institutions.
Research and Development The University System should focus research and development on the economic and social needs of North Dakota, increase public-private North Dakota research and development partnerships, and reward faculty for research and development efforts.	Research and development efforts trend information, including: • Total funding received for research, including federal, state, local, and private sources. • Revenue generated or additional funding earned by research and development projects. Research expenditure ratio measures the amount of research expenditures per faculty FTE: $\frac{\text{Research Expenditures}}{\text{Faculty FTE}}$
Financial and Statutory Compliance The University System comply with related state laws and generally accepted accounting principles.	Annual audit report, with an unqualified opinion, that identifies suggested material areas of improvement to the University System's financial operations.
The State Auditor's office use performance audit standards reported in biennial audits that: • Represent a balanced approach identifying appropriate financial noteworthy accomplishments and successes. • Address University System compliance with legislative intent. • Concentrate on high-risk areas of institutional operations.	Biennial audit report reflecting: • Budget to actual appropriation statements. • Appropriate financial noteworthy accomplishments and successes. • A reduction in material areas of statutory noncompliance. • University System compliance with suggested significant areas of improvement.

Higher education nonfinancial accountability measurements:

Expectations (Performance Standards)	Annual Performance Indicators
Economic Development Connection The University System:	
• Respond to the current work force needs of employers.	Trends in the number and percent of businesses and employees in the region receiving training. (Duplicate: see also Accessible System)
	Levels of satisfaction with training events as reflected in information systematically gathered from employers and employees receiving training.
• Encourage entrepreneurship.	Levels and trends in enrollment in entrepreneurship courses and the number of graduates of entrepreneurship programs.
• Use the colleges and universities as a direct source of economic development in all areas of the state.	Level and trends in percentage of University System graduates obtaining employment appropriate to their education in state and out of state.
	Levels and trends in partnerships and joint ventures between University System institutions and the following entities: • Business and industry. • Tribal colleges. • Private sector training providers. • Other University System institutions.

Expectations (Performance Standards)	Annual Performance Indicators
Education Excellence The University System:	
• Ensure students receive a quality education which prepares them to be readily employable, technically skilled, and personally successful.	Levels and trends in performance of students on nationally recognized exams in their major fields in comparison with national averages. Levels and trends in licensure pass rates in comparison to other states.
• Ensure faculty are respected practitioners and students of the future who establish ties between learner outcomes and workplace needs.	Levels and trends in alumni-reported satisfaction with preparation in: • Major. • The acquisition of specific basic and higher-order skills. • Level, currency, and relevance of computer technology knowledge and abilities in relation to expectations in the marketplace. Levels and trends in employer-reported satisfaction with preparation of recently hired college graduates.
• Encourage institutions and faculty to constantly seek a high-level of program excellence.	Maintenance of accreditation of programs and institutions by national and regional accrediting bodies and acquisition of additional accreditation where appropriate. Levels and trends in peer review process results for: • Grants. • Publications. Levels and trends in proportion of students achieving goals - Institution meeting the defined needs/goals as expressed by students.
Flexible and Responsive System The University System:	
• Encourage, support, and reward risk-taking, innovation, and change.	Proportion of University System decisionmakers (deans and higher levels) indicating whether "they can operate more flexibly now than in the past."
• Employ a customer or client focus, study and react to present and future needs of learners and business and industry, and tailor learning experiences to the needs of the learner.	Total number and trends in full-time, part-time, degree-seeking, and non-degree-seeking students being served. Number and trends regarding individuals, organizations, and agencies served through noncredit activities. Levels of satisfaction with responsiveness as reflected through responses to evaluations and surveys of clients: • Graduates and program completers. • Employers. • Business/program advisory councils. • Companies and employees receiving training. • Work force training boards. • Campus presidents' advisory councils. • Public school superintendents. • Economic development professionals. • Other client groups served. Levels of satisfaction and reasons for noncompletion as reflected in a noncompleters survey.
Accessible System The University System:	
• Identify and deliver education and research services throughout the state in numerous ways from a variety of providers.	Levels and trends in the proportion of residents of the state who are within a 45-minute drive of a location at which they can receive educational programs from a provider, including providers from outside the immediate region (and would also have access to academic and student support services at the site).

Expectations (Performance Standards)	Annual Performance Indicators
	Levels and trends in the number of enrollments in distance learning courses by in-state and out-of-state residents. Levels and trends in the number and proportion of enrollments in courses offered in nontraditional ways (i.e., place, time, and format).
• Support an expanded client base which includes students, business and industry, and all citizens of the state.	Levels and trends in rates of participation of: • Recent high school graduates; nontraditional students (typically part time and older than average). • Individuals pursuing graduate degrees. Trends in the number and percentage of businesses and employees in the region/state receiving training. (Duplicate: see also Economic Development Connection)
Sustaining the Vision The University System establish a mechanism for sustaining the work and implementing the recommendations from the Higher Education Roundtable report.	The extent to which the recommendations of the 2000 roundtable are implemented and the performance as reflected in the accountability measurements is improved: • An annual performance and accountability report directly linked to the expectations developed by the roundtable is developed and widely distributed. • An annual Higher Education Roundtable meeting(s) is held and accomplishes the purposes indicated in No. 1 of Sustaining the Vision recommendation. • A status report on the state of the University System is presented to the Legislative Assembly during each legislative session. • The University System reports on communications regarding the various recommendations of the roundtable--audiences reached, media used, presenters involved, etc. • A checklist is maintained of action steps required and progress attained in meeting the expectations and accountability measurements agreed upon.

The committee anticipates the 2001 Legislative Assembly Appropriations Committees will address the state's support for the implementation of the statewide information technology network, research and development funding opportunities such as EPSCoR, and the work force training delivery system.

HIGHER EDUCATION ROUNDTABLE LEGISLATION
Recommended by Legislative Council
Status as of February 5, 2001

SB2037 A bill amending statutes governing appropriations so that tuition is included in "local" funds and all local funds are subject to a continuing appropriation and not specifically appropriated – ***Being amended into SB2003 for a two-year period.***

SB2038 Legislation providing that university system budget requests and appropriations measures shall include block grants for base funding, an initiative funding appropriation and an appropriation for asset funding in lieu of current specific budget requests and line item appropriations – ***Being amended into SB2003 for a two-year period***

SB 2039 Legislation amending NDCC section 15-10-12.1 to permit the board to authorize building improvements financed by gift without legislative action if the cost is not more than $500,000 (the committee voted to amend the bill draft to limit this provision to repairs and remodeling, and not new buildings, so that legislative action will still be required for all new buildings) – ***Amended in Senate Education to $250,000 cap***

SB2040 A bill amending NDCC section 54-14-03.1 to provide that the university system is not required to report bonuses and temporary salary adjustments as irregularities – ***In Senate Appropriations Committee***

SB2041 A bill creating a new section in the Century Code recognizing the university system as a unified system of higher education as established by the board and amending NDCC section 15-10-14.2 by substituting a strategic plan requirement for the current six year plan reports – ***Passed Senate***

SB2042 A bill updating statutes defining board of higher education powers, deleting unnecessary language and repealing unnecessary or outdated laws – ***In Senate Education Committee***

North Dakota University System

A Comparison of the Recommendations of the Roundtable with the NDUS Strategic Plan (1998-2004)

Prepared for the
State Board of Higher Education

July 10, 2000

A Comparison of the Recommendations of the Roundtable With the NDUS Strategic Plan (1998-2004)

Executive Summary:

There is a close match between the six cornerstones developed by the Roundtable and the seven goals of the NDUS Strategic Plan. Specifically, all of the major components which comprise the seven goals of the Strategic Plan are covered within one or more of the six cornerstones of the Roundtable report. (See Tables 1 and 2 for a comparison description).

In addition to comparing the cornerstones developed by the Roundtable with the goals of the NDUS Strategic Plan, a comparison was also made of the 92 specific recommendations developed by the Roundtable in relation to the strategies (at the University System level and campus level) included in the NDUS Strategic Plan. A summary of that comparison is presented on the following page. A detailed comparison is presented in Table 3.

Of the 39 system-level strategies in the Strategic Plan, only three (3) are not covered at all by one or more specific recommendations included in the Roundtable report. These three are identified by the term, "None" under the column titled "Matches with," in Table 3. An additional eight system-level strategies are not addressed specifically but are related to (and could be incorporated into) one or more of the Roundtable recommendations as identified. These eight are listed under the column titled, "No Match But Related to," in Table 3. The abbreviations for the specific recommendations presented in Table 3 are the same as those used in the report titled, "Recommendations by Proposed Responsibility -- Supplement to the Report of the Roundtable." For example, "ED-1" refers to the Economic Development Connection Cornerstone, Recommendation Number One, etc.

Of the 34 campus-level strategies in the Strategic Plan, seven (7) are not covered at all by one or more specific recommendations included in the Roundtable report. These seven are identified by the term, "None" under the column titled "Matches with," in Table 3. An additional 15 campus-level strategies are not addressed specifically but are related to (and could be incorporated into) one or more of the Roundtable recommendations as identified. These 15 are listed under the column titled, "No Match But Related to," in Table 3.

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SYSTEM LEVEL STRATEGIES

Strategic Plan Goals	Matches With # of Strategies	No Match But Related To	No Match
Goal 1	8	0	0
Goal 2	9	0	1
Goal 3	16	0	0
Goal 4	6	0	0
Goal 5	1	4	1
Goal 6	8	1	0
Goal 7	11	3	1
TOTALS	**59**	**8**	**3**

CAMPUS LEVEL STRATEGIES

Strategic Plan Goals	Matches With # of Strategies	No Match But Related To	No Match
Goal 1	9	1	2
Goal 2	8	5	1
Goal 3	7	3	0
Goal 4	4	1	1
Goal 5	0	0	2
Goal 6	3	0	0
Goal 7	6	5	1
TOTALS	**37**	**15**	**7**

Results of Comparison:

1. There is a high level of overlap -- a close match -- of the goals and strategies of the current Strategic Plan compared to the cornerstones and recommendations of the Roundtable.

2. If the State Board of Higher Education wanted to consider adopting the six cornerstones developed by the Roundtable as the goals for a new strategic plan, the conversion of the cornerstones into goal statements would be relatively simple. (See Table 4 for a draft description of the cornerstones expressed as goals).

3. The theme of "flexibility with accountability" recommended to the executive and legislative branches, and throughout the University System, is more evident and pronounced in the Roundtable recommendations in comparison to the Strategic Plan.

4. There is greater emphasis on the role of the University System in connecting with and enhancing the economy of North Dakota in the Roundtable report compared to the Strategic Plan. The increased emphasis is expressed in the fundamental planning assumptions upon which the cornerstones and recommendations were developed. The message to the University System is clear: There is an expectation that the University System will apply its considerable resources and talent to helping reverse the downward trajectory of economic and demographic trends and forecasts and not accept a fatalistic future for the state.

5. The role and importance of entrepreneurship is included in the Roundtable cornerstones and recommendations but not in the current Strategic Plan. The emphasis on entrepreneurship is suggested in three areas:
   a. instilling entrepreneurship into the curriculum and providing opportunities for students,
   b. fostering and assisting new business entrepreneurs, and
   c. allowing and encouraging campuses to be more entrepreneurial.

6. In relation to the Strategic Plan, the Roundtable recommendations propose a new relationship and higher level of involvement and cooperation with the University System among various entities. Those entities include the executive and legislative branches, economic development organizations, private and public colleges, K-12, and business and industry. This new relationship and higher level of involvement is reflected in all of the cornerstones.

7. The recommendations of the Roundtable under the Education Excellence Cornerstone (as well as other cornerstones) reaffirms the importance of providing high quality education including life-long learning knowledge and thinking skills associated with the liberal arts component of a postsecondary degree.

8. The emphasis on "access to higher education" is strong in the Strategic Plan. It is even stronger and more specific in the recommendations of the Roundtable.

9. An information management system for individual campuses and the University System came through as a priority item in the Strategic Plan. An information system (except for limited reference to providing feed-back loops) is not emphasized in the recommendations of the Roundtable.

10. The most significant difference between the Strategic Plan and the recommendations of the Roundtable is the importance placed upon accountability. Accountability was included as a major strategy in the Strategic Plan. However, the Roundtable increased the importance of this item to a much higher level including identifying specific accountability measures and related data for each cornerstone. The most prevalent theme which emerged from the work of the Roundtable was, "flexibility with accountability." It is apparent that accountability is being considered by the legislators who served on the Roundtable as a "precondition" for flexibility. Therefore, developing the data-collecting mechanisms and committing the resources needed to meet the expectations for accountability will be critical.

11. Even though there is considerable overlap of the current Strategic Plan compared to the Roundtable Report, there are also important fundamental differences, as described above, which need to be taken into consideration. To appropriately capture and reflect those important differences, a new Strategic Plan would likely need to be built around the Roundtable Report assumptions about the future, cornerstones, and expectations as opposed to making revisions to the current Strategic Plan.

TABLE 1. GOALS OF NDUS STRATEGIC PLAN IN RELATION TO CORNERSTONES DEVELOPED BY ROUNDTABLE

GOALS OF THE NDUS STRATEGIC PLAN:

GOAL 1. EDUCATION EXCELLENCE

To strive for excellence and improve quality learning for students which ensures knowledge and competency in their chosen discipline and emphasizes strong communication skills, analytical thinking, use of technology, and interpersonal skills.

Complete match with Cornerstone 2 (Education Excellence). See description of cornerstone in Table 2 in relation to the description of this strategic plan goal.

GOAL 2. TECHNOLOGY AND ACCESS

To emphasize enhanced use of technology to improve access to programs and services and as a regular component for instructional services and research.

Close match with Cornerstone 4 (Accessible System). See description of cornerstone in Table 2 in relation to the description of this strategic plan goal.

GOAL 3. RELEVANT PROGRAMS

To align programs and services with student interests and with current and future needs of business, communities, and the state, including cultural, social, and citizenry components.

The components of this goal are covered within Cornerstones 1, 2, and 3 (Economic Development Connection, Education Excellence, and Flexible and Responsive System). See description of cornerstones in Table 2 in relation to the description of this strategic plan goal.

GOAL 4. LEADERSHIP IN RESEARCH

To provide leadership in addressing the high priority research and development needs and opportunities of the state.

The components of this goal are covered within Cornerstones 1 and 3 (Economic Development Connection and Flexible and Responsive System). See description of cornerstones in Table 2 in relation to the description of this strategic plan goal.

GOAL 5. LEARNING ENVIRONMENT

To provide an up-to-date and innovative environment for students, employees, and the public; and an environment that supports learning, research, and public service.

The components of this goal are covered within Cornerstones 2 and 3 (Education Excellence and Flexible and Responsive System). See description of cornerstones in Table 2 in relation to the description of this strategic plan goal.

GOAL 6. DOCUMENTED PERFORMANCE
To document the performance and effectiveness of the North Dakota University System.

The components of this goal are covered through the specific accountability measures (and related data) identified for all six cornerstones.

GOAL 7. COLLABORATION
To improve educational opportunities and services among the campuses, K-12, and other entities through cooperation and collaboration.

The components of this goal are covered within Cornerstone 4 (Accessible System) and in the incentives for collaboration recommendations of Cornerstone 5 (Funding and Rewards). See Table 2 and also Table 3 in relation to the description of this strategic plan goal.

TABLE 2. CORNERSTONES DEVELOPED BY ROUNDTABLE

Cornerstone 1: **Economic Development Connection** – Direct connections and contributions of the University System to the economic growth and social vitality of North Dakota.

Cornerstone 2: **Education Excellence** – High quality education and skill development opportunities which prepare students to be personally and professionally successful, readily able to advance and change careers, be life-long learners, good citizens, leaders, and knowledgeable contributing members of an increasingly global and multi-cultural society.

Cornerstone 3: **Flexible and Responsive System** – A University System environment which is responsive to the needs of its various clients and is flexible, empowering, competitive, entrepreneurial, and rewarding.

Cornerstone 4: **Accessible System** – A University System that is proactively accessible to all areas of North Dakota and seeks students and customers from outside the state. It provides students, business, industry, communities, and citizens with access to educational programs, workforce training opportunities, and technology access and transfer – and does so with the same performance characteristics as described in the "Flexible and Responsive System" Cornerstone.

Cornerstone 5: **Funding and Rewards** – A system of funding, resource allocation, and rewards which assures quality and is linked to the expressed high priority needs and expectations of the University System – assures achievement of the expectations envisioned.

Cornerstone 6: **Sustaining the Vision** – A structure and process which assures the University System for the 21st century, as described by these cornerstones, remains connected, understood, relevant, and accountable to the present and future research, education, and public service needs of the state and its citizens – sustaining the vision.

TABLE 3. COMPARISON OF THE SPECIFIC RECOMMENDATIONS DEVELOPED BY THE ROUNDTABLE WITH THE STRATEGIES INCLUDED IN THE NDUS STRATEGIC PLAN

GOAL 1. EDUCATION EXCELLENCE

To strive for excellence and improve quality learning for students which ensures knowledge and competency in their chosen discipline and emphasizes strong communications skills, analytical thinking, use of technology, and interpersonal skills.

			Matches with	No Match but Related to
A.	**System Strategies**			
	1.	Develop and implement by 2000 a student progress and achievement research capability for the entire University System for the purpose of improving student success and System accountability.	EE b4 EE-b8	
	2.	Assure that students within the University System receive high quality instruction by initiating a special effort to retain and attract excellent faculty by providing competitive salaries, benefits, and professional development opportunities. By 2004, faculty and staff salaries will increase a total of 5% from internal reallocation on every campus, provided that the legislature continues to fund higher education at a reasonable level. In addition, the Board will seek legislative appropriations during the 1999-2001, 2001-2003, and 2003-2005 biennia from state general fund and tuition income to fund cost-of-living salary increases plus additional increases for performance and equity purposes.	EE-b1 FL-a5 FR-2 FR-6 FR-7	
	3.	Require requests from campuses for establishing programs, centers, or institutes to include specific measurable outcomes, the specific proposed accomplishment of actions, and a process for later review and approval by the Board.	AS-1	
B.	**Campus Strategies (at a minimum)**			
	1.	Provide provisions for maintaining excellence in instruction.	EE-b1 EE-b4	
	2.	Plan for achieving institutional and specialized accreditation in all areas consistent with the institutional missions.	None	
	3.	Provide for student proficiencies regarding communication skills, analytical thinking, use of technology, and interpersonal skills, and abilities.	EE-a5 EE-c5	
	4.	Provide students with career outlook, placement statistics, and other information and guidance needed to make well-informed career choices.	None	

		Matches with	No Match but Related to
5.	Provide provisions for professional development opportunities for faculty and staff.	EE-b6 EE-c6 FL-a5	
6.	Incorporate an international perspective in curriculums and campus environment as appropriate.		ED-15
7.	Provide opportunities for experiential education such as internships, cooperative education, or service learning.	EE-a3 AS-5	

GOAL 2. TECHNOLOGY AND ACCESS
To emphasize enhanced use of technology to improve access to programs and services and as a regular component for instructional services and research.

		Matches with	No Match but Related to
A.	**System Strategies**		
1.	Convene a special task force in 1998 to study the delivery of instructional services and make specific recommendations to the Board on alternative methods of meeting the current and future needs of North Dakota citizens and to achieve greater operational efficiencies.	AS-1 AS-2	
2.	Conduct an analysis in 1999 to determine the effect that increasing or decreasing student loan and grant programs would have on enrollment.	AS-9	
3.	Continue to develop the technology infrastructure to enable under-served regions of the state to obtain needed higher education programs and services and to insure that University System services are available in all regions of the state.	ED-8 ED-13	
4.	Develop mechanisms to permit campuses to update equipment and technology to provide education and training that is current with business, industry, and research standards. The Board will seek legislative funding so that equipment appropriations by 2007-2009 fully fund a realistic replacement schedule.	ED-5 EE-b7 EE-b11	
5.	Institute a new student records and administrative systems that is fully operational, providing on-line services for admission, registration, transfer, advising, library services, financial aid, bill paying, and all other related student services. The Board will request funding for implementation of these systems from the 1999 and subsequent legislative sessions.	AS-10	

			Matches with	No Match but Related to
	6.	Consider seeking funding from the 1999 Legislature to join the Midwest Higher Education Compact as another means of sharing services and resources with other states. However, the Board will first maintain its Western Interstate Higher Education compact (WICHE) membership that it has had since 1984.	None	
B.	**Campus Strategies (at a minimum)**			
	1.	Improve technological delivery of courses, including faculty training.	EE-b11 EE-b12 FL-b1 AS-1	
	2.	Plan for marketing of programs to other regions of the state, country, and world using technological delivery as a significant component of such strategies.	EE-b11	
	3.	Develop undergraduate and graduate instruction to students and business using non-traditional arrangements including sites, times, and delivery methods consistent with the expressed needs and preferences of the markets being served.	FL-b1 FL-c1	
	4.	Develop undergraduate degree programs that can be completed in less than the traditional four years.		EE-d3 FL-c1 AS-3
	5.	Eliminate on-campus residency as a requirement to complete graduate degrees, where appropriate and not required by accreditation.	None	
	6.	Develop undergraduate programs that will allow several years for completion by part-time students.		EE-d3
	7.	Assure education and service opportunities to part-time students.	EE-d3	
	8.	Enhance Native American transfers and successes.		AS-4

GOAL 3. RELEVANT PROGRAMS

To align programs and services with student interests and with current and future needs of business, communities, and the state, including cultural, social, and citizenry components.

			Matches with	No Match but Related to
A.	**System Strategies**			
	1.	Arrange beginning in 1998 an annual meeting of business and industry leaders focused on the educational needs of business and industry.	EE-c3	
	2.	Work with the State Department of Economic Development and Finance, state and local chambers of commerce, economic development organizations, and the Workforce Development Council beginning in 1997, to support the recommendations of the Enhancing Growing North Dakota project. In doing so, the University System will intensify its efforts to more effectively connect the University System with the economic development and workforce training needs of the state.	FL-a1 FL-c1	
	3.	Develop strategies beginning in 1997 to assist education leaders and key stakeholders to rethink "career education" to ensure that students obtain the general education, liberal arts education, technical skills, and competencies they need for a lifetime of careers and advancement -- and not just for first time employment.	EE-a5 EE-b10	
	4.	Encourage the Governor and Legislature to develop a workforce training and re-training policy for the state aimed at developing a highly educated, skilled, and globally competitive workforce for business and industry in the state.	ED-9 EE-d6	
	5.	Collaborate with the State Board for Vocational Technical Education in developing a plan and requesting funds from the legislature for the Customized Training Network and continuing education training by 1999.	ED-9 EE-d6	
	6.	Conduct in 1998, through the College Technical Education Council (CTEC) of the University System (in cooperation with the State Occupational Information Coordinating Committee, Workforce Development Council, State Board for Vocational Technical Education, and Job Service North Dakota) an assessment of current and projected technical training needs in North Dakota and recommend strategies to meet those needs.	ED-3	

			Matches with	No Match but Related to
	7.	Review in 1999 Board policies on prohibiting or limiting the offering of associate degrees on four-year campuses, graduate degrees on baccalaureate campuses, and baccalaureate degrees on two-year campuses. In doing the review, the Board will refer to the Strategic Academic Plan adopted in 1995. (See attached matrix of summary of SBHE approved program areas and new program delivery decision process Appendix A.)	AS-3 AS-8	
	8.	Continue to have the College Technical Education Council (CTEC) implement the goals and strategies for workforce training outlined in the "North Dakota University System Plan for Identifying and Responding to Workforce Training Needs."	ED-1 ED-9 ED-14	
	9.	Develop strategies for increasing technical training education to address the issue of meeting unmet technical training needs in the state. By 2000, the College Technical Education Council will develop a plan to increase certificate and vocational education offerings in North Dakota, as recommended in the Bush Panel report.	ED-3	
B.	**Campus Strategies (at a minimum)**			
	1.	Keep abreast and adjust to current and emerging employment and career opportunities for students.		EE-a5 EE-b10
	2.	Identify and respond to the needs of businesses, including providing training for specific companies.	ED-9 EE-d6 FL-c1 EE-c3	
	3.	Provide experiential learning, including internships, cooperative education, or service learning.	ED-1 EE-a3	
	4.	Expand use of technological delivery, including increased delivery via Internet.	EE-b11	
	5.	Incorporate an international perspective in the curriculums as appropriate.		ED-15

GOAL 4. LEADERSHIP IN RESEARCH

To provide leadership in addressing the high priority research and development needs and opportunities of the state.

			Matches with	No Match but Related to
A.	**System Strategies**			
	1.	Convene a forum in 1998 of campus research leaders, boards of directors of research and development entities, leaders of information technology and telecommunications, and agricultural and manufacturing groups (including State Board for Agricultural Research), to make recommendations to the Board on how the University System can continue and to improve its role as a research and development engine for the State of North Dakota.	ED-1 ED-14 ED-15 EE-c2 EE-c3 EE-c4	
B.	**Campus Strategies (at a minimum)**			
	1.	Define the nature and balance of basic and applied research, including research aimed at improving teaching, learning, advising and student academic success.	None	
	2.	Define faculty scholarship related to research.		EE-c4
	3.	Provide for technology transfer and service activities related to business, community, and economic development.	ED-1 ED-14 EE-c2 EE-c3	

GOAL 5. LEARNING ENVIRONMENT

To provide an up-to-date and innovative environment for students, faculty, employees, the public, and an environment that supports learning, research, and public service.

			Matches with	No Match but Related to
A.	**System Strategies**			
	1.	Maintain the current campus facility master planning process to provide an on-going guide for physical development of the campuses, including environmental and disabled access concerns.	None	
	2.	Designate major repair and renovation projects as the highest priority for state capital projects funding requests. Requests for new facilities will also be considered if matched by at least 50% from non-state sources. The Board will seek legislative funding so that appropriations for general plant repairs by 2007-2009 equal 1.5% of plant value.		FR-2

		Matches with	No Match but Related to
3.	Ensure that new student records and administrative systems are fully operational and funding will be requested from the legislature to implement these systems.		AS-10
4.	Conduct a Board study in 2001-2002 to address consolidation of administrative functions across campuses to improve communications, increase data availability, and improve efficiency.		FR-2
5.	Review biennially the administrative costs of all institutions and use national averages as benchmarks to assure administrative costs are kept below the national average for similar types of institutions.		FR-2
6.	Assess all duplicate degree programs during 1998-2003. Joint course offerings or program eliminations will be implemented based on enrollments and the need for access.	AS-3	
B.	**Campus Strategies (at a minimum)**		
1.	Report and make recommendations to enhance the relationship at MiSU and UND with their branch campuses to more fully integrate programs, administrative services, and outreach opportunities to and from the branches.	None	
2.	Develop strategies regarding a systematic plan for preventative maintenance of facilities and building demolition; compliance with all state and federal regulations governing student and employee safety; and annual assessment of AD , workers compensation, and workplace safety.	None	

GOAL 6. DOCUMENTED PERFORMANCE

To document the performance and effectiveness of the North Dakota University System.

		Matches with	No Match but Related to
A.	**System Strategies**		
1.	Request Legislative Council approval prior to 1999 of a format for a system-level performance report related to the goals and strategies in this plan.	ED-12 FR-1 FR-10	
2.	Develop systems to document and demonstrate the University System's effectiveness in meeting the instruction, training, research, and service needs of North Dakota.	SV-3	

		Matches with	No Match but Related to
3.	Allocate funds to establish a system-wide planning and accountability function within the System Office to continue implementation of the Bush recommendations and to more closely link planning and accountability with the needs and interests of the campuses, legislature, Governor, and citizens.		FR-1
4.	Develop a six-year marketing plan by 1998, communicating the goals and accomplishments as well as the programs and services provided by the University System.	SV-5	
5.	Request consistently the Governor and Legislature to provide maximum flexibility to allocate resources; increase the focus on results; and to be relieved of regulatory, approval, and reporting provisions which incur added administrative costs and inhibit responsive actions of the Board and campuses.	FR-5 FR-8 FR-11	
B.	**Campus Strategies (at a minimum)**		
1.	Develop systems to document and demonstrate the campus effectiveness in meeting the instruction, training, research, and service needs of North Dakota.	FR-1 SV-3	
2.	Prepare an annual accountability report to be presented beginning September 15, 1998, to the Chancellor and State Board of Higher Education describing progress one ach of the action items in each campus action plan.	SV-5	

GOAL 7. COLLABORATION

To improve educational opportunities and services among the campuses, K-12, and other entities through cooperation and collaboration.

		Matches with	No Match but Related to
A.	**System Strategies**		
1.	Improve the ties with the State's elementary and secondary schools by implementing the Teacher Education Report adopted by the Board in May 1997. A University System Teacher Education Council has been created to implement the recommendations in the report.	AS-7 EE-a6	
2.	Provide North Dakota secondary schools with academic assessment and performance data by 2000 on graduates who enter public colleges.		AS-7

		Matches with	No Match but Related to
3.	Continue to work in cooperation with the K-12 system to increase the already comparatively high percentage of high school graduates from North Dakota schools who pursue education and training beyond the secondary levels and to provide a smooth transition into their chosen careers.	AS-7	
4.	Complete common course numbering for 100-200 level courses throughout the University System by 1998.		FL-b2
5.	Develop a plan, coordinated with the Department of Human Services, to provide education and training to welfare recipients to assist them in their transition from welfare to self-sufficiency.		ED-6
6.	Continue to cooperate with the North Dakota National Guard in implementing the National Guard Tuition Waiver Program to improve the education level of its members and thereby benefit the state as a whole.	None	
7.	Cooperate with the Department of Economic Development and Finance in implementing the recommendations of the Enhancing Growing North Dakota project (described under Goal Three).	ED-1 ED-9 ED-14	
8.	Cooperate with the State Board for Vocational Technical Education in developing a plan for funding the Customized Training Network and continuing education training (described under Goal Three).	ED-9 EE-d6	
9.	Cooperate with other agencies and entities in implementing workforce-training activities (described under Goal Three)	ED-7 ED-9 EE-d6	
B.	**Campus Strategies (at a minimum)**		
1.	Cooperate with other campuses, agencies, or entities in bringing education and training programs to the local area.	EE-b11 AS-3	
2.	Cooperate with other campuses, agencies, or entities in delivering education and training programs to other areas of the state, nation, or other nations.	AS-1 AS-5	
3.	Cooperate in making graduate degree programs available in areas without a four-year campus; alternatively, cooperation in making short-term training, certificate and associate degree programs available in areas without a community-technical college.		AS-1 AS-3 AS-8
4.	Assure the smooth transfer of students among campuses within the University System.	FL-b2	
5.	Share appropriate non-confidential student performance information among campuses for the purposes of program improvement and increased student success rates.	None	

		Matches with	No Match but Related to
6.	Cooperate with high schools in support of system-wide strategies 1 through 3 described in the University System Strategies above and in regard to articulation agreements, dual credit, and the Interactive Television Network.		AS-7 EE-a6
7.	Develop or strengthen the cooperative relationships with the local chambers of commerce, business and industry, and economic development organizations.	ED-2	

TABLE 4. DESCRIPTION OF CORNERSTONES EXPRESSED AS GOALS

The Goals of the North Dakota University System are:

Goal 1: **Economic Development Connection** – Increase the direct connections and contributions of the University System to the economic growth and social vitality of North Dakota.

Goal 2: **Education Excellence** – Provide high quality education and skill development opportunities which prepare students to be personally and professionally successful, readily able to advance and change careers, be life-long learners, good citizens, leaders, and knowledgeable contributing members of an increasingly global and multi-cultural society.

Goal 3: **Flexible and Responsive System** – Create a University System environment which is responsive to the needs of its various clients and is flexible, empowering, competitive, entrepreneurial, and rewarding.

Goal 4: **Accessible System** – Create a University System that is proactively accessible to all areas of North Dakota and seeks students and customers from outside the state. It provides students, business, industry, communities, and citizens with access to educational programs, workforce training opportunities, and technology access and transfer – and does so with the same performance characteristics as described in the "Flexible and Responsive System" Goal.

Goal 5: **Funding and Rewards** – Develop a system of funding, resource allocation, and rewards which assures quality and is linked to the expressed high priority needs and expectations of the University System – assures achievement of the expectations envisioned.

Goal 6: **Sustaining the Vision** – Develop a structure and process which assures the University System for the 21st Century, as described by these goals, remains connected, understood, relevant, and accountable to the present and future research, education, and public service needs of the state and its citizens – sustaining the vision.

STATEMENT BY DALE O. ANDERSON, PRESIDENT, GNDA, REGARDING THE ROUNDTABLE REPORT, NORTH DAKOTA SENATE APPROPRIATIONS COMMITTEE, January 8, 2001.

Chairman Nething and members of the Senate Appropriations Committee. I am Dale O. Anderson, President, GNDA, North Dakota State Chamber of Commerce. Thank you for this opportunity to provide testimony regarding the Higher Education Roundtable and the North Dakota University System budget.

The Greater North Dakota Association is the voice for business and principal advocate for positive change for North Dakota. The organization's membership of 1000 is an economic and geographic cross section of North Dakota's private sector, including statewide associations and local chambers of commerce, development organizations and convention and visitors associations and public sector members. GNDA is governed by a 25 member Board of Directors elected by our membership.

GNDA is strongly committed to partnerships that are meaningful. The GNDA membership elected Dr. Jay Leitch, Dean, College of Business Administration, NDSU, to the GNDA's Board of Directors. Dr. Leitch also was elected to the GNDA Executive Committee.

GNDA congratulates the 1999 North Dakota Legislative Assembly for passing a resolution directing study of the North Dakota University System to address expectations, funding and accountability. GNDA expresses appreciation to the Legislative Council Interim Committee on Higher Education for including the private sector at the table of deliberations.

GNDA strongly endorses the recommendations of the Roundtable. The GNDA Board passed the following motion:

- **support legislation recognizing the university system as a unified system of higher education as established by the board;**
- **support legislation permitting the Board to authorize building improvements without legislative action for improvements from gifts, not to exceed $500,000**

- **support legislation governing appropriations so that tuition is included in "local funds" and not specifically appropriated; and,**
- **support legislation providing the university system budget requests and appropriations measures shall block grants for base funding, in lieu of current specific budget requests and line item appropriations, with consideration for the position for agricultural research and extension.**

The North Dakota business community through GNDA urges a do pass on SB 2003 and specific bills dealing with the Roundtable report recommendations.

Thank you Senator Nething and members of the Senate Appropriations Committee for this opportunity to discuss GNDA's position on these critical matters. I welcome your questions

Pg 2 of 2
L.D. Anderson, Pres. GNDA

Greater North Dakota Association

STATEMENT BY DALE O. ANDERSON, PRESIDENT, GNDA, REGARDING ENGROSSED SB 2003 AND THE ROUNDTABLE REPORT, NORTH DAKOTA HOUSE APPROPRIATIONS COMMITTEE, March 1, 2001.

Chairman Timm and members of the House Appropriations Committee. I am Dale O. Anderson, President, GNDA, North Dakota State Chamber of Commerce. Thank you for this opportunity to provide testimony regarding the Higher Education Roundtable recommendations and the North Dakota University System budget.

The Greater North Dakota Association is the voice for business and principal advocate for positive change for North Dakota. The organization's membership of 1000 is an economic and geographic cross section of North Dakota's private sector, including statewide associations and local chambers of commerce, development organizations and convention and visitors associations and public sector members. GNDA is governed by a 25 member Board of Directors elected by our membership.

GNDA is strongly committed to partnerships that are meaningful. For example:

- **The GNDA membership elected Dr. Jay Leitch, Dean, College of Business Administration, NDSU, to the GNDA's Board of Directors. Dr. Leitch also was elected to the GNDA Executive Committee.**
- **The Task Force for Improving Workforce Development and Training, coordinated by GNDA, determined that the four community colleges assigned primary responsibility for workforce training, were meeting the legislative and performance measures established for the workforce training system.**
- **Chancellor Larry Isaak was selected to serve on The New Economy Initiative Committee. The initiative is primarily private sector persons.**

GNDA congratulates the 1999 North Dakota Legislative Assembly for passing a resolution directing study of the North Dakota University System to address expectations, funding and accountability. GNDA expresses appreciation to the Legislative Council Interim Committee

on Higher Education for including the private sector at the table of deliberations.

GNDA strongly endorses the recommendations of the Roundtable. The GNDA Board passed the following motion:

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- support legislation governing appropriations so that tuition is included in "local funds" and not specifically appropriated; and,
- support legislation providing the university system budget requests and appropriations measures shall block grants for base funding, in lieu of current specific budget requests and line item appropriations, with consideration for the position for agricultural research and extension.

GNDA is ecstatic about the positive and enthusiastic leadership that Board President, Bill Isaacson, the NDUS Board and Chancellor Isaak have exhibited in working in a collaborative way with the interim committee and bold action to aggressively implement the recommendations.

The North Dakota business community through GNDA urges a do pass on engrossed SB 2003 and specific bills dealing with the Roundtable report recommendations.

Thank you Representative Timm and members of the House Appropriations Committee for this opportunity to discuss GNDA's position on these critical matters. I welcome your questions

December 2000

Accountability Measures

The Interim Higher Education Committee at their August 2000 meeting approved the expectations, along with the financial and non-financial accountability measures (performance indicators) for the North Dakota University System.

The accountability measures would be reported annually by the North Dakota University System office. The State Board of Higher Education is responsible for expanding and refining the accountability measures when appropriate to evaluate individual institutions of higher education.

I. Financial Accountability Measures (performance Indicators):

1. A **status report on higher education financing** as compared to the long-term financing plan.

2. **Base funding:**
   a. Levels
   b. Uses including trends in base funding.

3. **Incentive funding** information including:
   a. Allocation
   b. Use of
   c. Results of incentive funding
   d. Incentive funding as a percentage of the higher education budget.

4. Institutional capital assets:
   a. Value of institutional buildings and infrastructure
   b. Funds spent on renewal or updates
   c. Depreciation
   d. Status of deferred maintenance including a comparison of the funds appropriated for deferred maintenance to actual funds spent on deferred maintenance.

5. **Deferred maintenance ratio** measures the size of the University System's outstanding maintenance as compared to its expendable net assets:

$$\frac{\text{Deferred Maintenance}}{\text{Expendable Net Assets}}$$

6. **Report on new construction and major renovation capital projects** for which specific appropriations are made, including:
   a. Budget to actual comparison
   b. Use of third-party funding
   c. Related Debt.

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Accountability Measures Cont.

7. **Funding Levels Compared to Peer Institutions:**
   a. Of institutions
   b. Other selected indicators.

8. **The amount and trends of funding from all financial sources.**

9. **Operating income ratio** measures how inflows from fees for services provided contribute to the University System's overall funding:

$$\frac{\text{Operating Income}}{\text{Educational and General Expenses}}$$

10. **Contributed income ratio** measures how externally generated resources other than debt contribute to the University System's overall funding:

$$\frac{\text{Contributed Income}}{\text{Educational and General Expenses}}$$

11. **State general fund:**
    a. Appropriation levels and trends
    b. Changes in the State's economy
    c. Total state general fund appropriations.

12. Trend report on appropriation for higher education:
    a. Per capita
    b. Per student.

13. The amount of debt:
    a. Incurred
    b. Supported in relation to limitation requirements.

14. **Debt coverage ratio** demonstrates the portion of net income available to meet the debt burden should economic conditions change:

$$\frac{\text{Adjusted Change in Net Assets}}{\text{Debt Service}}$$

15. **Affordability** index detailing:
    a. Tuition and fees on a per student basis
    b. Total cost of attendance compared to peer institutions
    c. Tuition and fees as a percentage of median North Dakota household income
    d. Student affordability considering financial aid.

16. Trend reports on the **distribution of expenditures** by function.

17. **Educational core services ratio** provides information regarding the portion of total funds being used for instruction, research, and public service:

$$\frac{\text{Educational Core Services Expenses}}{\text{Educational and General Income (all funding excluding capital and debt service amounts)}}$$

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Accountability Measures Cont.

18. **Educational support services ratio** provides information regarding the portion of total funds being used for academic support and student services:

$$\frac{\text{Educational Support Expenses}}{\text{Educational and General Income (all funding excluding capital and debt service amounts)}}$$

19. **General support ratio** provides information regarding the portion of total funds being used for institutional support , operations, and maintenance of physical plant:

$$\frac{\text{General Support}}{\text{Educational and General Income (all funding excluding capital and debt service amounts)}}$$

20. **Equipment expenditure ratio** provides information regarding the portion of equipment inventory replaced:

$$\frac{\text{Annual Expenditures for Equipment Replacement}}{\text{Equipment Inventory Value}}$$

21. **Viability ratio** measures the ability of expendable net assets to cover debt:

$$\frac{\text{Expendable Net Assets}}{\text{Long-Term Debt}}$$

22. **Primary reserve ratio** measures the ability to continue to operate without additional net assets:

$$\frac{\text{Expendable Net Assets}}{\text{Total Expenses}}$$

23. **Return on net assets ratio** measures the changes in net assets:

$$\frac{\text{Change in Net Assets}}{\text{Total Net Assets}}$$

24. **Net income ratio** measures surpluses or deficiencies:

$$\frac{\text{Change in Unrestricted Net Assets}}{\text{Total Unrestricted Income}}$$

25. Other financial information including:
    a. Debt
    b. Assets
    c. End-of-Year fund balances.

26. Faculty and staff trend information, including:
    a. Ratio of faculty and staff to students
    b. Faculty and staff turnover rates and major reasons
    c. Faculty and staff salary levels, annual average salary increases, and comparisons with peer institutions.

27. Research and development efforts trend information, including:
    a. **Total funding received** for research, including federal, state, local, and private sources
    b. **Revenue generated** or additional funding earned by research and development projects.

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Accountability Measures Cont.

28. **Research expenditure ratio** measures the amount of research expenditures per faculty FTE:

$$\frac{\text{Research Expenditures}}{\text{Faculty FTE}}$$

29. Annual audit report, with an **unqualified opinion**, that identifies suggested material areas of improvement to the University System's financial operations.

30. Biennial audit report reflecting:
    a. **Budget to actual** appropriation statements.
    b. Appropriate financial **noteworthy accomplishments** and successes.
    c. A reduction in **material areas of statutory noncompliance.**
    d. University System **compliance with suggested significant areas of improvement.**

II. Non-Financial Accountability Measures (Performance Indicators):

1. Trends in the number and percent of businesses and employees in the region receiving training. (Duplicate: see also Accessible System)

2. Levels of satisfaction with training events as reflected in information systematically gathered from employees receiving training.

3. Levels and trends in enrollment in entrepreneurship courses and the number of graduates of entrepreneurship programs.

4. Levels and trends in percentage of University System graduates obtaining employment appropriate to their education in state and out of state.

5. Levels and trends in partnerships and joint ventures between University System Institutions and the following entities:
    a. Business and industry
    b. Tribal Colleges
    c. Private sector training providers
    d. Other University System Institutions.

6. Levels and trends in performance of students on nationally recognized exams in their major fields in comparison with national averages.

7. Levels and trends in licensure pass rates in comparison to other states.

8. Levels and trends in alumni-reported satisfaction with preparation in:
    a. Major
    b. The acquisition of specific basic and higher-order skills
    c. Level, currency, and relevance of computer technology knowledge and abilities in relation to expectations in the marketplace.

9. Levels and trends in employer-reported satisfaction with preparation of recently hired college graduates.

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Accountability Measures Cont.

10. Maintenance of accreditation of programs and institutions by national and regional accrediting bodies and acquisition of additional accreditation where appropriate.

11. Levels and trends in peer review process results for:
    a. Grants
    b. Publications.

12. Levels and trends in proportion of students achieving goals-institution meeting the defined needs/goals as expressed by students.

13. Proportion of University System decisionmakers (deans and higher levels) indication whether "they can operate more flexibly now than in the past."

14. Total number and trends in full-time, part-time, degree-seeking, and non-degree seeking students being served.

15. Number and trends regarding individuals, organizations, and agencies served through noncredit activities.

16. Levels of satisfaction with responsiveness as reflected through responses to evaluations and surveys of clients:
    a. Graduates and program completers
    b. Employers
    c. Business/program advisory councils
    d. Companies and employees receiving training
    e. Work force training boards
    f. Campus presidents' advisory councils
    g. Public school superintendents
    h. Economic development professionals
    i. Other client groups served.

17. Levels of satisfaction and reasons for noncompletion as reflected in a noncompletors survey.

18. Levels and trends in the proportion of residents of the state who are within a 45-minute drive of a location at which they can receive educational programs from a provider, including providers from outside the immediate region (and would also have access to academic and student support services at the site).

19. Levels and trends in the number of enrollments in distance learning courses by in-state and out-of-state residents.

20. Levels and trends in the number and proportion of enrollments in courses offered in nontraditional ways (i.e., place, time, and format).

21. Levels and trends in rates of participation of:
    a. Recent high school graduates: nontraditional students (typically part time and older than average)
    b. Individuals pursuing graduate degrees.

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Accountability Measures Cont.

22. Trends in the number and percentage of businesses and employees in the region/state receiving training. (Duplicate: see also Economic Development Connection)

23. The extent to which the recommendations of the 2000 roundtable are implemented and the performance as reflected in the accountability measurements is improved:
    a. An annual performance and accountability report directly linked to the expectations developed by the roundtable is developed and widely distributed.
    b. An annual Higher Education Roundtable meeting(s) is held and accomplishes the purposes indicated in No. 1. of Sustaining the Vision recommendation.
    c. A status report on the state of the University System is presented to the Legislative Assembly during each legislative session.
    d. The University System reports on communications regarding the various recommendations of the roundtable-audiences reached, media used, presenter involved, etc.
    e. A checklist is maintained of action steps required and progress attained in meeting the expectations and accountability measurements agreed upon.

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Prepared by the North Dakota Legislative Council staff for the Higher Education Committee
August 2000

Approved by interim Committee 8-10-00

HIGHER EDUCATION FINANCIAL ACCOUNTABILITY MEASUREMENTS

The following is a summary of the financial accountability measurements for the North Dakota University System as amended and recommended by the Financial Accountability Measurements Subcommittee. The subcommittee's responsibility was to develop financial accountability measurements relating to the Higher Education Roundtable recommendations. In addition to the financial accountability measurements, the Higher Education Roundtable report identifies numerous nonfinancial measurements. This memorandum lists expectations or performance standards for the North Dakota University System and identifies corresponding performance indicators or associated measurements.

The performance indicators would be reported annually by the North Dakota University System at the University System level. The State Board of Higher Education would be responsible for expanding and refining the financial accountability measurements when appropriate to evaluate individual institutions of higher education. The reporting process would include auditing of areas as deemed necessary by the Legislative Assembly. Depending on the nature of the performance indicator and the information presented, trend information may be presented for a 6- to 10-year period. Attached is a listing of the related Higher Education Roundtable recommendations.

Expectations (Performance Standards)	Annual Performance Indicators
Financing and Financial Management The North Dakota University System develop a long-term plan for financing the higher education system that addresses any funding gap between current resources and needs, reflects a shared funding responsibility among the state, students, private sector, donors, local governments, communities, and campuses and allows for the funding of plant asset depreciation.	(1.) A status report on higher education financing as compared to the long-term financing plan.
The North Dakota University System ensure: • Base funding provided to individual institutions is adequate and provides stable funding consistent with the mission of the campus and is responsive to changing priorities.	(2.) a. Base funding levels, and b. uses including trends in base funding.
• Incentive funding pools meet the guidelines established by the Legislative Assembly, the Higher Education Roundtable recommendations, and State Board of Higher Education priorities.	(3.) Incentive funding information, including: • a. Allocation, b. use of, and c. results of incentive funding. • d. Incentive funding as a percentage of the higher education budget.
• Asset funding provided to individual institutions is used in conjunction with other funds for capital renewal and addressing deferred maintenance.	(4.) a. Value of institutional buildings, funds spent b. on renewal or updates as compared to c. depreciation, and the d. status of deferred maintenance including a comparison of the funds appropriated for deferred maintenance to actual funds spent on deferred maintenance.
	(5.) Deferred maintenance ratio measures the size of the University System's outstanding maintenance as compared to its expendable net assets: Deferred Maintenance / Expendable Net Assets
• Funds appropriated by the Legislative Assembly for the construction of new facilities and the major renovation of existing facilities are used in a cost-effective manner and within statutory building authority lease payment limitations.	(6.) Report on new construction and major renovation capital projects for which specific appropriations are made, including a. budget to actual comparison, use b. of third-party funding, and related debt.
• Institutions are funded on an equitable basis.	(7.) Funding a. levels of institutions or other b. selected indicators as compared to peer institutions.

Expectations (Performance Standards)	Annual Performance Indicators
The North Dakota University System identify and maximize all financial resources available to support the University System, including:	(8) The amount and trends of funding from all financial sources.
• Internally generated revenue.	(9) Operating income ratio measures how inflows from fees for services provided contribute to the University System's overall funding: Operating Income Educational and General Expenses
• Externally generated revenue.	(10) Contributed income ratio measures how externally generated resources other than debt contribute to the University System's overall funding: Contributed Income Educational and General Expenses
• Revenue generated from the state.	(11) State general fund appropriation levels and trends as compared to changes in the state's economy and total state general fund appropriations. (12) Trend report on per capita and per student appropriations for higher education.
• Debt issued to support University System operations.	(13) The amount of debt incurred and supported in relation to limitation requirements. (14) Debt coverage ratio demonstrates the portion of net income available to meet the debt burden should economic conditions change: Adjusted Change in Net Assets Debt Service
• Tuition and fees.	(15) Affordability index detailing: • Tuition and fees on a per student basis and total cost of attendance compared to peer institutions. • Tuition and fees as a percentage of median North Dakota household income. • Student affordability considering financial aid.
The North Dakota University System use moneys appropriated from the general fund and other income, including tuition in a cost-effective manner in meeting the recommendations identified in the Higher Education Roundtable report including the following areas:	(16) Trend reports on the distribution of expenditures by function.
• Funding for core education services.	(17) Educational core services ratio provides information regarding the portion of total funds being used for instruction, research, and public service: Educational Core Services Expenses Educational and General Income (all funding excluding capital and debt service amounts)
• Funding for educational support services.	(18) Educational support services ratio provides information regarding the portion of total funds being used for academic support and student services: Educational Support Expenses Educational and General Income (all funding excluding capital and debt service amounts)
• Funding for general support services.	(19) General support ratio provides information regarding the portion of total funds being used for institutional support, operations, and maintenance of physical plant: General Support Educational and General Income (all funding excluding capital and debt service amounts)

Expectations (Performance Standards)	Annual Performance Indicators
• Investment in equipment and technology.	(20) **Equipment expenditure ratio** provides information regarding the portion of equipment inventory replaced: Annual Expenditures for Equipment Replacement Equipment Inventory Value
The North Dakota University System be financially sound and viable.	Financial ratios and other financial information which would indicate: (21) • **Viability ratio** measures the ability of expendable net assets to cover debt. (22) • **Primary reserve ratio** measures the ability to continue to operate without additional net assets. (23) • **Return on net assets ratio** measures the changes in net assets. (24) • **Net income ratio** measures surpluses or deficiencies. (25) • **Debt, assets, and end-of-year fund balances.**
Faculty and Staff Excellence The North Dakota University System should strive for a quality-focused, productive, and rewarded faculty and staff.	(26) Faculty and staff trend information, including: a. Ratio of faculty and staff to students. b. Faculty and staff turnover rates and major reasons. c. Faculty and staff salary levels, including annual average salary increases and comparisons with peer institutions.
Research and Development The North Dakota University System should focus research and development on the economic and social needs of North Dakota, increase public-private North Dakota research and development partnerships, and reward faculty for research and development efforts.	(27) Research and development efforts trend information, including: • a. **Total funding received** for research, including federal, state, local, and private sources. • b. **Revenue generated** or additional funding earned by research and development projects. (28) **Research expenditure ratio** measures the amount of research expenditures per faculty FTE: Research Expenditures Faculty FTE who include source
Financial and Statutory Compliance The North Dakota University System comply with related state laws and generally accepted accounting principles.	(29) Annual audit report, with an unqualified opinion, that identifies suggested material areas of improvement to the University System's financial operations.
The State Auditor's office use performance audit standards reported in biennial audits that: • Represent a balanced approach identifying appropriate financial noteworthy accomplishments and successes. • Address University System compliance with legislative intent. • Concentrate on high-risk areas of institutional operations.	(30) Biennial audit report reflecting: • Budget to actual appropriation statements. • Appropriate financial noteworthy accomplishments and successes. • A reduction in material areas of statutory noncompliance. • University System compliance with suggested significant areas of improvement.

ATTACH:1

NORTH DAKOTA UNIVERSITY SYSTEM

March 9, 2001

The Honorable Janet Wentz
State Representative
505 8th Ave SE
Minot ND 58701-4764

Dear Representative Wentz:

Enclosed is a report prepared by our office that was requested by the Senate Appropriations Committee when they considered SB2003. The report is in response to their questions about the accountability measures adopted by the Legislative Council Higher Education Committee. The information presented in this report is for both non-financial and financial accountability measures. Staff in our office prepared the report with assistance from Larry Eide of Minot State University who is heading up a system-wide effort on the financial reporting measures. The Senate subsequently amended these measures into SB2003.

The report provides information about each specific accountability measurement, the anticipated data sources, status of the data availability and estimated target-reporting date. We would be pleased to review this information with the Education and Environment Subcommittee if you would so desire if you believe it would be helpful to a better understanding of these accountability measurements. We would ask Larry Eide to be present to answer questions on the fiscal measures.

Thank you for all of your hard work on SB2003, 2021 and 2023. We are prepared to assist you in way we can.

Sincerely,

Larry A. Isaak
Chancellor

Enclosure

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State Capitol - 600 E. Boulevard Ave. Dept. 215, Bismarck, North Dakota 58505-0230 - (701) 328-2960
Fax (701) 328-2961 - E-mail NDUS_office@ndus.nodak.edu - Web www.ndus.nodak.edu

The North Dakota University System is governed by the State Board of Higher Education and consists of: Bismarck State College - Dickinson State University - Lake Region State College - Mayville State University - Minot State University – Minot State University-Bottineau Campus - North Dakota State College of Science - North Dakota State University - University of North Dakota - Valley City State University - Williston State College.

Higher Education Academic Accountability Measurements

Accountability Measurement #1 – Cornerstone 1

1. **Trends in the number and percent of businesses and employees in the region receiving training. (Duplicate: see also Accessible System) see #22.**

2. **Data Sources Useful for Measurement Purposes**
   Annual reports from the four workforce training quadrants.

3. **Status of Data Availability**
   a. Data on number of businesses and employees receiving training in each region is being collected as of July 1, 1999. The data is available as of October 2000.
   b. Data on percent of businesses and employees receiving training in each region is being collected as of July 1, 2000 and will be available as of October 2001.

Initial Target Reporting Date

For 3-a. October 2000.
For 3-b. October 2001.

Notes

Higher Education Academic Accountability Measurements

Accountability Measurement #2 – Cornerstone 1

1. **Levels of satisfaction with training events as reflected in information systematically gathered from employees receiving training.**

2. **Data Sources Useful for Measurement Purposes**
   Annual reports from WFTR quadrants

3. **Status of Data Availability**
   a. Data collection forms for determining employer and employee satisfaction with training received have been developed. Completed October 2000.
   b. Data on employer and employee satisfaction with training received will be available year-end 2001. This information will be reported in the annual reports from the four workforce training quadrants. A summary report will be prepared by the College Technical Education Council.

Initial Target Reporting Date

For 3-a. October 2000.
For 3-b. By year-end 2001.

Higher Education Academic Accountability Measurements

Accountability Measurement #3 – Cornerstone 1

1. **Levels and trends in enrollment in entrepreneurship courses and the number of graduates of entrepreneurship programs.**

2. **Data Sources Useful for Measurement Purposes**
   a. ENTR course prefix enrollments (HECN.)
   b. Programs Offered/Programs Complete report (HECN based.)
   c. Certificates Awarded report (campus reports.)

3. **Status of Data Availability**
   For 3-a. Would have to be developed from existing data base.
   For 3-b. Would have to be extracted from existing data base.
   For 3-c. Would have to develop process to collect ENTR-certificate information.

Initial Target Reporting Date

For 3-a	December 2001
For 3-b	December 2001
For 3-c	August 2002

Notes

Determine entrepreneurship program CIP codes?
Determine entrepreneurship program major codes?

Higher Education Academic Accountability Measurements

Accountability Measurement #4 – Cornerstone 1

1. **Levels and trends in percentage of University System graduates obtaining employment appropriate to their education in state and out of state.**

2. **Data Sources Useful for Measurement Purposes**
   a. FINDET report (Follow-up Information on North Dakota Education & Training.)
   b. HECN reports.
   c. Data sharing arrangements with other states.
   d. Employer surveys regarding relatedness of employment to education of employees.

3. **Status of Data Availability**
   a. Graduates employed in N.D. by industry is currently available through the FINDET system.
   b. Graduates employed in North Dakota by occupation (to determine relatedness of employment to education) is currently being obtained through an employer survey.
   c. Employment of graduates in other states by industry or by occupation is currently not available. Sources of this data, including data sharing arrangements with other states and the possibility of alumni data, will be explored by year-end 2001.

Initial Target Reporting Date

For 3-a. Available as of 1994.
For 3-b. Available as of 1999.
For 3-c. The target dates for determining the possibility of obtaining employment by industry in surrounding and targeted states (which is subject to state-by-state data sharing agreements) is year-end 2001.
For 3-d. Target dates for determining the availability of Alumni data is June 30, 2001. If alumni data is provided the target date for the first report is year-end 2001.

Notes

Higher Education Academic Accountability Measurements

Accountability Measurement #5 – Cornerstone 1

1. **Levels and trends in partnerships and joint ventures between University System Institutions and the following entities:**
   a. Business and industry.
   b. Tribal Colleges.
   c. Private sector training providers.
   d. Other University System Institutions.

2. **Data Sources Useful for Measurement Purposes**
   For 1-a. Annual Reports of WFTR quadrants.
   For 1-a&c. Progress reports re: campus strategic plans.

   General Education Transfer Agreement, Common Course Numbering, Distance Education reports
   Academic log

3. **Status of Data Availability**
   For 1-a&c. Some data is currently being collected through the WFTR Quadrants.
   For 1-a&c. Some data will begin being collected & reported by campuses as part of campus strategic plans.
   For 1-b&d Information is collected in a number of ways. These efforts would need to be consolidated.

Initial Target Reporting Date

For 1-a. Some by year-end 2001 and remainder by year-end 2002.
For 1-c. Some by year-end 2001 and remainder by year-end 2002.
For 1-b&d Preliminary report by year-end 2001.
First Formal report by year-end 2002.

Notes

a. List partnerships: e.g. academic partnerships, non-credit partnerships.
   1. Programs delivered to business sites.
   2. Campuses jointly offering programs.
   3. Home campus programs sponsored by business/industry.
   4. GERTA, CCN, Distance Education.

Higher Education Academic Accountability Measurements

Accountability Measurement #6 – Cornerstone 2

1. **Levels and trends in performance of students on nationally recognized exams in their major fields in comparison with national averages.**

2. **Data Sources Useful for Measurement Purposes**
   Under Board Policy all campuses must maintain NCA accreditation. NCA accreditation requires campuses to implement campus based outcomes assessment programs. Because campuses must determine the appropriate assessment instruments this measure must be based on campus reports.

3. **Status of Data Availability**
   Since January 2000 campuses have been requested to provide student assessment information to the Board at each Board meeting on campus. The implementation of this accountability measure will now require more formal reporting of this information which may not have even been collected at the campus level yet. It is suggested that initial campus information be collected during the summer of 2001 and that a preliminary report based on this information be provided by December 2001. This will allow time for data privacy, security and comparability issues to be addressed. For example, only data based on participation of a total group of students or a randomly selected sample may be included because self-selecting participation produces biased results. Because assessment instruments are constantly being developed, modified and adopted by individual campuses this will not be a static format from year to year. The first consolidated report could be available by December 2002.

Initial Target Reporting Date

Preliminary report December 2001 for AY 2000-2001.
First report, December 2002 for AY 2001-2002.
First trend information, December 2003.

Notes

Higher Education Academic Accountability Measurements

Accountability Measurement #7 – Cornerstone 2

1. **Levels and trends in licensure pass rates in comparison to other states.**

2. **Data Sources Useful for Measurement Purposes**
   Campuses and national organizations.

3. **Status of Data Availability**
   Currently being done but needs to be updated to be consistent with the Common Data Project recommendations.

Initial Target Reporting Date

Initial reports were provided for the 1999 legislative session. Current information can be found in Section 5, Page 2 of the Resource Guide. An updated report will be provided by December 2002, including trends.

Notes

a. Rhonda receives some national data from various councils and then calls campus departments for student scores.
b. Would like all national data to be sent directly to Rhonda rather than the campuses, but some national organizations may not permit this.
c. Processes will become compliant with new Common Data Project guidelines.

Higher Education Academic Accountability Measurements

Accountability Measurement #8 – Cornerstone 2

1. **Levels and trends in alumni-reported satisfaction with preparation in:**
   a. Major.
   b. The acquisition of specific basic and higher-order skills.
   c. Level, currency, and relevance of computer technology knowledge and abilities in relation to expectations in the marketplace.

2. **Data Sources Useful for Measurement Purposes**
   a. Employees.
   b. Alumni offices.

3. **Status of Data Availability**
   This will require a two stage process:
   1) Collection of existing campus, foundation and alumni office reports and;
   2) Selection or development and participation in a nationally normed survey process or processes. This will require resources particularly if campuses are expected to adopt system selected instruments that are different than what they already have in place. Participation in a national process is important for data comparison and reference purposes.

Initial Target Reporting Date

Information on a, b & c could be collected in Summer 2001 for a preliminary report by December 2001. If resources are available the first system-wide reports could be available as early as December 2002.

Notes

Higher Education Academic Accountability Measurements

Accountability Measurement #9 – Cornerstone 2

1. **Levels and trends in employer-reported satisfaction with preparation of recently hired college graduates.**

2. **Data Sources Useful for Measurement Purposes**
   Placement offices.

3. **Status of Data Availability**
   a. Data currently not available.
   b. Develop mechanism to collect data.

Initial Target Reporting Date
   a. Begin to collect data 7-1-2001.
   b. Data available fall 2002.

Notes
   Develop a state-wide system survey.

Higher Education Academic Accountability Measurements

Accountability Measurement #10 – Cornerstone 2

1. **Maintenance of accreditation of programs and institutions by national and regional accrediting bodies and acquisition of additional accreditation where appropriate.**

2. **Data Sources Useful for Measurement Purposes**
   a. Campus Vice Presidents of Academic Affairs.
   b. University System office.

3. **Status of Data Availability**
   Most of the information is currently maintained in the system office. The Senate Appropriations sub-committee has asked for a comparison of system institution accreditation status in comparison to peer institutions. This comparison can be available after peer institutions have been selected.

Initial Target Reporting Date

Accreditation Status Report April 2001.
Peer Institution Comparison Report one year after the selection of peer institutions.

Notes

Add matrix (or summary) of dates of accreditation visits.

Higher Education Academic Accountability Measurements

Accountability Measurement #11 – Cornerstone 2

1. **Levels and trends in peer review process results for:**
   a. Grants.
   b. Publications.

2. **Data Sources Useful for Measurement Purposes**
   a. Tenure reports (for publications.)
   b. Research office reports.
   c. Ad hoc campus reports.

3. **Status of Data Availability**

   Basic information is maintained on grants. A format would have to be developed to track applications, funded grants and pending applications. Most of the grant activity is on the two research campuses but information would be obtained from all campuses.

   It has never been easy to define and count different types of publications and it has become even harder with the advent of the Internet. Although many different processes need to be considered it is likely that every employee will be asked to provide information on publications every year. A classification form and process would have to be developed to do this. Definitions would also need to be agreed upon in several areas such as; article submitted for review; peer reviewed; approved for publication but not yet published etc.

Initial Target Reporting Date

Existing grant office reports are currently available from some campuses.
A preliminary grants report from all campuses could be available December 2001 & 2002.
First formal grants report available December 2003.
Preliminary publications report available December 2002.
First formal publications report available December 2003.

Notes

Need clear definitions on grants and publications.

Higher Education Academic Accountability Measurements

Accountability Measurement #12 – Cornerstone 2

1. **Levels and trends in proportion of students achieving goals—institution meeting the defined needs/goals as expressed by students.**

2. **Data Sources Useful for Measurement Purposes**
   Organizations such as Noel-Levitz and ACT (American College Testing) provide data collecting forms and data tabulation services, with national comparisons, for a fee.

3. **Status of Data Availability**
   Some but not all of the NDUS institutions are using national organizations to provide student and institution assessment data. Not all campuses that are using the services of a national organization are using the same organization and/or the same assessment programs offered. The possibility of having all NDUS institutions adopt compatible assessment programs which will allow aggregation and national comparisons will be determined by year-end 2001.

Initial Target Reporting Date

The first report with national comparisons may be available by year-end 2002.

Notes

a. Need a literature review of assessment programs available.
b. Data is of limited value without national comparisons.

Higher Education Academic Accountability Measurements

Accountability Measurement #13 – Cornerstone 3

1. **Proportion of University System decision makers (deans and higher levels) indication whether "they can operate more flexibly now than in the past."**

2. **Data Sources Useful for Measurement Purposes**
   Evaluation form for campus decision makers to by used system-wide.

3. **Status of Data Availability**
   Currently not available. An evaluation form will be prepared by year-end 2001.

Initial Target Reporting Date
By year-end 2002.

Notes
By year-end, an environment of increased flexibility should be in effect and measurable.

Higher Education Academic Accountability Measurements

Accountability Measurement #14 – Cornerstone 3

1. **Total number and trends in full-time, part-time, degree-seeking, and non-degree seeking students being served.**

2. **Data Sources Useful for Measurement Purposes**
   a. HECN.
   b. Current and new enrollment reports.

3. **Status of Data Availability**
   Implementation of new enrollment reporting process planned. The new report format will permit annual headcount information to be presented for the first time.

Initial Target Reporting Date

Old reporting format report December 2001.
New reporting format report December 2002.

Notes

Higher Education Academic Accountability Measurements

Accountability Measurement #15 – Cornerstone 3

1. **Number and trends regarding individuals, organizations, and agencies served through noncredit activities.**

2. **Data Sources Useful for Measurement Purposes**
   a. Annual reports from the workforce training quadrants.
   b. Continuing education annual reports.

3. **Status of Data Availability**
   For 2-a. Data is currently being collected and will be reported in annual reports from the workforce training quadrants.
   For 2-b. Some, but not all, of the data is currently being collected. In addition, not all of the data is collected and organized as requested by this accountability measure.

Initial Target Reporting Date
For 2-a. By year-end 2001.
For 2-b. By year-end 2002.

Notes

Higher Education Academic Accountability Measurements

Accountability Measurement #16 – Cornerstone 3

1. **Levels of satisfaction with responsiveness as reflected through responses to evaluations and surveys of clients:**
   a. Graduates and program completers.
   b. Employers.
   c. Business/program advisory councils.
   d. Companies and employees receiving training.
   e. Work force training boards.
   f. Campus presidents' advisory councils.
   g. Public school superintendents.
   h. Economic development professionals.
   i. Other client groups served.

2. **Data Sources Useful for Measurement Purposes**
   For 1-a&d To be obtained through employee surveys and alumni reports.
   For 1-b&d Some data is and will be obtained through annual reports from WFTR quadrants. An additional data collection system will need to be identified or developed for employers not yet included. The target date for identifying or developing the data collection system is year-end 2001. Data to be reported by year-end 2002.
   For 1-c, e, f, g, h & i.
   Evaluation forms will be developed to obtain levels of satisfaction from the client groups listed. Forms to be developed by year-end 2001. Data to be reported by year-end 2002.

3. **Status of Data Availability**
   See 2 above.

Initial Target Reporting Date
See 2 above.

Notes

Higher Education Academic Accountability Measurements

Accountability Measurement #17 – Cornerstone 3

1. **Levels of satisfaction and reasons for non-completion as reflected in a non-completers survey.**

2. **Data Sources Useful for Measurement Purposes**
   Organizations such as Noel-Levitz and ACT (American College Testing) provide data collecting forms and data tabulation services, with national comparisons, for a fee.

3. **Status of Data Availability**
   Some but not all of the campuses are using national organizations to provide student and institution assessment data. Not all campuses that are using the services of a national organization are using the same organization and/or the same assessment programs offered. The possibility of having all NDUS institutions adopt compatible assessment programs which will allow aggregation and national comparisons will be determined by year-end 2001.

Initial Target Reporting Date
The first report with national comparisons will be provided by year-end 2002.

Notes
a. Need a literature review of assessment programs available.
b. Data is of limited value without national comparisons.

Higher Education Academic Accountability Measurements

Accountability Measurement #18 – Cornerstone 4

1. **Levels and trends in the proportion of residents of the state who are within a 45-minute drive of a location at which they can receive educational programs from a provider, including providers from outside the immediate region (and would also have access to academic and student support services at the site).**

2. **Data Sources Useful for Measurement Purposes**
   a. ACCESS and eLearning report.
   b. Private colleges and universities (any data collected as a provider.)
   c. NDUS.
   d. Tribal colleges (any data collected as a provider.)

3. **Status of Data Availability**
   Process to collect and report data is being developed.

Initial Target Reporting Date
July 2002.

Notes
Tribal and private colleges will be invited to participate. We would ask for any data they may collect as a provider. NDUS will provide a summary report of all data collected.

Higher Education Academic Accountability Measurements

Accountability Measurement #19 – Cornerstone 4

1. **Levels and trends in the number of enrollments in distance learning courses by in-state and out-of-state residents.**

2. **Data Sources Useful for Measurement Purposes**
   a. Distance Education annual report.
   b. Institution Enrollment Reports.

3. **Status of Data Availability**
   For 2-a&b Available at this time.

Initial Target Reporting Date

Distance education – 10/2001 and FY July 2002.
Enrollments – 10/2001.

Notes

Enrollment reporting that will include distant education enrollments will be implemented Fall 2001.

Higher Education Academic Accountability Measurements

Accountability Measurement #20 – Cornerstone 4

1. **Levels and trends in the number and proportion of enrollments in courses offered in nontraditional ways (i.e., place, time, and format).**

2. **Data Sources Useful for Measurement Purposes**
   Enrollment reports as of summer 2001.

3. **Status of Data Availability**
   Data will be collected beginning Fall 2001.

Initial Target Reporting Date

Fall Semester Report October 2001.
Annual Report October 2002.

Notes

New enrollment reporting will include collection of course delivery methods and sites.

Higher Education Academic Accountability Measurements

Accountability Measurement #21 – Cornerstone 4

1. **Levels and trends in rates of participation of:**
   a. Recent high school graduates: nontraditional students (typically part time and older than average.)
   b. Individuals pursuing graduate degrees.

2. **Data Sources Useful for Measurement Purposes**
   Enrollment data (HECN.)

3. **Status of Data Availability**
   a. Currently being done.
   b. Implementation of new enrollment reporting process planned. The new report format will permit annual headcount information to be presented for the first time.

Initial Target Reporting Date

Old reporting format report December 2001.
New reporting format report December 2002.

Notes

Higher Education Academic Accountability Measurements

Accountability Measurement #22 – Cornerstone 4

1. **Trends in the number and percentage of businesses and employees in the region/state receiving training. (Duplicate: see also Economic Development Connection)**

2. **Data Sources Useful for Measurement Purposes**
   Annual reports form the four workforce training quadrants.

3. **Status of Data Availability**
   a. Data on number of businesses and employees receiving training in each region is being collected as of July 1, 1999. The data is available as of October 2000.
   b. Data on percent of businesses and employees receiving training in each region is being collected as of July 1, 2000 and will be available as of October 2001.

Initial Target Reporting Date

For 3-a. October 2000.
For 3-b. October 2001.

Notes

Higher Education Academic Accountability Measurements

Accountability Measurement #23 – Cornerstone 6

1. **The extent to which the recommendations of the 2000 roundtable are implemented and the performance as reflected in the accountability measurements is improved:**
   a. An annual performance and accountability report directly linked to the expectations developed by the roundtable is developed and widely distributed.
   b. An annual Higher Education Roundtable meeting(s) is held and accomplishes the purposes indicated in No. 1. of Sustaining the Vision recommendation.
   c. A status report on the state of the University System is presented to the Legislative Assembly during each legislative session.
   d. The University System reports on communications regarding the various recommendations of the roundtable-audiences reached, media used, presenter involved, etc.
   e. A checklist is maintained of action steps required and progress attained in meeting the expectations and accountability measurements agreed upon.

2. **Data Sources Useful for Measurement Purposes**

   For 1-a. Annual progress reports of campuses based on campus strategic plans. The new campus strategic plans will be linked to the six cornerstones from the Roundtable report and the corresponding accountability measures for each cornerstone In addition, major system-wide and SBHE achievements, in relation to the recommendations and accountability measures, will also serve as sources of information. The initial progress report is to be presented at the annual roundtable meeting during calendar year 2001.

   For 1-b. Feedback and responses from participants in the Roundtable meeting to be held during calendar year 2001.

   For 1-c. Data for the status report on the state of the University System will be obtained primarily from the peer institution comparisons. Other sources of information, including data obtained from the other accountability measures recommended in the report of the Roundtable, will also be drawn upon.

   For 1-d. Data will be collected by the University System Public Affairs Officer in cooperation with the public affairs officers for each of the University System institutions.

   For 1-e. Data regarding the action steps and progress will be obtained through an agreed upon communication and reporting system involving the key stakeholders. This system is yet to be developed.

3. **Status of Data Availability**

For 1-a. Is underway as described above.

For 1-b. The annual meeting of the Roundtable during calendar year 2001 has not yet been scheduled.

For 1-c. Is underway as described above.

For 1-d. Is underway.

For 1-e. Will need to be developed.

Initial Target Reporting Date

For 1-a. By year-end 2001 for the initial/preliminary report.

For 1-b. By year-end 2001.

For 1-c. Some data (peer institution comparisons) by year-end 2001. The remainder by year-end 2002.

For 1-d. By year-end 2001.

For 1-e. The system of communicati[illegible] collection will be developed by year-end 2001. Data [illegible] by year-end 2002.

Notes

NORTH DAKOTA UNIVERSITY SYSTEM
STATUS REPORT TO SENATE APPROPRIATIONS COMMITTEE ON 02/01/01
ON FISCAL ACCOUNTABILITY MEASURES FROM ROUNDTABLE REPORT

I. Financial Accountability Measures (performance indicators):

1. A **status report on higher education financing** as compared to the long-term financing plan.
Possible data sources: Accounting system reports, financial statements and long-term financing plans.
Data availability/status: Long-term financing plans under development. Reporting format to be developed in cooperation with legislative and executive branches.
Initial Target Reporting Date: Fiscal year (FY)2002

2. **Base funding:**
   a. Levels
   b. Uses including trends in base funding.

Possible data sources: Accounting system reports and appropriation bills.
Data availability/status: Data available in 2002. To be reported consistent with new appropriation format (i.e. operations, capital assets, block-grants). Reporting format to be developed in cooperation with legislative and executive branches.
Initial Target Reporting Date: FY2002 (1st year of 2001-03 biennium)

3. **Incentive funding** information including:
   a. Allocation
   b. Use of
   c. Results of incentive funding
   d. Incentive funding as a percentage of the higher education budget.

Possible data sources: Accounting system reports, appropriation bills and manual tracking reports.
Data availability/status: Data available in 2002. To be reported consistent with new appropriation format (i.e. block-grants). Reporting format to be developed in cooperation with legislative and executive branches.
Initial Target Reporting Date: FY 2002 (1st year of 2001-03 biennium)

4. Institutional **capital assets**:
   a. Value of institutional buildings and infrastructure
   b. Funds spent on renewal or updates
   c. Depreciation
   d. Status of deferred maintenance including a comparison of the funds appropriated for deferred maintenance to actual funds spent on deferred maintenance.

Possible data sources: Budget worksheets, accounting system reports, financial statements, campus master plans and a manual survey of deferred maintenance.
Data availability/status: 4a. and 4b. currently available. 4c. to be available in FY01, contingent upon successful conversion to new financial statement format. 4d. will require development of a manual survey to periodically identify outstanding deferred maintenance. This will be completed in FY03
Initial Target Reporting Date: FY01- FY03

5. **Deferred maintenance ratio** measures the size of the University System's outstanding maintenance as compared to its expendable net assets:

$$\frac{\text{Deferred Maintenance}}{\text{Expendable Net Assets}}$$

Possible data sources: Deferred maintenance survey identified in item #4d. above and financial statements.
Data availability/status: Financial statement data available in FY01, contingent upon successful conversion to new financial statement format. Deferred maintenance survey will be completed in FY03.
Initial Target Reporting Date: FY01-03

6. **Report on new construction and major renovation capital projects** for which specific appropriations are made, including:
   a. Budget to actual comparison
   b. Use of third-party funding
   c. Related Debt.

Possible data sources: Appropriation bills, accounting reports and financial statements.
Data availability/status: Currently available. Will be reported in FY02 (1st year of 01-03 biennium), based on new 01-03 appropriations.
Initial Target Reporting Date: FY02

7. **Funding Levels Compared to Peer Institutions:**
   a. Of institutions
   b. Other selected indicators.

Possible data sources: Peer comparator data, taken from IPEDS database. Other selected indicators not identified yet.
Data availability/status: Peer comparator data currently under development. Other selected indicators to be identified by the SBHE in the future.
Initial Target Reporting Date: FY02

8. **The amount and trends of funding from all financial sources.**
Possible data sources: Financial statements.
Data availability/status: Currently available.
Initial Target Reporting Date: FY01

9. **Operating income ratio** measures how inflows from fees for services provided contribute to the University System's overall funding:

$$\frac{\text{Operating Income}}{\text{Educational and General Expenses}}$$

Possible data sources: Financial statements
Data availability/status: To be available in FY01, contingent upon successful conversion to new financial statement reporting format.
Initial Target Reporting Date: FY01

10. **Contributed income ratio** measures how externally generated resources other than debt contribute to the University System's overall funding:

$$\frac{\text{Contributed Income}}{\text{Educational and General Expenses}}$$

Possible data sources: Financial statements
Data availability/status: To be available in FY01, contingent upon successful conversion to new financial statement reporting format.
Initial Target Reporting Date: FY01

11. **State general fund**:
   a. Appropriation levels and trends
   b. Changes in the State's economy
   c. Total state general fund appropriations.

Possible data sources: Appropriation bills, accounting reports and economic indicators from the State Data Center or other source.
Data availability/status: Data available in 2002. To be reported consistent with new 2001-03 appropriation.
Initial Target Reporting Date: FY02 (1st year of 2001-03 biennium)

12. Trend report **on appropriation for higher education**:
    a. Per capita
    b. Per student.

Possible data sources: Appropriation bills, accounting reports, economic indicators from the State Data Center or other sources and enrollment reports.
Data availability/status: Data available in 2002. To be reported consistent with new 2001-03 appropriation and new enrollment reporting format implemented for academic year 01-02.
Initial Target Reporting Date: FY02 (1st year of 2001-03 biennium)

13. The amount of **debt**:
    a. Incurred
    b. Supported in relation to limitation requirements.

Possible data sources: Financial statements, accounting reports and Industrial Commission analysis of statewide debt.
Data availability/status: Currently available.
Initial Target Reporting Date: FY01

14. **Debt coverage ratio** demonstrates the portion of net income available to meet the debt burden should economic conditions change:

$$\frac{\text{Adjusted Change in Net Assets}}{\text{Debt Service}}$$

Possible data sources: Financial statements.
Data availability/status: To be available in FY01, contingent upon successful conversion to new financial statement reporting format.
Initial Target Reporting Date: FY01

15. **Affordability** index detailing:
    a. Tuition and fees on a per student basis
    b. Total cost of attendance compared to peer institutions
    c. Tuition and fees as a percentage of median North Dakota household income
    d. Student affordability considering financial aid.

Possible data sources: Accounting system, new enrollment reports, survey of peer comparators, IPEDS data and economic indicators from the State Data Center or other source.
Data availability/status: 15a. Currently available-unless contingent on new enrollment reports which will not be available until FY02. 15b. Needs to be developed, including manual survey of peer comparators-FY03. 15c. Currently available-FY01. 15d. Definitions and data needs to be developed-FY03.
Initial Target Reporting Date: FY01-03

16. Trend reports on the **distribution of expenditures** by function.
Possible data sources: Financial statements.
Data availability/status: Currently available.
Initial Target Reporting Date: FY01

17. **Educational core services ratio** provides information regarding the portion of total funds being used for instruction, research, and public service:

$$\frac{\text{Educational Core Services Expenses}}{\text{Educational and General Income (all funding excluding capital and debt service amounts)}}$$

Possible data sources: Financial statements.
Data availability/status: To be available in FY01, contingent upon successful conversion to new financial statement reporting format.
Initial Target Reporting Date: FY01

18. **Educational support services ratio** provides information regarding the portion of total funds being used for academic support and student services:

$$\frac{\text{Educational Support Expenses}}{\text{Educational and General Income (all funding excluding capital and debt service amounts)}}$$

Possible data sources: Financial statements.
Data availability/status: To be available in FY01, contingent upon successful conversion to new financial statement reporting format.
Initial Target Reporting Date: FY01

19. **General support ratio** provides information regarding the portion of total funds being used for institutional support , operations, and maintenance of physical plant:

$$\frac{\text{General Support}}{\text{Educational and General Income (all funding excluding capital and debt service amounts)}}$$

Possible data sources:: Financial statements.
Data availability/status: To be available in FY01, contingent upon successful conversion to new financial statement reporting format.
Initial Target Reporting Date: FY01

20. **Equipment expenditure ratio** provides information regarding the portion of equipment inventory replaced:

$$\frac{\text{Annual Expenditures for Equipment Replacement}}{\text{Equipment Inventory Value}}$$

Possible data sources: Financial statements.
Data availability/status: To be available in FY02, due to changing capitalization values.
Initial Target Reporting Date: FY02

21. **Viability ratio** measures the ability of expendable net assets to cover debt:

$$\frac{\text{Expendable Net Assets}}{\text{Long-Term Debt}}$$

Possible data sources: Financial statements.
Data availability/status: To be available in FY01, contingent upon successful conversion to new financial statement reporting format.
Initial Target Reporting Date: FY01

22. **Primary reserve ratio** measures the ability to continue to operate without additional net assets:

$$\frac{\text{Expendable Net Assets}}{\text{Total Expenses}}$$

Possible data sources: Financial statements
Data availability/status: To be available in FY01, contingent upon successful conversion to new financial statement reporting format.
Initial Target Reporting Date: FY01

23. **Return on net assets ratio** measures the changes in net assets:

$$\frac{\text{Change in Net Assets}}{\text{Total Net Assets}}$$

Possible data sources: Financial statements.
Data availability/status: To be available in FY01, contingent upon successful conversion to new financial statement reporting format.
Initial Target Reporting Date: FY01

24. **Net income ratio** measures surpluses or deficiencies:

$$\frac{\text{Change in Unrestricted Net Assets}}{\text{Total Unrestricted Income}}$$

Possible data sources: Financial statements.
Data availability/status: To be available in FY01, contingent upon successful conversion to new financial statement reporting format.
Initial Target Reporting Date: FY01

25. Other **financial information** including:
    a. Debt
    b. Assets
    c. End-of-Year fund balances.

Possible data sources: Financial statements
Data availability/status: To be available in FY01, contingent upon successful conversion to new financial statement reporting format.
Initial Target Reporting Date: FY01

26. **Faculty and staff** trend information, including:
    a. Ratio of faculty and staff to students
    b. Faculty and staff turnover rates and major reasons
    c. Faculty and staff salary levels, annual average salary increases, and comparisons with peer institutions.

Possible data sources: Accounting and payroll reports, new enrollment reports, employee exit interview data, peer comparators survey and national salary survey information.
Data availability/status: 26a. Currently available-unless contingent on new enrollment reports which will not be available until FY02. 26b. Currently available in FY01. 26c. Currently available, except peer comparator information, which will be available in FY03.
Initial Target Reporting Date: FY01-03

27. Research and development efforts trend information, including:
    a. **Total funding received** for research, including federal, state, local, and private sources
    b. **Revenue generated** or additional funding earned by research and development projects.

Possible data sources: Accounting reports and financial statements and manual tracking for 15b.
Data availability/status: 15a. To be available in FY01, contingent upon successful conversion to new financial statement reporting format. 15b. Needs to be developed and will be reported in FY03.
Initial Target Reporting Date: FY01-03

28. **Research expenditure ratio** measures the amount of research expenditures per faculty FTE:

$$\frac{\text{Research Expenditures}}{\text{Faculty FTE}}$$

Possible data sources: Financial statements and budget reports.
Data availability/status: Currently available.
Initial Target Reporting Date: FY01

29. Annual audit report, with an **unqualified opinion**, that identifies suggested material areas of improvement to the University System's financial operations.
Possible data sources: State Auditor's annual financial statement audit report.
Data availability/status: Currently available.
Initial Target Reporting Date: FY01

30. Biennial audit report reflecting:
    a. **Budget to actual** appropriation statements.
    b. Appropriate financial **noteworthy accomplishments** and successes.
    c. A reduction in **material areas of statutory noncompliance.**
    d. University System **compliance with suggested significant areas of improvement.**

Possible data sources: State Auditor's annual financial statement audit report.
Data availability/status: Currently available.
Initial Target Reporting Date: FY01

SB, S003, Section 13 **SB 2003, Section 12**

ACCOUNTABILITY MEASURES PASSED BY THE SENATE			HOUSE APPROPRIATIONS SUBCOMMITTEE PROPOSED ACCOUNTABILITY MEASURES	
No.	Description			
34.	Student participation – Levels and trends in rates of participation of: a. Recent high school graduates and nontraditional students. b. Individuals pursuing graduate degrees.	Deleted		
35.	Sustaining the vision – The extent to which the recommendations of the 2000 higher education roundtables are implemented and performance is improved.	Deleted		

STATEMENT OF PURPOSE OF AMENDMENT:
SENATE – this amendment adds a section to provide for a Legislative Council study of the State Board of Higher Education's implementation of the performance and accountability measures report required by 20001 Senate Bill No. 2041 and a section detailing the information required to be included in the State Board of Higher Education's performance and accountability measures report.

SB, S003, Section 13			SB 2003, Section 12	
	ACCOUNTABILITY MEASURES PASSED BY THE SENATE		HOUSE APPROPRIATIONS SUBCOMMITTEE PROPOSED ACCOUNTABILITY MEASURES	
		New	1.e.	Faculty-reported and staff-reported satisfaction with the university system and local institution administration.
		New	1.g.	Student graduation and retention rates.
		New	2.c.	Average salary of university system graduates employed in the state as a percentage of the national average.
		New	2.e	Number of new jobs created in the state as a direct result of the efforts of a university system graduate.
1.	Higher education financing – A status report on higher education financing as compared to the long-term financing plan.	Deleted		
2.	Base funding – Levels, trends, and uses of base funding.	Deleted		
3.	Incentive funding, including:			
	a. The allocation, use of, and results of incentive funding.	Deleted		
	b. The percentage of the higher education budget provided as incentive funding.	Deleted		
4.	Deferred maintenance, including:			
	a. The value of institutional buildings, funds spent on renewal or updates as compared to depreciation, and the status of deferred maintenance, including a comparison of the funds appropriated for deferred maintenance to actual funds spent on deferred maintenance.	Deleted		
	b. A deferred maintenance ratio that measures the size of the university system's outstanding maintenance as compared to its expendable net assets.		5.d.	Ratio measuring the size of the university system's outstanding maintenance as compared to its expendable net assets.

SB, S003, Section 13			SB 2003, Section 12	
ACCOUNTABILITY MEASURES PASSED BY THE SENATE			**HOUSE APPROPRIATIONS SUBCOMMITTEE PROPOSED ACCOUNTABILITY MEASURES**	
No.	**Description**	**Indicator number**	**No.**	**Description**
5.	Capital projects – Report on new construction and major renovation capital projects for which specific appropriations are made, including budget to actual comparison, use of third-party funding, and related debt.	Deleted		
6.	Peer institution comparisons – Funding levels of institutions or other selected indicators as compared to peer institutions.		4.f.	State general fund appropriation levels for university system institutions compared to peer institutions general fund appropriation levels.
7.	Funding sources, including:			
	a. The amount and trends of funding from all financial sources.	Deleted		
	b. An operating income ratio that measures the amount of income from fees for service as compared to the university system's overall funding.	Deleted		
	c. A contributed income ratio that measures the amount of income from externally generated resources other than debt as compared to the university system's overall funding.		5.c.	Ratio measuring the funding derived from the operating and contributed income compared to total university system funding.
8.	State support information, including:			
	a. State general fund appropriation levels and trends as compared to changes in the state's economy and total state general fund appropriations.	Deleted		
	b. A trend report on per capita and per student appropriations for higher education.		4.c.	Cost per student in terms of general fund appropriations and total university system funding.
			4.e.	Per capita general fund appropriations for higher education.

SB, S003, Section 13			SB 2003, Section 12	
ACCOUNTABILITY MEASURES PASSED BY THE SENATE			HOUSE APPROPRIATIONS SUBCOMMITTEE PROPOSED ACCOUNTABILITY MEASURES	
No.	Description		No.	Description
9.	System indebtedness information, including:			
	a. The amount of debt incurred and supported in relation to limitation requirements.	Deleted		
	b. A debt coverage ratio that measures net income as compared to the amount of debt service.	Deleted		
10.	Affordability index detailing:			
	a. Tuition and fees on a per student basis and total cost of attendance compared to peer institutions.	[revised]	4.a.	Tuition and fees on a per student basis compared to the regional average.
	b. Tuition and fees as a percentage of median North Dakota household income.		4.b.	Tution and fees as a percentage of median North Dakota household income.
	c. Student affordability considering financial aid.	Deleted		
11.	Funding uses information, including:			
	a. A trend report on the distribution of expenditures by function.	Deleted		
	b. An educational core services ratio that measures the total funds being used for instruction, research, and public service as compared to the university system's overall funding excluding capital and debt services amounts.		5.a.	A percentage of total university system funding used for instruction, research, and public service.
	c. An educational support services ratio that measures the total funds being used for academic support and student services as compared to the university system's overall funding excluding capital and debt service amounts.	[revised]	4.d.	Administrative, instructional, and other cost per student.

SB, S003, Section 13			SB 2003, Section 12	
ACCOUNTABILITY MEASURES PASSED BY THE SENATE			HOUSE APPROPRIATIONS SUBCOMMITTEE PROPOSED ACCOUNTABILITY MEASURES	
Note:	Description	Explanation	Section No.	Description
	d. A general support ratio that measures the total funds being used for institutional support, operations, and maintenance of physical plant as compared to the university system's overall funding excluding capital and debt service amounts		5.b.	Percentage of total university system funding used for institutional support, operations, and maintenance of physical plant.
12.	Equipment expenditures – An equipment expenditure ratio that measures the total funds used for equipment replacement as compared to the total inventory value.	Deleted		
13.	Financial ratios and other financial information including:			
	a. A viability ratio that measures the amount of expendable net assets as compared to the amount of long-term debt.		5.e.	Ratio measuring the amount of expendable net assets as compared to the amount of long-term debt.
	b. A primary reserve ratio that measures the amount of expendable net assets as compared to the university system's overall spending.	Deleted		
	c. A return on net assets/ratio that measures the changes in net assets as compared to the university system's total net assets.	Deleted		
	d. A net income ratio that measures the change in unrestricted net assets as compared to total unrestricted income to provide information regarding surpluses or deficiencies.	Deleted		
	e. Debt, assets, and end-of-year fund balances.	Deleted		

SB, S003, Section 13		SB 2003, Section 12	
ACCOUNTABILITY MEASURES PASSED BY THE SENATE		**HOUSE APPROPRIATIONS SUBCOMMITTEE PROPOSED ACCOUNTABILITY MEASURES**	
14. Faculty and staff trend information, including:			
a. The ratio of faculty and staff to students.		1.f.	Ratio of faculty and staff to students.
b. Faculty and staff turnover rates and major reasons for turnover.	Deleted		
c. Faculty and staff salary levels, including annual average salary increases and comparisons with peer institutions.	Deleted		
15. Research and development efforts trend information, including:			
a. Total funding received for research, including federal, state, local, and private sources.	Deleted		
b. Revenue generated or additional funding earned by research and development projects.	Deleted		
c. A research expenditure ratio that measures the amount of research expenditures as compared to the number of faculty full-time equivalent positions.	[revised]	5.f.	Research expenditures in proportion to the amount of revenue generated by research activity and funding received for research activity.
16. Audit report information, including:			
a. An annual audit report, with an unqualified opinion, that identifies suggested material areas of improvement to the university system's financial operations.	Deleted		
b. A biennial audit report reflecting budget to actual appropriation statements; appropriate financial noteworthy accomplishments and successes; a reduction in material areas of statutory noncompliance; and university system compliance with suggested significant areas of improvement	Deleted		

SB, S003, Section 13			SB 2003, Section 12	
ACCOUNTABILITY MEASURES PASSED BY THE SENATE			**HOUSE APPROPRIATIONS SUBCOMMITTEE PROPOSED ACCOUNTABILITY MEASURES**	
No.				
17.	Workforce training information, including: a. Trends in the number and percent of businesses and employees in the region receiving training. b. Levels of satisfaction with training events as reflected in information systematically gathered from employers and employees receiving training.	Deleted	2.d.	Number of businesses and employees in the region receiving training.
18.	Entrepreneurship – Levels and trends in enrollment in entrepreneurship courses and the number of graduates of entrepreneurship programs.		2.a.	Enrollment in entrepreneurship courses and the number of graduates of entrepreneurship programs.
19.	Employment placement – Level and trends in the percentage of university system graduates obtaining employment appropriate to their education in state and out of state.		2.b.	Percentage of university system graduates obtaining employment appropriate to their education in the state.
20.	Partnerships and joint ventures – Levels and trends in partnerships and joint ventures between university system institutions and the following entities: a. Business and industry. b. Tribal colleges. c. Private sector training providers. d. Other university system institutions.	Deleted		

SB, S003, Section 13 | **SB 2003, Section 12**

ACCOUNTABILITY MEASURES PASSED BY THE SENATE			HOUSE APPROPRIATIONS SUBCOMMITTEE PROPOSED ACCOUNTABILITY MEASURES	
21.	Student performance information, including:			
	a. Levels and trends in performance of students on nationally recognized exams in their major fields in comparison with national averages.		1.a.	Student performance on nationally recognized exams in their fields compared to the national averages.
	b. Levels and trends in licensure pass rates in comparison to other states.		1.b.	First-time licensure pass rates compared to other states.
22.	Alumni satisfaction – Levels and trends in alumni-reported satisfaction with preparation in:			
	a. Selected major.		1.c.	Alumni-reported and student-reported satisfaction with preparation in selected major, acquisition of specific skills, and technology knowledge and abilities.
	b. Acquisition of specific basic and higher-order skills.		1.c.	
	c. Level, currency, and relevance of computer technology knowledge and abilities in relation to expectations in the marketplace.		1.c.	
23.	Employer satisfaction – Levels and trends in employer-reported satisfaction with preparation of recently hired college graduates.		1.d.	Employer-reported satisfaction with preparation of recently hired graduates.
24.	Institution and program accreditation – Maintenance of accreditation of programs and institutions by national and regional accrediting bodies and acquisition of additional accreditation where appropriate.	Deleted		

	ACCOUNTABILITY MEASURES PASSED BY THE SENATE		HOUSE APPROPRIATIONS SUBCOMMITTEE PROPOSED ACCOUNTABILITY MEASURES	
25.	Peer review process – Levels and trends in peer review process relating to: a. Grants. b. Publications.	Deleted		
26.	Student goals – Levels and trends in the number of students achieving goals – Institution meeting the defined needs/goals as expressed by students.	Deleted		
27.	Administrative flexibility – Proportion of university system decisionmakers (deans and higher levels) indicating whether "they can now operate with more flexibility than in the past."	Deleted		
28.	Student enrollment information, including: a. Total number and trends in full-time, part-time, degree-seeking, and non-degree-seeking students being served. b. The number and trends of individuals, organization, and agencies served through noncredit activities.	Deleted		
29.	Client satisfaction – Levels of satisfaction with responsiveness as reflected through responses to evaluations and surveys of clients: a. Graduates and individuals completing programs. b. Employers. c. Business/program advisory councils. d. Companies and employees receiving training.	Deleted		

SB, S003, Section 13			SB 2003, Section 12	
ACCOUNTABILITY MEASURES PASSED BY THE SENATE			HOUSE APPROPRIATIONS SUBCOMMITTEE PROPOSED ACCOUNTABILITY MEASURES	
No.	Description	Action	No.	Description
	e. Workforce training boards. f. Campus presidents' advisory councils. g. Public school superintendents. h. Economic development professionals. i. Other client groups.			
30.	Noncompleters satisfaction – Levels of satisfaction and reasons for noncompletion as reflected in a survey of individuals who have not completed their program or degree.	Deleted		
31.	Student access – Levels and trends in the proportion of residents of the state who are within a forty-five minute drive of a location at which they can receive educational programs from a provider, including providers from outside the immediate region who would also have access to academic and student support services at the site.	[abbreviated]	3.a.	Proportion of residents of the state who are withing a forty-five-minute drive of a location at which they can receive educational programs from a provider.
32.	Distance learning – Levels and trends in the number of enrollments in distance learning courses by in-state and out-of-state residents.	Deleted		
33.	Nontraditional courses – Levels and trends in the number and proportion of enrollments in courses offered by nontraditional methods.		3.b.	Number and trends of enrollments in courses offered by nontraditional methods.

HIGHER EDUCATION FISCAL ACCOUNTABILITY MEASUREMENTS

ACCOUNTABILITY MEASUREMENT # 8

The amount and trends of funding from all financial souuces (6 to 10 year trend)

DATA SOURCES USEFUL FOR MEASUREMENT PURPOSES

Financial statements – June 30, 2001 use Statement of Current Revenues
June 30, 2002 use Statement of Activities

APPLICABLE DATES

FY2001

NOTES

See attached example

REPORTING/TRACKING RESPONSIBILITY
Campuses and System Office

Minot State University
Statement of Current Revenues
For the Year Ended June 30, 1999

Exhibit B-1

	Unrestricted	Restricted	Total
Education and General:			
Tuition and Fees - Institutional Collections	$6,901,446.92		$6,901,446.92
Tuition and Fees - Local	2,491,448.75		2,491,448.75
State Appropriations	13,127,220.37		13,127,220.37
Land Department - Endowment Income	33,000.00		33,000.00
Gifts, Grants, and Contracts			
Federal	0.00	$5,045,126.38	5,045,126.38
State	0.00	463,961.93	463,961.93
Private	293,976.43	708,038.82	1,002,015.25
Total	$293,976.43	$6,217,127.13	$6,511,103.56
Sales and Services	704,377.62		704,377.62
Total Education and General	$23,551,470.09	$6,217,127.13	$29,768,597.22
Auxiliary Enterprises:			
Student Housing	$604,327.70		
Bookstore	1,638,060.79		
Food Service	773,083.82		
Student Union Vending	7,416.56		
Student Union	3,779.00		
Classic Cuts	0.00		
Long Distance	92,100.89		
Athletics	209,569.16		
BOT Athletics	35,106.55		
BOT Food Service	305,951.24		
BOT Bookstore	137,815.02		
BOT Housing	188,952.17		
Total Auxiliary Enterprises	$3,996,162.90		$3,996,162.90
Total Current Revenue	$27,547,632.99	$6,217,127.13	$33,764,760.12

UTOPIA UNIVERSITY

Statement of Activities

Current Year

	Unrestricted	Temporarily Restricted	Permanently Restricted	Total
Revenues:				
Educational and general:				
Tuition and fees	$60,374,000	0	0	60,374,000
Federal grants and contracts	1,467,000	0	0	1,467,000
State grants and contracts	1,194,000	0	0	1,194,000
Private gifts and grants	2,598,000	1,553,000	1,645,000	5,796,000
Interest on loans receivable	37,000	0	0	37,000
Investment income	1,128,000	913,000	31,000	2,072,000
Net realized gains	745,000	1,520,000	0	2,265,000
Net unrealized appreciation (depreciation)	277,000	(1,340,000)	27,000	(1,036,000)
Other sources	628,000	0	0	628,000
Auxiliary enterprises	14,800,000	0	0	14,800,000
Total revenues and gains	83,248,000	2,646,000	1,703,000	87,597,000
Net assets released from restrictions—				
Satisfaction of program restrictions	2,049,000	(2,049,000)	0	0
Total revenues, gains, and other support	85,297,000	597,000	1,703,000	87,597,000
Expenses:				
Educational and general:				
Instruction	23,532,000	0	0	23,532,000
Research	57,000	0	0	57,000
Public support	42,000	0	0	42,000
Academic support	6,809,000	0	0	6,809,000
Student services	9,017,000	0	0	9,017,000
Institutional support	11,183,000	0	0	11,183,000
Operation and maintenance of plant	5,457,000	0	0	5,457,000
Scholarships and fellowships	14,538,000	0	0	14,538,000
Total educational and general	70,635,000	0	0	70,635,000
Auxiliary enterprises	12,372,000	0	0	12,372,000
Total expenses	83,007,000	0	0	83,007,000
Increase in net assets	2,290,000	597,000	1,703,000	4,590,000
Net assets at beginning of year	83,724,000	2,357,000	9,949,000	96,030,000
Net assets at end of year	$86,014,000	2,954,000	11,652,000	100,620,000

HIGHER EDUCATION FISCAL ACCOUNTABILITY MEASUREMENTS

ACCOUNTABILITY MEASUREMENT # 9

Operating Income Ratio measures how inflows from fees for services provided contribute to the NDUS's overall funding:

$$\frac{\text{Operating Income}}{\text{Educational and General Expenses}}$$

DATA SOURCES USEFUL FOR MEASUREMENT PURPOSES

Financial statements

APPLICABLE DATES

FY2001

NOTES

See attached examples

REPORTING/TRACKING RESPONSIBILITY

Campuses and System Office

RATIO NO. 6 - OPERATING INCOME RATIO

This ratio focuses on inflows from fees for services provided by the institution. Management decisions and market responses - including those concerning student recruitment, tuition pricing, and sponsored program participation - determine the significance of operating income to the institution. The Operating Income Ratio demonstrates the extent to which current-year internally generated resources have contributed to the overall financing of the institution's operations. This ratio provides a measure of institutional self sufficiency and an understanding of dependence on markets served. Additionally, it serves to highlight the variability of this source of income and the need to maintain quality and market demand.

Almost all colleges and universities rely on tuition and fees as their primary source of operating income. At the same time, many institutions are discounting tuition. In this ratio, we suggest that the analyst remove total financial aid to arrive at net tuition earned by the institution.

Trends are extremely important in analyzing this ratio. A declining trend over time indicates weakening financial performance from self-generated activities. Such a decline will need to be offset by other funding sources.

The Operating Income Ratio is calculated as follows:

$$\frac{\text{Operating Income}}{\text{Educational and General Expenses}}$$

The numerator comprises the sum of all self-generated income other than investment income, contributions, and net assets released from restrictions. The first item in the numerator is net tuition: tuition and fees less total financial aid. In this model, we treat financial aid as a discount on tuition revenue. As a result, financial aid should be removed from the numerator of this ratio. The figure for total financial aid includes money from the institution's operating budget as well as "funded aid" or gifts restricted for scholarships and fellowships. Many analysts prefer to discount tuition by the amount spent on financial aid from the unrestricted funds alone. Under the new accounting standards, this number will not be required disclosure in the financial statements. In the absence of unrestricted aid (the "discount"), we use total financial aid as the discount on tuition.

The numerator also includes state and federal appropriations to independent universities (these appropriations apply to few independent colleges and universities). The numerator includes the surplus or deficit created by auxiliary operations. It includes the following items from the institution's statement of activities:

- Net tuition (tuition and fees less total financial aid);
- Grants and contracts (exchange transactions only), including indirect cost recoveries;
- Net auxiliary surplus/deficit (auxiliary revenues minus auxiliary expenses); and
- Other income.

The denominator of this ratio is total expenses from the statement of activities less financial aid expense less auxiliary expenses and hospital expenses. Financial aid is removed from the denominator to maintain consistency in its treatment as a discount on tuition. Auxiliary expenses and hospital expenses are removed to determine educational and general expenses.

Illustration of Ratio No. 6

The Operating Income Ratio for the current year is 92 percent, up from 89 percent in the previous year. This indicates that 92 percent of Utopia's educational and general expenses were covered by revenues that were internally generated. Whether the increase between the prior year and the current year is a significant or ongoing trend can only be determined using trend analysis.

Ratio No. 6 - Operating Income Ratio

	Operating Income / Educational and General Expenses	
	Current	Prior
Numerator: Operating Income		
+Tuition and fees	$60,374	$59,045
- Total financial aid	(14,538)	(12,769)
+State grants and contracts	1,194	1,184
+ Federal grants and contracts	1,467	1,204
+ Interest on loans receivable	37	24
+ Other sources	628	892
+ Auxiliary revenues	14,800	13,811
- Auxiliary expenses	(12,372)	13,643)
Numerator: Operating income	$51,590	$49,748
Denominator: Educational and general expenses		
+ Total expenses	83,007	82,572
- Auxiliary expenses	(12,372)	(13,643)
- Total financial aid	(14,538)	(12,769)
Denominator: Educational and general expenses	$56,097	$56,160
Value of ratio	92%	89%

Several other ancillary ratios may provide an additional level of information about the strength of the funds available to an institution. Most private colleges and universities depend on tuition and fees as their primary source of income. As a result, tuition-dependent institutions are particularly sensitive to changes in enrollment patterns. Such institutions may wish to track their degree of dependency by using the Net **Tuition Dependency Ratio**, which measures tuition and fees less financial aid as a percentage of total income (as defined in Ratio No. 4). Another important measure used to examine net tuition is **Net Tuition per Full-Time Equivalent (FTE) Student**. This ratio allows the institution to see the average amount of actual revenue on a per-student basis.

These two ratios behave differently. An *increase* in the Net Tuition per FTE Student Ratio is a positive occurrence; however, a *decrease* in the Net Tuition Dependency Ratio usually benefits the institution. A downward trend in the Net Tuition Dependency Ratio is considered a positive occurrence because it usually indicates that the institution is increasing its diversity in funding sources. Such diversity may protect an institution from economic cycles. For instance, a drop in enrollment may occur in the same year that an institution experiences high investment return. However, downward trends must be interpreted with caution. A decrease in the numerator and no change in the denominator would also produce a downward trend-but in this case one with clearly negative implications.

Investment return is a significant source of income for many institutions. Because this income is not included in the Operating Income Ratio, another ratio considers the contribution of investment return. The Investment Income Ratio examines unrestricted investment income and gains (realized and unrealized) as compared to educational and general expenses. This ratio shows the contribution that investments make to funding the institution's educational and general activities. There are other important factors to track in the area of investments. The analyst should understand the institution's spending policy with regard to the yield on long-term investments. Breaking down investment growth to compare gifts and other additions with growth through appreciation of the portfolio may also prove useful.

Another set of ratios, the **Receivables Turnover Ratios**, clarify management's ability to collect revenue. Any receivable, such as student accounts receivable or grants and contracts receivable, may be compared with the corresponding income stream. Such ratios are important measures of operating performance and are widely used in the business community for trade accounts receivable, where they are sometimes converted to "days outstanding measures" (receivable divided by average revenue per business day, seasonally adjusted).

And finally, should the analyst wish to perform a more detailed analysis, ratios may be calculated for each source of funding as a percentage of total educational and general expenses.

HIGHER EDUCATION FISCAL ACCOUNTABILITY MEASUREMENTS

ACCOUNTABILITY MEASUREMENT # 16

Trend reports on the distribution of expenditures by function

DATA SOURCES USEFUL FOR MEASUREMENT PURPOSES

Financial Statements

APPLICABLE DATES

FY2001

NOTES

June 30, 2001 – use Comparative Statement of Current Funds Revenue, Expenditures, and Other Changes

June 30, 2002 – use Statement of Activities

See attached examples

REPORTING/TRACKING RESPONSIBILITY

Campus personnel and NDUS staff

Minot State Unive

Comparative Statement of C Funds
Revenue, Expenditures, and Changes
For the Year Ended June 30, ...9

Exhibit 6

	Current Unrestricted	Auxiliary Enterprises	Current Restricted	Total	Prior Year Total
evenues:					
Tuition and Fees - Institutional Collections	$6,901,446.92			$6,901,446.92	$6,787,932.68
Tuition and Fees - Local	2,491,448.75	$125,685.00		2,617,133.75	2,260,807.28
State Appropriations	13,127,220.37			13,127,220.37	12,747,290.88
Federal Grants and Contracts	0.00		$5,045,126.38	5,045,126.38	4,536,910.13
State Grants and Contracts	0.00		463,961.93	463,961.93	403,279.81
Private Gifts and Contracts	326,976.43	32,282.36	708,038.82	1,067,297.61	962,565.88
Institutional Sales and Services	704,377.62			704,377.62	906,406.92
Sales and Services, Auxiliaries	0.00	3,838,195.54		3,838,195.54	3,660,403.30
Land Department	0.00			0.00	0.00
Total Revenue	$23,551,470.09	$3,996,162.90	$6,217,127.13	$33,764,760.12	$32,265,596.88
xpenditures and Mandatory Transfers:					
Education and General					
Instruction	$12,099,915.76		$1,220,987.02	$13,320,902.78	$13,323,403.35
Research	3,067.15		29,248.52	32,315.67	53,019.04
Public Service	390,679.78		1,167,799.63	1,558,479.41	1,532,019.30
Academic Support	2,725,669.52		2,104.64	2,727,774.16	2,643,115.05
Institutional Support	1,866,097.80		51,814.38	1,917,912.18	1,704,733.32
St t Services	1,713,856.09		1,695.20	1,715,551.29	1,639,709.24
O tion of Plant	3,319,935.61		0.00	3,319,935.61	3,407,037.94
Sc rships and Fellowships	311,918.26		3,743,477.74	4,055,396.00	3,674,512.12
Education and General Expenditures	$22,431,139.97	$0.00	$6,217,127.13	$28,648,267.10	$27,977,599.36
Mandatory Transfers	80,050.59			$80,050.59	$62,540.78
Total Education and General	$22,511,190.56		$6,217,127.13	$28,728,317.69	$28,060,140.14
Auxiliary Enterprises					
Expenditures		$3,600,242.36	$0.00	$3,600,242.36	$3,291,103.23
Mandatory Transfers		405,255.07		405,255.07	318,884.35
Total Expenditures and Transfers, Auxiliary	$0.00	$4,005,497.43	$0.00	$4,005,497.43	$3,609,987.58
Total - Education and General, and Auxiliary	$22,511,190.56	$4,005,497.43	$6,217,127.13	$32,733,815.12	$31,670,127.72
her Transfers, Additions, and Deductions:					
nterfund Transfers	($24,499.72)	($72,572.02)	$32,334.59	(64,737.15)	$141,794.14
ntrafund transfers	0.00	0.00		0.00	0.00
xcess of Restricted Revenue over Receipts	0.00	0.00	239,054.25	239,054.25	186,043.55
Other Additions (Deductions)	0.00	0.00	(161,650.38)	(161,650.38)	(122,704.56)
ccrued Annual and Sick Leave	(1,932.06)	617.85		(1,314.21)	(31,873.40)
Total Other Transfers, Additions, Deductions	($26,431.78)	($71,954.17)	$109,738.46	$11,352.51	$173,259.73
t Increase (Decrease) in Fund Balance	$1,013,847.75	($81,288.70)	$109,738.46	$1,042,297.51	$768,728.89

HIGHER EDUCATION FISCAL ACCOUNTABILITY MEASUREMENTS

ACCOUNTABILITY MEASUREMENT # 17

Educational Core Services Ratio: This measurement provides information regarding the portion of total funds being used for instruction, research, and public service:

$$\frac{\text{Educational Core Services Expenses}}{\text{Educational and General Income (all funding excluding capital and debt service amounts)}}$$

DATA SOURCES USEFUL FOR MEASUREMENT PURPOSES

Financial statements

APPLICABLE DATES

FY2001

NOTES

See attached examples

REPORTING/TRACKING RESPONSIBILITY

Campus personnel and NDUS staff

RATIO NO. 11 – EDUCATIONAL CORE SERVICES RATIO

This ratio analyzes whether core services are using a growing or dwindling share of institutional resources. Core services are defined as the functional categories of expense directly linked to the core mission of the organization.

Educational Core Services Expenses
Educational and General Income

The numerator includes instruction, research, and public service. The denominator is composed of total unrestricted revenues and other additions from the statement of activities, including net assets released from restrictions for the fiscal year. Hospital revenues and auxiliary revenues should be removed from this number. Financial aid is also removed because it is treated in this document as a tuition discount.

The expense categories included in the numerator of this ratio are defined as follows:

- **Instruction.** This function comprises all instructional expenses of the institution, including those for undergraduate, graduate, and professional schools as well as those for evening, extension, and continuing education programs. Also included are departmental research not separately budgeted and organized activities related to educational departments. Instructional expenses encompass both credit and noncredit courses; occupational, vocational, and remedial instruction; the instructional departments' portion of work-study programs; and staff benefits. Excluded are academic administration when administration is the primary assignment, faculty development, and intercollegiate athletics.

- **Research.** This element comprises all expenses for activities specifically organized to produce research outcomes-both those sponsored by external agencies and separately budgeted programs of the institution. Sponsored teaching programs and sponsored non-research programs are excluded.

- **Public Service.** This category of expense is composed of expenses for activities to provide non-instructional services to individuals and groups external to the institution. It includes off campus work-study programs, conferences, and seminars. Public relations for the institution, alumni activities, and development activities are excluded.

Illustration of Ratio No. 11

The Educational Core Services Ratio for Utopia is currently 42 percent. This indicates that four tenths of all income is allocated to educational core services. As in the Operating Income Ratio and the Contributed Income Ratio, the institution must understand long-range historical trends to understand fully the implications of this ratio.

Ratio No. 11 - Educational Core Services Ratio

Educational Core Services
Expenses Educational and General Income

	Current	Prior
Numerator: Educational core services expenses		
+ Instruction	$23,532	$23,159
+ Research	57	1
+ Public service	42	0
Numerator: Educational core services expenses	$23,631	$23,160
Denominator: Educational and general income		
+ Total unrestricted revenues and gains	$83,248	$81,868
+ Net assets released from restrictions	2,049	5,261
- Auxiliary revenue	(14,800)	(13,811)
- Financial aid	(14,538)	(12,769)
Denominator: Educational and general income	$55,959	$60,549
Value of ratio	42%	38%

HIGHER EDUCATION FISCAL ACCOUNTABILITY MEASUREMENTS

ACCOUNTABILITY MEASUREMENT # 19

General Support Ratio: Provides information regarding the portion of total funds being used for institutional support operations, and maintenance of physical plant:

General Support
Educational and General Income
(all funding excluding capital and debt service)

DATA SOURCES USEFUL FOR MEASUREMENT PURPOSES

Financial Statements

APPLICABLE DATES
FY2001

NOTES
See attached examples

REPORTING/TRACKING RESPONSIBILITY

Campus personnel and NDUS Staff

RATIO NO. 13 - GENERAL SUPPORT RATIO

This ratio analyzes whether general support expenses are using a growing or dwindling share of institutional resources. These expenses are indirectly related to mission.

$$\frac{\text{General Support}}{\text{Educational and General Income}}$$

The numerator is composed of institutional support expenses plus operations and maintenance of plant. The denominator is the same as the denominator in Ratio Nos. 11 and 12.

- **Institutional Support** is composed of all expenses that relate to the day-to-day operations or business management of the institution. These include expenditures for activities such as the central administration, governing board, planning, fiscal operations, legal services, institutional research, computing support, human resources, logistical activities, security, transportation, alumni, public relations, fund-raising, development, and other miscellaneous operational expenditures. Also included are the institutional support portion of work-study programs and staff benefits. Expenses for other departmental staff benefits, the registrar's office, the admissions office, the financial aid office, the academic dean's office, academic computing, payments of principal and interest on plant debt, the student newspaper, and the yearbook are not included.

- **Operations and Maintenance of Plant** includes all current operating expenses related to the general operation and maintenance of the physical plant. It includes utilities and maintenance, fire protection, property insurance, and the plant portion of work-study programs and staff benefits. Principal and interest payments on plant, security, and transportation are excluded.[6]

The Educational Core Services Ratio, the Educational Support Ratio, and the General Support Ratio are especially useful in trend analysis. An examination of movement over time will determine whether a particular category is obtaining a growing or dwindling share of total income available. These ratios are also valuable for interinstitutional comparisons. Differences in results among similar institutions may lead to profitable explorations of underlying conditions. For example, administrators may ask, "Why does our institution spend proportionally more of its available resources on general support than do other institutions, and thus have fewer funds to put into educational core services?" Institutions with higher ratios in certain support costs, especially general support, may need to consider cost reductions (perhaps by reengineering work processes or by outsourcing) and reallocation of savings to core services.

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[6] In September 1994, NACUBO's Accounting Principles Committee (APC) issued a position paper on "Certain Revenue and Expense Reporting Under FASB Statement 117 and Proposed 1995 AICPA Audit Guide." Among the conclusions reached by APC is that operation and maintenance of plant is an expense object rather than an expense function and should be allocated to other functions. Thus operation and maintenance may not be readily available to the analyst.

Illustration of Ratio No. 13

The General Support Ratio for Utopia is currently 30 percent up from 28 percent in the previous year. This seems to indicate that, over the short term, general support expenses have been relatively stable as compared to total income (unrestricted revenues, gains, and other support).

Ratio No. 13 - General Support Ratio

	General Support / Educational and General Income	
	Current	Prior
Numerator: General support		
+ Institutional support	$11,183	$10,819
+ Operations and maintenance	5,457	6,333
Numerator: General support	$16,640	$17,152
Denominator: Educational and general income		
+ Total unrestricted revenues and gains	$83,248	$81,868
+ Net assets released from restrictions	2,049	5,261
- Auxiliary revenue	(14,800)	(13,811)
- Financial aid	(14,538)	(12,769)
Denominator: Educational and general income	$55,959	$60,549
Value of ratio	30%	28%

More precise analysis can be conducted in this area. Each income stream and expense line can be presented as a numerator over the appropriate denominator. These ratios would be analogous to the contribution and demand ratios presented in the second edition of *Ratio Analysis in Higher Education*.

In addition to the core and support ratios, an analyst may wish to examine **Natural Classification Ratios.**[7] An analysis by natural classification, if available, may be highly instructive. Ratios using natural-or object of expense-classification might include salaries and wages to income, or supplies and travel to income. Examples of other expenses reported by natural classification might include rent, electricity, interest expense, depreciation, and professional fees.

[7] Under SFAS No. 117, paragraph 26, colleges and universities are encouraged but not required to provide information about expenses by their natural classification.

HIGHER EDUCATION FISCAL ACCOUNTABILITY MEASUREMENTS

ACCOUNTABILITY MEASUREMENT # 25

Debt, assets, and end of year fund balances

DATA SOURCES USEFUL FOR MEASUREMENT PURPOSES

Financial statements

APPLICABLE DATES

FY 2001

NOTES

June 20, 2001 – Use Balance Sheet

June 30, 2002 – Use Statement of Financial Position

See attached examples

REPORTING/TRACKING RESPONSIBILITY

Campus personnel and NDUS staff

Minot State University
Balance Sheet
June 30 ,1999
With Comparative Figures at June 30, 1998

Exhibit A

ASSETS			LIABILITIES AND EQUITIES		
Endowment Funds:			**Endowment Funds:**		
Cash	$3,424.43	$4,384.07	Endowment Fund Balance	$1,602,347.17	$1,327,953.62
Investments	1,841,130.74	1,565,653.62	Quasi-Endowment Fund Balance	242,424.43	242,084.07
Accrued Interest Receivable	216.43	0.00			
Total Endowment Funds	$1,844,771.60	$1,570,037.69	Total Endowment Funds	$1,844,771.60	$1,570,037.69
Plant Funds:			**Plant Funds:**		
Unexpended			**Unexpended**		
Cash	$48,170.62	($461,875.06)	Accounts Payable	$19,134.96	$508,552.98
Accounts Receivable	25,000.00	618,634.99	Salaries Payable	0.00	99.79
Investments	373,500.00	250,000.00	Retainage Payable	90,941.20	213,708.06
State Appn. Receivable	16,521.00	419,655.79	Due to Other Funds	67,702.00	247,702.00
Due From Other Funds	40,086.00	28,936.11	Fund Balance	325,499.46	(114,711.00)
Accrued Interest Receivable	0.00	0.00			
Total Unexpended	$503,277.62	$855,351.83	Total Unexpended	$503,277.62	$855,351.83
Retirement of Indebtedness			**Retirement of Indebtedness**		
Cash	$5,622.99	$5,923.00	Accrued Interest Payable	$86,395.15	$92,886.36
Investments	785,389.24	778,051.51	Accounts Payable	0.00	0.00
Accrued Interest Receivable	31,688.98	33,946.59	Fund Balance	736,306.06	725,034.79
Total Retirement of Indebt.	$822,701.21	$817,921.15	Total Retirement of Indebt.	$822,701.21	$817,921.15
Investment in Plant			**Investment in Plant**		
Land	$124,507.24	$124,507.24	Bonds Payable	$4,850,384.18	$5,365,700.58
Improvements Other Than Bldgs.	3,404,811.10	3,328,088.91	Leases Payable	403,784.02	525,344.49
Buildings	58,607,772.77	50,558,810.34	Net Investment in Plant	70,033,952.62	65,514,741.55
Equipment	7,166,143.64	6,602,373.00			
Library Materials	5,984,886.07	5,725,313.96			
Construction in Progress	0.00	5,066,693.17			
Total Investment in Plant	$75,288,120.82	$71,405,786.62	Total Investment in Plant	$75,288,120.32	$71,405,786.62
Total Plant Funds	$76,614,099.65	$73,079,059.60	Total Plant Funds	$76,614,099.65	$73,079,059.60
Agency Funds:			**Agency Funds:**		
Cash	$738,286.99	$468,879.90	Deposits Held for Others	$8,629,371.03	$6,125,240.52
Investments	8,044,301.64	5,801,872.76	Payroll Withholding Payable	200,613.11	179,588.25
Accrued Interest Receivable	37,258.93	25,768.98	Accounts Payable	6,472.95	8,622.24
Accounts Receivable	13,270.63	13,590.47	Due To Other Funds	0.00	0.00
Due From Other Funds	0.00	0.00			
Other Current Assets	3,338.90	3,338.90			
Total Agency Funds	$8,836,457.09	$6,313,451.01	Total Agency Funds	$8,836,457.09	$6,313,451.01

APPENDIX ONE:

Utopia University Financial Statements

UTOPIA UNIVERSITY

Statements of Financial Position

	Current	Prior
Assets		
Cash and cash equivalents	$ 20,693,000	19,605,000
Student accounts receivable, net of allowances of		
$311,000 in 1994 and $196,000 in 1993	1,203,000	1,071,000
Other receivables	1,175,000	1,453,000
Contributions receivable	1,295,000	1,215,000
Deferred charges and prepaid expenses	1,040,000	1,071,000
Investments held for long-term purposes, at market	45,062,000	40,905,000
Notes receivable, net of allowances of $391,000		
in 1994 and $371,000 in 1993	9,513,000	9,230,000
Property, plant, and equipment, net	77,900,000	79,305,000
Total assets	$157,881,000	153,855,000
Liabilities and Net Assets		
Liabilities:		
Accounts payable	962,000	1,250,000
Accrued expenses	5,286,000	4,810,000
Deferred revenues	1,227,000	1,251,000
Student deposits	211,000	259,000
Accrued postretirement benefits	1,806,000	1,806,000
Long-term debt	39,476,000	40,387,000
U.S. government grants refundable	8,293,000	8,062,000
Total liabilities	57,261,000	57,825,000
Net assets:		
Unrestricted	86,014,000	83,724,000
Temporarily restricted	2,954,000	2,357,000
Permanently restricted	11,652,000	9,949,000
Total net assets	100,620,000	96,030,000
Total liabilities and net assets	$157,881,000	153,855,000

HIGHER EDUCATION FISCAL ACCOUNTABILITY MEASUREMENTS

ACCOUNTABILITY MEASUREMENT # 29

Annual audit report, with an unqualified opinion, that identifies suggested material areas of improvement to the NDUS's financial operations.
Biennial audit report reflecting:
a. Budget to actual appropriation statements
b. Appropriate financial noteworthy accomplishments and successes
c. A reduction in material areas of statutory noncompliance
d. NDUS compliance with suggested significant areas of improvement

DATA SOURCES USEFUL FOR MEASUREMENT PURPOSES

Financial statement audit report

APPLICABLE DATES

FY2001

NOTES

a. Budget to actual appropriation statements: Included in the financial statements

b. Noteworthy accomplishments: To be included in future financial statement audit reports.
Check to see if accomplishments listed by campus or for the System

c. Material non-compliance: Determine at the time we evaluate the audit findings and recommendations

d. Compliance with suggested areas of improvements: Evaluate and measure when follow-up conducted

REPORTING/TRACKING RESPONSIBILITY

Campus personnel and NDUS staff

Prepared by the North Dakota Legislative Council
staff for Senate Appropriations
December 29, 2000

Department 243 - Minot State University - Bottineau
Senate Bill No. 2003

	FTE Positions	General Fund	Other Funds	Total
2001-03 Executive Budget	47.44	$4,083,153	$0	$4,083,153
1999-2001 Legislative Appropriations	47.44[1]	4,094,861	3,549,243	7,644,104[2]
Increase (Decrease)	0.00	($11,708)	($3,549,243)	($3,560,951)

[1] The 1999-2001 appropriation is based on 46.2 FTE positions. Section 6 of 1999 House Bill No. 1003 authorized the University System to adjust FTE positions as needed. The 47.44 FTE positions shown above represent the employee positions reported to the Office of Management and Budget (OMB).

[2] The 1999-2001 appropriation amounts include $3,966 from the general fund for the agency's share of the $1.4 million funding pool appropriated to OMB for assisting agencies in providing the $35 per month minimum salary increases in July 1999 and July 2000, $19,828 from the general fund for the agency's share of the $2,630,992 critical salary funding pool appropriated to the University System to address additional salary increases beyond legislative appropriations for market and equity raises, and $137,160 from the general fund for the agency's share of the $4,290,128 equity and special needs funding pool appropriated to the University System to address equity funding issues and special academic program needs. The 1999-2001 appropriation amounts do not include $605,421 of other adjustments and 1997-99 carryover authority.

Major Items Affecting Minot State University - Bottineau 2001-03 Budget

	General Fund	Other Funds	Total
1. Consolidates funding into two line items--operations and capital assets.			
2. Provides funding in the capital assets line item for:			
a. Thatcher Hall electrical system upgrade.	$75,000		$75,000
b. Extraordinary repairs of $112,663, which is an increase of $22,533 from the funding provided in the 1999-2001 biennium of $90,130.	$22,533		$22,533
3. Removes appropriations of tuition, continuing education, and other income.		($1,544,879)	($1,544,879)
4. Removes the appropriation for local funds.		($2,498,570)	($2,498,570)
5. The executive recommendation does not include funding for recommended compensation plan salary increases of three percent of salaries the first year of the biennium and two percent for the second year. Funding for institutions' salary increases is pooled and provided in the North Dakota University System office budget for the State Board of Higher Education to allocate to individual institutions.			

Major Legislation Affecting Minot State University - Bottineau

Senate Bill No. 2037 provides a continuing appropriation of higher education institutions' special revenue funds including tuition and allows institutions to carry over at the end of the biennium unspent general fund appropriations.

Senate Bill No. 2038 requires the budget request for the University System to include budget estimates for block grants for a base-funding component and for an initiative-funding component and a budget estimate for an asset-funding component and requires the appropriation for the University System to include block grants to the State Board of Higher Education for a base-funding appropriation and for an initiative-funding appropriation and an appropriation for asset-funding.

Senate Bill No. 2039 allows the State Board of Higher Education to authorize campus improvements and building maintenance projects that are financed by donations, gifts, grants, and bequests if the cost of the improvement or maintenance is not more than $500,000.

Senate Bill No. 2040 allows higher education institutions to provide bonuses, cash incentive awards, and temporary salary adjustments to employees without reporting the activity as a fiscal irregularity.

Prepared by the North Dakota Legislative Council
staff for Senate Appropriations
January 18, 2001

Department 243 - Minot State University - Bottineau
Senate Bill No. 2003

	FTE Positions	General Fund	Other Funds	Total
2001-03 Schafer Executive Budget	47.44	$4,083,153	$0	$4,083,153
1999-2001 Legislative Appropriations	47.44[1]	4,094,861	3,549,243	7,644,104[2]
Increase (Decrease)	0.00	($11,708)	($3,549,243)	($3,560,951)
2001-03 Hoeven Executive Budget	47.44	$4,083,153	$0	$4,083,153
Hoeven Increase (Decrease) to Schafer	0.00	$0	$0	$0

[1] The 1999-2001 appropriation is based on 46.2 FTE positions. Section 6 of 1999 House Bill No. 1003 authorized the University System to adjust FTE positions as needed. The 47.44 FTE positions shown above represent the employee positions reported to the Office of Management and Budget (OMB).

[2] The 1999-2001 appropriation amounts include $3,966 from the general fund for the agency's share of the $1.4 million funding pool appropriated to OMB for assisting agencies in providing the $35 per month minimum salary increases in July 1999 and July 2000, $19,828 from the general fund for the agency's share of the $2,630,992 critical salary funding pool appropriated to the University System to address additional salary increases beyond legislative appropriations for market and equity raises, and $137,160 from the general fund for the agency's share of the $4,290,128 equity and special needs funding pool appropriated to the University System to address equity funding issues and special academic program needs. The 1999-2001 appropriation amounts do not include $605,421 of other adjustments and 1997-99 carryover authority.

Major Schafer Recommendations Affecting Minot State University - Bottineau 2001-03 Budget

	General Fund	Other Funds	Total
1. Consolidates funding into two line items--operations and capital assets.			
2. Provides funding in the capital assets line item for:			
a. Thatcher Hall electrical system upgrade.	$75,000		$75,000
b. Extraordinary repairs of $112,663, which is an increase of $22,533 from the funding provided in the 1999-2001 biennium of $90,130.	$22,533		$22,533
3. Removes appropriations of tuition, continuing education, and other income.		($1,544,879)	($1,544,879)
4. Removes the appropriation for local funds.		($2,498,570)	($2,498,570)
5. The executive recommendation does not include funding for recommended compensation plan salary increases of three percent of salaries the first year of the biennium and two percent for the second year. Funding for institutions' salary increases is pooled and provided in the North Dakota University System office budget for the State Board of Higher Education to allocate to individual institutions.			

Major Hoeven Recommendations Affecting Minot State University - Bottineau 2001-03 Budget Compared to the Bill as Introduced (Schafer Budget)

	General Fund	Other Funds	Total
1. The Hoeven budget recommendation does not change the Schafer executive budget recommendation for this agency.			

Major Legislation Affecting Minot State University - Bottineau

Senate Bill No. 2037 provides a continuing appropriation of higher education institutions' special revenue funds including tuition and allows institutions to carry over at the end of the biennium unspent general fund appropriations.

Senate Bill No. 2038 requires the budget request for the University System to include budget estimates for block grants for a base-funding component and for an initiative-funding component and a budget estimate for an asset-funding component and requires

the appropriation for the University System to include block grants to the State Board of Higher Education for a base-funding appropriation and for an initiative-funding appropriation and an appropriation for asset-funding.

Senate Bill No. 2039 allows the State Board of Higher Education to authorize campus improvements and building maintenance projects that are financed by donations, gifts, grants, and bequests if the cost of the improvement or maintenance is not more than $500,000.

Senate Bill No. 2040 allows higher education institutions to provide bonuses, cash incentive awards, and temporary salary adjustments to employees without reporting the activity as a fiscal irregularity.

Prepared by the North Dakota Legislative Council staff for House Appropriations
February 26, 2001

Department 243 - Minot State University - Bottineau
Senate Bill No. 2003

	FTE Positions	General Fund	Other Funds	Total
2001-03 Schafer Executive Budget	47.44	$4,083,153	$0	$4,083,153
1999-2001 Legislative Appropriations	47.44[1]	4,094,861	3,549,243	7,644,104[2]
Increase (Decrease)	0.00	($11,708)	($3,549,243)	($3,560,951)
2001-03 Hoeven Executive Budget	47.44	$4,083,153	$0	$4,083,153
Hoeven Increase (Decrease) to Schafer	0.00	$0	$0	$0

[1] The 1999-2001 appropriation is based on 46.2 FTE positions. Section 6 of 1999 House Bill No. 1003 authorized the University System to adjust FTE positions as needed. The 47.44 FTE positions shown above represent the employee positions reported to the Office of Management and Budget (OMB).

[2] The 1999-2001 appropriation amounts include $3,966 from the general fund for the agency's share of the $1.4 million funding pool appropriated to OMB for assisting agencies in providing the $35 per month minimum salary increases in July 1999 and July 2000, $19,828 from the general fund for the agency's share of the $2,630,992 critical salary funding pool appropriated to the University System to address additional salary increases beyond legislative appropriations for market and equity raises, and $137,160 from the general fund for the agency's share of the $4,290,128 equity and special needs funding pool appropriated to the University System to address equity funding issues and special academic program needs. The 1999-2001 appropriation amounts do not include $605,421 of other adjustments and 1997-99 carryover authority.

Major Schafer Recommendations Affecting Minot State University - Bottineau 2001-03 Budget

	General Fund	Other Funds	Total
1. Consolidates funding into two line items--operations and capital assets.			
2. Provides funding in the capital assets line item for:			
a. Thatcher Hall electrical system upgrade.	$75,000		$75,000
b. Extraordinary repairs of $112,663, which is an increase of $22,533 from the funding provided in the 1999-2001 biennium of $90,130.	$22,533		$22,533
3. Removes appropriations of tuition, continuing education, and other income.		($1,544,879)	($1,544,879)
4. Removes the appropriation for local funds.		($2,498,570)	($2,498,570)
5. The executive recommendation does not include funding for recommended compensation plan salary increases of three percent of salaries the first year of the biennium and two percent for the second year. Funding for institutions' salary increases is pooled and provided in the North Dakota University System office budget for the State Board of Higher Education to allocate to individual institutions.			

Major Hoeven Recommendations Affecting Minot State University - Bottineau 2001-03 Budget Compared to the Bill as Introduced (Schafer Budget)

	General Fund	Other Funds	Total
1. The Hoeven budget recommendation does not change the Schafer executive budget recommendation for this agency.			

Major Legislation Affecting Minot State University - Bottineau

Section 11 of Engrossed Senate Bill No. 2003 provides legislative intent relating to the content of the State Board of Higher Education's performance and accountability report required by Senate Bill No. 2041.

Section 13 of Engrossed Senate Bill No. 2003 provides a continuing appropriation of higher education institutions' special revenue funds including tuition (originally a provision in Senate Bill No. 2037).

Section 14 of Engrossed Senate Bill No. 2003 requires budget requests for the University System to include budget estimates for block grants for a base-funding component and for an initiative-funding component and a budget estimate for an asset-funding component (originally a provision in Senate Bill No. 2038).

Section 15 of Engrossed Senate Bill No. 2003 requires the appropriation for the University System to include block grants to the State Board of Higher Education for a base-funding appropriation and for an initiative-funding appropriation and an appropriation for asset-funding (originally a provision in Senate Bill No. 2038).

Section 16 of Engrossed Senate Bill No. 2003 allows institutions to carry over at the end of the biennium unspent general fund appropriations (originally a provision in Senate Bill No. 2037).

Senate Bill No. 2039 allows the State Board of Higher Education to authorize campus improvements and building maintenance projects that are financed by donations, gifts, grants, and bequests if the cost of the improvement or maintenance is not more than $250,000. (This bill has been passed by the Senate.)

Senate Bill No. 2040 allows higher education institutions to provide bonuses, cash incentive awards, and temporary salary adjustments to employees without reporting the activity as a fiscal irregularity. (This bill has been passed by the Senate.)

Summary of Legislative Changes Resulting From First House Action

See Statement of Purpose of Amendment (attached).

MINOT STATE UNIVERSITY—BOTTINEAU

See House Leary 1N 3-8-01 pages are same as what was here with these following pages - the exception

Senate Appropriation Hearings

Fifty-Seventh Legislative Assembly

January 3, 2001

Budget Number 243

MINOT STATE UNIVERSITY - BOTTINEAU CAMPUS
2001-03 BUDGET SUMMARY

1999-01 Hold Even General Fund Appropriation		3,911,726
Add: Captial Improvement Increase		
1999-01 Projects	(90,130)	
2001-03 Projects	187,663	
		97,533
Add Health Insurance Premium Increase		73,894
Recommended General Fund 2001-03 Appropriation		4,083,153
Additional Amount Required to Fund Costs to Continue:		
2000-01 Salary Increases	79,811	
Estimated Operating Inflation and Utilities Increases	46,257	
Risk Management Premium	11,701	
Amount Required to Fund Costs to Continue		137,769

Minot State University - Bottineau Campus
Capital Projects and Extraordinary Repairs
Summary Report

1999-01 Request:	
Extraordinary Repairs	74,130
Capital Projects	163,500
Total Request	237,630

1999-01 Executive Recommendation:	
Extraordinary Repairs	90,130
Capital Projects	128,000
Total Recommendation	218,130

1999-01 Legislative Appropriation:	
	218,130

1999-01 Projects	
Roof Repairs	10,986
Campus Networking	11,193
Boiler Feedwater Tank Replacement	15,200
Fire Alarm Systems Upgrade (Capital Project)	128,000 *
Boiler Insulation	17,790
Ash Auger Repair	4,870
Sidewalk/Concrete Repairs	6,239
Campus Master Plan	8,950
Carpet Replacement	4,518
Campus Lighting	3,256
Miscellaneous Repairs	7,128
Total 1999-01 Projects	218,130

* Includes $68,000 in general funds and $60,000 in special funds

2001-03 Request	
Extraordinary Repairs	90,130
Capital Projects	75,000 (Bonding)
Total Request	165,130

2001-03 Executive Recommendation:	
Extraordinary Repairs	112,663
Capital Projects	75,000 (Gen. Fund)
Total Recommendation	187,663

2001-03 Projects	
ADA - Install Charlift - Thatcher Hall	30,000
Campus Networking	10,000
Make-up Air System - Heating Plant	25,000
Replace I.D. Fan - Heating Plant	12,000
Paving - Campus Roads	11,000
Renovate Thatcher Hall Entry (South)	20,000
Interior Lighting	3,994
Miscellaneous Repair Projects	669
Electrical Systems Upgrade (Capital Project)	75,000
Total 2001-03 Projects	187,663

MINOT STATE UNIVERSITY—BOTTINEAU

House Appropriation Hearings

Fifty-Seventh Legislative Assembly

March 8, 2001

Budget Number 243

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MINOT STATE UNIVERSITY – BOTTINEAU CAMPUS
AGENCY 243

CAMPUS OVERVIEW

Statutory Authority
North Dakota State Constitution Section 216 Part Four of Article XIX

Agency Description
MSU-Bottineau Campus provides specialized technical programs in agriculture, environmental/natural resource studies, and crucial business specializations as well as through traditional curricula that transfer to baccalaureate programs. The opportunities for experiential learning in compelling outdoor settings serve to intensify the educational experience for participants. A commitment to "hands-on" learning and the use of natural resource laboratories are common instructional techniques at the College. The location of the campus provides a natural setting to support unique training programs serving a rapidly growing constituency.

MSU-Bottineau offers twenty-two vocational-technical programs leading to certificates, diplomas, or Associate of Applied Science (AAS) degrees. It also offers comprehensive university parallel/transfer course work leading to Associate of Arts (AA) or Associate of Science (AS) degrees.

MSU-Bottineau offers several vocational-technical programs on the Minot State University campus and Minot Air Force Base. A collaborative agreement with the city of Minot, Minot Public Schools, the Adult Learning Center, and Burdick Job Corps has allowed Information Technology skills training classes to be conducted to nontraditional students.

Enrollment
Since Fall 1995, enrollment has increased steadily, with the exception of Fall 2000, when the college witnessed a decrease in students. We feel this decrease is the result of a trend that has resulted in the college experiencing diminished interest in its natural resource majors. The effect of this trend was especially significant in the Fall of 2000.

However, the college has undertaken a series of initiatives that will help offset the decrease in natural resource students. Two new majors, network engineering and web design, have brought students to campus who otherwise would not have attended. Also, the campus has extended itself to the Minot Air Force Base, has added a marketing major to its list of majors at the parent campus, and is teaching CISCO networking courses at Minot High and the Burdick Job Corps Center. In addition, MSU-Bottineau is offering medical office/transcription coursework via distance education in a videotape format. It has also begun to offer on line courses.

The college has a mutually beneficial, dual credit policy with Bottineau High School. The proximity of the college to the high school allows for students to walk to the campus for class. Over the past three semesters, 26 students have taken advantage of this arrangement.

	1996	1997	1998	1999	2000
FTE	369	389	410	467	392
Headcount (HC)	399	421	447	508	450
Off-Campus (HC)	87	105	105	118	110*
Campus Based (HC)	312	316	342	390	340

* Students at MiSU Campus. Does not included 65 students enrolled at the Minot Air Force Base, 10 students at Burdick Job Corps Center, and 6 students at Minot High School (CISCO).

We anticipate that student enrollment for academic years 2001 and 2002 will be similar to that of 2000. With the projected demographic decline in the number of the traditional high school graduates over the next decade, the Bottineau Campus recognizes the need to be more aggressive with the develop of programming that is technologically timely and accessible to students at a great distance.

To that end, MSU-Bottineau Campus has been reorganizing itself to focus on IT. It has remodeled existing vocational curriculum to bring information age technology into all programs. To reach outlying audiences, its faculty has embraced a variety of distance education media, like interactive television, Internet-delivered instruction, and audio and videotaped

instruction. MSU-Bottineau Campus became a Regional Cisco Academy in 1999 and will become an ExplorNet host site in 2000, the first community college in North Dakota to do so. Cisco instruction not only leads to industry standards certification but also has formed the core of a new, MSU-BC AAS degree in Network Engineering. Students in this major learn the hardware and software aspects of creating computer networks. Those completing ExplorNet classes have the opportunity to earn A+ certification as well. Another new AAS degree first offered in 1999 is in WebMaster/WebDesign. In this very unique curriculum students learn how to create and maintain web sites for themselves and others. They may also receive Microsoft (MOUS) certification. All of these new endeavors teach skills that are well paid and in high demand. Regional interest in MSU-Bottineau Campus as a community educational institution has catapulted as a result.

Programs

The Bottineau Campus has historically been known for its programs in natural resources and allied health. It has a powerful program in Medical Office Assistant that is offered completely over the IVN system to students at a distance, for example. And its program in Urban Forestry is converting to online instruction, soon to be followed by its four programs in the Horticulture department.

However, MSU-Bottineau is now making a new name for itself in the Information Technology field. The campus offers one- and two-year programs in both Network Engineering and Web Design. Students study Cisco and other systems to learn how to run wire into buildings, connecting servers and routers and creating local area networks, or LANS. They learn the Internet and the programming languages associated with web mastery. A new emphasis in Internet graphics is under construction. And negotiations with Sun Microsystems are underway and expected to result in the delivery of curriculum aimed at training system technicians for that corporation.

A variety of certification opportunities have resulted for Bottineau students in Minot, Rugby and Bottineau itself. Cisco certification can be earned through the college's collaboration with Minot Public Schools, Burdick Job Corps, and Rugby and Bottineau High Schools. Also, A+ certification is attainable in computer maintenance and repair, MOUS certification in Microsoft applications, and ultimately Novell certification is network management.

The campus has partnered with the Bottineau Economic Development Corporation to purchase, renovate and equip an empty building on Main Street to serve as a state-of-the-art Technology Center. The Center will open in February and will be staffed by student interns capable of assisting area entrepreneurs in meeting their technology needs. Additionally, the Center contains video conferencing equipment and a classroom/conference room available to the public for skills training, for point-to-point meetings and for instruction as needed. Working in conjunction with the state's Chief Information Officer, MSU-Bottineau is leading the way in its region to tie educational resources to area economic development opportunities. It is truly a "community college" of particular note.

Students

MSU-Bottineau continues to attract students with a diversity of geographical backgrounds. In the fall of 2000, the college enrolled students from 22 states and provinces, and from 32 North Dakota counties. In the fall of 1999, the comparable numbers were 30 and 38 respectively. The unique majors available at MSU-Bottineau provide a regional as well as a national constituency.

The head count population at the college is divided nearly evenly between men and women. However, females are slightly more predominate, comprising 52% of the students enrolled. Traditional age students (18-24) make up the majority of the student body, however, a significant number of older-than-average students (22%) also attend. Native Americans are the largest minority group on campus. They represent 8% of the campus population.

Graduates

MSU-Bottineau graduates about equal numbers of students in its transfer, AA and AS degree programs as it does in its vo-tech, AAS and diploma programs. The main schools to which AA and AS graduates transfer are Minot State, North Dakota State, University of North Dakota, and South Dakota State. The college's vo-tech graduates continue to fill the need for qualified employees by government agencies in the natural resource fields (North Dakota Game and Fish Department, Parks and Recreation Department) municipalities (water technology, urban forestry), and business and industry (information technology and management, horticulture, and medical assistant/secretary).

The institutions completion/transfer out rate, based on the last two cohorts is 69%.

Workforce Training
The following is an overview of MSU-Bottineau's efforts to address workforce-training needs in the Bottineau area.

Fall 1999

- Hired a Community Education coordinator
- Identified a labor market need for medical transcriptionists
- Developed an Advanced Medical Transcription training program

Spring 2000

- Enrolled participants in the Advanced Medical Transcription training
- Developed and hosted 2 computer workshops for area businesses
- Established a Microsoft testing site on campus

Fall 2000

- Awarded training certificates to 2 completers of the Advanced Medical Transcription training (11 individuals are currently participating in training)
- Selected and trained instructors for adult computer literacy training to begin in January 2001

Partnerships
The following partnerships with employers/agencies have contributed to our School-To-Work efforts:

1. St. Andrews Health Center
2. North Central Electric
3. Heart of America Health Center
4. Quentin Burdick Job Corps
5. Minot Job Service
6. Bottineau Chamber of Commerce
7. Bottineau County Economic Development Corporation
8. Deva Lifewear
9. Amerada Hess Corporation
10. Bottineau Clinic
11. Dunseith Nursing Home
12. American Family Insurance
13. North Dakota Tech Prep
14. Peace Garden Consortium

MAJOR ACCOMPLISHMENTS

1. Developed Associate of Applied Science degrees in Information Technology-Network Engineering and WebMaster programs.
2. Acquired School-to-Work grant in the amount of $79,896.
3. Helped the City of Bottineau in obtaining $94,000 in grant funding for a Technical Center.
4. Expanded course work and programs to a variety of locations in partnership with other educational delivery sources.
5. Received NCA's highest endorsement with a ten-year accreditation recommendation.
6. Rewrote the strategic plan, reworked the facilities master plan and created an information technology plan.
7. The campus is responsible for a third of the NDUS lower-division course work offered over the IVN system. Offered five programs by distance means. Three more are being developed. Two technical programs may be completed over the Internet.
8. Upgraded building fire alarm systems.
9. Made substantial progress toward NDUS salary goals.

Major Accomplishments – Detail

- New Program: MSU-Bottineau Campus takes pride in its many accomplishments of the last two years. The hallmark of its attainments is the development and initiation of two new Associate of Applied Science degrees in Information Technology. Students have been greatly drawn to the Network Engineering and WebMaster programs that incorporate Cisco and ExplorNet curriculum. Students may now "bundle" certification opportunities as they work toward a two-year technical degree. The increased enrollment has resulted in increased federal assistance enabling the acquisition of another computer lab in Minot at the Burdick Job Corps.

- Grant Writing: The success of the Bottineau Campus grant-writing efforts have been stunning. The college acquired a School-to-Work grant enabling the employment of support staff charged with the creation of articulation agreements with area high schools and workforce training opportunities for regional employers. In concert

with the Bottineau County Economic Development Corporation, MSU-Bottineau is the recipient of grant- and community-funded dollars earmarked for a Technical Center to open in an empty downtown Bottineau building this fall. Staffed by students, this Center will serve as a business incubator and offer a variety of services to the community, including computer technical support.

- Collaboration: Collaborative agreements have multiplied. MSU-Bottineau extends course work and programs to a variety of locations in partnership with other educational delivery sources including Minot Public Schools, Minot State University, Minot Air Force Base, Burdick Job Corps, Brandon University- Manitoba, Williston State College and North Dakota State College of Science.

- Reaccredidation: The campus prepared for a reaccredidation visit from the North Central Association of Colleges and School in April 1999. It received NCA's highest endorsement with a ten-year accreditation recommendation. Preparatory to that visit, the campus underwent considerable self-analysis. In light of its strengths and challenges, it rewrote its long-range plan, creating a plan that is strategic in focus with an articulated vision to guide its future. This strategic plan has inspired the reworking of the facilities master plan and the creation of an information technology plan.

- Distance Education: Further, the campus has leaped ahead in distance-delivered education. It is now responsible for about a third of all the NDUS lower-division course work offered over the IVN system. It has five programs completely available by distance means and another three in development. At present, two technical programs may be completed over the Internet, and another three are in the process of conversion to Internet delivery.

- Health and Safety: In the last biennium, the building fire alarm systems have been upgraded.

- Using the 97-98-salary base, the NDSBHE established a 6-year goal that all campuses will achieve a 5% salary increase through reallocation of resources. This increase is in addition those funded by legislation. To date, MSU-Bottineau has achieved 79% of the goal (with 3 years remaining in the goal period).

EXECUTIVE RECOMMENDATIONS & SB2003

- Removes local funds and income from the appropriation process
- Provides additional flexibility to the campuses by reducing the number of line items from four to two
- Provides $112,663 for extraordinary repairs – an increase of $22,533.
- Provides $75,000 for electrical systems upgrade.
- Provides $73,894 for health insurance premium increase.
- Provides North Dakota University System funding for:
  1. Investments in the statewide network, internet II and distance learning;
  2. Matching funds for research;
  3. Student financial aid and access programs.
- Provides North Dakota University System pooled funds for:
  1. Campus parity and equity;
  2. New program and initiative start-up costs;
  3. Salary equity for faculty and staff retention and recruitment;
  4. System-wide 2001-03 salary increases.

2001-03 BUDGET CONCERNS

- Funding for cost to continue 2000-2001 salary increases, operating inflation, and risk management premiums.
- Salary increases for faculty and staff
- Funding for MSU-Bottineau's technology plan
- Funding for ADA issues

REQUEST / RECOMMENDATION COMPARISON SUMMARY

243 MINOT STATE UNIVERSITY - BOTTINEAU **Bill #: SB2003**

Biennium: 2001-2003

Description	Expenditures Prev Biennium 1997-1999	Present Budget 1999-2001	2001-2003 Requested Incr (Decr)	2001-2003 Requested % Chg	Requested Budget 2001-2003	2001-2003 Recommended Incr (Decr)	2001-2003 Recommended % Chg	Executive Recommendation 2001-2003
BY MAJOR PROGRAM								
INSTRUCTION	2,699,605	3,062,473	(30,244)	-1.0%	3,032,229	(3,062,473)	-100.0%	0
SUPPORT SERVICES	949,063	975,914	6,831	0.7%	982,745	(975,914)	-100.0%	0
FACILITIES UPKEEP AND MAINTENANCE	1,472,001	1,632,568	(115,937)	-7.1%	1,516,631	(1,632,568)	-100.0%	0
LOCAL FUNDS	240,283	2,578,570	(80,000)	-3.1%	2,498,570	(2,578,570)	-100.0%	0
MINOT STATE UNIVERSITY - BOTTINEAU	0	0	0	0.0%	0	4,083,153	0.0%	4,083,153
TOTAL MAJOR PROGRAMS	5,360,952	8,249,525	(219,350)	-2.7%	8,030,175	(4,166,372)	-50.5%	4,083,153
BY LINE ITEM								
SALARIES AND WAGES	3,717,920	4,069,782	(40,099)	-1.0%	4,029,683	(4,069,782)	-100.0%	0
OPERATING EXPENSES	978,122	1,076,785	32,507	3.0%	1,109,292	(1,076,785)	-100.0%	0
EQUIPMENT	145,465	165,300	(17,800)	-10.8%	147,500	(165,300)	-100.0%	0
CAPITAL IMPROVEMENTS	207,250	218,130	(53,000)	-24.3%	165,130	(218,130)	-100.0%	0
LOCAL FUNDS	240,283	2,578,570	(80,000)	-3.1%	2,498,570	(2,578,570)	-100.0%	0
CONTINUING EDUCATION	0	0	80,000	0.0%	80,000	0	0.0%	0
BIENNIUM CARRYOVER	71,912	140,958	(140,958)	-100.0%	0	(140,958)	-100.0%	0
OPERATIONS	0	0	0	0.0%	0	3,896,490	0.0%	3,896,490
CAPITAL ASSETS	0	0	0	0.0%	0	187,663	0.0%	187,663
TOTAL LINE ITEMS	5,360,952	8,249,525	(219,350)	-2.7%	8,030,175	(4,166,372)	-50.5%	4,083,153
BY FUNDING SOURCE								
GENERAL FUND	3,758,392	4,227,569	(315,843)	-7.5%	3,911,726	(144,416)	-3.4%	4,083,153
FEDERAL FUNDS	0	0	0	0.0%	0	0	0.0%	0
SPECIAL FUNDS	1,602,560	4,021,956	96,493	2.4%	4,118,449	(4,021,956)	-100.0%	0
TOTAL FUNDING SOURCE	5,360,952	8,249,525	(219,350)	-2.7%	8,030,175	(4,166,372)	-50.5%	4,083,153
TOTAL FTE	45.87	47.44	0.00	0.0%	47.44	(0.00)	-0.0%	47.44

MINOT STATE UNIVERSITY - BOTTINEAU CAMPUS

2001-03 Budget Request, Executive Recommendation & Engrossed SB2003 - General Fund

1	Engrossed HB1003 1999-01 General Fund Appropriation	3,933,907
2	OMB Allocation to Provide Additional Funds for the $35 Minimum Salary Increase	3,966
3	1997-99 General Fund Carryover	132,708
4	NDUS Critical Salary Pool Allocation	19,828
5	NDUS Equity/Special Needs Pool Allocation	22,025
6	NDUS Equity/Special Needs Pool Allocation (IT Program)	115,135
7	Adjusted 1999-01 General Fund Appropriation	4,227,569
6	Less One Time Capital Improvements	(68,000)
7	Less One Time Special Need Pool Allocation (IT Program)	(115,135)
8	Less 1997-99 General Fund Carryover	(132,708)
9	Net 1999-01 General Fund 2001-03 Hold Even Budget	3,911,726
	Add Optional Budget Requests:	
10	Risk Management Premiums	11,701
11	Capital Improvements Increase (to fund .6248% of plant asset value)	34,994
12	Cost to Continue Salary Increases	43,573
13	Technology Applications	60,640
14	Institutional Innovations	73,698
15	Total General Fund Needs Based Request	4,136,332
	Executive Recommendation:	
16	Less Optional Adjustments	(224,606)
17	Add Capital Project	75,000
18	Add Extraordinary Repairs	22,533
19	Add Health Insurance Premium Increase	73,894
20	Total Executive Recommendation - General Fund Appropriation SB2003	4,083,153
	Senate Amendments:	
21	No Amendments	0
22	Total 2001-03 Engrossed SB2003	4,083,153

MINOT STATE UNIVERSITY - BOTTINEAU CAMPUS
2001-03 Budget Summary - Cost to Continue

1999-01 Hold Even General Fund Appropriation		3,911,726
Add: Captial Improvement Increase		
2001-03 Projects	75,000	
Extraordinary Repairs	22,533	
		97,533
Add Health Insurance Premium Increase		73,894
Recommended General Fund 2001-03 Appropriation		4,083,153
Additional Amount Required to Fund Costs to Continue:		
2000-01 Salary Increases	79,811	
Estimated Operating Inflation and Utilities Increases	46,257	
Risk Management Premium	11,701	
Amount Required to Fund Costs to Continue		137,769
Less: Amount Funded From Tuition Increases		(82,495)
Costs to Continue Shortfall		55,274

MINOT STATE UNIVERSITY - BOTTINEAU CAMPUS
Extraordinary Repairs
Summary Report

1999-01 Request:	
Extraordinary Repairs	74,130
1999-01 Legislative Appropriation	
Extraordinary Repairs	90,130
1999-01 Projects	
Roof Repairs	10,986
Campus Networking	11,193
Boiler Feedwater Tank Replacement	15,200
Boiler Insulation	17,790
Ash Auger Repair	4,870
Sidewalk/Concrete Repairs	6,239
Campus Master Plan	8,950
Carpet Replacement	4,518
Campus Lighting	3,256
Miscellaneous Repairs	7,128
Total 1999-01 Projects	90,130

2001-03 Hold Even Request	
Extraordinary Repairs	90,130
2001-03 Executive Recommendation:	
Extraordinary Repairs	112,663
2001-03 Projects	
ADA - Install Charlift - Thatcher Hall	30,000
Campus Networking	10,000
Make-up Air System - Heating Plant	25,000
Replace I.D. Fan - Heating Plant	12,000
Paving - Campus Roads	11,000
Renovate Thatcher Hall Entry (South)	20,000
Interior Lighting	3,994
Miscellaneous Repair Projects	669
Total 2001-03 Projects	112,663

MINOT STATE UNIVERSITY – BOTTINEAU CAMPUS
Deferred Maintenance – General Fund

BUILDING	MAINTENANCE TYPE	GENERAL FUND BUILDINGS CATEGORY I Health and Safety	CATEGORY II ADA	CATEGORY III Networking	CATEGORY III Remodeling	CATEGORY III Major Repairs	TOTAL
GROUP I							
Old Main	Brick Work					75,000	
	Roofing					80,000	
	Entry Doors					20,000	
	Elevator		210,000				
	Handicap Access		3,300				
	Lighting					80,000	
	Fire Alarm	16,194					484,494
Thatcher Hall	Roof					51,000	
	Entry Doors					20,000	
	Elevator		190,000				
	Handicap Access		11,500				
	Elect. Service/Transformer					75,000	
	HVAC					220,000	567,500
Nelson Science Center	Handicap Access		1,000				1,000
Molberg Center	Elevator/Lift		13,000				13,000
Greenhouses	Handicap Access		2,000				2,000
Water Tech Building	Handicap Access		4,000				4,000
TOTAL GROUP I		16,194	434,800	0	0	621,000	1,071,994
GROUP II							
Central Heating Plant	Electrical Service	(Included with thatcher hall upgrade)					
	Air Handling/Ventilation					37,000	37,000
Knudson Student Center	Entry Doors					10,000	
	Handicap Access		3,000				13,000
Physical Plant Shop	Ventilation					10,000	10,000
TOTAL GROUP II		0	3,000	0	0	57,000	60,000
TOTAL GENERAL FUND		16,194	437,800	0	0	678,000	1,131,994

MINOT STATE UNIVERSITY – BOTTINEAU CAMPUS
Deferred Maintenance – Auxiliaries

BUILDING	Project	AUXILIARY BUILDINGS CATEGORY I Health and Safety	CATEGORY II ADA	CATEGORY II Networking	CATEGORY III Remodeling	CATEGORY III Major Repairs	TOTAL
GROUP III							
Gross Hall	Windows					65,000	
	Handicap Access		6,206				71,206
Milligan Hall	Elevator		13,000				
	Handicap Access		17,444				
	Fire Alarm	18,877					49,321
Mead Hall	Elevator		160,000				
	Handicap Access		21,290				
	Transformer					30,000	
	Fire Alarm	32,967					244,257
TOTAL GROUP III (AUXILIARY)		51,844	217,940	0	0	95,000	364,784
TOTAL DEFERRED MAINTENANCE		68,038 *	655,740 *	0	0	773,000	1,496,778

* These deferred maintenance projects appear in the ADA project schedule

MINOT STATE UNIVERSITY - BOTTINEAU

2001-2003 CAPITAL PROJECT DETAIL ($75,000)

THATCHER HALL AND CENTRAL HEATING PLANT ELECTRICAL SYSTEMS

The existing 400-amp 120/208-volt electrical service in Thatcher Hall has reached maximum capacity. The gymnasium lights in Thatcher Hall are currently being fed from the electrical service at the Central Heating Plant. The electrical service to Thatcher Hall should be upsized to 1200 amps of 120/208-volt power. This will allow capacity for increased power consumption brought on by the continuous increase in the number of computers in this building. It will also provide sufficient capacity for air conditioning the building. The existing service is also questionably grounded. This can cause power quality problems that affect the computers in the facility. Grounding and bonding a new electrical service according to current electrical codes should alleviate these power quality problems.

According to Otter Tail Power Company, the set of existing pole mounted transformers that feed Thatcher Hall, Central Heating Plant, Maintenance Shop, and Water Tech Building are at maximum capacity. This transformer set is located on the utility pole North of Thatcher Hall and is owned by MSU-B. In addition to the overhead service lines from this pole to the pole east are running very close to the addition built onto the Central Heating Plant. This cast utility pole is leaning towards the addition causing these service lines to be ever closer to the building. The existing transformers should be replaced with two pad mount transformers, one 500 kVA for Thatcher Hall and one 225 kVA for the other three buildings. The primary conductors should be fed down the pole to the new transformers, underground from this location over to the east pole, and back up the east pole. This would alleviate the potential problem of the overhead service lines contacting the building or being struck by a truck delivering coal to the coal dump located under the utility lines at the Central Heating Plant. The east pole should either be replaced or guy-wired to prevent further leaning.

Upgrading the electrical service at Thatcher Hall and replacing the utility transformers should take place under one project. This will lessen the number of power interruptions and provide a clean, complete installation when complete.

MINOT STATE UNIVERSITY – BOTTINEAU CAMPUS
Utilities System Report

1. Heating Systems
   a. Central Heating Plant (low pressure steam)
      - Two 200 horsepower Coal Fired Boilers – These boilers are used during the period of October through April and provide the primary heat source for 8 of the 12 main campus buildings.
      - Two 200 horsepower Oil Fired Boilers – These boilers provide secondary or backup service to 8 of the 12 main campus buildings. They also provide primary heating services during the period of May through September.

   b. Building Specific Heating Systems
      - Water Technology Building – 3 Propane Furnaces
      - Physical Plant Shop – Propane Furnace
      - Molberg Center – Oil Fired Boiler (hot water)
      - Greenhouse – The boiler in the Molberg Center provides hot water heat for the main greenhouse. Two smaller (secondary) greenhouses and the head house are heated by an oil fired boiler with a propane furnace as backup.

2. The current contract price for coal is:
   a. Center Coal Company, ND — $26.75 per ton delivered ($13.75 + $13.00 shipping)
   b. Luscar LTD, Sask. Canada — $24.00 per ton delivered ($14.00 + $10.00 shipping)
   c. J&J Gravel, ND (Wyoming Stoker) — $48.00 per ton delivered

   We are currently testing Wyoming coal to determine if the additional cost per ton can be offset by corresponding reductions in boiler operating cost and capital repairs. By burning Wyoming coal we have reduced coal tonnage by 40%, eliminated the need to use the oil-fired boilers during ash removal, reduced ash tonnage by 50%, eliminated the hard clinkers produced by ND stoker (these clinkers have caused excessive wear and maintenance of the ash removal equipment) and we have been able to produce sufficient steam using one coal-fired boiler. We believe the last two items will reduce capital repair costs for the boilers and coal handling equipment.

3. Current Contract price for propane is $1.05

4. Current Contract price for oil is $1.15

Prepared by the North Dakota Legislative Council staff for Senate Appropriations
December 29, 2000

Department 244 - Forest Service
Senate Bill No. 2003

	FTE Positions	General Fund	Other Funds	Total
2001-03 Executive Budget	17.81	$1,768,869	$1,050,526	$2,819,395
1999-2001 Legislative Appropriations	17.81[1]	1,640,151	2,195,088	3,835,239[2]
Increase (Decrease)	0.00	$128,718	($1,144,562)	($1,015,844)

[1] The 1999-2001 appropriation is based on 17.66 FTE positions. Section 6 of 1999 House Bill No. 1003 authorized the University System to adjust FTE positions as needed. The 17.81 FTE positions shown above represent the employee positions reported to the Office of Management and Budget (OMB).

[2] The 1999-2001 appropriation amounts include $1,579 from the general fund for the agency's share of the $1.4 million funding pool appropriated to OMB for assisting agencies in providing the $35 per month minimum salary increases in July 1999 and July 2000 and $11,821 from the general fund for the agency's share of the $2,630,992 critical salary funding pool appropriated to the University System to address additional salary increases beyond legislative appropriations for market and equity raises. The 1999-2001 appropriation amounts do not include $1,330,025 of other adjustments and 1997-99 carryover authority.

Major Items Affecting Forest Service 2001-03 Budget

	General Fund	Other Funds	Total
1. Removes appropriation for local funds.		($2,516,232)	($2,516,232)
2. Provides for a tree storage building ($120,000) at the Towner nursery and an equipment storage building ($20,000) at the Lisbon field office.		$140,000	$140,000

Major Legislation Affecting the Forest Service

Senate Bill No. 2037 provides a continuing appropriation of higher education institutions' special revenue funds including tuition and allows institutions to carry over at the end of the biennium unspent general fund appropriations.

Senate Bill No. 2038 requires the budget request for the University System to include budget estimates for block grants for a base-funding component and for an initiative-funding component and a budget estimate for an asset-funding component and requires the appropriation for the University System to include block grants to the State Board of Higher Education for a base-funding appropriation and for an initiative-funding appropriation and an appropriation for asset-funding.

Senate Bill No. 2039 allows the State Board of Higher Education to authorize campus improvements and building maintenance projects that are financed by donations, gifts, grants, and bequests if the cost of the improvement or maintenance is not more than $500,000.

Senate Bill No. 2040 allows higher education institutions to provide bonuses, cash incentive awards, and temporary salary adjustments to employees without reporting the activity as a fiscal irregularity.

Senate Bill No. 2122 removes the expiration date for the centennial trees program and changes the name of the program to trees for North Dakota. The bill also adds a new section of North Dakota Century Code to allow for optional contributions to the trees for North Dakota program to be designated on an individual's state income tax return.

Other

Section 11 of Senate Bill No. 2003 should be amended to clarify that the estimated income appropriated in subdivision 13 of Section 1 includes $120,000 from the State Forester reserve account for the construction of the Towner nursery tree storage building.

Prepared by the North Dakota Legislative Council staff for Senate Appropriations
January 18, 2001

Department 244 - Forest Service
Senate Bill No. 2003

	FTE Positions	General Fund	Other Funds	Total
2001-03 Schafer Executive Budget	17.81	$1,768,869	$1,050,526	$2,819,395
1999-2001 Legislative Appropriations	17.81[1]	1,640,151	2,195,088	3,835,239[2]
Increase (Decrease)	0.00	$128,718	($1,144,562)	($1,015,844)
2001-03 Hoeven Executive Budget	17.81	$1,768,869	$1,050,526	$2,819,395
Hoeven Increase (Decrease) to Schafer	0.00	$0	$0	$0

[1] The 1999-2001 appropriation is based on 17.66 FTE positions. Section 6 of 1999 House Bill No. 1003 authorized the University System to adjust FTE positions as needed. The 17.81 FTE positions shown above represent the employee positions reported to the Office of Management and Budget (OMB).

[2] The 1999-2001 appropriation amounts include $1,579 from the general fund for the agency's share of the $1.4 million funding pool appropriated to OMB for assisting agencies in providing the $35 per month minimum salary increases in July 1999 and July 2000 and $11,821 from the general fund for the agency's share of the $2,630,992 critical salary funding pool appropriated to the University System to address additional salary increases beyond legislative appropriations for market and equity raises. The 1999-2001 appropriation amounts do not include $1,330,025 of other adjustments and 1997-99 carryover authority.

Major Schafer Recommendations Affecting Forest Service 2001-03 Budget

	General Fund	Other Funds	Total
1. Removes appropriation for local funds.		($2,516,232)	($2,516,232)
2. Provides for a tree storage building ($120,000) at the Towner nursery and an equipment storage building ($20,000) at the Lisbon field office.		$140,000	$140,000

Major Hoeven Recommendations Affecting Forest Service 2001-03 Budget Compared to the Bill as Introduced (Schafer Budget)

	General Fund	Other Funds	Total
1. The Hoeven budget recommendation does not change the Schafer executive budget recommendation for this agency.			

Major Legislation Affecting the Forest Service

Senate Bill No. 2037 provides a continuing appropriation of higher education institutions' special revenue funds including tuition and allows institutions to carry over at the end of the biennium unspent general fund appropriations.

Senate Bill No. 2038 requires the budget request for the University System to include budget estimates for block grants for a base-funding component and for an initiative-funding component and a budget estimate for an asset-funding component and requires the appropriation for the University System to include block grants to the State Board of Higher Education for a base-funding appropriation and for an initiative-funding appropriation and an appropriation for asset-funding.

Senate Bill No. 2039 allows the State Board of Higher Education to authorize campus improvements and building maintenance projects that are financed by donations, gifts, grants, and bequests if the cost of the improvement or maintenance is not more than $500,000.

Senate Bill No. 2040 allows higher education institutions to provide bonuses, cash incentive awards, and temporary salary adjustments to employees without reporting the activity as a fiscal irregularity.

Senate Bill No. 2122 removes the expiration date for the centennial trees program and changes the name of the program to trees for North Dakota. The bill also adds a new section of North Dakota Century Code to allow for optional contributions to the trees for North Dakota program to be designated on an individual's state income tax return.

Other

Section 11 of Senate Bill No. 2003 should be amended to clarify that the estimated income appropriated in subdivision 13 of Section 1 includes $120,000 from the State Forester reserve account for the construction of the Towner nursery tree storage building.

Prepared by the North Dakota Legislative Council staff for House Appropriations
February 26, 2001

Department 244 - Forest Service
Senate Bill No. 2003

	FTE Positions	General Fund	Other Funds	Total
2001-03 Schafer Executive Budget	17.81	$1,768,669	$1,050,626	$2,819,395
1999-2001 Legislative Appropriations	17.81[1]	1,640,151	2,195,088	3,835,239[2]
Increase (Decrease)	0.00	$128,718	($1,144,562)	($1,015,844)

2001-03 Hoeven Executive Budget	17.81	$1,768,669	$1,050,626	$2,819,395
Hoeven Increase (Decrease) to Schafer	0.00	$0	$0	$0

[1] The 1999-2001 appropriation is based on 17.66 FTE positions. Section 6 of 1999 House Bill No. 1003 authorized the University System to adjust FTE positions as needed. The 17.81 FTE positions shown above represent the employee positions reported to the Office of Management and Budget (OMB).

[2] The 1999-2001 appropriation amounts include $1,579 from the general fund for the agency's share of the $1.4 million funding pool appropriated to OMB for assisting agencies in providing the $35 per month minimum salary increases in July 1999 and July 2000 and $11,621 from the general fund for the agency's share of the $2,630,992 critical salary funding pool appropriated to the University System to address additional salary increases beyond legislative appropriations for market and equity raises. The 1999-2001 appropriation amounts do not include $1,330,025 of other adjustments and 1997-99 carryover authority.

Major Schafer Recommendations Affecting Forest Service 2001-03 Budget

	General Fund	Other Funds	Total
1. Removes appropriation for local funds.		($2,516,232)	($2,516,232)
2. Provides for a tree storage building ($120,000) at the Towner nursery and an equipment storage building ($20,000) at the Lisbon field office.		$140,000	$140,000

Major Hoeven Recommendations Affecting Forest Service 2001-03 Budget Compared to the Bill as Introduced (Schafer Budget)

	General Fund	Other Funds	Total
1. The Hoeven budget recommendation does not change the Schafer executive budget recommendation for this agency.			

Major Legislation Affecting the Forest Service

Section 11 of Engrossed Senate Bill No. 2003 provides legislative intent relating to the content of the State Board of Higher Education's performance and accountability report required by Senate Bill No. 2041.

Section 13 of Engrossed Senate Bill No. 2003 provides a continuing appropriation of higher education institutions' special revenue funds including tuition (originally a provision in Senate Bill No. 2037).

Section 14 of Engrossed Senate Bill No. 2003 requires budget requests for the University System to include budget estimates for block grants for a base-funding component and for an initiative-funding component and a budget estimate for an asset-funding component (originally a provision in Senate Bill No. 2038).

Section 15 of Engrossed Senate Bill No. 2003 requires the appropriation for the University System to include block grants to the State Board of Higher Education for a base-funding appropriation and for an initiative-funding appropriation and an appropriation for asset-funding (originally a provision in Senate Bill No. 2038).

Section 16 of Engrossed Senate Bill No. 2003 allows institutions to carry over at the end of the biennium unspent general fund appropriations (originally a provision in Senate Bill No. 2037).

Senate Bill No. 2039 allows the State Board of Higher Education to authorize campus improvements and building maintenance projects that are financed by donations, gifts, grants, and bequests if the cost of the improvement or maintenance is not more than $250,000. (This bill has been passed by the Senate.)

Senate Bill No. 2040 allows higher education institutions to provide bonuses, cash incentive awards, and temporary salary adjustments to employees without reporting the activity as a fiscal irregularity. (This bill has been passed by the Senate.)

Senate Bill No. 2122 removes the expiration date for the centennial trees program and changes the name of the program to trees for North Dakota. The bill also adds a new section of North Dakota Century Code to allow for optional contributions to the trees for North Dakota program to be designated on an individual's state income tax return. (This bill has been passed by the Senate.)

Other

Section 11 of Senate Bill No. 2003 as introduced should be amended to clarify that the estimated income appropriated in subdivision 13 of Section 1 includes $120,000 from the State Forester reserve account for the construction of the Towner nursery tree storage building. (The Senate action incorporated this recommendation.)

Summary of Legislative Changes Resulting From First House Action

See Statement of Purpose of Amendment (attached).

GUIDE TO THE NORTH DAKOTA FOREST SERVICE

AND

2001 - 2003 BUDGET REQUEST

PREPARED BY:

Larry A. Kotchman
State Forester
North Dakota Forest Service

March 2001

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INTRODUCTION AND OVERVIEW

Successful organizations make a conscious effort to anticipate future events and select appropriate courses of action. The new era of competitiveness in both the public and private sectors emphasizes the need for long-term thinking, increased productivity, improved customer service and cost control. A philosophy that stresses commitment to teamwork, employee training, employee empowerment and open communications is essential to achieving the organization's mission. External pressures to provide higher quality services and products at the lowest possible cost necessitate "doing things right the first time."

Long-range strategic planning enables organizations to visualize their future and develop the necessary strategies to achieve that vision. It helps leaders anticipate new trends and overcome barriers to success. It offers employees an opportunity to influence the future direction and gain a more comprehensive understanding of the agency's mission and their individual roles. A well-conceived strategic plan identifies "what needs to be done, who will do it, when it will be completed, and how it will be done over the long-term." More importantly, it assists managers and workers in determining how to set priorities for focusing their valuable energy and resources.

The North Dakota Forest Service utilizes a long-range strategic planning process. Based on input from the agency's seven program coordination areas and external stakeholders, the agency developed a comprehensive long-range strategic plan. The plan outlines long-range goals and objectives, specific future initiatives, program priorities, implementation time-frames and necessary resources.

"North Dakota's Forest Resource Plan" calls for new strategies in the way the state's forest resources are managed. The plan challenges all North Dakotans to do a better job of caring for their trees and forest lands. Improving the condition of North Dakota's forest resources and public forestry services will require a combined effort by foresters, citizens, landowners, community leaders and elected officials.

Ultimately, the key to implementation will hinge on allocating the financial and personnel resources necessary to accomplish the annual work tasks. The 2001-2003 appropriation reflects the Governor's and the Legislature's policy decisions regarding forestry programs.

Larry Kotchman
State Forester

AGENCY MISSION

The North Dakota Forest Service administers forestry programs state-wide. The agency operates a nursery at Towner specializing in the production of conifer (evergreen) tree stock. The nursery is the sole supplier of evergreen seedlings in North Dakota. Technical assistance relating to the management of private forest lands, state forest lands, urban and community forests, tree planting and wildland fire protection is provided by the agency. The North Dakota Forest Service also owns and manages approximately 13,278 acres of state forest lands.

Mission

"The mission of the North Dakota Forest Service is to care for, protect and improve our forest resources for present and future generations. We provide environmentally sound assistance to meet the needs of those we serve. We are good stewards of the land and recognized leaders in forestry. We are dedicated to strengthening working relationships to achieve shared goals and value the opinions of others. Our employees are committed to fulfilling this mission with the highest standards of service and performance."

Organizational Structure

The North Dakota Forest Service is organized under the North Dakota Board of Higher Education and has been a part of the higher education system since 1907. The agency is administered by a State Forester who reports to the president of North Dakota State University at Fargo.

The agency is authorized 17.81 FTE and is comprised of seven program coordination teams. Each program team includes a coordinator and a support staff of specialists, technicians and secretaries. The coordinator leads the team; the specialist provides the program services; and the technician and secretary furnish program support. A participatory approach to decision making is used by each team. Public forestry services are delivered by the agency through six forestry offices. The agency's headquarters is located in Bottineau.

Statutory Authority

Authority is granted to the State Forester under North Dakota Century Code 4-19 to meet the forestry needs in the state by:

- Raising and distributing acclimated forest tree planting stock for landowners;
- Promoting practical forestry to landowners, community groups, schools and other organizations interested in forestry;
- Encouraging the development, use and wise stewardship of forest resources;

- Providing assistance to landowners, producers, communities and public bodies relating to forestry, reforestation, protection of forest resources, prevention and suppression of fires, planting trees and shrubs, and the growing, harvesting, marketing and management of forest resources;

- Acquiring, when appropriate, forest lands that are suited for state forest purposes and managing these lands for the benefit of the citizens of the State.

Funding Sources

Funding for the operation of the North Dakota Forest Service is provided by the State General Fund, federal sources and other income generated from tree sales.

Long-Range Strategic Planning

The North Dakota Forest Service implemented a long-range strategic planning process in November, 1995. "North Dakota's Forest Resource Plan" is based on input from the agency's seven program coordination areas and external stakeholders. The plan outlines long-range goals and objectives, specific future initiatives, program priorities, implementation time-frames and necessary resources.

The leadership and responsibility for implementing the plan rests with the agency's seven program coordination teams. Short-range annual work plans are used to guide the teams' efforts and insure implementation of the long-range strategic plan. The annual work plan is the tool that bridges the gap between planning and operations. Customer demand, program priorities and budget resources greatly influence the agency's annual directions.

State Forester's Goals

The State Forester's long-range strategic goals are:

- to lead the effort in achieving the North Dakota's Centennial goal of planting 100 million trees by the year 2000.

- to care for, protect and improve forest resources for future generations by providing technical and financial assistance to private and public landowners.

- to provide leadership in wildfire suppression by working with local, state, and federal cooperators to improve the protection of people, property and natural resources from wildfires.

- to raise public awareness about natural resource values, help support informed decision-making and foster individual responsibility in stewards of all ages regarding the wise use and conservation of forestry and related natural resources.

- to produce and market high quality nursery stock that meets the forest and conservation tree planting needs of private and public landowners.

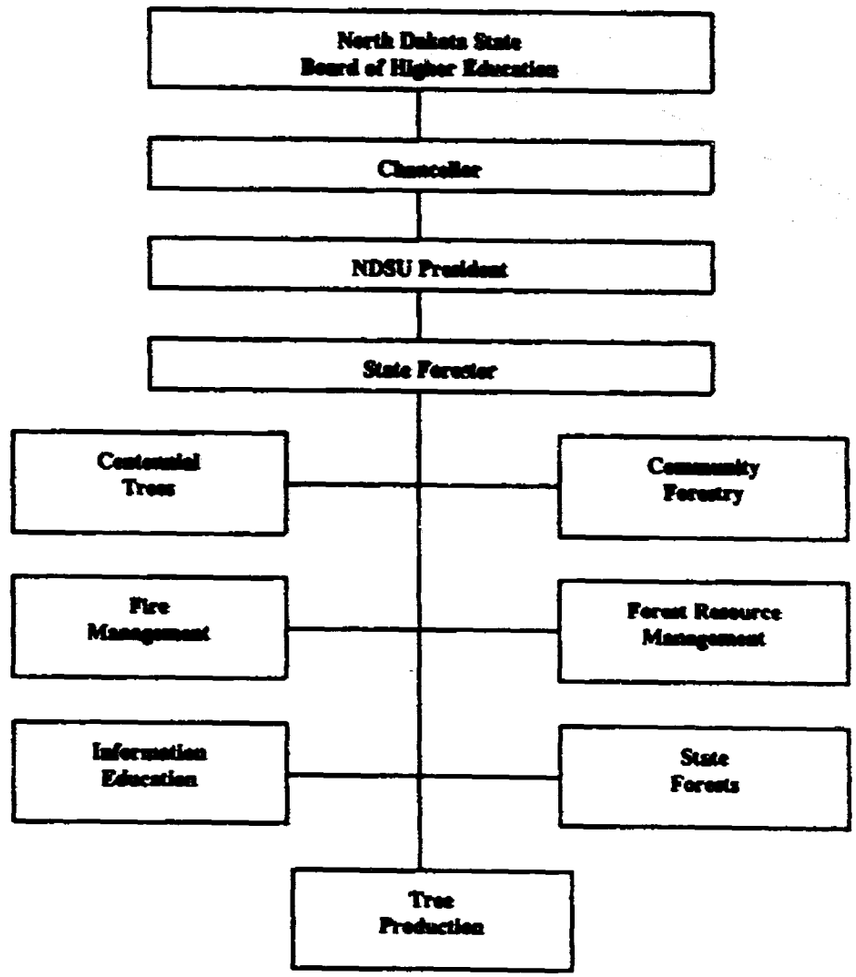
FORESTRY PROGRAMS IN NORTH DAKOTA

The North Dakota Forest Service administers seven (7) forestry programs.

Public Service Subprogram

- Centennial Trees
- Community Forestry
- Fire Management
- Forest Resource Management
- Information and Education
- State Forests
- Tree Production

NORTH DAKOTA FOREST SERVICE ORGANIZATIONAL CHART



PUBLIC SERVICE SUBPROGRAM

A wide variety of customers depend on the North Dakota Forest Service as the sole provider of technical forestry assistance. The North Dakota Forest Service administers seven (7) forestry program coordination areas through its major program cost center of Public Service. The following programs provide forestry services to a significant number of North Dakotans.

Centennial Trees

In honor of the tree planting tradition started by the state's early pioneers, leaders of government, business and citizen groups selected planting 100 million trees by the year 2000 as the focus for celebrating the 1989 state centennial. Slated as a ten-year effort, the Centennial Trees Program encourages North Dakotans to plant one million trees for each year of statehood. The program challenges every resident, community, organization and school to create a living legacy that will serve as a lasting reminder for generations to enjoy. The official count as of Arbor Day, 2000, is 66,734,025 trees.

The 1995 Legislature placed the responsibility for administering the Centennial Trees Program with the North Dakota Forest Service creating a sixth program coordination area. The agency coordinates promotional, educational and fund raising activities. A seven-member Centennial Trees Advisory Committee, appointed by the Governor, works with the State Forester to promote the program. Funding is provided by the Centennial Trees Program Trust Fund, a special fund generated from voluntary contributions received from individual tax returns and other private donations.

Financial Assistance Sources

- *Family Forest Grants*
- *Living Snow Fence Grants*

Accomplishments

North Dakotans have raised annual tree planting accomplishments to over 6 million trees and the official centennial tree count now stands at 67 million. Agency contributions to this effort include financial incentives, technical services and nursery tree stock. A "Family Forest" grant program was initiated in 1996 and 147 tree planting projects have been completed. In 2000, 277 schools participated in the Centennial Trees Program and students planted 38,000 trees raising the total trees planted by school children to 544,615. A "Living Snow Fence" initiative was undertaken in 1998 and 222 tree planting projects have been initiated in 32 counties to keep North Dakota's roads safe for winter travel.

Community Forestry

Most of North Dakota's residents live in cities and towns. For them, natural resource management means conservation activities in city parks, tree-lined streets or other green spaces within the community.

The North Dakota Forest Service provides leadership and technical assistance in all phases of forestry to cities and other local public bodies throughout the state. Program assistance includes tree planting plans, management plans, shade tree ordinances, pest surveys and tree maintenance workshops.

The North Dakota Forest Service administers two community forestry financial assistance programs, America the Beautiful (ATB) and Community Transportation Enhancement (CTE) Challenge Grant Programs. The programs provide approximately $170,000 in challenge grants to eligible communities for tree planting and other forestry development projects each year.

Financial Assistance Sources

- *ATB Community Forestry Challenge Grant Program*
- *CTE Community Forestry Challenge Grant Program*

Accomplishments

The North Dakota Forest Service remains the sole supplier of forestry assistance to communities with populations under 7,500. Since 1991, 125 communities have been awarded $1,398,975 for 373 tree planting and community forestry development projects. North Dakota continues to be recognized as a national leader in community forestry with its continual growth in Tree City USA awards. Fifty-five North Dakota communities achieved the award in 2000.

Community Forestry
ATB and CTE Programs 1991-2000

Number of Applications Funded	*373*
Number of Communities Assisted	*125*
Grant Funding Awarded	*$ 1,398,975*
Total Cost of Projects	*$ 2,819,089*

Fire Management

The Fire Management Program invests in rural North Dakota through the protection of lives, property and natural resources. The program is based on the principle that maximum fire prevention through education, training and equipment ensures minimal loss.

The North Dakota Forest Service administers specific programs that train, organize and equip North Dakota's 388 rural volunteer fire departments. The Volunteer Fire Assistance Program provides departments approximately $100,000 each year for purchasing or repairing equipment and training. A companion program, the Federal Excess Personal Property Program, loans surplus federal equipment to departments for enhancing firefighting capabilities.

Fire prevention is stressed through the distribution of "Smokey Bear" fire prevention materials to schools. As America's most widely recognized public service symbol, Smokey also makes personal appearances.

Financial Assistance Sources

- *Volunteer Fire Assistance Program (VFA)*
- *Federal Excess Personal Property Program (FEPP)*

Accomplishments

As of September 30, 1999, revised cooperative fire agreements have been signed with 362 fire departments for fire protection assistance. Since 1972, over $2.3 million in Volunteer Fire Assistance (VFA) Program cost-share has been awarded to fire departments. As of December 31, 1999, 597 fire vehicles and equipment items with an original acquisition value of $6,798,470 were on loan to fire departments through the Federal Excess Personal Property Program. In 2000, the agency received 142 service requests totaling over $700,000. Requests were funded from 84 rural fire departments totaling $93,709.

Fire Management Accomplishments

- *362 Cooperative Agreements with Rural Fire Districts*
- *Total VFA Awards (1972-2000) $2,311,759*
- *597 Vehicles and Equipment Items Valued at $6,798,470 on Loan (12/31/99)*

Forest Resource Management

The majority of North Dakota's rural forests are privately owned. Forest Resource Management focuses on educating and assisting non-industrial private landowners to better manage, protect and utilize their natural resources. This is accomplished through the development of a forest stewardship plan and direct financial assistance for forest improvement practices. Rural forestry services are delivered through an agreement with North Dakota's local soil conservation districts.

Another important focus of the Forest Resource Management Program is forest health. Trees weakened by drought, fire, low nutrient levels or mechanical injury are more likely to be attacked by forest pests. Left undetected, insect and disease populations can build to epidemic levels causing substantial damage to forest resources. Each season, the agency conducts a state-wide survey to detect forest pest outbreaks. Forest health assistance is available to public and private landowners through a cooperative project with the NDSU Extension Service in Fargo.

Incentives are essential to help rural landowners manage native and planted forests. The Environmental Quality Incentives Program (EQIP) and the Wildlife Habitat Incentives Program (WHIP) offer up to 75 percent cost-share assistance to landowners for accomplishing forest stewardship projects such as tree planting, forest stand improvement, soil and water protection, riparian protection, windbreak renovation and wildlife habitat enhancement. Eligible landowners may sign up at their local FSA office for WHIP or EQIP practices.

Another cost-share source available to landowners is funded by EPA-319 grant dollars. The funding is available for implementing riparian best management practices and restricted to land holdings within the Red River Basin. Cost-share dollars are offered on a 60/40 match.

The Forest Stewardship Tax Law offers tax incentive to woodland owners which they can reinvest in active management. The law is administered through participating counties and lowers the annual property tax to 50 cents per acre on enrolled lands.

Financial Assistance Sources

- *Environmental Quality Incentives Program (EQIP)*
- *EPA 319 Grant Funds (Riparian BMP Implementation)*
- *Forest Stewardship Tax Law (Available in participating Counties)*
- *Wildlife Habitat Incentives Program (WHIP)*

Accomplishments

Technical assistance is provided to over 600 rural landowners each year. Since 1991, 1,405 forest stewardship plans have been requested and completed for 71,777 acres of privately-owned native and planted woodlands. During that same period, 456 forest improvement practices have been awarded $548,887 in Stewardship Incentive Program cost-share funds. A total of 587 landowners have enrolled 39,384 acres in the Forest Stewardship Tax Law.

Tree Production

The North Dakota Forest Service owns and operates the 160-acre Towner State Nursery. The nursery produces approximately 1.2 million tree seedlings annually for distribution to landowners in North Dakota. The nursery also provides tree improvement services such as testing, evaluation, selection and development of genetically improved tree species for forestry and conservation plantings.

The Towner Nursery is a self-supporting operation specializing in the production of conifer (evergreen) nursery stock in over twenty different species and stock types. Primary species include Colorado blue spruce, Black Hills spruce, ponderosa pine, eastern redcedar, Scotch pine and Rocky Mountain juniper. The trees are distributed at a price not to exceed 110% of the cost of production. The trees are used for farmstead, living snow fence, field windbreak, wildlife, forestry and other conservation plantings.

Accomplishments

Tree Sales: The Towner Nursery sold 1.3 million trees representing over 25 different species and age classes in 2000. Trees were shipped to 841 soil conservation districts, natural resource agencies and private landowners in 2000. Since 1927, over 69 million tree seedlings have been produced and sold.

Seedling Ordering Information

- *Order forms and nursery catalog available by writing or calling*

Towner State Nursery
1927-2000
Tree Seedling Production

Tree Distribution by County SCD	*44,686,337 Trees*
Additional Tree Distribution	*25,044,653 Trees*
Total Tree Distribution 1927-2000	*69,730,990 Trees*

Nursery Mechanization: The Towner Nursery started a program in 1999 to mechanize many of its operations. The goal of the program was to minimize strenuous tasks and to reduce overall labor needs. The Towner Nursery depends on a part-time work force of 45 people to produce, lift, grade, package and ship over 1.2 million trees each year. As with most small rural communities in North Dakota, the available workforce in the Towner area is diminishing. Many nursery employees are retired individuals seeking part-time work. Although this is a very effective workforce, it is difficult to find people to do strenuous labor. The first phase of our mechanization plan has effectively addressed these concerns. The final phase of the nursery mechanization plan, a tree storage building, is included in the 2001-2003 capital projects budget request.

Mechanization Improvements

- *Converting tree lifting and handling to a system of pallets that are transported by forklifts. This has eliminated the manual lifting of tubs of trees and reduced the field lifting crew from ten people to four.*
- *Mechanized the grading and packaging operation to eliminate heavy lifting associated with moving trees. Also conveyors were installed to remove soil and cull trees from the packaging building.*
- *A mechanical filler was installed to fill greenhouse containers. This was previously done with hand labor.*
- *The greenhouse watering system was upgraded to improve efficiency and reduce fertilizer use.*

Information and Education

Educational and informational services raise public awareness about natural resource values, help support informed decision-making and foster individual responsibility in conserving natural resources. Specific audiences include elementary school students, youth organizations and adults.

The North Dakota Forest Service sponsors Project Learning Tree (PLT), a conservation education program aimed at teachers and youth leaders working with students in grades K-12. Graduate-level credit workshops are arranged in conjunction with NDSU and local school districts for teachers and volunteer educators.

The Information and Education Program handles the daily requests for forestry information and the publication of educational materials. A newsletter entitled "The Prairie Forester" carries forestry information to over 4,000 farmers, ranchers, community residents, volunteer fire-fighters, educators and concerned citizens across the state.

I & E Services

- *Project Learning Tree (PLT)*
- *Prairie Forester Newsletter*

Accomplishments

Personnel delivered 2,504 seat hours of forestry topics to schools, youth camps and public workshops during 2000. Approximately 929 individual assists were made to landowners, citizens, fire departments and schools. The agency also prepared and released 30 news and magazine articles and printed 4 publications on forestry issues.

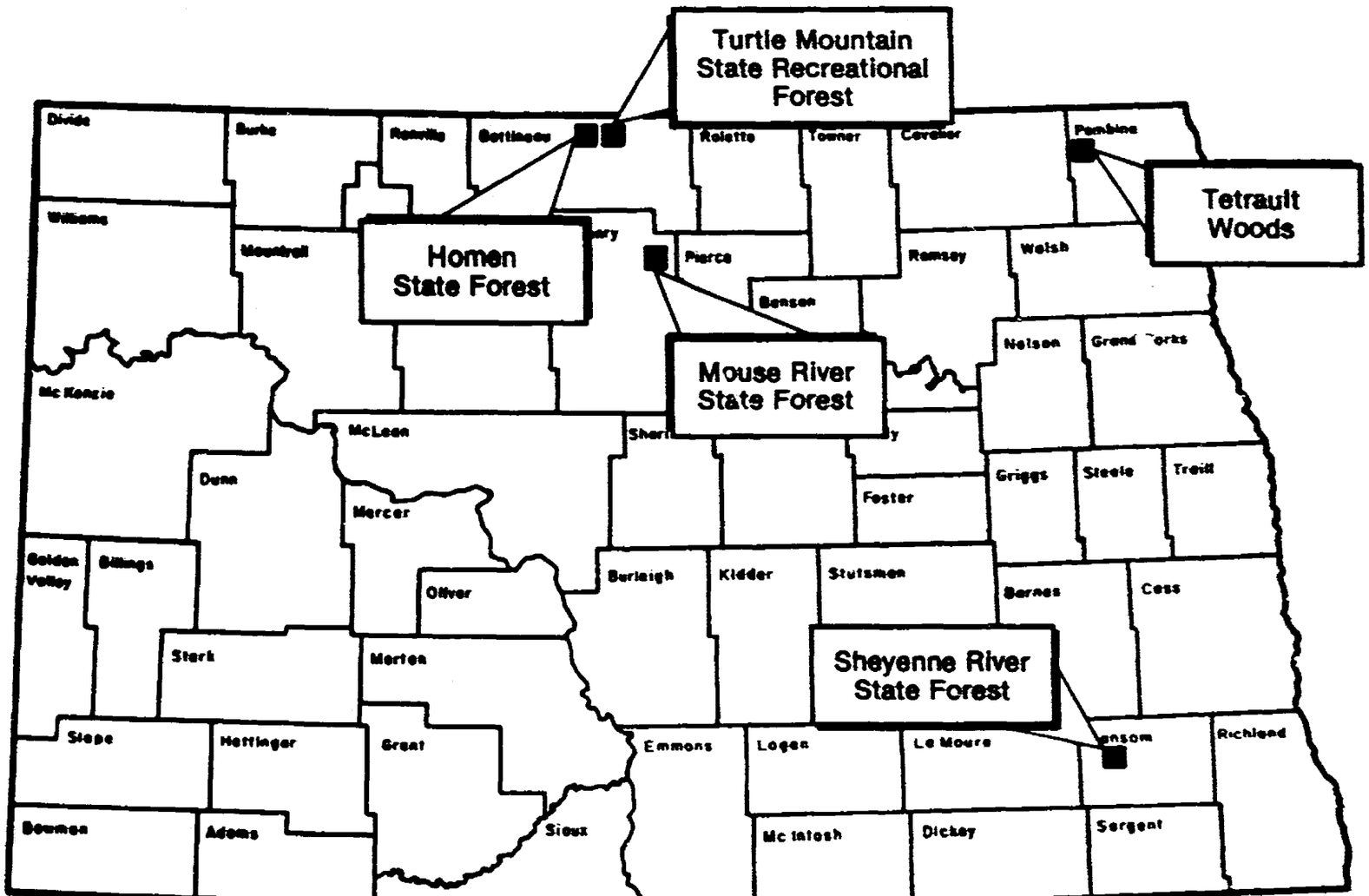
State Forests

State forests play an important role in the economic well-being of several rural communities by attracting hunters, hikers, campers, skiers, snowmobiles, tourists and other outdoor enthusiasts. The North Dakota Forest Services owns and manages five state forests comprising approximately 13,278 acres. Forests include samples of aspen forest type, elm-ash riparian forests, oak savanna forests, tree plantations, wetlands, small lakes and native prairie. The forests contain three campgrounds, two picnic areas and 50 miles of wooded trails. They also provide public access to four fishing lakes and protect 2.5 miles of river riparian forest. The Turtle Mountain State Forests and Homen State Forest border the Turtle Mountain Scenic Byway and the Sheyenne State Forest borders the Sheyenne River Scenic Byway. The State Forests are located in popular tourist areas and attract hunters, hikers, campers, skiers snowmobilers, wildlife viewers and other outdoor enthusiasts.

Accomplishments

During 2000 over 26,200 people visited and utilized seven state forest properties for recreational opportunities. Approximately 1,456 people camped on Turtle Mountain State Forest Recreations Areas in 2000. Wildlife habitat improvement was completed on 43 acres of aspen-birch forests and private individuals harvested over 30 cords of firewood.

NORTH DAKOTA STATE FORESTS



13,278 acres of State Forest lands are owned and managed by the North Dakota Forest Service

REQUEST/RECOMMENDATION COMPARISON SUMMARY

384 NORTH DAKOTA FOREST SERVICE **Bill #: SB2003**

Biennium: 2001-2003

Description	Expenditures Prev Biennium 1997-1999	Present Budget 1999-2001	2001-2003 Requested Incr (Decr)	2001-2003 Requested % Chg	Requested Budget 2001-2003	2001-2003 Recommended Incr (Decr)	2001-2003 Recommended % Chg	Executive Recommendation 2001-2003
BY MAJOR PROGRAM								
PUBLIC SERVICE	2,202,893	2,509,666	(7,050)	-0.3%	2,502,616	138,668	5.5%	2,648,334
FACILITIES UPKEEP AND MAINTENANCE	131,454	139,366	31,695	22.7%	171,061	31,695	22.7%	171,061
LOCAL FUNDS	48,842	2,516,232	0	0.0%	2,516,232	(2,516,232)	-100.0%	0
TOTAL MAJOR PROGRAMS	**2,383,189**	**5,165,264**	**24,645**	**0.5%**	**5,189,909**	**(2,345,869)**	**-45.4%**	**2,819,395**
BY LINE ITEM								
SALARIES AND WAGES	1,640,943	1,783,518	(9,817)	-0.6%	1,773,701	126,321	7.1%	1,909,839
OPERATING EXPENSES	401,964	423,601	9,817	2.3%	433,418	19,397	4.6%	442,998
EQUIPMENT	47,962	65,011	(17,000)	-26.1%	48,011	(17,000)	-26.1%	48,011
CAPITAL IMPROVEMENTS	80,944	79,541	91,520	115.1%	171,061	91,520	115.1%	171,061
GRANTS TO CENTENNIAL TREES	112,024	147,486	100,000	67.8%	247,486	100,000	67.8%	247,486
LOCAL FUNDS	48,842	2,516,232	0	0.0%	2,516,232	(2,516,232)	-100.0%	0
BIENNIUM CARRYOVER	50,510	149,875	(149,875)	-100.0%	0	(149,875)	-100.0%	0
TOTAL LINE ITEMS	**2,383,189**	**5,165,264**	**24,645**	**0.5%**	**5,189,909**	**(2,345,869)**	**-45.4%**	**2,819,395**
BY FUNDING SOURCE								
GENERAL FUND	1,509,283	1,699,446	(75,295)	-4.5%	1,623,151	69,423	4.1%	1,768,869
FEDERAL FUNDS	0	0	0	0.0%	0	0	0.0%	0
SPECIAL FUNDS	873,906	3,465,818	100,940	2.9%	3,566,758	(2,415,292)	-69.7%	1,050,526
TOTAL FUNDING SOURCE	**2,383,189**	**5,165,264**	**24,645**	**0.5%**	**5,189,909**	**(2,345,869)**	**-45.4%**	**2,819,395**
TOTAL FTE	17.66	17.81	0.00	0.0%	17.81	(0.00)	-0.0%	17.81

2001 - 2003 PUBLIC SERVICE BUDGET REQUEST

Salaries and Wages

The 2001-2003 "hold even" salaries and wages request is $1,773,701 to continue 17.01 FTE positions who provide public forestry services relating to the management of private, state and community forest lands. The "hold even" budget eliminates $51,887 in salaries (0.80 FTE and pool positions) that support the School Tree Program and the Tree Improvement Program at Towner State Nursery. The temporary salaries employ approximately 45 part-time and seasonal workers for tree seedling production and state land management programs.

Operating Expenses

The operating request is $433,418. However, in order to comply with the "hold even" budget guideline, the 2.5 percent per year inflationary budget adjustment will require operating expenses to be reduced by $9,580. The funds support the School Tree Program and Tree Improvement Program at Towner State Nursery. Funding of operating expenses is necessary to support public forestry services in the following program areas: Centennial Tree Program, Community Forestry, Fire Management, Forest Resource Management, Information & Education, State Forests and Tree Production. Expenditures include travel, telephone and motor pool costs associated with servicing over 1,200 field forestry requests from throughout the state each year. Operating expenses fund annual tree production at 1.2 million seedlings. Expenditures include utilities, repairs and supplies for seeding, weeding, irrigating, fertilizing, lifting, packing and shipping operations associated with both bare-root seedling and containerized tree production. Towner State Nursery provides essentially all of the conifer (evergreen) seedlings for conservation and forestry plantings in every county of North Dakota. In addition, utility, repair and supply expenditures are necessary to maintain office and shop complexes at Towner State Nursery and field offices in Bismarck, Bottineau, Lisbon and Walhalla.

Equipment

Equipment is an essential component of public service in such programs as tree production, rural forestry and fire management. The agency operates 10 tractors, 8 trailers and 20 other heavy equipment items such as specialized nursery equipment. The 2001-2003 equipment request is $48,011. The funding is needed for new and replacement equipment associated with tree seedling production at Towner State Nursery, as well as for fire-fighting, state lands maintenance and office support at the Bottineau, Lisbon and Walhalla field offices. The $17,000 decrease in the 2001-2003 request is due to one-time funding received for specialized equipment at the Towner State Nursery.

Grants to Centennial Trees

The grants to Centennial Trees request is $247,486. The $100,000 adjustment is additional income projected from the Centennial Trees Trust Fund. This request will continue grants to public and private entities for establishing "Family Forests" and "Living Snow Fences" and other associated promotional, educational and fund raising projects associated with strengthening the tradition of tree planting and management in North Dakota. Since 1996, Centennial Trees grants have helped establish 147 community "Family Forest" projects. The Centennial Trees Trust Fund is funded by private donations including the income-tax check off.

Biennium Carryover

The 1999-2001 biennium carryover is $149,875. $90,050 originated from special funds and was expended on equipment replacements that were critical to the Tree Production, Forest Resource Management, Fire Management and State Lands Programs. $59,295 in other general fund savings was used for plant improvements projects involving deferred building maintenance.

Impact of "Hold Even" Budget

The proposed "hold even" budget would eliminate $61,467 for the "costs to continue." The general fund reduction would eliminate the School Tree Program and curtail Tree Improvement Program activities at Towner State Nursery.

Programs Eliminated

School Tree Program

- ***Seedlings for 270 Schools and 38,000 Elementary Students***

Tree Improvement Program

- ***Improved Tree Quality***
- ***Seed Orchard Establishment***
- ***Tree Care Procedures***
- ***New Hardy Tree Species***

The School Tree Program provides trees and tree planting educational materials to approximately 270 North Dakota Schools and 38,000 elementary students each year on Arbor Day. The program teaches young people how to plant and care for trees. Over $5,000 a year in private donations help fund this natural resource conservation education effort. The elimination of this program would deprive 38,000 students of the opportunity to participate in this well known and popular Arbor Day project.

The Tree Improvement Program improves the cultural procedures for growing tree seedlings and the genetic characteristics of conservation tree stock distributed in North Dakota. The reduction of state funds would curtail activities

relating to the selection and propagation of improved sources of conservation tree stock; establishment, maintenance, and genetic improvement of seed orchards at the Denbigh Experimental Forest and on state lands; and the selection and propagation of new hardy tree species for North Dakota.

$51,887 in salaries (0.80 FTE and pool positions) and $9,580 in operating funds would be cut in the "hold even" budget. The agency's FTE level would drop from 17.81 to 17.01.

Impact of "Hold Even" Budget

Salaries (0.8 FTE & Part-time)	***$51,887***
Operating (Supplies)	***$9,580***
Total	***$61,467***

2001 - 2003 FORESTRY INITIATIVES

A wide variety of customers depend on the North Dakota Forest Service as the sole provider of technical forestry assistance. Public demand for forestry services is being impacted by a growing environmental awareness among North Dakota citizens. This awareness and concern for the natural resources and the environment are causing more people to seek forestry advice and assistance. Major 2001-2003 forestry initiatives include:

2001-2003 Forestry Initiatives

- ***Living Snow Fence Initiative***
- ***Riparian Forest Restoration***
- ***Towner Nursery Mechanization Initiative***
- ***Lewis and Clark Bicentennial***

Living Snow Fence Initiative

On January 13, 1998, Governor Ed Schafer announced the goal of planting 100 miles of living snow fences to reduce snow drifting and blockage on roads, highways and interstates throughout North Dakota by 2001. The three-year initiative could save $1 million annually in snow removal costs, reduce the number of road closures and, more importantly, save lives. The Governor has asked the State Forester to direct the project in conjunction with the Centennial Trees Advisory Committee (CTAC) and the North Dakota Department of Transportation. The North Dakota Division of Emergency Management has awarded $673,911 in Federal Emergency Management Agency (FEMA) Hazard Mitigation Grant funds to the North Dakota Forest Service for the Living Snow Fence Initiative. In addition, the North Dakota Department of Transportation (NDDOT) and the Forest Service signed a $300,000 three-year commitment to fund living snow fence projects. The State Forester has also allocated (with CTAC approval) $33,333.33 from the "Grants to Centennial Trees" line item in the 1999-2001 budget for matching funds. Living snow fence grants are available to private and public landowners who are willing to establish living snow fences to protect priority sites along interstate, state, county, township and BIA roads. The USDA Conservation Reserve Program (CRP) and the NDDOT will provide land rental payments and the grant funds will cover the landowner's share of the tree planting costs and the first year of maintenance. Program guidelines and signup information were released in January, 1999. Overall, 32 counties have initiated 222 projects and nearly 347 miles of trees are scheduled to be planted to protect 104 miles of roads. The Forest Service is responsible for educational, technical and administrative tasks associated with the initiative.

Riparian Forest Restoration

The Riparian Forest initiative targets the restoration of 100 miles of riparian (streamside) areas in the Red River Basin. The initiative represents the first large-scale coordinated effort in North Dakota to improve water quality by restoring streamside forests; establishing riparian vegetative buffers; and halting the loss and improper management of native forests within the watershed. The selection of riparian restoration areas is based on water quality impacts, land condition and input from stakeholders (county water boards, watershed districts, landowners and natural resource agencies). Voluntary forestry "Best Management Practices" (BMPs) were developed and published to assist landowners in the restoration project.

The North Dakota Forest Service is partnering with the Red River Regional Council to provide direct technical forestry assistance to landowners and communities in managing riparian areas. Foresters have introduced landowners to these voluntary practices through the development of 116 management plans outlining forest management recommendations on 10,448 acres of priority areas. In addition, 71 sites have currently been targeted for reforestation, streambank stabilization and livestock exclusion practices. Foresters are committed to producing 300 management plans outlining forest management recommendations on 30,000 acres in priority areas. The Red River Riparian Project is partially funded through an EPA 319 Water Quality grant administered by the ND Department of Health.

Towner Nursery Mechanization Initiative

The Towner Nursery Mechanization Initiative was started in 1999 with a goal to minimize strenuous tasks for an aging and diminishing part-time workforce. Mechanization improvements have included converting tree lifting and handling processes to a system of pallets that are transported by forklifts. Conveyors were installed to mechanize the grading and packing operations to eliminate heavy lifting associated with moving trees. A mechanical container filler and watering system were also installed to upgrade greenhouse operations.

The nursery depends on a large part-time work force to lift, grade, package and ship 1.2 million trees each year. Wage increases resulting from the federal minimum wage mandates and local employment competition have reduced the effectiveness of the nursery salary budgets. A diminishing and aging population from which to attract a work force further compounds the situation.

The second phase and a key component of the mechanization initiative involves the construction of a new refrigerated tree storage building for warehousing pallets of trees awaiting packaging and pallets of trees to be picked up by UPS. The Tree Storage Building is included in the 2001-2003 capital projects request.

Lewis and Clark Bicentennial

Between 2003 and 2006, North Dakota will experience thousands of tourists exploring the Lewis and Clark Trail. More than thirty communities, ranging from the smallest of Cannon Ball with a population of 100 to Bismarck with a population of 50,000 people, will be impacted. The North Dakota Forest Service is initiating partnerships to address a wide variety of community, riparian and rural forestry needs along this route. Planting trees will beautify the landscape for visiting tourists, promote economic development and instill community pride. Approximately $50,000 in Community Forestry and Rural Community Assistance Grants were obtained from the USDA Forest Service and been awarded to 14 communities to launch the effort in 2000. Another $75,000 will be made available in 2001 for tree planting projects to beautify community gateways, maintain the health of community forests and enhance community infrastructure such as parks and walking trails.

Since this is the 200th anniversary of the Lewis and Clark expedition, it is also an opportunity to emphasize the historic importance of cottonwood trees. Many accounts document the use of cottonwood trees by the Lewis and Clark and Native Americans. These trees played a vital role in the success of the expedition by providing firewood, and perhaps more importantly, raw materials for the much needed "dugout canoes." The North Dakota Forest Service anticipates starting a Lewis and Clark cottonwood "famous and historic trees" project. Selected "Lewis and Clark Cottonwoods" will be propagated and made available to schools and communities for ceremonial plantings.

The 2001-2003 optional adjustments request includes an institutional innovation project involving the Lewis and Clark Bicentennial. The "Missouri River Forestry" initiative will offer innovative approaches and solutions to protect and improve trees and forests along the Lewis and Clark trail route.

2001 - 2003 FACILITIES UPKEEP AND MAINTENANCE BUDGET REQUEST

Services Provided:

Plant improvements are an essential component of public service in tree production and rural forestry programs, as well as in state forest lands. Plant improvements provide the means to physically improve existing facilities, upgrade existing infrastructure and make necessary repairs to buildings.

Program Accomplishments:

The agency is responsible for maintaining four separate field and nursery facilities located at Bottineau, Lisbon, Towner and Walhalla. These facilities consist of 39 office, shop, storage and recreational buildings. The buildings are valued at $1,274,867. Building ages vary from 4 years to 65 years. In addition, the infrastructure associated with these facilities is valued at $886,706.

2001-2003 Extraordinary Repair Projects

- ***Replace furnace in Tree Packaging Building (Towner Nursery) $5,000***
- ***Gravel entrance road to Strawberry Lake (Turtle Mountain State Forest) $8,500***
- ***Replace office floor coverings (Towner Nursery) $6,000***
- ***Construct fork lift driveways (Towner Nursery) $11,561***

Extraordinary Repairs:

The 2001-2003 budget request for Extraordinary Repairs is $31,061. Projects identified for 2001-2003 include a furnace replacement at Towner Nursery tree packaging building, gravel of the entrance road to Strawberry Lake Recreation Area, floor coverings at Towner State Nursery office, and forklift driveways at Towner State Nursery.

Capital Projects:

The NDBHE authorized the North Dakota Forest Service to request $140,000 for two capital projects in the 2001-2003 budget. Priority #1 is a Tree Storage Building at Towner State Nursery. Priority #2 is an Equipment Storage Building at the Lisbon Field Office.

Priority #1 – Tree Storage Building - $120,000

The Tree Storage Building is the second phase and a key component of a "Nursery Mechanization" initiative started in 1999. This project involves the construction of a new refrigerated tree storage building at Towner State Nursery for warehousing pallets of trees awaiting packaging and pallets of tree packages to be picked up by UPS. The building would increase refrigerated space to facilitate mechanized lifting, packaging, storing and shipping of trees. Previously funded repair projects have upgraded the existing refrigerated storage building constructed in 1963. The Tree Storage Building would require $120,000 in capital project funding.

The Towner Nursery depends on a large part-time work force to lift, grade, package and ship 1.2 million trees each year. Wage increases resulting from the federal minimum wage mandates and local employment competition have reduced the effectiveness of the nursery salary budgets. A diminishing and aging work force further compounds the situation. To offset these problems the Towner Nursery needs to fully mechanize tree lifting, packaging, storing and shipping operations to minimize strenuous tasks and reduce overall labor needs. The 1999-2001 budget funded mechanization needs including a skid steer loader, forklift, greenhouse pot filler and greenhouse irrigation system.

The Tree Storage Building is necessary to facilitate fully mechanizing the tree handling system for improved employee safety and to provide proper seedling storage at Towner Nursery. The present storage facilities are not large enough to accommodate skid steer loaders and forklifts to fully upgrade warehouse operations to bulk handling of trees in pallets. UPS orders require special packaging and handling which uses more storage space. UPS shipments have increased from 50,000 trees in 1993 to 538 orders for more than 213,000 trees in 1999.

Priority #2 – Equipment Storage Building - $20,000

A pole barn is needed to store vehicles, tractor, ATV and other state forest maintenance equipment at the Lisbon Field Office. The equipment is now stored outside and subject to problems associated with weather and vandalism. This 32' x 40' pole barn structure would be unheated and feature a metal exterior and gravel floor.

Approximately, 18,700 people utilize the state forests each year. The Sheyenne State Forest is maintained by personnel and equipment stationed at the Lisbon Field Office. The forest is a popular local attraction near Fort Ransom frequented by hunters and hikers for dispersed recreational activities.

2001-2003 Capital Projects

- ***Tree Storage Building (Towner State Nursery)***
  $120,000
- ***Equipment Storage Building (Lisbon Field Office)***
  $20,000

2001 - 2003 OPTIONAL ADJUSTMENTS BUDGET REQUEST

The North Dakota Board of Higher Education has authorized the Forest Service to seek restoration of the $61,467 for "costs to continue" eliminated in the "hold even" budget and to request an additional $30,581 in general funds for institutional innovation.

2001 - 2003 Optional Adjustments Budget

Priority	*Amount*	*Description*
1	*$61,467*	*Costs to Continue*
2	*$30,581*	*Institutional Innovation*
3	*$1,440*	*Renewal and Replacement*
	$93,488	*Total Adjustments*

Priority 1 - Restoration of Costs to Continue

This adjustment is to restore $61,467 for the "costs to continue" eliminated in the "hold even" budget request. It includes $51,887 to reinstate salaries (0.80 FTE and pool positions) and $9,580 in operating funds that support the School Tree Program and the Tree Improvement Program at Towner State Nursery.

The $9,850 in operating fund restoration includes $3,380 for unfunded risk management premiums.

Restoring the School Tree Program will assure that 270 North Dakota Schools and 38,000 elementary students continue to receive free tree seedlings for their Arbor Day tree planting projects. Arbor Day creates an important educational experience regarding the value of trees for improving the environment and maintaining the well-being of the communities in which we live. Research has demonstrated that tree planting can be a catalyst for individual empowerment, community cooperation and relationship building. It can light the way for more successful community ventures in business recruitment, business retention and tourism.

The restoration of Tree Improvement Program reductions will allow the continued improvement of tree quality, seed orchard establishment and the introduction of new hardy tree species. Trees used for farmstead, living snow fence and field windbreaks, wildlife, forestry and other conservation plantings must possess special genetic qualities to endure North Dakota's harsh environment. The Towner State Nursery focuses on growing tree stock that has the potential for high survival, rapid growth and resistance to insects and disease problems. Conservation trees are the "green infrastructure" of rural landscapes and play a vital role in sustainable agricultural production systems and rural economies.

Priority 2 - Institutional Innovation

This $30,581 adjustment (0.40 FTE) is to fund the Missouri River Forestry Initiative "Trees of Discovery." Between 2003 and 2006, North Dakota will experience thousands of tourists exploring the Lewis and Clark Trail. The tourists will discover that the trees and forests along the Missouri River once encountered by Lewis and Clark have experienced enormous change. If the forests could talk, they would tell about their natural decline due to aging; diseases such as Dutch elm disease; lack of natural regeneration; loss of young seedlings from browsing animals and wildfire; and loss of trees from development. Existing riparian (streamside) forests along the Missouri waterways are being fragmented and lost at rapid rates.

The Missouri River Forestry Initiative will offer innovative approaches and solutions through Best Management Practices (BMPs) to protect and improve water quality by establishing, managing and protecting riparian vegetation, field windbreaks, native and community forests. The North Dakota Forest Service will use the funding to leverage an EPA 319 Water Quality grant for a riparian forest restoration project in the Missouri Region including the Upper and Lower Missouri River basins and the James River Basin. The project will forge partnerships with local, state and federal agencies to deliver technical and financial assistance on private, community, state and tribal lands. The main goals include:

- Influence land management choices in the Missouri Region to improve forest condition, protect water resources and improve water quality.
- Coordinate the delivery of multiple programs to local stakeholders by combining efforts of natural resource agencies.
- Promote sustainable communities by enhancing tourism opportunities, improving living conditions and restoring our natural resource environment.

Priority 3 - Renewal and Replacement

This adjustment of $1,440 for capital improvements will be used to increase the funding for the "forklift driveway project" identified on the extraordinary repairs project list. The project funding will be increased from $11,561 to $13,001.

2001-2003 EXECUTIVE AND SENATE RECOMMENDATIONS

Salaries and Wages

The recommendations provide $1,909,839 in salaries (17.81 FTE) for the North Dakota Forest Service. It restores $51,887 in salaries (0.80 FTE and pool positions) eliminated in the "hold even" budget for the costs to continue salary increases authorized in the 2000-2001 annual budget. The Executive and Senate budgets further provide $55,830 for salary increases and $28,420 for health insurance premiums.

Operating Expenses

The budgets recommend $442,998 in operating expenses associated with servicing over 1,200 field forestry requests throughout the state each year by field offices in Bismarck, Bottineau, Carrington, Lisbon and Walhalla. Operating expenses also include funds for growing 1.2 million seedlings annually at Towner State Nursery. The budgets reinstate $9,580 in operating funds eliminated in the "hold even" budget that supports the School Tree Program and the Tree Improvement Program at Towner State Nursery. The costs to continue are needed to keep pace with rising costs for motor pool, repairs, nursery/building supplies and utilities.

Equipment

$48,011 in the Executive and Senate recommendations are for equipment at Towner State Nursery, Bismarck, Bottineau and Lisbon.

Capital Improvements

The budgets recommend $31,061 for extraordinary repair projects at the Towner State Nursery and the Bottineau Field Office per the 2001-2003 request. The Governor also provided $140,000 for two capital projects. The first is the Tree Storage Building, the second phase and key component in the Towner State Nursery Mechanization Strategy ($120,000). The second project is an Equipment Storage Building at the Lisbon Field Office ($20,000). The projects will be funded using $120,000 from the State Forester's Seedling Reserve Fund and $20,000 in additional revenues from tree sales.

Grants to Centennial Trees

The recommendations approve $247,486 to continue grants to public and private entities for establishing "Family Forests" and other promotional, educational and fund raising projects associated with the Centennial Trees Program. The funding is provided by the Centennial Trees Trust Fund.

Local Funds

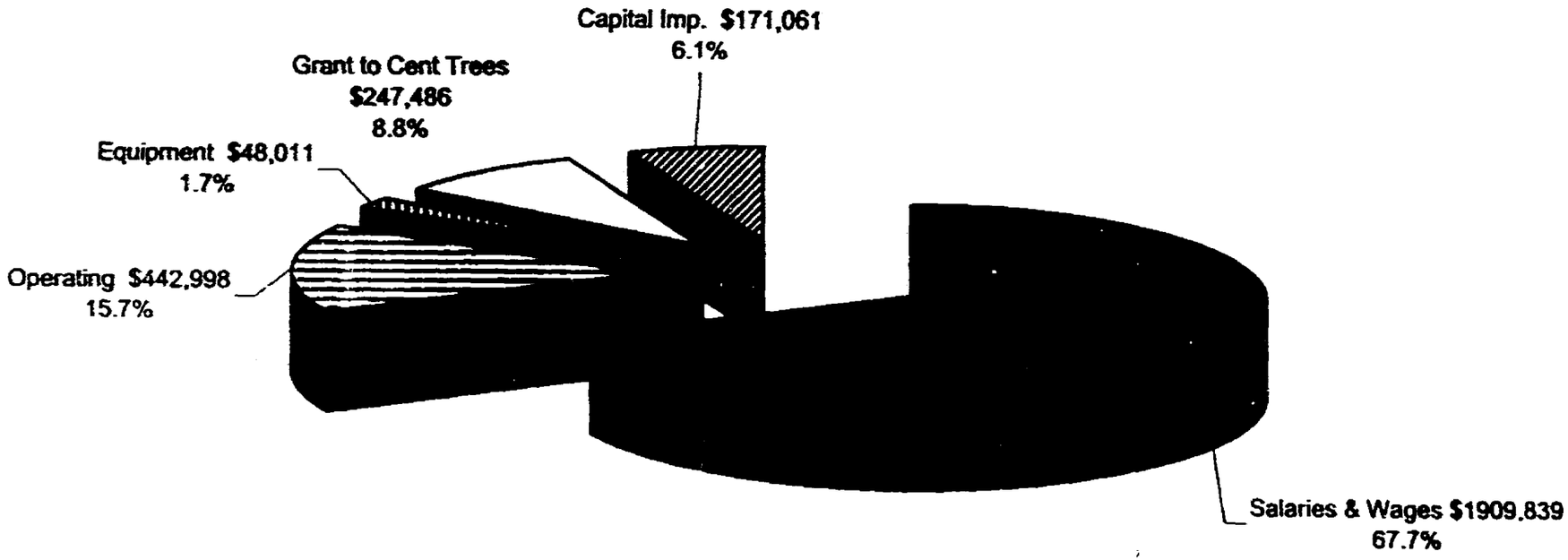
The recommendations remove $2,516,232 in local funds from the 2001-2003 budget. These funds cover maintenance costs on state forests, UPS costs for tree seedlings shipped from Towner State Nursery and funding for pass-through forestry grants to local, state and private entities.

NORTH DAKOTA FOREST SERVICE
BUDGET REQUEST 2001-03

	Salaries & Wages	Operating Expenses	Equip.	Capital Improve.	Centennial Trees	Local Funds	Biennium Carryover	Total	General Fund	Special Fund
1999-01 Adjusted Appro.	1,783,518	423,601	65,011	79,541	147,486	2,516,232	149,875	5,165,264	1,699,446	3,465,818
One-Time Funding			(17,000)				(149,875)	(166,875)	(76,295)	(90,580)
Cost to Continue:								0		
Continue 2000-01 Salary Increases	42,070							42,070	42,070	
Continue Risk Management Premiums		3,380						3,380	3,380	
Operating Inflation -- 2.5% Per Year		16,017						16,017	16,017	
1999-01 Capital Projects				(48,480)				(48,480)	0	(48,480)
2001-03 Capital Projects				140,000				140,000	0	140,000
Additional Revenue					100,000			100,000	0	100,000
Hold Even Budget Reductions	(51,887)	(9,580)						(61,467)	(61,467)	
Total 2001-03 Hold Even Budget	1,773,701	433,418	48,011	171,061	247,486	2,516,232	0	5,189,909	1,623,151	3,566,758
2001-03 Executive Recommendation										
Restoration of Reductions	51,887	9,580						61,467	61,467	0
Salary Increase	55,830							55,830	55,830	
Health Insurance Premiums	26,420							28,420	28,420	
Local Fund Adjustment						(2,516,232)		(2,516,232)		(2,516,232)
Total 2001-03 Executive Recommendation	1,909,839	442,998	48,011	171,061	247,486	0	0	2,819,395	1,768,869	1,050,526
2001-03 Senate Amendments										
No Amendments										
Total 2001-03 Senate Recommendation	1,909,839	442,998	48,011	171,061	247,486	0	0	2,819,395	1,768,869	1,050,526

EXECUTIVE BUDGET RECOMMENDATION

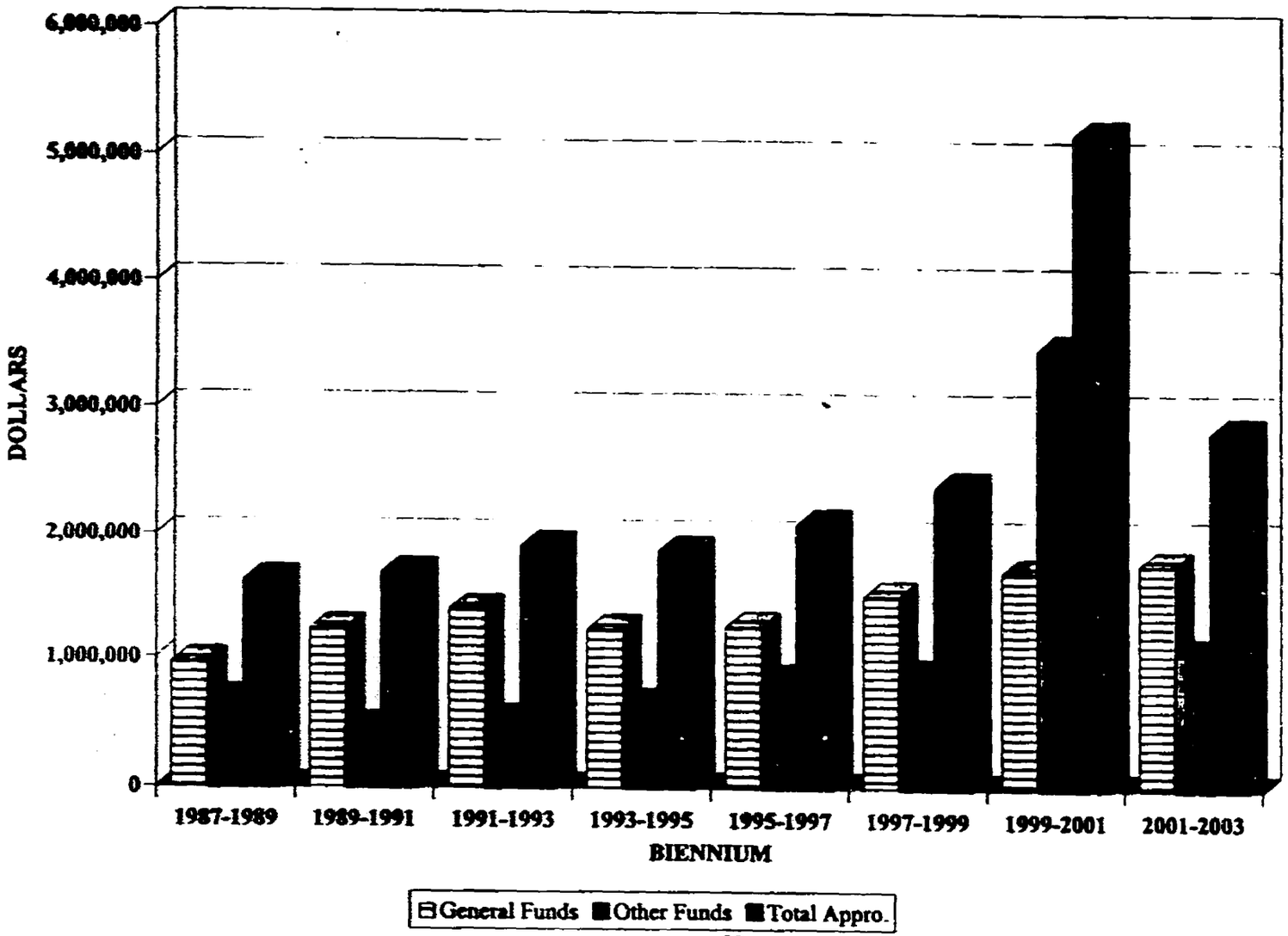
2001-2003 BIENNIUM



NORTH DAKOTA FOREST SERVICE
APPROPRIATION HISTORY

AGENCY TOTALS	ACTUAL 1987-1989	ACTUAL 1989-1991	ACTUAL 1991-1993	ACTUAL 1993-1995	ACTUAL 1995-1997	ACTUAL 1997-1999	BUDGET 1999-2001	EXEC 2001-2003
Line Item								
Salaries & Wages	1,185,114	1,138,513	1,359,487	1,450,194	1,503,926	1,640,943	1,783,518	1,909,839
Operating	350,182	383,492	372,372	365,149	385,139	401,964	423,601	442,998
Equipment	56,035	98,176	109,267	25,941	47,548	47,962	65,011	48,011
Capital Imp.	29,819	68,116	68,492	32,163	72,801	80,944	79,541	171,061
Grant to Cent Trees	0	0	0	0	76,368	112,024	147,486	247,486
Local Funds	0	0	0	0	0	48,842	2,516,232	0
Biennium Carryover	0	0	0	0	0	50,510	149,875	0
Total Expenses	1,621,150	1,688,297	1,909,618	1,873,447	2,085,782	2,383,189	5,165,264	2,819,395
Funding Source								
General Funds	961,583	1,231,180	1,393,434	1,233,020	1,256,465	1,509,283	1,699,446	1,768,869
Other Funds	659,567	457,117	516,184	640,427	829,317	873,906	3,465,818	1,050,526
Total Appropriation	1,621,150	1,688,297	1,909,618	1,873,447	2,085,782	2,383,189	5,165,264	2,819,395
FTEs	21.02	23.77	23.77	21.77	17.66	17.66	17.81	17.81

NORTH DAKOTA FOREST SERVICE
APPROPRIATION HISTORY



Prepared by the North Dakota Legislative Council staff for Senate Appropriations
January 2, 2001

Department 238 - State College of Science Senate Bill No. 2003

	FTE Positions	General Fund	Other Funds	Total
2001-03 Executive Budget	229.90	$25,776,628	$1,000,000	$26,776,628
1999-2001 Legislative Appropriations	229.90	25,603,806	25,065,836	50,669,642[1]
Increase (Decrease)	0.00	$172,822	($24,065,836)	($23,893,014)

[1] The 1999-2001 appropriation amounts include the following general fund allocations for the institution's share of the funding pools appropriated to the Office of Management and Budget (OMB) and the University System:

- $9,874 of the $1.4 million funding pool appropriated to OMB for assisting agencies in providing $35 per month minimum salary increases in July 1999 and July 2000.
- $116,893 of the $2,630,992 critical salary funding pool appropriated to the University System to provide market and equity increases, in addition to legislatively appropriated salary increases.
- $520,917 of the $4,290,128 equity and special needs funding pool appropriated to the University System to address equity funding issues and academic program needs.

The 1999-2001 appropriation amounts do not include additional spending authority resulting from Emergency Commission action, other University System allocations occurring during the 1999-2001 biennium, and spending authority carried over from the 1997-99 biennium.

Major Items Affecting State College of Science 2001-03 Budget

	General Fund	Other Funds	Total
1. Removes requested appropriations for the following and provides, in Section 3 of Senate Bill No. 2003, that all income from federal, private, and other sources is appropriated to the institutions under the control of the State Board of Higher Education:			
Tuition income		($7,964,449)	($7,964,449)
Miscellaneous income		(582,994)	(582,994)
Income from continuing education activities		(200,000)	(200,000)
Local funds		(18,849,376)	(18,849,376)
Total		($27,596,819)	($27,596,819)
2. Provides funding for the following capital projects:			
Extraordinary repairs (a general fund increase of $137,615 from the amount appropriated for the 1999-2001 biennium)	$773,500		$773,500
Electrical distribution system, roof replacement, and steamline replacement (a general fund increase of $110,000 from the amount appropriated for the 1999-2001 biennium for Phase I of the project)	2,110,000		2,110,000
Parking lot repair and improvement*		$1,000,000	1,000,000
Total recommended appropriation	$2,883,500	$1,000,000	$3,883,500

* The executive budget recommendation provides that the parking lot repairs and improvements will be financed with revenue bonds issued by the University System. However, Senate Bill No. 2003 does not contain authority for the issuance of the bonds.

3. Replaces salaries and wages, operating expenses, and equipment line items with a new line item entitled operations.

4. Provides no funding to the institution for recommended salary increases; the appropriation for recommended salary increases is included in the executive recommendation for the North Dakota University System office as part of the $19.4 million equity/special needs funding pool.

Major Legislation Affecting the State College of Science

Senate Bill No. 2037 - This bill provides a continuing appropriation to the State Board of Higher Education of all moneys received by institutions of higher education, including tuition income, and allows institutions to carry over unspent general fund appropriation authority.

Senate Bill No. 2038 - This bill requires that the budget request for the University System include requested amounts for base, initiative-, and asset-funding components and requires that the executive budget appropriations bill for the University System include recommended appropriations for those components.

Senate Bill No. 2039 - This bill allows the State Board of Higher Education to authorize campus improvements and building maintenance projects financed by donations, gifts, grants, and bequests if the cost of the improvement or maintenance is not more than $500,000.

Senate Bill No. 2040 - This bill provides that bonuses, cash incentive awards, and temporary salary adjustments provided to employees of the North Dakota University System are excluded from the definition of a fiscal irregularity.

Prepared by the North Dakota Legislative Council staff for Senate Appropriations
January 15, 2001

Department 238 - State College of Science
Senate Bill No. 2003

	FTE Positions	General Fund	Other Funds	Total
2001-03 Schafer Executive Budget	229.90	$25,776,628	$1,000,000	$26,776,628
1999-2001 Legislative Appropriations	229.90	25,603,806	25,065,836	50,669,642[1]
Increase (Decrease)	0.00	$172,822	($24,065,836)	($23,893,014)

2001-03 Hoeven Executive Budget	229.90	$25,776,628	$4,300,000	$30,076,628
Hoeven Increase (Decrease) to Schafer	0.00	$0	$3,300,000	$3,300,000

[1] The 1999-2001 appropriation amounts include the following general fund allocations for the institution's share of the funding pools appropriated to the Office of Management and Budget (OMB) and the University System:

- $9,874 of the $1.4 million funding pool appropriated to OMB for assisting agencies in providing $35 per month minimum salary increases in July 1999 and July 2000.
- $116,893 of the $2,630,992 critical salary funding pool appropriated to the University System to provide market and equity increases, in addition to legislatively appropriated salary increases.
- $520,917 of the $4,290,128 equity and special needs funding pool appropriated to the University System to address equity funding issues and academic program needs.

The 1999-2001 appropriation amounts do not include additional spending authority resulting from Emergency Commission action, other University System allocations occurring during the 1999-2001 biennium, and spending authority carried over from the 1997-99 biennium.

Major Schafer Recommendations Affecting State College of Science 2001-03 Budget

	General Fund	Other Funds	Total
1. Removes requested appropriations for the following and provides, in Section 3 of Senate Bill No. 2003, that all income from federal, private, and other sources is appropriated to the institutions under the control of the State Board of Higher Education:			
Tuition income		($7,964,449)	($7,964,449)
Miscellaneous income		(582,994)	(582,994)
Income from continuing education activities		(200,000)	(200,000)
Local funds		(18,849,376)	(18,849,376)
Total		($27,596,819)	($27,596,819)
2. Provides funding for the following capital projects:			
Extraordinary repairs (a general fund increase of $137,615 from the amount appropriated for the 1999-2001 biennium)	$773,500		$773,500
Electrical distribution system, roof replacement, and steamline replacement (a general fund increase of $110,000 from the amount appropriated for the 1999-2001 biennium for Phase I of the project)	2,110,000		2,110,000
Parking lot repair and improvement*		$1,000,000	1,000,000
Total recommended appropriation	$2,883,500	$1,000,000	$3,883,500

* The executive budget recommendation provides that the parking lot repairs and improvements will be financed with revenue bonds issued by the University System. However, Senate Bill No. 2003 does not contain authority for the issuance of the bonds.

3. Replaces salaries and wages, operating expenses, and equipment line items with a new line item entitled operations.

4. Provides no funding to the institution for recommended salary increases; the appropriation for recommended salary increases is included in the executive recommendation for the North Dakota University System office as part of the $19.4 million equity/special needs funding pool.

Major Heaven Recommendations Affecting State College of Science 2001-03 Budget Compared to the Bill as Introduced (Schafer Budget)

	General Fund	Other Funds	Total
1. Adds funding for remodeling of the existing 22,300 square foot Student Union and the construction of a 12,000 square foot multipurpose addition, to be paid from the following sources:		$3,300,000	$3,300

- Revenue bonds repaid with student fees - $2,000,000
- Foundation funds - $1,000,000
- Bookstore and dining services revenues - $300,000

Major Legislation Affecting the State College of Science

Senate Bill No. 2037 - This bill provides a continuing appropriation to the State Board of Higher Education of all moneys received by institutions of higher education, including tuition income, and allows institutions to carry over unspent general fund appropriation authority.

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Senate Bill No. 2040 - This bill provides that bonuses, cash incentive awards, and temporary salary adjustments provided to employees of the North Dakota University System are excluded from the definition of a fiscal irregularity.

Prepared by the North Dakota Legislative Council staff for House Appropriations
February 27, 2001

Department 238 - State College of Science
Senate Bill Nos. 2003, 2023

	FTE Positions	General Fund	Other Funds	Total
2001-03 Schafer Executive Budget	229.90	$25,776,628	$1,000,000	$26,776,628
1999-2001 Legislative Appropriations	229.90	25,603,806	25,065,836	50,669,642
Increase (Decrease)	0.00	$172,822	($24,065,836)	($23,893,014)
2001-03 Hoeven Executive Budget	229.90	$25,776,628	$4,300,000	$30,076,628
Hoeven Increase (Decrease) to Schafer	0.00	$0	$3,300,000	$3,300,000

[1]The 1999-2001 appropriation amounts include the following general fund allocations for the institution's share of the funding pools appropriated to the Office of Management and Budget (OMB) and the University System:

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The 1999-2001 appropriation amounts do not include additional spending authority resulting from Emergency Commission action, other University System allocations occurring during the 1999-2001 biennium, and spending authority carried over from the 1997-99 biennium.

Major Schafer Recommendations Affecting State College of Science 2001-03 Budget

	General Fund	Other Funds	Total
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2. Provides funding for the following capital projects:			
Extraordinary repairs (a general fund increase of $157,615 from the amount appropriated for the 1999-2001 biennium)	$773,500		$773,500
Electrical distribution system, roof replacement, and steamline replacement (a general fund increase of $110,000 from the amount appropriated for the 1999-2001 biennium for Phase I of the project)	2,110,000		2,110,000
Parking lot repair and improvement (The Senate removed this project from Senate Bill No. 2003 and included bonding authority in Senate Bill No. 2023.)		$1,000,000	1,000,000
Total recommended appropriation	$2,883,500	$1,000,000	$3,883,500
3. Replaces salaries and wages, operating expenses, and equipment line items with a new line item entitled operations.			
4. Provides no funding to the institution for recommended salary increases; the appropriation for recommended salary increases is included in the executive recommendation for the North Dakota University System office as part of the $19.4 million equity/special needs funding pool.			

Major Hoeven Recommendations Affecting State College of Science 2001-03 Budget Compared to the Bill as Introduced (Schafer Budget)

	General Fund	Other Funds	Total
1. Adds funding for remodeling of the existing 22,300 square foot Student Union and the construction of a 12,000 square foot multipurpose addition, to be paid from the following sources:		$3,300,000	$3,300,000

- Revenue bonds repaid with student fees - $2,000,000 (The Senate included bonding authority for this project in Senate Bill No. 2023.)
- Foundation funds - $1,000,000 (The Senate required a local match of $1,300,000 in Senate Bill No. 2023.)
- Bookstore and dining services revenues - $300,000 (The Senate required a local match of $1,300,000 in Senate Bill No. 2023.)

Major Legislation Affecting the State College of Science

Senate Bill No. 2023 - This bill includes authority for the State Board of Higher Education to issue revenue bonds on behalf of the State College of Science in the amount of $3 million--$1 million for parking lot improvements and $2 million for the Student Union renovation project. The bill also requires a local match of $1.3 million to be provided by the State College of Science for the Student Union renovation project.

Senate Bill No. 2039 - This bill allows the State Board of Higher Education to authorize campus improvements and building maintenance projects financed by donations, gifts, grants, and bequests if the cost of the improvement or maintenance is not more than $250,000.

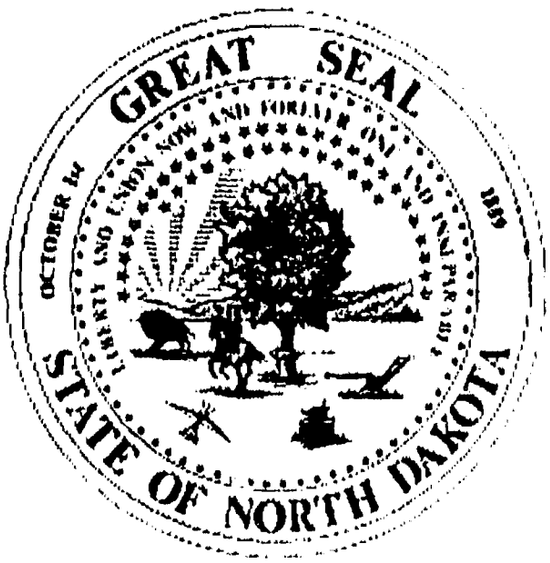
Senate Bill No. 2040 - This bill provides that bonuses, cash incentive awards, and temporary salary adjustments provided to employees of the North Dakota University System are excluded from the definition of a fiscal irregularity.

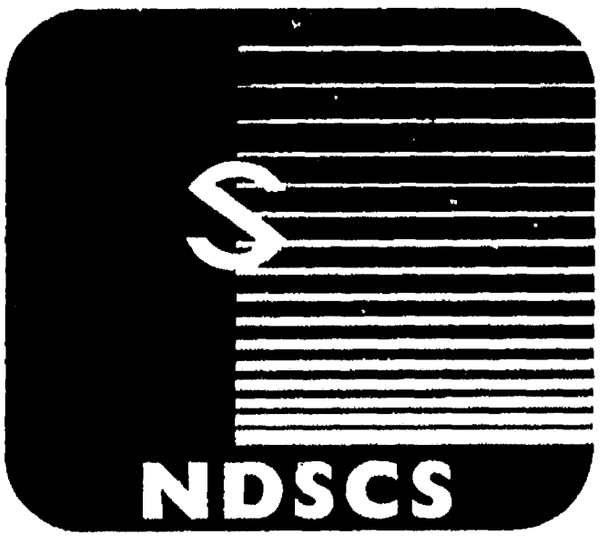
Summary of Legislative Changes to Bill as Introduced

See attached Statement of Purpose of Amendment.

57th LEGISLATURE
ASSEMBLY

Senate Appropriations Committee Hearing





With exception of following pages this testimony was the same as found for 3-7-01

for

North Dakota State College of Science

January 4, 2001

PARKING LOT REPAIRS
Estimated Cost $987,541

LOT 2

ENGINEER'S REPORT

ENGINEER'S REPORT AND FINDINGS OF NEED

Nature & Scope

This project is for parking lot improvements for the North Dakota State College of Science in Wahpeton, ND. The improvements consist of demolishing and replacing the existing asphalt parking lot, lighting, and some sidewalk and curb & gutter between 4th and 7th Street.

Purpose

The purpose of this project is to replace the parking lot that has been in place for 30 to 50 years. The existing asphalt has severe alligator cracking due in part to the elements of weather, poor surface drainage, and time. The new improvements will also consist of storm sewer to alleviate the parking lot ponding that currently occurs. Adequate drainage of the parking lot will mitigate the destructive action of freeze-thaw that is occurring.

The improvements will also include the removal and replacement of parking lot lighting to address safety concerns that the campus may have.

Feasibility

The estimated cost of the project will be as follows:

Estimated Construction Cost:	$16,150.00
Contingency (7.5%)	61,211.25
Engineering (10%)	81,615.00
Legal (1.5%)	12,242.25
Financial Administration (2%)	16,323.00
Total Estimated Cost:	$ 987,541.50

We believe this project to be cost effective.

STEVAN G. DEWALD REGISTERED PROFESSIONAL PE-1998 ENGINEER NORTH DAKOTA

Steven G. Dewald
Project Engineer

December, 2000

COST ESTIMATE

Lightowler Johnson Associates

Project: **Parking Lot Study**
NDSCS
Wahpeton, ND

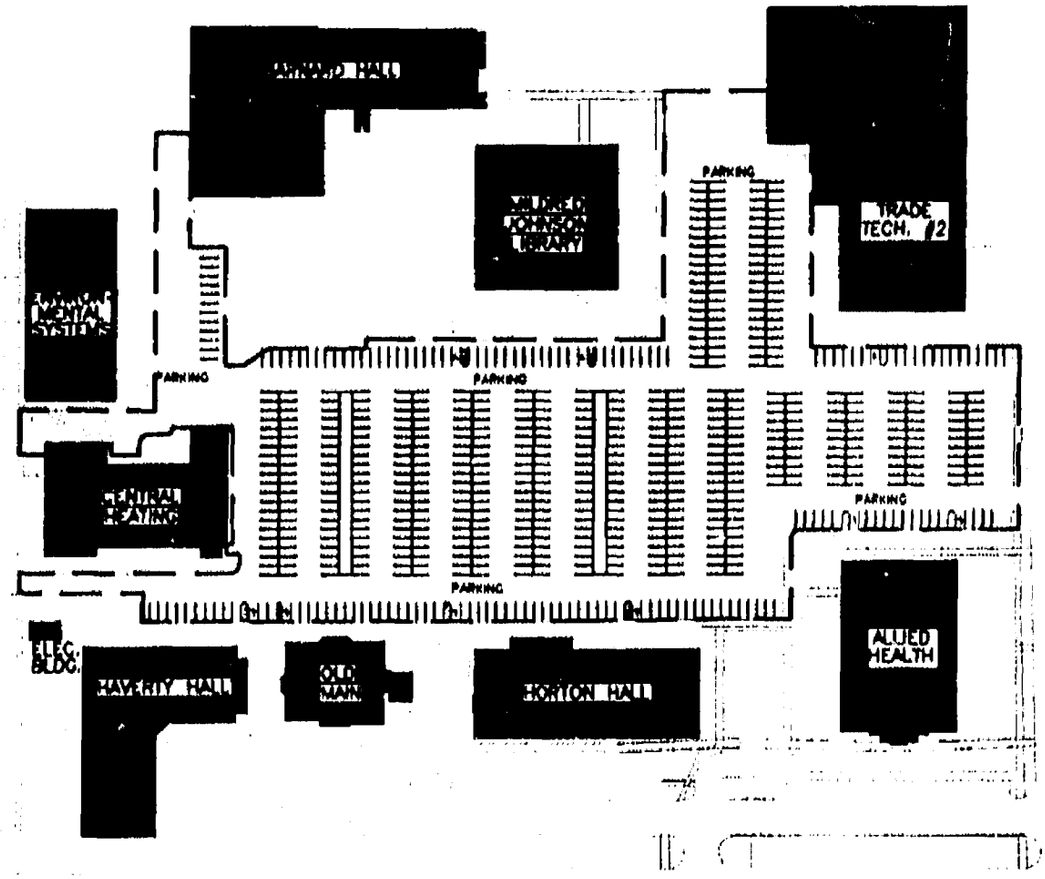
Date: 12/5/00

Project Number: 00102

Preliminary Cost Estimate

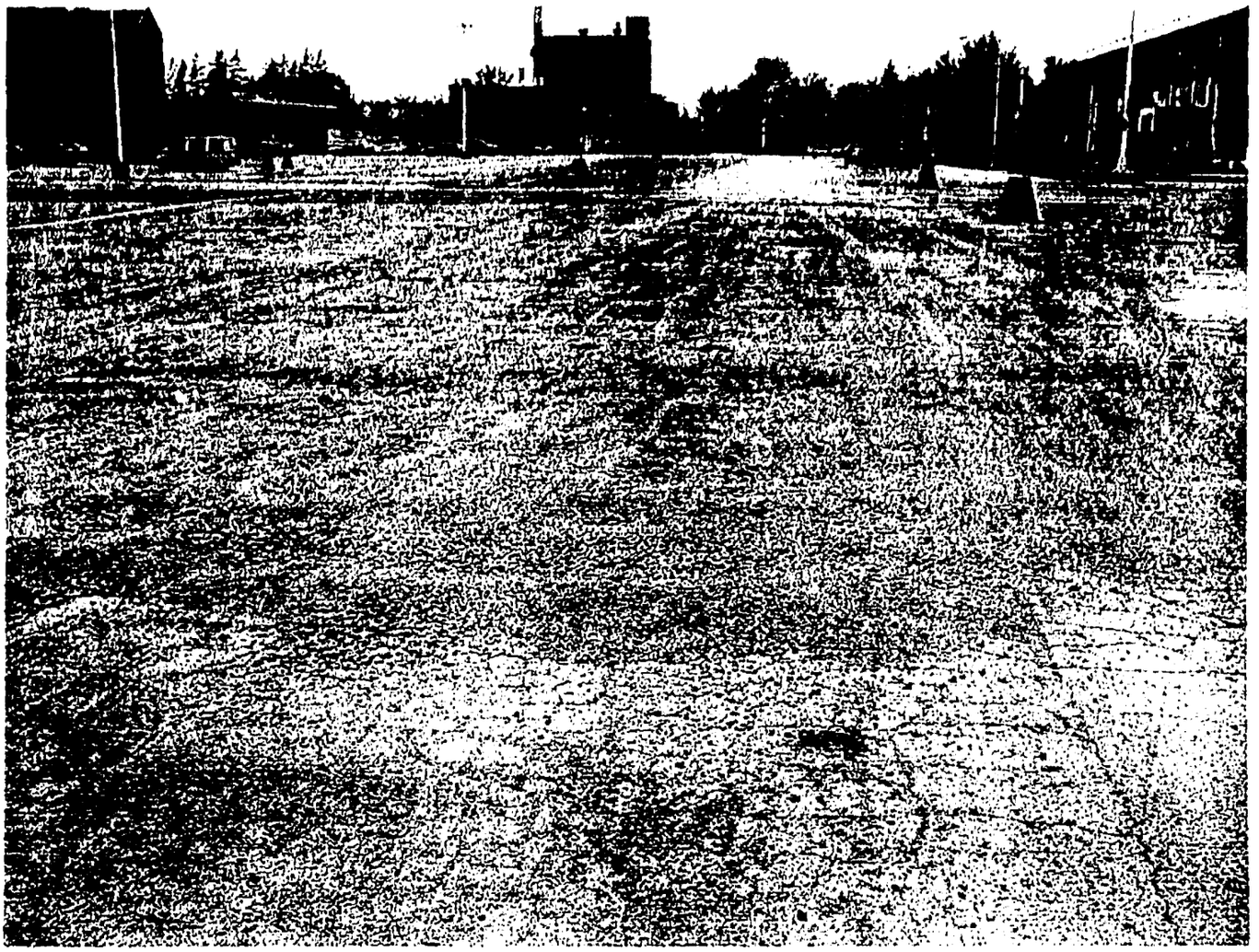
Item of Work	No. of Units	Unit Measure	Cost per unit	Total Cost
Mobilization	1	LS	15,000.00	$ 15,000.00
Demolition of Existing Light Poles	1	LS	5,000.00	$ 5,000.00
Demo C&G	3600	LF	2.50	$ 9,000.00
Demo Sidewalk	5000	SF	1.50	$ 7,500.00
Common Excavation	5500	CY	3.50	$ 19,250.00
Asphalt Demo	28500	SY	4.50	$ 128,250.00
Soil Cement Removal	4900	CY	5.00	$ 24,500.00
New Sidewalk	5000	SF	4.00	$ 20,000.00
New C&G	3600	LF	15.00	$ 54,000.00
Pit Run	5000	CY	10.00	$ 50,000.00
Cl-13 Gravel (6")	9000	T	12.00	$ 108,000.00
Asphalt (4")	28500	SY	11.00	$ 313,500.00
Striping/Handicapped Signage	1	LS	3,000.00	$ 3,000.00
New Light Poles	6	EA	3,500.00	$ 21,000.00
Electrical Wiring	1000	LF	3.50	$ 3,500.00
New 30" Inlet	4	EA	1,000.00	$ 4,000.00
12" HDPE	560	LF	25.00	$ 14,000.00
24" HDPE	330	LF	45.00	$ 14,850.00
Landscaping	1	LS	1,800.00	$ 1,800.00
			SUB TOTAL	$ 816,150.00
Contingency 7.5%				$ 61,211.25
Engineering 10%				$ 81,615.00
Legal 1.5%				$ 12,242.25
Financial Administration 2%				$ 16,323.00
			TOTAL	$ 987,541.50

PROPOSED SITE

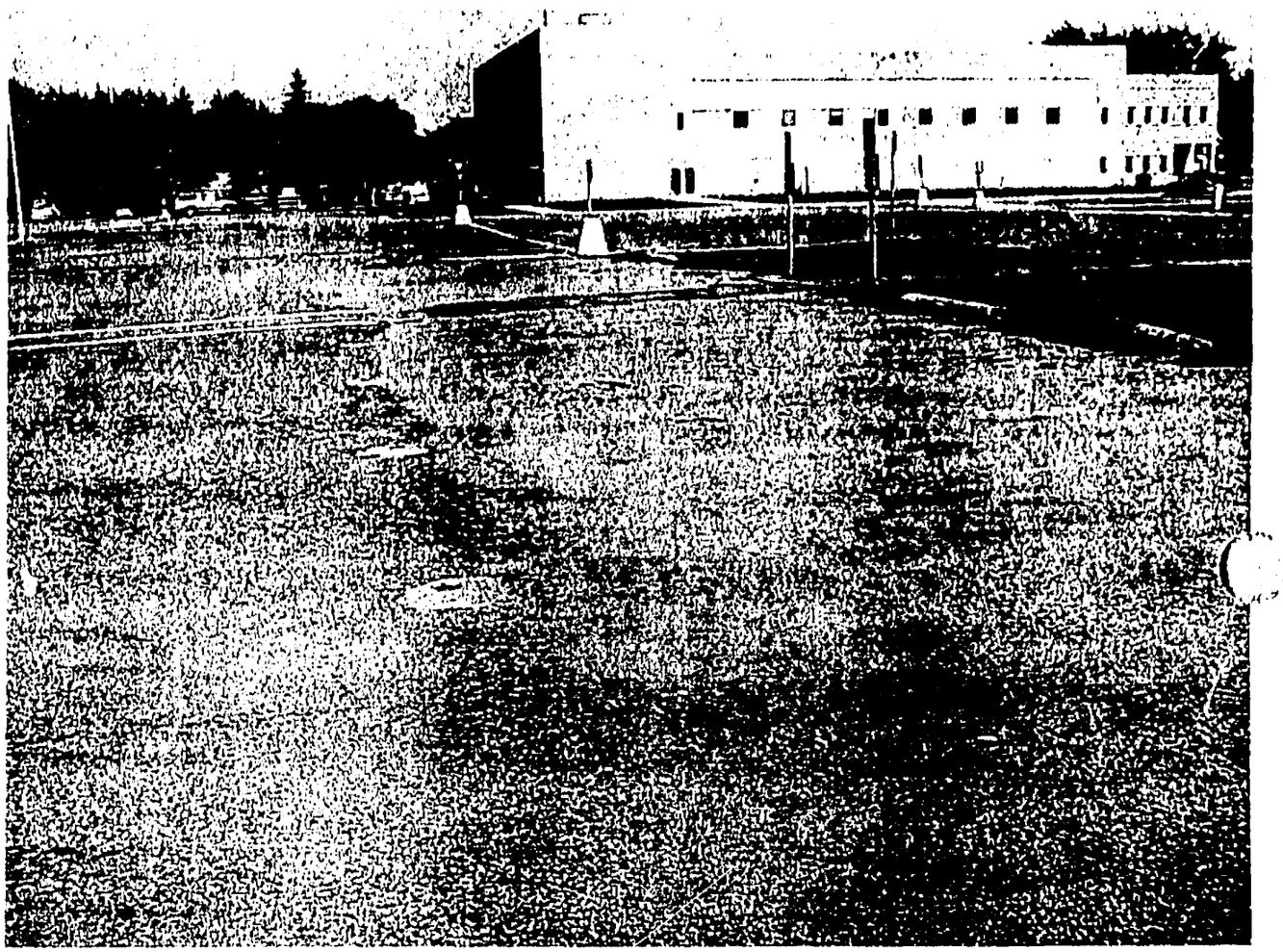


Lightender Johnson Assoc.

Problem Areas



Problem Areas



NORTH DAKOTA STATE COLLEGE OF SCIENCE

Mattern Boltram Architects

SCOPE OF WORK REPORT

STUDENT CENTER ADDITION AND REMODELING

CLAIRE T. BLIKRE ACTIVITIES CENTER BUILDING ADDITION

December 21, 2000

NORTH DAKOTA STATE COLLEGE OF SCIENCE

Summary of Findings of Need

The Student Center Addition and Remodeling Project at North Dakota State College of Science includes two phases.

Phase 1 will include comprehensive remodeling of the existing facility. The existing building was constructed in 1960 with the south portion being completed in 1967. The building has stayed in the same basic functional configuration since it was constructed. New functional requirements and student needs are driving the interior remodeling portion of the project.

- New finishes throughout the building.
- New functional requirements and program needs requiring reconfiguration.
- Life Safety and ADA modifications.
- Mechanical and electrical system upgrades.

Phase 2 will include multi-purpose / ballroom addition.Space is currently needed for student gatherings, dances, etc. The old Bute Gymnasium was used for this purpose until it was remodeled into the Mayme Green Allied Health Center in 1999. The new space will include the following:

- Space for 1,000 (max) standing occupants.
- Configured to allow banquet style seating for 300.
- Flexible meeting space divisible into two large rooms.
- Service corridor allows independent use.

NORTH DAKOTA STATE COLLEGE OF SCIENCE

Opinion of Probable Construction Cost

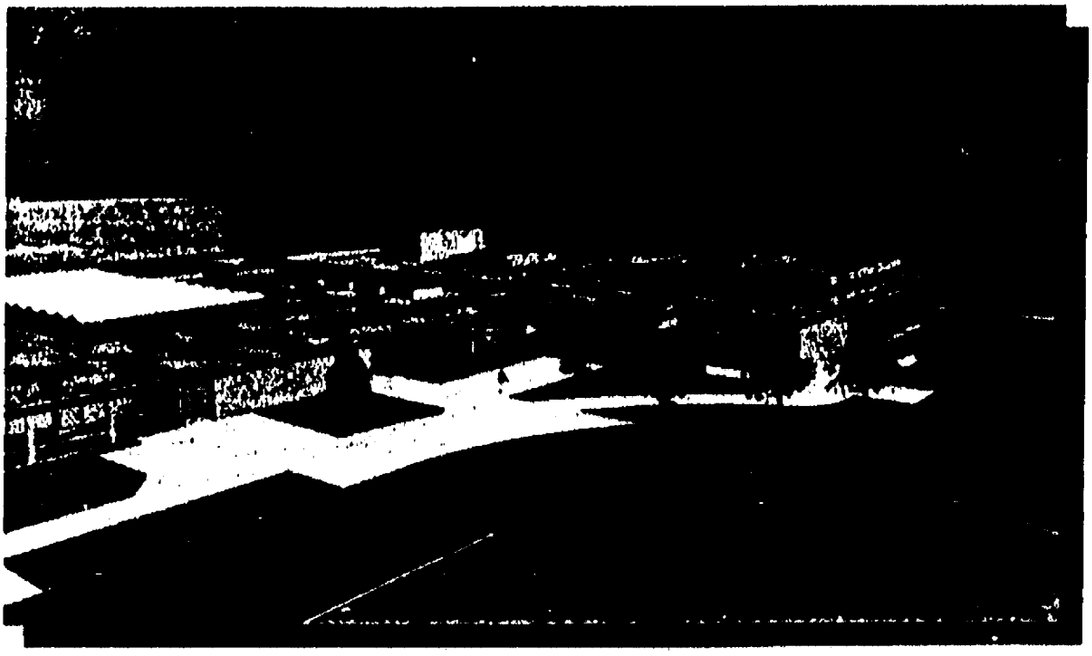
Phase 1

Remodeled / Reconfigured Spaces	
Game Room	
Computer Lab	
Study Lounge	
Bookstore Expansion / Remodeling	
Basement Remodeling	
Life Safety Modifications	
ADA Modifications	
22,300 SF @ $70.00 / SF	$1,561,000
Elevator	$ 60,000
Mechanical / Electrical Upgrades	$ 350,000
SUBTOTAL	$1,971,000
A/E Fees (est.)	$ 135,000
TOTAL PHASE 1	**$2,106,000**

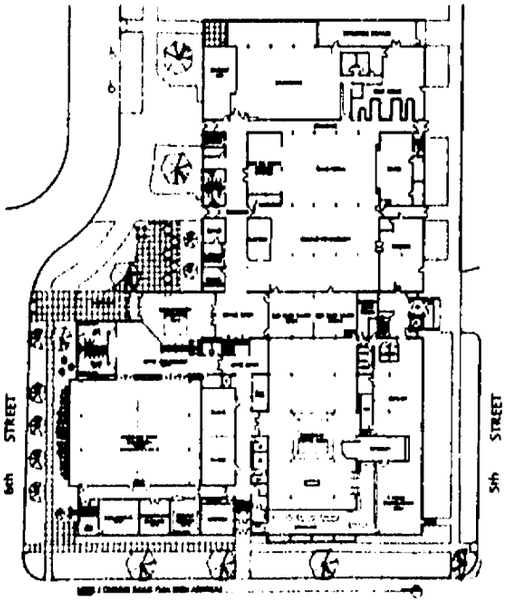
Phase 2

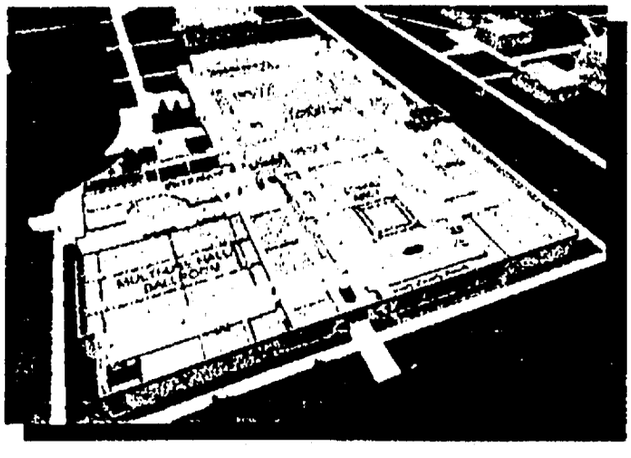
Multi-Purpose / Ballroom Addition	$1,147,000
A/E Fees (est.)	$ 80,000
TOTAL PHASE 2	**$1,226,000**
TOTAL EST. COST	**$3,332,000**

NORTH DAKOTA STATE COLLEGE OF SCIENCE



Multi – Purpose Room Addition / Northwest View





Floor Plan / Southwest View

DATE: January 12, 2001

TO: North Dakota State Board of Higher Education

FROM: Dr. Sharon Hart, President
North Dakota State College of Science

SUBJECT: NDSCS request to proceed with establishment of Allied Health Outreach Training Center pending receipt of grant funds and approval by the legislative assembly

The North Dakota State College of Science has made application to the Dakota Medical Foundation for the purpose of securing funds that will establish and equip an allied health outreach training center designed to serve the Red River Valley Region. The proposed Center would be located in the Skills and Technology Training Center (STTC) in Fargo. An underdeveloped portion of the STTC would be renovated to provide classroom, clinical, laboratory and related facilities to support general and customized training in allied health occupations. It is expected the Center would provide training opportunities for students whose needs are not being met through traditional educational models. Examples of students who would be served include: students who are currently employed and looking for career advancement; older-than-average students or those with family responsibilities; and underemployed individuals seeking to upgrade skills.

NDSCS has requested funding of $371,550 over a two year period of time from June 1, 2001 through May 31, 2003. The funds would be expended in the following general categories.

Renovation/Construction	$260,990	
Equipment	54,845	--- Medical, Distance Education, Classroom, Office
Coordination and Inst. Support	55,715	--- Salary, Fringe, Travel, Supplies, Phone, etc
Total	$371,550	

While the majority of the funds would be directed toward the renovation and construction of the Center, the project also includes monies for the purpose of coordinating course and program offerings, development of client relationships, marketing, public relations and general project oversight.

The grant request is currently in the final review stage by the Dakota Medical Foundation Board of Directors. Therefore, the North Dakota State College of Science requests the authority to proceed with this project contingent on the receipt of the grant funds and approval by the legislative assembly.

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House Appropriations Committee Hearing

Engrossed SB 2003 and 2021

North Dakota State College of Science

March 7, 2001

House Appropriations Committee
Education and Environment Division &
Local Legislators

HOUSE APPROPRIATIONS COMMITTEE
Education and Environment Division

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Pam Gulleson, Rutland
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Francis J. Wald, Dickinson

Local Legislators

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Rep. Bruce Eckre, Wahpeton
Rep. Myron Koppang, Wahpeton

District 26
Sen. Jerome "Jerry" Kelsh, Fullerton
Rep. Pam Gulleson, Rutland
Rep. Michael Brandenburg, Edgeley

District 27
Sen. Joel Heitkamp, Hankinson
Rep. Howard Grumbo, Lidgerwood
Rep. Robert Huether, Lisbon

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North Dakota State College of Science
Executive Remarks

by Dr. Sharon Y. Hart, NDSCS President

On Wednesday, August 23, 2000, I met for the first time with all North Dakota State College of Science faculty and staff since beginning my tenure July 1 as the institution's eighth president. During this meeting I had the opportunity to outline my vision for the future of the nation's second oldest two-year college. The vision, exciting to deliver, clearly centers around the six cornerstones as identified in **A North Dakota University System for the 21st Century—The Report of the Roundtable for the North Dakota Legislative Council on Higher Education.** Indeed this Report is one that NDSCS is eagerly embracing. The six cornerstones—Economic Development Connection, Educational Excellence, Flexible and Responsive System, Accessible System, Funding and Rewards, and Sustaining the Vision—are indeed a design for our future, as well as the future for the entire North Dakota University System and the State of North Dakota.

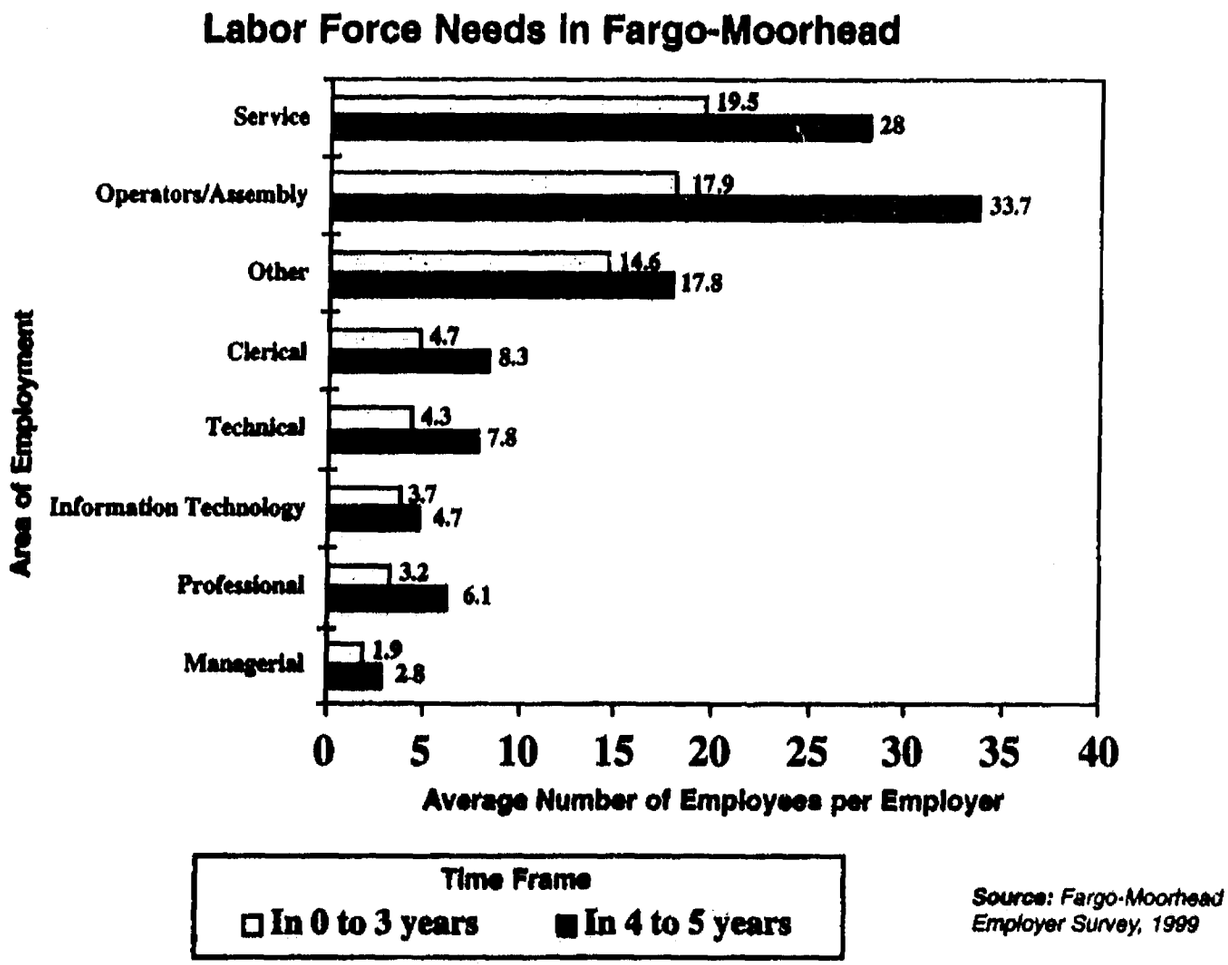
As a result, the Roundtable's broad expectations for this college is that we be:

- Academically **competitive,** nationally and internationally;
- **Engaged** at every level with the needs and problems of the state and its citizens; and
- **Accessible** and **responsive** to all citizens of the state, both individual and corporate.

The Roundtable Report does set high and specific expectations for North Dakota State College of Science. It recognizes that for NDSCS to be successful, it needs to foster an environment of **flexibility with accountability.** An entrepreneurial spirit will be important so that we may pursue new ventures and resources (and benefits from these).

As we face the challenges posed by the press of technology, global economic demands, the changing nature of and need for knowledge, and the influx of nontraditional and returning students, it is quite clear to the College of Science that we must explore new directions. The students of tomorrow must be adaptive, professional and, above all else, must be competitive in the world workforce.

One exciting dilemma we are facing today is that the job market in North Dakota is predominately focused around the skills acquired in a two-year college education.



Source: Fargo-Moorhead Employer Survey, 1999

Statistics also demonstrate that over 50% of all local jobs in this state require more than a high school diploma and up through the completion of a two-year degree.

Percent of Employers	Minimum Education Level Requirement							
	Certificate		Technical Degree		Bachelor Degree		Graduate Degree	
	N	%	N	%	N	%	N	%
0%	29	38.2	23	29.1	18	20.5	39	72.2
1% to 24%	16	21.1	27	34.2	39	44.3	12	22.2
25% to 49%	7	9.2	10	12.7	16	18.2	2	3.7
50% to 74%	8	10.5	11	13.9	7	8.0	--	--
75% or More	16	21.1	8	10.1	8	9.1	1	1.9
Total	76	100.1	79	100.0	88	100.1	54	100.0
Average Percent	30.0		25.4		21.9		4.4	

Source: Fargo-Moorhead Employer Survey, 1999

Again, it is North Dakota State College of Science, with its emphasis on vocational and technical programs that is best poised to assist the state with its tremendous need for graduates of certificate and two-year degree programs. It is our students that will help to fill the employment gap as they have an immediate productive impact on the workforce they are entering. Thus, our accomplishments allow us to play to our strengths, channel our limited resources where they will do the most good, and recognize that change is an ongoing and welcoming process for this College.

NDSCS's request to the Legislature is that you recognize the true value this institution has within North Dakota and fund it appropriately. Only through adequate funding can we be successful in fully addressing both the workforce needs of this state and the six cornerstones. The pages, which follow, outline our budgetary issues and requests against a backdrop of our many successes and the challenges we have identified ahead.

On behalf of the faculty, staff, and especially our students, thank you for your continued support of this "jewel" in North Dakota!

NDSCS Vision, Mission, Philosophy and Purposes

The Vision for the College

The College will provide educational programs and services which serve the needs of individuals preparing for careers statewide, nationally and globally in the applied sciences/technologies and in the Southeast region of North Dakota for students in transfer programs. It will serve the businesses and industries of North Dakota by working with employers on the design and delivery of customized training programs. It is the vision of the college to provide quality education/services "second to none" through our commitment to customer focus, employee development and continuous improvement.

The Mission of the College

The North Dakota State College of Science as a member of the North Dakota University System is an accredited, state-supported, open door, two-year comprehensive college. It has statewide responsibilities to provide curriculums and activities to meet the needs of each student and contemporary society.

The Philosophy of the College

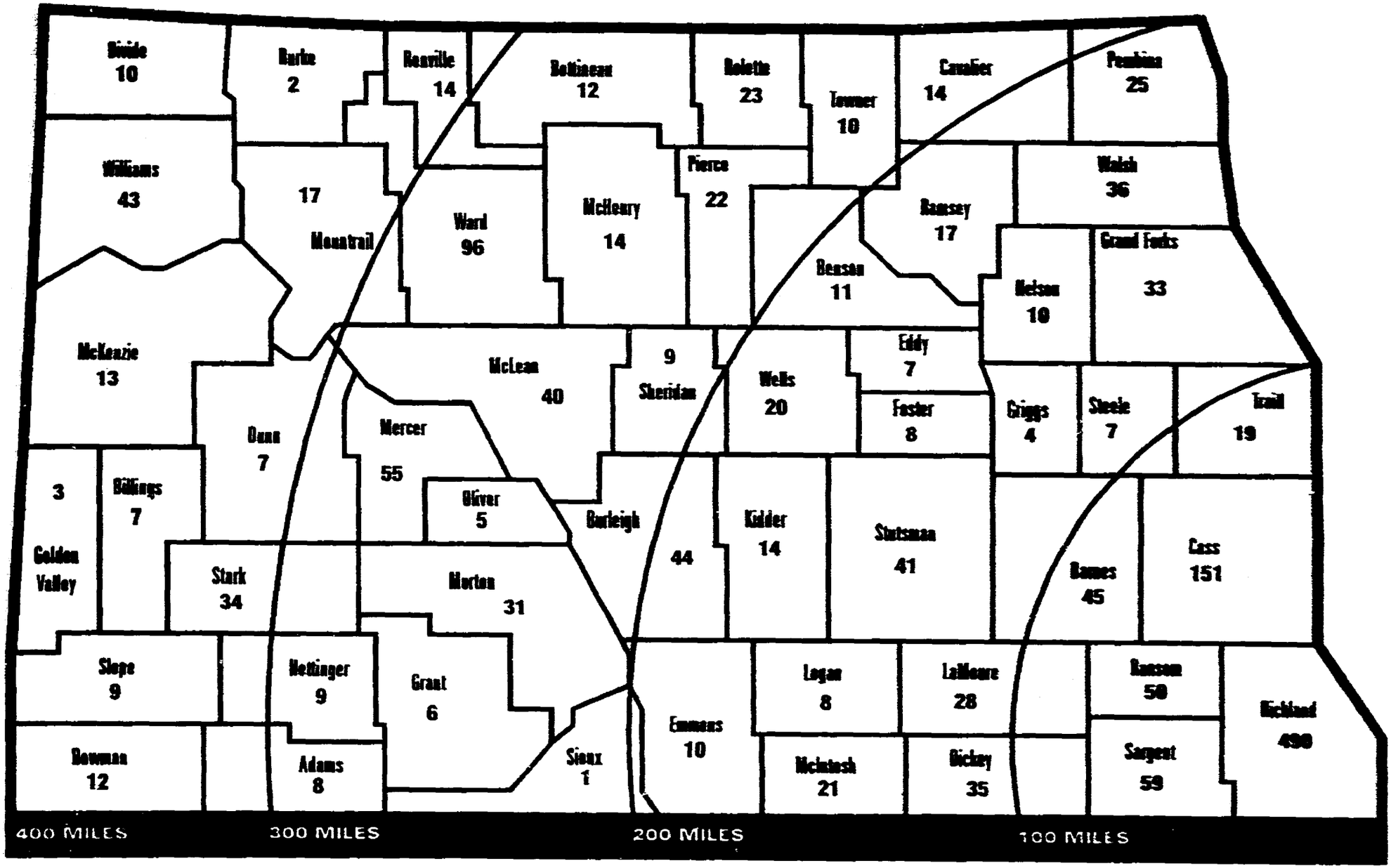
The College is committed to a philosophy that provides for the varied educational needs of each person through competent faculty, curriculum programs, and educational experiences. Students have the opportunity to develop their full potential, obtain gainful employment, and make satisfactory career progress. It provides students with practical hands-on and general education knowledge and skills. These goals are exemplified by the practice: "Where Students Learn by Doing."

The Purposes of the College

The purposes of the College include providing:

1. programs at the certificate, diploma and associate degree levels;
2. a statewide center of vocational/technical education;
3. a regional center of excellence for quality liberal arts/transfer programs that articulate with the baccalaureate programs of the Higher Education system;
4. custom-designed programs for the life-long education requirements of individuals, businesses, industries and professionals;
5. short courses, seminars, and workshops that meet personal, industrial, and service needs;
6. activities and experiences that instill an appreciation for the world of work, effective use of leisure time, respect for others, and preparation for effective citizenship;
7. the opportunity for students with varying interests, abilities, ages, and backgrounds to develop to their full potential; and
8. comprehensive yet flexible developmental and educational programs.

Enrollment—Fall 2000 Semester by North Dakota County



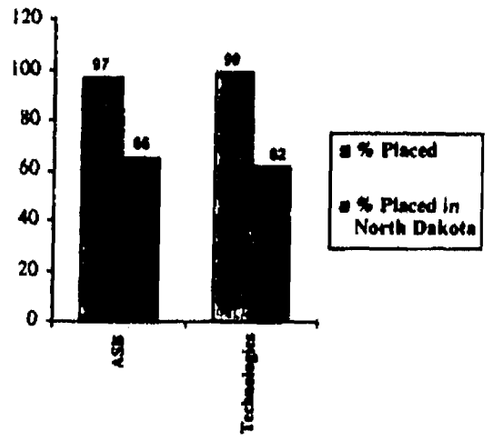
NDSCS Student Profile – 2000-2001

Enrollment Pattern – 2000 Fall Semester	
100 Miles – 54%	300 Miles – 16%
200 Miles – 20%	400 Miles – 9%
Enrollment of Transfer Students	
2000 – 648 Students, Average 1996-2000 – 725 Students	
Student Residency	
North Dakota – 1720 (70.93%)	Montana – 63 (2.6%)
Minnesota – 458 (18.89%)	Other – 48 (2.0%)
South Dakota – 136 (5.6%)	
Enrollment Breakdown (2425)	
Men – 1566 (64.6%)	Women – 859 (35.4%)
Freshmen – 1610 (66.4%)	Sophomores – 803 (33.1%)
Transfers – 648 (27.0%)	International – 21 (0.87%)
Race	
Caucasian – 2319 (95.63%)	Asian – 9 (0.37%)
Black – 40 (1.65%)	Hispanic – 15 (0.62%)
Native American – 42 (1.73%)	
North Dakota Student Distribution	
Farms/Rural Communities 2,000 or Less – 60.9%	
Cities of 2,000 but Less Than 10,000 – 11.2%	
Cities Over 10,000 – 27.8%	
North Dakota H.S. Graduation Classes (Average 43)	
Less than 25 – 35%	200-400 – 7%
25-99 – 32%	400 plus – 10%
100-199 – 16%	
Student Age Distribution	
Under 18 – 127 (5.2%)	30-34 Years – 79 (3.3%)
18-19 Years – 1126 (46.4%)	35-39 Years – 60 (2.5%)
20-21 Years – 549 (22.6%)	40-49 Years – 115 (4.7%)
22-24 Years – 199 (8.2%)	50-64 Years – 34 (1.4%)
25-29 Years – 136 (5.6%)	
Student Housing	
Residence Halls – 1019 (42%)	Off Campus – 1230 (50.7%)
Family Housing/Single Units – 176 (7.3%)	

NDSCS Placement Statistics 1998-2000

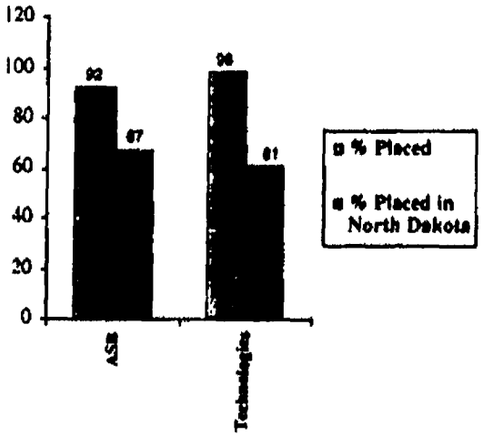
1999-2000 Placement Breakdown by Division

	Job Openings	Graduates	Ratio	Beginning Average Salary/mo.	% Placed	% Placed in North Dakota
ASB	901	285	3.2:1	$1875	97	65
Technologies	2685	546	4.9:1	$1902	99	62

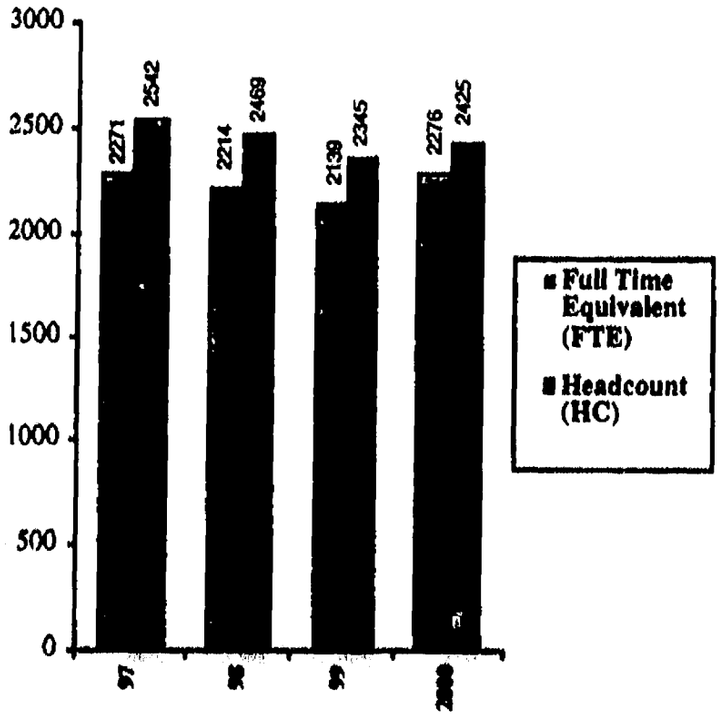


1998-99 Placement Breakdown by Division

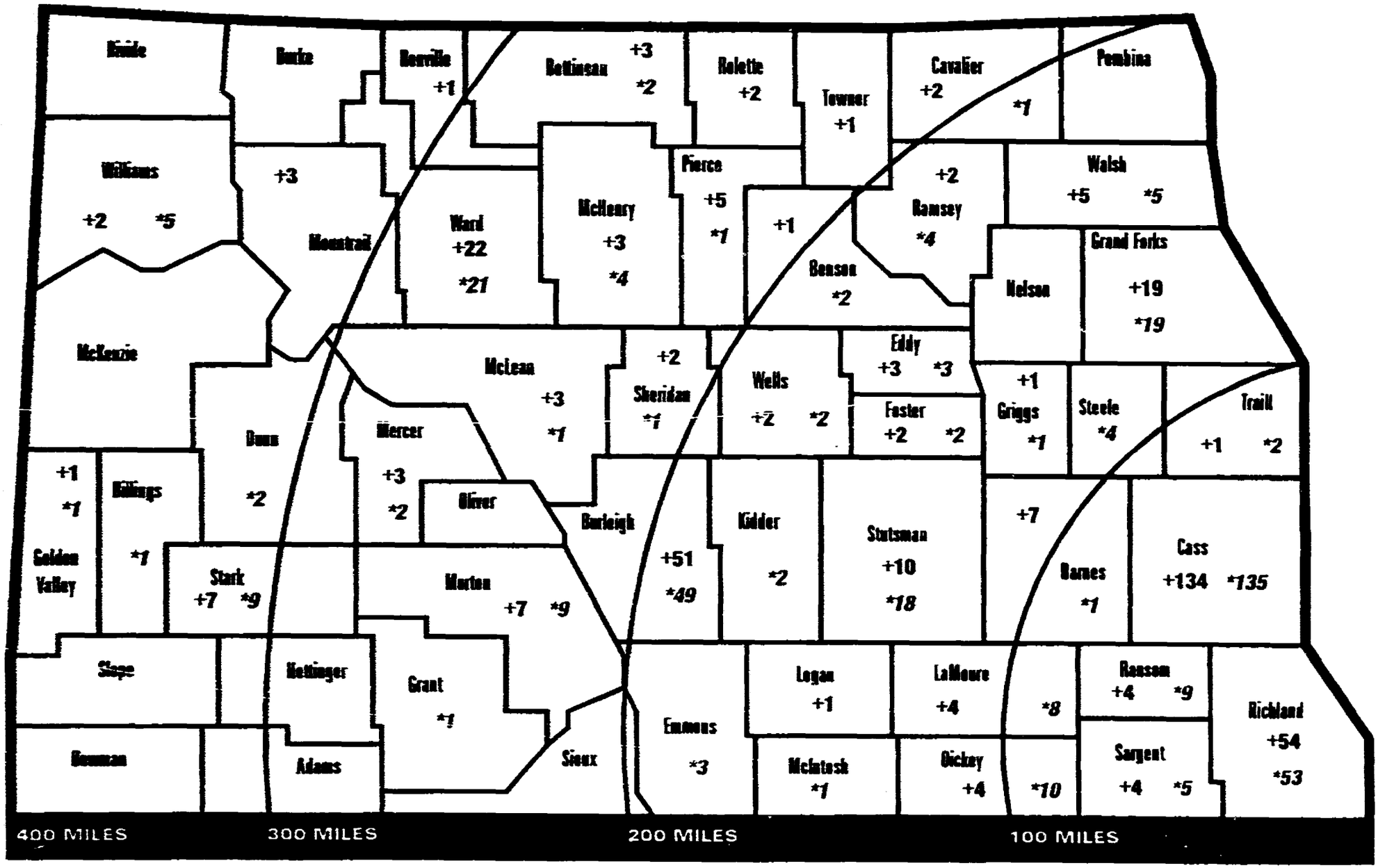
	Job Openings	Graduates	Ratio	Beginning Average Salary/mo.	% Placed	% Placed in North Dakota
ASB	1216	312	3.9:1	$1925	92	67
Technologies	2035	474	4.3:1	$1757	98	61



NDSCS Enrollment Statistics 1997-2000



North Dakota State College of Science Graduates Placed in Each County



+ = 1998-1999 * = 1999-2000

NDSCS Instructional Programs

Agriculture (A.S. Degree)
- Ag Mechanics Sales and Service
- Agricultural Systems Management (NDSU Transfer)
- Crop Production Sales and Service
- Farm Management/Ag Mechanics
- Transfer*

Architectural Drafting and Estimating Technology (A.A.S. Degree)
- Construction Technology (3rd year option)

Auto Body Repair and Refinishing Technology (Certificate, Diploma, A.A.S. Degree)

Automotive Technology (Certificate, Diploma, A.A.S. Degree)
- Automotive Alignment and Brake Technician (Certificate)
- Automotive Engine Technician (Certificate)
- Automotive Transmission and Driveline Technician (Certificate)
- Auto and Diesel Master Technician (3rd year option)

Banking & Financial Services (A.S. Degree)
- Agricultural
- Consumer/Commercial
- Transfer*

Building Construction Technology (A.A.S. Degree)

Business Administration* (A.A. Degree)

Business Management (A.S. Degree)
- Administrative Management
- General Management
- Marketing Management
- Restaurant Management

Civil Engineering and Surveying Technology (A.A.S. Degree)
- Construction Technology (3rd year option)

Computer Information Systems
- Computer Programming (A.S. Degree)
- Computer Science* (A.A. Degree)
- Microcomputer Operator (Certificate)
- Microcomputer Specialist (A.S. Degree)
- Web Design (Certificate)

Cooling & Heating Service (Certificate)

Culinary Arts
- Chef Training and Management Technology (Diploma, A.S. Degree)

Dental Assisting (Certificate)

Dental Hygiene (A.S. Degree)

Diesel Technology (Diploma, A.A.S. Degree)
- Auto and Diesel Master Technician (3rd year option)

Electrical Technology (A.A.S. Degree)
- Electrical Construction
- Industrial Electrical

Electronic Technology (A.A.S. Degree)

Health Information Technician (A.S. Degree)

John Deere Ag Parts Marketing and Management (A.A.S. Degree)

John Deere Ag Tech (A.A.S. Degree)

Liberal Arts (A.A. Degree, A.S. Degree)
- Agriculture Transfer (A.S.)
- Banking & Financial Services (A.S.)
- Biotechnology (A.S.)
- Business Administration (A.A.)
- Clinical Laboratory Science Emphasis (formerly Pre-Medical Technology) (A.S.)
- Computer Science (A.A.)
- Engineering (A.S.)
- General Liberal Arts (A.A.)
- Music (A.A.)
- Natural Science (A.S.)
- Physical Science (A.S.)
- Pre-Chiropractic (A.S.)
- Pre-Dental (A.S.)
- Pre-Education (A.A.)
- Pre-Law (A.A.)
- Pre-Law Enforcement (A.A.)
- Pre-Medical (A.S.)
- Pre-Optometry (A.S.)
- Pre-Pharmacy (A.S.)
- Pre-Health-Physical Education-Recreation (A.A.)
- Pre-Wildlife Management (A.S.)
- Transfer Nursing (A.A.)

Manufacturing Technologies
- Automated Manufacturing Technician (A.A.S. Degree)
- Machinist and Toolmaker (Certificate, Diploma, A.A.S. Degree)
- Mechanical Drafting and Design Technology (A.A.S. Degree)
- Welding (Certificate, Diploma, A.A.S. Degree)

Mechanical Systems Technology (A.A.S. Degree)

Mental Health Care Associate (A.S. Degree)
- General Emphasis (A.S. Degree)
- Transfer Emphasis (A.S. Degree)

Occupational Therapy Assistant (A.S. Degree)

Office Administration
- Administrative Assistant (A.S. Degree)
- Legal Administrative Assistant (A.S. Degree)
- Medical Administrative Assistant (A.S. Degree)
- Medical Transcriptionist (Certificate)
- Office Assistant (Certificate)

Pharmacy Technician (Certificate, A.S. Degree)

Plumbing (Certificate)

Practical Nursing (A.S. Degree)
- Practical Nursing
- Practical Nursing Upgrade Curriculum

Recreational Engines Technology (Diploma, A.A.S. Degree)

Refrigeration and Air Conditioning (A.A.S. Degree)

Technical Studies (Certificate, Diploma, A.A.S. Degree)

* See Liberal Arts

The NDSCS Commitment to Quality Improvement

The North Dakota State College of Science is committed to continuously improving its education and services. Each year surveys are taken to measure the importance of each educational component to students and get a reading on how well we are doing. According to the results of the Student Satisfaction Inventory, students are indicating the following as strengths of the institution:

- Quality and affordable education
- Academic Advising
- Students feel a sense of belonging at this institution
- The college shows concern for students as individuals
- Administrators are approachable to students
- Students seldom get the run-around
- The quality of instruction received in most classes is excellent
- Nearly all of the faculty are knowledgeable in their fields
- Students are able to register for classes with few conflicts
- The equipment in the lab facilities is kept up to date
- Library resources are adequate
- Internships and practical experiences are provided
- Tutoring services are readily available
- Career services are provided to help in getting a job

According to the survey, students indicated that the college could improve in the following areas:

- Computer labs
- Services to part-time students
- Commitment to evening students
- Lighting and security in parking lots
- The availability of parking spaces
- The amount of financial aid available

The college makes a sincere effort to focus on the areas that are important to the students and shows continuous improvement during the five years the students were surveyed.

Institutional Summary

Scales: In Order of Importance to Our Students

Scale	Our Institution Means North Dakota State College of Science - 11/2000			National Group Means Community, Junior & Technical Colleges			Mean Difference (Satisfaction)
	Importance	Satisfaction/SD	Performance Gap	Importance	Satisfaction/SD	Performance Gap	Our Inst - Nat'l Group
Instructional Effectiveness	6.08	5.44 / 0.83	0.64	6.17	5.26 / 1.05	0.91	0.18 ***
Academic Advising/Counseling	6.03	5.44 / 0.99	0.59	6.10	5.06 / 1.29	1.04	0.38 ***
Concern for the Individual	6.00	5.37 / 0.95	0.63	6.07	5.08 / 1.20	0.99	0.29 ***
Registration Effectiveness	5.99	5.39 / 0.90	0.60	6.12	5.25 / 1.04	0.87	0.14 ***
Admissions and Financial Aid	5.93	5.09 / 1.01	0.84	5.97	4.95 / 1.20	1.02	0.14 **
Student Centeredness	5.93	5.37 / 0.94	0.56	5.92	5.19 / 1.13	0.73	0.18 ***
Academic Services	5.92	5.35 / 0.92	0.57	6.01	5.14 / 1.10	0.87	0.21 ***
Campus Climate	5.91	5.31 / 0.87	0.60	5.93	5.12 / 1.05	0.81	0.19 ***
Service Excellence	5.85	5.32 / 0.88	0.53	5.91	5.06 / 1.06	0.85	0.26 ***
Safety and Security	5.84	4.52 / 1.13	1.32	5.96	4.78 / 1.21	1.18	-0.26 ***
Campus Support Services	5.33	5.02 / 0.93	0.31	5.40	4.78 / 1.12	0.62	0.24 ***
Responsiveness to Diverse Populations		5.25 / 1.11			5.31 / 1.23		-0.06

National G[illegible]ms are based on 242809 students records.

* Difference statistically significant at the .05 level
** Difference statistically signific[illegible]t the .01 level
*** Difference statistically signif[illegible]he .001 level

Graduates

The North Dakota State College of Science grants Associate Degrees, Diplomas and Certificates. The college graduated 4,232 students over the last five years as follows:

YEAR		GRADUATES
1996	—	801
1997	—	775
1998	—	935
1999	—	832
2000	—	889

Facilities/Infrastructure Projects

Meeting The Challenge

The Campus maintains 38 buildings, with 1,231,342 sq. ft. valued at $123,941,970 and campus site area of 125 acres.

Infrastructure Data:

15.2 acres of roofs

11.38 miles of electrical utilities

3.4 miles of water lines

2.5 miles of storm sewer

1.9 miles of sanitary sewer

2.25 miles of steam line

19.75 acres of parking lots

4.75 miles of sidewalk

6.3 miles of telecommunication

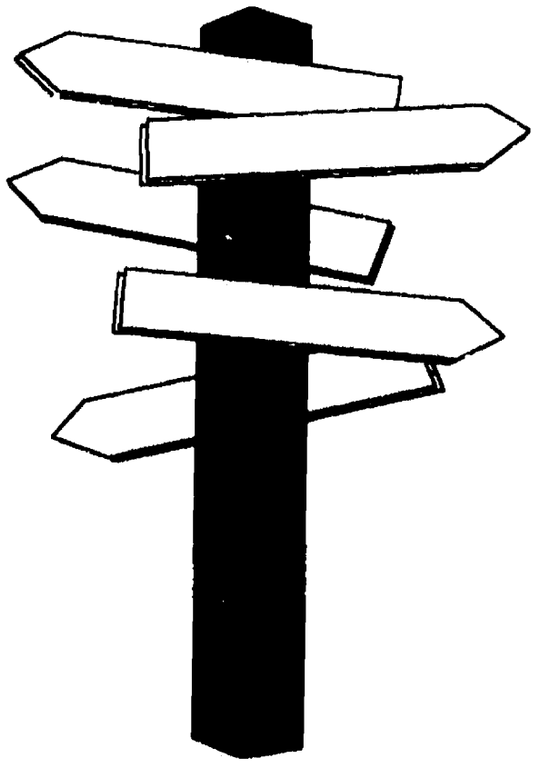
Infrastructure valued at $12,172,547

NORTH OVAL -- 150 SPACES

PARKING LOT 13 BUILT IN 1999/2000

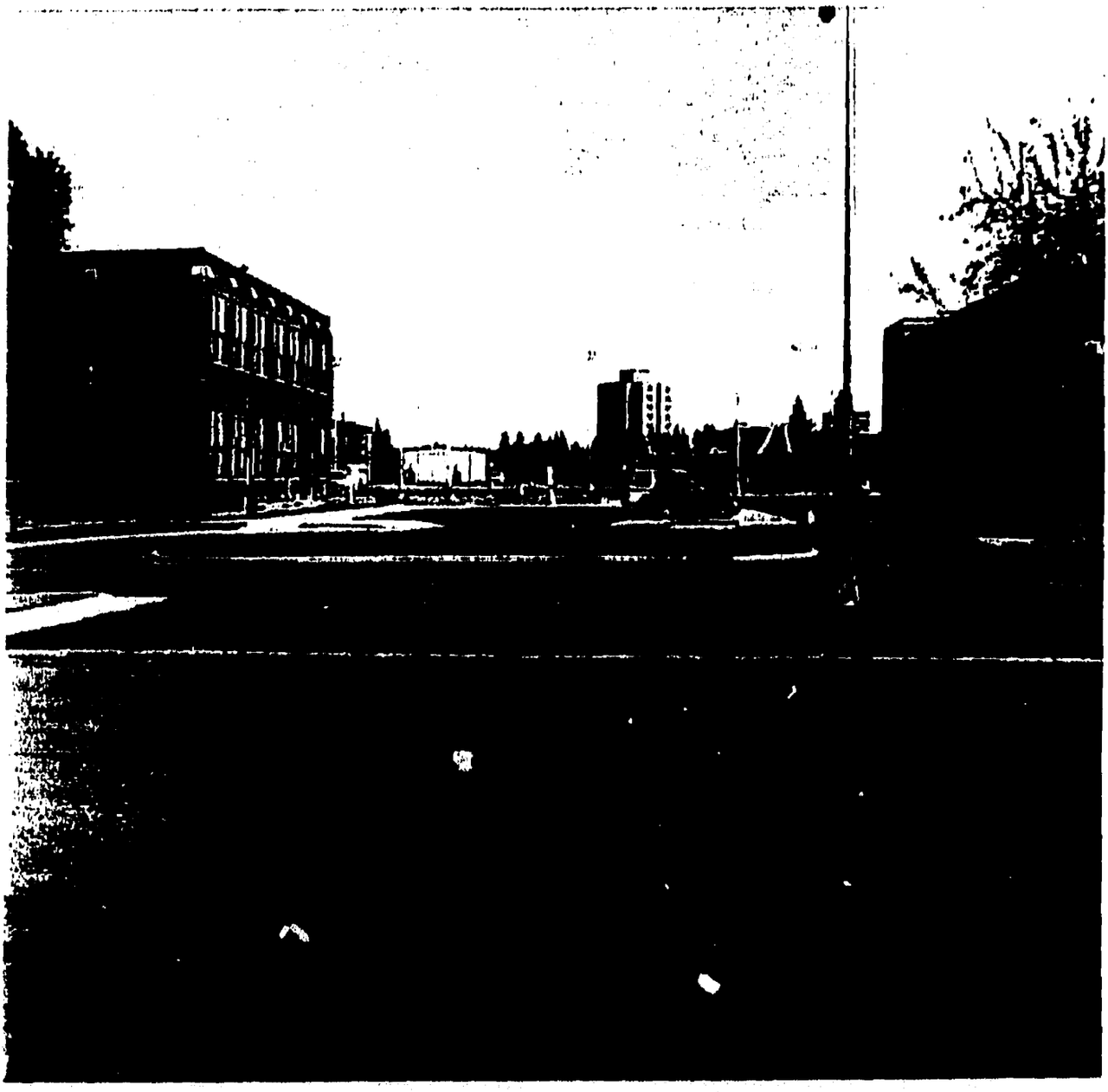
$151,182

Parking (Local Funds)



204 New Spaces
Cost $262,314

ALLIED HEALTH PARKING LOT -- 54 SPACES



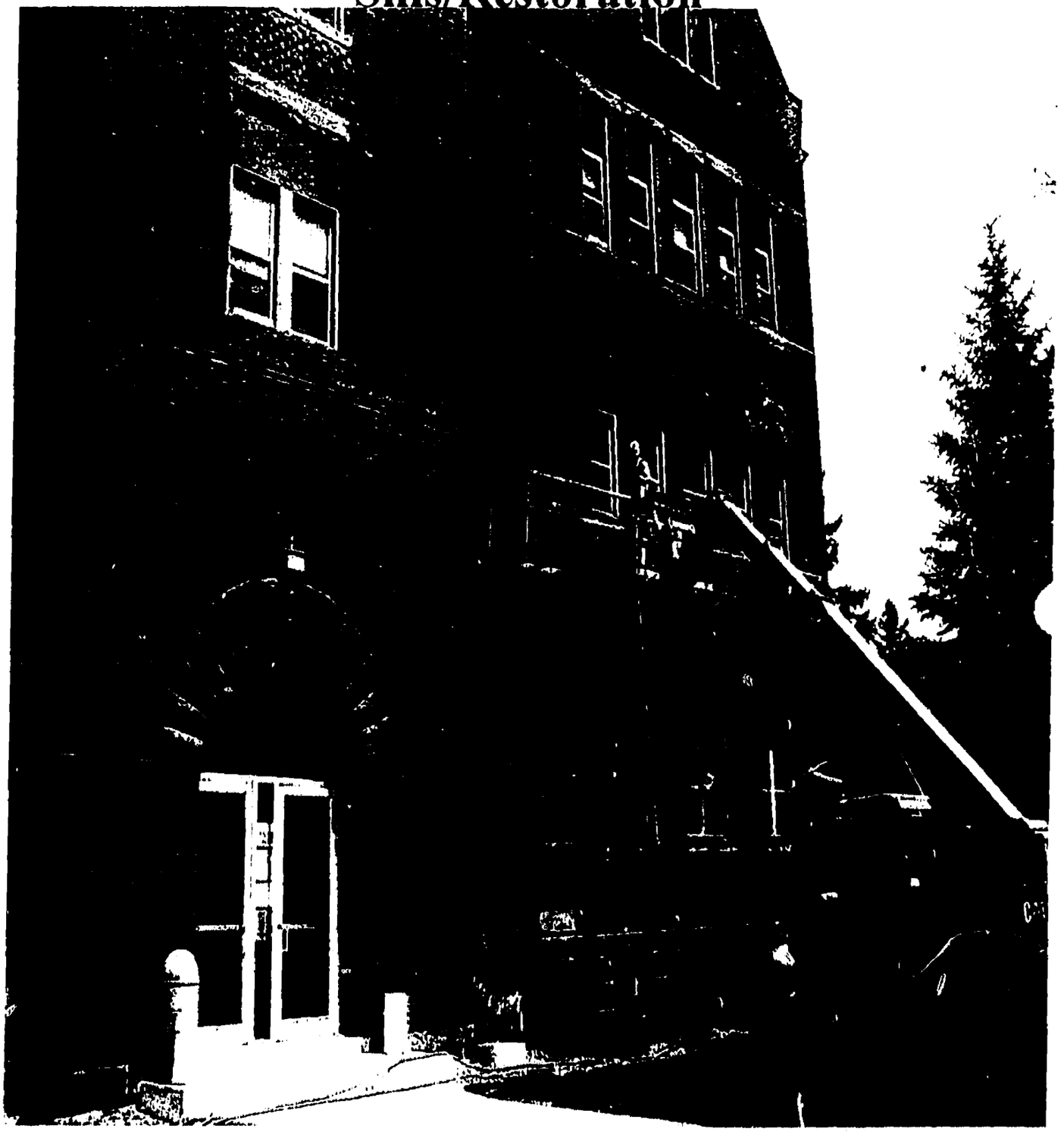
PARKING LOT 14 AND STREET BUILT IN 2000 $111,132

Southwest Corner of Forkner Hall
26 Spaces

PARKING LOT 15 WILL BE BUILT IN 2001

$35,000 Lot Cost

Tuck Pointing/Windows Sills/Restoration



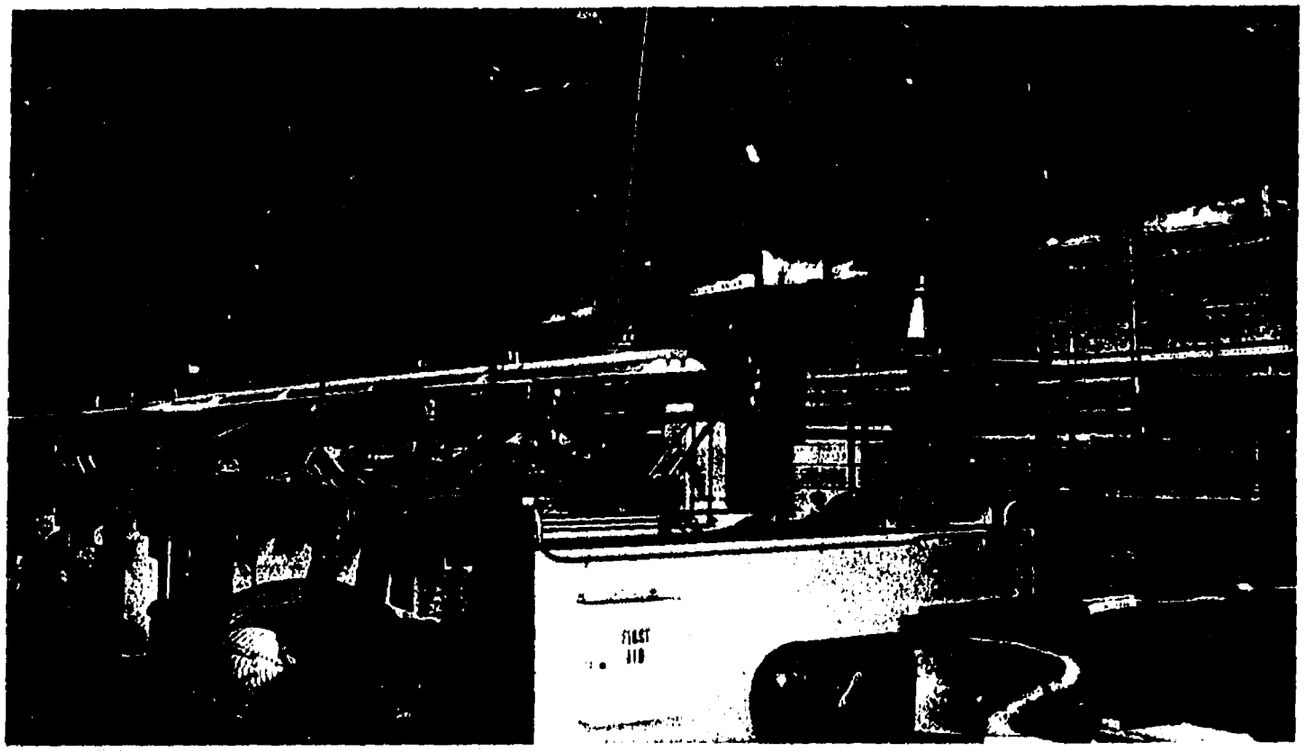
Old Main $65,000

Tuck Pointing/Windows Sills/Restoration

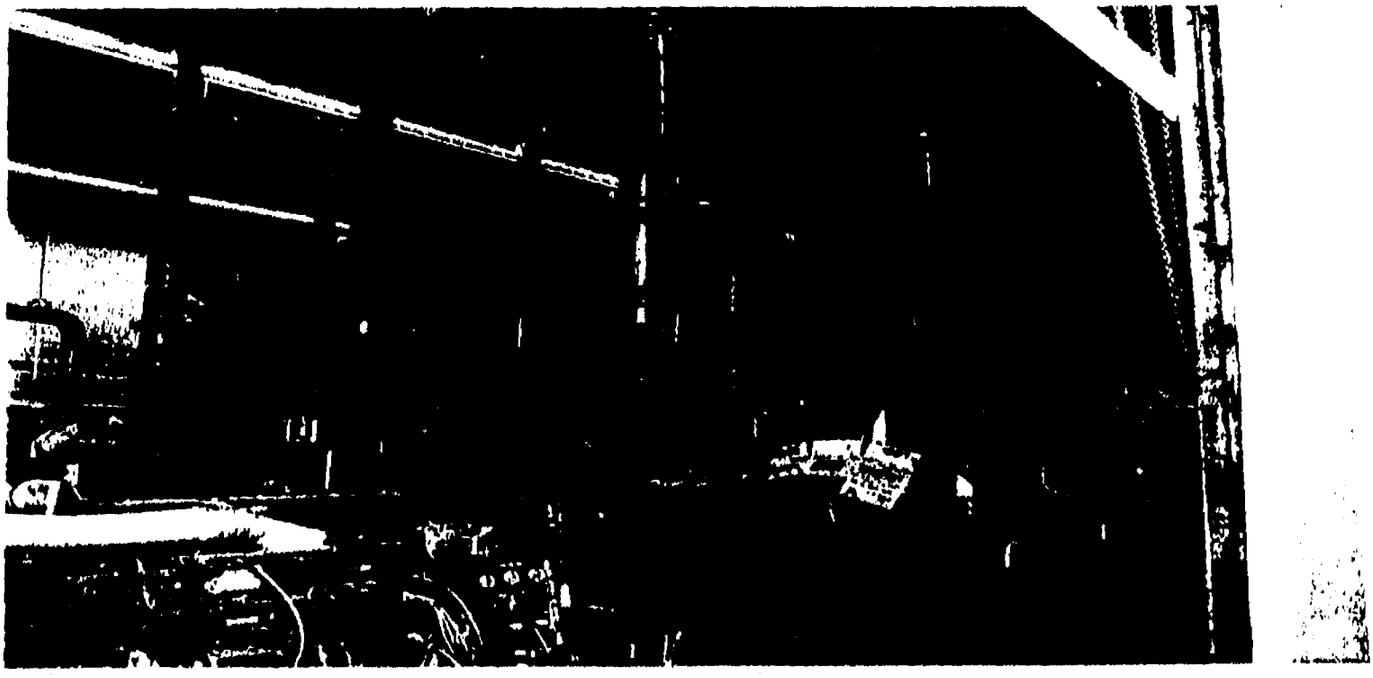
Horton Hall
$150,000

The College will pay ~~$68~~ 76,000 in Special Taxes for Streets and New Sidewalks

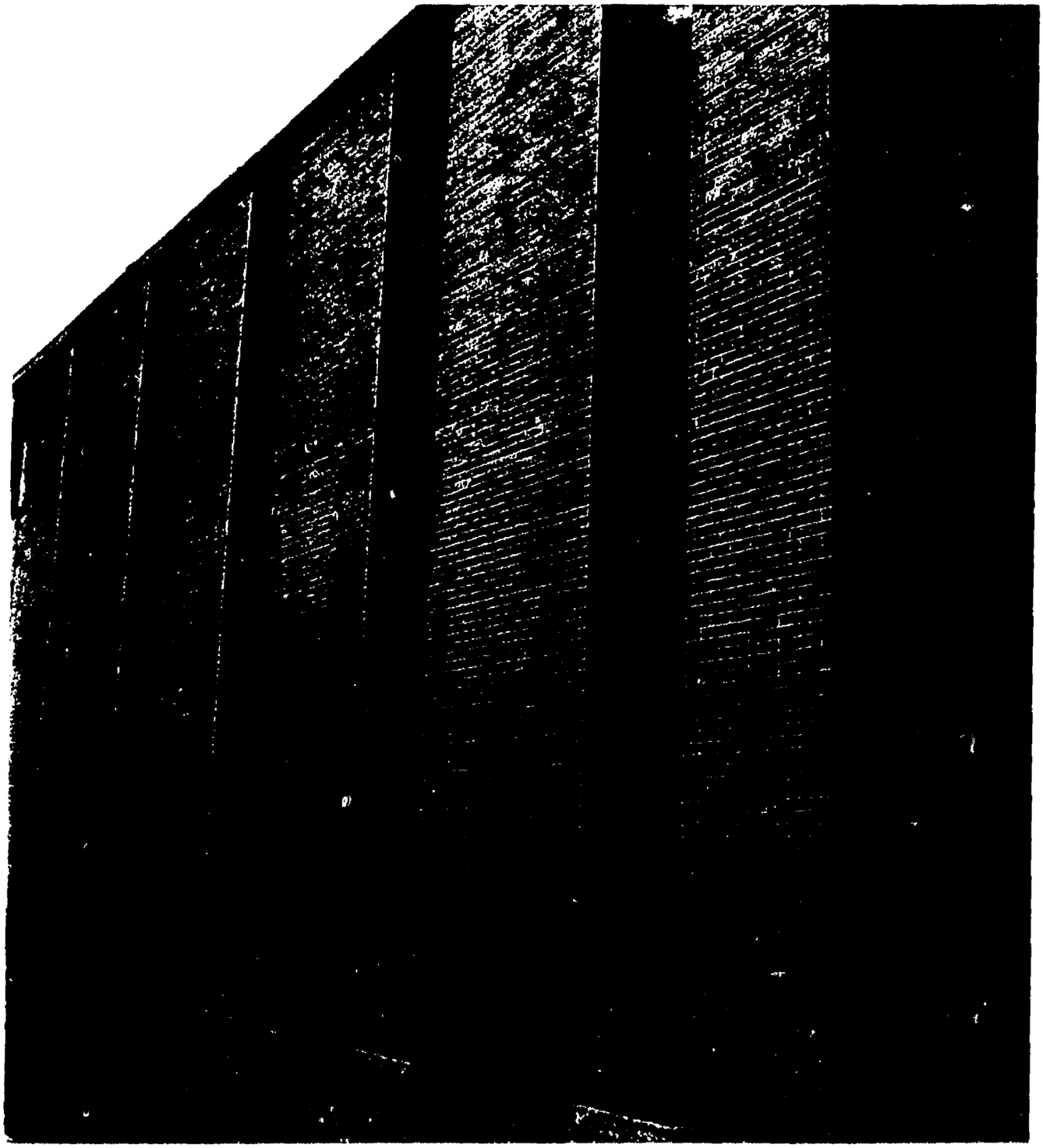
TRADE TECH NO #2 EXHAUST
$118,800



BISEK HALL EXHAUST $46,200

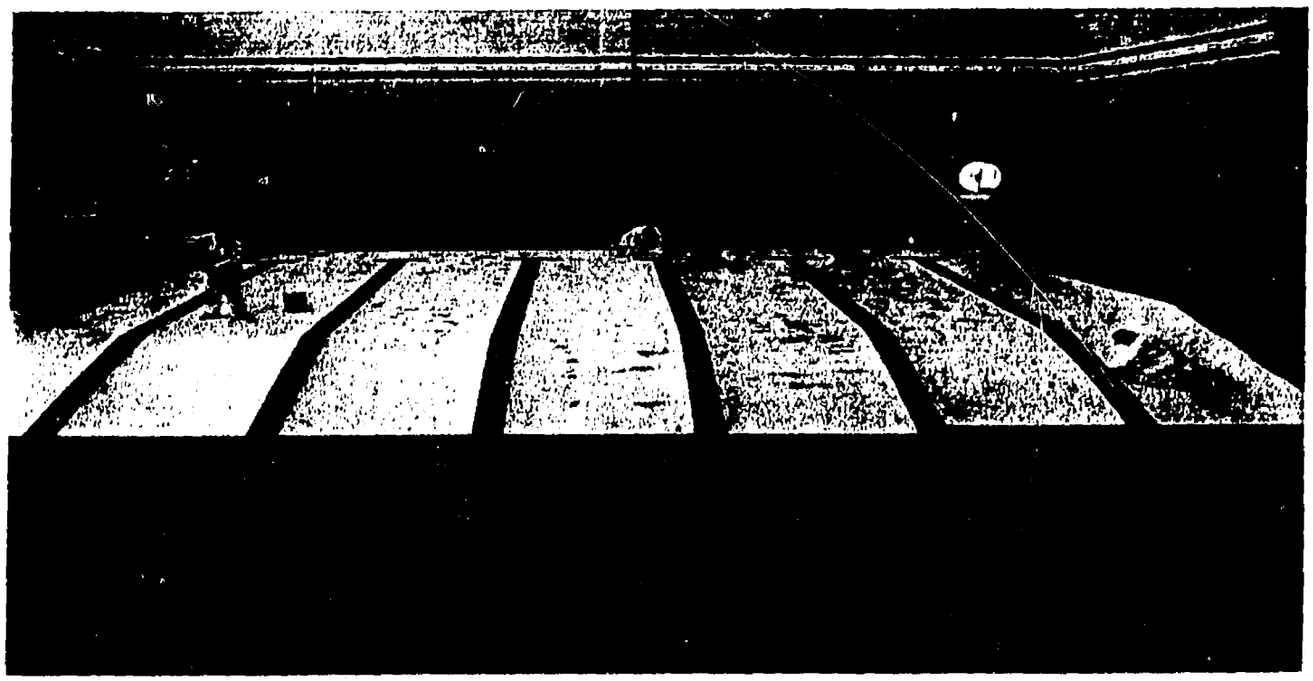


New Windows for Forkner Hall
$89,500 (Local Funds)



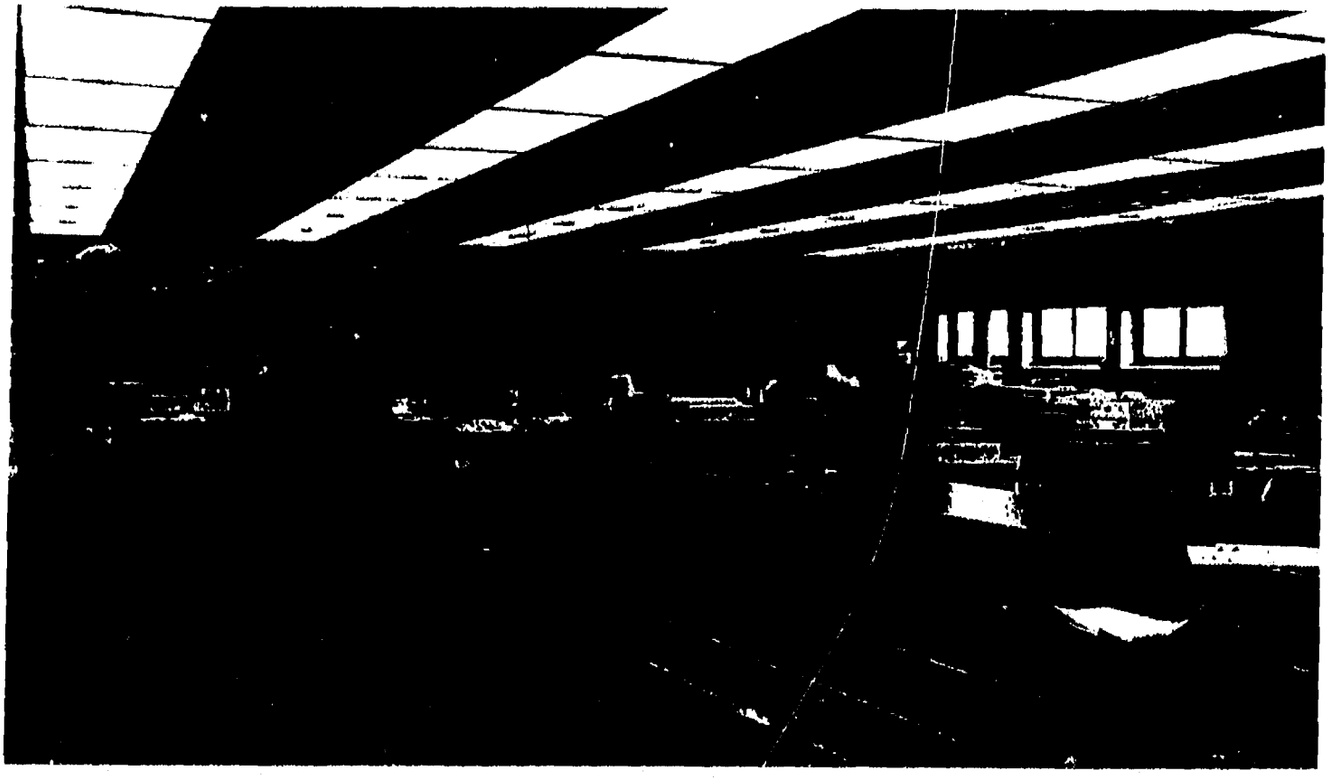
Swimming Pool Deck Repair and Painting

$43,000 - Local Funds



Copy Center Move
$39,000

Before - After



Sample of Facilities Projects

ADA Door Openers	$10,000
Remove 4 Underground Tanks	$41,000
Bute Structure Repair	$13,076
Handicapped Bathrooms	$ 5,450
Library Switch Gear	$ 9,000
Bailey Support System	$13,700
Allied Health Support	$18,700

Infrastructure is included in major accomplishments

Southeast ND Workforce Training Region

Implementation Activities

- Workforce Training (WFT) Board established
  - STTC (Skills and Technology Training Center) Board of Directors incorporated into WFT Board (membership identified in business plan)
  - 1999-00 and 2000-01 business plans approved
  - Performance measures adopted
  - Meetings held at Wahpeton, Fargo, Valley City and Mayville

- New personnel hired
  - Paul Schulz as Marketing Director (NDSCS)
  - Carol Meehan as Program Director (NDSCS)
  - Mary Beth Burns as Program Director (STTC)
  - Heidi Saeter at Training Coordinator (STTC)
  - Additional staffing includes five people in Outreach and Customized Training at NDSCS and four people at the STTC who are paid from other sources of funding

- NDSCS Director of Outreach and Customized Training and NDSCS Vice President of Instruction participated in a site visit by North Dakota workforce training representatives to North Iowa Area Community College in Mason City, Iowa and to Kirkwood Community College in Cedar Rapids, Iowa to learn about the Iowa model of workforce training

- Phase II of the Skills and Technology Training Center was completed and a grand opening was held in May
  - Newly available facilities include:
    - 100 seat meeting room with state-of-the-art multi-media capabilities
    - Video-conferencing room
    - 60 seat meeting room/classroom
    - Two multi-purpose meeting room/classrooms
    - Resource room with job and career information (provided by Job Service)
    - Break/lounge area for training participants
    - Staff offices

- NDSCS customized training computer lab received major equipment upgrade (both computers and furnishings)

- Variety of meetings were held with civic groups, human resource organizations, economic development officials, etc. to inform them of workforce training services

- Service Providers Group was established to formalize communications between other agencies and organizations that either provide or assist with workforce training, including:
  - Job Service directors
  - Vocational center directors
  - Continuing education directors at other colleges
  - Group will generally meet on quarterly basis

- Workforce training newsletter was developed and mailed to several thousand individuals and companies in the region

- Wide variety of training activities were conducted. Types of training with significant numbers of participants included:
  - Computer networking
  - Computer programs
  - Computer certifications
  - ISO 9000
  - Management/supervisory training
  - Electrical/electronics certification for diesel technicians
  - Hydraulics certification for diesel technicians
  - Parts technician upgrade training
  - Welding upgrade training and preparation for certification

- Initial steps were taken to begin the development of a web-page for workforce training across the region (including links to all service providers)

Performance Measures

The Southeast ND Workforce Training Board, as per recommendations from the Task Force for Improving Workforce Development and Training, has adopted the following performance measures:

1. Number and percent of businesses receiving training.
2. Number and percent of businesses requesting repeat or additional training.
3. Levels of satisfaction with training events with results provided by employers and employees receiving training.
4. Number of referrals for training and results of those referrals.
5. Revenue generated from training fees.

Performance Measure Results for FY 99-00

1. Number and percent of businesses receiving training

161 businesses were provided training. Approximately 70% of these were businesses in the SE region. Due to variances in tracking processes between the various delivery sites, we are unable to provide the exact percentage. This issue has been addressed and we will be able to provide exact numbers for the FY 00-01 report. This equates to about 1.4% of the region=s total employers. Because of the geographic location of the major populations centers, and the nature of several of the companies contracting training (e.g., John Deere Company, American Crystal, etc.), a number of businesses from surrounding states were served.

2. Number and percent of businesses requesting repeat or additional training

Approximately 45 businesses requested or participated in additional training. Again, reporting processes between the various delivery sites was not standardized until later in the year and we do not have exact figures to report. Exact figures will be provided in the next fiscal year report. This figures equates to about 28% of the total businesses to which training was provided.

3. Levels of satisfaction with training events with results provided by employers and employees receiving training

Three different training evaluation forms were utilized between the various delivery sites. All showed high levels of satisfaction with the training. Because different rating scales were used, it is not possible to provide a specific rating value. However, it can be stated (conservatively) that

there was a 95% satisfaction rate among employees and employers with all of the training provided. In recognition of the need for a standard rating system, a consultant was hired to assist in developing a standard system for all of the workforce training regions. Agreement was reached on the new system, which is now in place. For the FY 00-01 year, an overall satisfaction rating for employees and employers will be provided.

4. Number of referrals for training and results of those referrals

   Two requests for training were received from employers in other workforce training regions. These were referred to the appropriate region and assistance was provided from those locations. Two requests were received for technical assistance from other regions for ISO 9000 training and this assistance was provided. Within the region, at least two referrals were made for computer expertise from another delivery provider. These requests were met.

5. Revenue generated from training fees

   A total of $482,213 was generated in fees for workforce training.

Financial Projections

Projected budgets for NDSCS Outreach and Customized Training (O/CT) and the Skills and Technology Training Center (STTC) for fiscal years 99-00, 00-01, and 01-02 are provided on the following pages. All funding provided from the state for the new workforce training initiative is included in the budgets and accounts of the NDSCS Outreach and Customized Training department. Therefore, the budget spreadsheet for the O/CT department also includes a column for actual revenues and expenditures for FY 99-00. For FY 99-00, a total of $482,213 of revenue was collected for workforce training activities from both centers. Of this, $299,008 was generated at the STTC and $183, 205 was generated at NDSCS OC/T.

Partnerships

The North Dakota State College of Science has realized many benefits through the partnership relationships that have been developed with business and industry. These benefits include instructional equipment, computer software, instructor training, student recruitment and job placement for graduating students. The college's partnership with the John Deere Company has grown to three Associate of Applied Science Degree programs. They are: (1) John Deere Ag Tech, (2) John Deere Ag Parts and (3) John Deere Ag Sales. In addition, NDSCS provides John Deere Pro-Tech training for currently employed technicians and John Deere Pro-Parts training for currently employed parts associates.

Recently, NDSCS has been selected by the Caterpillar Company as an educational partner to deliver the Caterpillar Technician Program. Start-up for this program is set for the fall of 2001.

In addition to the company partnerships, the college has a partnership with the North Dakota Automobile Dealers Association. This partnership has resulted in the donation of numerous training vehicles containing the latest technology. It is through this partnership where many students obtain Cooperative Education placements and ultimately full-time employment.

NDSCS Campus Plan — Priority Initiatives 2000-2001

Introduction

North Dakota State College of Science is extremely pleased to address, through its strategic planning process, the six cornerstones, as identified in ***A North Dakota University System for the 21st Century—The Report of the Roundtable for the North Dakota Legislative Council on Higher Education.***

With a dedicated and talented faculty and staff, the College of Science willingly contributes its talents and expertise to address these cornerstones, which includes: Economic Development Connections, Educational Excellence, Flexible and Responsive System, Accessible System, Funding and Rewards and Sustaining the Vision.

Indeed the Roundtable Report is future oriented. It addresses the expectations of the North Dakota University System, and especially this college, in meeting the state 's needs in this century. In looking at the future of this state, many problems and challenges were identified. If left unaddressed, these could seriously jeopardize the future of North Dakota and its citizens.

It is our opinion that North Dakota State College of Science will rise as a leader in addressing the challenges in the Roundtable-and this will be as a result of our most precious resource-our human resources-that we will find and develop the spirit to.

* *Create unique, high quality institutional strengths-capacities that serve to make the NDUS, as a System, a stronger enterprise and one that is aligned with the needs of the State and its citizens;*

* *Collaborate with others in utilizing these strengths in ways that serve the identified needs of clients throughout the State. Minimize the barriers to accessing these assets;*

* *Develop internal values, policies and behaviors that encourage and reward entrepreneurship and responsiveness to the needs of clients;*

* *Strengthen ties to clients, engaging them in meaningful relationships and developing mutually rewarding partnerships. Become engaged campuses;*

* *Develop academic programs that help students understand the application of their knowledge at places of employment and in the larger society; and*

* *Put in place those mechanisms to ensure that their end of the the "flexibility for accountability" bargain is upheld.*

As a result, the following priority initiatives have been developed for North Dakota State College of Science for the year 2000-2001. Faculty and staff have worked together for over four months to develop a shared response for how North Dakota State College of Science, through its strategic initiatives, can continue to focus on the priorities of this state. A more detailed document, further defining these campus initiatives at the micro-level, are available at the college.

Our success as an institution will be based on our ability to accomplish these goals.

Goal 1: Economic Development Connection

Increase the direct connections and contributions of the University System to the economic growth and social vitality of North Dakota.

1. Expand the numbers and percentages of businesses and employees in the SE Region receiving workforce training.

- Increase the number of businesses receiving training by 20% and the number of employees by 10% over 1999-2000.
  Responsibility—Outreach & Customized Training, Timeline – 2001.
- Determine workforce training needs in at least two new program/subject areas.
  Responsibility—Outreach & Customized Training, Timeline – 2001.

2. Focus course and degree programs on the economic, social and educational needs of North Dakota.

- Determine the need for/feasibility of new occupational programs as previously submitted to SBHE.
  Responsibility—V.P. for Instruction, Timeline – 2001.
- Conduct a review of all programs at NDSCS to assess their current health and viability.
  Responsibility—V.P. for Instruction, Deans, Timeline – 2001.

3. Increase strategic alliances and partnerships with business and industry.

- Develop at least three new major partnership programs with business/industry.
  Responsibility—President, V.P. for Instruction Services, Deans, Timeline – 2001.

4. Expose students to workforce opportunities within North Dakota.

- Promote cooperative education opportunities by piloting an on-line database of all ND cooperative education jobs.
  Responsibility—Placement Office, Timeline – 2001.
- Increase awareness of ND job opportunities by piloting an on-line database of all ND jobs reported to the Placement Office.
  Responsibility—Placement Office, Timeline – 2001.

5. Increase entrepreneurial skills/competencies of all students.

Note: No goal set for 2000-2001.

6. Increase relationships and opportunities with the Economic Development community.

- Contact all Economic Development Officials in the Southeast Region to determine workforce training needs as well as availability of training.
  Responsibility—Outreach & Customized Training, Timeline – 2001.

7. Engage the college in the global environment.

- Establish an International Development Office.
  Responsibility—President, V.P.'s, Timeline – 2001.
- Explore the development of courses or course enhancements to help students better understand the context of the world in which they live.
  Responsibility—Deans, Timeline – 2001.

Goal 2: Educational Excellence

Provide high quality education and skill development opportunities which prepare students to be personally and professionally successful, readily able to advance and change careers, be life-long learners, good citizens, leaders, and knowledgeable contributing members of an increasingly global and multi-cultural society.

1. Provide students with the knowledge, attitudes and skills to be successful in their professional and personal life upon program completion.

- Redefine the college's philosophy on general education and establish procedures to assure that the general education component is integrated appropriately across all programs.
  Responsibility—V.P. for Instruction, Deans, Timeline – 2001.
- Implement a program guarantee in all of the occupational programs offered at the College.
  Responsibility—V.P. for Instruction, Deans, Timeline – 2001.

2. Provide a working environment to attract, employ and retain faculty and staff of the highest quality.

- Conduct a market study on faculty and staff salaries and develop a salary administration plan that will assure appropriate employee compensation.
  Responsibility—Human Resource Director, Timeline – 2001.

3. Develop and implement an integrated, college-wide enrollment plan.

- Develop short-term strategies to fill openings in selected programs in 2000-2001.
  Responsibility—V.P. for Instruction, Timeline – 2001.

4. Insure state-of-the-art technology across the college.

- Retrofit 35 classrooms with high resolution digital projection systems connected to multi-media teaching stations.
  Responsibility—Director of Instructional Resources, Timeline – 2001 and ongoing.

5. Develop and implement an accountability system focused on ends, products and outcomes in an environment of continuous improvement.

- Conduct surveys that measure student satisfaction, community needs and institutional priorities and implement processes to address weaknesses.
  Responsibility—President, Management Team, V.P. for Instruction, Timeline – 2001.

6. Conduct research to improve teaching, student learning, advising and student academic success.

- Refine assessment plans for each instructional program to measure student learning at the course and program level and provide feedback for continuous improvement.
  Responsibility—Director of Assessment and Institutional Research, Timeline – 2001.
- Develop an advising handbook for faculty advisors and conduct advisor training.
  Responsibility—Division Academic Counselors, Timeline – 2001.
- Develop an Early-Alert program to identify and counsel at-risk students.
  Responsibility—Director of Academic Services Center, Timeline – 2001.

7. Achieve institutional and specialized accreditation where appropriate.

- Seek a 10-year regional accreditation from North Central Accreditation and a 7-year accreditation from the Accreditation Council for Occupational Therapy.
  Responsibility—President, V.P. for Instruction, Timeline – 2001.

8. Encourage faculty to serve as lifelong learning models through study, experience and/or research.

- Develop and implement a process to utilize employee growth plans.
  Responsibility—Instructional Deans, Timeline – Spring 2001.

9. Expand the offering of high-quality cultural activities to the community.

- Establish and support a cultural diversity team to develop and coordinate multi-cultural events that benefit students, the campus and the community.
  Responsibility—Student Life and V.P. for Instruction, Timeline – 2001

Goal 3: Flexible and Responsive System

Create a University System environment which is responsive to the needs of its various clients and is flexible, empowering, competitive, entrepreneurial and rewarding.

1. Foster an environment of empowerment and trust to enhance creativity and flexibility at all levels.

- The institution will formally assess employees' expectations.
  Responsibility—V.P. for Instruction, Timeline – 2000.
- The institution will develop a plan of action to begin improving those categories which employees are least satisfied with.
  Responsibility—President, Management Team, Timeline – 2001.
- Assess the effectiveness of all college committees and develop an improved operation.
  Responsibility—President, Management Team, Timeline – 2001.
- NDSCS will implement new mechanisms for improving internal communications with all faculty/staff via monthly accomplishments reports and open college forums.
  Responsibility—President, Timeline – 2001.

2. Quality courses/programs will be customer/learner focused utilizing flexible and responsive deliveries, formats and methodologies.

- Faculty will receive professional development, with special emphasis on on-line course development.
  Responsibility—V.P. for Instruction, Timeline – 2001 and ongoing.
- NDSCS will develop a partnership with a major on-line program/course developer.
  Responsibility—V.P. for Instruction, Timeline – 2000.
- NDSCS will explore options to becoming a "notebook campus".
  Responsibility—President, Management Team, Timeline – 2001.

3. Increase college efforts in building trusting relationships with our many constituencies.

- The institution will formally assess students' expectations.
  Responsibility—V.P. for Instruction, Timeline – 2000.
- A plan for improving students' expectations will be developed.
  Responsibility—V.P. for Instruction, Timeline – 2000.
- The institution will formally assess community members' expectations.
  Responsibility—President, Timeline – 2000.
- The college will formally review mission/vision statement.
  Responsibility—Management Team, Timeline – 2001.
- Improve working relationships with external environment, including K-12, colleges/universities, business community, retirees of the college, Chambers of Commerce, Legislative members, NDSCS Foundation and Alumni Association.
  Responsibility—President, Outreach and Customized Training, V.P.'s, Timeline – 2001.

4. Develop an entrepreneurial culture and spirit among all employees.

- Conduct workshops for faculty/staff on entrepreneurism so a level of understanding across the institution can be developed/fostered.
  Responsibility—Human Resources, Timeline – 2001.
- Develop a rewards and recognition system for employees demonstrating entrepreneurism.
  Responsibility—President, Human Resources, Timeline – 2001.

Goal 4: Accessible System

Create a University System that is proactively accessible to all areas of North Dakota and seeks students and customers from outside the state. It provides students, business, industry, communities, and citizens with access to educational programs, workforce training opportunities, and technology access and transfer–and does so with the same performance characteristics described in the "Flexible and Responsive System" Goal.

1. Expand course offerings in other population centers.

- Deliver the College's Medical Transcription Program in the Fargo community.
  Responsibility—V.P. for Instruction, Timeline – 2001.
- Deliver the College's Practical Nursing Program in the Fargo community.
  Responsibility—V.P. for Instruction, Timeline – 2001.
- Develop a minimum of 20 courses for delivery over the internet.
  Responsibility—V.P. for Instruction, Deans, Timeline – 2001.

2. Seek opportunities for collaboration with various educational partners in the delivery of programs, training and services.

- Develop articulation agreements in technical programs at high school Vocational-Technical Centers to provide a seamless transition from high school to college.
  Responsibility—V.P. for Instruction, Deans, Dept. Chairs, Timeline – 2001.
- Collaborate with NDSU to provide select general education courses for NDSCS programs offered in the Fargo community.
  Responsibility—V.P. for Instruction, Timeline – 2001.
- Collaborate with VCSU to bring Vocational Teacher Education courses to the NDSCS campus.
  Responsibility—V.P. for Instruction, Timeline – 2001.
- Collaborate with LRSC to bring Practical Nursing courses to the Northeast Region of the state.
  Responsibility—V.P. for Instruction, Timeline – 2001.

3. Develop market-based pricing strategies for tuition and fees consistent with competition.

- Review the college's tuition and fee structure and determine the feasibility of converting to a per-credit charge.
  Responsibility—President, V.P. for Administration, Management Team, Timeline – 2001.
- Review pricing structures in Workforce Training and Outreach operations and adjust to be consistent with the competition.
  Responsibility—Director of Outreach and Customized Training, Timeline – 2001.

Goal 5: Funding and Rewards

Develop a system of funding, resource allocation, and rewards which assures quality and is linked to the expressed high priority needs and expectations of the University System—assures achievements of the expectations envisioned.

1. Develop salary compensation strategies to meet or exceed market levels.

- Conduct a market study on faculty and staff salaries and develop a salary administration plan that will assure appropriate employee compensation.
  Responsibility—Human Resource Director, Timeline – Spring 2001.

2. Seek alternative funding sources.

- Expand the sales of steam heat to a minimum of two new clients.
  Responsibility—V.P. for Administration, Timeline – 2001.
- Increase the total amount of external grant submittals by 10% over 1999-2000 rates.
  Responsibility—V.P. for Instruction, V.P. for Administration, Timeline – 2001.
- Review the college's tuition and fee structure and determine the feasibility of converting to a per-credit charge.
  Responsibility—President, V.P. for Administration, Management Team, Timeline – 2001.
- Increase revenues of Outreach and Customized Services by 15% over 1999-2000.
  Responsibility—Outreach and Customized Training, Timeline – 2001.
- Work with the NDSCS Foundation to develop a plan to increase by 10% the Foundation's financial support to the institution from what was received in 1999-2000.
  Responsibility—President, Timeline – 2001.
- Develop new college partnerships with business and industry with a goal of obtaining at least one million dollars of new resources for the college.
  Responsibility—President, Vice Presidents, Timeline – 2001.

3. Develop institutional priorities to assist in allocating new and existing resources.

- Implement a new structure for the prioritization of all college faculty/staff positions.
  Responsibility—President, V.P. for Administration, Management Team, Timeline – 2001.
- Conduct a strategic marketing analysis of the institution with appropriate recommendations for resources.
  Responsibility—President, Timeline – 2000.

4. Develop a process for recognizing and rewarding entrepreneurial activity and innovation.

- Develop a rewards and recognition system for employees demonstrating entrepreneurism.
  Responsibility—President, Human Resources, Timeline – 2001.

5. Identify cost saving strategies for the effective management of the institution.

- Explore the utilization of wind generated power.
  Responsibility—Vice President for Administration, Timeline – 2001.
- Increase the percentage of the utilization of part-time faculty by 5% over 1999-2000.
  Responsibility—Vice President for Instruction, Timeline – 2001.

Goal 6: Sustaining the Vision

Develop a structure and process which assures the University System for the 21st Century, as described by these goals, remains connected, understood, relevant, and accountable to the present and future research, education and public service needs of the state and its citizens - sustaining the vision.

1. Create an awareness, understanding, and support of the Roundtable goals and initiatives.

- Communicate Roundtable Goals/Initiatives to the College through informational sessions, written communications, and the development of priority initiatives focusing on the Roundtable goals.
  Responsibility—President, Management Team, Timeline – 2001.

2. Develop an institutional plan to secure private sector, legislative and SBHE support of Roundtable/NDSCS initiatives.

- Institutional Plan developed.
  Responsibility—President, Vice Presidents, Timeline -- 2000.
- Communication with external College environment via individual and small group meetings, legislative tours, written communications.
  Responsibility—President, Vice Presidents, Timeline – 2001.
- Continuous communication with legislature via individual and small group meetings, legislative tours, written communications.
  Responsibility—President, Vice Presidents, Timeline – 2001.
- Communicate progress of Roundtable/NDSCS Strategic Initiatives with State Board of Higher Education.
  Responsibility—President, Timeline – 2001.

3. Periodically review campus progress towards meeting the NDSCS Strategic Initiatives.

- Post NDSCS Strategic Initiatives on College web site.
  Responsibility—Vice President for Administration, Timeline – 2001.
- Staff/Departments to update progress towards meeting NDSCS Strategic Initiatives monthly.
  Responsibility—President, Vice Presidents, Timeline – 2001.
- Communicate progress with all College personnel monthly.
  Responsibility—President, Timeline – 2001 and ongoing.
- Reassess progress and make changes as necessary.
  Responsibility—Management Team, Timeline – 2001 and ongoing.
- Communicate regularly with external environment on progress towards completing initiatives via written correspondence, publications, web, meetings.
  Responsibility—President, Timeline – 2001 and ongoing.

NCA Report October 2000

The following are the strengths, challenges and suggestions as identified by the North Central Association Accrediting Team, October 2000:

Section VII. Institutional Strengths

1. The faculty growth plan component of the performance evaluation system offers faculty and supervisors an opportunity to work together to craft a plan for continued professional growth and development.
2. The initial processes established for the assessment of student academic achievement are well-developed, have faculty support, and provide a strong base for future improvements in student learning.
3. The college and personnel should be proud of their vocational/technical programs, their positive influence on students, and their overall economic impact on workforce development for the state and region.
4. The facilities and equipment utilized to fulfill the on-campus educational programming are well-maintained and structured to enhance student success.
5. The North Dakota Board of Higher Education expresses a strong level of support for the institution and its mission.

Section VIII. Institutional Challenges

1. The institution must clarify and solidify its role in the operation of the Fargo Skills and Technology Training Center.
2. Compensation levels of all classes of employees must be reviewed for competitiveness and internal equity.
3. The philosophy of general education is unclear and is not consistently applied across all programs.
4. The philosophy of developmental education has not been clearly defined at the college.
5. The college must establish a strong infrastructure necessary to support the delivery of quality courses to distant locations.
6. Current student support services are not provided in a well-integrated manner. The college needs to explore ways to provide a cohesive set of student services.

Section IX. Advice and Suggestions for Institutional Improvement

1. A standard format and consistent information acquisition of faculty credentials is needed.
2. There are varied interpretations of the college's mission. The college should ensure that the mission is clearly understood by all of its constituencies.
3. The issues regarding diversity of faculty, staff and students should be addressed.
4. Students need increased access to the Internet, especially in the residence halls.
5. The college should continually monitor the need for evening and weekend support services and make adjustments as warranted.
6. Successful aspects of the quality initiative should be retained.
7. The college should review and refine its committee structure.
8. Publications need to be reviewed on a regular basis to ensure consistent and accurate information.
9. A culture of open and honest communication at all levels needs to become the standard to foster trust and enhance morale.
10. Policies and procedures should be separated for clarity.
11. For the protection of the institution, hiring practices should be standardized.

Accomplishments

in relation to Roundtable Recommendations

NDSCS operates under a carefully developed strategic plan that outlines the priorities of the institution. The plan is aligned with the roundtable recommendations. As we execute the plan, emphasis is placed on: 1) Focusing on the Customer, 2) Emphasizing Student Learning, 3) Managing Resources, and 4) Developing Employees.

A review of accomplishments over the past two years shows considerable progress toward implementing the institution's goals. We cite the following accomplishments:

Goal 1: Economic Development Connection

Increase the direct connections and contributions of the University System to the economic growth and social vitality of North Dakota.

1) The infrastructure to develop and deliver workforce training has been strengthened through the designation of NDSCS as the training institution for the Southeast Region of the state. A key element in this accomplishment was the appropriation of workforce training funds.
2) Expanded training at the Skills and Technology Training Center. Major areas of training are: (a) computer training, (b) human resource/management training and (c) welding training.

Goal 2: Educational Excellence

Provide high quality education and skill development opportunities which prepare students to be personally and professionally successful, readily able to advance and change careers, be life-long learners, good citizens, leaders, and knowledgeable contributing members of an increasingly global and multi-cultural society.

1) Conducted a self study of the Pharmacy Technician Program. The program was reviewed by a team of consultant evaluators and was granted accreditation.
2) Expanded the use of Cooperative Education increasing the number of job opportunities and the number of students being placed in jobs related to their field of study.
3) Developed and implemented an entry-level assessment program to improve the placement of students in courses and programs appropriate to their ability levels.
4) Developed and implemented an Institutional Effectiveness Plan to collect data to be used in continually improving how the college accomplishes its purposes.
5) Completed a North Central Accreditation Self Study with the goal of achieving continued accreditation for another ten years.
6) Implemented an ongoing registration system to provide the students with a more personalized testing and advising service.

Goal 3: Flexible and Responsive System

Create a University System environment which is responsive to the needs of its various clients and flexible, empowering, competitive, entrepreneurial and rewarding.

1) Implemented a new Building Construction Technology Program.
2) Expanded the partnership with John Deere where the college is now providing three Associate Degree programs which include: John Deere Ag Tech, John Ag Parts and John Deere Ag Sales and Service.
3) Developed a Medical Transcription Program Option and began delivering it in Fargo.

Goal 4: Accessible System

Create a University System that is proactively accessible to all areas of North Dakota and seeks students and customers from outside the state. It provides students, business, industry, communities, and citizens with access to educational programs, workforce training opportunities, and technology access and transfer — and does so with the same performance characteristics described in the "Flexible and Responsive System" Goal.

1) Expanded the delivery of the college's Practical Nursing Program to Rugby, ND, Ashley, ND, Fargo and Bismarck, ND.
2) Increased the capability of distance education by installing desktop conferencing systems.
3) Implemented Common Course Numbering as part of the NDUS efforts to improve transferability of courses.
4) Cooperated with two other NDUS campuses in sharing higher level courses in the Chemistry and Computer areas using IVN.
5) Developed a Distance Education to bring together coordination of the various forms of distance education.
6) Provided college courses to high school students in the southeast region using ITV.

Goal 5: Funding and Rewards

Develop a system of funding, resource allocation, and rewards which assures quality and is linked to the expressed high priority needs and expectations of the University System — assures achievements of the expectations envisioned.

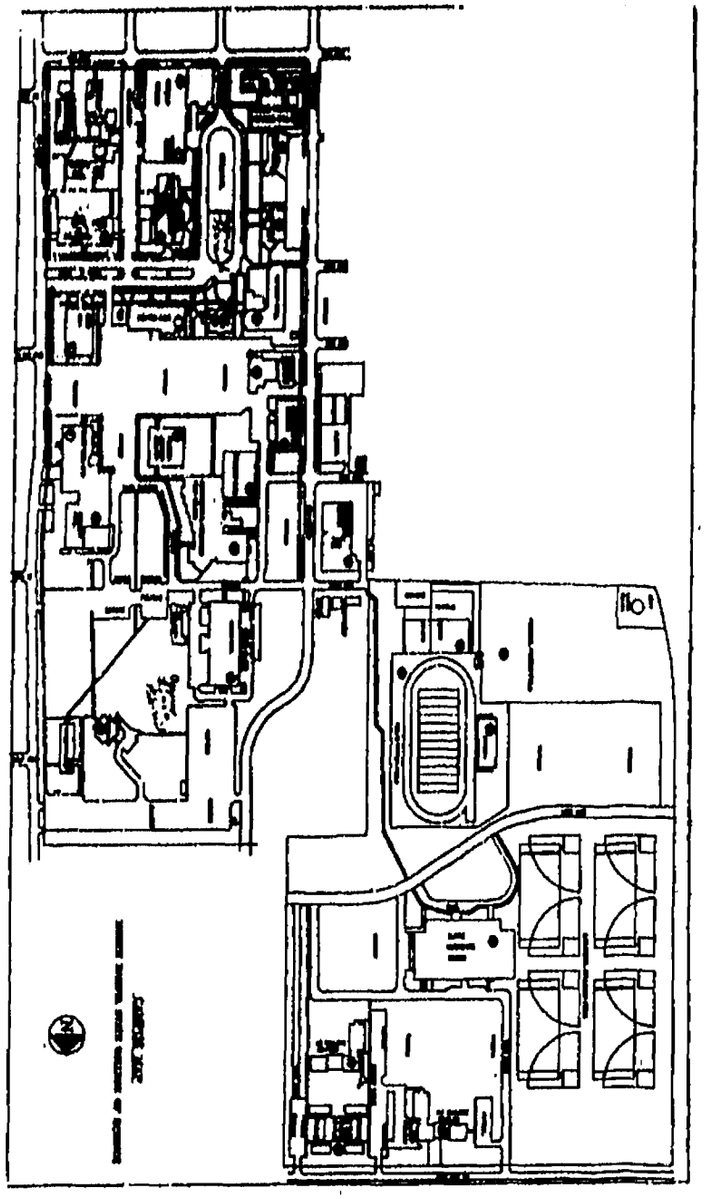
1) Made application and received a $40,000 grant for the development of on-line courses in apprenticeship programs.
2) Received cash and equipment donations totaling $700,000 from industry partners.

Goal 6: Sustaining the Vision

Develop a structure and process which assures the University System for the 21st Century, as described by these goals, remains connected, understood, relevant and accountable to the present and future research, education and public service needs of the state and its citizens -- sustaining the vision.

1) Replaced 2,561 linear feet of heating system steam lines at a cost of $1,000,000.
2) Replaced roofs on ten of the campus' buildings at a cost of $1,000,000.
3) Entered into a Performance Contracting agreement resulting in the replacement of lighting and mechanical systems equipment at a cost of $1,900,000.

[redacted]



STEAM LINES

ROOFS

PERFORMANCE CONTRACTING

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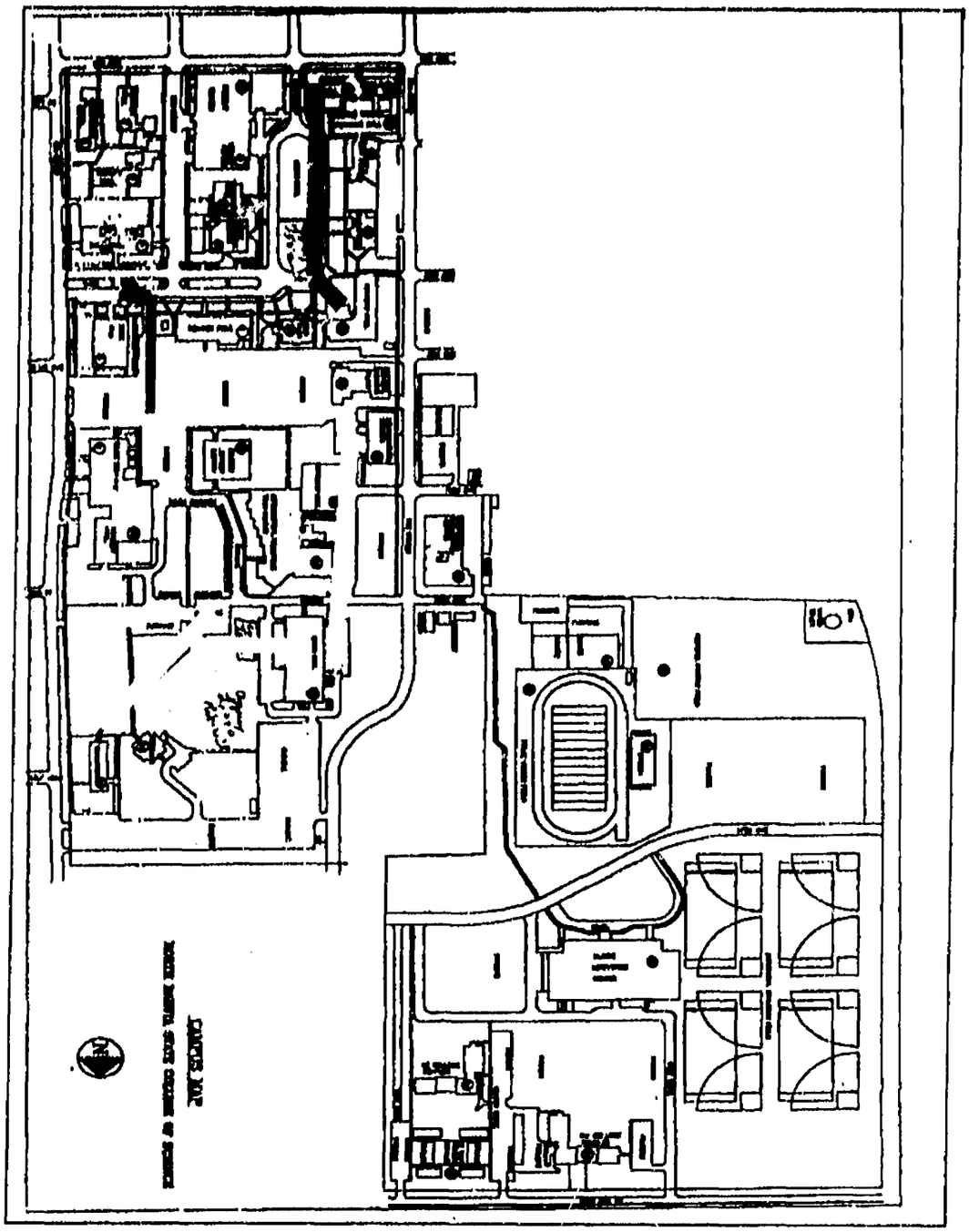


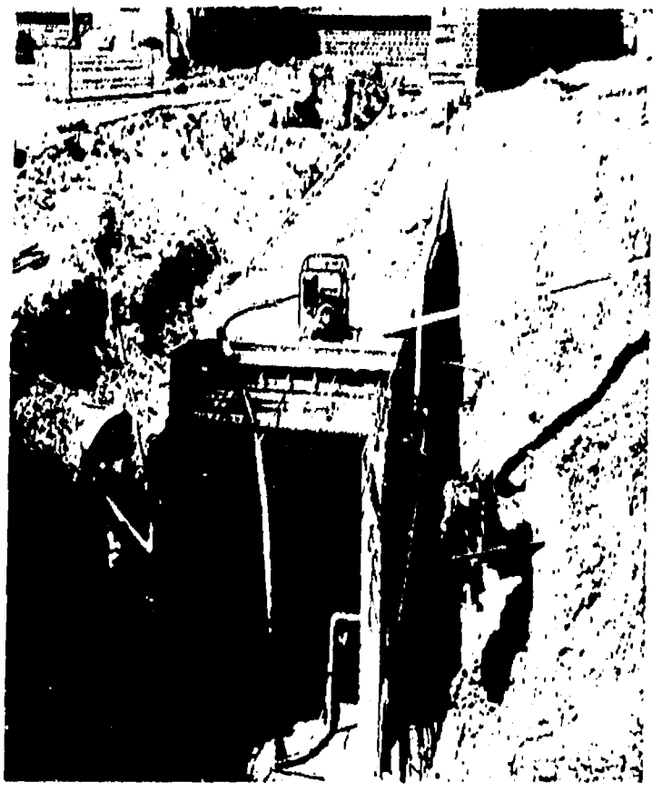
[redacted] TUNNEL

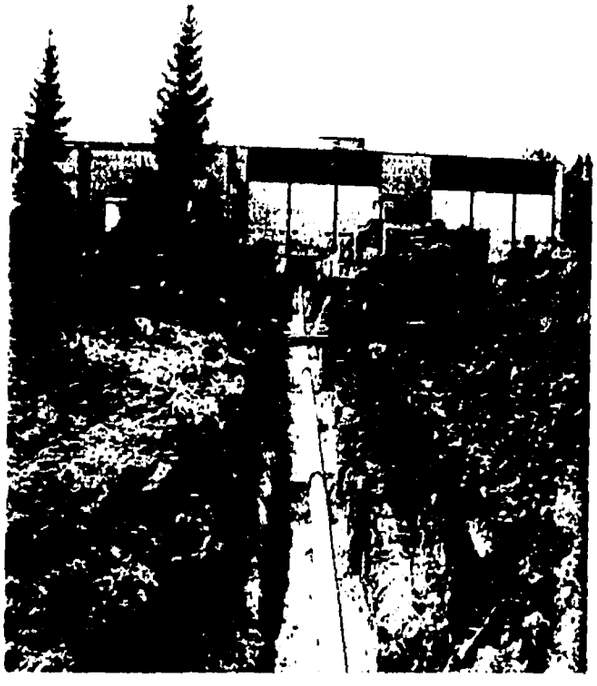
1310 Linear ft.

DIRECT

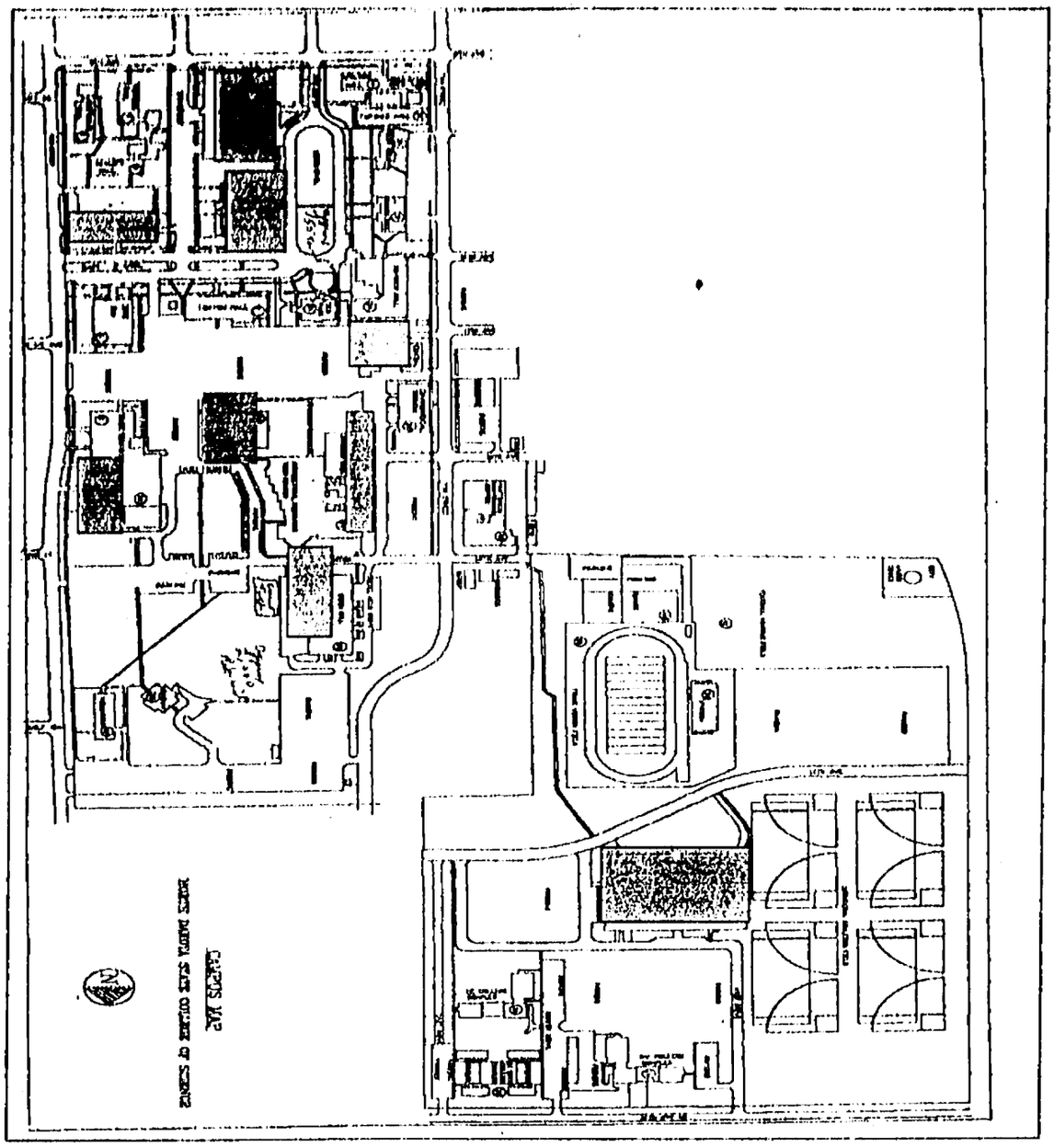
1251 Linear ft.

Steam Line Replacement





[illegible]VIRON[illegible]



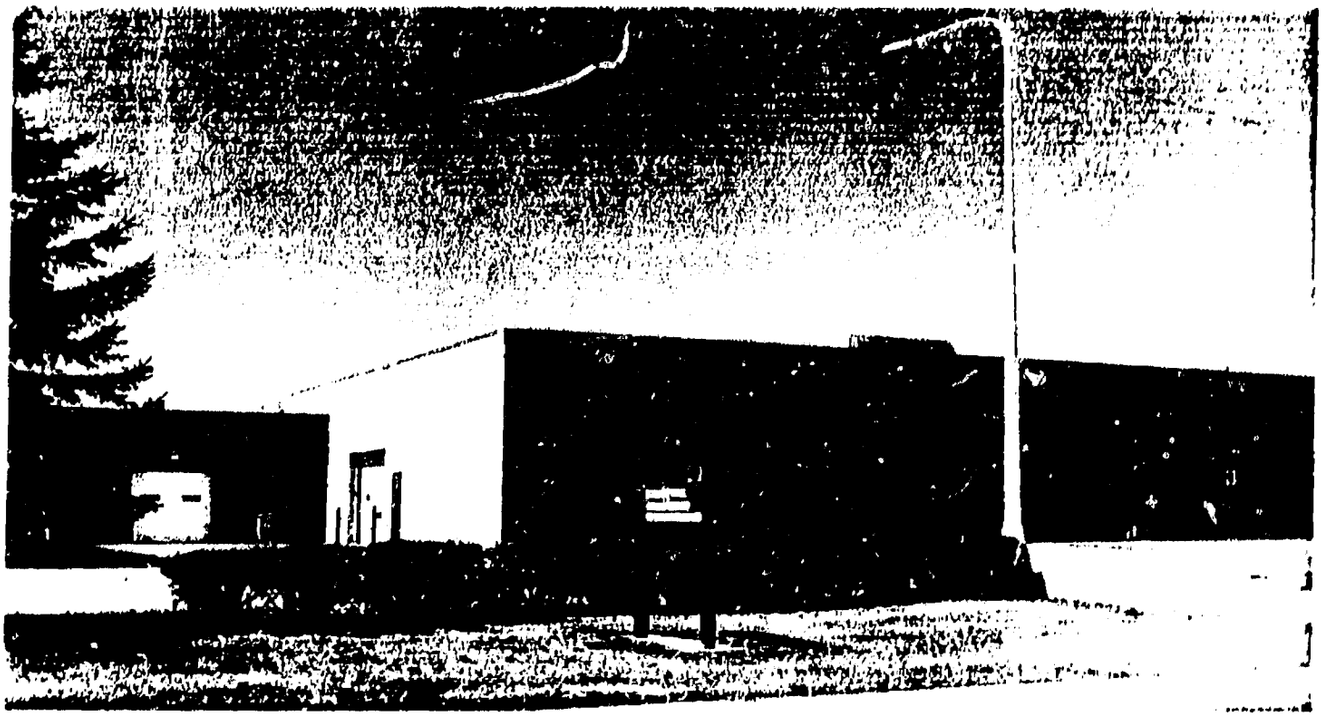
[illegible]UDENT UNION, HEKTNER HALL, BAILEY HALL, HEATING PLANT, BISEK HALL, ACTIVITIES CENTER, BARN[illegible] [illegible]ERN CULTURAL CENTER AND [illegible]

Blikre Activities Center
$201,793

Barnard Hall $63,178

Student Union $229,967

Schuett Hall $85,885

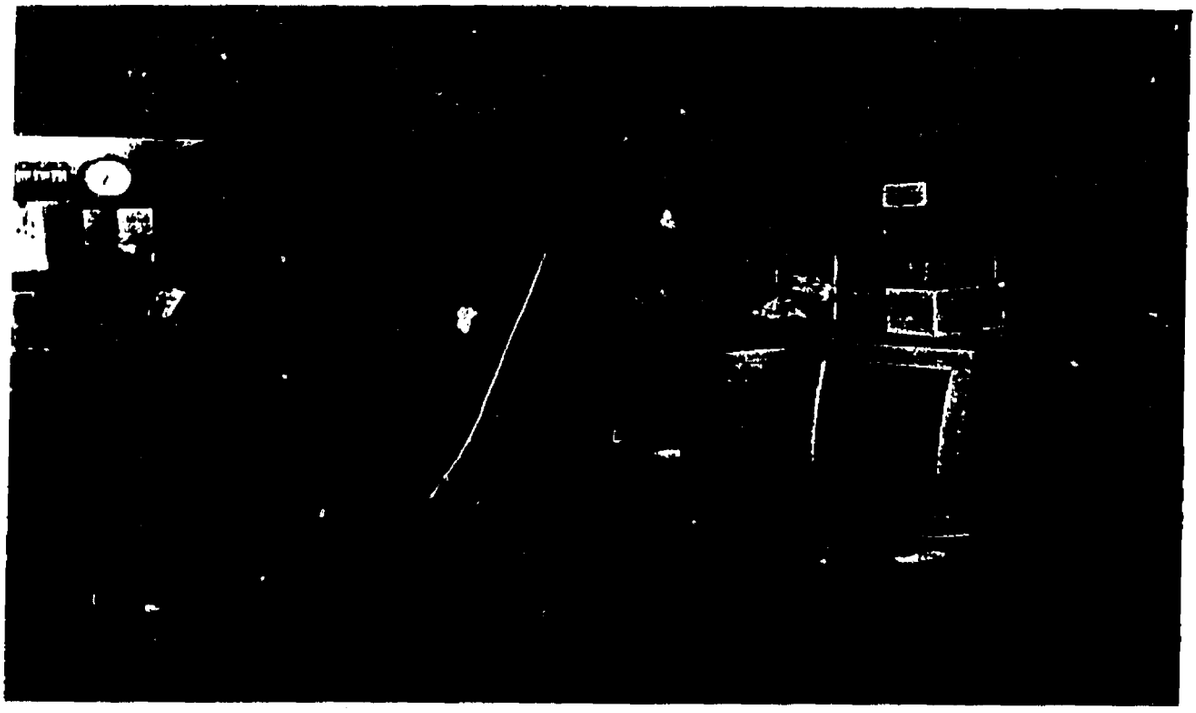


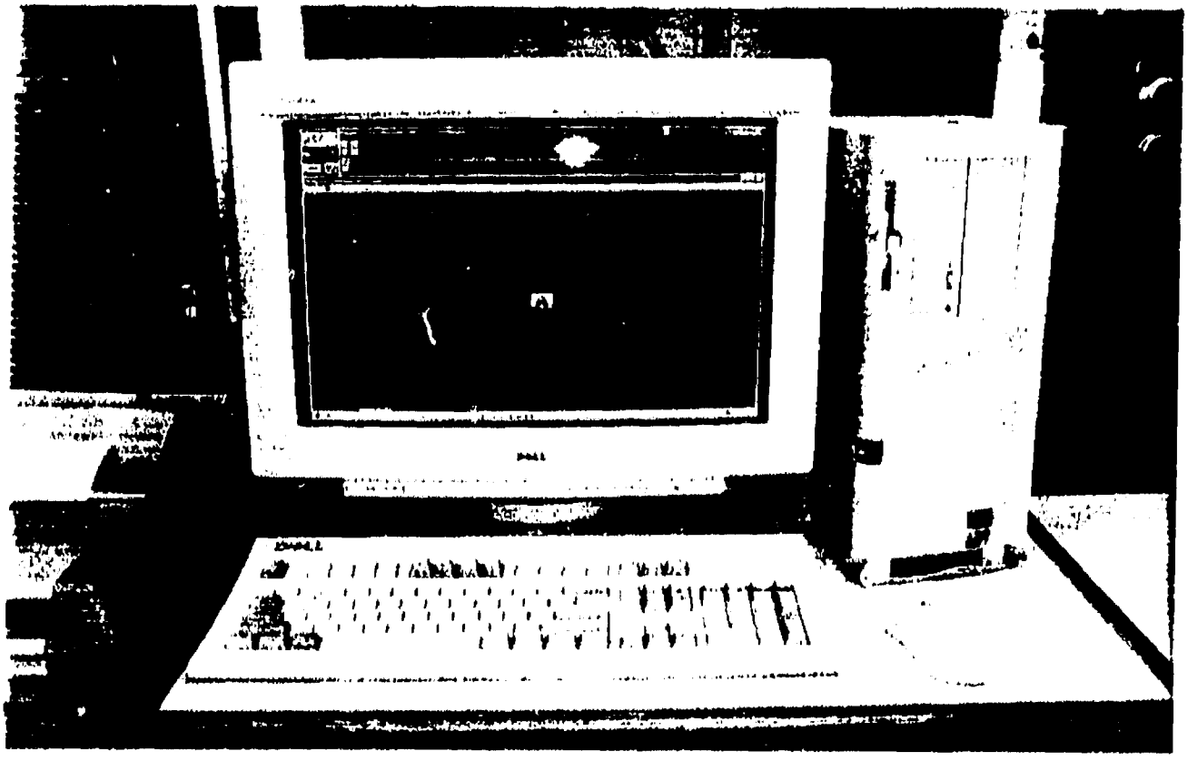
This contract allowed NDSCS to control the temperatures and lighting in all of its buildings. We were able to replace $1,900,000 in lighting and equipment.

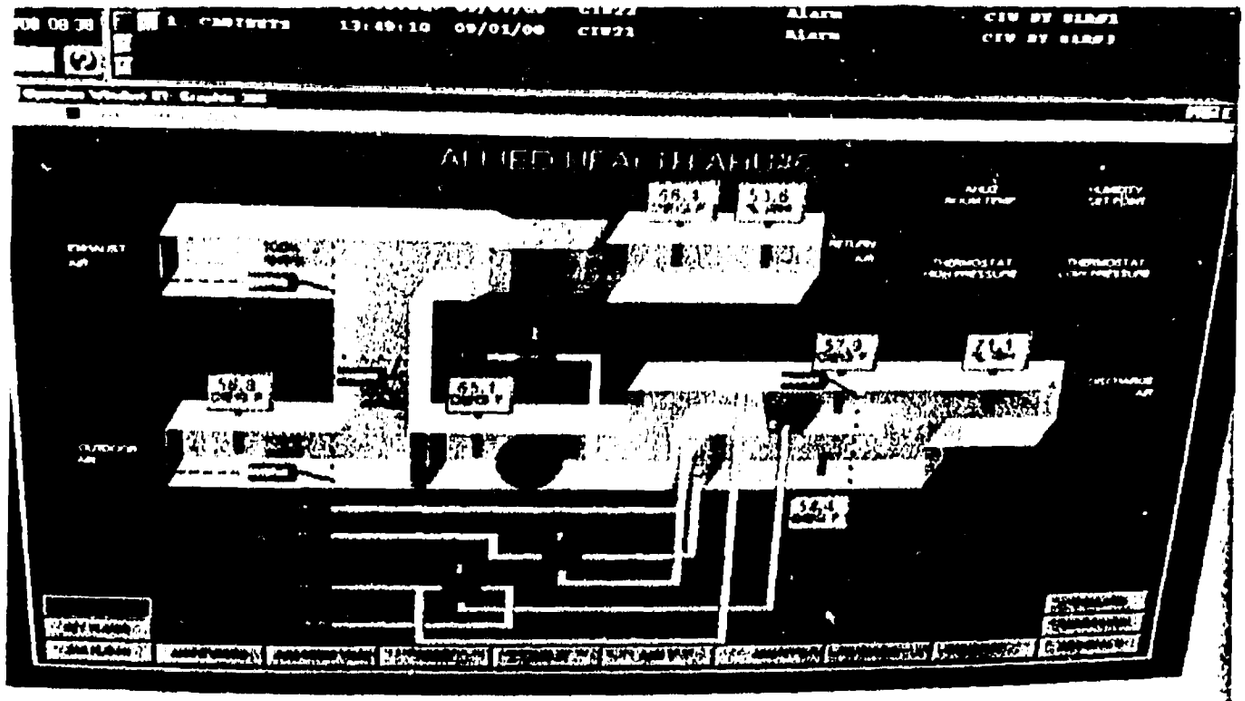
This winter will be the first time that the college will be able to control the temperatures in most of its buildings and by January 1, 2001, all of its buildings will have building controls.

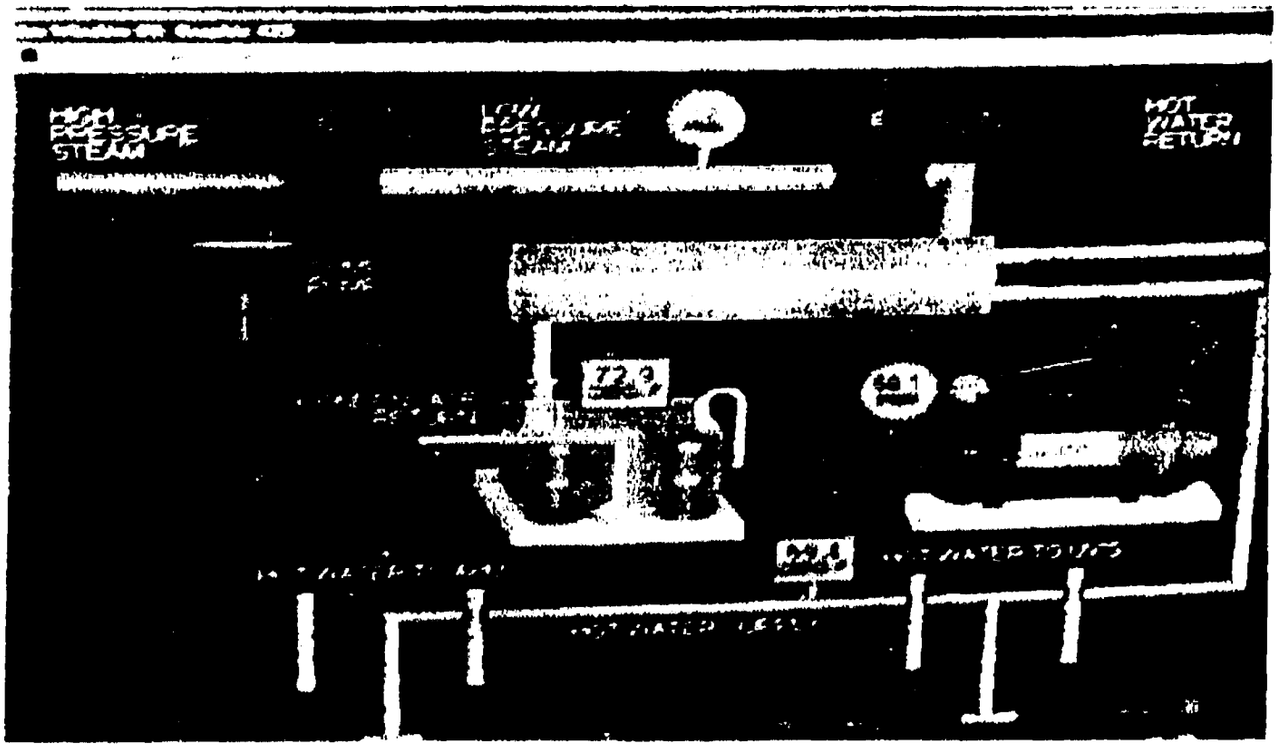
18,000 NEW LIGHTING FIXTURES

BUILDING CONTROLS AND MONITORS








HIGH PRESSURE STEAM
HOT WATER RETURN

Budget Issues

Comparison of General Funds needs to Exec. Recommendations:

The number one priority of the North Dakota State College of Science for the 2001 – 2003 Biennium is the Restoration of the Cost to Continue. That restoration would be in the amount of $753,644.

Salaries	$359,034
Operating Expense	$394,610
Total Restoration	$753,644

The Salary dollars are current salaries and wages. This is not a cost of inflation. It is funding the college has committed to meet current contracts. If restoration of these funds is not given, reallocation will take place to maintain a balance budget. At least one instructional program will be eliminated along with a number of campus services.

With the elimination of an instructional program we reduce revenue, which could cause a downward spiral, causing NDSCS to eliminate more programs to maintain a balance budget.

The operating expense is equally critical to the College of Science. The amount of equipment and laboratory supplies increases at a much faster rate than 3% a year. With 80% of our students enrolled in vocational or technical courses we continue to find our operating cost increasing at a rate we can no longer maintain. The college maintains 72 separate fees on top of tuition and books.

The majority of the operating expense is due to the projected increases in the cost of utilities ($295,963 – rising gas prices).

NDSCS has been able to maintain its mission in the light of a $980,485 revenue shortfall in the current biennium. If cost to continue is not restored, NDSCS will reallocate funds to pay current contracts and future utility increases. However programs will be lost and services to the campus reduced.

Unfunded Cost to Continue and Increased Income needed to support these Ongoing Costs:

Unfunded Cost to Continue $753,644

The optional package includes a tuition rate increase of $50 per year during the 2001-03 biennium, which would generate an additional $366,013 in tuition revenue. This increase is reflected as a funding source to cover our first four priorities and part of the fifth in our optional package. The first six priorities in this package are "Restoration of Cost to Continue."

To fund the cost to continue with tuition income, NDSCS would need to increase its tuition rate by $110 per year during the 2001-03 biennium.

Removing Income from Appropriation Process and Condensed Line Item:

Removing Income from Appropriation Process

NDSCS supports the concept of removing all income from the appropriation process for the following reasons:

Operating flexibility to meet current needs

Reduced response to auditors questions

Employee work hours saved for reporting purposes

Condensed Line Item

Flexibility

Time saved for reporting purposes

Board of Higher Education Pools:

NDSCS supports the concept of Board Pools.

Campus Impact of Restoration Plan:

Priority One through Six (Restoration of Cost to Continue) is vital to the mission of NDSCS. Funding needs to be found either through increased tuition revenue, a split between tuition revenue and appropriated dollars or funded totally with appropriated dollars. If this does not happen NDSCS will be force to eliminate at least one of its instructional programs and reduce campus service to students, faculty and staff.

Priority Seven - Technology Applications-Access

Salaries	$90,000
Operating	40,000
Equipment and Software	150,515
Other (developmental costs)	26,083
Total	$ 306,598

TECHNOLOGY APPLICATION/ACCESS

To provide access to technical, business and allied health programs through technology-based delivery systems.

The purpose of this initiative is to reach non-traditional learners who are seeking to upgrade and continue lifelong learning.

Increased educational offerings to meet the needs of non-traditional learners.
Increased participation in the educational activities offered by the College.
Increased accesses to educational opportunities in rural areas.

To support economic development in rural communities by providing access to workforce training.
Increased options in selecting educational delivery methods based on effectiveness, cost and logistics.

Priority Eight - Institutional Innovation

Salaries	$175,000
Operating	10,000
Equipment and Software	150,987
Other (training)	100,000
Total	$ 435,987

To develop a Center for Instructional Technology and Innovation.

This Center will enable faculty to effectively integrate technology into the teaching and learning process. This will allow NDSCS to provide flexible and alternative delivery options to a variety of learners in local and distant locations and to individuals currently employed in the workforce.

Increased enrollment in distance and off-site locations.
More effective use of instructional staff.
Increase the level of active learning to address varied learning styles.
Increase faculty skills in the use of instructional technology.
To improve the ability to deliver customized training to individuals currently employed in the workforce.
To more rapidly respond to business and industry training needs.

Priorities Eight and Nine are directly related to the Roundtable Recommendations in that we are focusing on the customer, emphasizing student learning, managing resources and developing employees.

Priority Nine - Renewal-Replacement

The NDUS is requesting funding for a $5 million "Renewal-Replacement Initiative," which would bring the funding of extraordinary repairs to .6248% of plant value per year at all campuses. The initiative is being requested in the individual optional package of the campuses and NDSCS's portion is $433,726.

NDSCS is requesting that the budget allocation for Capital Improvement Needs for the 01-03 biennium be increased by $433,726 from the current level of $635,885 to $1,069,611. In our opinion, the funding levels for capital repairs have been "underfunded" and have resulted in a large backlog of deferred maintenance needs. Failing HVAC, electrical, and plumbing systems jeopardize the usability of spaces necessary for academic, student, and administrative activities. Unattractive building interiors deter enrollment strategies essential to tuition dependent institutions such as NDSCS.

The Executive Recommendations is $773,500, which would be a $137,615 increase for the next biennium.

ELECTRICAL DISTRIBUTION Estimated Cost $1,326,640

Engineer's Report

Summary of Findings of Need

North Dakota State College of Science

December, 2000

Scope:

This project is for improvements to the Primary Electrical Distribution System for the North Dakota State College of Science. The improvements to the 5KV system consist of establishing an Emergency Life Safety Feeder, modifications to the existing generator, and replacing much of the existing electrical feeders. These findings are described in greater detail in our reports of 1991 and 1997.

Purpose:

The purpose of this project is to eliminate numerous Code deficiencies including violations to NFPA 101 Life Safety issues regarding emergency lighting and distribution to many buildings on campus. National Electrical Code and National Electrical Safety Code deficiencies regarding Operator safety issues and electrical obstruction clearances will be corrected. This project will also replace equipment and conductor that has reached its anticipated life expectancy. Much of this equipment has been in place for well over 30 years.

The improvements will improve Life Safety and emergency systems, reduce potential operator hazardous conditions, and increase system reliability.

Opinion of Probable Construction Costs:

Construction	$1,128,100
Contingencies (5%)	$56,400
Engineering & Administration(12%)	$142,140
Total Construction Cost	$1,326,640

JOEL A. KATH
REGISTERED
PROFESSIONAL
ENGINEER
NORTH DAKOTA
12-8-00

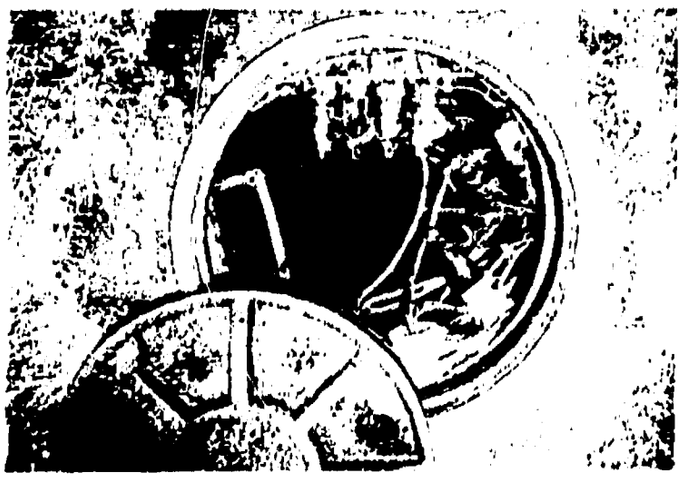
Joel A. Kath, PE
Project Engineer
Ulteig Engineers, Inc.

Life Safety Code Violations Generator Configuration

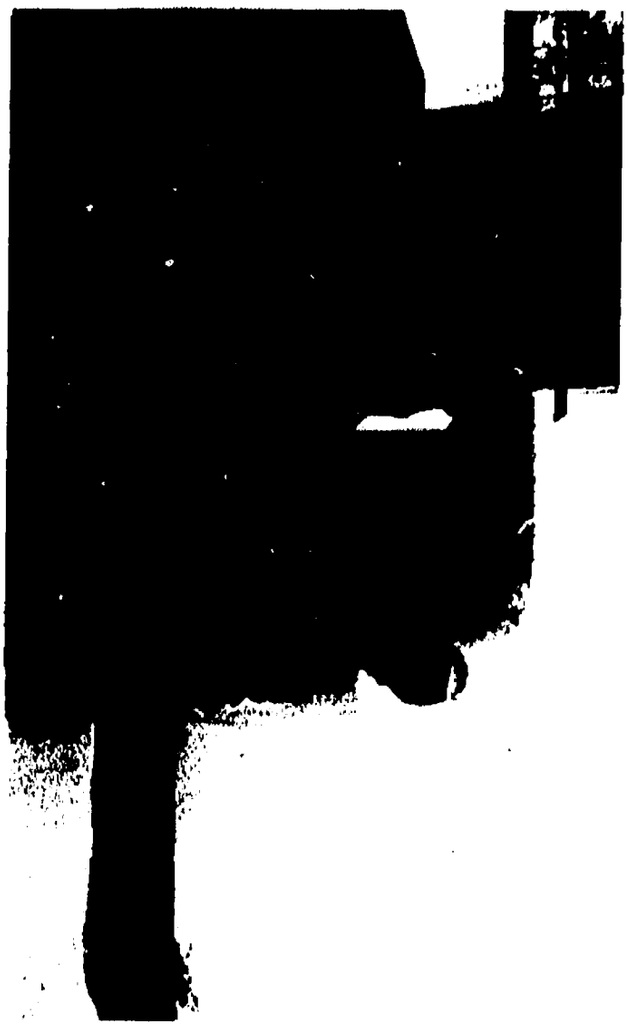
- NFPA Life Safety Code requires that all paths of egress be lighted during an outage.
- Configuration of the existing 5KV generator does not comply with this requirement.
- Recommend providing a separate primary safety distribution circuit.
- Funding has been denied during past sessions.

Personnel Safety Hazards

- National Electrical Code mandates safe operating procedures for operators and maintenance.
- NDSCS currently has numerous violations of adequate working clearances which place personnel in potentially hazardous situations.
- There are also code violations with load break connections in confined spaces.



- Many switches are located in confined spaces close to live conductors.
- The location of several transformers are in code violation.



Age of System

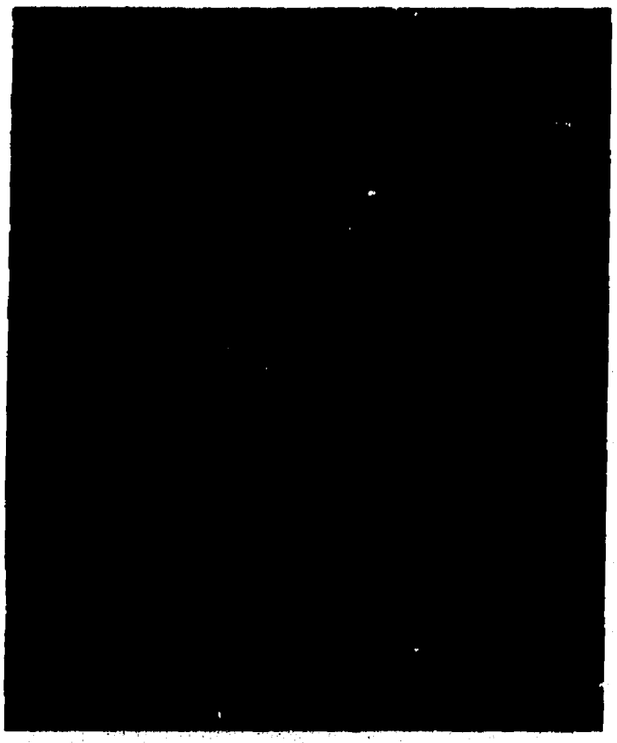
- The system has met or exceeded its life expectancy.
- The college has experienced faults.
- A fault could damage adjacent conductor sets.

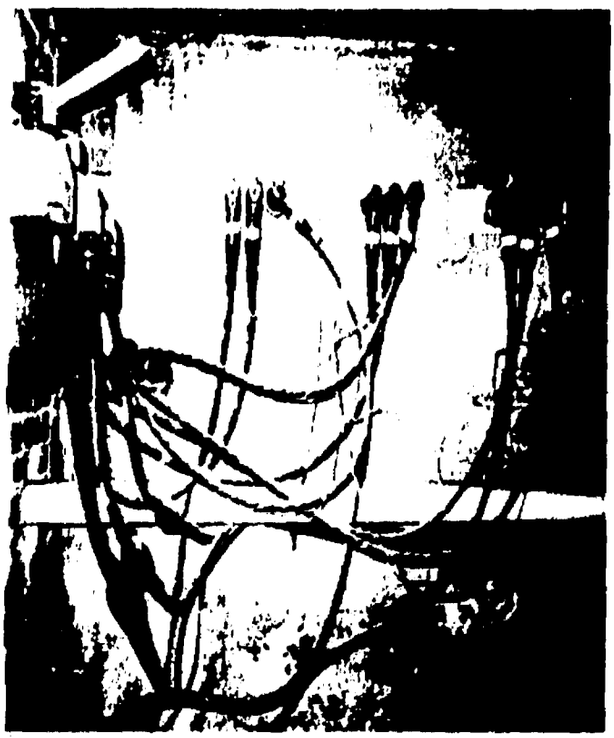
Radial Feed/Loop Feed Configuration

- The existing system is based on an outdated "radial feed" configuration.
- An outage anywhere on the feed interrupts the entire circuit.
- A "loop feed" is proposed to allow for isolation switches and back feeding capabilities.

Transformer Vaults

- There are currently two transformer locations which have clearance violations.





Live Front Pad Mount Transformers

- Several transformers are in code violation.
- Most transformers do not have fuses to protect the transformer from overload, isolate faults, and minimize catastrophic failure.

Electrical System Deficiencies
North Dakota State College of Science

UEI Project No. 2000-3126

The following is a summary of deficiencies of the Primary Electrical Distribution System for NDSCS.

1. LIFE SAFETY CODE VIOLATIONS/GENERATOR CONFIGURATION

National Fire Protection Agency Life Safety Code (NFPA 101) requires that the paths of egress in buildings on Campus be lighted during a power outage. This is normally accomplished by use of Battery Pack Lights or by connecting corridor lights to an emergency generator.

There is an existing 5KV generator which connects directly into the primary electrical distribution system. This generator is not capable of providing power to the entire campus during an general or overall power outage from the utility source. During a spot outage within the Campus, the configuration of the system does not allow the generator to distribute emergency power to buildings affected by the spot outage. As a result, all buildings within an outage area are completely without power.

Most of the buildings on Campus rely on this existing 5KV generator to comply with the NFPA 101 Code. As a result, most buildings on Campus do not comply with NFPA 101. This is a serious Code violation which was identified in our 1991 report, and has not yet been corrected nor addressed by the Campus.

Our recommendation to correct this deficiency is to provide a separate primary distribution circuit throughout campus which is powered by the 5KV generator. It will be necessary to connect to individual buildings which rely on this generator to comply with NFPA 101. At the same time, other essential building loads may also be connected to this source.

2. PERSONNEL SAFETY HAZARDS

National Electrical Safety Codes (NESC) and National Electrical Codes (NEC) dictates minimum criteria to establish safety for both operation and maintenance.

There are numerous violations of the NESC and NEC in regards to adequate working clearances, which place personnel in a potentially hazardous situation during routine maintenance or even normal operation of equipment.

Clearance violations include transformers that are located within 3' from a building and have doors that open towards the building wall. Personnel are literally trapped between the transformer and building by these doors. NEC Article 110 requires a minimum of 4' of working clearances and also requires a means of egress. In order to work on this equipment while energized with a "hot-stick", the NESC Safe Working Practices recommends 10' of frontal clearance.

Clearance violations also occur where there are "load break" connections or splices located within confined space manholes. NESC Safe Working Practices require that these connections be operated with a hot-stick. A hot-stick will not even fit within these manholes. In order to operate the connection, it would be necessary for personnel to physically grab the connection by hand. This is obviously a Code violation.

There remains a few installations of oil filled switches located within transformer vaults. These switches are antiquated and have proven to fail if operated under load. Many switches are located in a con-

fined space and are close to live conductors. Personnel trying to operate the switches are therefore in a hazard potential. NDSCS has already eliminated a number of these switches in conjunction with replacing electrical services in 5 different buildings over the past few years.

3. AGE OF SYSTEM

Much of the existing conductor (especially the direct buried conductor) has reached or exceeded its intended life expectancy. It is much easier to plan and implement an orderly replacement of the conductor rather than having the conductor fail and create an emergency situation.

Much of the existing main 5KV switchgear in the electrical building is in relatively good condition and would be reused under the proposed system upgrade. This equipment however should be inspected and tested on a regular basis.

4. RADIAL FEED/LOOP FEED CONFIGURATION

The existing system is based on a "Radial Feed" configuration where a branch circuit to an individual building is tapped (or branches) from a main feeder. There are few switches on the system to isolate any portion of a circuit. An outage anywhere on the circuit, either due to a fault or required for maintenance, will disable the entire circuit for the entire duration of the outage. This impacts more buildings than would be necessary.

The proposed new system is based on a "Loop Feed" configuration which includes isolation switches that allow for interconnection from one circuit to another. This arrangement allows the isolation of virtually any portion of a circuit, and still maintain power to the remainder of the circuit. The area impacted by a fault or maintenance outage is minimized.

5. TRANSFORMER VAULTS

Originally, certain buildings had transformers set on the floor in a vault within the building. The transformers are the cylinder type that are commonly mounted on power poles. Many installations had NEC Code violations including working clearances as well as NESC violations involving standard installation procedures.

Over the he last 5 years, NDSCS has replaced and upgraded locations that had the most severe violations which also include structural deterioration. There are presently 3 remaining locations which should be considered for replacement due to clearance violations.

New installations incorporate a pad mounted transformer located a safe distance (about 10') from the building.

6. LIVE FRONT PAD MOUNT TRANSFORMERS

Many existing pad mount transformers have live front construction. This is where there are exposed 5KV terminations inside the enclosure. A few locations are in need of repair or have various Code deficiencies, such as one with a broken door hinge. Most do not have fuses which protect the transformer from overload, isolate faults, and also minimize catastrophic failure.

Where new transformers are provided, they will have fuses and will have no exposed live parts. This is called "Dead Front" construction.

New installations incorporate a new pad mounted transformer located a safe distance from the building.

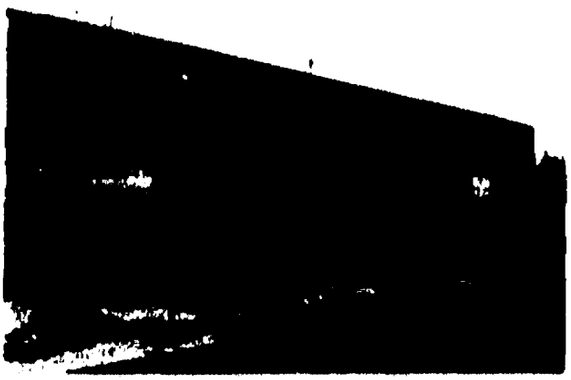
ROOFS
Estimated Cost $342,786

OLD MAIN

ROOF DATA

12,961 SQ. FT.

AREA (Square Feet)	12,961
AGE OF ROOF (Years)	21
REPLACEMENT COST	$243,786
YEARS OF LIFE REMAINING	0 to 2
MANUFACTURER	
CONTRACTOR	
INSPECTION DATE/REPAIRS	September 1998 - Herzog roofing & NDSCS roof crew. Rubber repair on elevator shaft.
INSPECTION DATE/REPAIRS	September 1999 - OK
TYPE OF ROOF	Main Building Asphalt Shingles Elevator Shaft Rubber & Ballast
EXISTING ROOF	3 tab shingles flat areas rolled roofing/elevator shaft. Rubber membrane & ballast.
MISCELLANEOUS NOTES:	Work to include removal of 2 to 3 layers of shingles on roof, gutters/down spouts that are rusted through, and remove railing around steeple that is rusted off. The height and pitch of the roof will have an affect on the replacement cost.



MECHANICAL SYSTEMS

ROOF DATA

15,120 SQ. FT.

AREA (Square Feet)	15,120
AGE OF ROOF (Years)	27
REPLACEMENT COST	$75,000
YEARS OF LIFE REMAINING	0 to 2
MANUFACTURER	
CONTRACTOR	
INSPECTION DATE/REPAIRS	August 1996 - Repair
INSPECTION DATE/REPAIRS	September 1999 - Repaired six areas.
TYPE OF ROOF	Asphalt
EXISTING ROOF	2" total depth 1 ply deck sealer 2 ply 1" fesco board 4 ply felt pitch roof
MISCELLANEOUS NOTES:	Work to include removal of rocks, felt and metal solar panel stands and add insulation, peralite BD rubber membrane and ballast.

CENTRAL HEATING PLANT

ROOF DATA

9,151 SQ FT

LOCATION	A	A1	A2	B	C	D	E	E1
AREA (Square Feet)	492	1,092	180	2,010	1,080	1,665	1,140	1,672
AGE OF ROOF (Years)	3	2	1	2	3		2	23
REPLACEMENT COST	$4,000	$6,000	$1,000	$10,000	$6,000	$10,000	$6,000	$24,000
YEARS OF LIFE REMAINING	18	18	18	18	18		18	1 to 2
MANUFACTURER	FIRESTONE	N/A	VERSICO	N/A	N/A	N/A	N/A	N/A
CONTRACTOR	Kruger Roofing	Herzog Roofing	NDSCS	Herzog Roofing	Kruger Roofing		Herzog Roofing	Unknown
INSPECTION DATE/REPAIRS								
TYPE OF ROOF	Rubber	Asphalt	Rubber	Asphalt	Asphalt		Asphalt	Asphalt
EXISTING ROOF	Rubber membrane ballasted office.	Asphalt & rock wool insulation unknown	4" Insulation Rubber	Asphalt & rock insulation unknown	Asphalt & rock insulation unknown	Asphalt insulation unknown	Asphalt insulation unknown	Asphalt insulation unknown
REPLACE WITH	Rubber membrane	Rubber membrane	Rubber	Rubber	Rubber	Rubber	Rubber	Rubber

STEAM LINE REPLACEMENT
Estimated Cost $716,000

North Side (NW/SE Complex)

- Average expected age of steam line is 20 years.
- The age of this line is 20 years.
- During the fall of 2000, we had 2 leaks on this section.
- Casing is rusted out due to poor installation.
- Wall thickness of deteriorated pipe is .028". New pipe is .170".
- Approximately 20' was excavated to find pipe that could be welded.
- Estimated cost of approximately 800' - $336,000

RETAKE

DATRUE

2705 Twin City Dr
Mandan, ND 58554
701-663-8930

North Side (NW/SE Complex)

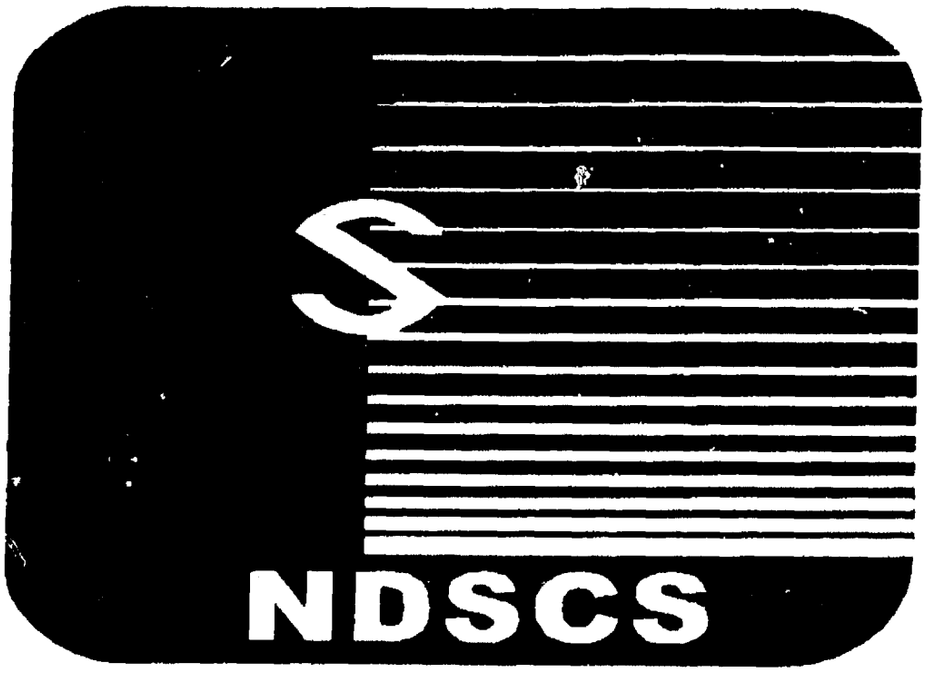
- Average expected age of steam line is 20 years.
- The age of this line is 20 years.
- During the fall of 2000, we had 2 leaks on this section.
- Casing is rusted out due to poor installation.
- Wall thickness of deteriorated pipe is .028". New pipe is .170".
- Approximately 20' was excavated to find pipe that could be welded.
- Estimated cost of approximately 800' - $336,000

South Side (Main Oval/Student Center)

- The age of this line is approximately 25 years.
- The line corroded through 4 times in the past 2 years.
- A tunnel will be constructed to allow for communication and telephone line installation.
- Estimated cost of approximately 545' - $330,000

Old Main/Horton Hall

- The age of this line is approximately 23 years.
- Past leaks have deteriorated insulation and pipe guides.
- Estimated cost of approximately 90' - $50,000



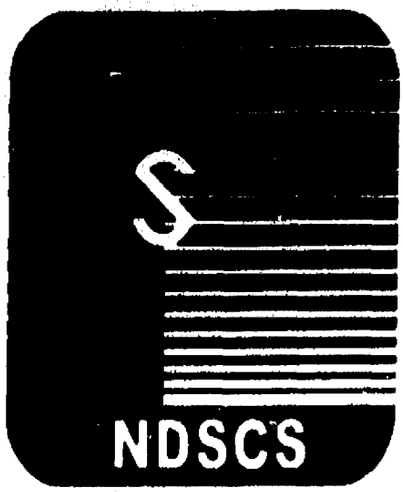
Deficiency Appropriation

- **$461,500** — **Utility Shortfall**
- **$32,744** — **Steam Line Break**

Utilities Shortfall

The gas rate that NDSCS receives is based on an "interruptible discounted rate." In the event of an interruption, the boilers are switched to fuel oil.

NDSCS is anticipating that its natural gas cost this heating season will be increased approximately 200%. This will result in a projected combined heating fuel shortfall this heating season of $491,500 based on the current natural gas and fuel oil rates.



Projected Natural Gas Budget Shortfall

September, 2000 – January, 2001 Heating Costs $603,000

Estimates for February, 2001 $6.92 ($64,024) = $443,046

$603,000 + 443,046 = $1,046,046 - $554,464 (Utility Heating Budget) = $491,500 (Projected Shortfall)

Our 99 – 01 utility budget is $1,108,928

99 – 01 estimated costs will be $1,600,428

Projected 01 – 03 utility budget ($5.50) gas would be $1,744,000

Historical Natural Gas Costing Data

(yearly cost includes fuel oil)

Heating Cost	Mcf Price	Yearly
99-00	$2.59-$3.53	$426,045
98-99	$2.34-$3.14	$404,640
97-98	$3.00-$3.93	$480,019
96-97	$2.36-$5.46	$653,687
95-96	$2.17-$2.88	$513,168

Current Natural Gas Costing Data

Heating Cost	Mcf Price	Monthly
September 2000	$5.68/Mcf	$16,923
October 2000	$6.03/Mcf	$50,683
November 2000	$5.18/Mcf	$96,635
December 2000	$6.71/Mcf	$185,980
January 2001	$10.86/Mcf	$226,000
February 2001	$6.92/Mcf	

Dec. & Jan. includes $33,100 for fuel oil

NDSCS Utilities Shortfall Request

Four-Year Average of Remaining Consumption (Feb. – May)

64,024Mcf

Current rate of natural gas February 2001

=$6.92

We anticipate a 15% savings in electricity above the contract.

Projected savings = $30,000

We anticipate savings in natural gas of 3% above the contract

Current Shortfall $491,500 - $30,000 = $461,500

Steam Line Break
December 17 – 31, 2000

NW Complex

Replacement of this line was requested in the 99-01 Biennium Budget and is the current 01-03 Biennium Budget Request

- On December 17, 2000, a steam line break was identified on the north end of the NDSCS campus directly south of the North West Complex (NW Complex). The NW Complex has 50 apartments with 44 normally occupied. Earlier this fall, the line had been temporarily repaired in several spots.

  The steam line was 21 years old, approximately 600 feet long

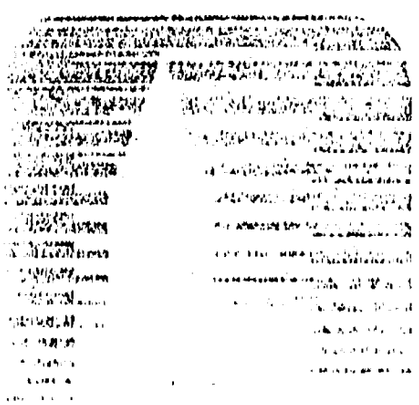
  Several test holes confirmed that a temporary replacement of the entire line would be necessary

- On Wednesday, December 21, 2001, the replacement of line was started

  On December 24 a determination was made that the building had to be evacuated and temporary heat used to keep the building above freezing temperatures

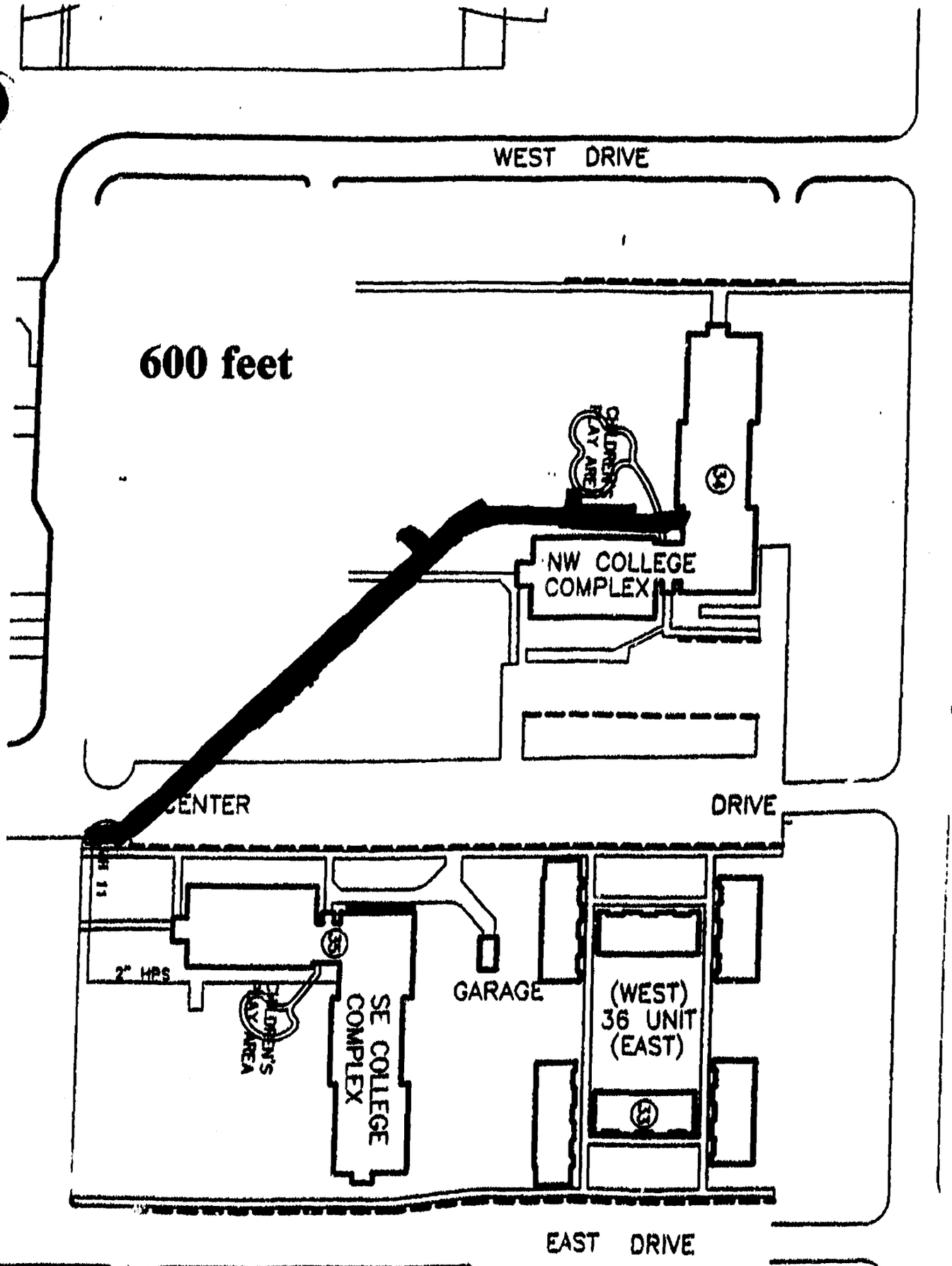
At the time of the evacuation, only eleven families were displaced. Seven families moved into other campus housing and four families moved in with relatives off campus.

The repairs were completed on Wednesday, December 31, 2000. The scope of work associated with the temporary repair involved complete replacement of the entire run of high pressure steam line and numerous repairs to the return condensate line. The replacement line is not insulated and does not incorporate in the necessary engineering constraints associated with proper slope, anchoring and support. The estimate for a permanent line which has to be installed during the summer of 2001 is $210,000



Emergency Steam Line Break

NDSCS EMERGENCY STEAM LINE REPAIR	COST
TOTAL CONTRACTED LABOR & EQUIPMENT	$14,739.00
TOTAL FILL & MATERIAL (CONTRACTED)	$668.64
TOTAL TEMPORARY HEAT	$1,584.82
NDSCS LABOR - Overtime	$5,726.57
MOTOR POOL TRUCK COSTS	$66.00
NDSCS - REPAIR MATERIALS, EQUIP. & TOOLE	$6,934.59
TOTAL RELATED HEATING PLANT COSTS (water and chemical)	$3,025.00
Total Expenses	$32,744.63


WEST DRIVE
600 feet
NW COLLEGE COMPLEX
CENTER
DRIVE
2" HPS
SE COLLEGE COMPLEX
GARAGE
(WEST) 36 UNIT (EAST)
EAST DRIVE

Steam Line Break
December 21, 2010

FUTURE PARTNERSHIP

WITH THE

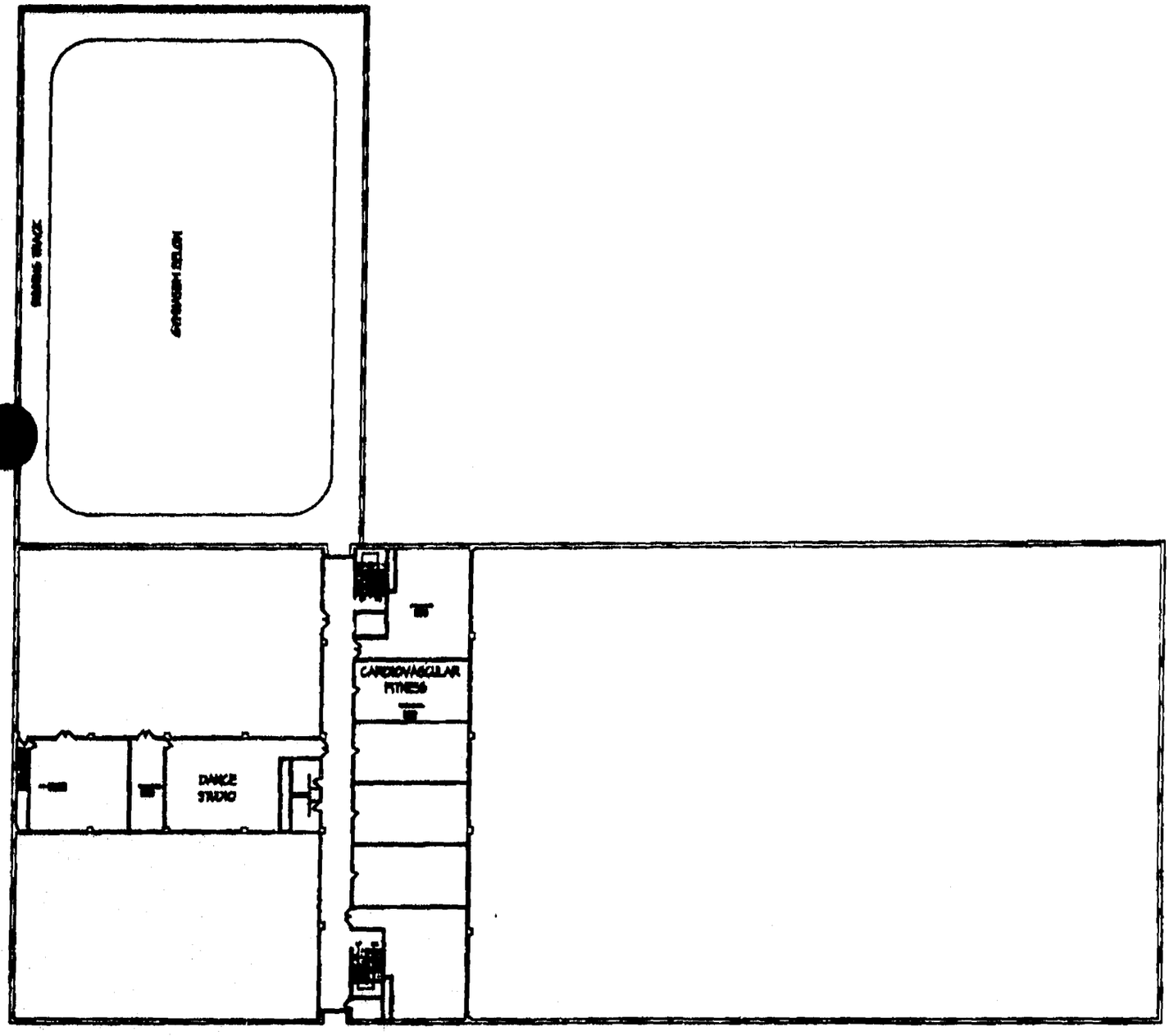
CITY OF WAHPETON

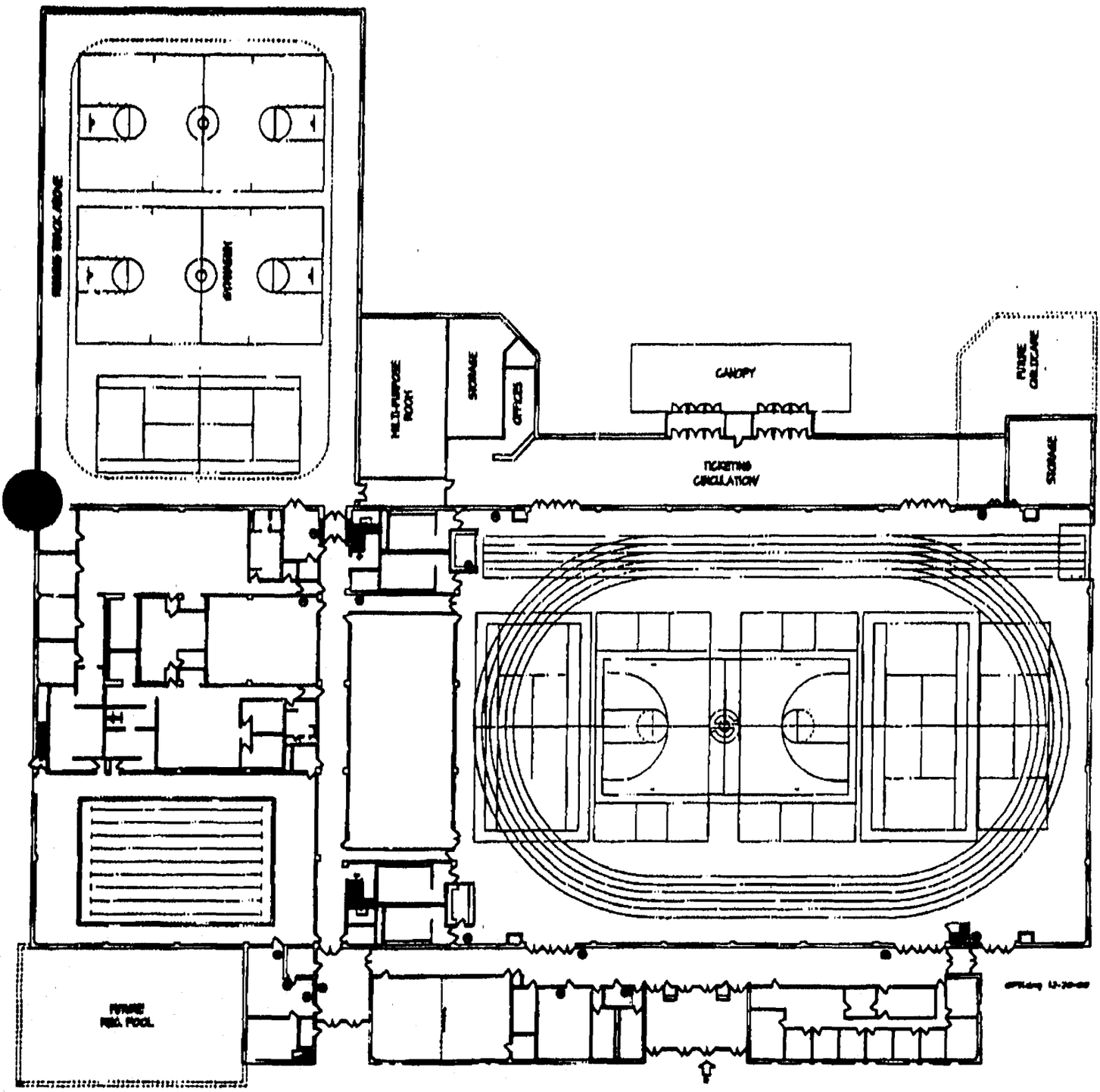
Wahpeton Activity Center

- New gym and running track 42,000 SQ FT

- $3,600,000 Project (funded by the City of Wahpeton)

- All future operational expenses paid by the city related to this proposed project


DANCE
STUDIO


MULTI-PURPOSE ROOM
STORAGE
OFFICES
CANOPY
FUTURE CHILDCARE
STORAGE
TICKETING CIRCULATION
FUTURE REC. POOL

Future Critical Issues

Critical issues to address in meeting the educational mission of NDSCS are:

1. Compensation and Staff Development

- Adequate funding to recruit and retain well-qualified staff
- Adequate funding to provide developmental opportunities allowing staff to keep abreast with technology

2. Keeping Pace with Changes in Technology

- Technology Infrastructure
- Electronic delivery systems
- Instructional Equipment

3. Facilities and Infrastructure

- Capital improvement funding to maintain facilities
- Funding to replace steamlines, roofs and electrical systems

4. Workforce Training and Outreach

- Customized training to meet industry needs
- Recruitment of qualified staff for workforce training
- Developing industry partnerships

5. Enrollment Management

- Program expansion to meet student and industry needs
- New program development in emerging occupations

NDSCS

NORTH DAKOTA STATE COLLEGE OF SCIENCE

Mattchler Bartram Architects

SCOPE OF WORK REPORT

CLAIRE T. BLIKRE ACTIVITIES CENTER BUILDING ADDITION

NDSCS

NORTH DAKOTA STATE COLLEGE OF SCIENCE

Summary of Findings of Need

The Claire T. Blikre Activities Center Addition / Arena Flooring Project at North Dakota State College of Science includes the following:

New Entrance Foyer

- Current main entrance is not adjacent to parking. The new entrance will be directly accessible from the east parking lot. Plans include associated sitework adjacent to the entrance at the parking lot.

Storage Room

- Primary use will be for storage of a new portable wood basketball floor system.

Catbacker Den (Booster Club Room)

- Meeting Room / Lounge environment for booster club activities.

Portable Wood Athletic Flooring System

- The current basketball floor in the main arena needs to be replaced. The existing tartar turf floor is not considered a safe playing surface and can cause injuries. Also, the existing floor is 23 years old and is developing cracks in many areas. In addition, a new floor will allow the college to continue to host high school district and regional tournaments.

Equipment Needs

- Scoring Table and Players Chairs
- Track Runaway Runners (2)
- Volleyball Net System (2)

NORTH DAKOTA STATE COLLEGE OF SCIENCE

Opinion of Probable Construction Cost

BUILDING ADDITION

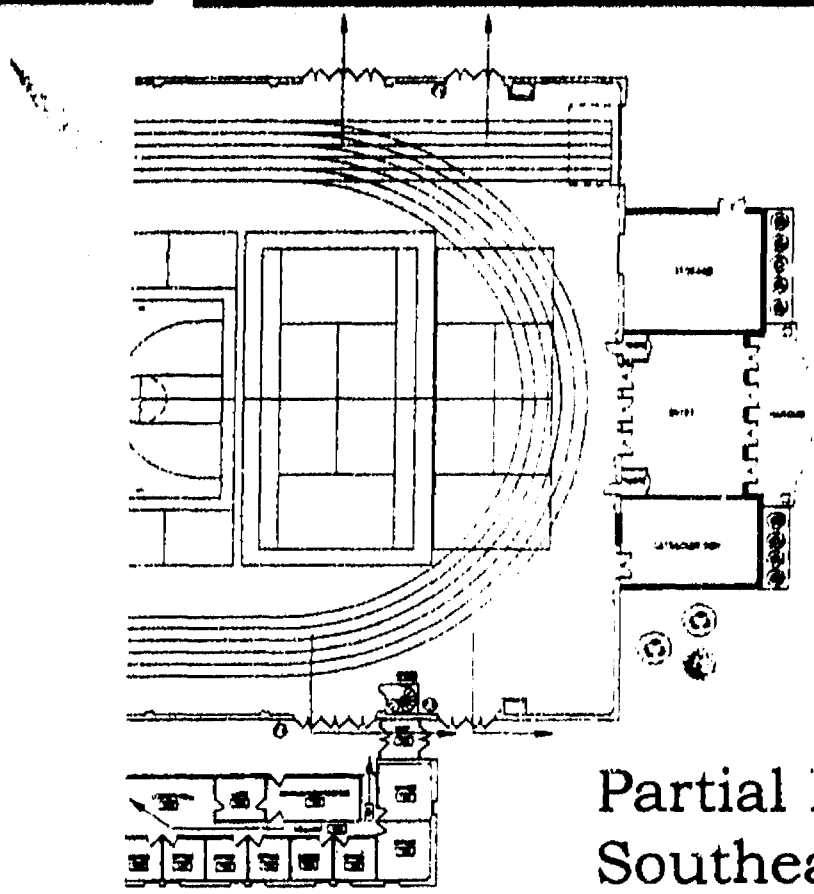
New East Entrance Foyer
New Storage Room
New Catbacker Booster Room

3,072 SF @ $110.00 / SF		$337,920
Site Work Allowance		$ 10,000
	SUBTOTAL	$347,920
	A/E Fees (est.)	$ 21,000
	TOTAL ADDITION	**$368,920**

EQUIPMENT

Portable Wood Flooring System	$150,000
Score Table and Player Chairs	$ 2,500
Track Runaway Runners (2)	$ 2,300
Volleyball Net System (2)	$ 8,000
EQUIPMENT	**$162,800**
TOTAL PROJECT	**$531,720**

NORTH DAKOTA STATE COLLEGE OF SCIENCE



Partial Floor Plan / Southeast View

Prepared by the North Dakota Legislative Council staff for Senate Appropriations
January 2, 2001

Department 227 - Bismarck State College
Senate Bill No. 2003

	FTE Positions	General Fund	Other Funds	Total
2001-03 Executive Budget	144.78	$15,017,975		$15,017,975
1999-2001 Legislative Appropriations	145.53[1]	15,256,622	$19,159,776	34,416,398[2]
Increase (Decrease)	(0.75)	($238,647)	($19,159,776)	($19,398,423)

[1] The 1999-2001 appropriation is based on 143.44 FTE positions. Section 6 of 1999 House Bill No. 1003 authorized the University System to adjust FTE positions as needed. The number of positions shown for the 1999-2001 biennium represents the number of positions reported to the Office of Management and Budget (OMB).

[2] The 1999-2001 appropriation amounts include the following general fund allocations for the institution's share of the funding pools appropriated to OMB and the University System:

- $9,726 of the $1.4 million funding pool appropriated to OMB for assisting agencies in providing $35 per month minimum salary increases in July 1999 and July 2000.
- $76,511 of the $2,630,992 critical salary funding pool appropriated to the University System to provide market and equity increases, in addition to legislatively appropriated salary increases.
- $334,250 of the $4,290,128 equity and special needs funding pool appropriated to the University System to address equity funding issues and academic program needs.

The 1999-2001 appropriation amounts do not include additional spending authority resulting from Emergency Commission action, other University System allocations occurring during the 1999-2001 biennium, and spending authority carried over from the 1997-99 biennium.

Major Items Affecting Bismarck State College 2001-03 Budget

	General Fund	Other Funds	Total
1. Removes requested appropriations for the following and provides, in Section 3 of Senate Bill No. 2003, that all income from federal, private, and other sources is appropriated to the institutions under the control of the State Board of Higher Education:			
Tuition income		($7,952,200)	($7,952,200)
Miscellaneous income		(277,276)	(277,276)
Income from continuing education activities		(500,000)	(500,000)
Local funds		(11,450,000)	(11,450,000)
Total		($20,179,476)	($20,179,476)
2. Increases, from $236,835 to $250,000, funding for extraordinary repairs.	$13,165		$13,165
3. Decreases, from $722,000 to $0, funding for capital construction projects.	($272,000)	($450,000)	($722,000)
4. Deletes .75 FTE unclassified position.	($122,099)		($122,099)
5. Replaces salaries and wages, operating expenses, and equipment line items with a new line item entitled operations.			
6. Provides no funding to the institution for recommended salary increases; the appropriation for recommended salary increases is included in the executive recommendation for the North Dakota University System office as part of the $19.4 million equity/special needs funding pool.			

Major Legislation Affecting Bismarck State College

Senate Bill No. 2037 - This bill provides a continuing appropriation to the State Board of Higher Education of all moneys received by institutions of higher education, including tuition income, and allows institutions to carry over unspent general fund appropriation authority.

Senate Bill No. 2038 - This bill requires that the budget request for the University System include requested amounts for base-, initiative-, and asset-funding components and requires that the executive budget appropriations bill for the University System include recommended appropriations for those components.

Senate Bill No. 2039 - This bill allows the State Board of Higher Education to authorize campus improvements and building maintenance projects financed by donations, gifts, grants, and bequests if the cost of the improvement or maintenance is not more than $500,000.

Senate Bill No. 2040 - This bill provides that bonuses, cash incentive awards, and temporary salary adjustments provided to employees of the North Dakota University System are excluded from the definition of a fiscal irregularity.

Prepared by the North Dakota Legislative Council staff for Senate Appropriations
January 15, 2001

Department 227 - Bismarck State College
Senate Bill No. 2003

	FTE Positions	General Fund	Other Funds	Total
2001-03 Schafer Executive Budget	144.78	$15,017,975		$15,017,975
1999-2001 Legislative Appropriations	145.53[1]	15,256,622	$19,159,776	34,416,398[2]
Increase (Decrease)	(0.75)	($238,647)	($19,159,776)	($19,398,423)

2001-03 Hoeven Executive Budget	144.78	$15,017,975		$15,017,975
Hoeven Increase (Decrease) to Schafer	0.00	$0	$0	$0

[1] The 1999-2001 appropriation is based on 143.44 FTE positions. Section 6 of 1999 House Bill No. 1003 authorized the University System to adjust FTE positions as needed. The number of positions shown for the 1999-2001 biennium represents the number of positions reported to the Office of Management and Budget (OMB).

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The 1999-2001 appropriation amounts do not include additional spending authority resulting from Emergency Commission action, other University System allocations occurring during the 1999-2001 biennium, and spending authority carried over from the 1997-99 biennium.

Major Schafer Recommendations Affecting Bismarck State College 2001-03 Budget

	General Fund	Other Funds	Total
1. Removes requested appropriations for the following and provides, in Section 3 of Senate Bill No. 2003, that all income from federal, private, and other sources is appropriated to the institutions under the control of the State Board of Higher Education:			
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Major Hoeven Recommendations Affecting Bismarck State College 2001-03 Budget Compared to the Bill as Introduced (Schafer Budget)

The Hoeven budget recommendation does not change the Schafer executive budget recommendation for this agency.

Major Legislation Affecting Bismarck State College

Senate Bill No. 2037 - This bill provides a continuing appropriation to the State Board of Higher Education of all moneys received by institutions of higher education, including tuition income, and allows institutions to carry over unspent general fund appropriation authority.

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Prepared by the North Dakota Legislative Council staff for House Appropriations
February 27, 2001

Department 227 - Bismarck State College
Senate Bill No. 2003

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2001-03 Schafer Executive Budget	144.78	$15,017,975		$15,017,975
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Major Legislation Affecting Bismarck State College

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Senate Bill No. 2040 - This bill provides that bonuses, cash incentive awards, and temporary salary adjustments provided to employees of the North Dakota University System are excluded from the definition of a fiscal irregularity.

Summary of Legislative Changes to Bill as Introduced

See attached Statement of Purpose of Amendment.

Major Challenges
Budget Information

Mr. Dave Clark
Vice President, Operations and
Corporate & Continuing Education

Major Challenges Facing BSC

- Meeting development and training needs of business and industry
  - Significant start-up costs
  - Limited resources
  - Lack of facility such as Skills Center, Fargo
- Technology
  - Increasing investment to manage administrative functions
  - Needed for teaching-learning activities
  - Ongoing training for faculty and staff

Major Challenges Facing BSC

- Distance Education
  - Internet courses raising expectations of students
  - Ongoing resources needed to develop, maintain and deliver courses
  - Administrative systems are inadequate

Major Challenges Facing BSC

- Meet educational needs of constantly changing society
  - Develop new programs
  - Update existing programs
  - Partner with business/industry/healthcare industry
  - Higher priority than in past

Major Challenges Facing BSC

- Instructional budget
  - More faculty needed
    - to provide more class offerings
    - to provide individual student attention
  - Instructional support staff needed
    - areas such as Library and IVN experiencing increased usage through collaborative programs

Major Challenges Facing BSC

- Enrollment growth/Space needs
  - 23% enrollment increase in five years
  - Collaborative programs growing
    - Results in use of facilities by 3,500 students
  - Some Voc-Tech program enrollments are capped because of lack of space
  - Workforce training needs expected to grow 10% per year

Major Challenges Facing BSC

- Equipment for technical programs
  - Need to stay up-to-date so students are prepared to compete in job market
  - Besides computer technology, some programs have additional equipment needs
    - Electronics Technology
    - Automotive Technology

Major Challenges Facing BSC

- Workforce Training
  - Successful implementation will require increased state support
  - Some training occurs on campus, causing use of space and resources not reflected in credit enrollment
    - More than 3,000 non-credit students served on campus

Presentation to N.D. Senate Appropriations Committee
By Bismarck State College
President Donna S. Thigpen
January 4, 2001

Chairman Nething and Members of the Committee:

My name is Donna Thigpen and I am president of Bismarck State College. I appreciate the opportunity to highlight the accomplishments and needs of Bismarck State College. We plan a brief presentation. I will give you an overview of the college and highlight our accomplishments, showing how they relate to the Cornerstones of the Roundtable report. Dave Clark will present the major challenges we face and our budget request.

We also have three other individuals who will share their perceptions of Bismarck State College. With us today are two students, Karlee Smith and George Belohlavek, and the president of the Bismarck-Mandan Development Association, Russ Staiger.

I would like to direct your attention to the slide show for a quick overview of the college.

BISMARCK-MANDAN
DEVELOPMENT ASSOCIATION
701-222-5530 • fax 701-222-3843 • 1-888-222-5497 info@bmda.org • www.bmda.org

January 3, 2001

To: Chair and Members of Senate Appropriations Committee
Harvest Room-State Capitol

From: Russell Staiger, President
Bismarck-Mandan Development Association

Re: Testimony in support of Senate Bill No. 2003
Bismarck State College Appropriation

I wish to take this opportunity to express the unqualified support of the Bismarck-Mandan Development Association for the Bismarck State College Appropriation as included in Senate Bill No. 2003.

The recent news release reporting that North Dakota's statewide population had grown by approximately one half of one percent was very good news. This preliminary report did not provide any details in terms of where that growth had occurred or in what age groups. As small a growth figure as this is, it is positive news, given all of the very discouraging reports we were hearing prior to the census.

Until we see the final detailed figures of the 2000 Census sometime in March, 2001, we can only speculate on those details. There are some facts that do give us some pretty good ideas where that growth is going to be concentrated. It most likely will be in the larger communities lead by Bismarck-Mandan and the Fargo metro area. These larger communities provide an opportunity for the shifting rural population to secure solid employment opportunities without having to leave the state for Minneapolis, Denver or the West Coast.

For these communities and specifically Bismarck-Mandan, to be able to continue to provide new and better job opportunities, we have to be able to provide qualified employment training and retraining of this shifting rural population as well as for the current work force of the community. This is possible through the excellent academic and technical training services offered by Bismarck State College. This training is assisting both existing employers as they grow and provide additional employment opportunities, as well as aiding in our continuing efforts to recruit new business and industry to the community and the surrounding rural area communities.

Without the training services provided by Bismarck State College, the task of assisting existing employers find qualified employees or of attracting new employers to the community would be extremely difficult, if not impossible. With unemployment rates at less than 2.0%, we must prove without question that: 1) We do have a substantial

underemployment problem and 2) That we have the training resources of Bismarck State College to train or retrain these underemployed persons to handle high skill jobs.

Bismarck State College has allowed us to do this. We have a long list of positive stories from both existing employers and new business and industry that are or have looked at Bismarck-Mandan's work force. If time permitted I would like to share with you the experience we had with Strong Capital Management this past summer.

Through the Southwest Workforce Training Center, Bismarck State College is extending training assistance to the entire southwest region of the State. Major employers of Dickinson have turned to Bismarck State College in partnership with facilities at Dickinson State University to help meet their specific training needs. This is a relationship that never existed prior to Dr. Donna Thigpen's initiative that resulted in the creation of the statewide work-force training program.

The reality is that through the initiative and leadership of Dr. Thigpen, Bismarck State College has become the model work-force training center in the State of North Dakota. Bismarck State College has become the example of what a two-year college should be in terms of delivering both academic and skilled work force training.

The support of Bismarck State College has been central to all of the economic growth successes that we have realized in Bismarck-Mandan and much of southwest North Dakota. They are certainly key in future projects which we are working on like the pre-permitting of at least two sites of 400 to 600 acres each for the purpose of attracting high tech production activities like semiconductor production. Companies like this have three basic requirements: 1) Extensive energy needs of up to 90 megawatts, 2) High volumes of water reaching as much as 9,000,000 gallons per day, and 3) Trained work force which over a period of 4 to 6 years can reach 4,000 persons, 60% of which are skilled technicians and 40% are engineers.

As you all know, the first two items are in abundant supply. It is being able to prove we can provide a highly skilled work force of trained technicians as well as professional engineers that will put us to the test. The Bismarck-Mandan Development Association would not have started our process of pre-permitting two sites at a cost of approximately $250,000 if we were not certain of Bismarck State College's ability to provide the skilled training that is necessary as well as serving as a gateway to the other institutions of higher learning in North Dakota to provide the engineers.

Once one of these projects is in place we will be assured of retaining even more of the State's population in future Census counts. This is the key to the future of North Dakota's economic growth and renewed population growth.

Mr. Chairman and members of the Senate Appropriations Committee, I strongly urge you to provide a "DO PASS" on the Bismarck State College section of the Senate Bill No. 2003.

BISMARCK STATE COLLEGE

Presentation to
Senate Appropriations Committee
Senate Bill 2003

57th North Dakota Legislative Assembly

Same as presentation to House Approp with exception of the following pages

BISMARCK STATE COLLEGE

BSC

2001-2003 Budget

BUDGET SUMMARY

As an introduction to a discussion about the budget, we feel it's important to relate to you the critical issues facing Bismarck State College. BSC's strategic planning process is linked to internal resource allocation. We feel this discussion provides insight into the way budgetary decisions are being made on our campus.

A common theme of our planning process and the Legislative Council Higher Education Roundtable Report is that BSC and the other colleges in the NDUS need to focus more on meeting the education, development and training needs of business and industry in our service region. As a result, there will be a greater demand placed on our resources as we revise existing programs and develop new programs designed to meet those needs.

Technology

Technology is a critical issue. An ever-increasing investment in technology is required by the college in order to manage the administrative functions of the college and to ensure that the appropriate use of technology is incorporated into teaching-learning activities. The acquisition and support of appropriate technology is a high priority at BSC and within NDUS. The installation, maintenance, and day-to-day operational issues are all important functions. In conjunction with the acquisition, use, and maintenance of both hardware and software, there is another cost associated with the use of technology, and that is the need for faculty and support staff to be trained to use that technology. This continuous training is costly and must also be considered in the budgeting process. As has been noted in many recent publications, North Dakota is experiencing a critical shortage of individuals with skills in information technology. Thus ongoing training of current employees is vital to make productive use of new technology.

Distance Education

Closely linked with the need for cutting edge technology in the computer area is the need for the resources required to maintain and expand opportunities for distance education. While the IVN system meets some of those needs, the explosion of other types of distance education opportunities such as Internet courses are raising the expectations of our students. Thus, if we are to meet our students' expectations, it will take a significant ongoing allocation of resources to develop, maintain, and deliver these courses using technology.

New Programs

New programs must be developed to meet the needs of a constantly changing society. Existing programs must be updated and re-equipped to stay with current business practices. We are attempting through our strategic planning process to align instructional programs more closely with the needs of the Bismarck/Mandan community and the region we serve. BSC has worked closely with the health care industry to provide an educated workforce. New initiatives include a certified nursing assistant program, surgical technician program, practical nursing program and an EMT paramedic program. Partnering with business and industry for the delivery of programs will become the norm in the future. The rapid changes that are occurring because of technology and because of the new occupations that are constantly emerging, require that a much higher priority be given to program development and revision than in the past.

Budgets

Instructional budgets could utilize more faculty to provide more class offerings and more individual student attention. Instructional support areas including the Library and IVN are experiencing stress as a result of increased utilization. The increasing enrollments impact on our physical plant. The campus is utilized from 7 a.m. to 10 p.m. during the school week and the facilities reflect the heavy utilization.

Enrollment Growth/Space Needs

Bismarck State College has experienced a 23% increase in students in the past five years. This past fall we served 2,838 students. In addition there are 689 other graduate, baccalaureate and secondary students being served on campus through cooperative programs with Minot State, Dickinson State, Lake Region State, State College of Science and the Bismarck School District. Thus, in reality, we are serving a student enrollment of 3,527. These types of collaborative programs will expand and draw even more students to the campus of Bismarck State College.

Enrollment trends also indicate that BSC has become the school of choice for many students in our primary service region. We are getting a greater percentage of graduates from schools in 16 counties that are adjacent to Bismarck/Mandan. Most of our enrollment growth reflects this situation. The enrollment demographics indicate the potential for this continued enrollment growth due to increase graduating class sizes the next four years from our service region and a higher percentage of these students attending BSC.

Due to enrollment growth and the collaborative program offerings, Bismarck State College has a great need for additional space. Many of our trade/technical programs have enrollment caps and students are on waiting lists to get in. There currently is no

ability to grow our welding, power and process plant technology, lineworker, commercial art, auto technology and carpentry programs. In addition, workforce training opportunities are severely limited due to lack of space. BSC expects our workforce training initiative will grow in excess of 10% per year. The number of training events and businesses served will increase as marketing efforts are accomplished and as the economic activity in our region expands.

Vocational Equipment

Up-to-date equipment in all of our vocational technical programs is essential if we are to fulfill our mission. Our students must be able to compete for jobs locally, regionally and nationally upon graduation. This will only be possible if these students are trained in the technology and on the equipment currently being used in business and industry. It should be noted that in addition to the need for computer technology, BSC has a number of vocational programs such as automotive technology and electronics that require a significant investment in order to keep the equipment current in those programs.

Workforce Training

Another program of growing enrollment and opportunity is in workforce training offered through our Corporate and Continuing Education Division (CCE). These students are primarily receiving non-credit instruction and thus are not included in our enrollment history or projections. This past year more than 3,000 CCE students were served on the campus of Bismarck State College.

This past legislative session, the community colleges were given the responsibility for workforce training. BSC has the south central and southwest quadrant of the state. It is our expectation that training opportunities will increase as marketing efforts are accomplished and staffing commitments are met. Having the resources to partner with economic development endeavors should also have a positive effect.

The successful implementation of the workforce training initiative will require on-going state resource support. The primary focus in the 1999-2001 biennium has been to expand staff infrastructure. A satellite location has been established in Dickinson. The CCE workforce training division will concentrate on building business partnership relationships and open enrollment offerings to meet the needs of small businesses (50 employees or less) while maintaining service to larger businesses. We will emphasize expanded outreach services to rural areas within our region.

2001-03 Budget - General Fund

Line Item	1999-01 Present Budget	2001-03 Needs Based Budget Request	2001-03 Executive Budget Recommendation	Inc. (Dec.)
Operations	$14,862,122	$15,021,997	$14,767,975	(254,022)
Capital Assets	485,519	411,760	250,000	(161,760)
TOTAL	15,347,641	15,433,757	15,017,975	(415,782)

The budget summary as presented compares our current 1999-01 general fund budget to the 2001-03 needs based general fund budget request and the 2001-03 general fund executive recommendation. As you can see, the general fund budget recommendation was reduced $415,782 from the budget request. When the increase in the budget due to health insurance premiums is factored in, the total reduction is $651,674. Thus all proposed initiatives utilizing increased general fund support were eliminated from the budget recommendation. Cuts totaling $489,915 consist of the following requests:

- Cost to continue increases of $92,005. These funds were to be used to fund our existing Surgical Technician and Practical Nursing programs with general funds.

- Cost to continue increase of $30,820. This was to be dedicated for risk management premiums.

- Institutional innovation funds of $112,500 which were dedicated for support services to our minority student population and partial general fund support for a cooperative EMT paramedic program with St. Alexius Medical Center and Fargo-Moorhead Ambulance. This cooperative program will still be initiated by BSC. The effect of this cut is the likelihood of higher tuition to sustain the program.

- Technology application funds of $254,590. This general fund request was to provide server clustering to increase network and file server reliability, to provide better support services for a growing number of distance education students, to provide video conferencing capabilities and to enhance an Engineering Aide program.

The cost to continue requests are not new initiatives and thus, the expenses will need to be funded in some manner. The institutional innovation and technology request are new initiatives. If general funds are not provided, these activities will be funded only

if tuition revenues increase and our internal priorities indicate an absolute need to proceed.

The difference between the total reduction of $651,674 and the identified cuts of $489,915 is approximately $160,000. Attachment 1 indicates the effect this reduction has on BSC general fund operations. Base general fund operating dollars are reduced by $174,925 and capital assets are increased by $13,165.

The overall result is that general fund support for BSC operations declines by $174,925 at a time when student enrollments have increased 23% since 1995. This effect on our operational budget is due to BSC having dedicated current biennium excess tuition revenue to plant improvements. In the SIBR budget request, all income is reported in the operating line item for ease of reporting and consistency purposes. OMB in its budget deliberations did not consider the actual source of funds in the capital asset line item, and made a general fund reduction of $161,760. Because our general fund capital asset line item had only $236, 835, there were not enough general funds to make the reduction and still have a $250,000 executive recommendation for capital assets. It forces BSC to make the reduction in our operations line item as indicated in Attachment 1. This is a cut in our general fund base level funding.

BSC dedicated current biennium tuition to one-time capital projects and expenses. Due to the recommended budget adjustment, we are now being penalized by having to dedicate those same funds to a base level operating cut. We lose the flexibility of the use of those funds. In our budgeting process we planned for the hold-even budget scenario. This 1.2% general fund operating base reduction was not expected.

I'm not sure if OMB was aware of the effect of a capital asset reduction on our operational budget. We bring this issue to your attention and ask for restoration of $161,760 in your budget deliberations. We don't think any other campus was affected in this way by a budget reduction.

BSC is supportive of many aspects of the executive budget:

- Removal of all income, including tuition, from the appropriations process.
- Provision of a single line item for operational purposes.
- Compensation package and salary equity funds - NDUS budget - $14.3 million
- Technology investments (statewide network distance learning) - NDUS budget - $6 million

- Campus parity and equity funds - NDUS budget - $2.5 million
- Campus new initiatives programs - NDUS budget - $2.5 million
- Technology infrastructure administrative system replacement - Information Technology budget - $20 million
- Continuation of family health insurance plan - BSC budget - $235,892.

It should be noted that our budget request did include approximately $300,000 for a multipurpose facility to meet a variety of campus needs, including receiving, storage, mail room, office space for the director of physical plant and a heated repair area for vehicles and equipment. This facility would be funded from tuition collections. This project is a high priority on campus. The reduction of our extraordinary repair capital asset line item to $250,000 may affect this project. BSC has special assessments of $91,500 next biennium. Thus the remaining budget is $158,500 for two years. This is a minimal amount for a growing campus infrastructure. Our intent if funding remains at this level, will be to reprioritize depending on tuition collections and campus need. The physical plant facility may still proceed with this scenario.

If additional funds do become available, BSC requests the following consideration:

- Reinstate operating funds base reduction.
- Continuation of second year salary increase due to cost to continue not being funded.
- Workforce training funding. The business plans presented as part of the GNDA initiative indicated an increase in general funds of $475,000 statewide. This funding is part of the Board for Vocational Education budget. This funding increase is necessary to allow the workforce training regions to provide equal access training opportunities in the most rural areas of the state. The more populated areas have the critical mass needed to provide training and make it financially feasible. If this additional funding is not provided it is BSC's concern that the cost for training in the most rural areas of the state will be prohibitive.
- Other fiscal amendments proposed by the NDUS.

Capital Project - Schafer Hall

The executive recommendation deleted funding for the renovation of the west side of the 2nd and 3rd floors which consists of approximately 12,000 sq. ft. The requested budget amount was $1,394,500. This project is ranked second on the Board of Higher Education priority list for capital projects. (See Attachment 2.) Parts of the Schafer Hall renovation master plan have been previously funded. Phase I included renovation of the science laboratory areas in Schafer Hall. Last session we received funding for the Leach Music Center addition and for the hallways on the 2nd and 3rd floors.

This funding would provide:

- 6 new classrooms
- 10 new faculty offices
- administrative office complex
- adjunct instructor offices
- asbestos abatement
- ADA compliance

The cost estimate is as follows:

Asbestos abatement	$ 288.500
General construction	439,000
Mechanical construction	157.000
Electrical construction	183,000
Contingency	107,500
Equipment/Furnishings	131,000
AE Fees	88,500
	$1,394,500

If funds are not available for both floors, the architect has indicated that the individual floor cost would be 50%. We have included our campus master plan narrative in your packet of materials for a more detailed description and rationale for the project. (See Attachment 3.)

In closing, we would like to say thank you for your support of Bismarck State College.

3-7-01
SB 3003

Presentation to N.D. House Appropriations Committee
Education and Environment Division
By Bismarck State College
President Donna S. Thigpen
March 7, 2001

Chairman Wentz and Members of the Committee:

My name is Donna Thigpen and I am president of Bismarck State College. I appreciate the opportunity to highlight the accomplishments and needs of Bismarck State College. We plan a brief presentation. I will give you an overview of the college and highlight our accomplishments, showing how they relate to the Cornerstones of the Roundtable report. Dave Clark will present the budget issues facing Bismarck State College.

We also have three other individuals who will share their perceptions of Bismarck State College. With us today are two students, Karlee Smith and George Belohlavek, and the president and CEO of Meyer Broadcasting, Judy Ekberg Johnson.

I would like to direct your attention to the slide show for a quick overview of the college.

Bismarck State College

- N.D. House Appropriations Committee
- Education and Environment Division
- March 7, 2001

Overview of BSC

Donna Thigpen
President
Bismarck State College

BSC at a Glance

- A comprehensive community college
- Established in 1939
- SBHE governance since 1984
- Campus – 100 acres
- Buildings – 9
- Gross square feet – 408,626

Programs

- Transfer
  - Curricula in more than 40 majors
- Technical
  - Career training in more than 25 programs
- Corporate & Continuing Education
  - Workforce training, computer training, professional development, personal enrichment, conferences

BSC Enrollment Fall 2000

- Headcount enrollment - 2,838
  - Increase of 23% since Fall 1995 – 525 students
  - 2,009 full time students
    - 26% increase since Fall 1995 – 411 students
  - 829 part time students
    - 16% increase since Fall 1995 – 114 students
- FTE - 2,203
  - Increase of 23% since Fall 1995

Online Enrollment Fall 2000

- Distance Education – Online Classes
  - 60 students enrolled in 6 classes in Fall 1998
  - 276 students enrolled in 54 classes in Fall 2000
    - 94 enrolled only in online classes
    - 181 enrolled in online and on-campus classes
  - Seat count of 761 in Fall 2000
  - 4 programs available online
    - Power Plant Technology
    - Process Plant Technology
    - Information Processing Specialist
    - Criminal Justice

Continuing Education Numbers

- More than 25,000 people served in FY2000
  - Includes non-credit classes
  - Includes one year of workforce training under the Legislative-mandated workforce training initiative
  - Duplicated count

BSC Accomplishments

- Plant Improvements during 1999-2001
  - Renovation of Schafer Hall hallways
  - Tom and Frances Leach Music Center addition under construction
    - Included successful fund-raising effort by BSC Foundation
  - Renovation of Student Union

Relates to Cornerstones 1-6

Accomplishments Cornerstone 1 Economic Development

- Completed 1st year goals of $612,000 National Science Foundation 3-year grant
  - BSC Power Plant assistant professors covened a national focus group to establish competencies and standards for electrical generation industry
  - Online curriculum upgraded, 53 students enrolled online in Fall 2000; 75-100 projected for Spring 2001
  - Began activities to create career awareness in power plant tech to women and minorities

Also relates to Cornerstones 3 and 4

Accomplishments Cornerstone 1 Economic Development

- Sponsored, with Senator Dorgan and others, an e-business conference
- Partnerships (selected)
  - Mid-Continent Area Power Pool
  - Northern States Power
  - Electric Power Research Institute
  - eCollege

Accomplishments Cornerstone 1 Economic Development

- Workforce Training Initiative
  - 239 businesses received training in FY2000
    - 51% increase in training hours
  - 42% requested additional training during the year
  - Developed a Medical Language Specialist online program

Accomplishments Cornerstone 2 Educational Excellence

- Began Surgical Technology Program
- Installed "smart classrooms" – faculty in each classroom building have access to technologically advanced audio and visual presentation equipment
- Signed agreement with NDSU regarding seamless transfer of engineering credits
  - Similar agreement exists with UND

Accomplishments Cornerstone 2 Educational Excellence

- Vocational-Technical programs met NDSBVE certification requirements
- Certified to teach Microsoft Certified Professional courses and Certified Cisco Networking Associate curriculum
- Arts & Communication Dept. sponsored ArtsQuest, a month-long celebration of music, literature, dance, art, theater

Accomplishments Cornerstone 2 Educational Excellence

- BSC designated a Learning College Champion by the League for Innovation in Community Colleges
- Phoenix Program that prepares women for nontraditional careers named 1999 Outstanding Program by Women Work
- Automotive Technology Program at Correctional Center nationally recognized for excellence for 6th year

Accomplishments Cornerstone 3 Flexible & Responsive System

- Developed programs requested by industry
  - Medical Language Specialist online program
  - EMT-Paramedic
  - Electrical Transmission Systems Technology
  - Practical Nursing

Accomplishments Cornerstone 3-Flexible & Responsive and Cornerstone 4-Accessible

- BSC increased distance learning opportunities
- As a result of BSC's leadership, eCollege provided a grant to expand and enhance our online programs
- BSC continues to provide office space for coordinators of System institutions offering baccalaureate and graduate programs on campus

Accomplishments Cornerstone 4 Accessible

- Collaborative/Cooperative Programs
  - UND Graduate Programs (93 students)
  - Minot State University - Bachelor Degrees
    - Criminal Justice (75)
    - Management (48)
  - ND State College of Science
    - Practical Nursing Program (14)
  - Lake Region State College
    - Legal Assistant Program (6)

Accomplishments Cornerstone 4 Accessible

- Collaborative/Cooperative Programs
  - Dickinson State University - Bachelor Degrees (53 students)
    - University Studies
    - English (Journalism minor)
    - Composite Social Sciences
    - Accelerated Bachelor Degree
  - Bismarck Public Schools - Secondary Vocational Programs (400)

Accomplishments Cornerstone 5 Funding & Rewards

- Enrollment increased 3.5 percent from Fall 1999 to Fall 2000
- Increased tuition collections as a result of per-credit hour tuition model
- Increased Corporate & Continuing Education revenues as a result of workforce training initiative

BSC Student Perspective

Ms. Karlee Smith
Minot, ND
Sophomore in Elementary Education

BSC Student Perspective

Mr. George Belohlavek
Mandan, ND
Sophomore in Computer Support Specialist program

Consumer Perspective

Ms. Judy Ekberg Johnson
President and CEO
Meyer Broadcasting

Budget Information

Mr. Dave Clark
Vice President, Operations and
Corporate & Continuing Education

Budget Issues Facing BSC

- Technology Investments
  - GNDA New Economy Initiative
  - ERP project needed
  - HECN no longer adequate

Budget Issues Facing BSC

- Distance Education
  - Internet courses raising expectations of students
  - Ongoing resources needed to develop, maintain and deliver courses
  - Administrative systems are inadequate
  - ERP funding will support distance education initiatives

Budget Issues Facing BSC

- Flexible and Accountable
  - Support Higher Education Committee Roundtable legislation
  - Retain campus tuition
  - Block grant appropriations
  - Single line item for operations
  - Performance and accountability measures identified and reported on

Budget Issues Facing BSC

- Meet educational needs of constantly changing society
  - Develop new programs
  - Update existing programs
  - Partner with business/industry/healthcare industry
  - Higher priority than in past

Budget Issues Facing BSC

- Instructional budget
  - More faculty needed
    - to provide more class offerings
    - to provide individual student attention
  - Instructional support staff needed
    - areas such as Library and IVN experiencing increased usage through collaborative programs

Budget Issues Facing BSC

- Enrollment growth/Space needs
  - 23% enrollment increase in five years
  - Collaborative programs growing
    - Results in use of facilities by 3,500 students
  - Some Voc-Tech program enrollments are capped because of lack of space
  - Workforce training needs expected to grow 10% per year

Budget Issues Facing BSC

- Equipment for technical programs
  - Need to stay up-to-date so students are prepared to compete in job market
  - Besides computer technology, some programs have additional equipment needs
    - Electronics Technology
    - Automotive Technology

Budget Issues Facing BSC

- Workforce Training
  - Successful implementation will require increased state support
  - Some training occurs on campus, causing use of space and resources not reflected in credit enrollment
    - More than 3,000 non-credit students served on campus
  - Lack of facility such as Skills Center, Fargo

Chairman Nething and members of the committee:

My name is Karlee Smith from Minot. I am currently a sophomore at Bismarck State College and President of the Bismarck State Board of Governors.

Bismarck State College was appealing to me because it was a smaller college, but it still had a lot of educational opportunities and extracurricular activities to offer. When I toured the campus I was very impressed with the facilities, especially the Jack Science Center.

Over the past few years Bismarck State College's enrollment has continued to increase, putting a stress on on-campus housing. There is only room for approximately 200 students to live on campus, while enrollment at Bismarck State is approximately 2,800 students. This demonstrates a definite need for more on campus housing.

In order to attract students to Bismarck State in the future we need to update our facilities at Bismarck State. Students are very appreciative of the computer labs, Sykes Student Success Center, and the multimedia classrooms in the Jack Science Center, as well as, the renovations of the Student Union.

Currently some of Bismarck State's executive offices are located off campus. I feel that it would be better if these offices were located on campus, so that the off campus staff might have more interaction with the students.

I have really enjoyed my time at Bismarck State and have found that coming here was definitely the right choice for me. By attending Bismarck State I was able to get involved in student government and become a resident assistant in the women's dorms. At Bismarck State I definitely feel like an individual, not just a number.

In the future I feel that Bismarck State will continue to grow because of its location, its size, the programs offered, the quality of education students receive, and the many activities and services offered to students at Bismarck State. The main reason that I am here before you today is that I have really enjoyed my time at Bismarck State and I would like to see that future students are able to have the same opportunities that Bismarck State has offered to me.

Bismarck State College Speech

George J. Belohlavek, BSC Student
2300 Cottontail way S.
Mandan, North Dakota 58554

Chairman Janet Wentz, Committee Members, and Guests:

(1) My name is George Belohlavek I am fifty-five years old and live in Mandan, North Dakota. I am a veteran and served 27 years with the North Dakota National Guard. In 1991, I was ordered to active duty in operation Desert Storm. During that conflict I was the First Sergeant with the 191st Military Police Guard Company from Mandan, North Dakota. I am employed at Midway Lanes part time and a full time student at Bismarck State College. I want to share with you the reason why I am attending Bismarck State College.

(2) I graduated from the eighth grade in1962 and received a GED in 1982 through the North Dakota National Guard. I worked thirty years for a family owned manufacturing company in Mandan. After sustaining a back injury I was unable to perform my job and I was not offered the opportunity to continue employment with that employer. My back injury is related to gulf War syndrome and many years of heavy lifting. This back injury is the primary reason why I went back to school.

(3) I wanted to continue working for at least another ten years, but definitely not at a fast food service place for minimum wage. I decided to go back to school to further my education and give myself the opportunity to find a better paying job in the computer support field. Being out of school for close to 40 years I knew that it would be a challenge.

(4) One month after back surgery I went to the Adult Education Center in Bismarck to increase my knowledge in general math and English skills that we have a tendency to forget when not using them everyday. I spent numerous hours learning how to type and learning basic computer skills. It actually was a step in the right direction because these skills were an asset to me when I finally enrolled at Bismarck State College.

(5) Bismarck State College was my first choice for school because of the location. I soon found out that the faculty and staff were excellent in every way. Questions that I had were answered efficiently and I feel they gave me direction in obtaining all the information needed. Dedicated teachers work with the students to

accomplish the educational process. The Sykes Student Success Center at Bismarck State College was an asset to me and I spent hours working with the tutors preparing for classes. The tutoring staff was always friendly and easy to work with. The tutors were knowledgeable in the areas where I needed help. They would even come in after hours too assist me when studying for tests and finals. The Student Success Center was one major part of my success in accomplishing the goals I set for myself.

(6) It may be helpful in the future that the older than average students organize a support group. This support group could share their experiences with other older than average students and it may eliminate the feeling of being isolated. I know that it would have been beneficial for me, because competing with the younger generation student was not an easy task.

(7) Taking classes on line is a beneficial way to learn and students at Bismarck State College have that opportunity. I took one course online last summer, and it was easy. I did all the assignments on my computer at home. Assignments were corrected and back to me without any delays. If you have a problem the ease of obtaining help is only a click away. Registration over the Internet is a great asset and students can use it during registration times.

(8) My quest to obtain an Associated Applied Science Degree with a major in Computer Support is not finished yet. I have one more semester of work to accomplish my goal. I am pleased to have the opportunity to attend Bismarck State College. It definitely is an asset to the Bismarck and Mandan area. I would recommend this college to all interested to better themselves and their community.

(9) One problem I noticed at the college is the congestion with the parking lots at Bismarck State College. The parking areas are not efficient for the increasing demand for parking space. I would also like to see a sidewalk on the south side of the school north of the law enforcement center. I feel that it is a safety hazard because you must walk on the street to get to the sidewalk that goes to Schafer hall.

(10) Attending Bismarck State College was the right choice for me. My confidence has definitely increased due to the education I received here at Bismarck State College.

(11) Thank you very much for giving me the opportunity to speak with you about myself, the Bismarck State College complex, and the wonderful faculty and staff that are employed at the college. THANK YOU!

BISMARCK STATE COLLEGE

Presentation to
House Appropriations Committee
Education and Environment Division
Senate Bill 2003

57th North Dakota Legislative Assembly

BISMARCK STATE COLLEGE

BSC

2001-2003
Budget

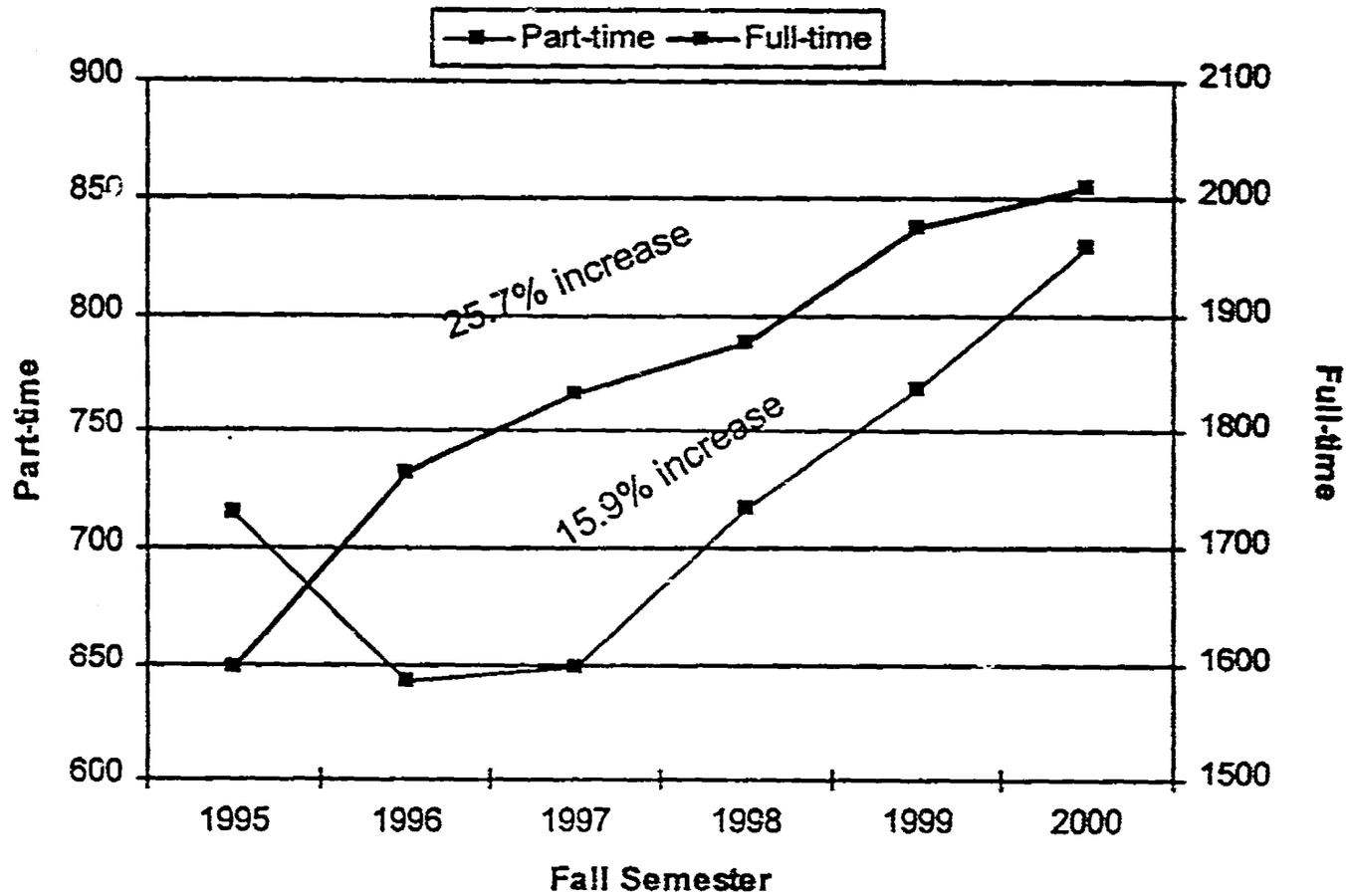
BISMARCK STATE COLLEGE FACTS

... at a glance

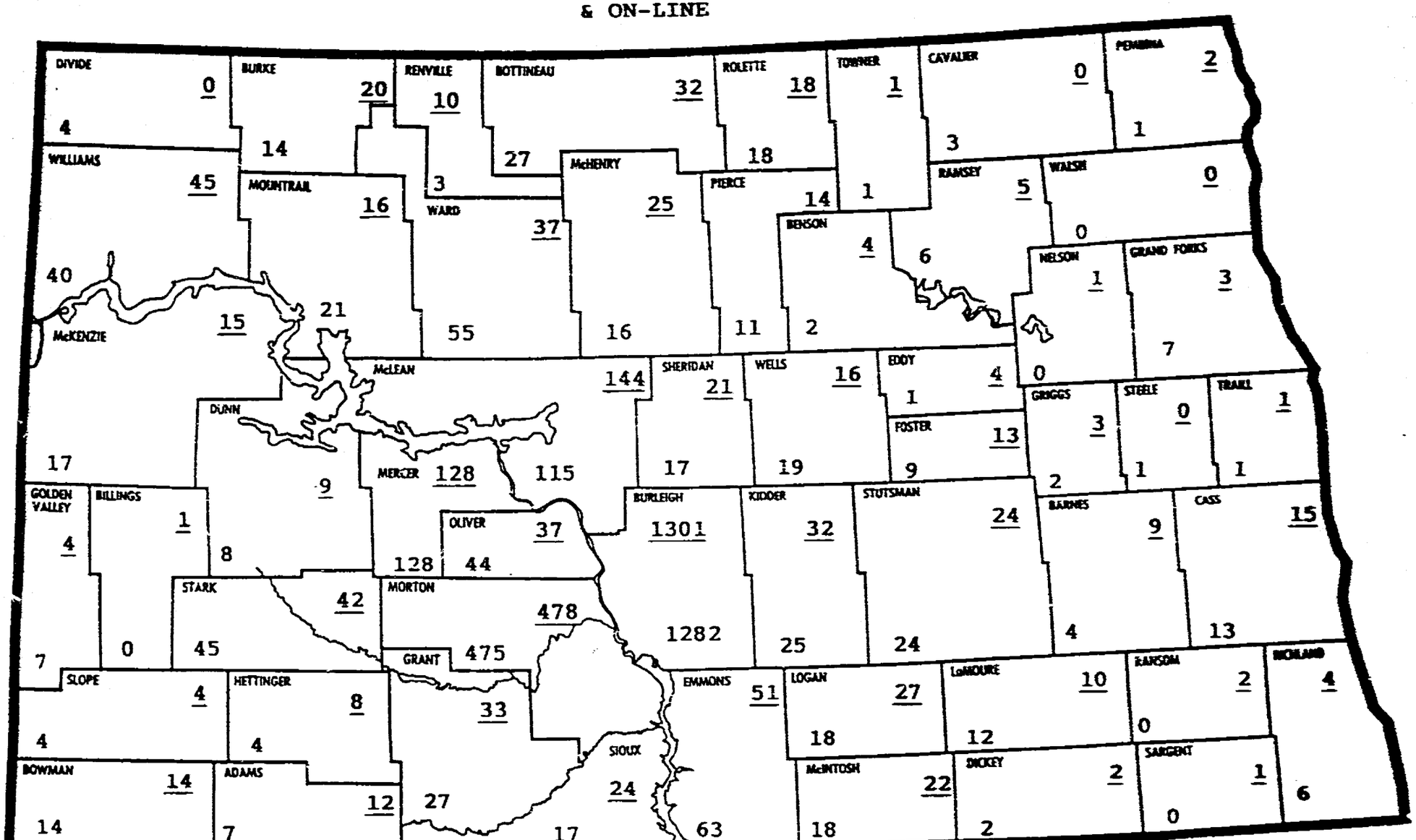
Bismarck State College is a comprehensive community college and a member of the North Dakota University System.

History:	Created in 1939 as a city junior college; Governance by N.D. State Board of Higher Education began July 1, 1984
On Campus and Online Credit Enrollment Fall 2000:	2,838 total credit students; 2,009 full-time, a 26% increase over fall 1995; 829 part-time, a 16% increase over fall 1995
Non-credit Enrollment:	25,742 duplicated headcount served in 1999-2000.
On Campus Credit Student Profile:	96.9% North Dakota Residents, 62% Burleigh and Morton County, 49 of 53 counties represented at BSC, 49% male, 51% female, 24% are 25 years or older
Online Credit Student Profile:	91.7% North Dakota Residents, 51% Burleigh and Morton County, 19 of 53 counties represented at BSC.
Tuition and Fees Per Semester: (assuming 15 credit hours)	N.D. resident: $985.01 in 2000-01
Programs:	Transfer - 40 majors available; Vocational-Technical - 25 programs
Degrees:	Transfer students - associate in arts and associate in science; Vocational-Technical students - certificate, diploma, and associate in applied science degree
Offerings of Division of Corporate and Continuing Education:	Continuing education courses and seminars; non-credit and personal enrichment courses; certification; conference coordination services; workforce training for business and industry; and other programs

Bismarck State College
Student Status Analysis



FALL 2000 ON-CAMPUS ENROLLMENT
& ON-LINE



1999	OUT OF STATE	79	2000	OUT OF STATE	84
1999	FOREIGN	6	2000	FOREIGN	10
1999	TOTAL	2743	2000	TOTAL	2838

** Numbers typed in the lower left corner of each county are for 1999.

BUDGET SUMMARY

As an introduction to a discussion about the budget, we feel it's important to relate to you the critical issues facing Bismarck State College. BSC's strategic planning process is linked to internal resource allocation. We feel this discussion provides insight into the way budgetary decisions are being made on our campus.

A common theme of our planning process and the Legislative Council Higher Education Roundtable Report is that BSC and the other colleges in the NDUS need to focus more on meeting the education, development and training needs of business and industry in our service region. As a result, there will be a greater demand placed on our resources as we revise existing programs and develop new programs designed to meet those needs.

BUDGET ISSUES FACING BSC:

Technology Investments

Technology is a critical issue. An ever-increasing investment in technology is required by the college in order to manage the administrative functions of the college and to ensure that the appropriate use of technology is incorporated into teaching-learning activities. The acquisition and support of appropriate technology is a high priority at BSC and within NDUS. That is why the Enterprise Resource Planning system, or ERP, contained in the Information Technology Division budget - SB2022 - is so important. SB2022 provides $11.5 million to support the ERP project. These funds would be used to replace our student service and administrative software systems, developed in the 70's and 80's by the NDUS. This funding will allow the NDUS to fully partner in the GNDA New Economy initiative. HECN is not flexible and results in manual processes and shadow systems to facilitate our needs.

Distance Education

Closely linked with the need for cutting edge technology in the computer area is the need for the resources required to maintain and expand opportunities for distance education. While the IVN system meets some of those needs, the explosion of other types of distance education opportunities such as Internet courses are raising the expectations of our students. Thus, if we are to meet our students' expectations, it will take a significant ongoing allocation of resources to develop, maintain, and deliver these courses using technology.

Flexible and Accountable

The Higher Education Roundtable adopted the major theme that in managing resources campuses should have flexibility with accountability. This legislation would delegate responsibility and authority for use of resources to the NDUS in exchange for adherence to agreed-upon procedures for demonstrating accountability. BSC needs to act entrepreneurially in pursuit of resources from the private sector and sources outside the state. The Roundtable legislation will allow campuses the flexibility to allocate resources in a timely and more realistic manner that responds to rapidly changing demands.

New Programs

New programs must be developed to meet the needs of a constantly changing society. Existing programs must be updated and re-equipped to stay with current business practices. We are attempting through our strategic planning process to align instructional programs more closely with the needs of the Bismarck/Mandan community and the region we serve. BSC has worked closely with the health care industry to provide an educated workforce. New initiatives include a certified nursing assistant program, surgical technician program, practical nursing program and an EMT paramedic program. Partnering with business and industry for the delivery of programs will become the norm in the future. The rapid changes that are occurring because of technology and because of the new occupations that are constantly emerging, require that a much higher priority be given to program development and revision than in the past.

Budgets

Instructional budgets could utilize more faculty to provide more class offerings and more individual student attention. Instructional support areas including the Library and IVN are experiencing stress as a result of increased utilization. The increasing enrollments impact on our physical plant. The campus is utilized from 7 a.m. to 10 p.m. during the school week and the facilities reflect the heavy utilization.

Enrollment Growth/Space Needs

Bismarck State College has experienced a 23% increase in students in the past five years. This past fall we served 2,838 students. In addition there are 689 other graduate, baccalaureate and secondary students being served on campus through cooperative programs with Minot State, Dickinson State, Lake Region State, State College of Science and the Bismarck School District. Thus, in reality, we are serving a student enrollment of 3,527. These types of collaborative programs will expand and draw even more students to the campus of Bismarck State College.

Enrollment trends also indicate that BSC has become the school of choice for many students in our primary service region. We are getting a greater percentage of graduates from schools in 16 counties that are adjacent to Bismarck/Mandan. Most of our enrollment growth reflects this situation. The enrollment demographics indicate the

potential for this continued enrollment growth due to increased graduating class sizes the next four years from our service region and a higher percentage of these students

Due to enrollment growth and the collaborative program offerings, Bismarck State College has a great need for additional space. Many of our trade/technical programs have enrollment caps and students are on waiting lists to get in. There currently is no ability to grow our welding, power and process plant technology, lineworker, commercial art, auto technology and carpentry programs. In addition, workforce training opportunities are severely limited due to lack of space. BSC expects our workforce training initiative will grow in excess of 10% per year. The number of training events and businesses served will increase as marketing efforts are accomplished and as the economic activity in our region expands.

Vocational Equipment

Up-to-date equipment in all of our vocational technical programs is essential if we are to fulfill our mission. Our students must be able to compete for jobs locally, regionally and nationally upon graduation. This will only be possible if these students are trained in the technology and on the equipment currently being used in business and industry. It should be noted that in addition to the need for computer technology, BSC has a number of vocational programs such as automotive technology and electronics that require a significant investment in order to keep the equipment current in those programs.

Workforce Training

Another program of growing enrollment and opportunity is in workforce training offered through our Corporate and Continuing Education Division (CCE). These students are primarily receiving non-credit instruction and thus are not included in our enrollment history or projections. This past year more than 3,000 CCE students were served on the campus of Bismarck State College.

This past legislative session, the community colleges were given the responsibility for workforce training. BSC has the south central and southwest quadrant of the state. It is our expectation that training opportunities will increase as marketing efforts are accomplished and staffing commitments are met. Having the resources to partner with economic development endeavors should also have a positive effect.

The successful implementation of the workforce training initiative will require on-going state resource support. The primary focus in the 1999-2001 biennium has been to expand staff infrastructure. A satellite location has been established in Dickinson. The CCE workforce training division will concentrate on building business partnership relationships and open enrollment offerings to meet the needs of small businesses (50 employees or less) while maintaining service to larger businesses. We will emphasize expanded outreach services to rural areas within our region.

2001-03 Budget - General Fund

Line Item	1999-01 Present Budget	SB2003	Inc. (Dec.)
Operations	$14,862,122	$14,767,975	(94,147)
Capital Assets	485,519	250,000	(235,519)
TOTAL	15,347,641	15,017,975	(329,666)

The budget summary as presented compares our current 1999-01 general fund budget to the Senate version of our 2001-03 general fund budget. As you can see, the general fund budget recommendation was reduced $329,666 from the current budget. When the increase in the budget due to health insurance premiums is factored in, the total reduction is $565,558. We do support the Senate version of the budget as a minimum request and suggest that no other reductions be made to the Senate version. Moving tuition revenue off-budget will require BSC to fund the cost to continue operations (2nd year salary increase, inflation, etc.) from increased tuition collections generated from increased enrollments or increased tuition rates.

There was a $160,000 budgetary reduction made in the budget process that we want to point out. Attachment 1 indicates the effect this reduction has on BSC general fund operations. Base general fund operating dollars are reduced by $174,925 and capital assets are increased by $13,165.

The overall result is that general fund support for BSC operations declines by $174,925 at a time when student enrollments have increased 23% since 1995. This effect on our operational budget is due to BSC having dedicated current biennium excess tuition revenue to plant improvements. In the SIBR budget request, all income is reported in the operating line item for ease of reporting and consistency purposes. OMB in its budget deliberations did not consider the actual source of funds in the capital asset line item, and made a general fund reduction of $161,760. Because our general fund capital asset line item had only $236, 835, there were not enough general funds to make the reduction and still have a $250,000 executive recommendation for capital assets. It forces BSC to make the reduction in our operations line item as indicated in Attachment 1. This is a cut in our general fund base level funding.

BSC dedicated current biennium tuition to one-time capital projects and expenses. Due to the recommended budget adjustment, we are now being penalized by having to dedicate those same funds to a base level operating cut. We lose the flexibility of the use of those funds. In our budgeting process we planned for the hold-even budget scenario. This 1.2% general fund operating base reduction was not expected.

I'm not sure if OMB was aware of the effect of a capital asset reduction on our operational budget. We bring this issue to your attention and ask for restoration of

$161,760 in your budget deliberations. We don't think any other campus was affected in this way by a budget reduction.

BSC is supportive of many aspects of the Senate version of the budget:

- Compensation package and salary equity funds - NDUS budget - $14.3 million
- Technology investments (statewide network distance learning) - NDUS budget - $6 million
- Campus parity and equity funds - NDUS budget - $2.3 million
- Campus new initiatives programs - NDUS budget - $2.3 million
- Continuation of family health insurance plan - BSC budget - $235,892.

It should be noted that our budget request did include approximately $300,000 for a multipurpose facility to meet a variety of campus needs, including receiving, storage, mail room, office space for the director of physical plant and a heated repair area for vehicles and equipment. This facility would be funded from tuition collections. This project is a high priority on campus. The reduction of our extraordinary repair capital asset line item to $250,000 may affect this project. BSC has special assessments of $91,500 next biennium. Thus the remaining budget is $158,500 for two years. This is a minimal amount for a growing campus infrastructure. Our intent if funding remains at this level, will be to reprioritize depending on tuition collections and campus need. The physical plant facility may still proceed with this scenario.

If additional funds do become available, BSC requests the following consideration:

- Reinstate operating funds base reduction.
- Other fiscal amendments proposed by the NDUS.

Capital Project - Schafer Hall

The Senate version of SB2003 does not include funding for the renovation of the west side of the 2nd and 3rd floors which consists of approximately 12,000 sq. ft. The requested budget amount was $1,394,500. This project is ranked second on the Board of Higher Education priority list for capital projects. (See Attachment 2.) Parts of the Schafer Hall renovation master plan have been previously funded. Phase I included renovation of the science laboratory areas in Schafer Hall. Last session we received funding for the Leach Music Center addition and for the hallways on the 2nd and 3rd floors. The 2nd and 3rd floor architect plans are attached to this presentation.

This funding would provide:

- 5 new classrooms
- 10 new faculty offices
- administrative office complex
- adjunct instructor offices
- asbestos abatement
- ADA compliance
- lab area

The cost estimate is as follows:

Asbestos abatement	$ 288,500
General construction	439,000
Mechanical construction	157,000
Electrical construction	183,000
Contingency	107,500
Equipment/Furnishings	131,000
AE Fees	88,500
	$1,394,500

Schafer Hall is part of a growing campus. Enrollment has increased 23% in the past five years. With night and summer classes, BSC classrooms are heavily utilized. This is supported in the fact that the amount of square footage per student at BSC is approximately half of the NDUS campus average.

If funds are not available for both floors, the architect has indicated that the individual floor cost would be 50%. We have included our campus master plan narrative in your packet of materials for a more detailed description and rationale for the project. (See Attachment 3.)

In closing, we would like to say thank you for your support of Bismarck State College.

Attachment 1

01/03/2001

BISMARCK STATE COLLEGE
Effect of General Fund Budget Reduction

	Executive Budget Adjustments			Actual Effect of Adjustments		
	Operating	Capital Assets (1)	Total	Operating	Capital Assets	Total
99-01Request-General & Other Funds (Excluding Local & Cont. Ed.)	22,761,558	1,806,260	24,567,818	22,761,558	1,806,260	24,567,818
Less 01-03 capital project request		(1,394,500)	(1,394,500)		(1,394,500)	(1,394,500)
Less tuition & misc income	(8,229,476)	-	(8,229,476)	(8,054,551) Excess income portion → (174,925)		(8,229,476)
Less reduction to extr. repairs		(161,760)	(161,760)	Actual effect → (174,925)	13,165 ←	(161,760)
Plus health insurance	235,893		235,893	235,893		235,893
Exec Recommendation-General Fund	14,767,975	250,000	15,017,975	14,767,975	250,000	15,017,975

(1) Capital Assets:

Major capital projects	1,394,500
Extraordinary repairs-General Fund	236,835
Extraordinary repairs-Other Funds(excess income)	174,925
	1,806,260

Attachment 2

NORTH DAKOTA UNIVERSITY SYSTEM
2001-2003 Campus Major Capital Projects
SBHE Priority List

	(1) Priority #	(2) Project Type	(3) Project Description	(4) Cost State	(5) Cost Other	(6) Cost Total	(7) Other Source	(8) State Accumulated Total
STATE GENERAL FUND PROJECTS								
MiSU	1	renovation	Old Main Renovation	$5,640,000	$2,210,000	$7,850,000	private	$5,640,000
BSC	2	renovation	Schafer Hall - Renovation 2nd & 3rd Floors	1,394,500		1,394,500		7,034,500
NDSCS	3	renovation	Infrastructure	2,385,863		2,385,863		9,420,363
VCSU	4	renovation	Replace boiler & related steam piping accessories	850,000		850,000		10,270,363
MaSU	5	renovation	Steam Line Replacement	719,950		719,950		10,990,313
NDSU	6	renovation	Minard Hall Renovation-Phase I	4,845,100		4,845,100		15,835,413
UND	7	renovation	O'Kelly Hall-Phase I	3,500,000		3,500,000		19,335,413
DSU	8	addition/renovate	Murphy Hall Renovation/Addition	6,781,155		6,781,155		26,116,568
WSC	9	addition	Diesel Technology Addition	524,616		524,616		26,641,184
NDSU	10	renovation	Ceres Hall Renovation - Phase II	3,000,000		3,000,000		29,641,184
MiSU-BC	11	renovation	Thatcher & Central Htg. Plant Elec. Upgrade	75,000		75,000		29,716,184
LRSC	12	renovation	Science Lecture Bowl & Laboratories	784,472		784,472		30,500,656
Forest Service	13	new constr.	Tree Storage Bldg.-Towner Nursery	120,000		120,000		30,620,656
Forest Service	14	new constr.	Equipment Storage Bldg.-Lisbon	20,000		20,000		30,640,656
Experiment	15	new constr.	Langdon Headquarters and Conference Ctr.	300,000	500,000	800,000	private	30,940,656
Remaining Unranked Projects:								
BSC		new constr.	Advanced Learning Complex	1,500,000	4,000,000	5,500,000	private	32,440,656
LRSC		addition	Technical Training Facility & Storage	264,090		264,090		32,704,746
WSC		renovation	Deferred Maintenance	308,600		308,600		33,013,346
UND		renovation	Infrastructure Improvements	4,500,000		4,500,000		37,513,346
UND		renovation	School of Medicine & Health Sciences	6,450,000		6,450,000		43,963,346
NDSU		renovation	Infrastructure - Phase I	2,320,400		2,320,400		46,283,746
NDSCS		renovation	Horton Hall	2,000,000		2,000,000		48,283,746
DSU		renovation	Whitney Stadium Renovation	1,100,000	2,900,000	4,000,000	local/private	49,383,746
MaSU		addition/renovate	Fieldhouse Addition	239,930	2,760,070	3,000,000	private	49,623,676
VCSU		renovation	Graichen Gymnasium elevator & emergency exits	375,000		375,000		49,998,676
MiSU-BC		renovation	Thatcher Elevator	160,000		160,000		50,158,676
Experiment		new constr.	(2) Pesticide Handling Facilities-Phase II	120,000		120,000		50,278,676
Experiment		new constr.	Main Station-Research Greenhouses	7,300,000		7,300,000		57,578,676

Attachment 3

Renovation of Schafer Hall

Explanation

Schafer Hall was constructed in 1961 as a multipurpose structure. It was the first building on campus. As originally designed, it was all-inclusive, housing the administrative offices, classrooms, laboratories, library, cafeteria, bookstore, theater, and shower facilities for physical education.

It was designed to serve a student population of 600. Built with the least expensive materials possible, Schafer Hall was less than adequate from the first day it opened. The facility has undergone dozens of stop-gap, piecemeal renovations. The old library has become computer labs and the information services area. The old kitchen has become admissions/registrar offices. Walls have been torn down and added. The single pane window walls have been replaced with new exterior walls to save energy. In 1991 an elevator was added to provide access for the handicapped. During the summer of 1994, an air conditioning system was installed in the building

The 1997 Legislature provided a $965,000 appropriation for the first phase of Schafer Hall renovation. These funds enabled the college to convert old laboratories to usable classroom space and to remove central stairs which did not meet fire codes. The 1999 legislature provided funds for completion of the 2nd and 3rd floor hallways and a music center addition which was part of the Schafer Hall renovation master plan.

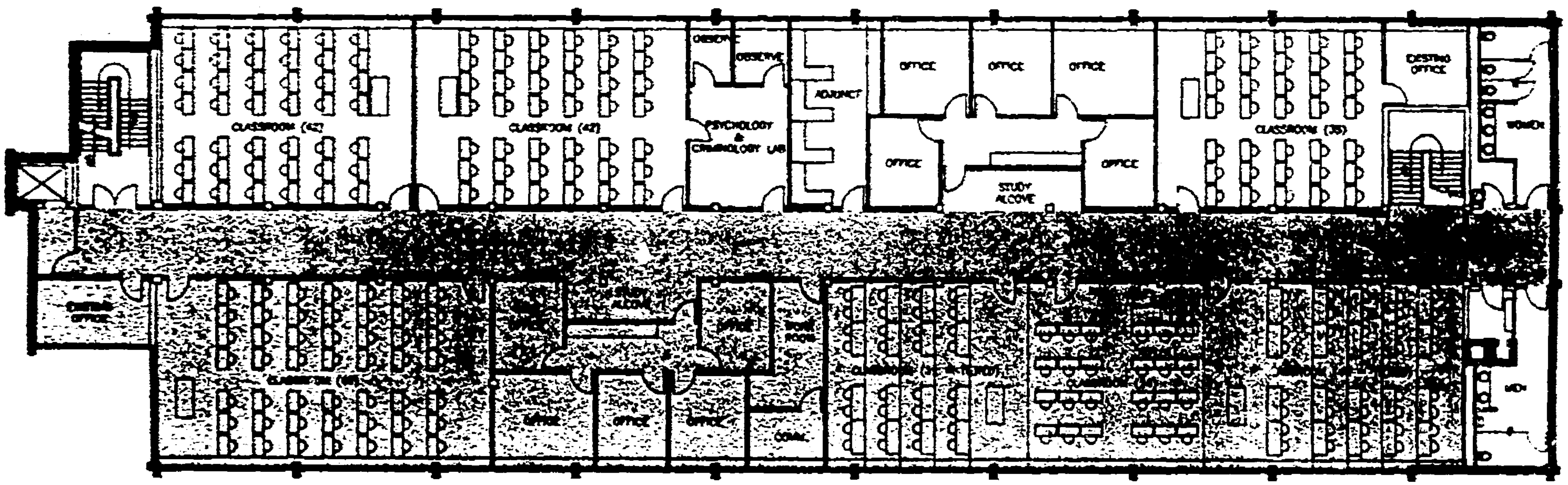
Since the college first began planning for a Science Center, it has been the intent that Schafer Hall would be renovated to become the college's liberal arts center. This aging structure can be renovated to contain adequate learning spaces for the study of humanities and social sciences.

The complete renovation of Schafer Hall would allow the college to meet other pressing needs for space. English and social science classes and some offices, currently located in the library building, could be moved to a renovated Schafer Hall and free up space in those areas to provide for a much needed expansion of the library.

The proposed design for the instructional area includes the use of portable walls and tiering to allow for dual use of classroom areas. The walls can be moved to accommodate larger class sizes or special guest lecturers. This is especially important as various disciplines with varying class sizes will be utilizing the classroom space. The design also includes faculty offices and student study areas.

The remaining renovation would include the west side of the 2nd and 3rd floors and all of the first floor. Asbestos ceiling and floor materials are located in the non-renovated areas of the facility and must be removed.

Our original request for Schafer Hall renovation included the renovation of 1st floor to co-locate and provide a great array of administrative services for students. Included in our master plan has been the renovation of Werner Hall to an administrative support facility. Proceeding with this project is becoming more likely each biennium and we feel it's in the best interest of BSC and the State to delay lst floor renovation of Schafer Hall until the likelihood of a Werner Hall renovation is better understood. In the event Werner Hall is converted to an administrative building, 1st floor of Schafer would be renovated to fit an instructional environment.



SECOND FLOOR PLAN

NOT TO SCALE

COMPLETED

REQUESTED

SCHAFER HALL MASTER PLAN & RENOVATION
BISMARCK STATE COLLEGE
BISMARCK, NORTH DAKOTA

RITTERBUSH - ELLIG - HULSING P.C.
ARCHITECTS - PLANNERS

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PRELIMINARY
SECOND FLOOR PLAN

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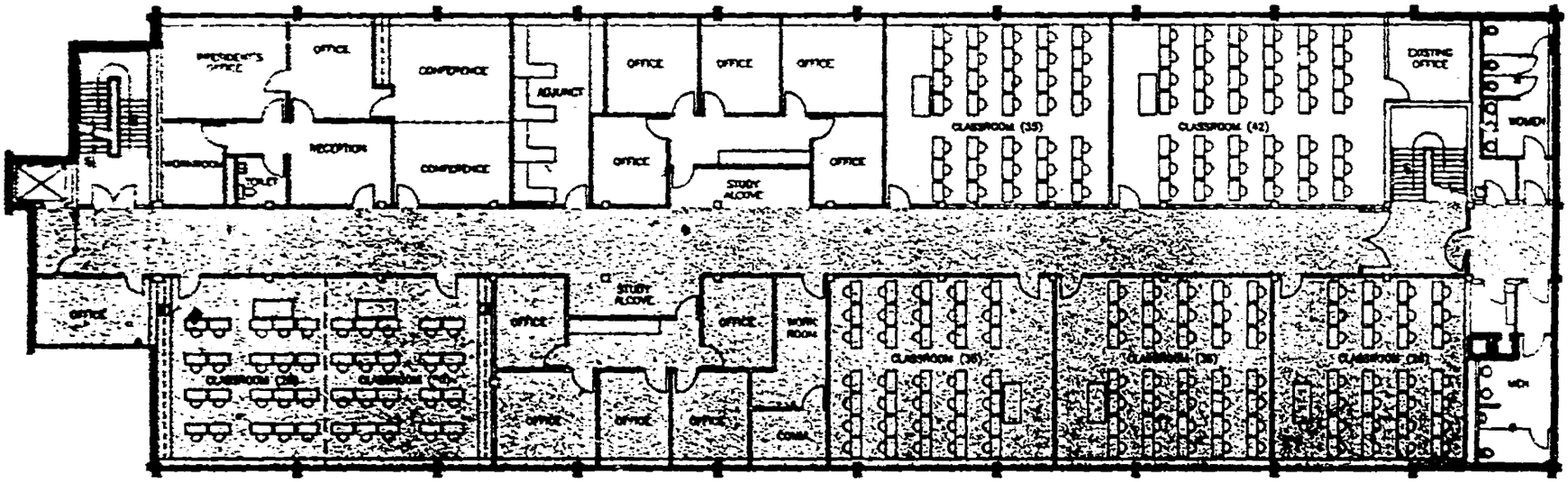

THIRD FLOOR PLAN

NOT TO SCALE

COMPLETED

REQUESTED

SCHAFER HALL MASTER PLAN & RENOVATION
BISMARCK STATE COLLEGE
BISMARCK, NORTH DAKOTA

RITTERBUSH - ELLIG - HULSING P.C.
ARCHITECTS - PLANNERS

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PRELIMINARY
THIRD FLOOR PLAN

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NDUS Cornerstones Strategic Plan

BSC Campus

2000-2001

Campus Plan

Bismarck State College
Campus Plan
2000-2001

Cornerstone 1: Economic Development Connection

Direct connections and contributions of the University System to the economic growth and social vitality of North Dakota.

Objective 1: BSC will market, broker, and coordinate workforce training initiatives for the Southwest region of the state to meet the employee training needs of the businesses and industries in the 18 counties designated BSC's responsibility in House Bill 1443.

Start Date	Benchmark Dates	Completion Date	Accountability Measures
2000	annual assessment	ongoing	Trends in the number and percent of businesses and employees in the region receiving training. Levels of satisfaction with training events as reflected in information systematically gathered from employers and employees receiving training. Level and trends in the revenue generated from training fees.

Objective 2: As a member of the College Technical Education Council (CTEC), BSC will develop a plan to increase certificate and vocational education offerings.

Start Date	Benchmark Dates	Completion Date	Accountability Measures
2000	annual assessment	ongoing	Level and trends in satisfaction of students, and in satisfaction of business and industry needs.

Objective 3: To fund special projects beyond the scope of the college's operating budget, the BSC Foundation will pursue external funding sources in concert with the mission and identified needs of BSC.

Start Date	Benchmark Dates	Completion Date	Accountability Measures
2000	2001 – completion of music addition 2003 – funding acquired for a Career & Technology Center	ongoing	Level and trends in annual revenues derived from external funding sources.

Objective 4: Create needs based alternatives for lifelong learning opportunities to meet the growing demand of individuals and organized groups for continued educational non-credit and credit programs.			
Start Date	**Benchmark Dates**	**Completion Date**	**Accountability Measures**
2000	2001 – *new courses offered*	Ongoing	Level and trends in enrollment in credit and non-credit programs.
2000	2001 - ETST program; energy-related courses. Energy Education Tech. Center grant completed	Courses ongoing. 2003 -Energy Ctr. in development stage	Development of additional training opportunities in the energy industry.

Objective 5: On an annual basis, BSC will cooperate and collaborate with other NDUS campuses.			
Start Date	**Benchmark Dates**	**Completion Date**	**Accountability Measures**
2000	annual agreements with various entities	Ongoing	Level and trends in partnerships and joint ventures between the University System institutions and other university system institutions.

Objective 6: On an annual basis, BSC will cooperate and collaborate with other entities.			
Start Date	**Benchmark Dates**	**Completion Date**	**Accountability Measures**
2000	annual agreements with various entities	Ongoing	Level and trends in partnerships and joint ventures between the University System institutions, business and industry, and tribal colleges.

Cornerstone 2: Education Excellence

High quality education and skill development opportunities which prepare students to be personally and professionally successful, readily able to advance and change careers, be life-long learners, good citizens, leaders, and knowledgeable contributing members of an increasingly global and multi-cultural society.

Objective 1: BSC will maintain institutional accreditations and achieve specialized accreditation where appropriate.			
Start Date	**Benchmark Dates**	**Completion Date**	**Accountability Measures**
2000	July, 2001-NCA report completed Specialized licensures and accreditations on schedule.	Oct. 2001-NCA focused visit Other accreditations ongoing	Maintenance of accreditation of programs and institutions by national and regional accrediting bodies and acquisition of additional accreditation where appropriate. Level and trends in licensure pass rates in comparison to other states.

Objective 2: BSC will provide support services designed to ensure that students achieve their academic goals.			
Start Date	**Benchmark Dates**	**Completion Date**	**Accountability Measures**
2000	2000 – assessment processes in place	ongoing	Level and trends in proportion of students achieving goal – institution meeting the defined needs/goals as expressed by students.

Objective 3: All BSC students who complete a program of study (A.A., A.S., A.A.S., diploma, certificate) will have demonstrated: (1) written and oral communication skills, (2) problem solving skills, (3) interpersonal skills, (4) technology competence, and (5) marketable skills appropriate to their level of academic preparation.			
Start Date	**Benchmark Dates**	**Completion Date**	**Accountability Measures**
2000	2001 – Student survey. Employer survey. 2000 – assessment in place	ongoing	Level and trends in proportion of students achieving goal – institution meeting the defined needs/goals as expressed by students. Level and trends in employer reported satisfaction with preparation of recently hired college graduates.

Objective 4: BSC will provide and maintain a safe, modern environment that supports the teaching/learning process.			
Start Date	**Benchmark Dates**	**Completion Date**	**Accountability Measures**
2000	2001 – additional smart classrooms	2002	Campuses allocate renewal and replacement resources for the maintenance of the physical assets based on priorities as established by the campus.

Cornerstone 3: Flexible and Responsive System

A University System environment which is responsive to the needs of its various clients and is flexible, empowering, competitive, entrepreneurial, and rewarding.

Objective 1: BSC will maximize its services by operating in an effective and efficient manner			
Start Date	**Benchmark Dates**	**Completion Date**	**Accountability Measures**
2000	2001 – student surveys 2002 – additional surveys developed	2003	Levels of satisfaction to responsiveness of services.

Objective 2: As a member of the College Technical Education Council (CTEC), BSC will develop a plan to increase certificate and vocational education offerings.			
Start Date	**Benchmark Dates**	**Completion Date**	**Accountability Measures**
2000	2001 – identification of barriers that limit flexibility	ongoing	Development of plan to increase certificate and vocational offerings. Programs added/eliminated in response to market needs and interests and turnaround time to develop new programs.

Objective 3: BSC will provide support services designed to ensure that students achieve their academic goals.			
Start Date	**Benchmark Dates**	**Completion Date**	**Accountability Measures**
2000	2001 – development of courses	2002	Level and trends in proportion of students achieving goals. Number of shorter-length classes offered to students.

Cornerstone 4: Accessible System

A University System that is proactively accessible to all areas of North Dakota and seeks students and customers from outside the state. It provides students, business, industry, communities, and citizens with access to educational programs, workforce training opportunities, and technology access and transfer – and does so with the same performance characteristics as described in the "Flexible and Responsive System" Cornerstone.

Objective 1: Provide convenient access to programs and courses offered by BSC for both full-time and part-time students through the use of distance education, flexible scheduling, and focused programming for unique student populations.			
Start Date	**Benchmark Dates**	**Completion Date**	**Accountability Measures**
2000	2001 – tribal college partnership 2001 – open entry, exit 2002 – increased enrollment of Native American students	ongoing	Levels and trends in the number of enrollments in distance learning courses by in-state and out-of-state residents. Levels and trends in the number and proportion of enrollments in courses offered in non-traditional ways (i.e. place, time, format). Level of campus diversity.

Objective 2: On an annual basis, BSC will cooperate and collaborate with other NDUS campuses.			
Start Date	**Benchmark Dates**	**Completion Date**	**Accountability Measures**
2000	annual agreements with various entities	2001	Number of processes and methods that support student transferability.

Objective 3: On an annual basis, BSC will cooperate and collaborate with the public schools.			
Start Date	**Benchmark Dates**	**Completion Date**	**Accountability Measures**
2000	Fall 2000 – agreements in place	2001	Number of agreements initiated and maintained with the public schools for dual credit and other initiatives.

Objective 4: BSC will market, broker, and coordinate workforce training initiatives for the Southwest region of the state to meet the employee training needs of the businesses and industries in the 18 counties designated BSC's responsibility in House Bill 1443.			
Start Date	**Benchmark Dates**	**Completion Date**	**Accountability Measures**
2000	Spring 2001 – completion of business plan	ongoing	Trends in the number and percent of businesses and employees in the region/state receiving training.

Objective 5: Create needs based alternatives for lifelong learning opportunities to meet the growing demand of individuals and organized groups for continued educational non-credit and credit programs.			
Start Date	**Benchmark Dates**	**Completion Date**	**Accountability Measures**
2000	2001 – assessment plan; marketing plan	2003	Level and trends of enrollment in non-credit and credit programs.

Objective 6: BSC will support the North Dakota University System in providing North Dakota secondary schools with data on graduates who enter public schools.			
Start Date	**Benchmark Dates**	**Completion Date**	**Accountability Measures**
2000	ACT information shared annually Development of student tracking system	ongoing	Amount of assistance to secondary schools.

Cornerstone 5: Funding and Rewards

A system of funding, resource allocation, and rewards which assures quality and is linked to the expressed high priority needs and expectations of the University System – assures achievement of the expectations envisioned.

Objective 1: BSC will provide and maintain a safe, modern environment that supports the teaching/learning process.			
Start Date	**Benchmark Dates**	**Completion Date**	**Accountability Measures**
2000	2001 – Schafer Hall funding secured 2001 – music addition completed	2002	Campuses allocate renewal and replacement resources for the maintenance of the physical assets based on priorities as established by the campus. Completion of campus renovation and building projects.

Objective 2: BSC will maximize its services by operating in an effective and efficient manner.			
Start Date	**Benchmark Dates**	**Completion Date**	**Accountability Measures**
2000	2001 – legislative session	2003	Preparation of annual consolidated financial statements for the NDUS consistent with applicable generally accepted accounting principles and an annual unqualified financial statement opinion consistent with reasonable interpretations of Governmental Auditing Standards. Additional financial reporting measurements as jointly defined by SBHE, executive and legislative branches. Achievement of equitable funding formulas.

Cornerstone 6: Sustaining the Vision

A structure and process which assures the University System for the 21st century, as described by these cornerstones, remains connected, understood, relevant, and accountable to the present and future research, education, and public service needs of the state and its citizens – sustaining the vision.

Objective 1: As part of BSC's strategic planning process, environmental scanning and forecasting will be conducted.			
Start Date	**Benchmark Dates**	**Completion Date**	**Accountability Measures**
Feb., 2001	2002-readings completed 2003-analysis of data	2003-2004	Amount of congruence with the Learning First strategic plan Number and kinds of changes made as a result of scanning process.

Objective 2: BSC will increase public confidence in the college as documented by an increased level of support by both the public and the private sector.			
Start Date	**Benchmark Dates**	**Completion Date**	**Accountability Measures**
2000	Summer, 2001-surveys prepared & completed	Fall, 2001	Level and trends of support and satisfaction expressed by the public and private sectors.

Work Force
(August 2000)

Full time

Faculty	95
Staff	117
Subtotal	**212**

Part time

Faculty	104
Staff	49
Students	85
Subtotal	**238**
Total	**450**

[illegible] and Benefits
([illegible] Fiscal Year 2000)

Faculty, Staff and Students

Total Compensation	**$10,139,300**

[illegible] Statistics
([illegible] Fall 2000)

Total credit enrollment	**2,744***
Men	48.8%
Women	51.2%
North Dakota residents	97%
Burleigh/Morton County residents	63%
Age 25 or older	23.7%
Live on campus	8%
Live off campus	92%

****on-campus students only***

For more information about Bismarck State College or additional copies of this document, contact the President's Office, 1500 Edwards Avenue, P.O. Box 5587, Bismarck, ND 58506, or call (701)224-5431. BSC is an equal opportunity institution.

Bismarck State College

Bismarck State College, a comprehensive c[illegible]munity college, provides student-centered teaching in a full spectrum of educational offerings.

- Transfer - Students can complete their first and second year of studies toward a bachelor's degree. Courses are available for more than 40 majors. Students earn an associate in arts or an associate in science degree.
- Technical - Students can choose from more than 25 programs that prepare them for entry-level placement in specific careers. Completion of course work leads to a certificate, diploma or an associate in applied science degree.
- Corporate and Continuing Education - This division of the college provides workforce training for business and industry, computer training, conference coordination, professional development programs and personal enrichment courses.
- BSC is one of 11 campuses in the North Dakota University System. Several bachelor degree and graduate programs are offered on campus in cooperation with other NDUS institutions.

Capital Assets

Land	100 acres

Buildings

	No.	Gross Sq. Ft.	Value
Academic	5	318,020	$25,661,000
General	1	31,300	2,393,000
Auxiliary	3	51,710	4,193,000
Other	6	7,596	155,000
Equipment			$5,866,000
Library resources			$2,185,100
Total			**$40,453,100**

Bismarck State College

BISMARCK STATE COLLEGE
BSC

Economic Impact
Fiscal Year 2000

Summary of Direct Economic Impact

Bismarck State College significantly impacts the economy of Bismarck-Mandan and the area. The college, its students and its employees contribute to the economy in several ways: creating jobs, strengthening the tax base, and expanding the credit base of the community.

BSC's economic presence creates an estimated 520 secondary jobs outside the college. Secondary jobs are the employment opportunities required by local businesses to support the presence of the college, its students and employees.

For the fiscal year ended June 30, 2000, the college injected $18.2 million into the economy. Using an economic multiplier factor of 2.8* developed by North Dakota State University, BSC's direct spending generated a total annual economic impact of $51 million.

* These calculations were based on the North Dakota Input Output Model developed and tested by agricultural economists at North Dakota State University. The multiplier is a statistical measure that shows the effect of how money cycles through the economy, thus representing the total impact of each dollar spent.

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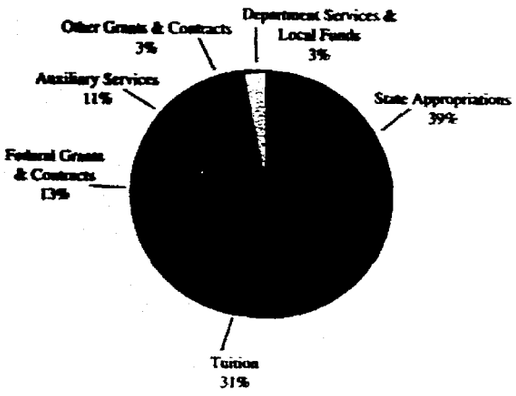
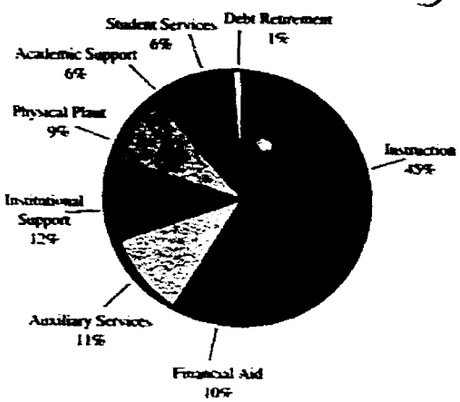
Expenses





State Appropriations	**$7,117,600**
Tuition	**5,779,800**
Federal Grants/Contracts *(financial aid)*	**2,338,700**
Auxiliary Services *(food service, dorms, bookstore)*	**2,024,800**
Other Grants & Contracts *(vocational education)*	**567,200**
Department Services & Local Funds *(Corporate & Continuing Ed., application fees)*	**567,000**
Total Income	**$18,395,100**

Instruction *(faculty salaries, equipment)*	$8,174,300
Financial Aid	1,889,900
Auxiliary Services *(food service, dorms, bookstore)*	2,093,400
Institutional Support *(president's/business office)*	2,244,[illegible]
Physical Plant	1,563,300
Academic Support *(vice-president, dean, library)*	1,006,300
Student Services *(admissions, financial aid, counseling)*	1,024,200
Debt Retirement	236,200
Total Expenses	**$18,232,200**
Multiplier	x 2.8*
Direct Economic Impact of	**$51 Million**

...rect Annual Economic Impact of $51 Million

...Indirect ...conomic Impact

Beyond its direct economic impact, Bismarck State College has an indirect economic impact on the community as well. Some indirect factors include:

- student spending of $14.4 million for board, room, and personal expenses.
- participant spending of approximately $1.2 million by those who travel here to attend continuing education programs.
- visitor spending for cultural, athletic and other events on campus.

When the same economic multiplier of 2.8 is applied to the $15.6 million spent by students and participants, BSC generated $43.7 million in indirect economic impact.

Student Spending

Nine-month estimated budget on campus	
T...ion and fees	$2,097
L...m and board	2,800
Books	700
Personal expenses	2,650
Total	**$8,247**
Nine-month estimated budget at home	
Tuition and fees	$2,097
Room and board	1,500
Books	700
Personal expenses	2,650
Total	**$6,947**

According to figures from the BSC Financial Aid Office, the average student living on campus spends an estimated $2,650 on personal expenses. (BSC houses 214 students for a total of $567,100.)

The 2,530 students who live off campus spend an estimated $5,450 each for board, room and personal expenses, totaling $13,788,500. Taken together, living expenses of students amount to approximately $14,355,600.

Participant Spending

The BSC Division of Corporate and Continuing Education provides life-long learning opportunities for citizens in this area and for some state and regional conferences.

During the 1999-2000 academic year, 24,800 people attended programs and seminars sponsored by BSC. Of that number, 3,571 traveled from out of town and stayed in the city more than one day. The average stay was 2.3 nights. The Bismarck-Mandan Convention and Visitors Bureau estimates that an overnight convention visitor spends $150.45 per day in the community, for a total of approximately $1,235,700.

Visitor Spending

Throughout the year, the college hosts many events for elementary and secondary students, such as music and speech festivals, crop and livestock judging contests, Science Olympiads, and athletic camps. These events bring thousands of people to Bismarck-Mandan from throughout the state, some involving overnight stays.

Indirect Annual Economic Impact of $43.7 Million

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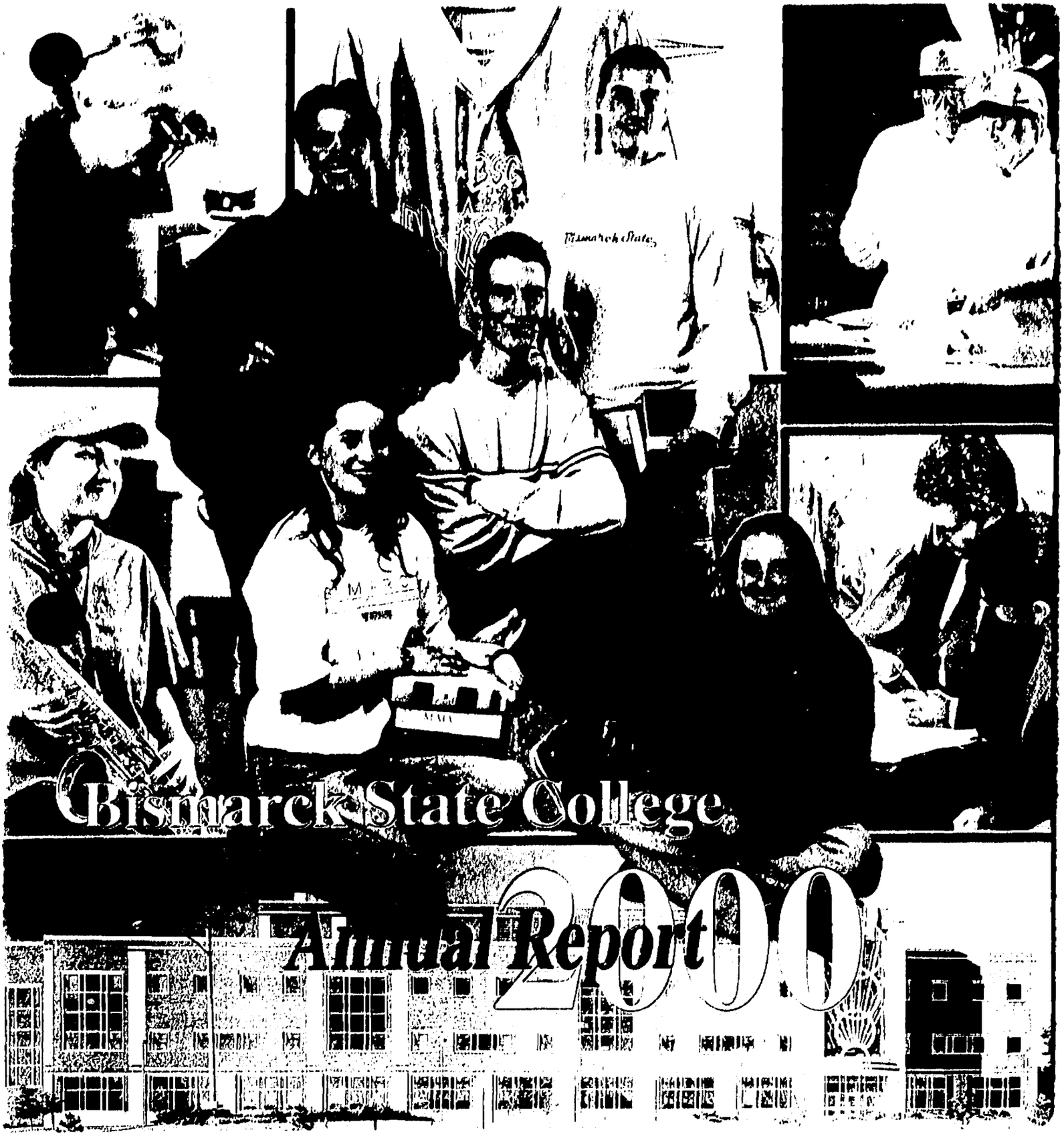

Bismarck State College

Annual Report 2000

Prepared by the North Dakota Legislative Council staff for Senate Appropriations
January 2, 2001

Department 229 - Williston State College
Senate Bill No. 2003

	FTE Positions	General Fund	Other Funds	Total
2001-03 Executive Budget	50.23	$5,183,247		$5,183,247
1999-2001 Legislative Appropriations	50.23[1]	5,126,564	$8,453,265	13,579,829[2]
Increase (Decrease)	0.00	$56,683	($8,453,265)	($8,396,582)

[1] The 1999-2001 appropriation is based on 46.07 FTE positions. Section 6 of 1999 House Bill No. 1003 authorized the University System to adjust FTE positions as needed. The number of positions shown for the 1999-2001 biennium represents the number of positions reported to the Office of Management and Budget (OMB).

[2] The 1999-2001 appropriation amounts include the following general fund allocations for the institution's share of the funding pools appropriated to OMB and the University System:

- $2,724 of the $1.4 million funding pool appropriated to OMB for assisting agencies in providing $35 per month minimum salary increases in July 1999 and July 2000.
- $31,004 of the $2,630,992 critical salary funding pool appropriated to the University System to provide market and equity increases, in addition to legislatively appropriated salary increases.
- $253,577 of the $4,290,128 equity and special needs funding pool appropriated to the University System to address equity funding issues and academic program needs.

The 1999-2001 appropriation amounts do not include additional spending authority resulting from Emergency Commission action, other University System allocations occurring during the 1999-2001 biennium, and spending authority carried over from the 1997-99 biennium.

Major Items Affecting Williston State College 2001-03 Budget

	General Fund	Other Funds	Total
1. Removes requested appropriations for the following and provides, in Section 3 of Senate Bill No. 2003, that all income from federal, private, and other sources is appropriated to the institutions under the control of the State Board of Higher Education:			
Tuition income and miscellaneous income		($2,255,441)	($2,255,441)
Local funds		(2,963,047)	(2,963,047)
Total		($5,218,488)	($5,218,488)
2. Provides $88,790 from the general fund for extraordinary repairs, no change from the amount appropriated for the 1999-2001 biennium.			
3. Decreases, from $4.5 million to $0, funding for capital construction projects.		($4,500,000)	($4,500,000)
4. Replaces salaries and wages, operating expenses, and equipment line items with a new line item entitled operations.			
5. Provides no funding to the institution for recommended salary increases; the appropriation for recommended salary increases is included in the executive recommendation for the North Dakota University System office as part of the $19.4 million equity/special needs funding pool.			

Major Legislation Affecting Williston State College

Senate Bill No. 2037 - This bill provides a continuing appropriation to the State Board of Higher Education of all moneys received by institutions of higher education, including tuition income, and allows institutions to carry over unspent general fund appropriation authority.

Senate Bill No. 2038 - This bill requires that the budget request for the University System include requested amounts for base-, initiative-, and asset-funding components and requires that the executive budget appropriations bill for the University System include recommended appropriations for those components.

Senate Bill No. 2039 - This bill allows the State Board of Higher Education to authorize campus improvements and building maintenance projects financed by donations, gifts, grants, and bequests if the cost of the improvement or maintenance is not more than $500,000.

Senate Bill No. 2040 - This bill provides that bonuses, cash incentive awards, and temporary salary adjustments provided to employees of the North Dakota University System are excluded from the definition of a fiscal irregularity.

Prepared by the North Dakota Legislative Council staff for Senate Appropriations
January 15, 2001

Department 229 - Williston State College
Senate Bill No. 2003

	FTE Positions	General Fund	Other Funds	Total
2001-03 Schafer Executive Budget	50.23	$5,183,247		$5,183,247
1999-2001 Legislative Appropriations	50.23[1]	5,126,564	$8,453,265	13,579,829[2]
Increase (Decrease)	0.00	$56,683	($8,453,265)	($8,396,582)

2001-03 Hoeven Executive Budget	50.23	$5,183,247		$5,183,247
Hoeven Increase (Decrease) to Schafer	0.00	$0	$0	$0

[1] The 1999-2001 appropriation is based on 46.07 FTE positions. Section 6 of 1999 House Bill No. 1003 authorized the University System to adjust FTE positions as needed. The number of positions shown for the 1999-2001 biennium represents the number of positions reported to the Office of Management and Budget (OMB).

[2] The 1999-2001 appropriation amounts include the following general fund allocations for the institution's share of the funding pools appropriated to OMB and the University System:

- $2,724 of the $1.4 million funding pool appropriated to OMB for assisting agencies in providing $35 per month minimum salary increases in July 1999 and July 2000.
- $31,004 of the $2,630,992 critical salary funding pool appropriated to the University System to provide market and equity increases, in addition to legislatively appropriated salary increases.
- $253,577 of the $4,290,128 equity and special needs funding pool appropriated to the University System to address equity funding issues and academic program needs.

The 1999-2001 appropriation amounts do not include additional spending authority resulting from Emergency Commission action, other University System allocations occurring during the 1999-2001 biennium, and spending authority carried over from the 1997-99 biennium.

Major Schafer Recommendations Affecting Williston State College 2001-03 Budget

	General Fund	Other Funds	Total
1. Removes requested appropriations for the following and provides, in Section 3 of Senate Bill No. 2003, that all income from federal, private, and other sources is appropriated to the institutions under the control of the State Board of Higher Education:			
Tuition income and miscellaneous income		($2,255,441)	($2,255,441)
Local funds		(2,963,047)	(2,963,047)
Total		($5,218,488)	($5,218,488)
2. Provides $88,790 from the general fund for extraordinary repairs, no change from the amount appropriated for the 1999-2001 biennium.			
3. Decreases, from $4.5 million to $0, funding for capital construction projects.		($4,500,000)	($4,500,000)
4. Replaces salaries and wages, operating expenses, and equipment line items with a new line item entitled operations.			
5. Provides no funding to the institution for recommended salary increases; the appropriation for recommended salary increases is included in the executive recommendation for the North Dakota University System office as part of the $19.4 million equity/special needs funding pool.			

Major Hoeven Recommendations Affecting Williston State College 2001-03 Budget Compared to the Bill as Introduced (Schafer Budget)

The Hoeven budget recommendation does not change the Schafer executive budget recommendation for this agency.

Major Legislation Affecting Williston State College

Senate Bill No. 2037 - This bill provides a continuing appropriation to the State Board of Higher Education of all moneys received by institutions of higher education, including tuition income, and allows institutions to carry over unspent general fund appropriation authority.

Senate Bill No. 2038 - This bill requires that the budget request for the University System include requested amounts for base initiative-, and asset-funding components and requires that the executive budget appropriations bill for the University System include recommended appropriations for those components.

Senate Bill No. 2039 - This bill allows the State Board of Higher Education to authorize campus improvements and building maintenance projects financed by donations, gifts, grants, and bequests if the cost of the improvement or maintenance is not more than $500,000.

Senate Bill No. 2040 - This bill provides that bonuses, cash incentive awards, and temporary salary adjustments provided to employees of the North Dakota University System are excluded from the definition of a fiscal irregularity.

Prepared by the North Dakota Legislative Council staff for House Appropriations
February 27, 2001

Department 220 - Williston State College
Senate Bill No. 2003

	FTE Positions	General Fund	Other Funds	Total
2001-03 Schafer Executive Budget	50.23	$5,183,247		$5,183,247
1999-2001 Legislative Appropriations	50.23[1]	5,126,564	$8,453,265	13,579,829[2]
Increase (Decrease)	0.00	$56,683	($8,453,265)	($8,396,582)
2001-03 Hoeven Executive Budget	50.23	$5,183,247		$5,183,247
Hoeven Increase (Decrease) to Schafer	0.00	$0	$0	$0

[1] The 1999-2001 appropriation is based on 46.07 FTE positions. Section 6 of 1999 House Bill No. 1003 authorized the University System to adjust FTE positions as needed. The number of positions shown for the 1999-2001 biennium represents the number of positions reported to the Office of Management and Budget (OMB).

[2] The 1999-2001 appropriation amounts include the following general fund allocations for the institution's share of the funding pools appropriated to OMB and the University System:

- $2,724 of the $1.4 million funding pool appropriated to OMB for assisting agencies in providing $35 per month minimum salary increases in July 1999 and July 2000.
- $31,004 of the $2,630,992 critical salary funding pool appropriated to the University System to provide market and equity increases, in addition to legislatively appropriated salary increases.
- $253,577 of the $4,290,128 equity and special needs funding pool appropriated to the University System to address equity funding issues and academic program needs.

The 1999-2001 appropriation amounts do not include additional spending authority resulting from Emergency Commission action, other University System allocations occurring during the 1999-2001 biennium, and spending authority carried over from the 1997-99 biennium.

Major Schafer Recommendations Affecting Williston State College 2001-03 Budget

	General Fund	Other Funds	Total
1. Removes requested appropriations for the following and provides, in Section 3 of Senate Bill No. 2003, that all income from federal, private, and other sources is appropriated to the institutions under the control of the State Board of Higher Education:			
Tuition income and miscellaneous income		($2,255,441)	($2,255,441)
Local funds		(2,963,047)	(2,963,047)
Total		($5,218,488)	($5,218,488)
2. Provides $88,790 from the general fund for extraordinary repairs, no change from the amount appropriated for the 1999-2001 biennium.			
3. Decreases, from $4.5 million to $0, funding for capital construction projects.		($4,500,000)	($4,500,000)
4. Replaces salaries and wages, operating expenses, and equipment line items with a new line item entitled operations.			
5. Provides no funding to the institution for recommended salary increases; the appropriation for recommended salary increases is included in the executive recommendation for the North Dakota University System office as part of the $19.4 million equity/special needs funding pool.			

Major Hoeven Recommendations Affecting Williston State College 2001-03 Budget Compared to the Bill as Introduced (Schafer Budget)

The Hoeven budget recommendation does not change the Schafer executive budget recommendation for this agency.

Major Legislation Affecting Williston State College

Senate Bill No. 2030 - This bill allows the State Board of Higher Education to authorize campus improvements and building maintenance projects financed by donations, gifts, grants, and bequests if the cost of the improvement or maintenance is not more than $250,000.

Senate Bill No. 2040 - This bill provides that bonuses, cash incentive awards, and temporary salary adjustments provided to employees of the North Dakota University System are excluded from the definition of a fiscal irregularity.

Summary of Legislative Changes to Bill as Introduced

See attached Statement of Purpose of Amendment.

Williston State College Objectives
2001-2002

Obj. Number	Objectives by Cornerstones	Date of Completion	Key* Performance Indicators	WSC* Planning Areas
	Economic Development			
1	*Increase the number of business and industry contacts made on a monthly basis in the less populated portion of the northwest North Dakota quadrant by the Customized Business and Industry Training staff*	01/01/02	3, 6, 8	C, E, R
	a. Track the number of business and industry training contacts made	06/30/01		
	b. Contact all of the northwest quadrant primary sector businesses and industries	11/30/01		
2	*Foster entrepreneurship in the WSC curriculum and in the Community*		8, 3	AP, C, E, R
	a. Sponsor entrepreneur workshops	5/15/2001		
	b. Review the number of entrepreneurial units in the WSC curriculum	11/30/01		
	c. Determine the adequacy of present entrepreneurial units in the WSC curriculum	11/30/01		
	d. Increase the number of leadership experiences available in the curriculum and student organizations	02/28/02		

Williston State College Objectives
2001-2002

	Objectives by Cornerstones	Date of Completion	Key* Performance Indicators	WSC* Planning Areas
	Educational Excellence			
3	*Revise the WSC vision and mission statement*		All	AP, C, E, R
	WSC mission submitted to board	06/01/01		
4	*Determine through the planning process what elements of the college need to be enhanced in order to make WSC a more comprehensive community college*	02/28/02	3, 8, 9	AP, C, E, R
	a. Determine the feasibility of certification or accreditation in each vocational/technical program	04/30/02		
	b. Expand the number of academic certificate opportunities for students (some should be integral parts of AAS degrees)	09/15/01		
	c. Revise the timelines for acquiring NATEF certification for the diesel program	10/01/01		
5	*Increase student achievement in holistic awareness, aesthetic awareness and social knowledge*			
	a. Expand the number of general education course offerings in the humanities	09/15/01		
	b. Increase the number of international students	02/15/02		

Williston State College Objectives
2001-2002

	Objectives by Cornerstones	Date of Completion	Key* Performance Indicators	WSC* Planning Areas
6	*Use the student assessment tracking results to revise academic processes and offerings*	08/10/02	1, 3, 4, 6, 7, 8, 9	AP, C, E, R
7	*Construct the Health Science and Sports Complex*	08/10/02	1, 3, 4, 6, 7, 8, 9	AP, C, E, R
8	*Collaborate with other NDUS institutions and with tribal colleges to make the WSC curriculum more comprehensive*	10/15/01	3, 8	AP, C, E
	Cooperate with MiSU, UND and DSU to bring a Bachelor of Science in Nursing, Bachelor of Business Administration and Bachelor of University Studies Degree to residents of the greater Williston Area	10/15/01		
	Flexible and Responsive System			
9	*Expand dual credit opportunities to area school districts*		1, 3, 5, 7, 8	AP, C, E, R
	Increase the number of dual credit students by 50%	10/15/01		

Williston State College Objectives
2001-2002

	Objectives by Cornerstones	Date of Completion	Key* Performance Indicators	WSC* Planning Areas
10	***Promote partnerships with K-12 school districts in the WSC service area aimed at partnership building***		1, 2, 3, 5, 6, 7, 8	AP, E, R
	a. Make contact with K-12 school districts in the WSC service area	07/31/01		
	b. Increase the number of tech prep agreements with service area school districts	05/15/02		
11	***Utilize focus groups of students to improve college operations***	11/30/01	2, 7, 8, 9	AP, C, E
	Accessible System			
12	***Recommend a fee structure and tuition more equitable for part-time students***	02/10/01	1, 3, 6, 8	C, E, R
13	***Expand distance education credit offerings***		1, 2, 3, 4, 5, 6, 7, 8	
	a. Increase the number of online course offerings to 11 courses	10/10/01		
	b. Increase the number of correspondence and IVN course offerings to 11 courses	10/10/01		

Williston State College Objectives
2001-2002

	Objectives by Cornerstones	Date of Completion	Key* Performance Indicators	WSC* Planning Areas
14	*Further upgrade the WSC website*		1, 3, 4, 5, 7, 9	AP, C, E, R
	a. Upgrade the web server and software	03/15/01		
	b. Upgrade information on site	05/15/01		
	c. Use student focus groups to evaluate changes	09/20/01		
15	*Revise the WSC marketing plan*		1, 2, 3, 4, 9	AP, C, E, R
	a. Establish target markets for each of the occupational and transfer programs	04/30/01		
	b. Track overall student retention	05/15/02		
	c. Increase FTES to 650	09/15/01		
	d. Shift promotional resources in response to target market plan and/or enrollment level	09/15/01		
16	*Revise college internal signage design and begin the replacement of the existing signage*	11/15/01	3, 4, 6, 7, 10	C, R
	Funding and Rewards			
17	*Expand opportunities for faculty and staff development*		3, 4, 5, 6, 8	AP, C, E, R
	Increase the number of professional development activities individual staff and faculty participate in	05/15/02		

Williston State College Objectives
2001-2002

	Objectives by Cornerstones	Date of Completion	Key* Performance Indicators	WSC* Planning Areas
18	*Encourage entrepreneurial activities within the college*		4, 6, 7, 8	C, E, R
	a. Establish a mechanism for recognition of entrepreneurial activities through stipends	10/15/01		
	b. Identify barriers to entrepreneurial activity	07/15/01		
	c. Increase grant revenue	06/30/02		
	Sustaining the Vision			
19	*Begin the implementation of recommendations assigned to WSC as referenced in the May 2000 Legislative Interim Higher Education Roundtable*	11/15/01	All	AP, C, E, R
20	*Unify the strategic planning, budget process, new program planning, personnel planning, and facilities planning into a continuous process that is in sync with the NDUS budget and legislative cycle*	03/15/03	4, 5, 6, 7, 8	AP, C, E, R

The WSC Objectives are keyed to nine key performance indicators and to four planning areas:

* **Key Performance Indicators**

1. Full-Time Equivalent Student Count
2. Student Progress
3. College External Reputation
4. Internal Work Climate
5. Personnel File
6. Financial Health
7. Facilities Maintenance and Technology Planning
8. Instructional Planning
9. Quality of Student Life Experience

* **Planning Areas**

AP - Academic Programs
C - Climate
E - Enrollment
R - Resources

Williston State College

Presentation to
North Dakota House Appropriations Committee

March 7, 2001

Enrollment History

YEAR	Sem	Head count	Full-Time	Part-Time	FTE
'00-'01	Fall	687	506	181	555
	Spring	654	484	170	543
'99-'00	Fall	714	582	132	615
	Spring	705	558	147	588
'98-'99	Fall	674	577	97	592
	Spring	718	552	166	595
'97-'98	Fall	788	626	162	663
	Spring	710	584	126	618
'96-'97	Fall	847	643	182	702
	Spring	820	626	177	676
'95-'96*	Fall	919	695	182	714
	Spring	908	665	177	734

*WSC's Historical High Credit Headcount

'99 – '00 Workforce Training

- 927 individuals received training
- 61 businesses were served
- Provided 3800 contact hours of training

WSC Credit Student Educational Goals Fall 2000

Transfer AA & AS	361	53%
Occupational AAS, Diploma & Cert.	219	31%
Undecided	107	16%

JMc:bsl
3/2/01

Enrollment by State/County – Fall 2000

State	County	Headcount	Percentage
ND	Williams	476	68%
	McKenzie	27	4%
	Ward	21	3%
	Divide	13	2%
	Burke	11	2%
	Mountrail	9	1%
	Other	41	6%
	Total ND Counties	598	87%
MT		73	11%
SD		4	.6%
CT		1	.1%
ID		1	.1%
MN		1	.1%
NE		1	.1%
WY		1	.1%
Total Out of state		82	12%
Manitoba/Canada		1	.1%
Saskatchewan/Canada		4	.6%
Total Foreign		7	1.0%
TOTAL		687	

WSC Credit Student Profile – Fall 2000

Category	Head Count %
Part-Time Students	26%
Full-Time Students	74%
Distance Ed Students (one or more courses)	10%
Dual Credit	8%
Freshmen (1st year)	58%
Sophomore (2nd year or beyond 1st year)	42%

Fall '00 Enrollment Highlights

- Dual Credit – 4 school districts / 22 courses / 59 students
- Distance Education – 70 students in 9 courses

Spring '99 Associate Degree Completion

- 206 Associate Degrees awarded

North Dakota Workforce Training

NorthWest Quadrant Fiscal Year 2000 Report

FY 00 Performance Mensures

- Number of Businesses served:
  61 Businesses

- Number of Employees receiving training:
  1,047 Employees (duplicated count)
  927 Employees (non-duplicated count)

- Revenue Generated from Workforce Training:
  $84,800.88

- Local support received from Business/Industry/Community
  $35,000.00 - WSC Foundation

A total of 58 businesses received training in the NorthWest Quadrant. This was achieved even though Workforce Training managers and coordinators weren't hired until late November 1999 in Williston and January 2000 in Minot.

Five businesses requested additional trainings. One busine's provided its employees with five additional trainings dealing with different computer software.

Of the clients served, 92% were satisfied with the training and the responsiveness of the NW ND Workforce Training Center in meeting their needs. Of the participants served, 95% were satisfied with the training provided by the NW ND Workforce Training Center.

A total of $84,800.88 has been generated in training fees. The NW ND Workforce Training division provided 3,818 hours of training to 1,042 employees.

One referral was made this year to the Computer Store in Minot. The training was for a small business with two employees and it was not cost-effective for the business to use our services.

Contributions received for the Workforce Training initiative from business, industry, and community organizations total $35,000.00. Institutional funds contributed by Williston State College total $34,000.00. This dollar amount does not reflect WSC's in-kind contributions such as: postage, office space, classroom space, telephone charges, classroom furnishings, and miscellaneous items.

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Williston, ND 58802-1326
701-774-4236 ◆ 1-888-863-8456 ◆ Fax: 701-774-4201
Email: dplesik@mail.wsc.nodak.edu

WILLISTON STATE COLLEGE
1999-2000 Progress Report

Economic Development

- Co-sponsored the North Dakota IT (information technology) Open
- Continued to train medical transcriptionists
- A Northwest North Dakota Workforce Training Quadrant Board has been established and is functioning
- Served 927 employees of 59 businesses through June 30, 2000
- WSC continued to be an active member of the Williston Area Development Foundation
- Partnered with UND to provide Small Business Management Center offices co-located with Northwest North Dakota Workforce Training offices at Minot and Williston.

Education Excellence

- Added new programs in Massage Therapy and Computer Systems Specialist (Technician or Programmer)
- Improved office facilities for part-time faculty
- Received full ten year North Central Association accreditation with no interim report requirement
- Codified a student academic achievement accountability process
- Professional development program has been enhanced via integration with the professional development system
- MiSU-Bottineau Campus and Lake Region State College, WSC delivered the Practical Nursing Program to Bottineau and Rugby
- Added courses in German and music to the humanities credit course offerings
- Became a Novell Academic Education Partner

Flexible and Responsive System

- Surveyed the school districts and local residents to determine distance education course needs
- Continued support of partnerships with Williston Public School District, Ernie French Agricultural Research Station, Chrysler Corp. and MedQuist, Inc.
- Established Certified Nursing Assistant (CNA) training program
- Expanded course sections in Cisco training

Accessible System

- Installed DS3 network connectivity
- Added one-half time Distance Education coordinator
- Started five new internet-based courses Fall 2000
- Completed remodeling to meet ADA specifications:
  - automatic entrances to Stevens Hall
  - signage in Stevens Hall
  - Crighton Building restrooms
- Added one instructional computer lab

Funding and Rewards

- Completed the first phase of the broad banding salary system
- Expanded tuition waiver accessibility for faculty and staff
- Updated WSC adjunct compensation, faculty workload and on-line course authorship policies

Sustaining the Vision

- Began implementing Roundtable components
- Merged technology, facility and maintenance planning
- Began marketing analysis by academic programs for technical and college transfer programs
- Established a Staff Senate in the WSC governance structure

Williston State College
Budget Request Worksheet

	(A) 99-01 Budget	(B) 01-03 Budget Request	(C) 01-03 Executive Recommendation
1 99-01 Original Appropriation	$ 14,920,036	$ 14,920,036	$ 14,920,036
2 Less Adjustments:			
3 Capital Project		(4,500,000)	(4,500,000)
4 Other Revenue (Minnesota Reciprocity)		(17,166)	(17,166)
5 97-99 Carryover Funds		(296,130)	(296,130)
6 One-Time Funding		(60,000)	(60,000)
7 Tuition			(2,243,098)
8 Other Local Funds			(2,707,078)
9 Adjusted Appropriation		10,046,740	5,096,564
10 Estimated Cost to Continue:			
11 Operating Inflation @ 2.5%/year		45,290	-
12 Utilities Increase @ 3%/year		23,192	-
13 Cost to Continue 2000-01 Salary Increases		82,703	-
14 Total Estimated Cost to Continue		151,185	-
15 Health Insurance Increase		Not Included	86,683
16 Renewal & Replacement Adjustment		56,743	-
17 Technology Applications/Access		79,555	-
18 Institutional Innovation		96,021	-
19 Total Initiatives		232,319	-
20 Reductions Due to Tuition Shortfall		(99,384)	-
21 Capital Project - Diesel Technology Addition		524,616	-
22 Local Funds Increase		295,970	-
23 Total Budget	$ 14,920,036	$ 11,151,446	$ 5,183,247
24 Tuition	2,243,098	2,317,254	
25 Other Local Funds	5,770,750	3,003,047	
26 General Funds	5,406,188	5,306,529	5,183,247
27 State Bonding	1,500,000	524,616	
28 Total Funding	$ 14,920,036	$ 11,151,446	$ 5,183,247

SCHEDULE 1

Williston State College
Proposed Plan for Restoration or Reallocation of Cost-to-Continue
2001-03 Biennium

Estimated Costs to Continue	$	151,185
Tuition Revenue Applied to Costs		(71,726)
Total Restoration Included in Request		79,459

	Amount	Estimated FTE
Salaries	$ 79,459	0.75
Operating		
Equipment		
Capital Improvements		
Total	$ 79,459	

Williston State College will reinvest in a full-time faculty position in a program that would be in response to student demand. Williston State College has recently implemented new programs – Massage Therapy and Computer Systems Specialist. Enrollment in these programs or other programs where unmet needs exist will determine the route for resource reallocation. Reinvestment of funds to part-time faculty positions would also be part of the efforts to address responsiveness to student demand. Accessibility and responsiveness are priorities in the Roundtable Report and reallocation to high-demand programs would be addressing these priorities.

Restoration of these funds is critical for Williston State College to be responsive to demands and to pursue new ventures. The Roundtable Report Cornerstones reflect the requirement for educational programs that meet the current workforce needs of employers. Governor Schafer's goals include a quality education system that is an engine for economic growth through individuals receiving training. Williston State College's programs play a vital role in the economic growth of North Dakota. Restoration of these funds would allow the college to reallocate to meet these priorities and goals.

SCHEDULE 2

Williston State College
Proposed Plan for "Renewal and Replacement Adjustment" Funds
2001-03 Biennium

	Amount	Estimated FTE
Salaries		
Operating		
Equipment		
Capital Improvements - Extraordinary Repairs	56,743	
Total	$ 56,743	

The NDUS is requesting funding for a $5 million "Renewal and Replacement Initiative," which would bring the funding of extraordinary repairs to .6248% of plant value per year for all campuses. This initiative is being requested in the individual optional packages of the campuses and WSC's portion is $56,743. These funds will be used to address deferred maintenance as noted below. This list of items came directly from WSC's Master Plan dated March of 2000. This master plan was developed hand-in-hand with the strategic planning process. This process reflects the input of every individual on campus through and an all-inclusive network including Center of Focus for faculty, staff representation by functional areas or responsibilities, and administrative input. The master plan is reflective of the Williston State College motto of "Where the People Make the Difference" and the campus mission statement.

DEFERRED MAINTENANCE

	State Funded	Non-State Funded	Total
Health and Safety			
Science lab ventilation system	$ 40,000		$ 40,000
Total Health and Safety	$ 40,000	$ -	$ 40,000
ADA			
Interior automatic door openers (8)	$ 12,000		$ 12,000
Existing elevator upgrades (2)	4,400		4,400
Automatic entrances (3)	6,000		6,000
Door handles	2,500		2,500
Signage	1,000		1,000
Drinking fountains (3)	2,400		2,400
Total ADA	$ 28,300	$ -	$ 28,300

SCHEDULE 2
(continued)

	State Funded	Non-State Funded	Total
Major Renovations – Networking			
Expand and update current networking devices and cabling	$ 60,000		$ 60,000
Expand campus card system	30,000	30,000	60,000
Total Major Renovations – Networking	$ 90,000	$ 30,000	$ 120,000
Major Repairs			
Energy efficient entrances	$ 25,800	$ 5,000	$ 30,800
Irrigation system upgrade		5,000	5,000
Upgrade telephone system	50,000		50,000
Exterior Painting - Crighton and Art Wood	24,000		24,000
Air-conditioning of main building	85,000		85,000
Repair exterior building caulking	22,000		22,000
Replace residence hall boilers		2,000	2,000
Replace plumbing fixtures	200	1,000	1,200
Replace hand faucets	800	300	1,100
Replace pipe insulation	500	100	600
Replace damaged flooring	2,000	4,000	6,000
Replace residence hall windows		48,000	48,000
Update to energy efficient lighting	75,000	30,000	105,000
Artwood building roof	150,000		150,000
Street project	500,000		500,000
Total Major Repairs	$ 935,300	$ 95,400	$ 1,030,700
Major Repairs – Remodeling			
Library expansion	$ 125,000		$ 125,000
Bookstore expansion		75,000	75,000
Total Major Repairs – Remodeling	$ 125,000	$ 75,000	$ 200,000
Total Defferred Maintenance	**$ 1,218,600**	**$ 200,400**	**$ 1,419,000**

SCHEDULE 3

Williston State College
Proposed Plan for "Technology Applications/Access" Funds
2001-03 Biennium

	Amount	Estimated FTE
Salaries	$ 75,000	1.00
Operating	4,555	
Equipment		
Capital Improvements		
Total	$ 79,555	

Plans for the Technology Applications/Access Funds include:

1) A technology support position (1 FTE) that would assist in the technical aspect of providing courses through various distance means
2) Assistance for students through a "help desk" environment either through a part-time staff pool or a collaborative effort with other NDUS campuses to provide support for a "collaborative help desk."
3) Operating funds to support the above positions

Without the proper support, development of alternative delivery methods for courses would be very limited. Faculty carry heavy loads and find it difficult to devote the time necessary for alternative course development. A technician's time and expertise would be well spent assisting faculty in these efforts.

Alternative delivery methods bring some challenges of their own. Technical assistance, for students, is necessary to provide the quality learning experience that students expect and deserve. Satisfaction and educational excellence are key to the success of distance programs. Cornerstone # 2 (Education Excellence) of the Roundtable Report emphasizes the expectation that graduates will possess the skills to be readily employable, technically skilled, personally successful, and life-long learners. It is necessary to offer these students the support to be successful in meeting their goals through distance opportunities.

Funding of this plan would align with the Governor's goals of: 1) making North Dakota a leader in student achievement and academic excellence, 2) making the University System (and Williston State College) an engine for economic growth, and 3) improving access to education for all North Dakotans through technology and other systems.

SCHEDULE 4

Williston State College
Proposed Plan for "Institutional Innovation" Funds
2001-03 Biennium

	Amount	Estimated FTE
Salaries	$ 73,460	1.00
Operating	5,061	
Equipment	17,500	
Capital Improvements		
Total	$ 96,021	

Plans for the Institutional Innovation Funds include:

1) Pool funding for distance education course development
2) Professional development funding to support distance education models
3) Equipment funding for distance education that would provide for course development and delivery for all disciplines
4) Funding for a financial aid support position (1 FTE)
5) Funding for equipment for new position

Distance education has become a priority at Williston State College as it has at many campuses. Traditional age student population is declining in North Dakota and the demand for distance education is increasing. As time progresses, Williston State College enrollment is projected to expand in the workforce training and distance education areas.

The Governor's goal of improved access to education for all North Dakotans through technology and other systems is supported in this plan for use of these "Institutional Innovation" funds. Williston State College has had great success in the medical transcription training program and looks for similar success as it takes it's associate practical nursing program to North Dakota communities. We believe these programs fit with our mission – To provide open access to higher education opportunities in academic transfer, occupational training, and community service. We also believe these programs are a vital part of the economic success of North Dakota. Economic growth is a goal of the Governor's and Williston State College plays an important role in achieving this goal.

As Williston State College has moved forward with distance education – being involved in the Distance Education Demo Project, the on-line associate degree, and collaborative program offerings, the financial aid program has become more difficult to manage at the current level of staffing. Williston State College has one full-time financial aid director with some part-time support provided by a business office employee. Over the last few years, the demand in the financial aid area has increased and will continue to increase as we get more students at a distance receiving federal financial aid. In 1998, a staffing model analysis, from the National Association of Student Financial Aid Administrators, calculated the recommended staffing level to be at 2.86 FTE. This calculation is based on federal dollars of aid distributed and the number of recipients on an annual basis. The University System has prioritized distance education by being a part of the United States Department of Education's pilot program (Distance Education Demo Project). Williston State College must prioritize financial aid staffing to progress effectively in this system project and continue our financial aid program that serves around 70% of our student population.

DIESEL TECHNOLOGY ADDITION $524,616

The Williston State College Strategic Plan includes a goal of obtaining NATEF certification for the Diesel Technology Program. NATEF certification is a nationally recognized certification for auto and diesel technology education training programs. According to NATEF certification criteria, the lab space needs to provide at least 400 square feet per student for the environment to be safe and conducive for learning. These space requirements do not include classroom space.

Over the years, demand for training in the diesel technology area has grown. To meet the increased demand, a Diesel Technology Instructor was added to the program starting in the 97-98 academic year increasing the number of instructors to three. Student enrollment in this program was then increased to 48. Over the past 8 years, the Diesel Technology Program enrollment has been at the maximum number of students allowable per instructor.

Following NATEF certification requirements, the minimum lab space for the current 48 students should total 19,200 square feet. The current lab space of 9,600 square feet is well below the minimum standard. An additional 12,000 square feet is required for this program to meet NATEF certification for current enrollment levels. An additional 6,000 square feet for a total addition of 18,000 would allow for growth in the future - but most importantly - it would provide a safe environment for the students and faculty in this program.

The pieces of equipment and mock units used in this program require considerable space. A recent incident in this area has caused concern over the cramped environment. The 1999-01 budget includes funding for additional building rental ($15,000) for this program. Options are being considered for a temporary solution with plans for a building addition to meet the campus's long-term goals.

The addition for the Diesel Technology Program is planned for the Crighton Building, which houses the current Diesel Program. Williston State College's Health and Wellness Center Addition that is planned for construction in the 1999-01biennium includes space for the Nursing and Physical Therapy Assistant Programs that are currently housed in the Crighton Building as well. Reallocation of this space to the Diesel Program for lab space is not feasible. Lab space requires wall height greater than the existing space where the Nursing and Physical Therapy Assistant Programs are located. The addition is planned for the east section of the Crighton Building where the wall height is appropriate for lab space.

Funding considerations:

* Project to meet health and safety requirements.
* Addition to existing facilities.
* Reallocation of existing space is not an option.
* Project is consistent with the campus physical development as shown in the Master Plan.
* The additional space requirements have been and continue to be a part of our strategic planning process that is consistent with Williston State College's mission.
* The Master Plan was developed hand-in-hand with the strategic planning process and includes campus wide participation.
* Community input is sought through the Diesel Program's advisory board and additional lab space has been considered a top priority for this group.
* A quality diesel program is consistent with the mission of the college.
* Additional space will be used for academic purposes.
* Additional space will allow for safe use of the existing equipment and for expansion of equipment and technology requirements for the future.
* Additional costs for utilities and maintenance should be covered through reallocation of the $15,000 currently in the budget for building rental.
* Custodial/maintenance salaries for additional space are not required. The cleaning of the lab space is part of the Diesel Technology Program where the students and instructional staff maintain the lab area. The physical plant staff as part of their regular duties will handle larger maintenance requirements. A new custodial position was added to the physical plant function in 2000-01 budget from reallocation.

Prepared by the North Dakota Legislative Council staff for Senate Appropriations
January 2, 2001

Department 228 - Lake Region State College
Senate Bill No. 2003

	FTE Positions	General Fund	Other Funds	Total
2001-03 Executive Budget	41.34	$4,724,062		$4,724,062
1999-2001 Legislative Appropriations	41.34	5,137,908	$8,352,572	13,490,480[1]
Increase (Decrease)	0.00	($413,846)	($8,352,572)	($8,766,418)

[1] The 1999-2001 appropriation amounts include the following general fund allocations for the institution's share of the funding pools appropriated to the Office of Management and Budget (OMB) and the University System:

- $2,198 of the $1.4 million funding pool appropriated to OMB for assisting agencies in providing $35 per month minimum salary increases in July 1999 and July 2000.
- $23,641 of the $2,630,992 critical salary funding pool appropriated to the University System to provide market and equity increases, in addition to legislatively appropriated salary increases.
- $103,980 of the $4,290,128 equity and special needs funding pool appropriated to the University System to address equity funding issues and academic program needs.

The 1999-2001 appropriation amounts do not include additional spending authority resulting from Emergency Commission action, other University System allocations occurring during the 1999-2001 biennium, and spending authority carried over from the 1997-99 biennium.

Major Items Affecting Lake Region State College 2001-03 Budget

	General Fund	Other Funds	Total
1. Removes requested appropriations for the following and provides, in Section 3 of Senate Bill No. 2003, that all income from federal, private, and other sources is appropriated to the institutions under the control of the State Board of Higher Education:			
Tuition income		($1,455,744)	($1,455,744)
Miscellaneous income		(4,760)	(4,760)
Income from continuing education activities		(1,375,000)	(1,375,000)
Local funds		(4,873,845)	(4,873,845)
Total		($7,709,349)	($7,709,349)
2. Decreases, from $99,774 to $74,831, funding for extraordinary repairs.	($24,943)		($24,943)
3. Decreases, from $994,544 to $0, funding for capital construction projects.	($499,544)	($495,000)	($994,544)
4. Replaces salaries and wages, operating expenses, and equipment line items with a new line item entitled operations.			
5. Provides no funding to the institution for recommended salary increases; the appropriation for recommended salary increases is included in the executive recommendation for the North Dakota University System office as part of the $19.4 million equity/special needs funding pool.			

Major Legislation Affecting Lake Region State College

Senate Bill No. 2037 - This bill provides a continuing appropriation to the State Board of Higher Education of all moneys received by institutions of higher education, including tuition income, and allows institutions to carry over unspent general fund appropriation authority.

Senate Bill No. 2038 - This bill requires that the budget request for the University System include requested amounts for base-, initiative-, and asset-funding components and requires that the executive budget appropriations bill for the University System include recommended appropriations for those components.

Senate Bill No. 2039 - This bill allows the State Board of Higher Education to authorize campus improvements and building maintenance projects financed by donations, gifts, grants, and bequests if the cost of the improvement or maintenance is not more than $500,000.

Senate Bill No. 2040 - This bill provides that bonuses, cash incentive awards, and temporary salary adjustments provided to employees of the North Dakota University System are excluded from the definition of a fiscal irregularity.

Prepared by the North Dakota Legislative Council staff for Senate Appropriations
January 15, 2001

Department 228 - Lake Region State College
Senate Bill No. 2003

	FTE Positions	General Fund	Other Funds	Total
2001-03 Schafer Executive Budget	41.34	$4,724,062		$4,724,062
1999-2001 Legislative Appropriations	41.34	5,137,908	$8,352,572	13,490,480[1]
Increase (Decrease)	0.00	($413,846)	($8,352,572)	($8,766,418)

2001-03 Hoeven Executive Budget	41.34	$4,724,062		$4,724,062
Hoeven Increase (Decrease) to Schafer	0.00	$0	$0	$0

[1] The 1999-2001 appropriation amounts include the following general fund allocations for the institution's share of the funding pools appropriated to the Office of Management and Budget (OMB) and the University System:

- $2,198 of the $1.4 million funding pool appropriated to OMB for assisting agencies in providing $35 per month minimum salary increases in July 1999 and July 2000.
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- $103,980 of the $4,290,128 equity and special needs funding pool appropriated to the University System to address equity funding issues and academic program needs.

The 1999-2001 appropriation amounts do not include additional spending authority resulting from Emergency Commission action, other University System allocations occurring during the 1999-2001 biennium, and spending authority carried over from the 1997-99 biennium.

Major Schafer Recommendations Affecting Lake Region State College 2001-03 Budget

	General Fund	Other Funds	Total
1. Removes requested appropriations for the following and provides, in Section 3 of Senate Bill No. 2003, that all income from federal, private, and other sources is appropriated to the institutions under the control of the State Board of Higher Education:			
Tuition income		($1,455,744)	($1,455,744)
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4. Replaces salaries and wages, operating expenses, and equipment line items with a new line item entitled operations.			
5. Provides no funding to the institution for recommended salary increases; the appropriation for recommended salary increases is included in the executive recommendation for the North Dakota University System office as part of the $19.4 million equity/special needs funding pool.			

Major Hoeven Recommendations Affecting Lake Region State College 2001-03 Budget Compared to the Bill as Introduced (Schafer Budget)

The Hoeven budget recommendation does not change the Schafer executive budget recommendation for this agency.

Major Legislation Affecting Lake Region State College

Senate Bill No. 2037 - This bill provides a continuing appropriation to the State Board of Higher Education of all moneys received by institutions of higher education, including tuition income, and allows institutions to carry over unspent general fund appropriation authority.

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Senate Bill No. 2040 - This bill provides that bonuses, cash incentive awards, and temporary salary adjustments provided to employees of the North Dakota University System are excluded from the definition of a fiscal irregularity.

Prepared by the North Dakota Legislative Council staff for House Appropriations
February 27, 2001

Department 228 - Lake Region State College
Senate Bill No. 2003

	FTE Positions	General Fund	Other Funds	Total
2001-03 Schafer Executive Budget	41.34	$4,724,062		$4,724,062
1999-2001 Legislative Appropriations	41.34	5,137,908	$8,352,572	13,490,480[1]
Increase (Decrease)	0.00	($413,846)	($8,352,572)	($8,766,418)
2001-03 Hoeven Executive Budget	41.34	$4,724,062		$4,724,062
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The 1999-2001 appropriation amounts do not include additional spending authority resulting from Emergency Commission action, other University System allocations occurring during the 1999-2001 biennium, and spending authority carried over from the 1997-99 biennium.

Major Schafer Recommendations Affecting Lake Region State College 2001-03 Budget

	General Fund	Other Funds	Total
1. Removes requested appropriations for the following and provides, in Section 3 of Senate Bill No. 2003, that all income from federal, private, and other sources is appropriated to the institutions under the control of the State Board of Higher Education:			
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3. Decreases, from $994,544 to $0, funding for capital construction projects.	($499,544)	($495,000)	($994,544)

4. Replaces salaries and wages, operating expenses, and equipment line items with a new line item entitled operations.
5. Provides no funding to the institution for recommended salary increases; the appropriation for recommended salary increases is included in the executive recommendation for the North Dakota University System office as part of the $19.4 million equity/special needs funding pool.

Major Hoeven Recommendations Affecting Lake Region State College 2001-03 Budget Compared to the Bill as Introduced (Schafer Budget)

The Hoeven budget recommendation does not change the Schafer executive budget recommendation for this agency.

Major Legislation Affecting Lake Region State College

Senate Bill No. 2039 - This bill allows the State Board of Higher Education to authorize campus improvements and building maintenance projects financed by donations, gifts, grants, and bequests if the cost of the improvement or maintenance is not more than $250,000.

Senate Bill No. 2040 - This bill provides that bonuses, cash incentive awards, and temporary salary adjustments provided to employees of the North Dakota University System are excluded from the definition of a fiscal irregularity.

Summary of Legislative Changes to Bill as Introduced

See attached Statement of Purpose of Amendment.

HOUSE APPROPRIATIONS COMMITTEE HEARINGS
SB 2003

Lake Region State College
March 7, 2001

I. The College

Lake Region State College is one of eleven campuses within the North Dakota University System. The college was founded in 1941 and has been serving North Dakota students for nearly sixty years.

Enrollment grew by 430 percent during the sixties, from 119 full-time students in 1960 to 516 full-time students in 1969. College facilities were overflowing with students, and a building project was launched. The college moved to its present, twenty-acre campus and into a new one-story, two-building complex in 1966. Since then there have been several additions to the original structures.

To reflect the changes that occurred during this period of expansion, the name of the college was changed in August 1981 from Lake Region *"Junior"* College to Lake Region *"Community"* College. The college Mission Statement was also extensively revised to reflect the intent to address three broad levels of educational need throughout the service community—the need for an academic-transfer program, for vocational-technical programs, and for community education services. The college continued in this direction throughout the next two decades.

Lake Region State College, Williston State College, and Bismarck State College entered the North Dakota University System on July 1, 1984 during the beginning of the turbulent eighties. The college was immediately faced with mandatory budget cuts to respond to the state's

budget shortfall, referrals which reduced revenues further, suggested solutions which often threatened the very existence of the institution, and tuition increases which placed North Dakota two-year college tuition among the highest in the nation.

The budget restraints of the eighties forced Lake Region State College to critically examine its very foundation and historic mission. This examination resulted in a planning effort which focused on development of strategies through which Lake Region State College's Mission—

"*. . . to be responsive to distinctive educational needs in the immediate service area and the State of North Dakota . . .*,"— could be achieved on a cost-effective basis. The institutional planning process led to development of short- and long-range goals, strategies, and action plans to tighten operations without cutting off the future. This focused effort over the last five biennia has resulted in reduction of low-enrollment programs that were not cost-effective and re-allocation of some of the saved resources to add new programs and update current curriculums.

In July 1999, the college was assigned a new mission to deliver Workforce Training. In the bill assigning the new mission, the Legislature created independent colleges—Lake Region State College and Williston State College—to lead the Workforce Training efforts in the northeast and northwest quadrants of the state. North Dakota State College of Science and Bismarck State College were assigned the southeast and southwest quadrants. The development of the Workforce Training system is part of a continuing effort to strengthen the role and contribution of community colleges in North Dakota. Recently, Alan Greenspan cited community colleges as the greatest hope for keeping the American workforce abreast of technology changes.

The college is one of over twelve hundred community, junior, and technical colleges in the United States providing students the opportunity to access education and training in their

local communities. Today, over one-half of all entering freshmen begin their college careers at two-year institutions. The average age in most two-year colleges is between twenty-eight and thirty-two. In addition to providing access for older, place-bound students, Lake Region State College provides a less expensive alternative for young, entering freshmen beginning their studies. The average cost today of a bachelor degree at one of North Dakota's universities is over 27,000 dollars. If a student spends two years at a two-year college—living at home and commuting—and then transfers to a university, the cost is less than 19,000 dollars. In an article in *Money Magazine College Guide*, titled *"The Bargain in Your Own Backyard,"* the author says, *"If someone told you there was a secret strategy that could get you a college education at nearly half-price, you'd probably dismiss him as a daydreamer. Yet, that strategy exists. You simply spend your first two years at a low-cost, public community college and then transfer to a four-year school. The result: You can cut the cost of a bachelor's degree by as much as 40 percent."* The article notes that one does this by paying a lower average tuition and saving approximately 2,500 to 4,000 dollars per year by commuting and not paying additional room and board. This strategy helps students reduce the debt load they carry upon leaving college.

North Dakota's two-year colleges are not only a bargain—they also provide an excellent education. With no research facilities nor graduate programs, community college professors can concentrate on teaching and advising students. In the years I have been working with instructors from the five two-year colleges through a Bush faculty development grant, I have been impressed with the level of dedication and expertise of the faculty at these two-year colleges. Because of their commitment to teaching and smaller class sizes, fewer students are *"washed out"* in their vulnerable first years. A review of the progress of our transfer students shows that they perform as well as students who start at the university, which is impressive since

many of them began with less preparation. I believe North Dakota has a particularly advantageous structure with all types of institutions represented in the North Dakota University System. We need the diversity of the educational institutions represented in the North Dakota University System to meet the needs of all of our students, from certificate and diploma offerings to doctorate programs.

II. New Initiatives, Programs, and Developments

The Lake Region State College Planning Team annually looks at current and potential programs to meet the needs of the northeast quadrant and of the entire state of North Dakota. The Planning Team looks at labor market information and requests from various industries within North Dakota to determine which new programs to develop. Many of the programs developed include partnerships with industry or other University System institutions. These partnerships provide additional opportunities for students while maximizing the use of resources.

In response to high demand for medium/heavy-duty diesel technicians and input from the Diesel Technician program advisory committee, the Diesel Technician program completely revised its curriculum to focus on medium/heavy-duty diesel engines and drive trains utilized in construction equipment, industrial equipment, and over-the-road trucks. In addition to curriculum revision, replacement and updating of the program's equipment and instructional aids was completed.

The Information Technology program has developed partnerships with industries in the IT field to include five industry certifications within its curriculum. Students can attain the following industry certifications—CISCO/CCNA, Microsoft MOUS, A+, Novell Netware, and Microsoft NT. The program also includes options in web-based programming languages and E-Business.

The college, in partnership with North Dakota School for the Deaf, has developed a Sign Language and Interpreting Studies program. The program was approved by the State Board of Higher Education in September of 2000 and will begin enrolling students in January of 2001. There is a critical shortage of trained sign language interpreters in the state. There are only eleven full-time educational interpreters in North Dakota who must meet the needs of 148 deaf children enrolled in public schools throughout the state and at the North Dakota School for the Deaf. The shortage of interpreters—not only in North Dakota, but nationwide—has pushed wages upward. Hourly wages range from nine dollars per hour to as much as fifty dollars per hour for highly skilled interpreters.

The college has continued in its focus on meeting employment and training need in public safety fields. In response to a request from the North Dakota 911 Association and area dispatch centers, a one-semester 911 Telecommunications Certificate program was developed. The program was offered for four consecutive semesters but did not develop sustainable enrollment nor industry support. There is currently no requirement for training prior to employment in this field in North Dakota. Until there is a pre-service training or certification requirement, the program enrollment will not be adequate to justify the resources expended. This program was placed on inactive status in May of 2000.

This past year, the college was contacted by a consortium of hospitals, nursing homes, and clinics. These employers were all from small communities that were having trouble finding and keeping licensed practical nurses. They wanted a LPN program delivered to a central community in their consortium. Lake Region State College contacted Williston State College, and the two institutions responded together to bring an LPN nursing program to Rugby. Lake Region State College began delivering general education courses this fall, and Williston State

College will deliver the nursing courses. The courses are being delivered at a distance utilizing several technologies. Most courses are being delivered via a conference room, video conferencing unit. Others are delivered on-line via the Internet, and some are a combination of face-to-face, online, and video conferencing. Staff from Lake Region State College, Williston State College, and Bismarck State College prepared a plan that coordinates delivery of LPN nursing to all quadrants of the state. This plan was presented to a task force appointed by the NDUS Chancellor.

Lake Region State College led the effort to put a collaborative Associate in Arts Degree online. All five community colleges in the North Dakota University System and several universities are collaborating to make all coursework for the Associate in Arts degree available via the Internet. Lake Region State College and the other community colleges will act as "home" institutions for on-line, degree-seeking students.

In order to provide more transfer options for students, Lake Region State College and Mayville State University have signed three transfer agreements—Early Childhood Education, Business Administration, and BS/BA in English. These agreements ensure the smooth transfer of students from Lake Region to Mayville with no loss of credits. They provide a framework for students to complete the first two full years of a bachelor's degree in these majors at Lake Region State College with complete confidence that the will credits transfer smoothly.

The college has been working closely with public schools in the region to incorporate tech Prep and School To Work programs into the school offerings. As part of Lake Region's efforts to provide higher education access to local schools, Dual Credit classes have been offered in 30+ communities, providing students with the opportunity to begin their college education early. The college has also supported access by delivering Early Childhood and Legal Assistant in

Williston, Minot, Bismarck, Dickinson.

In response to the Roundtable goal of aligning the the University System more closely with the needs of the state, Lake Region State College faculty, staff and administration have been working with the local economic developer to recruit new businesses to Devils Lake and North Dakota.

Recently, college administrative staff accompanied the local economic developer on industry visits to potential businesses in an effort to encourage the location of additional sites in the Lake Region. The college hosts tours for prospective businesses as well as presenting training options .

Since the mid-eighties, college personnel have been working to change programming, not only to react to tremendous fiscal challenges, but to be creatively prepared to meet projected changes in job skill levels. The College Planning Team has been cognizant of changing training and education needs for skilled workers. As noted in the report given to the State Board of Higher Education by the Bush Committee, and demonstrated in a brochure developed by the North Dakota State Board for Vocational/Technical Education, many *unskilled* jobs have changed to *skilled* jobs in the last several decades—and this shift will continue into the next several decades. In 1950, professional education (four-year baccalaureate and above) was required for twenty percent of available jobs, skilled training and education (two-years or less) was required for twenty percent of jobs, and no special training nor education beyond high school graduation was required for sixty percent of jobs. From 1950 to 1991, professional levels remained at twenty percent, unskilled dropped to thirty-five percent, and skilled increased to forty-five percent. It is projected that by 2000, the shift will be even more dramatic—with unskilled dropping to fifteen percent and skilled rising to sixty-five percent.

What does this mean for higher education? If these trends continue, the need for professional education will remain strong and continue to grow in relation to the number of expanding jobs. However, great change has already begun in the skill levels of blue- and pink-collar jobs and will explode in the next decade. Persons with limited skills will be relegated to low-tech, low-paying jobs. Unless America's workforce is rapidly brought to international skill levels, our society will lose its great working middle class and will bifurcate into a two-class system—the *"haves"* and *"have-nots."*

Lake Region State College has individually and as part of the North Dakota University System made concerted efforts to respond to these changes in job requirements. In recent years the college has re-allocated resources from lower-skill, under-enrolled curriculums to high-tech curriculum offerings. Cognizant of the need for people to re-train and re-position their job skills many times throughout their lives, we have expanded our re-training efforts. We have also linked high-tech programs to four-year programs whenever possible to provide students with the much-needed professional credentials they need to maintain high-quality employment.

The two-year colleges in the North Dakota higher education system have begun an exciting endeavor to provide a more coherent, responsive set of program offerings state-wide to meet the shift in unskilled to skilled job requirements. The State Board of Higher Education approved formation of the College Technical Education Council (CTEC) as a means of more systematically reviewing training and education needs for the skilled worker level—two years of college or less. In the past, as new job requirements developed, the post-secondary, two-year system was in a response mode rather than a proactive planning mode. As pressure for new programs developed, two-year colleges would respond individually—often competitively—to the need. This approach was costly, inefficient, and slow. Working as a team—with input from the

secondary system of vocational-technical programs, State Board for Vocational/Technical Education staff, Job Service North Dakota, the Governor's Workforce Development Council, and other entities—two-year, post-secondary institutions are charting a plan to meet emerging needs rather than to merely respond as pressure for new training needs builds.

Through CTEC, the two-year colleges have spent considerable time during the past five years building a system which has 1) identified campus strengths through a program-mapping process, 2) created a Workforce Training unit, and 3) developed a follow-up information system to track graduates, and 4) organized a North Dakota Community College Consortium of faculty and administration to design cooperative faculty development programs and to write collaborative grants. They have taken a leadership role in development of a general education agreement and common course numbering project which is making transfer easier for students.

Lake Region State College is highly supportive of a coordinated approach to curriculum development. The college has invested heavily in terms of personnel and resources in focusing its mission toward unduplicated vocational/technical programs.

III. Workforce Training

Since Workforce Training was initiated in the last biennium, I would like to take a few minutes to update you on the progress the college has made since the inception of the program. Lake Region State College believes community colleges are a vital educational link in providing the workers needed to fuel the fast-growing knowledge- and technology-based industries necessary to support North Dakota's future economy. The pioneers who settled North Dakota worked together with family and friends to break the sod and build houses and cities. This is our heritage, one of cooperation and hard work—building our economy with North Dakota ingenuity and a Midwest work ethic. The college believes in this pioneering spirit and strives to

be a true resource and partner for economic developers, utility providers, government representatives, communities, and business people.

With this renewed pioneer spirit and a change of status from a branch campus of UND to operating as an independent college within the North Dakota University System, Lake Region State College administration, faculty, and staff have more flexibility to pursue partnerships and collaborative agreements with business, industry, and other educational institutions. This flexibility has allowed the college to better meet the goals set forth by the Legislature, the Higher Education Roundtable for the Legislative Council Interim Committee, the North Dakota State Board of Higher Education, and the communities and citizens it serves. The new college mission statement—which includes workforce training and a concern for the economic stability of its stakeholders—has led to a global outlook and visionary operational style from college personnel. This college-wide involvement in economic and workforce development has led to successful completion of 55 partnering agreements to date.

Lake Region State College has committed to 24 agreements with other educational institutions regarding articulations of programs, use of facilities or equipment, and faculty sharing. In addition, the college has many alliance agreements with businesses and other agencies to more effectively leverage the capacity and resources of both parties. Among these agreements are:

- Facilities and equipment use agreements, such as the Leeds Municipal Airport agreement with the Police Officer Training Program, the Cankdeska Cikana Community College agreement for use of a T1 line for distance delivery of health programs, and the United States Air Force-Grand Forks Air Force Base agreement for delivery of educational programs at that location;

- Business agreements, as with CISCO and Microsoft to certify the college as a testing and training delivery site; and
- Cooperative agreements, as with Job Service North Dakota, Women and Technology, the Small Business Information Centers and the Devils Lake Traffic Safety Council for the collaboration in delivery of services.

In the summer of 2000, Lake Region State College's Workforce Training Partnership teamed up with the FORWARD Devils Lake Economic Development Corporation to gather information concerning the willingness and skills of individuals who may wish to return to the Lake Region to live and work. The survey format and data were designed by the Workforce Training Manager and approved by FORWARD Devils Lake representatives. The Workforce Training staff then administered the survey at functions of the 2000 Devils Lake All School Reunion held over the July Fourth holiday. The results of this data may help to identify skilled workers willing to relocate to the area should jobs in their fields become available. This type of cooperation between the economic development entities and the college exemplifies the alliances with viable economic units within the state called for in the Legislative Council Interim Committee Roundtable report.

In addition to this data collection, college representatives have accompanied FORWARD Devils Lake representatives on business recruitment trips to Minnesota and hosted several meetings at Lake Region State College meant to attract expanding or relocating businesses. One such meeting was particularly rewarding, resulting in the relocation of a company called CADGraphics to Devils Lake. CADGraphics is a software company that designs and sells a software program to enhance security systems in buildings around the world. The software monitors smoke detectors, fire alarms, and security systems. Dan Horon, owner of

CADGraphics, had this to say of their decision, *"I knew we had to come to Devils Lake when I saw the computer classrooms at Lake Region State College. The school has some of the best training facilities I have ever seen, anywhere in the country."*

Lake Region State College has proven its willingness and ability to respond to the educational needs of its constituents by providing several programs in response to workforce shortages. A Licensed Practical Nurse class started in the fall of 2000 in Rugby at the Heart of America Medical Center is one example. This course, offered in conjunction with Williston State College, was developed after a need for such classes was expressed by the Rugby Area Medical Consortium. This Consortium consists of representatives from several health care facilities in rural northeastern North Dakota who have similar needs and shortages in their nursing workforce. Classes are held at the Rugby High School, and the program has students from Anamoose, Bisbee, Esmond, Minot, Rock Lake, Rolette, Rolla, Rugby, and Wolford.

One of the most successful partnerships Lake Region State College has secured is evidenced in an agreement with the University of North Dakota's Office of Workforce Development providing for formation of the Northeast Workforce Training Partnership. This equal partnership has led to consistent workforce training delivery and coverage of the northeast quadrant for rural and urban employers.

A 1998 state task force representing business, education, and government spent six months examining North Dakota's workforce training system and researching the best practices of other states. The group concluded that major changes were urgently needed for business and industry in all regions of the state to remain viable. The goal was to provide business and industry in North Dakota with the most competitive workforce in the nation.

The resulting 1999 North Dakota state legislation made workforce training—on a

statewide level—a top priority. The state legislature allocated fiscal year 2000 funds to create a new workforce training system for North Dakota. The centerpiece of this plan was establishment of four defined service regions that cover the entire state.

As part of this system, Lake Region State College closed its Small Business Management program, rolled its Business and Industry Training department into the new initiative, and on July 1, 1999, formed the Workforce Training Division that consists of the partnership between the University of North Dakota's Office of Workforce Development and the college.

Since its inception, the Northeast Workforce Training Partnership has been successful in meeting or exceeding its operational goals. The Advisory Board, as specified by North Dakota State Board of Higher Education Policy 350.2, contains representatives from business, labor, economic development, and tribal colleges. This board has met on five occasions and approved budget, business, and operational plans and counseled the Partnership staff on procedural issues. Advisory Board members have also been active participants in the fund-raising process, helping the college president to raise 55,000 dollars in funding the first year and secure pledges for contributions for the following two years. Jim Dahlen, FORWARD Devils Lake Executive Director and donor to the partnership says, *"As an economic development organization in the Lake Region, we are pleased to add our financial backing to an educational system that fosters the growth, attraction, and retention of business and industry. The availability of training in new or advanced skill areas continues to be a concern for companies wishing to relocate into our area. The Northeast Workforce Training Quadrant ensures our capacity to meet those needs. We have found Northeast Workforce Training Quadrant representatives to be responsive to our requests and timely in their solutions. We believe the Northeast Workforce Training Quadrant has a genuine concern for the economic growth and social vitality of North Dakota and will*

continue to strive towards these goals." Paul Brewinski, President GFG Foodservice, has shown his support for the Partnership by Chairing the Northeast Workforce Advisory Board and attending State Board of Higher Education meetings when requested and speaking on behalf of the partnership.

To date, the Northeast Workforce Training Partnership has provided over 11,000 hours of training, to over 1,800 people, in over 30 topic areas, for 83 businesses or organizations, and had a first year's revenue total of 99,106 dollars. The partnership staff has contacted almost 5,000 business owners by mail and personally visited over 300 companies or organizations in the northeast quadrant.

The ongoing success of the Workforce Training initiative will heavily depend on its continued funding through legislation. As stated in the Roundtable report, *"The system should be used as a key strategy in helping existing and potential businesses remain competitive, helping companies within the state transition to the knowledge-based, technology-driven economy, and assisting economic development organizations in attracting new primary sector companies to the state."* With the help of state funding, the Northeast Workforce Training Partnership has proven its worth in each of these areas. Current state funding allows for an infrastructure designed to deliver the necessary broad array of facilitation and delivery of training without burdening the regional businesses who pay for the actual costs of training. The benefit to our regional economy is measured by the satisfaction rating of the training provided (currently 98 percent) and the amount of repeat customers (23 percent) in the first year of operation. Many returning clients could not pursue further or more advanced training for their employees if the costs to them included the costs of maintaining the infrastructure to deliver these services. The worth of the infrastructure is also measured by its value to economic

development agencies who recruit businesses to North Dakota. Availability of training is one of the top concerns of most companies deciding to expand or relocate their businesses. The presence of a Workforce Training Representative from the initial meeting onward sends a powerful message about the flexibility and availability of North Dakota's educational system. The Governor's Budget includes the current level of funding for Workforce Training. Considering the 875,000 dollars appropriated for the first biennium was less than the 1 million requested for that biennium, that amount ($875,000) will not be sufficient to expand the Workforce Training capability to smaller communities. Without continued funding to support workforce training infrastructure, workforce training staff will be forced into a *"move the people through the door"* mentality where revenue generation and bottom line numbers mean survival and economic development becomes someone else's concern. The current workforce training quadrant model has made great strides in its first year of operation. Continuation of this model can only lead to success.

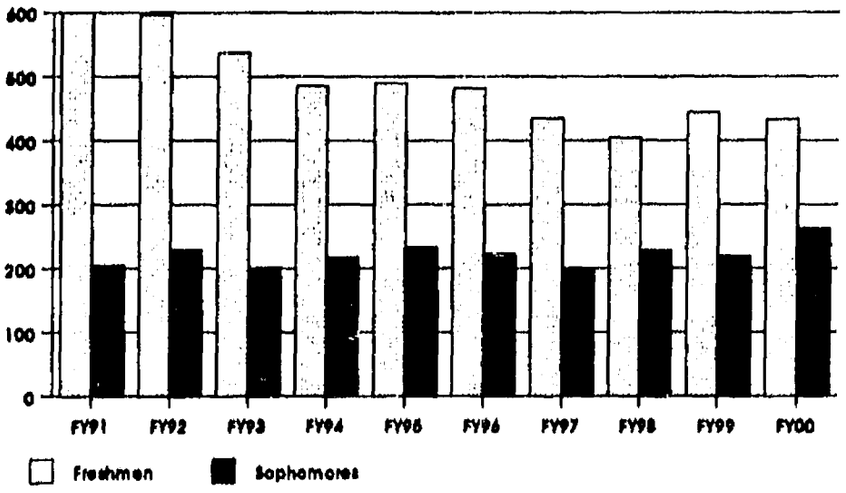
IV. Students

Lake Region State College has an open enrollment policy serving students regardless of race, color, sex, creed, age, handicap, or national origin, according to non-discriminatory policy.

Most Lake Region State College students are from North Dakota. Air Base students are primarily military personnel and their dependents. Total headcount for the 1999-2000 academic year at the Devils Lake campus was eleven hundred students with twelve hundred students enrolled at the Air Base campus.

Certificate, diploma, and transfer students create a larger number of freshmen than sophomores at the Devils Lake campus, as indicated in the Figure 1, on following page.

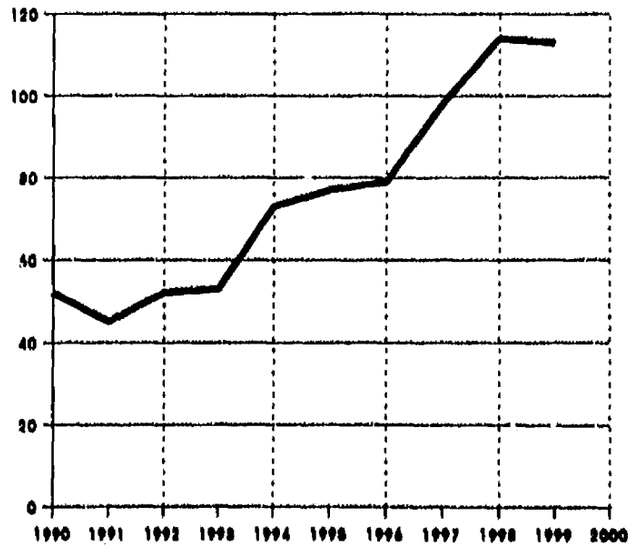
Figure 1: Freshman/Sophomore Headcount



Since 1990, the College has experienced an increasing number of part-time students at the Devils Lake campus. Dual Credit is changing the student profile, and the increased part-time enrollments from area schools has raised the full-time equivalent (FTE) count (See Figure 2, below) and widened the area served by the College.

Ten years ago, most enrolled students came from the five counties surrounding the College. Now the College enrolls students from a much broader area. Although the population in North Dakota is declining, Figure 3, on following page, indicates enrollment in Ramsey and Benson Counties has stayed relatively constant during the last ten years, thanks to partnerships with area schools (State-Wide Enrollment by County).

Figure 2: FTE Enrollment of Part-Time Students, Devils Lake Campus



Dual Credit enrollment also affects the average age of the student body (See Figure

4, below). Ten years ago, students who were eighteen to twenty-four years of age equaled the number of students older than twenty-four. Currently, more than two-thirds of students are under the age of twenty-four. The largest group represented in the over-25 category is students enrolled in the Adult Farm Management program.

Student Ethnicity and Gender—Because the population of North Dakota is so homogeneous, and the student body reflects this, an effort is made to recruit and retain minority students.

In the fall of 1993, the State Board of

Figure 4: Enrollment by Age

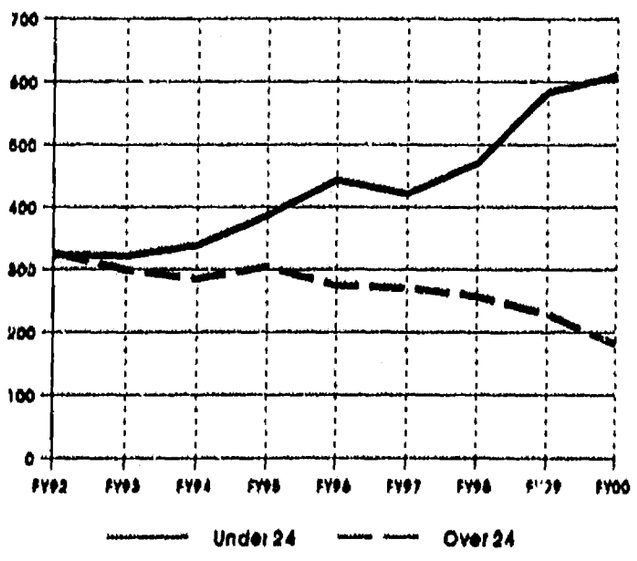
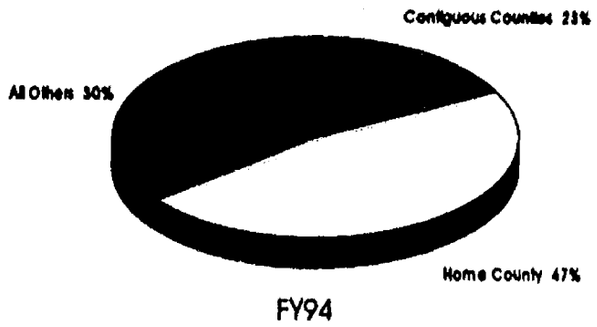
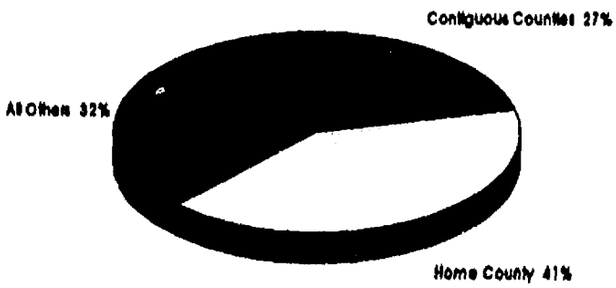


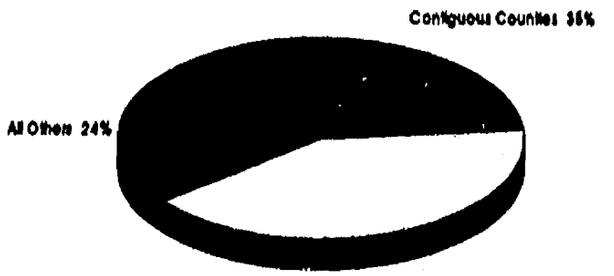
Figure 3: Enrollment by County, FY94 through 00



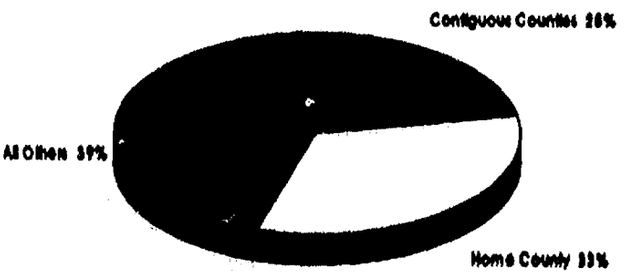
FY94



FY96



FY98



FY00

Higher Education approved the awarding of tuition waivers to qualifying students (Tuition Waiver Policy). The waiver is not to exceed three percent of the undergraduate enrollment. This endeavors to facilitate enrollment of culturally diverse and economically disadvantaged students (*SBHE Policy* 820.8). Despite these efforts, ethnicity on the Devils Lake campus has changed very little in the last ten years. Today, minority enrollment is six percent of the student body.

Figure 5: Enrollment by Gender, Devils Lake Campus, 1999-2000

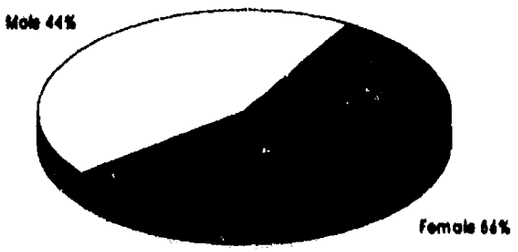
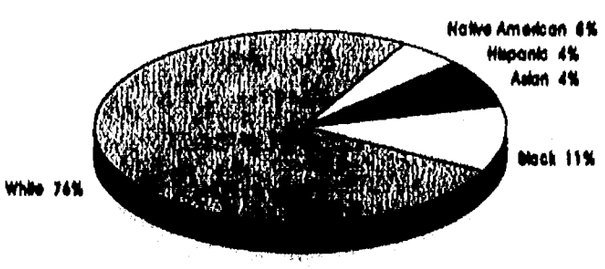


Figure 5, above, depicts the current ratio of males to females enrolled at the Devils Lake campus.

Air Force Base Campus Enrollment—Terms 1 and 2 are used as the official third week count for reporting purposes. In 1997, loss of Air Base personnel affected enrollments, but in 1999 enrollment increased due to non-military students taking courses at the Air Base (Enrollment in All Terms, GFAFB).

Enrollment at the Grand Forks Air Force Base campus fluctuates from term to term. Base enrollment is affected by Tours of Duty (TDY), world events, and changes in the military mission of the Air Base.

Figure 6: Ethnicity of Students, Grand Forks Air Force Base Campus, 1999-2000



Ethnicity and Gender at Air Base Campus—The diversity of the Air Force Base population is reflected in the student population at the Air Force Base campus. Currently, minority students make up twenty-

four percent of student enrollment (See Figure 6). Gender also reflects the population at the Air Force Base. The student body is forty-seven percent male and fifty-three percent female (First Term Enrollment, 1999-2000).

V. Budget

Now includes Salaries, Operating, and Equipment as one line item (Operations) and a line item for Capital Assets (formerly known as Capital Improvements) in SB2003 and encompasses only General Fund Appropriations, not tuition income.

First, let us compare the General Fund Appropriation for the 1999-01 biennium (excluding funding for major Capital Assets, one-time legislative initiatives, special SBHE pool allocations, and General Fund carryover) with the needs-based budget request for the 2001-03 biennium (excluding major Capital Assets) and the amounts included in the Governor's Budget for 2001-2003 (no funding for Capital Assets was included in the Governor's Budget.):

Figure 7: Comparison of 2001-2003 Needs-Based Request and 1999-2001 General Fund Budget

	Needs-Based 2001-03 Request	1999-01 General Fund Budget	(Reduction) or Addition
Operations	$4,872,253[1]	$4,498,590	$373,663
Capital Assets (excludes major projects)	$20,274	$99,774	($79,500)
Totals	$4,892,527	$4,598,364	$294,163

[1] *The 2001-03 Requested GF Appropriation for Extraordinary Repairs reflects proposed re-allocations to Operations needed to cover "cost-to-continue" items (additional FY01 salary increases, Risk Management premiums, utilities increases, and general operating inflation.*

The Lake Region State College request was for an increase of 294,163 dollars over the 1999-01 General Fund Appropriation. The increase of 294,163 dollars was requested to restore a net reduction of 60,531 dollars made to offset "cost-to-continue" items, such as operating inflation, FY01 salary increases, utilities increases, and the Risk Management insurance premium. (The actual reduction was 145,274 dollars but was offset by a 17,843-dollar projected

increase in tuition revenue from the continuation of the tuition rate increase levied in FY01 and by an estimated tuition revenue increase of 66,900 dollars generated by a proposed rate increase for the 2001-03 Biennium.) The balance of the request was 233,632 dollars for Renewal and Replacement for facilities, Technology Applications/Access, and Institutional Innovation. These three requested items were scheduled to be allocated to Lake Region State College from pool funding provided by the Legislature to the SBHE. However, the total pool dollars allocated to the State Board of Higher Education are insufficient to fully fund these needs.

Figure 8: Comparison of 2001-2003 Governor's Budget and 2001-2003 Needs-Based Request

	Governor's 2001-2003 Budget	Needs-Based 2001-2003 Request	(Reduction) or Addition
Operations	$4,583,154[1]	$4,872,253[2]	($289,099)
Capital Assets (excludes major projects)	$74,831	$20,274	$54,577
Totals	$4,657,985	$4,892,527	($234,542)

1 *The Governor's Budget for Operations, for comparison purposes, has been reduced by the $66,077 that was included to cover the increased costs of health insurance premiums.*

2 *The 2001-03 Requested OF Appropriation for Extraordinary Repairs reflects proposed re-allocations to Operations needed to cover "cost-to-continue" items (additional FY01 salary increases, Risk Management premiums, utilities increases, and general operating inflation.*

The Governor's Budget includes 289,099 dollars less in Operations than requested in the Needs-Based Budget. However, the Governor's Budget includes 54,577 dollars more in the Capital Assets maintenance line. Thus, the Governor's Budget is 234,542 dollars less than the Needs-Based Budget request.

Figure 9 Comparison of 2001-2003 Governor's Budget and 1999-2001 General Fund Budget

	Governor's 2001-03 Budget	1999-2001 General Fund Budget	(Reduction) or Addition
Operations	$4,583,154[1]	$4,498,590	$84,564
Capital Assets (excludes major projects)	$74,831	$99,774	($24,943)
Totals	$4,657,985	$4,598,364	$59,621

[1] *The Governor's Budget for Operations, for comparison purposes, has been reduced by the $66,077 that was included to cover the increased costs of health insurance premiums.*

As you can see, the General Fund appropriation, other than the increase for health insurance, for Operations and Extraordinary Repairs included in the Governor's Budget is only 59,621 dollars (or 1.3 percent) greater than the 1999-2001 General Fund Appropriation received. This budget increase does not cover cost-to-continue mentioned earlier, and, in fact, the college must find 60,531 dollars to cover the second-year salary increases from the 1999-2001 biennium and inflationary increases.

At a minimum, the unfunded cost-tocontinue amount of 60,531 dollars must be funded, or Lake Region State College repair budgets will be decimated in an effort to handle the shortfall.

Faculty and staff salary increases continue to be among the college's greatest priorities. Faculty and staff salaries remain well below the regional average. Lake Region State College has utilized whatever flexibility it has been provided by the State Board of Higher Education and the Legislature to increase salaries in the last three biennia. In addition, Lake Region State College has internally re-allocated resources to meet additional salary increases mandated by the SBHE's Six-Year Plan. These internal re-allocations have come at the expense of the flexibility needed to meet rapidly changing instructional demands—the essence of a community college delivery system. The funding for the cost-to-continue salary increases given in FY01, pursuant to

the SBHE mandate, was not included in the Governor's Budget for the 2001-03 biennium. The funding shortfall related to these salary increases poses an enormous problem for Lake Region State College if not added back to the appropriation for 2001-2003.

For general salary increases to be given in 2001-2003, the Governor's Budget has provided a pool of funds to the SBHE to be re-allocated to the institutions within the North Dakota University System. Without the crucial funding needed to cover the cost-to-continue additional FY01 salary increases, Lake Region State College will be forced to utilize a portion of its pool allocation to meet those needs before any new salary increases are given. Lake Region State College employs a minimum number of staff and faculty to carry out its mission; there simply is no room to cut positions without curtailing delivery of services.

The restoration of the cost-to-continue amounts have been proposed to be reinvested in the institution by funding a portion of a nursing program position to ensure the success of the recently developed training program in the northeast quadrant of the state. In addition, a substantial portion of the repair budget would be restored, and the institutional fees budget would be enhanced. Only a small portion of the extraordinary repair budget would be restored due to the funding limitations.

The proposals to condense line items and to remove income from the appropriation process are strongly encouraged by Lake Region State College. The current process of appropriating income is rather cumbersome and adds no real value to the process of managing the institutions within the North Dakota University System. The flexibility needed to manage the business of higher education today would be enhanced by eliminating income in the appropriation process and allowing institutional managers to make budget adjustments to various line items as fluctuations in income would dictate. Management of the institution takes

place on the individual campus; it's time to place the proper tools in the hands of those managers.

VI. Equipment/Capital Maintenance/Major Repairs/Major Projects

The college must continue to seek funding for adequate equipment for high-tech vocational programs to provide state-of-the-art training. The North Dakota University System *Six Year Plan* calls for development of mechanisms to permit campuses to update equipment and technology to provide education and training that is current with business, industry, and research standards. Also, a realistic equipment replacement schedule is needed.

Lake Region State College was appropriated 99,774 dollars for the 1999-2001 biennium for major repairs/remodeling expenditures. In addition, the college utilized 41,100 dollars from excess revenue carried over from the prior biennium. In total, the college utilized 141,874 dollars for capital improvements for the last biennium, compared with 74,831 dollars included in the Governor's Budget for 2001-2003. This budget amount will not provide the necessary resources for capital maintenance.

The college has sought funding from several sources to address capital projects before requesting state collars. For example, the major improvement at the college during the last biennium has been renovation of the college auditorium. The auditorium had had no improvements since it was constructed over thirty years ago. Recognizing the need for renovation of the auditorium, the Community College Foundation committed to raising half of the dollars needed for a renovation. Another example, the college recognized the need for a connecting road on the north side of the campus. The college worked with the North Dakota Telephone Company, and an agreement was reached. The North Dakota Telephone Company built the connecting road in exchange for lease of land to build an office building. We're very

proud of this cooperative venture which demonstrates that by working together we can solve difficult problems.

The College Master Plan identifies several long-term needs which have been prioritized as being most critical. They include the science lecture bowl and laboratories, campus networking, a technical training facility, storage and parking on the north side of the building, and a front entry and welcome center.

Campus networking is an ongoing need. Upgrading the backbone to fiberoptic wiring and servers to accommodate voice, data, and video is vital. The science laboratories have not been updated since they were built in 1966 and are becoming worn and outdated. Through renovation of the laboratory and classroom space, we will be able to provide state-of-the-art education, and a safer, more healthful environment.

Projects to be completed by August 2001 include asbestos removal in the student union basement, re-surfacing the main entry road, and upgrading of the fire alarm system in the Erlandson Building. The asbestos removal will be funded with dollars from the asbestos law suite. Re-surfacing will be funded with local parking fee funds. The fire alarm upgrade will be funded through appropriated ADA funds.

VII. Tuition Levels

The current tuition level for two-year colleges in North Dakota is among the highest in the nation. The North Central Association Accreditation Team identified tuition level as the most serious problem facing the college in the next decade. The philosophy of the community college is that all persons will have access to education and/or training. Community college tuition is kept low in most states as an investment in a trained workforce for economic

development. Holding the line of tuition increases at two-year colleges will assist us in coming into line with regional tuition and will provide more accessible education and training for persons entering the workforce.

VIII. Closing Comments

I have taken the time to share with you a bit of our development and direction so that you will have a reference point for reviewing our budget requests and priorities. The major direction for the institution in the coming biennium will be to continue to improve vocational/technical program offerings, lead the workforce training model for the northeast quadrant of the state in support of economic development, improve faculty and staff salaries, facilitate the transfer process for students, strive to provide access by maintaining affordable tuition, and provide well-maintained, repaired equipment and facilities for students and staff.

The fiscal picture for the college under the Governor's Requested Budget is positive in helping us meet these objectives. We support the Governor's Requested Budget but need the Phase II Workforce Training budget to be funded at the 1.3 million dollar level.

We appreciate the support we have received in the last several bienniums despite state fiscal constraints. Legislative support has helped the college move closer to long-term fiscal stability and institutional viability. Partnerships between the community and the state are bringing the physical plant and site to excellent repair. We look forward to your continued positive support. May you be granted the wisdom to sort out the pressing needs with fairness and sensitivity.

I will be happy to respond to any questions you may have about our proposed budget and projects priorities.

TidBits 2000 . . .

. . . *Interesting snatches of info about Lake Region State College*

Enrollment:

"Headcount History"		FTE History	
Fall 2000	902	2000	492
Fall 1999	805	1999	474
Fall 1998	826	1998	492
Fall 1997	738	1997	439
Fall 1996	704	1996	437
Fall 1995	723	1995	479
Fall 1994	705	1994	455
Fall 1993	634	1993	442

Students Served: 98.5% of students are from North Dakota.
54.5% of students are women, 45.5% are men.
25.4% of students are "older than average" *(22 and older)*.
The student body includes 35 American Indian, 2 Black, 3 Asian, and 7 Hispanic students.
158 students live in the resident halls. *(Resident hall capacity is 200 students.)*
Since 1941, the college has served over 40,000 students.

Financial Aid: 90% of freshmen received some form of financial aid.
93% of continuing students received some form of financial aid.
263 students received Community College Foundation funded scholarships in 1999/2000.

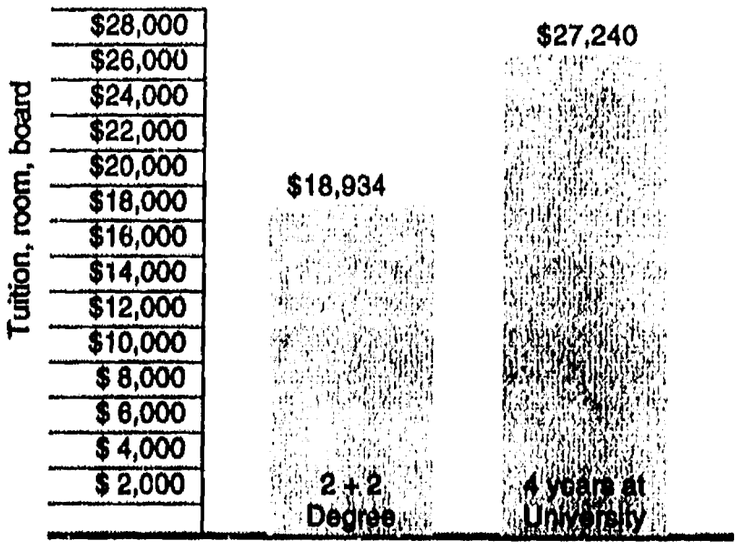
	PELL		Total Financial Aid
Year	# of Students	Total Dollars	Total Dollars
1999-2000	322	600,657	1,738,645

Included in the total financial aid listed above are grant scholarships, loans, and other outside financial aid.

Faculty:

- Twenty-five faculty teach full-time.
- Ten full-time faculty teach in academic-transfer programs. Academic qualifications include:
  3 Bachelors of Science degrees, working to complete masters degree programs
  6 Masters degrees
  1 PhD degree
- Fifteen full-time faculty teach in vocational-technical programs. Academic qualifications include:
  2 Associate of Arts degrees, supplemented with extensive on-the-job experience
  6 Bachelor of Science degrees
  6 Masters degrees
  1 Juris Doctorate
- Eighteen (approximate) instructors are hired on a part-time basis each year.

Average cost of Bachelors Degree



Lake Region State College Education Costs

Tuition/Fees	$2,078
Room and Board	2,818
Books	600
Total	$5,496

***Assuming student lives at home during first two years.*

On-Campus Enrollment	Outreach *(i.e.,Grand Forks Air Force Base)*	Continuing Education	Economic Development *(i.e., Business and Industry Training)*
To provide academic courses and programs that lead to an associate of arts degree, meet North Dakota University System General Education requirements, and allow for seamless transfer to baccalaureate-granting institutions.	To provide outreach educational opportunities for individuals who are time- and/or place-bound	To provide opportunities for continuing and life-long learning in the form of cultural, educational, occupational, social, athletic, and vocational programs, courses, workshops, and institutes.	To develop linkages with businesses, industry, and organiza-tions to encourage economic development.
To provide courses and programs in vocational and technical career training that lead to a certificate of completion, a diploma, or an associate of applied science degree preparing students for an imme-diate career, advancement in specific occupations, or transfer to an articulated technical baccalaur-eate program.		Continuing Education: Non-Credit ..... 521 Credit ..... 509 Adult Basic Education: Non-Credit ..... 112 Community Events . 35,000-45,000	
Unduplicated headcount 1,338	Unduplicated headcount 923		Headcount 1,180

Program	Certificate	Diploma	Associate of Arts	Associate of Applied Science
Accounting/Business Administration			X	
Administrative Assistant *(Secretary)*	X	X		X
Agri-Farm Business Management		X	X	X
Automotive Technician	X	X		X
Child Care Specialist	X			X
Diesel Technician		X		
Information Technologies *(Computer)*	X	X		X
Law Enforcement				X
Liberal Arts *(Academic Transfer)*			X	
Legal Assistant *(Paralegal)*				X
Marketing/Merchandising	X			X
Nurse Assistant	X			
Office Management				X
Peace Officer Training	X			
Sign Language and Interpreting	X			
Simulator Technologies *(Electronics)*				X

Community College Foundation Support

Fiscal Year	Annual Gifts/Pledges
1991/1992	$ 152,575.00
1992/1993	*$ 515,000.00
1993/1994	$ 206,311.00
1994/1995	*$ 374,861.00
1995/1996	$ 240,657.00
1996/1997	*$ 425,938.00
1997/1998	$ 212,740.00
1998/1999	$ 202,720.00
1999/2000	$ 209,639.00
Total	**$2,540,441.00**

*Includes large estate gifts

Local dollars raised for Library Expansion Project $ 528,771.00
Local dollars raised for Auditorium Renovation Project $ 555,340.75

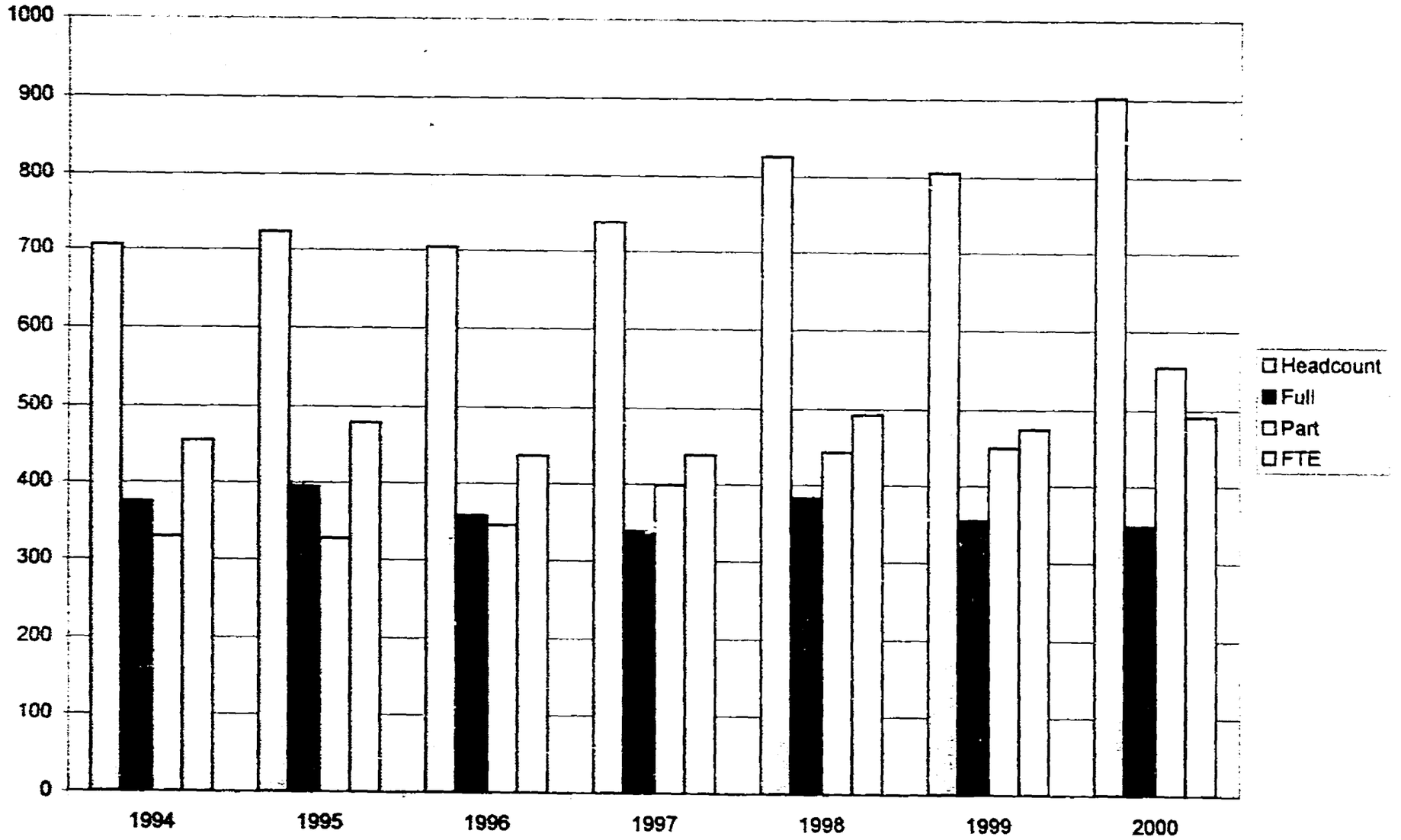
Scholarships provided by Foundation and Thelin Trust		
Fiscal year	Total dollars	Students
1999/2000	$137,412.00	263
1998/1999	$131,552.00	225
1997/1998	$ 107,686.00	211
1996/1997	$ 112,471.00	194
1995/1996	$ 111,297.00	210
1994/1995	$ 95,426.00	188
1993/1994	$ 86,716.00	152
1992/1993	$ 71,020.00	130

Lake Region State College

Enrollments by Term

Semester	Year	Term	Session	Headcount	Full-Time	Part-Time	FTE
Fall	1994	951	OC0	705	375	330	455
Fall	1995	961	OC0	723	395	328	479
Fall	1996	971	OC0	704	358	346	437
Fall	1997	981	OC0	738	339	399	439
Fall	1998	991	OC0	826	383	443	492
Fall	1999	001	OC0	805	355	450	474
Fall	2000	011	OC0	902	348	554	491
Spring	1995	953	OC0	667	359	308	429
Spring	1996	963	OC0	679	373	306	455
Spring	1997	973	OC0	663	341	322	423
Spring	1998	983	OC0	795	332	463	442
Spring	1999	993	OC0	803	363	440	493
Spring	2000	003	OC0	727	330	397	436
Spring	2001	013	OC0	851	330	521	476
Summer	1996	964	OC0	110	0	110	28
Summer	1997	974	OC0	106	5	101	33
Summer	1998	984	OC0	108	6	102	34
Summer	1999	994	OC0	126	16	110	51
Summer	2000	004	OC0	184	6	178	52

LRSC Fall Enrollment



Lake Region STATE COLLEGE

Placement Report

F•A•C•T•S

Each year, Lake Region State College prepares a Graduate Placement Report. The intent is to provide factual information about placement of students who recently graduated from Lake Region State College. The information provided below was carefully gathered and reflects our best attempts to contact all graduates during the 1998-99 school term. The information was compiled using a combination of student survey results, and telephone contacts.

We hope this information is helpful for prospective students, career counselors and others involved in career planning. For more information, please contact the Student Services Office 1-800-443-1313 ext 514 or e-mail us at Admissions@lrsc.nodak.edu.

The 1998 placement figures are calculated by the following guidelines.

1. Students working in their field of study are combined with those continuing their education are in the "% placed in field of study" category.
2. The Law Enforcement program and the Peace Officer Training program figures are combined.
3. No distinctions are made between students graduating with a Certification or a Degree.
4. The "% of graduates placed" column includes all students who are employed. The "In Field of Study" column includes all students who are employed in their field of study.
5. Those we were unable to find (8) were not calculated in the final numbers.
6. Students graduating from the Grand Forks Air Base are not included in the report.
7. The Diesel Technology program has not completed a full two-year cycle, and therefore shows 0 graduates.

Lake Region State College Placement Data
(for graduates of fall term 1998 and spring and summer terms 1999)

***North Dakota Labor Market Info**

Degree Programs	# of graduates responding	% of graduates placed	% placed in field of study	# of related job openings in ND	Average Annual Salary
Acct/Busn Admin	8	100%	88%	2,936	$21,100
Administrative Assist	13	85%	64%	9,082	$19,698
Agri-Farm Busn Mgmt	4	100%	100%	1,934	$19,078
Auto Tech	8	100%	88%	752	$25,222
Diesel Tech	0 new program	0	0	256	$26,473
Early Child Care	7	100%	71%	801	$14,755
Information Tech	12	92%	73%	1,036	$34,512
Law Enforcement/POT	31	100%	97%	499	$27,401
Legal Assist	5	60%	60%	151	$24,850
Marketing/ Merchandising	4	75%	75%	5,833	$25,858
Nurse Assist	56	100%	100%	998	$15,220
Tlee Mgmt	1	100%	100%	279	$28,740
Simulator Maintenance	3	100%	100%	220	$32,930
Associate of Arts *(transfer option)*	48		98% working/school	na	na

*Information Sources: Job Service ND & US Bureau of Labor and Statistics

FACILITIES • RESOURCES

Take advantage of Lake Region State College's fully networked computer system and several large computer labs, mainly equipped with Pentium processors. Lake Region State College's technologically advanced facilities allow students to acquire the versatility they need for work in diverse, computer-oriented fields. There is a computer ratio of 1 computer to every 4 students providing fast access and availability to the student body.

F•A•C•T•S

Enrollment – about 700 full – and part – time students

Tuition & Fees - $2078/year in-state (subject to change)

Calendar – semester system

Student – Faculty Ratio – 14 to 1

Student – Computer Ratio – 4 to 1

Financial Aid – over 90 percent of our students Receive some sort of financial aid.

Accreditation - Lake Region State College is Accredited by the North Central Association of Colleges and Secondary Schools.

ABOUT• LAKE REGION STATE COLLEGE

Lake Region State College is a two-year, comprehensive community college offering academic-transfer courses, vocational-technical programs, workforce training, and life long learning services. It is one of eleven campuses of the North Dakota University System.

Cleverly designed for winter survival, the residence halls, library, student union, recreation room, gym, and classrooms are all under one roof. The campus is situated on the northern edge of Devils Lake, the city, and three miles form Devils Lake, North Dakota's largest natural body of water. Although the region is known nationwide for its hunting and fishing, Devils Lake offers many additional services, including skiing, sailing, camping, hiking, and biking. The four-season recreational opportunities are "*as endless as your imagination!*"

We invite you to visit our campus or call an Admissions Representative. Explore the opportunities waiting for you at Lake Region State College.

Always feel free to write or call:

Lake Region STATE COLLEGE

Admissions Office
1801 North College Drive
Devils Lake, ND 58301

1-800-443-1313 or
(701) 662-1514
Fax (701) 662-1570
Http://www.lrsc.nodak.edu

Lake Region State College does not discriminate on the basis of race, color, national origin, sex, age, or handicap in admission or access to, nor treatment or employment in, its educational programs or activities. Inquiries concerning Title VI, Title IX, and Section 504 may be referred to the Business Manager, Corry Kenner Lake Region State College, (701) 662-1505 or 1-800-443-1313, or to the Office of Civil Rights, U.S. Department of Education 1961 Stout Street Denver CO 80294

Revised August 2000 by JI
Printed in the USA

Prepared by the North Dakota Legislative Council staff for Senate Appropriations
January 2, 2001

Department 230 - University of North Dakota
Senate Bill No. 2003

	FTE Positions	General Fund	Other Funds	Total
2001-03 Executive Budget	917.17	$83,798,583[2]	$11,210,000[3]	$95,008,583
1999-2001 Legislative Appropriations	919.75[1]	96,053,682	339,866,702	435,920,384[4]
Increase (Decrease)	(2.58)	($12,255,099)	($328,656,702)	($340,911,801)

[1] The 1999-2001 appropriation is based on 916.69 FTE positions. Section 6 of 1999 House Bill No. 1003 authorized the University System to adjust FTE positions as needed. The 919.75 FTE positions shown above represent the employee position reported to the Office of Management and Budget (OMB).

[2]

Total general fund appropriation per executive budget summary	$96,278,345
Less transfer of Interactive Video Network, On-line Dakota Information Network, and Higher Education Computer Network/campus computer centers line items to the North Dakota University System office reflected in Senate Bill No. 2003	12,479,762
Total general fund appropriation in Senate Bill No. 2003	$83,798,583

[3]

Total other fund balance per executive budget summary	$15,200,785
Less appropriation for state facility energy improvement projects per Senate Bill No. 2023	3,990,785
Total other funds appropriation in Senate Bill No. 2003	$11,210,000

[4] The 1999-2001 appropriation amounts include $99,346 from the general fund for the agency's share of the $1.4 million funding pool appropriated to OMB for assisting agencies in providing the $35 per month minimum salary increases in July 1999 and July 2000, $977,435 from the general fund for the agency's share of the $2,630,992 critical salary funding pool appropriated to the University System to address additional salary increases beyond legislative appropriations for market and equity raises, $883,116 from the general fund for the agency's share of the $4,290,128 equity and special needs funding pool appropriated to the University System to address equity funding issues and special academic program needs, and $12,269,094 from the general fund for the agency's share of $21,948,467 funding pool appropriated to the University System to address technology needs. The 1999-2001 appropriation amounts do not include $12,000,000 of additional spending authority resulting from Emergency Commission action during the 1999-2001 biennium, $791,508 of carryover authority, $75,491 from board pool allocations for disability support and the entrepreneurship program, $2,925,724 from board-approved additional tuition revenue, and ($28,155) adjustment for funding spent during the 1997-99 biennium. (emergency clause)

Major Items Affecting the University of North Dakota 2001-03 Budget

	General Fund	Other Funds	Total
1. Removes the appropriation of local funds.		($291,215,617)	($291,215,617)
2. Removes the appropriation of tuition, continuing education, and miscellaneous income.		($57,073,076)	($57,073,076)
3. Provides funding for the Carnegie Library renovation.		$3,000,000	$3,000,000
4. Provides funding for Smith, Johnstone, and Fulton renovation.		$2,650,000	$2,650,000
5. Provides funding for Wilkerson Hall loading dock and emergency generator.		$1,700,000	$1,700,000
6. Provides funding for Rural Technology Center addition and canopy.		$3,860,000	$3,860,000
7. Provides funding for extraordinary repairs of $2,362,136. In addition, $3,990,785 of special funds is provided for energy improvement projects in Senate Bill No. 2023.			$0
8. Transfers funding to the University of North Dakota School of Medicine and Health Sciences for the athletic training program, and tuition revenue for the laboratory science program and the fourth-year medical students, which is offset by a corresponding increase in general fund appropriations.	$1,277,624	($1,622,212)	($344,588)

9. The executive recommendation does not include funding for recommended compensation plan salary increases of three percent of salaries the first year of the biennium and two percent for the second year. Funding for institutions' salary increases is pooled and provided in the North Dakota University System office budget for the State Board of Higher Education to allocate to individual institutions.

Major Legislation Affecting the University of North Dakota

House Bill No. 1026 provides for a general fund deficiency appropriation for the 1999-2001 biennium to the University of North Dakota to offset expenditures of $3,115,908 relating to the 1997 flood. (emergency measure)

Senate Bill No. 2023 provides special funds appropriation for state facility energy improvement projects. The Industrial Commission, acting as the North Dakota Building Authority, shall arrange for the funding for $3,990,785 of sundry projects at the University of North Dakota through bonding. The energy savings associated with the projects are to be applied to the related bond payments.

Senate Bill No. 2037 provides a continuing appropriation of higher education institutions' special revenue funds including tuition and allows institutions to carry over at the end of the biennium unspent general fund appropriations.

Senate Bill No. 2038 requires the budget request for the University System to include budget estimates for block grants for a base-funding component and for an initiative-funding component and a budget estimate for an asset-funding component and requires the appropriation for the University System to include block grants to the State Board of Higher Education for a base-funding appropriation and for initiative-funding appropriation and an appropriation for asset-funding.

Senate Bill No. 2039 allows the State Board of Higher Education to authorize campus improvements and building maintenance projects that are financed by donations, gifts, grants, and bequests if the cost of the improvement or maintenance is not more than $500,000.

Senate Bill No. 2040 allows higher education institutions to provide bonuses, cash incentive awards, and temporary salary adjustments to employees without reporting the activity as a fiscal irregularity.

Prepared by the North Dakota Legislative Council staff for Senate Appropriations
January 22, 2001

Department 230 - University of North Dakota
Senate Bill No. 2003

	FTE Positions	General Fund	Other Funds	Total
2001-03 Schafer Executive Budget	917.17	$83,798,583[2]	$11,210,000[3]	$95,008,583
1999-2001 Legislative Appropriations	919.75[1]	96,053,682	339,866,702	435,920,384[4]
Increase (Decrease)	(2.58)	($12,255,099)	($328,656,702)	($340,911,801)

2001-03 Hoeven Executive Budget	917.17	$83,798,583	$14,710,000	$98,508,583
Hoeven Increase (Decrease) to Schafer	0.00	$0	$3,500,000	$3,500,000

[1] The 1999-2001 appropriation is based on 916.69 FTE positions. Section 6 of 1999 House Bill No. 1003 authorized the University System to adjust FTE positions as needed. The 919.75 FTE positions shown above represent the employee position reported to the Office of Management and Budget (OMB).

[2] Total general fund appropriation per executive budget summary $96,278,345
Less transfer of Interactive Video Network, On-line Dakota Information Network, and Higher Education Computer Network/campus computer centers line items to the North Dakota University System office reflected in Senate Bill No. 2003 12,479,762
Total general fund appropriation in Senate Bill No. 2003 $83,798,583

[3] Total other fund balance per executive budget summary $15,200,785
Less appropriation for state facility energy improvement projects per Senate Bill No. 2023 3,990,785
Total other funds appropriation in Senate Bill No. 2003 $11,210,000

The 999-2001 appropriation amounts include $99,346 from the general fund for the agency's share of the $1.4 million funding pool appropriated to OMB for assisting agencies in providing the $35 per month minimum salary increases in July 1999 and July 2000, $977,435 from the general fund for the agency's share of the $2,630,992 critical salary funding pool appropriated to the University System to address additional salary increases beyond legislative appropriations for market and equity raises, $883,116 from the general fund for the agency's share of the $4,290,128 equity and special needs funding pool appropriated to the University System to address equity funding issues and special academic program needs, and $12,269,094 from the general fund for the agency's share of $21,946,467 funding pool appropriated to the University System to address technology needs. The 1999-2001 appropriation amounts do not include $12 million of additional spending authority resulting from Emergency Commission action during the 1999-2001 biennium, $791,506 of carryover authority, $75,491 from board pool allocations for disability support and the entrepreneurship program, $2,925,724 from board-approved additional tuition revenue, and ($28,155) adjustment for funding spent during the 1997-99 biennium. (emergency clause)

Major Schafer Recommendations Affecting the University of North Dakota 2001-03 Budget

	General Fund	Other Funds	Total
1. Removes the appropriation of local funds.		($291,215,617)	($291,215,617)
2. Removes the appropriation of tuition, continuing education, and miscellaneous income.		($57,073,076)	($57,073,076)
3. Provides funding for the Carnegie Library renovation.		$3,000,000	$3,000,000
4. Provides funding for Smith, Johnstone, and Fulton renovation.		$2,650,000	$2,650,000
5. Provides funding for Wilkerson Hall loading dock and emergency generator.		$1,700,000	$1,700,000
6. Provides funding for Rural Technology Center addition and canopy.		$3,860,000	$3,860,000
7. Provides funding for extraordinary repairs of $2,362,136. In addition, $3,990,785 of special funds is provided for energy improvement projects in Senate Bill No. 2023.			$0

8. Transfers funding to the University of North Dakota School of Medicine and Health Sciences for the athletic training program, and tuition revenue for the laboratory science program and the fourth-year medical students, which is offset by a corresponding increase in general fund appropriations.	$1,277,624	($1,622,212)	($344,588)
9. The executive recommendation does not include funding for recommended compensation plan salary increases of three percent of salaries the first year of the biennium and two percent for the second year. Funding for institutions' salary increases is pooled and provided in the North Dakota University System office budget for the State Board of Higher Education to allocate to individual institutions.			

Major Hoeven Recommendations Affecting University of North Dakota 2001-03 Budget Compared to the Bill as Introduced (Schafer Budget)

	General Fund	Other Funds	Total
1. Provides funding for the renovation of the UND Memorial Student Union (student fees - bonding).		$3,500,000	$3,500,000

Major Legislation Affecting the University of North Dakota

House Bill No. 1026 provides for a general fund deficiency appropriation for the 1999-2001 biennium to the University of North Dakota to offset expenditures of $3,115,906 relating to the 1997 flood. (emergency measure)

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Senate Bill No. 2040 allows higher education institutions to provide bonuses, cash incentive awards, and temporary salary adjustments to employees without reporting the activity as a fiscal irregularity.

Prepared by the North Dakota Legislative Council staff for House Appropriations
February 26, 2001

Department 230 - University of North Dakota
Senate Bill No. 2003

	FTE Positions	General Fund	Other Funds	Total
2001-03 Schafer Executive Budget	917.17	$83,798,583[2]	$11,210,000[3]	$95,008,583
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Major Schafer Recommendations Affecting the University of North Dakota 2001-03 Budget

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Major Hoeven Recommendations Affecting University of North Dakota 2001-03 Budget Compared to the Bill as Introduced (Schafer Budget)

	General Fund	Other Funds	Total
1. Provides funding for the renovation of the UND Memorial Student Union (student fees - bonding). (This funding was added to Senate Bill No. 2023.)		$3,500,000	$3,500,000

Major Legislation Affecting the University of North Dakota

Section 11 of Engrossed Senate Bill No. 2003 provides legislative intent relating to the content of the State Board of Higher Education's performance and accountability report required by Senate Bill No. 2041.

Section 13 of Engrossed Senate Bill No. 2003 provides a continuing appropriation of higher education institutions' special revenue funds including tuition (originally a provision of Senate Bill No. 2037).

Section 14 of Engrossed Senate Bill No. 2003 requires budget requests for the University System to include budget estimates for block grants for a base-funding component and for an initiative-funding component and a budget estimate for an asset-funding component (originally a provision of Senate Bill No. 2038).

Section 15 of Engrossed Senate Bill No. 2003 requires the appropriation for the University System to include block grants to the State Board of Higher Education for a base-funding appropriation and for an initiative-funding appropriation and an appropriation for asset-funding (originally a provision of Senate Bill No. 2038).

Section 16 of Engrossed Senate Bill No. 2003 allows institutions to carry over at the end of the biennium unspent general fund appropriations (originally a provision of Senate Bill No. 2037).

House Bill No. 1026 provides for a general fund deficiency appropriation for the 1999-2001 biennium to the University of North Dakota to offset expenditures of $3,115 908 relating to the 1997 flood. (emergency measure) (This bill has passed the House.)

Senate Bill No. 2023 provides special funds appropriation for state facility energy improvement projects. The Industrial Commission, acting as the North Dakota Building Authority, shall arrange for the funding for $3,990,785 of sundry projects at the University of North Dakota through bonding. The energy savings associated with the projects are to be applied to the related bond payments. (This bill has passed the Senate.)

Senate Bill No. 2039 allows the State Board of Higher Education to authorize campus improvements and building maintenance projects that are financed by donations, gifts, grants, and bequests if the cost of the improvement or maintenance is not more than $250,000. (This bill has passed the Senate.)

Senate Bill No. 2040 allows higher education institutions to provide bonuses, cash incentive awards, and temporary salary adjustments to employees without reporting the activity as a fiscal irregularity. (This bill has passed the Senate.)

Summary of Legislative Changes Resulting From First House Action

See Statement of Purpose of Amendment (attached).

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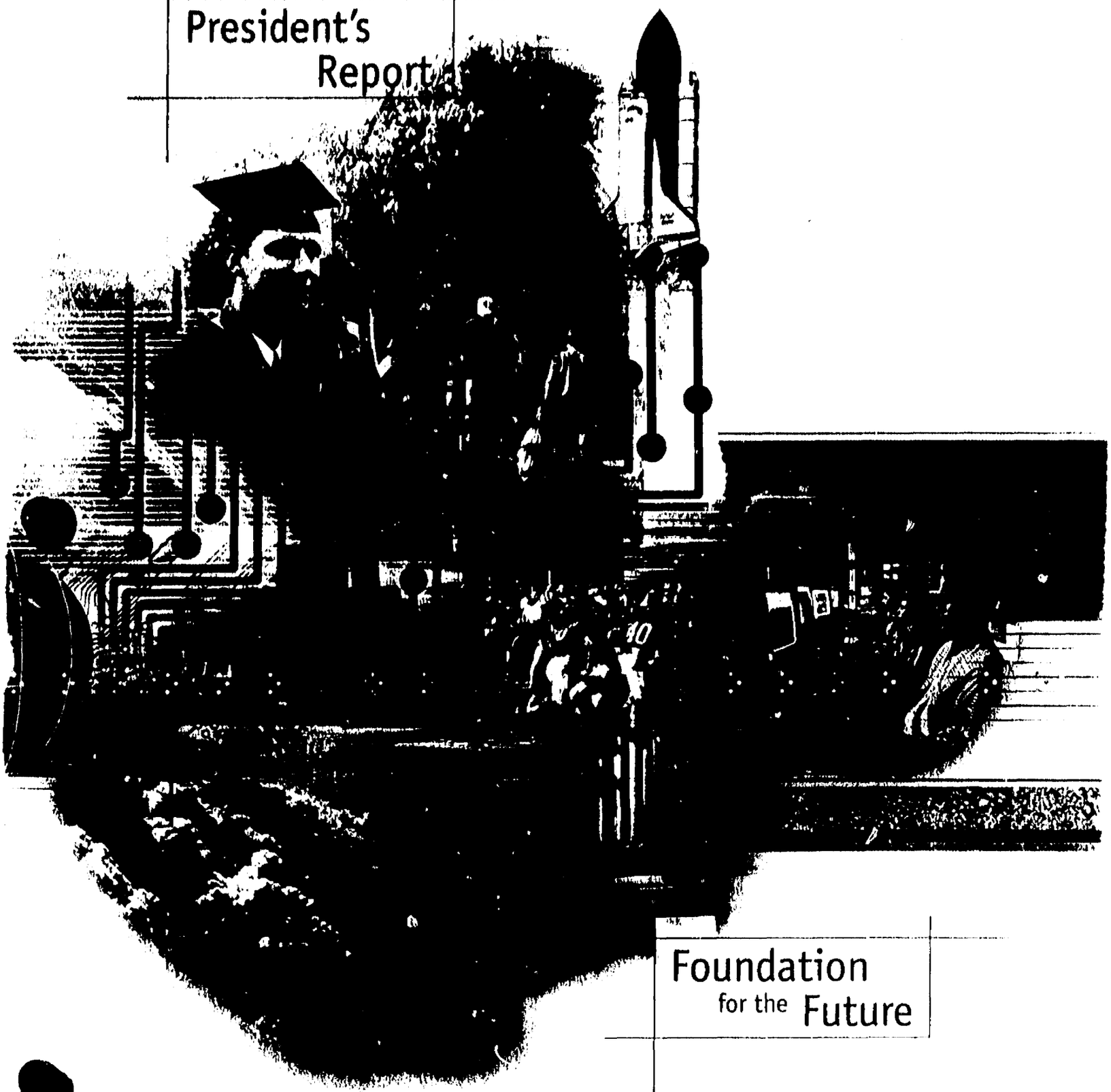
University of North Dakota | 2000

President's Report



Foundation for the Future

Opportunities for Making an Even Greater Difference

State of the University: Present and Future

Dr. Charles E. Kupchella
President, University of North Dakota
August 31, 2000

Each year, we will consider progress we have made as a University and the possibilities for making a greater positive difference in the world of the future. At least annually, we must look anew at not only what we can do as individuals, but also what we can do collectively as a university community to address the needs of our students, our nation, and the world we serve. Our obligation is to educate, to push back the frontiers of new knowledge and to create new works of art. Through these activities and through direct involvement in public service, we must seek to improve and enrich the lives of the people we serve. Increasingly, we must all come to see teaching, research/creative activity, and service as part of an integrated whole in a matrix of creativity and learning. We need to renew our resolve to have students leave us prepared to contribute mightily to the world they will serve as leaders and as agents of improvement leading to a better world.

The University of North Dakota has a tremendous foundation upon which to build. We start our future as one of the largest, most heavily endowed comprehensive institutions of higher education in the large region made up of North Dakota, Wyoming, Montana, South Dakota, Idaho, and western Minnesota. UND is consistently ranked as one of America's best colleges and universities and as one of the best values in higher education, nationally. With an annual budget of approximately one quarter of a billion dollars, the University of North Dakota has a tremendous direct economic impact on North Dakota and the region – in addition to the even more impressive economic impact effected by our graduates, by the results of basic and applied research, and by the impact the University has on the quality of life of those we serve. The indirect economic benefits of students living off campus and spending off campus push the total impact to many hundreds of millions of dollars annually. We have a tradition going back 117 years, and we have a foundation of solid accomplishment across the full range of our mission.

In the first part of this report, I will highlight some of these accomplishments, emphasizing the accomplishments of the past year. My apologies, in advance, to those whose good work was not selected for illustration here. Having so many outstanding examples from which to choose makes it impossible to offer anything other than a few highlights. A companion document to this report, Foundation for the Future, will illustrate in somewhat more graphic terms the foundation upon which our strategic directions for the future will be based. In the second part of this report, I will outline some opportunities. There is a terrific base to build upon. There is much work to be done.

GRADUATES – There are nearly 100,000 alumni of the University of North Dakota. Throughout the past year, we granted diplomas to 2,159 graduates in more than 130 fields of study. These included 421 master's degrees and 39 doctoral degrees. We also conferred degrees on 62 new physicians and 68 new attorneys. These individuals have now entered the world of work and/or additional study, and it is a great source of pride that these graduates are, or will soon be, serving as leaders and activists, graduates making a difference.

FACULTY – We welcome to our campus more than 30 new faculty. It is incumbent on all of us to make these individuals feel welcome, and to help them adapt to a rich, productive life as teachers and scholars. They join a faculty of more than 600 individuals in 48 academic departments; all of these faculty are practicing scholars and artists dedicated to helping students become successful lifelong learners.

STAFF - The University also welcomes into its community and its family, some 260 new staff appointees. These include several high-level administrative staff, some of whom were promoted from within:

- **John Ettling**, Provost and Vice President for Academic Affairs
- **Robert Gallager**, Vice President for Finance and Operations
- **Nancy Krogh**, Registrar
- **Wilbur Stolt**, Director of the Chester Fritz Library
- **Bruce Smith**, Dean of the John D. Odegard School of Aerospace Sciences
- **Dan Rice**, Dean of the College of Education and Human Development
- **Phil Harmeson**, Senior Associate to the President
- **Don Piper**, Associate Vice President for Enrollment Management

These join a dedicated full-time staff of nearly 1,800 individuals, all of whom continue to help provide a support base for learning, creative work and service.

TEACHING – The University offered [illegible]555 courses in 5,863 sections in the past academic year, including formal courses for off-campus extended-degree programs in Grand Forks, Bismarck, Fargo, Minot, and, via various forms of telecommunications including the Internet, many other locations throughout the world. We also offered 622 Continuing Education workshops for teachers in over 100 North Dakota communities with nearly 8,000 enrollments.

NEW STUDENTS – Thanks to the hard work of our faculty, staff, and Enrollment Services/Enrollment Management personnel, the University has recruited a large class of new freshmen for the fall semester. We are currently on a trajectory that will move the University to an historically all-time high enrollment within three years. The City of Grand Forks provided $100,000 in each of the last two years for marketing and recruiting. This undoubtedly has been a major factor in our enrollment surge. We continue to be blessed with outstanding student leaders who continue to exhibit exemplary proactive involvement in shaping an even better university.

STUDENT SATISFACTION – UND has an outstanding student body. According to a survey conducted last spring, 97.7 percent of them indicated they were very satisfied or satisfied with their UND experience. UND's Greek system is vibrant with some 1,056 students involved in 13 fraternities and 7 sororities on campus. In a recent survey of graduates of the Graduate School at UND, 91 percent of the more than 1,600 respondents indicated that their degree from UND improved their lives and enhanced feelings of life being more fulfilling and meaningful. Nearly all of them indicated that their degree programs did much to enhance their problem-solving, oral communication, and technical skills.

FINANCES – The University was able to provide salary increases averaging more than 4½ percent for FY 2001. The University is in good shape financially.

UND FOUNDATION – The University Foundation received more than $17 million in FY 2000 and the Foundation's assets have moved beyond the $130 million level. Earl Strinden stepped down as Executive Director of the Foundation after 31 years of outstanding service to the Alumni Association and Foundation at the University of North Dakota. As we welcome Bob Feidler as Earl's successor, we celebrate Earl's great work and the fact that Earl will continue to work with the Foundation over the next several years.

RESEARCH – The University's research accomplishments continue to grow.

- Grant and contract applications rose from a previous high of $94 million in FY 1998 to $114 million during FY 2000.
- This past year some 669 proposals were submitted; this is the second highest number ever submitted.
- Awards rose 7 percent to $40.5 million. A partial list of projects illustrating the range over which grant and contract activity supports the University's mission is included in the next section in the on-line version of this report (see www.und.nodak.edu). A complete report may be obtained from our Office of Research and Program Development.
- We instituted a new Seed Money Project, thanks to the work of Dr. William Sheridan, of the Department of Biology. Thanks to the generous support of the City of Grand Forks and to the UND Foundation, this fund will reach the $400,000 level for the coming year. Under the leadership of Dr. Sheridan and Dr. Carl Fox, we are now piloting the first run of seed money research projects.

STRATEGIC PLANNING – The University has completed the early phases of a long-range, comprehensive strategic planning process, having reaffirmed its core values, having identified a short list of priority action areas, and having now engaged 140 organizational units within the University in the process. Task groups currently are preparing a set of recommended goals, objectives and action strategies for inclusion in this plan. A working (draft) document is available on the UND web site (www.und.edu/stratplan).

NEW DEGREE PROGRAMS – During the past year, the University implemented new programs and revised existing programs in response to the needs of North Dakota and the region. For example, a cohort doctoral program in educational leadership, a bachelor's degree program in entrepreneurship and a master's degree program in instructional design and technology were implemented. Other exciting new programs are under development including doctoral programs in space studies and in communication sciences and disorders.

FACILITIES – Facilities and campus infrastructure have never been in better shape. For example, our steam distribution system soon will be brand new and should serve us well for the next 80 years.

- In partnerships with the City, benefactor Ralph Engelstad, Barnes and Noble, Altru Health Systems, and others, we have made considerable progress on the development of property north of the campus into a "University Village." The Village will provide services and recreational opportunities for faculty, staff, students and the general public, and eventually will add a new revenue source plus a wonderful new dimension to the University. Campus construction currently underway is valued at more than $114 million.
- A new Barnes and Noble Bookstore will soon be in full operation; a new Biomedical Research Facility will also be opened in a few weeks.
- Ground will soon be broken for a new University Health Clinic adjacent to the new bookstore.

- A contract will soon be awarded to a developer of the University Village.

- Construction of the state-of-the-art **Ralph Engelstad Arena** is well along. This facility, which will set a new standard for winter-sports facilities, will be a fitting home for our reigning **National Champion Hockey Team.**

- Plans are being drawn up for renovation of the Carnegie Library as a University Welcome Center.

- Other new facilities, including a **wellness/fitness center,** and renovation of existing facilities will be proposed in the coming year as part of the University's Strategic Planning Process.

- Work on the new **Alerus Center** (soon to be the home of UND football) is nearing completion. It will add an impressive new cultural/entertainment dimension to the City and to the University.

Organizational Foundations

The University has a comprehensive, traditional organizational foundation and also has many truly distinctive features.

COLLEGES AND SCHOOLS – The University has vibrant academic departments, colleges, and schools. Some examples of recent accomplishments:

- **The Department of Anthropology** has an ongoing senior capstone seminar required of all majors through which students learn to integrate all of what they have learned. The department has an outstanding field-school program supported by scholarships. The department recently established a new degree program in forensic science with John A. Williams serving as director. Designed to train students as general forensic scientists, this program began this fall.

- Our **College of Business & Public Administration** is one of less than 400 AACSB-accredited business schools in the USA. A recently established degree program in "entrepreneurship" was described as "awesome" by Governor Schafer in his State-of-the-State Address.

- **The College of Nursing** is a national leader in moving professional education from almost totally hospital-based to significantly community-based clinical experiences. A cornerstone of this effort is the reconceptualized nursing center, a program designed to give nursing students clinical experiences in a community-based setting. The center has served more than 25,000 clients over the past six years.

- **The Music Department,** as an example of the rich productivity coming from all our academic departments, published numerous articles, gave dozens of presentations, and gave performances and recitals throughout the world. In January, the Music Department had a highly successful **Honor Band and Choir Festival,** bringing the best of high school age musicians from throughout the region to the UND campus. The **Pride of the North** marching band and pep band grew impressively under the leadership of **Robert Brooks** and benefactor, alumnus **Dr. Ben Clayburgh.** The band will soon be making its debut in new uniforms carrying a very positive image of the University across the country and beyond. **Michael Blake** directed the UND Jazz Ensemble in the main stage performance at the Montreux Jazz Festival in Switzerland and toured northern Italy and Austria with the group afterwards. Gordon **Brock** toured Great Britain with the UND Wind Ensemble.

- UND has a comprehensive teacher education program, offering programs from early childhood to higher education. During the past several years, the College of Education and Human Development has delivered degree programs on Indian reservations in North Dakota, thus increasing the number of American Indian teachers and administrators in reservation schools. The **Educational Leadership** program at UND has generated eight **Tribal College presidents.** A strong doctoral program in counseling psychology is fully accredited by the APA and attracts students nationally. A new doctoral cohort program in Education has enrolled 31 doctoral students.

- The **School of Medicine and Health Sciences** won numerous awards once again this year including a Silver Achievement Award – following three "golden" achievement awards and five previous silver awards – from the American Academy of Family Physicians. UND remains one of the top schools in the nation in terms of graduates choosing **family practice.** The UND School of Medicine also continues to be one of the leading schools in the nation in graduating **Native American physicians.** Eight of the 62 new doctors who graduated this past May were Native Americans. The School has initiated a dynamic, patient-centered curriculum.

- **Dr. Manuchair Ebadi** brought a strong research dimension to the School of Medicine this past year, establishing a neurosciences center and recruiting a number of adjunct and regular faculty to his program. In addition to his role of chair of the newly combined Department of Pharmacology, Physiology and Therapeutics, Dr. Ebadi has taken on responsibilities as the Associate Dean for Research and Program Development in the School of Medicine. Among his objectives is to strengthen the MD-PhD Program throughout the School of Medicine. Dr. Ebadi has added millions of dollars in support for research to the Medical School's portfolio.

SPECIAL FEATURES – The University has many academic traditions that go beyond the regular excellent business departmental work.

- The annual spring **Writers Conference** – one of the region's cultural highlights – is now in its 31st year. This conference has brought such notables to the campus as Truman Capote. The *North Dakota Quarterly* is now in its 66th year.

- The University is becoming a national leader in providing outstanding **American Indian programs** and opportunities for American Indian students, with over 26 successful programs currently being administered. UND is home to a strong American Indian student population, with an average of over 350 students per semester representing tribes from all across the United States and Canada. American Indian students bring to campus their rich cultural traditions and provide an excellent opportunity for students, faculty, and staff to learn more about the state of North Dakota's largest minority population.

- The University has a lively **Honors Program** through which exceptionally capable students have access to special enrichment classes, are able to participate in research projects and present their results at a conference, and publish their work in the *Honors Forum*.

- The University has a number of innovative programs to encourage young people to attend college. These include a large **Upward Bound Program** targeting economically disadvantaged students of high ability.

- The University is well connected internationally. This spring the University signed an exchange agreement with the **University of Shanghai for Science & Technology**. The University has sent and will continue to send students to study in Shanghai. UND has a special student exchange connection with the **American College of Norway**. **Ambassador Li of China** visited the College of Business and Public Administration and the Odegard School of Aerospace Sciences in October of 1999.

- **Nordic Initiative** – The University has a considerable base of support in the community for its Norwegian programs. The University supports an **American School in Norway** and supports a Norwegian language program. This resonates well with the Norwegian heritage of this region and, thus, is a locational advantage and obvious niche for the University of North Dakota.

- The University's solar-powered car, **"Sub-Zero,"** placed 18th among nearly 30 entries in the 1,100-mile Sunrayce 99 competition.

- **Studio 1**, our student-produced weekly television news and feature show, has twice been named **"Best in the Nation."** The production wins a variety of awards each year. Studio 1 recently marked its 200th live production and is celebrating its silver anniversary – 25 years of award-winning programs.

- We have a noteworthy **Summer Institute of Linguistics**. This program which has averaged 100 or more students per year will be celebrating its 50th anniversary on our campus next year.

- The University has an exceptionally noteworthy **Integrated Studies Program**, a model learning community wherein students engage in active learning projects, primary research, and lively discussions of challenging and exciting texts. This program is now in its 15th year.

- The **Red River Writing Project** is in its second year. Directed by **Dan Sheridan** in English, this project enlists area high school teachers in a four-week summer seminar designed to encourage and improve student writing. The program is affiliated with the prestigious **National Writing Project**.

- **Faculty Ambassadors** is a group of volunteer faculty dedicated to making the University of North Dakota a better, more inviting place for students. Many faculty members have done much to support the University through this organization.

- The **UND Flying Team** repeated as national champions, having won 11 of the past 14 national collegiate flying competitions.

- One of the proudest traditions at the University of North Dakota is **intercollegiate athletics**. The University began this past year with the reigning (and three years running) national champion **Division II women's basketball** team, and ended the year as **National Division I hockey champions**. In swimming, in track, in men's and women's basketball, and in other sports, the University's student athletes distinguish themselves on the playing fields and courts. Significantly noteworthy is the fact that these athletes are also very good students – the cumulative grade point average of student athletes this past year was 3.14, and in the preceding year 3.09. This is a great credit to our student athletes, and to our coaching and intercollegiate athletics support staff who continue to sustain this rich tradition. This past year, swimming coach **Mike Stromberg** was named the NCC Men's Swimming and Diving **"Coach of the Year"** and women's track and cross country coach **Dick Clay** was named as the NCAA Division II National Indoor Track and Field **"Coach of the Year."**

UNIQUE AND ENTREPRENEURIAL PROGRAMS – The University has many unique programs that serve as models of entrepreneurial thinking and economic development ventures. The luster of these programs is enhanced by a very strong array of traditional programs, all helping to create a rich institutional tapestry. Three notable examples:

◆ **The John D. Odegard School of Aerospace Sciences at UND is a model of academic entrepreneurship. It currently enrolls nearly 1,500 students from every state and a large number of foreign countries. The program generates more than 78,000 flight hours per year with a fleet of more than 100 airplanes – predominantly on the Grand Forks campus, but in four additional locations throughout the world. The program in Aerospace was featured in a recent issue of *CAT*, a European magazine, in an article entitled, "In a Class of Its Own." UND Aerospace comprises about 500 full-time and part-time employees, and in addition to its fleet of aircraft, it has more than 17 flight training devices and a suite of air traffic control simulators.**

◆ **A UND Space Studies master's degree program is a very innovative program which attracts students from all over the world. This year's graduating class included Cheon-Ho Vae, who is from Seoul, Korea, a graduate of the U.S. Air Force Academy who now flies F-4E aircraft in the Korean Air Force; Robert Bridge from Conroe, Texas, an Air Force missileer; Sandy Forrester, a mechanical engineer with Boeing Corporation; Derin R. Gulden, an Electronic Systems Foreign Threat Analyst with the United States Air Force (currently at Wright-Patterson Air Force Base); Corinna Jurr-Anderson, currently living in Dubendorf, Switzerland; Will Marchant, who telecommutes full-time to the Space Sciences Laboratory of the University of California-Berkeley, where he is the lead software engineer on the Cosmic Hot Interstellar Plasma Spectroscopy University Explorer Space-Based Astronomy Mission; and many others from interesting places with equally interesting jobs. Members of the class have had wonderfully diverse experiences including use of the Hubble Telescope, working with the geo-positioning satellites, teaching school, flying airplanes – one designs space suits, another is a supervisory financial analyst with the Division of Banking Supervision and Regulation at the Federal Reserve Board in Washington, D.C.**

◆ **The Energy & Environmental Research Center serves as a major economic engine for North Dakota and the Upper Midwest. EERC's multidisciplinary team of nearly 200 highly skilled scientists, engineers and support personnel generated more than $15 million in revenue in FY 2000. Some 70 percent of the EERC's contracts are with industrial clients, and more than 60 percent of its clients are repeat customers. The EERC sends out an average of one funding proposal per day, and currently has more than 150 active contracts. Through its environmental education program, the EERC develops partnerships to share this expertise with students and teachers at the elementary and secondary levels in North Dakota and the surrounding region. The EERC is developing and demonstrating innovative power plant technologies that nearly double the efficiency of today's coal-fired power plants and are up to 90 percent cleaner. In a recent review written about EERC, the following was said: "The EERC is a first-class organization, with highly motivated and experienced professionals and technicians in the areas of coal combustion, environmental control, and overall coal utilization. One of the best – or the best – R&D facilities in the United States and world in the above-mentioned areas." The EERC has evolved to provide practical solutions to society's everyday needs. For example, its energy programs include not only coal-based technologies, but also oil, gas and alternative and renewable resources, such as wind energy and biomass fuels. The EERC's environmental programs encompass pollution prevention systems and technologies to clean air, soil and water. The EERC works with the agriculture industry to develop value-added products, water management strategies and technologies to clean wastewater and reduce odors. In addition to its annual grant and contract work, this operation spins out successful companies and generates patents which are subsequently licensed to other companies. Currently, the EERC is demonstrating a successful scale-up of a particulate-collecting system at the Big Stone Plant in South Dakota operated by Otter Tail Power Co. This technology has also been licensed to W. L. Gore and Associates for world-wide sales. The EERC's patented super-critical fluid technology cannot only be used in the extraction of valuable flavors and fragrance, but also could potentially see wide application for cleanup of former nuclear weapons sites and a variety of Superfund sites.**

ECONOMIC DEVELOPMENT CONNECTION / PUBLIC SERVICE

◆ **Our Center for Innovation helps entrepreneurs, inventors, and manufacturers start up new ventures and market new products and technologies, and thus it is an important agent of economic development. The Center helps start-up companies with business plans, marketing plans and planning of distribution and sales.**

◆ **David Pierce of our Chemistry Department has developed a device for monitoring the presence of hydrogen sulfide, a dangerous component in waste-water.**

◆ **The University of North Dakota established the *Workforce Development Office* in the spring of 1997. This office has facilitated training for more than 3,000 individuals and assisted regional businesses with expansions and the development of over 1,000 new primary sector jobs for Grand Forks. The Division of Continuing Education brought nearly $1 million into the region for the support of various Workforce Development projects.**

◆ **Northern Lights Public Radio, the first public radio station in North Dakota, has a 77-year history of serving the public.**

- **The College of Business and Public Administration's Small Business Development Center** assists individuals interested in expanding or starting a business who cannot afford the services of a consultant. The Small Business Development Center served 4,070 clients in the past six years.

- UND's **Center for Rural Health** was created in 1980 and, as such, is one of the oldest offices of rural health in the country, with only five other states having older offices. The Center has an emphasis on Native American aging and deals with many other aspects of rural health. The Center also supports a rural emergency medical services initiative, the purpose of which is to assist rural communities in building and enhancing their local EMS systems.

- **Dr. George Selelstad** heads the **University Midwest Aerospace Consortium** based on the UND campus. This organization receives millions of dollars in grant support annually and seeks to transfer aerospace technology into directly useful applications.

- **The Division of Continuing Education** had 19,343 enrollments in 824 different activities in FY 1999. Continuing Education also supported four undergraduate and eleven graduate degree programs at a distance. These programs had enrollments of 1,897 students, reaching local, statewide, national, and international audiences.

INSTITUTIONAL SUPPORT SYSTEMS – UND has impressive support systems and a solid organizational infrastructure. Some examples:

- **The Chester Fritz Library** is the largest library in a wide region holding over 1.2 million volumes in support of student learning and faculty research. The "Fritz" was recently ranked 21st among the 158 research libraries belonging to the Association of College and Research Libraries. The Chester Fritz Library is moving into the electronic age, having added three electronic data bases, many of which offer full text of scholarly journals. The Fritz currently offers a total of 40 such indices and full text electronic data bases. The Chester Fritz Library has been a statewide leader in developing **ODIN**, an online catalog, making the resources of the library system accessible to people throughout the state. The Special Collections program in the Fritz includes a collection in support of Norwegian genealogy.

- **Safety** – UND has an exceptionally safe working environment. Because of injury prevention and successful claims management, UND recently received a dividend of nearly $200,000 from the North Dakota Workers Compensation Bureau.

- UND is blessed with a large group of interested, connected, and supportive alumni. We must work to keep our alumni informed in order to sustain and build upon this foundation of support.

- **The Alice T. Clark Mentoring Program** attracts attention from other universities because of its effectiveness in socializing and connecting new faculty to the University.

- Our **Conflict Resolution Center** has served such clients as the Postal Service, Job Service of North Dakota, North Dakota State Hospital, the Americans with Disabilities Association, the National Association for Community Mediators, various government agencies, and a number of private individuals. It offers a full range of mediation, facilitation, consultation, and related services.

- The buildings and grounds staff sustains one of the most beautiful campuses in the world (current construction notwithstanding). Because of recent improvements in steam lines and other such infrastructure items following the flood, the University has a solid base of infrastructure and physical facilities on which to build its future. Another example: the University telephone system, which is state of the art. It is maintained at the latest version of software with many user-friendly features and functions.

- The University is seen by nearly all people as a very welcoming, supportive place. I offer as evidence a recent message I received from Mrs. Susan Keeney, who wrote: "I am so glad to be able to let you know how terrific the University is. We went to the Getting Started Program yesterday, and, my, how my family was so impressed by the university – the grounds, the cleanliness, the organizational skills, but most importantly the people. Everyone was pleasant, helpful, and kind. I now have no qualms about my son starting UND in the fall – for I know how committed your staff is to the students by the way they displayed themselves yesterday. Please extend my gratitude to all – especially Dr. Lovelace who was so compassionate, warm and funny. I am excited that we will be part of the UND family. Thank again for all you do."

- Student Support Services had another spectacular year. More than 500 students participated in some 636 cooperative education placements this past year. Thirty-three academic departments representing eight colleges had 46 undergraduate and eight graduate majors participate in cooperative education. The Career Job Fair program, hosted by the Career Services Department, served some 2,323 students and 235 employers. Our Career Services and Cooperative Education Unit partners with some 12 agencies and organizations in the Greater Grand Forks workforce development service system.

- Our outstanding residence hall program has earned the right for the University to host the National Residence Hall Honorary for the last two years.

Thanks to all who provided information and suggestions for the preceding. Thanks to all -- in advance – for help in accomplishing the following.

II. Challenges and Opportunities for the Future

In the strategic planning now under way I am asking that we commit ourselves to an even more broadly based agenda than we've had for the past 117 years. We need to bring the resources of the University of North Dakota to bear in a more comprehensive, engaged way on our community, our state, our nation, and on the world. I will be asking that we commit ourselves to accountability that is public and so effective that it satisfies all who support us. Our planning must embrace the recommendations of the Higher Education Roundtable.

With quality in everything we do as "job one," here are some of the specifics of what we must do:

Curriculum

A key to what we do in the future will be through excellence in our curriculum – the experiences we give our students to shape them as leaders, activists and citizens of tomorrow. We need to move even further away from the notion that curriculum is a program for covering material and closer to the view that it is a set of strategies by which all requisite skills of an educated person are developed and honed, that our students become lifelong learners, that they know enough about where to go to get information so they'll always be able to work from a large, continuously expanding information base. We must continue to see a broad liberal education as the basis for responsible citizenship and for success in any and all professions.

◆ The University must expand and improve the ability of our libraries to provide access to information through both acquisitions and technology. Our technology infrastructure must provide for efficient institutional management, and the creation, as well as for the storage and delivery of, information.

◆ We must develop a much more focused general education curriculum with fewer, more focal options, wherein every course helps accomplish a "general" education helping to sharpen critical thinking, writing, and other fundamental skills.

◆ We must reaffirm those core skills and attributes we strive to achieve with our general education program. All of our academic majors need to reinforce these skills within the course offerings in each major. We should reinforce the mastery of all core skills in the same way that writing across the curriculum is employed in developing writing skill.

◆ We must continue to support the ideal of faculty member as a teacher-scholar – a practitioner of lifelong learning at a high level of competency.

◆ All graduates of the University of North Dakota should have an experiential learning component to their educations. It is well established that the more we involve students in real-life problem solving, the better we prepare them for the future.

◆ We need to develop a service learning program wherein students are helped to become involved in solving problems in a way that serves as a capstone experience integrating what they learn in the classroom.

◆ Our general education programs and every major must be reviewed with an eye toward globalization. This should be accomplished by providing opportunities for all students to participate in study abroad and through an extensive program of support for international students at the University. A global "system" continues to emerge at the beginning of the new century. It's been suggested in a strategic vision statement by the National Association of State Universities and Land-Grant Colleges that universities should consider the following goals: (1) make internationalization an integral part of the University's mission and strategic plan; (2) promote greater involvement of all students in significant international educational experiences; (3) create and maintain a stimulating and supportive academic and cultural environment for international students and scholars; (4) increase the international activity of faculty and professional staff; (5) internationalize the curriculum; (6) assure that research and scholarship pertaining to international matters permeates disciplines and inter-disciplinary fields; and (7) ensure that international awareness is an integral part of appropriate outreach and extension activities.

◆ We must establish a three- to five-year plan for the development of new programs at the undergraduate, master's and doctoral levels. I want to see us extend our array of doctoral programs from 16 to 20 and to graduate at least 60 doctorates per year, thus moving from the cusp well into the Carnegie I classification of colleges and universities.

◆ I want to establish a "named/endowed" Honors College.

◆ I would also like to see an interdisciplinary environmental science program at either the undergraduate or graduate level, or both.

◆ I have asked the Provost and the Deans to establish an evening/weekend program, offering at least 10 different degree programs accessible after 5 p.m. or on weekends.

◆ I have asked the Provost and the Deans, along with the Registrar, to develop complete, formal articulation agreements for all of our programs with all two-year institutions within 250 miles by the end of the year.

Research

A major source of income for the University is the support that comes from external sources to support research and other creative work. Because this work will be more intensively integrated with our basic teaching mission as part of experiential learning in the future, we have many reasons for wanting to expand this work in addition to the obvious economic development connection. I would like to see us raise the University of North Dakota's R&D support to $100 million by 2008. I will appoint and empower a high-level, chief university research officer to direct this movement. I want the University of North Dakota to be the leading doctoral research university in the region made up of North Dakota, South Dakota, Montana, Idaho, and Wyoming. Among the other things we must do:

- Sustain a well-financed seed money program to help the faculty initiate new research projects.

- Expand the number of research and teaching assistantships available to support graduate programs by at least 50 percent over the next five years.

- Stage, this fall, a research/economic development showcase for legislators, business leaders, and the public in which we – in cooperation with North Dakota State University and other universities in North Dakota – make the following points:

  - ▼ There is considerable R&D activity in North Dakota, and there could – and should – be more.

  - ▼ Our graduates from all programs are our most important economic development contributions.

  - ▼ The research and development enterprise in North Dakota has a tremendous economic impact that could be even greater, yielding jobs, spin-off companies, licenses, patents, etc.

  - ▼ The arts at the University of North Dakota add to the foundation for our economic development future.

  - ▼ EPSCoR and EPSCoR-like programs play an important role in ratcheting up our research and development capacity.

  - ▼ Research and development activity provides experiential learning opportunities for students and, thus, is one with our core mission of teaching.

- Establish and identify four to six centers of excellence in the research realm. Among the possibilities is a center of excellence in basic and clinical neurosciences and behavioral neurosciences at the School of Medicine. The focus of this center would be the study of mechanisms of neurodegenerative and neuroprotection in disorders of aging and age-associated maladies, such as Parkinson's and Alzheimer's disease.

Service/Economic Development

The essence of a public research university, like UND, is to serve as an engine of discovery to help people deal with social, health, economic and other problems. There are, obviously many problems that diminish the quality of life; some of these are scientific problems; some are social problems like poverty, access to health care; others include various complex environmental problems that span many areas of human endeavor. Our responsibility is to work on all of these and to give our students, both undergraduate and graduate students alike, experience dealing with these issues so that they will be optimally effective as graduates. Today we are being asked to be engaged in our communities. We should take this to mean that we are being asked to not simply respond to a request for help, but to be out on the front edge of defining what help is actually needed and then, through partnering with social service agencies and other organizations take the lead in solving problems.

- We need to expand our continuing education program so that UND remains the leader in a broad region, providing opportunities for all professions needing and/or requiring continuing education credit.

- We need to publish a "directory of experts" to be found at the University of North Dakota. This could either be shaped as a media guide to the experts or a public guide to the experts. A companion to this would be a speakers bureau program that would provide University speakers for programs throughout the Upper Midwest. Funding will be made available to help support travel.

- We will establish an evening/weekend program, offering at least 10 different degree programs accessible after 5 p.m. or on weekends – through creative course scheduling.

- Our Center for Innovation, our Technology Incubator, and our Technology Park must be sustained and expanded as some of the means by which UND is involved in shaping a new Nor Dakota. These entities must increasingly support the diversification of the economy through entrepreneurship and commercialization.

We must all come to see that service is an integral part of the teaching and research mission. Scholars serve best through scholarship. Teacher-scholars serve best by involving students in finding answers to questions and solutions to problems. We must come to see service not as an add-on, but rather as an important way of addressing the core elements of research and teaching in our mission.

- The University of North Dakota must continue to be a leader in bringing culture and the arts to the Upper Midwest. I would like to see our campus Public Radio station become more of a means of taking the University of North Dakota out to the public. I'd like to see us develop our television offerings in the same way. Obviously, in areas like theatre, art and music, we need to have a much greater presence throughout North Dakota and the Upper Midwest to enrich the quality of life of the people we serve. We may need to develop and offer an even more comprehensive array of programs in the arts.

- Find ways to cooperate with other institutions in the region to improve educational access to bring about economic development.

Campus Climate

Paraphrasing from a diversity and cultural pluralism plan dated September of 1993, the University of North Dakota takes pride in its mission to meet the individual and group needs of a diverse and pluralistic society through education, research and service. The people served by and associated with the University all must be valued for the richness of the different cultures, heritage, perspectives and ideas they bring to the community. The University must serve as a conduit through which individual perspectives and global interrelationships are appreciated. Of particular importance in this regard is the University's long-standing commitment to support the culture and traditions of Native American people while providing learning and teaching experiences which enhance their self-determination and professional opportunity. All policies and procedures of the University must continue to oblige its students, faculty, staff and alumni to foster an awareness and sensitivity, as well as understanding, for all people in society. The 1993 plan specified a number of goals; among these is that the University will create a campus and community climate in which (1) persons are treated with dignity and respect regardless of their race, culture, religion, physical appearance, disability, age, gender, life style, or socio-economic background or status; (2) all persons must help promote diversity and pluralism; (3) all members of the campus community are encouraged to recognize their responsibility for their own conduct and their responsibility toward others; (4) appreciation of and involvement in diversity initiatives are encouraged; and (5) the physical and psychological safety of all is guaranteed. Among the things we must do to continue to strive to meet these goals:

- Set broad goals for underrepresented students, non-traditional students, graduate students, and other kinds of students in our student mix.

- Seek to have the University of North Dakota campus move from being very safe to the safest campus in the Upper Midwest.

- Resolve the issue of the Fighting Sioux nickname.

- Build a modern wellness facility for intramural athletics and establish a first-rate wellness program for faculty, staff, students and the general public.

- Assess and establish ongoing review of our governance structure to ensure clarity, equity, inclusiveness, and effectiveness.

Enrollment

We have a new Office of Enrollment Management and a vigorous recruiting program in place. This office needs to engage all of us in the business of achieving an appropriate student body profile through strategies addressing both recruitment and retention. Among some of the other things I believe we must do:

- Achieve a head count of 14,000 students including distance education students and consider capping campus enrollment at that level. Specify a desired student profile/mix.

- Achieve a freshman to sophomore year retention rate of 80 percent by year 2005 and achieve a six-year graduation rate of 55 percent by the year 2008.

- Expand continuing education to serve at least 20,000 people annually. The University must respond to the public's need for life-long learning. In a survey published in 1995 by the Social and Economic Sciences Research Center of Washington State University, it was found that 81 percent think getting additional education is important for success at work, 75 percent expressed interest in continuing their education in the future, 50 percent said they would enroll in a credit course in the next three years, more than 75 percent said they would enroll in a non-credit course. The public's need for continuing education must be met by the University of North Dakota.

- Publicize, every year for current and prospective students, an analysis of where the most exciting career opportunities requiring a college or university education are now and are likely to be in the near future.

- Expand graduate program offerings and support such that graduate students contribute 25 percent of the UND total by the year 2005.

- As part of our enrollment management strategy, identify six geographical areas of the United States for focused marketing and recruiting of good students. These would be areas of the United States where capacity is exceeded by the number of students seeking college admission.

- Become the nation's leading institution of higher education in serving Native American students and Native American people.

- Strengthen our relationship with Tribal Colleges.

- Complete formal program-to-program articulation agreements for all of our programs with all two-year institutions within 250 miles by the end of the year. I have asked the Provost and the Deans to complete this task this year.

- Using scholarship support, raise the average ACT of entering freshmen from the current 23 to 24 or 25 by 2005.

- Establish a more comprehensive summer program of study at the University of North Dakota, building on the excellent summer school program we now have in place. This should be expanded to include senior citizens seeking opportunities for lifelong learning. We also need to extend our sports camps and academic programs during the summer as part of a comprehensive growth plan for the summer.

- Re-establish the University's presence on the Grand Forks Air Force Base by taking part of the evening-weekend program there and/or providing other programs at the Air Force Base.

- Set in motion the development of a women's Division I intercollegiate hockey program.

- Cooperate with the City of Grand Forks in striving to make the University and the community an even more welcoming, supportive, and fun place to live, work and study.

- Expand our highly successful Presidential Freshman Scholars program by recruiting at least 50 National Merit Scholars each year, beginning in the year 2005.

- Establish a more pointed program for informing parents about UND – to reinforce their having participated in a great decision in choosing UND – and thereby empowering them as sales-persons.

Technology

Distance learning has a long history. Extension courses have been offered by Universities for more than a century. Television courses have been around since the 1950s. A recent surge in interest in distance learning has been triggered by the possibilities offered by the Internet. We recently empaneled a task group to recommend a strategy for distance learning for the University of North Dakota and an organizational structure to support it. It has been pointed out by many that distance learning and how it will fit into the grand scheme is a challenge for all of us to consider. Although no one knows what the future will bring, I want the University of North Dakota to be at the forefront in defining that future. We have made some noteworthy progress: Our School of Engineering and Mines has the distinction of offering, through its Corporate Engineering Degree Program, the nation's only undergraduate programs using videotapes of lectures. We also have a notable Space Studies master's degree program with an international audience utilizing video tapes and the Internet.

- The University must begin to adapt to new technology allowing voice to be transmitted over data networks.

- The U.S. Army plans to spend about $500 million over the next five years to provide lap-top computers and distance education opportunities for all its soldiers. The University of North Dakota has a tradition and a lead in distance education that should help us serve some of these needs and other similar needs.

- We must establish minimal entry competencies for UND students with respect to utilization of computer software.

- I also want to be assured that each major has established exit proficiency standards for all graduates in the use of computers as tools.

- We will consider the establishment of a new organizational structure for information technology.

- I am asking that we review every major to find ways in which the computer can be used to enhance hands-on learning.

- I want UND be nationally recognized for its leadership in employing information technology to enrich and extend teaching and learning.

Faculty, Staff, and Support Systems

The University is blessed with a wonderfully dedicated faculty and staff all of whom must be better supported in their work. Among some of the specific things we need to do are the following:

- Achieve within ten years the national median or higher in **faculty salaries** for each rank at the University of North Dakota.
- Develop a **master plan for physical campus facilities** designed to accommodate all elements of the University's strategic plan.
- Revise all **campus signage**, modernize, and place a large, impressive sign identifying the campus at a site visible from Interstate 29.
- Build a campus welcome center in a remodeled Carnegie Library Building. Signs would direct all visitors to this center where visitors would be able to get personal help.
- Build a modern **wellness facility** for intramural athletics and establish a first-rate **wellness program** for faculty, staff, students and the general public.
- Develop a lay public volunteer corps in support of the University of North Dakota.
- Work to make UND more welcoming to the community outside the University through a system of regular programs by which the public is invited to the campus to learn about its programs and facilities.
- Develop a more comprehensive **staff development** program in which people at all levels can receive training in effective leadership.
- Provide enhanced professional development opportunities for faculty and staff. Beginning in the fall of the year 2000, establish a **faculty fellowship program in higher education**. This will be a program in which faculty members will be selected to receive support to participate in at least one national higher education meeting (e.g., AAHE or ACE) and at least one state meeting of the **Board of Higher Education**. The group will be funded to organize a campus workshop or forum in one or more issues of higher education.
- Establish a **faculty/staff development program for women**. This will be done in cooperation with the President's Advisory Council on Women, whereby female faculty and staff will be selected to participate in national seminars and short courses for women interested in administrative positions. This program would provide a number of women faculty and staff with release time to take on short-term administrative responsibilities.
- Set up a systematic annual way of identifying UND students, faculty, staff, and programs as nominees for national recognition opportunities.
- Establish an **Institutional Research** unit that will provide performance data to all organizational unit heads. It would give them the means to assess how well the units are doing as part of their own annual appraisal of organizational effectiveness.

Private Fund Raising

Robert Feidler will be leading the Foundation staff and its Board through a strategic plan exercise of its own, and they expect to have a plan sometime early this fall. Deans and other senior administrators need to become ever more directly involved in the fund-raising effort led by our Foundation. In terms of where the money should go, it appears that we need to begin to focus on professorships and additional ways of supporting faculty. I would also like to see, however, some scholarship support for transfer students and for international students as part of our scholarship mix.

- I'm asking Bob Feidler and our UND Foundation Board to raise our **private giving** level to $20 million per year by the year 2005 and to work to achieve an endowment for the University of North Dakota of $500 million by the year 2010.
- I would also like to find funding for 20 endowed faculty chairs by 2010 and ultimately establish at least one **endowed chair** and one or more **professorships** in each academic department.
- Double the number of our **endowed scholarships** by the year 2010.
- Establish 40 endowed **professorships** by 2010.
- Set up a mechanism whereby faculty will be more easily able to identify foundations likely to be receptive to their creative ideas.
- Secure a large endowment from one or more donors leading to the naming of one or more of our colleges/schools.

II. Invitation

Unless something changes, North Dakota's population will continue to decline. The number of 18-year-olds will be more than 20 percent fewer approximately a decade from now. One of the joys of participating in the Higher Education Roundtable was the consensus at the beginning of that process that we would not settle for such a future; that we would all pledge to work together to build a preferred future – one that would include growth in the population of North Dakota and our region. This will not be easy to do since the roots of the problem go deep and will require a change in how we think about North Dakota and the Upper Midwest. The region, quite simply, has to be re-invented. I am quite ready to accept the challenge to have the University of North Dakota be the lead institution in this re-invention. It may well be a big challenge, but it is also a wonderful opportunity. We need to have the courage to seize the opportunity and the wisdom to do something positive about it. In doing so, we should neither overestimate what any one of us can do alone, nor underestimate what we can do together. We should neither overestimate what we can do right away, nor should we underestimate what we can do over the long term.

I'm inviting all students, faculty, staff and other UND stakeholders to take part in helping to define and then make a preferred future happen. We can make an even greater difference in our world than we have made in the past. We have the foundation in place. We need only the will to make an even greater difference in the future.

Call me or write and let me know how you would like to be involved.

with exception of following pages This is same testimony as you'll find on March 5, 2001

University of North Dakota

Testimony Before the Appropriations Committee

North Dakota State Senate

January 3, 2001

University of North Dakota and School of Medicine & Health Sciences
2001-03 Biennial Budget
(Dollars in Millions)

	Budget Request			Executive Recommendation		
	Total	General Fund	Special Funds/Local	Total	General Fund	Special Funds/Local
1999-2001 Adjusted Appropriation	$527.2	$125.6	$401.6	$527.2	$125.6	$401.6
Adjustments For Hold Even Budget						
Total Costs to Continue	$4.3	$4.3				
Funded from Continuation of 2000-01 Tuition Increase		($1.8)	$1.8			
Funded Internally	($2.5)	($2.5)				
Local Fund Adjustment	$12.2		$12.2			
Continuing Education	$3.7		$3.7			
Capital Improvements	$17.6		$17.6			
Tuition Realignment				$9.4	($2.6)	$12.0
				($1.7)		($1.7)
Total 2001-03 Hold-Even Budget Request	$562.5	$125.6	$436.9	$534.9	$123.0	$411.9
Tuition Revenue Excluded in Executive Recommendation				($86.4)		($86.4)
Local Funds Excluded in Executive Recommendation				($330.3)		($330.3)
Optional Budget Adjustments						
Costs to Continue	$2.6	$2.6				
Technology Applications/Access	$1.3	$1.3				
Institutional Innovations	$2.3	$2.3				
Renewal-Replacement	$2.1	$2.1				
Subtotal-Optional Adjustments	$8.3	$8.3				
Funded From Tuition Increase		($4.5)	$4.5			
Total Optional Adjustments	$8.3	$3.8	$4.5			
Total 2001-03 Request Before Compensation Package	$570.8	$129.4	$441.4	$138.2	$123.0	$15.2
Health Insurance Premium Increase				$1.8	$1.8	
Total 2001-03 Executive Recommendation				$140.0	$124.8	$15.2

Adjusted appropriation for 1999-01 equals the total less carryforward and BND line of credit.

January 3, 2001

UND Budget - 16

University of North Dakota
2001-03 Biennial Budget
(Dollars In Millions)

	Budget Request			Executive Recommendation		
	Total	General Fund	Special Funds/Local	Total	General Fund	Special Funds/Local
1999-2001 Adjusted Appropriation	$439.0	$96.1	$342.9	$439.0	$96.1	$342.9
Adjustments For Hold Even Budget						
Total Costs to Continue	$3.4	$3.4				
Funded from Continuation of 2000-01 Tuition Increase		($1.3)	$1.3			
Funded Internally	($2.1)	($2.1)				
Local Fund Adjustment	$8.4		$8.4			
Continuing Education	$1.8		$1.8			
Capital Improvements	$17.6		$17.6	$9.4	($2.6)	$12.0
Tuition Realignment	($0.4)	$1.3	($1.7)	($0.4)	$1.3	($1.7)
Total 2001-03 Hold-Even Budget Request	$467.7	$97.4	$370.3	$448.0	$94.8	$353.2
Tuition Revenue Excluded in Executive Recommendation				($55.3)		($55.3)
Local Funds Excluded in Executive Recommendation				($282.7)		($282.7)
Optional Budget Adjustments						
Costs to Continue	$2.1	$2.1				
Technology Applications/Access	$1.1	$1.1				
Institutional Innovations	$1.8	$1.8				
Renewal-Replacement	$2.1	$2.1				
Subtotal-Optional Adjustments	$7.1	$7.1				
Funded From Tuition Increase		($4.5)	$4.5			
Total Optional Adjustments	$7.1	$2.6	$4.5			
Total 2001-03 Request Before Compensation Package	$474.8	$100.0	$374.8	$110.0	$94.8	$15.2
Health Insurance Premium Increase				$1.5	$1.5	
Total 2001-03 Executive Recommendation				$111.5	$96.3	$15.2

Adjusted appropriation for 1999-01 equals the total less carryforward and BND line of credit.

University of North Dakota
2001-03 Restoration from Executive Recommendation to Original Budget Request

SBHE Proposed Fiscal Amendments (in priority order):

1) Costs to Continue - $2.1 million ($5.5 million total of which $3.4 million will come from increased tuition revenue from the continuation of new enrollments from the 99-01 biennium.)

2) Renewal-Replacement - $2.1 million

Additional Proposed Fiscal Amendments (not in priority order):

- O'Kelly Hall Renovation Phase 1 - $3.5 million
- Infrastructure (Electrical Distribution/Storm Sewer Phase 2) - $2.56 million
- Memorial Student Union Renovation - Total project estimated at $3.5 million to be funded through student fees. Request project approval.
- Technology Applications/Access - $1.1 million
- Institutional Innovation - $1.8 million

Total Additional General Funds Requested	$8.66 million
Total Funded from Tuition Increase	$4.50 million
Total Funded from Student Fees	$3.50 million
Total Restoration	$16.66 million

FACT SHEET

MEMORIAL STUDENT UNION RENOVATION

Special Funds: $3,500,000
Proposed Restoration - Other Major Capital Projects

- Renovation of the Memorial Union is a top priority project for the students of the University of North Dakota.
- Students support the renovation, with student fees as the source of funding for the project.
- Renovation of this facility is critical to student retention and recruitment.
- Program details will be developed as part of the renovation process:
  - Student recruitment and retention requires a sophisticated market strategy that includes the amenities provided by the institution.
  - This amenity-based strategy must be applied effectively to both local, regional, and world-wide markets.
  - As a result, program details and market strategies must be included within the renovation budget.
- Renovation budgets are based upon average costs to renovate a typical area.
- Commitments to this budget must be made today in order to enable effective planning tomorrow.

Footnote: Student Unions represent a high-risk market strategy that must be undertaken in a time-effective manner. Space planning for areas that support the needs of students must capture a market that will arrive in two or three years. Once established, the market strategy achieves a "name recognition" that supports a long-term attraction despite a moderate aging of architectural support. As a result, the modern student union becomes the "place to be" regardless of how old the carpet or wall coverings become. This phenomenon can be referred to as the "Hard Rock Café" syndrome, where although the décor might be dated, it has been established as a new forum for progressive society.

In lieu of the above high-risk strategy, developing a program for this facility prior to receiving funding support is counter-productive. Basic budget constraints that establish limits on spending can determine the scope of work for this building. If approved, the program development can be advanced by months or weeks that will make the difference between major and minor success.

UNIVERSITY OF UND NORTH DAKOTA

Bid/Addr 1-11-01

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FAX (701) 777-3866

January 9, 2001

Senator David Nething
North Dakota State Legislature
State Capitol
600 East Boulevard Avenue
Bismarck, ND 58505

Dear Senator Nething:

On Wednesday January 3, 2001, representatives of the University of North Dakota presented testimony regarding Senate Bill 2003 and distributed supporting materials. As copies of the materials were distributed on campus, it was brought to our attention that one page was missing from the original packet. This page provides further detail for the proposed renovation to the Memorial Student Union and is numbered UND Budget A - 10A (in Appendix A located behind the green divider). Copies are attached for distribution to the Senate Appropriations Committee. I apologize for any inconvenience this may cause.

Sincerely,

Alice Brekke

Alice Brekke, Director
Budget

ACB/pb
Enclosure

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MEMORIAL STUDENT UNION
RENOVATION DETAIL

Introduction: Renovation of the UND Memorial Union is a No. 1 priority for the University as ranked for projects *using non-appropriated funds.*[1] The scope of this project centers on improvements that will enhance and improve the functions of the existing space, providing students with an inviting, friendly environment. Highlights of the planned renovation include:

- Replace all existing exterior doors and windows to reduce utility costs and enhance the appearance of this classic structure.
- Update building mechanical and electrical systems to accommodate future technology and improve energy efficiencies.
- Renovate and modernize the existing bathroom facilities throughout the building.
- Construct a vestibule entrance on the structure's east side that reduces winter drafts yet enhances the appearance of the building
- Replace and/or update wall and floor coverings.
- Replace ceiling systems and lighting systems.
- Renovate the former Bookstore space into a variety of programming, lounge, and student services space.
- Renovate the existing administrative space.
- Renovate the Crafts Center area.
- Renovate meeting rooms to provide more flexible space using folding walls and versatile lighting systems.

---

[1] UND students are very supportive of this project that will use student fees as the source of funding. As the project scope identifies a wide range of renovation goals that can be completed independently, this project can be easily phased as required by available funding or convenience to the students.

Status of 1999-2001 Appropriation

University of North Dakota

(Dollars in Millions)

	Original 99-01	Revised 99-01	Increase
State General Fund	$96.1	$96.1	$0.0
Tuition Revenue	$52.7	$55.7	$3.0
Local/Grant Funds	$287.2	$287.2	$0.0
Total	$436.0	$439.0	$3.0

UND School of Medicine & Health Sciences

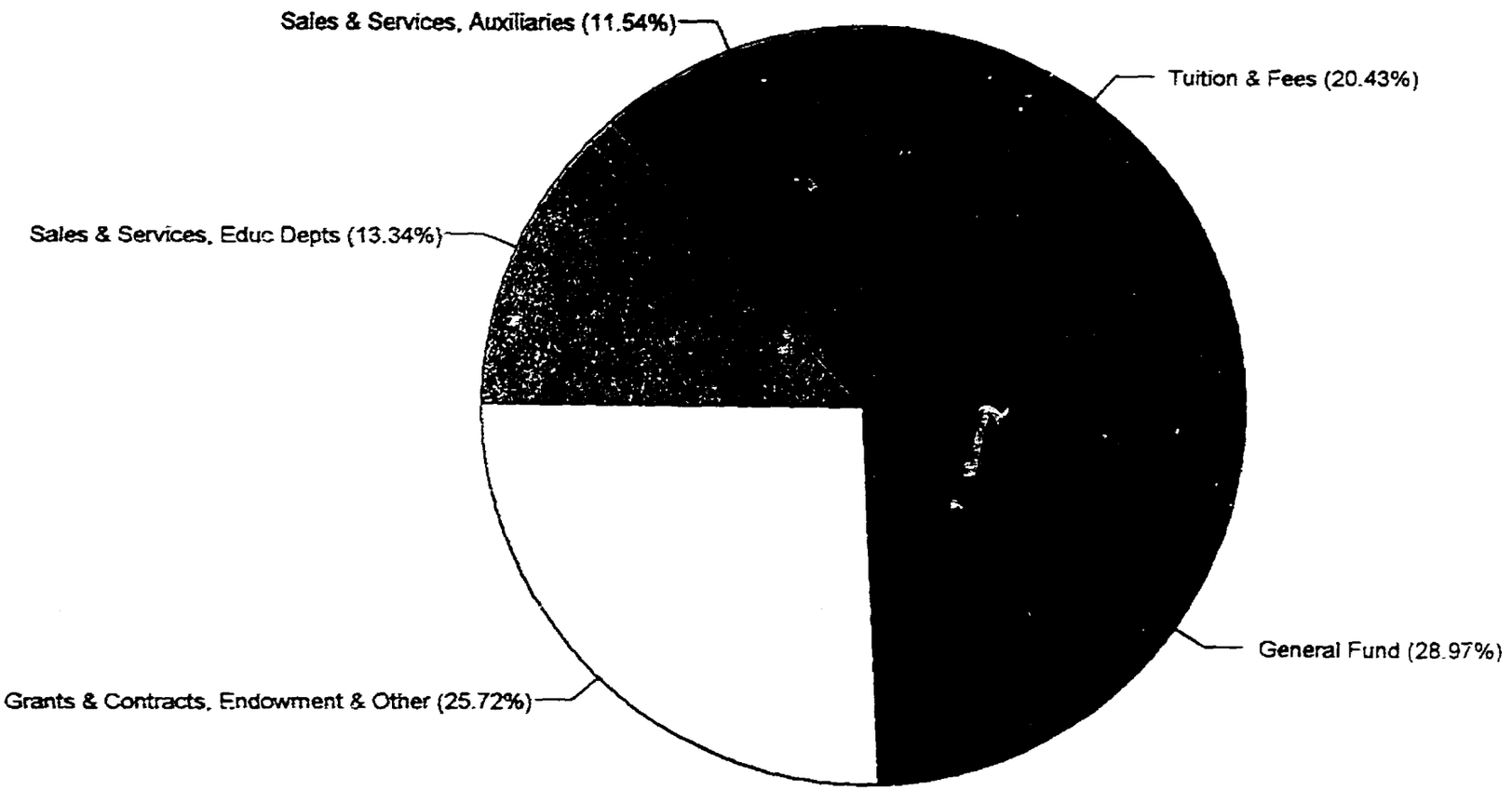
	Original 99-01	Revised 99-01	Increase
State General Fund	$29.5	$29.5	$0.0
Tuition/Mill Levy	$10.8	$11.1	$0.3
Local/Grant Funds	$47.6	$47.6	$0.0
Total	$87.9	$88.2	$0.3

University of North Dakota and School of Medicine & Health Sciences

	Original 99-01	Revised 99-01	Increase
State General Fund	$125.6	$125.6	$0.0
Tuition/Mill Levy	$63.5	$66.8	$3.3
Local/Grant Funds	$334.8	$334.8	$0.0
Total	$523.9	$527.2	$3.3

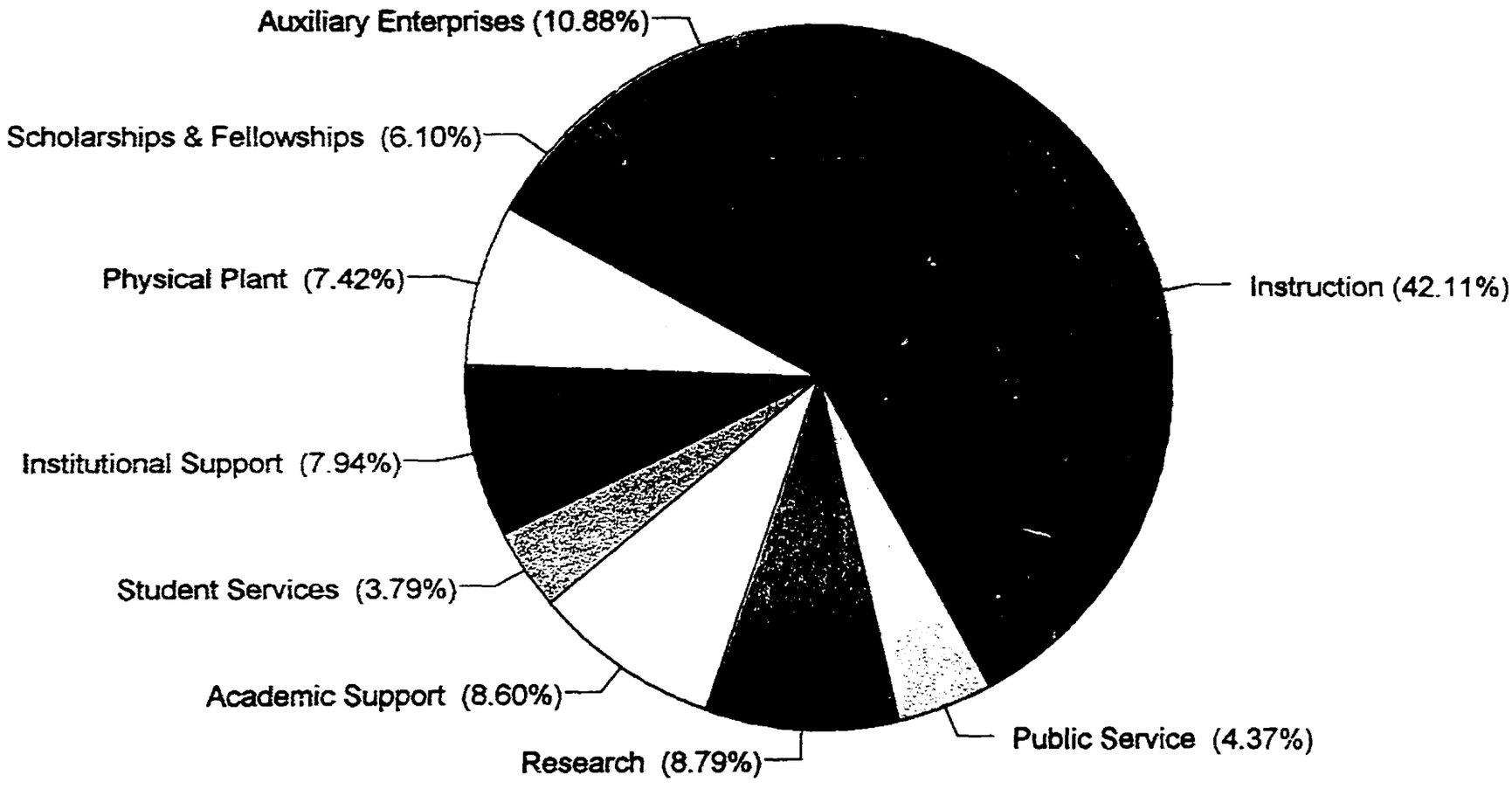
University of North Dakota

Revenue by Source - FY 2000



UND Budget

University of North Dakota
Expenditures by Function - FY 2000



University of North Dakota and School of Medicine & Health Sciences
2001-03 Biennial Budget Request Outline
House Appropriations Committee
March 5, 2001

Institutional Priorities

1. Compensation
2. Research/economic development
3. Technology
4. Program development

Hold Even Budget ("Foundation to build upon")

Total Biennial Budget = $562.5 million ***General Funds = $125.6 million***

- Does not include new funding to cover the cost to continue the FY01 salary increases or an inflationary factor for operating or utilities
- Requires reallocation of new investments implemented using revenue from increasing enrollment (examples: incremental instructional salaries in Aviation and pool for incremental course sections to meet student demand, funding for extended hours at Chester Fritz Library to improve service to students, contingencies for immediate response to student demand)
- Continues renewal/replacement budget base ($2.3 million which is 0.33% of plant value)
- Requests state funding for capital projects including O'Kelly Hall ($3.5 million) and continuation of electrical distribution and storm sewer projects ($2.56 million)

Optional Adjustments ("Work together to build a preferred future")

Total Optional Adjustments = $8.3 million ***General Funds = $3.8 million***

- Costs to Continue ($2.6 million which includes FY01 salary increase, operating/utility inflation)
- Technology Applications/Access ($1.3 million)
  - Course Management and Web Integration
  - Program Development for Multi-Media Courses and Programs
  - Telecommunications Priorities Pool
- Institutional Innovation ($2.3 million)
  - Enhance investment pool concept
  - Establish an organizational unit for Information Technology
  - Campus IT Support "Skunk Works"
- Renewal/Replacement ($2.15 million which provides an increase to 0.6248% of plant value -- target 1.5% by 2007-09)

1999-2000 AAUP Faculty Salaries: North Dakota

	Prof	Assoc Prof	Asst Prof	Inst
Bismarck State C,III	–	40.0	35.5	27.8
Dickinson State U, IIB	46.0	42.1	36.1	–
Jamestown C, IIB	43.9	37.2	33.4	28.3
Lake Region State C,III	–	–	–	28.9
Mayville State U, IIB	44.2	37.2	33.2	25.2
Minot State U, IIA	51.2	42.5	37.5	29.3
North Dakota State C of Science, III	–	38.3	31.3	30.8
North Dakota State U Main Campus, IIA	57.6	48.5	42.3	–
Trinity Bible C, III	–	–	18.1	15.3
University of Mary, IIB	46.6	41.0	35.6	32.7
U of North Dakota Main Campus, I	55.4	46.2	39.6	35.7
Valley City State U, IIB	46.5	39.9	34.4	30.1

Average Salaries for Full-Time Faculty Members, 1999-2000

	All		Public		Private, independent		Church-related	
	Salary	1 Year change	Salary	1-year change	Salary	1 Year change	Salary	1-year change
Doctoral institutions								
Professor	$87,022	+4.4%	$82,535	+4.5%	$103,761	+4.5%	$88,477	+4.1%
Associate professor	$60,250	+4.0%	$58,741	+4.0%	$67,661	+4.2%	$61,993	+3.9%
Assistant professor	$50,703	+4.5%	$49,100	+4.6%	$58,577	+4.4%	$51,331	+5.2%
Instructor	$35,988	+3.1%	$34,715	+2.8%	$42,605	+4.1%	$43,056	+8.8%
Lecturer	$40,895	–	$39,943	–	$44,385	–	$41,095	–
No rank	$43,357	–	$41,385	–	$46,067	–	$62,076	–
All	$66,991	+3.9%	$63,982	+3.9%	$80,486	+3.9%	$67,305	+3.9%

The University of North Dakota's Need to Attract and Retain High Quality Faculty

Archimedes is credited with a statement paraphrased as follows: Give me a place to stand and I can move the world. Archimedes was talking about levers and the amplification of force levers provide.

Give me a highly motivated, adequately-paid, high-quality faculty and I can move the world. Although all faculty and all staff are crucial to the running of a modern university, being able to compete for high quality faculty on a national and even international basis is absolutely essential for today's modern research university. The best faculty are in great demand and they tend to go to places where they are well supported and rewarded. Even in today's market, which is a "buyer's" market in many fields, universities like the University of North Dakota can hope to be little more than a training ground for the best faculty who are quickly snapped up and attracted to more lucrative locations after they have proven themselves at UND. While the current circumstances conspire to make this not such a bad deal because we do attract very good people initially, it is often disheartening to have those faculty who are developing as the brightest stars be attracted away. Ironically, this happens even among faculty who have developed an attachment to the University of North Dakota and find that other than salary, they feel well supported and able to pursue their dreams in North Dakota.

I've attached a letter from Dr. Matthew McKeon. This letter illustrates these points very well. For some time now, North Dakota has ranked near the bottom of the states in terms of the average salary paid to its university professors. While the salaries we pay might well be good by some measures, we must compete on the national level – even the international level. I've attached some statistics from various sources showing our current position.

The University of North Dakota has felt strongly enough about these matters to have reallocated dollars in each of the last two years to provide more than twice the average salary increase that we would have been able to give based on state appropriations alone. Administrative positions have been eliminated, and we decreased the number of faculty, and have seen an increase in our student-to-faculty ratio in order to accomplish this objective. We really have had no choice. Despite our best efforts, we continue to lose good people, and what worries me most as president is that after a certain point, this will begin to resemble a run on the bank as people become disheartened that many of our best people are being lured away.

The State of North Dakota provides approximately one half of the cost of educating a student – less than thirty percent of what it takes to run a university like the University of North Dakota when housing, dining, and other auxiliary services are figured in. The dollars that are provided through state tax support, however, provide for the core of the

university, that is, faculty salaries. What this means is that the money invested in faculty has four times the economic impact that that dollar represents. Every dollar spent on salary of an effective faculty member is multiplied three to five times, and this doesn't even include the spin-off benefits of research and development activity or the economic impact that students have on the state once they leave the university.

The case is clear. We must move from last place, or nearly last place, to the median of salaries over some longer range period of time. There is no more important foundation for the state's future than a strong, highly qualified faculty in its universities.

UNIVERSITY OF UND NORTH DAKOTA

OFFICE OF THE PRESIDENT
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GRAND FORKS, NORTH DAKOTA 58202-8193
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TO: Members, State Board of Higher Education
Larry Isaak, Chancellor, NDUS

FROM: Charles Kupchella

SUBJECT: Letter

DATE: April 17, 2000

The letter attached was something I really did not want to get. It's the most recent in a series of such letters which serves to make the point that our salary levels at the University of North Dakota are less than competitive. As it also contains some very positive impressions of the University of North Dakota and its students, I thought I would pass this one along to you as an example to help you appreciate even more than I know you do already what we're up against in our efforts to find more money for salaries.

CEK/pb
Attachment
c: President's Cabinet, UND

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UNIVERSITY OF UND NORTH DAKOTA

DEPARTMENT OF PHILOSOPHY AND RELIGION
P.O. BOX 7128
GRAND FORKS, NORTH DAKOTA 58202-7128
(701) 777-4236

March 24, 2000

Dear Scott:

I am writing in order to make official my resignation from the University of North Dakota, effective June 15th. As you know, I have accepted an appointment at Michigan State University, beginning in the fall of 2000. Last summer I realized that not only could I not adequately support my family on my present salary, but that there is little reason to believe that tenure and promotion would result in substantial salary increases. This combined with the difficulty my wife has had securing gainful employment in Grand Forks, forced me to enter the job market in the fall of 1999. The move to MSU is a step towards financial security for my family: the average salary of a full philosophy professor at MSU is approximately double the salary of a full professor in our department.

Nevertheless, the thought of leaving UND makes me sad. My two years in North Dakota have been two of the happiest years of my life. The people that make up the department of philosophy and religion are the best group of people that I have ever been affiliated with in my academic career. I don't believe that it is possible to be in a department that is more collegial and supportive than this one. The department was my home away from home, and the people that make it up I count as my close friends. The faculty's dedication and commitment to students and to each other, their excellent teaching, and their passion for justice in university affairs and in the community at large has helped to define for me what it means to be a professor. I shall take this with me wherever I go. The students that this department attracts are some of the best students that I have ever been acquainted with. What is particularly striking about them is that they do not view their education merely as a means to a job, but as valuable as means to learn about the world. This has been a constant and refreshing reminder of the value of my teaching as a catalyst for shaping students' vocations and avocations.

I leave UND a better person and a better teacher. For this, I am in debt to all of the people that make up our department, as well as to the many faculty outside of the department who have befriended me and supported my projects at UND. I am sure that the administration understands that the department cannot legitimately offer a major with an emphasis in philosophy and maintain the legitimacy of its current course offerings, unless my position is preserved. Indeed, given the current high demand for our courses in the history of philosophy and our critical thinking course, the position must be filled as soon as possible. I wish the department success in their search for a replacement. If I can be of any assistance, you know where you can reach me.

Sincerely,

Well, I guess we have to accept this. Scott Howe

Matthew McKeon

UND is an equal opportunity/affirmative action institution

- Renewal/Replacement ($2.15 million which provides an increase to 0.6248% of plant value – target 1.5% of plant value by 2007-09)

FACT SHEET

RENEWAL & REPLACEMENT

$2,149,123
Proposed Restoration - General Funds

Introduction: These funds were requested as part of the optional adjustments budget for the University of North Dakota and include a variety of repair categories beyond those funded under base extraordinary repairs. The incremental funding would provide an increase to 0.6248% of plant value moving towards a target of 1.5% of plant value by 2007-09. We are requesting that the categories and amounts be restored to the original budget request, and provide the following supporting information. Please note that the categories are not prioritized.

TUCK POINTING AND CAULKING (ADDITIONAL): $300,000

- Additional funding would provide repairs to brick and mortar surfaces that are showing the initial signs of failure.
- Repair intervention at this point has a much greater cost-benefit ratio, and tuck pointing costs per square foot are greatly reduced.

ENTRANCE AND OVERHEAD DOORS: $168,000

- Funding for replacement of door assemblies that no longer respond to maintenance repairs.
- Also provides improved energy efficiency through sealing and insulation of entry doors.

BUILDING ELECTRICAL AND LIGHTING: $100,000

- This line item includes repairs to building service systems that were not replaced as a result of the flood disaster, but must be updated to accommodate improvements in the high voltage electrical distribution system.

INTERIOR WATER PIPING AND INSULATION: $200,000

- This category applies directly to campus buildings with interior plumbing systems over 75 years old that have not been upgraded.
- Provides for use of more efficient plumbing fixtures in areas where current water pressure is too low to support modern flush mechanisms.

INTERIOR DRAIN AND WASTE PIPING: $150,000

- Provides funding to replace interior waste piping over fifty years of age and older.
- Results in improved waste control, reduced odor problems, and reduced maintenance costs resulting from repairing plugged drains or cleaning up sewer back flow.

ADA ACCESSIBILITY REPAIRS: $100,000

- Includes funding for the repair and/or installation of door assemblies and other architectural components designed to accommodate disabled individuals.
- Provides for the creation of accessible space when students, faculty, or staff with special needs are recruited by the University.

WINDOW REPLACEMENT (ADDITIONAL): $271,000

- This additional funding provides for the replacement of windows that remain functional but have extremely low energy efficiencies. Replacement will have a direct impact on reducing utility costs.

FLOOR / CEILING REPLACEMENT (ADDITIONAL): $120,000

- Includes funding for the replacement of flooring that shows significant wear but considered usable.
- Provides for the replacement of ceiling tiles and ceiling grid systems in areas where asbestos tiles are removed.
- Provides for the replacement of ceiling systems where the entire ceiling is removed to accommodate modifications to mechanical equipment above the ceiling.

TREE REPLACEMENT (ADDITIONAL): $100,000

- Restores funding to original budget request.
- Funding supports tree plantings required as a result of unexpected loss.
- Applies to landscaping projects where building demolition, paving removal, or underground maintenance requires improvements to landscaping.

STRUCTURAL CHANGES: $400,000

- Structural Changes@ refers to the structure of academic departments.
- This line item applies to funding basic, small-scale renovation projects in academic areas where departmental objectives require modification of existing space to better accommodate the new programs.
- Work includes modifications to mechanical systems, electrical systems, and basic room sizes.
- Work does not increase the size of maintained space, and usually reduces the deferred maintenance inventory for that facility.

CLASSROOM MODERNIZING: $250,000

- Includes funding to replace the existing architectural components in order to provide a modern, attractive teaching environment.
- Improvements include replacing drapery, wall coverings and flooring, and outdated lighting systems.
- The effort for this category is to provide departments with classroom space that is conducive to learning and supports modern teaching methods.

Technology Applications/Access ($1.1 million UND; $0.2 million SOMHS)

- Course Management and Web Integration
- Program Development for Multi-Media Courses and Programs
- Campus Network
- Research/Support Services
- Voice IP and Video Conferencing
- Telecommunications Priorities Pool (Medical School)

Institutional Innovation ($1.8 million UND; $0.5 million SOMHS)

- Enhance investment pool concept
- Establish organizational unit for Information Technology
- Campus IT Support "Skunk Works"
- Virtual Library (Medical School)
- Faculty Laptop Program (Medical School)
- Research Support (Medical School)

University of North Dakota and School of Medicine & Health Sciences
2001-03 Biennial Budget
(Dollars in Millions)

	Budget Request			Senate Engrossed Bill No. 2003		
	Total	General Fund	Special Funds/Local	Total	General Fund	Special Funds/Local
1999-2001 Adjusted Appropriation	$527.2	$125.6	$401.6	$527.2	$125.6	$401.6
Adjustments For Hold Even Budget						
Continuation of FY01 Tuition Increase	$1.8		$1.8			
Local/Tuition Adjustments	$15.9		$15.9	($1.7)		($1.7)
Capital Improvements	$17.6		$17.6	$5.4	($2.6)	$8.0
Total 2001-03 Hold-Even Budget Request	$562.5	$125.6	$436.9	$530.9	$123.0	$407.9
Tuition Revenue Excluded				($66.4)		($66.4)
Local Funds Excluded				($330.3)		($330.3)
IVN, ODIN, HECN, Campus Computing to Technology Pool				($12.5)	($12.5)	
Optional Budget Adjustments						
Costs to Continue	$2.6	$2.6				
Technology Applications/Access	$1.3	$1.3				
Institutional Innovations	$2.3	$2.3				
Renewal-Replacement	$2.1	$2.1				
Subtotal-Optional Adjustments	$8.3	$8.3				
Funded From Tuition Increase		($4.5)	$4.5			
Total Optional Adjustments	$8.3	$3.8	$4.5			
Total 2001-03 Request Before Compensation Package	$570.8	$129.4	$441.4	$121.7	$110.5	$11.2
Health Insurance Premium Increase				$1.8	$1.8	
Total 2001-03				$123.5	$112.3	$11.2

Adjusted appropriation for 1999-01 equals the total less carryforward and BND line of credit.

University of North Dakota
Capital Projects Summary
(Dollars in Millions)

STATUS OF 1999-2001 APPROPRIATION
CURRENT PROJECTS IN PROGRESS:

	General Fund	Special Funds	
Engelstad Arena (2001)		$70.00	
Barnes and Noble University Bookstore (2000)		$4.50	
Biomedical Research Facility (2001)	$3.00	$3.70	
University Health Facility (2001)		$4.00	
Steamline Replacement - Flood (2001)	$2.60	$23.40	
Electrical Distribution Improvements	$1.50		
Storm Sewer Improvements	$1.00		
TOTAL	$8.10	$105.60	$113.70

2001-03 BIENNIAL BUDGET REQUEST
CAPITAL PROJECTS:

	General/ State Bonding	Special Funds	
O'Kelly Hall Renovation *	$3.50		
Infrastructure Improvements *	$2.55		
Memorial Student Union Renovation		$3.50	
Carnegie Library Renovation		$3.00	
Smith, Johnstone, Fulton Renovation		$2.65	
Wilkerson Loading Dock/Generator		$1.70	
Rural Technology Addition		$3.80	
Canopy - Rural Technology Center		$0.06	
TOTAL	$6.05	$14.71	$20.76

*** Restoration requested - not funded in Engrossed Senate Bill No. 2003 or 2023**

University of North Dakota
2001-03 Biennial Budget
(Dollars in Millions)

	Budget Request			Senate Engrossed Bill No. 2003		
	Total	General Fund	Special Funds/Local	Total	General Fund	Special Funds/Local
1999-2001 Adjusted Appropriation	$439.0	$96.1	$342.9	$439.0	$96.1	$342.9
Adjustments For Hold Even Budget						
Continuation of FY01 Tuition Increase	$1.3		$1.3			
Local/Tuition Adjustments	$9.8	$1.3	$8.5	($0.4)	$1.3	($1.7)
Capital Improvements	$17.6		$17.6	$5.4	($2.6)	$8.0
Total 2001-03 Hold-Even Budget Request	$467.7	$97.4	$370.3	$444.0	$94.8	$349.2
Tuition Revenue Excluded				($55.3)		($55.3)
Local Funds Excluded				($282.7)		($282.7)
IVN, ODIN, HECN, Campus Computing to Technology Pool				($12.5)	($12.5)	
Optional Budget Adjustments						
Costs to Continue	$2.1	$2.1				
Technology Applications/Access	$1.1	$1.1				
Institutional Innovations	$1.8	$1.8				
Renewal-Replacement	$2.1	$2.1				
Subtotal-Optional Adjustments	$7.1	$7.1				
Funded From Tuition Increase		($4.5)	$4.5			
Total Optional Adjustments	$7.1	$2.6	$4.5			
Total 2001-03 Request Before Compensation Package	$474.8	$100.0	$374.8	$93.5	$82.3	$11.2
Health Insurance Premium Increase				$1.5	$1.5	
Total 2001-03				$95.0	$83.8	$11.2

Adjusted appropriation for 1999-01 equals the total less carryforward and BND line of credit.

University of North Dakota
2001-03 Restoration to Original Budget Request

- Costs to Continue - $2.1 million
- Renewal-Replacement - $2.1 million
- O'Kelly Hall Renovation Phase 1 - $3.5 million
- Infrastructure (Electrical Distribution/Storm Sewer Phase 2) - $2.56 million
- Technology Applications/Access - $1.1 million
- Institutional Innovation - $1.8 million

Additional General Funds Requested.....	$8.66 million
Funded from Proposed Tuition Increase.....	$4.50 million
Total	$13.16 million

APPENDIX A

CAPITAL PROJECTS

FACT SHEET

O'KELLY HALL RENOVATION

General Funds: $3,600,000
Proposed Restoration - Major Capital Project Priority

- Renovation of O'Kelly Hall has been a capital project request and priority for UND in both the current and next biennium.

- Renovation of O'Kelly Hall will greatly reduce the University's deferred maintenance liability by replacing aging equipment, doors, and windows.

- This project will provide modern, energy-efficient space for a number of University programs including Social Work. Social Work is one of the University's most dynamic departments, granting both graduate and undergraduate degrees. In addition, Social Work attracts a substantial amount of grant funding by providing outreach services to the community, region, and state.

- Relocating the Social Work department to O'Kelly Hall will greatly reduce the current congestion created by two departments sharing the limited space available in Gillette Hall.

- Renovation of O'Kelly Hall can be conducted in several phases, with the first phase completing the critical mechanical updates.

- Once the first phase of renovation is complete, smaller projects can be completed on a room-by-room basis as budgets allow. This flexibility is provided by the first phase mechanical upgrade that provides the necessary HVAC foundation that will be sized for the entire building. As funding becomes available, small-scale renovation projects can be completed without significant investments in the expensive A/C and heating components that are required by building codes. As a result, the remaining renovation plan can be completed over a number of years without affecting the existing facility.

- Renovation of O'Kelly Hall will provide the University with modern instructional and research space that will **NOT** increase the total maintenance area. As a bonus, maintenance and utility costs will be reduced as modern windows, doors, and mechanical equipment are installed that require fewer repairs and operate at a higher level of energy efficiency.

- A complete program and estimate for O'Kelly Hall has been prepared that identifies the basic program uses and estimates the total cost of renovation. This project has received a significant planning effort that defines scope of work, floor plans, occupants, and space assignments. In addition, O'Kelly Hall will retain its historical integrity while providing modern, efficient space.

- Renovation of O'Kelly Hall is one of the first steps in rejuvenation of the campus core. A master plan is being developed that identifies the specific needs of each building in this area and provides for a building connection system of walkways or tunnels.

FACT SHEET

O'KELLY HALL RENOVATION

Program Overview

Introduction: Renovation of O'Kelly Hall is the University of North Dakota's number one capital project priority. Improvements to this facility are key elements in the University's master plan for physical growth. This report is intended to provide State lawmakers with detailed information regarding the specific programming for renovating O'Kelly Hall. Please refer to the attached drawings for additional information on proposed uses of this facility.

Master Plan Integration

O'Kelly Hall has been constructed in three phases over the past sixty years. It retains a tremendous structural integrity, and provides the University with a very economical platform for interior renovation. Because of its prime location at the center of campus, a renovated O'Kelly Hall can provide the University with a full featured, modern instructional facility that is readily accessible to the greatest number of students enrolled in the broadest range of classes. As a result, renovating this facility has the added bonus of reducing transportation problems and campus congestion by concentrating additional classes in a common locale.

Pedestrian Walkway Connections

Renovating O'Kelly Hall will provide the University with the ability to further extend its building connection system as funds become available. This plan calls for the pedestrian linkage of core-campus buildings to provide students with a means to travel between classes in safety and comfort. This type of asset is an important recruitment marketing tool for northern-tier campuses that are targeting students from regions with a more temperate climate.

Renovation Program Details

Upgraded Mechanical Systems

O'Kelly Hall currently uses steam radiation for heating and window air conditioners for the majority of cooling needs. This type of configuration is very inefficient and provides limited fresh air, resulting in poor indoor air quality. A primary goal of this renovation project is to establish a scalable mechanical system foundation that will provide modern heating and cooling systems for this structure. In addition, the controls for this system will be linked to our campus-wide building automation system that will monitor air quality, temperature, and regulate the building functions based on occupancy.

Updated Electrical and Communication Systems

Mature campus buildings such as O'Kelly Hall have insufficient electrical and communication infrastructure to support the needs of today's modern technology. Providing sufficient electrical power for computers and other new-age devices is included in the renovation program. In addition, the construction will include the latest in telecommunication technology infrastructure, providing this facility with state-of-the-art network capacity.

Energy-Efficient Windows and Entrance Doors

This project will include the installation of new, energy-efficient entrance doors and windows in the Ireland Wing of O'Kelly Hall. In addition, plans call for the construction of a new ADA-compliant exterior entrance that will provide easy access for those individuals with impaired mobility. As funding becomes available for additional large- or small-scale renovation in this facility, the same energy-efficient doors and windows will be installed.

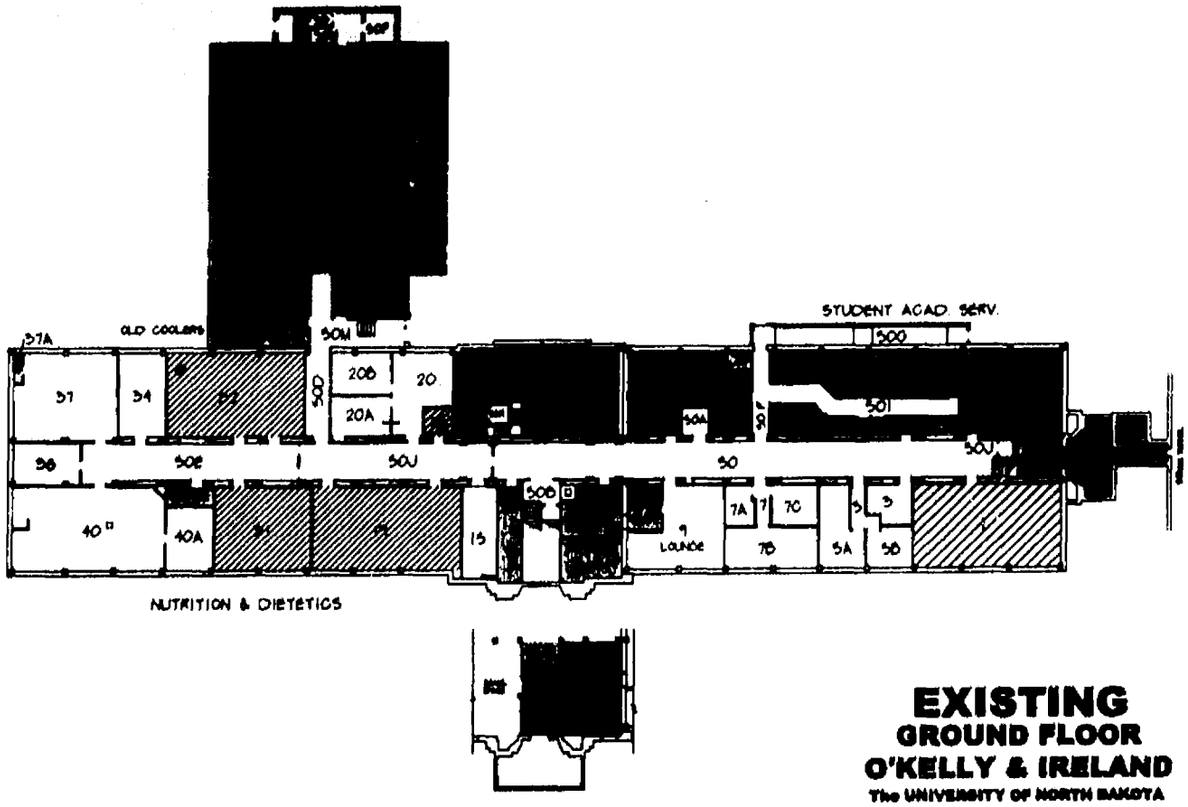
Modern and Extensive Classroom Space

As shown on the attached drawings, plans call for the creation of a significant amount of classroom space in O'Kelly Hall. To enhance accessibility, the classroom locations are focused in the areas that will support interconnected walkways. This feature will place classrooms in high-traffic areas, while locating faculty and administrative offices conveniently adjacent but away from the bustle of busy students moving between classes.

The classroom décor will feature wear-resistant wall and flooring surfaces yet be attractive and conducive to a variety of learning formats. Nearly all classrooms will feature large windows with room-darkening shades, providing light, airy spaces that can be quickly converted to levels suitable for screen projection or other types of viewing displays.

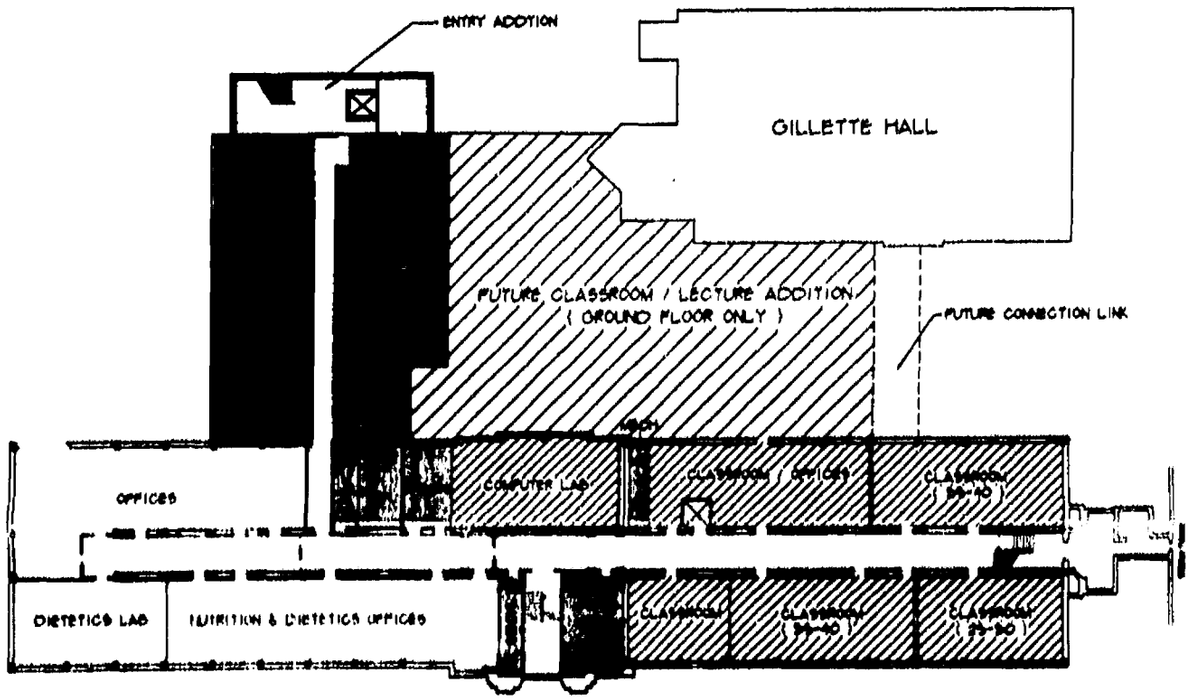
Summary

Because the renovation of O'Kelly Hall is a critical component in the successful growth of the University, we have placed considerable effort in researching and planning the most functional program use for this space. As a result, we sincerely believe this project will provide the University and the State of North Dakota with the strongest return on its substantial investment. Although the primary objective is educational quality, this renovation will also deliver reduced utility and maintenance costs, improved environmental quality, and enhanced recruitment marketing ability.

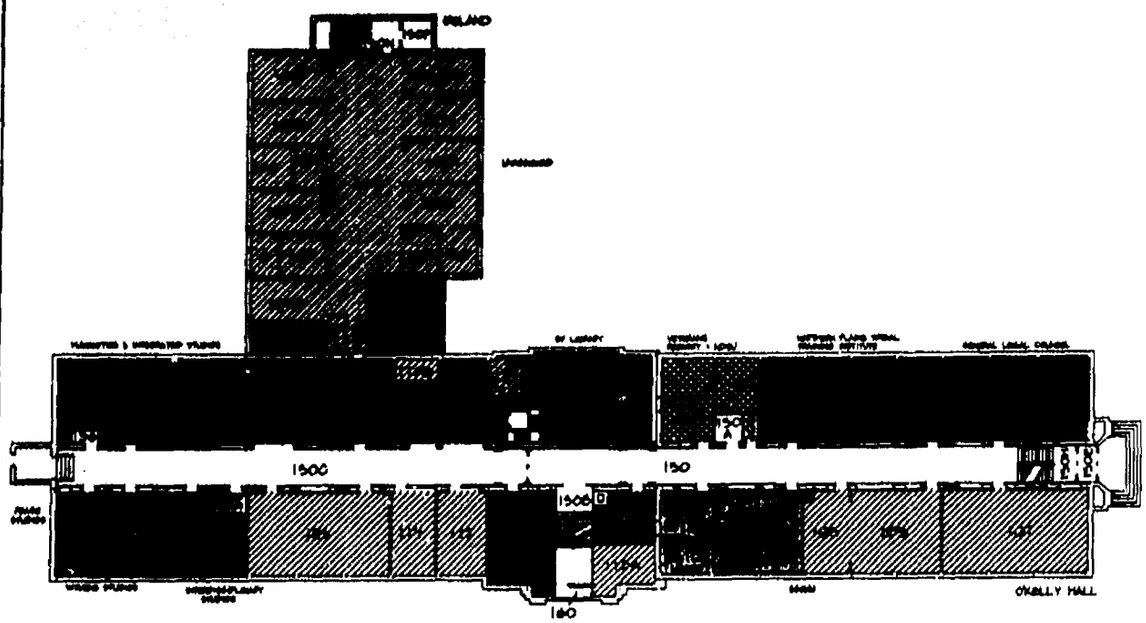


EXISTING
GROUND FLOOR
O'KELLY & IRELAND
The UNIVERSITY OF NORTH DAKOTA

GENERAL NOTES:
RELOCATE STUDENT ACADEMIC SERVICES TO GROUND FLOOR OF IRELAND HALL.
RELOCATE C.F. LIBRARY TO 4TH FLOOR OF IRELAND HALL.



PROPOSED
GROUND FLOOR
O'KELLY & IRELAND



EXISTING
FIRST FLOOR PLAN
O'KELLY & IRELAND
The UNIVERSITY OF NORTH DAKOTA

GENERAL NOTES:

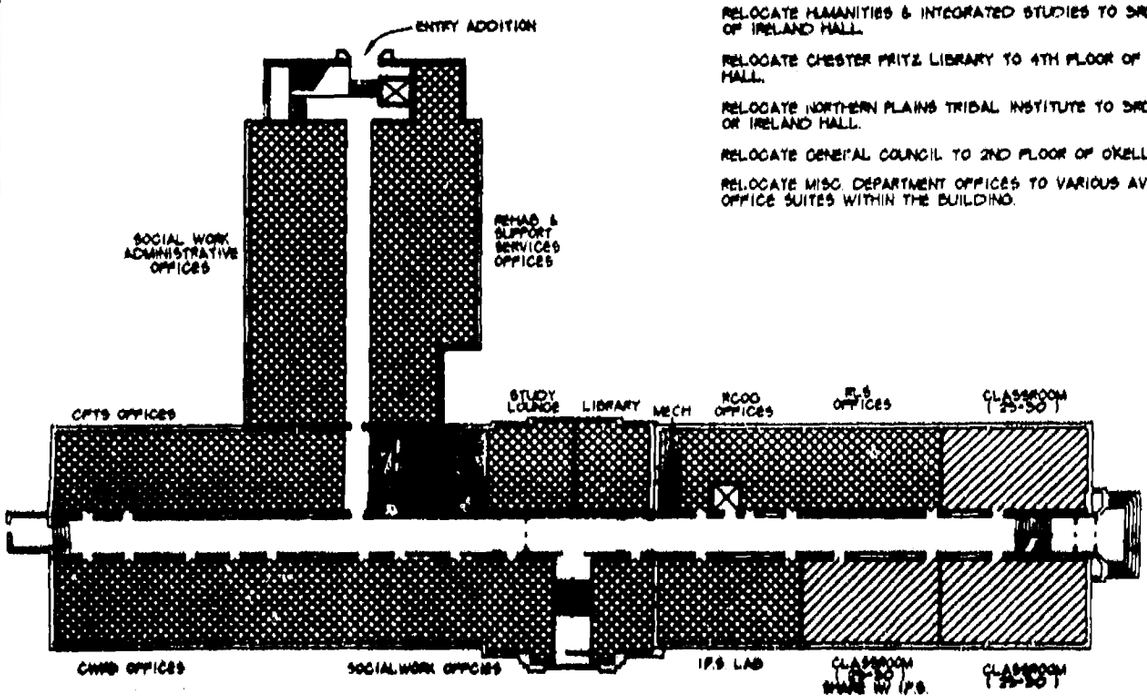
RELOCATE HUMANITIES & INTEGRATED STUDIES TO 3RD FLOOR OF IRELAND HALL.

RELOCATE CHESTER FRITZ LIBRARY TO 4TH FLOOR OF IRELAND HALL.

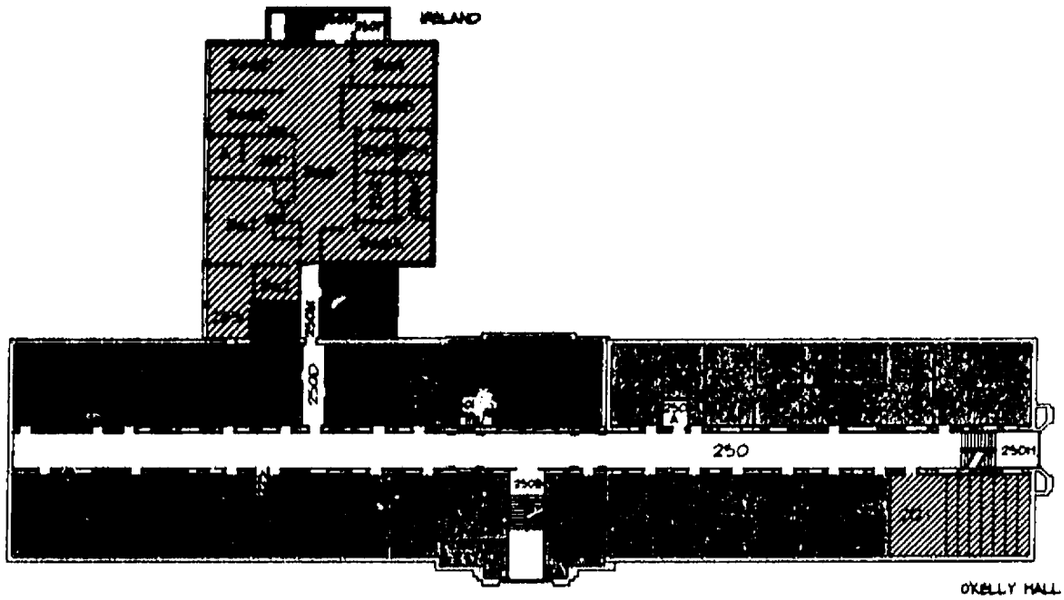
RELOCATE NORTHERN PLAINS TRIBAL INSTITUTE TO 3RD FLOOR OF IRELAND HALL.

RELOCATE GENERAL COUNCIL TO 2ND FLOOR OF O'KELLY HALL.

RELOCATE MISC. DEPARTMENT OFFICES TO VARIOUS AVAILABLE OFFICE SUITES WITHIN THE BUILDING.



PROPOSED
FIRST FLOOR PLAN
O'KELLY & IRELAND
The UNIVERSITY OF NORTH DAKOTA

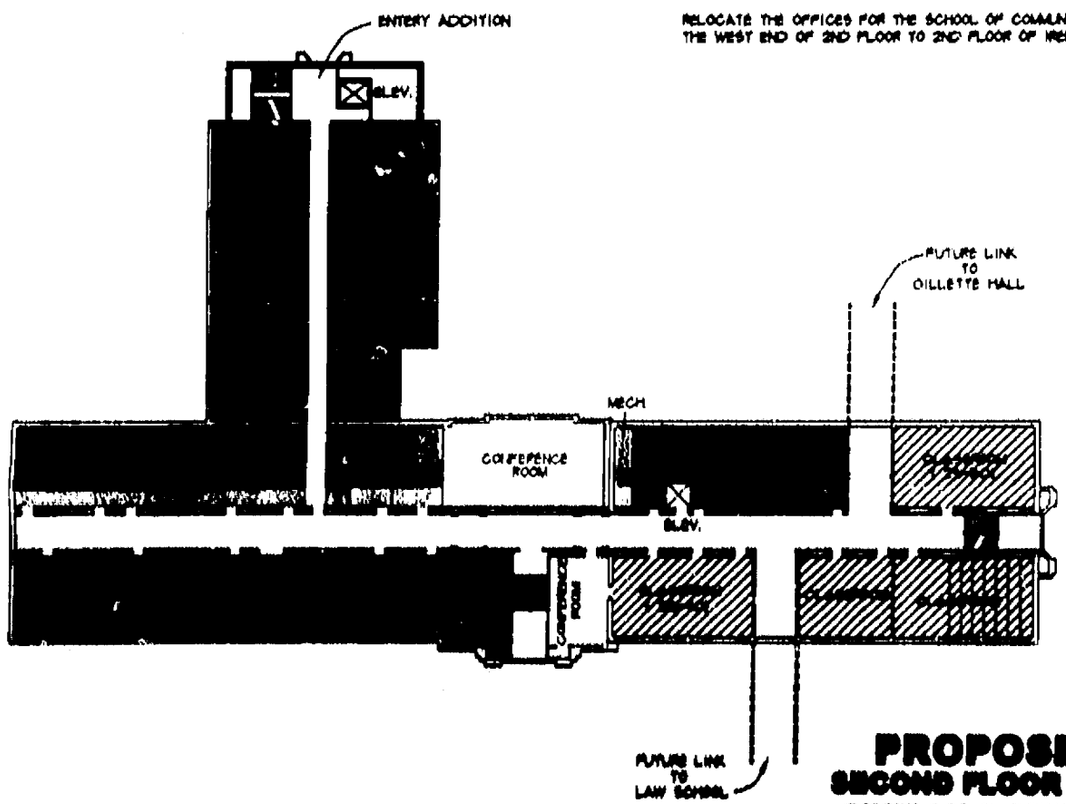


EXISTING
SECOND FLOOR PLAN
O'KELLY & IRELAND
The UNIVERSITY OF NORTH DAKOTA

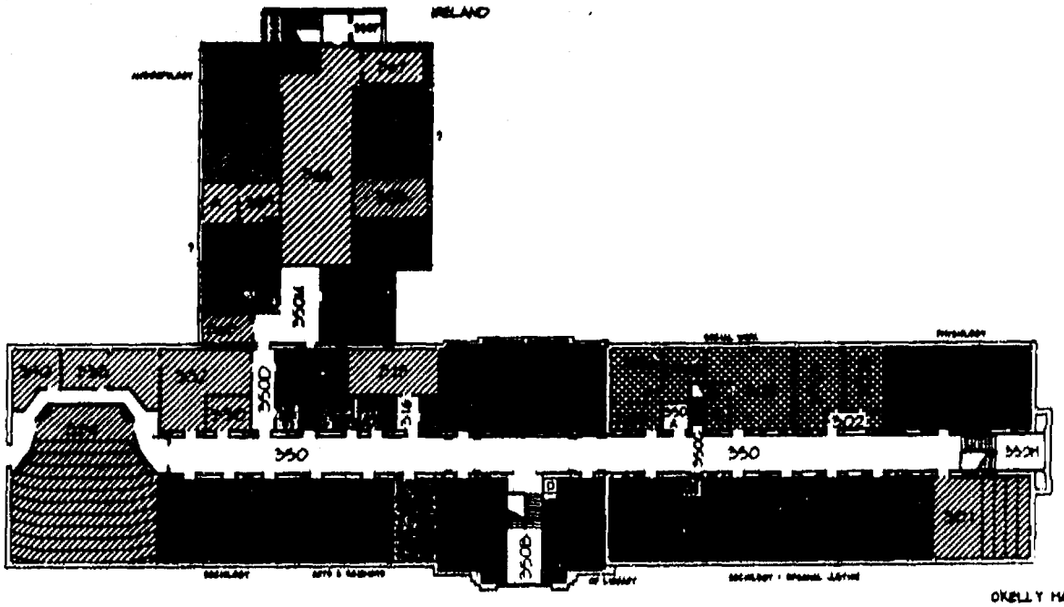
GENERAL NOTES :

RELOCATE CHESTER FRITZ LIBRARY TO FOURTH FLOOR OF IRELAND .

RELOCATE THE OFFICES FOR THE SCHOOL OF COMMUNICATION FROM THE WEST END OF 2ND FLOOR TO 2ND FLOOR OF IRELAND.



PROPOSED
SECOND FLOOR PLAN
O'KELLY & IRELAND
The UNIVERSITY OF NORTH DAKOTA



EXISTING
THIRD FLOOR PLAN
O'KELLY & IRELAND
The UNIVERSITY OF NORTH DAKOTA

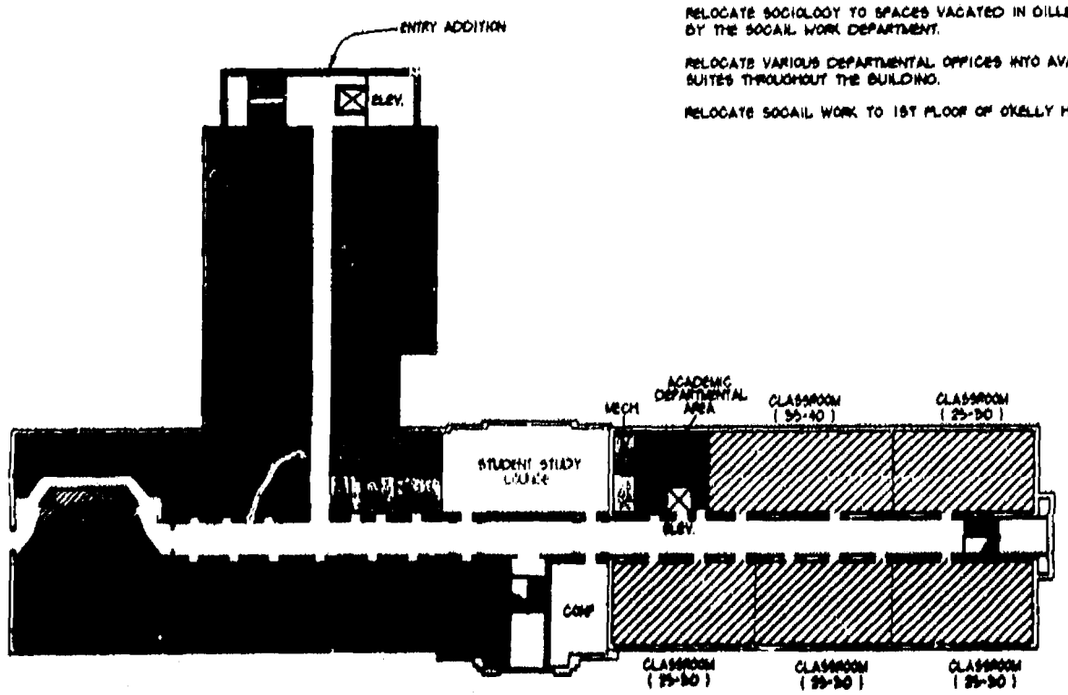
GENERAL NOTES:

RELOCATE CHESTER FRITZ LIBRARY TO 4TH FLOOR OF IRELAND.

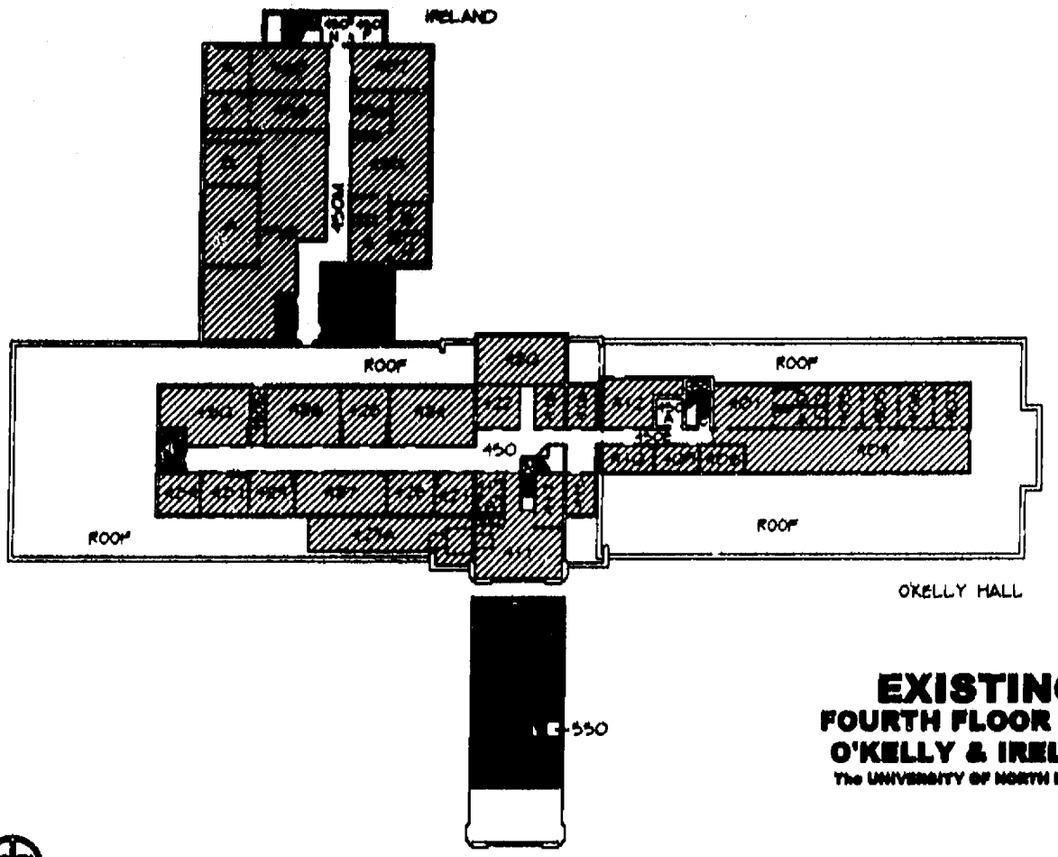
RELOCATE SOCIOLOGY TO SPACES VACATED IN DILLETTE HALL BY THE SOCAIL WORK DEPARTMENT.

RELOCATE VARIOUS DEPARTMENTAL OFFICES INTO AVAILABLE OFFICE SUITES THROUGHOUT THE BUILDING.

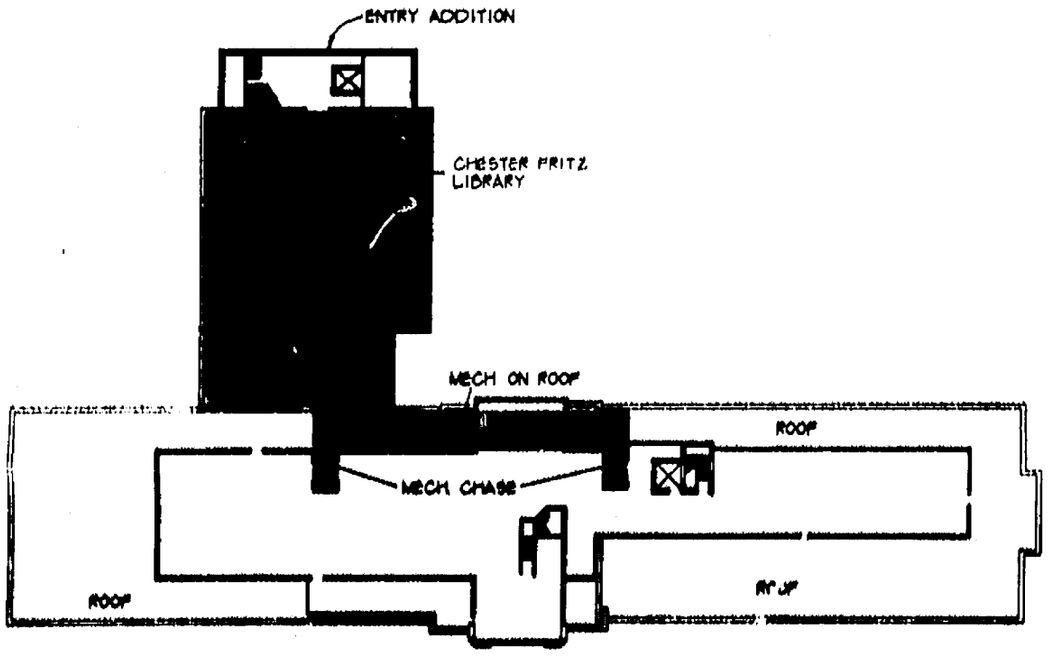
RELOCATE SOCAIL WORK TO 1ST FLOOR OF O'KELLY HALL.



PROPOSED
THIRD FLOOR PLAN
O'KELLY & IRELAND
The UNIVERSITY OF NORTH DAKOTA



EXISTING
FOURTH FLOOR PLAN
O'KELLY & IRELAND
The UNIVERSITY OF NORTH DAKOTA



PROPOSED
FOURTH FLOOR PLAN
O'KELLY & IRELAND
The UNIVERSITY OF NORTH DAKOTA

FACT SHEET

INFRASTRUCTURE IMPROVEMENTS

General Funds: $2,555,000
Proposed Restoration - Other Major Capital Projects

Infrastructure upgrades continue to be a priority for the University of North Dakota and have been included in budget requests for two biennia.

- Improvements to the storm water management system are critical in reducing the University's exposure to potential damage from flash flooding.
    - The existing storm water system cannot handle heavy rainfalls (a ten-year design event) without sustaining damage.
    - Improvements currently funded will provide only for a limited area of improvement.
    - The additional funding requested will complete the system upgrades. When used in conjunction with repairs funded by FEMA to correct flood-related damage, appropriated funding investments for this biennium will have the greatest overall return.
- Improvements (beyond those currently funded) to the high voltage distribution system are critical to support the University's growth in both research and instruction
    - Growth in research areas that provide both employment and entrepreneurial enhancements to the state and local economies are dependent upon a strong, dependable source of energy.
    - Current growth projections require a continued investment in the high-voltage electrical system in order to provide a dependable energy platform.
    - University of North Dakota-owned electrical systems are the most efficient, cost-effective, and economical delivery systems available within the region.
    - Improvements to the high-voltage system can be combined with performance-contracting initiatives included in the Executive Recommendation to provide this institution with one of the most modern, efficient, and economical energy platforms in the world.

Footnote: SB2023 includes $3.9 million in funding for performance-contracting initiatives that are designed to reduce utility costs by improving the efficiency of existing mechanical and electrical systems. Identifying those systems most likely to produce savings was completed through an energy survey conducted by the Facilities Department in the spring of 2000. Matching funds for this survey were provided by the North Dakota Department of Community Services (a division of OMB). Return on investment based upon projected utility cost savings is less than seven years for all supported projects.

FACT SHEET

MEMORIAL STUDENT UNION RENOVATION

Special Funds: $3,500,000
Included in SB2023

Introduction: Renovation of the UND Memorial Union is a No. 1 priority for the University as ranked for projects *using non-appropriated funds.*[1] The scope of this project centers on improvements that will enhance and improve the functions of the existing space, providing students with an inviting, friendly environment. Highlights of the planned renovation include:

- Replace all existing exterior doors and windows to reduce utility costs and enhance the appearance of this classic structure.
- Update building mechanical and electrical systems to accommodate future technology and improve energy efficiencies.
- Renovate and modernize the existing bathroom facilities throughout the building.
- Construct a vestibule entrance on the structure's east side that reduces winter drafts yet enhances the appearance of the building
- Replace and/or update wall and floor coverings.
- Replace ceiling systems and lighting systems.
- Renovate the former Bookstore space into a variety of programming, lounge, and student services space.
- Renovate the existing administrative space.
- Renovate the Crafts Center area.
- Renovate meeting rooms to provide more flexible space using folding walls and versatile lighting systems.

Above text is consolidated version of information presented to Senate Appropriations.

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[1] UND students are very supportive of this project that will use student fees as the source of funding. As the project scope identifies a wide range of renovation goals that can be completed independently, this project can be easily phased as required by available funding or convenience to the students.

FACT SHEET

CARNEGIE LIBRARY RENOVATION

Special Funds: $3,000,000
Included in SB2003

Introduction: The Carnegie Library is one of University's oldest buildings and represents a significant historical asset. Its location and character provide the University with an opportunity to invest in a structure that elicits a strong sense of tradition from the campus community and visitors alike. Establishing this building as a center for enrollment services and campus information will create a functional space that is sensitive to the historical fabric of the campus while enhancing opportunities for enrollment recruitment and retention. Highlights for the planned renovation include:

- Upgrading the building mechanical and electrical systems.
- Replacing windows with modern units that retain the historical appearance of the structure yet improve energy efficiency.
- Tuck pointing and other restorative measures that will ensure the building's continued use into the future.
- Placing a new masonry veneer on a non-historic addition to this structure that will fully integrate the building's exterior appearance.
- Installing a new ADA accessible entrance.
- Restoration in limited areas of the building interior to its original condition.
- Renovation of interior spaces to provide functional work space, bathrooms, and meeting areas that will efficiently support the new uses of this facility.

Footnote: The Carnegie Library is a beautiful structure that is limited in potential uses. It has tremendous historical importance as it relates to both architecture and development of higher education in North Dakota. As a result, providing this structure with a viable and important new function that does not compromise its historical integrity is a rare and valuable opportunity the University strongly supports.

FACT SHEET

SMITH , JOHNSTONE AND FULTON HALLS

Special Funds: $2,650,000
Included in SB2003

Introduction: Smith, Johnstone, and Fulton Halls are three separate residence halls linked together to form a single functional housing complex. Ranging in age from 40 to 55 years, the combined facility is in excellent structural condition and can efficiently support both broad and narrow scopes of renovation. Highlights of the proposed project include:

- Redesign and renovation of bathroom/shower areas to provide greater privacy, improve ventilation, and enhance marketability.
- Replacement of wall, flooring, and ceiling surfaces.
- Upgrades to building electrical and telecommunication infrastructure.
- Window and door replacement.
- Phased renovations that will allow continued use of the facility.
- Roof replacement and tuck pointing that will reduce deferred maintenance inventories.

Footnote: Flood damage to the lower level of Smith Hall resulted in a FEMA-sponsored restoration project that included new laundry facilities, study areas, and meeting rooms. When combined with recent bathroom renovations, Smith Hall has gathered momentum in attracting new residents to this complex. Maintaining this effort will greatly improve the University's recruitment opportunities by providing attractive, affordable housing.

FACT SHEET

WILKERSON LOADING DOCK/GENERATOR

Special Funds: $1,700,000
Included in SB2003

Introduction: Wilkerson Hall is the University's primary cafeteria, serving approximately 2,500 meals each day to board-contract students. As a result of this volume, transport of food goods to this facility requires an efficient docking operation that can reduce handling costs. The current arrangement for off-loading food goods has been impacted by growth and traffic around this facility, resulting in an excessive manual effort that has a high potential for injury to workers. Renovation of this loading dock will both improve efficiencies and greatly reduce the amount of labor-intensive transport. Highlights of the renovation include:

- Reconfiguration of the loading dock area to provide improved routing of goods within the facility.
- Reconfiguration of the adjacent parking area to reduce congestion and simplify truck routing and delivery.
- Installation of mechanical lifts and dock-leveling equipment that reduces the manual transport of food goods.
- Improvements to the mechanical and electrical systems in this area, including the installation of a 750KW generator donated to the University by a local hospital.

Footnote: Although improvements to the loading dock area alone can justify this request, installation of the donated generator greatly enhances the investment return on this project. Being able to supply both emergency power and load management service, installation of the generator will result in reduced utility costs and provide emergency power to five residence halls and the Wilkerson Hall Cafeteria.

FACT SHEET

RURAL TECHNOLOGY CENTER ADDITION

Special Funds: $3,800,000
Included in SB2003

Introduction: The Rural Technology Center incubator space opened in October 1996 and was immediately occupied by a variety of entrepreneurial programs that continue to involve University researchers in launching new business ventures. Housing over eighty people, the incubator space remains full to this date and continues to indicate a strong demand for this type of environment. Highlights for the proposed addition include:

- Placing the addition immediately adjacent to the existing incubator space, enhancing the opportunities for shared environments and infrastructure.
- Potential for up to 17,000 additional square feet of program area.

Footnote: Based on current average values for utilities and maintenance, support costs for the proposed facility will be approximately $45,450 per year. This amount includes the addition of a full-time staff position at $20,854 per year (includes benefits), which will be funded from incubator space proceeds.

FACT SHEET

CANOPY – RURAL TECHNOLOGY CENTER

Special Funds: $60,000
Included in SB2003

Introduction: The main entrance to the Rural Technology Center is defined by a dramatic sloping roof that provides this structure with a modern, attractive appearance. Under certain conditions, this type of roof structure will retain snow and ice that has the potential to slide off the building onto the sidewalks below. To reduce the risk from this occurrence, the University is requesting permission to install a canopy structure over the entrance to this facility. Highlights of the proposed canopy include:

- A design that will complement the architecture of the existing structure.
- Cost-effective construction that will not increase maintenance costs for the facility.
- Reduced potential for injury to pedestrians.

FACT SHEET

BRONSON PROPERTY – UNIVERSITY VILLAGE

Introduction: The Bronson Property is a large tract of land located adjacent to the University of North Dakota and currently the site of the single largest construction development program in Grand Forks. Work includes a new ice hockey arena, bookstore, and health center. In addition, a commercial developer has been contracted to establish a major retail presence on the site. Please note that the entire project to date has been funded without state-appropriated dollars. Highlights of the development as of January 1, 2001, include the following:

RALPH ENGELSTAD ARENA

- Will seat over 11,000 for hockey events
- Estimated cost $80-90 million
- Entire operation will be self-supporting
- Construction is currently on schedule for a 2001 hockey season opening

UNIVERSITY BARNES & NOBLE BOOKSTORE

- Opened in September 2000
- Operated by Barnes & Noble Collegiate Bookstores
- 32,000 square feet of retail and textbook space
- Self-supporting, with all maintenance and utility costs funded through guaranteed income proceeds from Barnes & Noble

UNIVERSITY HEALTH CENTER

- Approximately 30,000 square feet of clinic and instructional space
- Scheduled to open in September 2001
- Construction costs of $4,000,000
- Construction funded by Altru Health Systems, with agreement to trade this property for the Medical Center Rehabilitation Hospital upon completion
- To be operated by the University of North Dakota School of Medicine and Health Sciences

INFRASTRUCTURE DEVELOPMENT

- Includes construction of new roads, utilities, and street lighting
- Funded through Community Development Block Grants made available to the City of Grand Forks as a result of the flood disaster
- Work includes upgrades to the existing city infrastructure system in a cooperative agreement between the University of North Dakota and the City of Grand Forks

RECREATIONAL / ATHLETIC AREA DEVELOPMENT

- Plans include the relocation / construction of tennis courts and soccer fields to areas adjacent to the ice hockey arena.
- Cooperative agreement is being considered between the University of North Dakota and the Grand Forks Park District to develop community recreation space
- Area to support recreational and athletic events for the University of North Dakota and the City of Grand Forks

COMMERCIAL DEVELOPMENT

- Ground lease agreement with United Properties has been executed
- Provides the University of North Dakota with land-lease income supplemented by a percentage of sales
- Development features are approved by the University and controlled through covenants in the lease agreement.
- Plans include the investment of $28 Million in retail space
- Groundbreaking scheduled for Spring 2001 with first retail business opening in Fall 2001

The University of North Dakota has made dramatic strides over the past year in the development of this area that is referred to as "The University Village." Our goal is to provide the community and students with a variety of athletic, commercial, and University-related venues that will develop a viable, long-term income stream for this institution and the State of North Dakota.

With the excitement of this potential comes the challenges ahead. Listed below are the issues the University will endeavor to resolve over the next two years:

- Attract additional resources of non-appropriated funding for remaining project goals.
- Develop in cooperation with the City of Grand Forks the necessary improvements to surrounding streets and roadways that will support the traffic created by University Village venues.
- Continue to create methods and means to reduce the impact of this development upon the surrounding residential area.
- Continue to develop support for University Village projects with the local business community.
- Enlist support from State Legislators, Community Leaders, and the public for this project with the goal of providing the State of North Dakota with a strong, viable, self sustaining university of the future.

Prepared by the North Dakota Legislative Council staff for Senate Appropriations
December 29, 2000

Department 232 - UND School of Medicine and Health Sciences
House Bill No. 2003

	FTE Positions	General Fund	Other Funds	Total
2001-03 Executive Budget	227.37	$28,571,646	$0	$28,571,646
1999-2001 Legislative Appropriations	224.79[1]	29,499,694	58,427,060	87,926,754[2]
Increase (Decrease)	2.58	($928,048)	($58,427,060)	($59,355,108)

[1] The 1999-2001 appropriation is based on 224.72 FTE positions. Section 6 of 1999 House Bill No. 1003 authorized the University System to adjust FTE positions as needed. The 224.79 FTE positions shown above represent the employee positions reported to the Office of Management and Budget (OMB). The 1999 Legislative Assembly removed local funds from the salaries, operating, and equipment line items and created one separate local funds line item for the UND School of Medicine and Health Sciences. This funding change resulted in a decrease of 203.3 FTE positions from the 1999 authorized level of 428.02 FTE positions.

[2] The 1999-2001 appropriation amounts include $32,008, $9,875 of which is from the general fund, for the agency's share of the $1.4 million funding pool appropriated to OMB for assisting agencies in providing the $35 per month minimum salary increases in July 1999 and July 2000 and $231,159, from the general fund for the agency's share of the $2,630,992 critical salary funding pool appropriated to the University System to address salary increases beyond legislative appropriations for market equity raises. The 1999-2001 appropriation amounts do not include $300,200 of board-approved additional tuition revenue and $64 of 1997-99 biennium carryover authority.

Major Items Affecting UND School of Medicine and Health Sciences 2001-03 Budget

	General Fund	Other Funds	Total
1. Transfers funding from the University of North Dakota for the athletic training program, and tuition revenue for the laboratory science program and the fourth-year medical students, which is offset by a corresponding decrease in the general fund appropriation.	($1,277,624)	$1,622,212	$344,588
2. Removes the appropriation for tuition, continuing education, and miscellaneous income.	($15,173,956)		($15,173,956)
3. Removes the appropriation for local funds.	($51,400,000)		($51,400,000)
4. Consolidates funding into one line item--operations.			
5. The executive recommendation does not include funding for recommended compensation plan salary increases of three percent of salaries the first year of the biennium and two percent for the second year of the biennium. Funding for institutions' salary increases is pooled and provided in the North Dakota University System office budget for the State Board of Higher Education to allocate to individual institutions.			

Major Legislation Affecting the UND School of Medicine and Health Sciences

Senate Bill No. 2037 provides a continuing appropriation of higher education institutions' special revenue funds including tuition and allows institutions to carry over at the end of the biennium unspent general fund appropriations.

Senate Bill No. 2038 requires the budget request for the University System to include budget estimates for block grants for a base-funding component and for an initiative-funding component and a budget estimate for an asset-funding component and requires the appropriation for the University System to include block grants to the State Board of Higher Education for a base-funding appropriation and for an initiative-funding appropriation and an appropriation for asset-funding.

Senate Bill No. 2039 allows the State Board of Higher Education to authorize campus improvements and building maintenance projects that are financed by donations, gifts, grants, and bequests if the cost of the improvement or maintenance is not more than $500,000.

Bill No. 2040 allows higher education institutions to provide bonuses, cash incentive awards, and temporary salary ents to employees without reporting the activity as a fiscal irregularity.

Prepared by the North Dakota Legislative Council staff for Senate Appropriations
January 22, 2001

Department 232 - UND School of Medicine and Health Sciences
Senate Bill No. 2003

	FTE Positions	General Fund	Other Funds	Total
2001-03 Schafer Executive Budget	227.37	$28,571,646	$0	$28,571,646
1999-2001 Legislative Appropriations	224.79[1]	29,499,694	58,427,060	87,926,754[2]
Increase (Decrease)	2.58	($928,048)	($58,427,060)	($59,355,108)

2001-03 Hoeven Executive Budget	227.37	$28,571,646	$0	$28,571,646
Hoeven Increase (Decrease) to Schafer	0.00	$0	$0	$0

[1] The 1999-2001 appropriation is based on 224.72 FTE positions. Section 6 of 1999 House Bill No. 1003 authorized the University System to adjust FTE positions as needed. The 224.79 FTE positions shown above represent the employee positions reported to the Office of Management and Budget (OMB). The 1999 Legislative Assembly removed local funds from the salaries, operating, and equipment line items and created one separate local funds line item for the UND School of Medicine and Health Sciences. This funding change resulted in a decrease of 203.3 FTE positions from the 1999 authorized level of 428.02 FTE positions.

[2] The 1999-2001 appropriation amounts include $32,006, $9,875 of which is from the general fund, for the agency's share of the $1.4 million funding pool appropriated to OMB for assisting agencies in providing the $35 per month minimum salary increases in July 1999 and July 2000 and $231,159, from the general fund for the agency's share of the $2,630,992 critical salary funding pool appropriated to the University System to address salary increases beyond legislative appropriations for market equity raises. The 1999-2001 appropriation amounts do not include $300,200 of board-approved additional tuition revenue and $64 of 1997-99 biennium carryover authority.

Major Schafer Recommendations Affecting UND School of Medicine and Health Sciences 2001-03 Budget

	General Fund	Other Funds	Total
1. Transfers funding from the University of North Dakota for the athletic training program, and tuition revenue for the laboratory science program and the fourth-year medical students, which is offset by a corresponding decrease in the general fund appropriation.	($1,277,624)	$1,622,212	$344,588
2. Removes the appropriation for tuition, continuing education, and miscellaneous income.		($15,173,956)	($15,173,956)
3. Removes the appropriation for local funds.		($51,400,000)	($51,400,000)
4. Consolidates funding into one line item--operations.			
5. The executive recommendation does not include funding for recommended compensation plan salary increases of three percent of salaries the first year of the biennium and two percent for the second year of the biennium. Funding for institutions' salary increases is pooled and provided in the North Dakota University System office budget for the State Board of Higher Education to allocate to individual institutions.			

Major Hoeven Recommendations Affecting UND School of Medicine and Health Sciences 2001-03 Budget Compared to the Bill as Introduced (Schafer Budget)

The Hoeven recommendation does not change the Schafer recommendation.

Major Legislation Affecting the UND School of Medicine and Health Sciences

Senate Bill No. 2037 provides a continuing appropriation of higher education institutions' special revenue funds including tuition and allows institutions to carry over at the end of the biennium unspent general fund appropriations.

Senate Bill No. 2038 requires the budget request for the University System to include budget estimates for block grants for a base-funding component and for an initiative-funding component and a budget estimate for an asset-funding component and requires the appropriation for the University System to include block grants to the State Board of Higher Education for a base-funding appropriation and for an initiative-funding appropriation and an appropriation for asset-funding.

Senate Bill No. 2039 allows the State Board of Higher Education to authorize campus improvements and building maintenance projects that are financed by donations, gifts, grants, and bequests if the cost of the improvement or maintenance is not more than $500,000.

Senate Bill No. 2040 allows higher education institutions to provide bonuses, cash incentive awards, and temporary salary adjustments to employees without reporting the activity as a fiscal irregularity.

Prepared by the North Dakota Legislative Council staff for Senate Appropriations
February 26, 2001

Department 232 - UND School of Medicine and Health Sciences
Senate Bill No. 2003

	FTE Positions	General Fund	Other Funds	Total
2001-03 Schafer Executive Budget	227.37	$28,671,646	$0	$28,671,646
1999-2001 Legislative Appropriations	224.79[1]	29,499,694	58,427,060	87,926,754[2]
Increase (Decrease)	2.58	($928,048)	($58,427,060)	($59,355,108)
2001-03 Hoeven Executive Budget	227.37	$28,671,646	$0	$28,671,646
Hoeven Increase (Decrease) to Schafer	0.00	$0	$0	$0

[1] The 1999-2001 appropriation is based on 224.72 FTE positions. Section 6 of 1999 House Bill No. 1003 authorized the University System to adjust FTE positions as needed. The 224.79 FTE positions shown above represent the employee positions reported to the Office of Management and Budget (OMB). The 1999 Legislative Assembly removed local funds from the salaries, operating, and equipment line items and created one separate local funds line item for the UND School of Medicine and Health Sciences. This funding change resulted in a decrease of 203.3 FTE positions from the 1999 authorized level of 428.02 FTE positions.

[2] The 1999-2001 appropriation amounts include $32,008, $9,875 of which is from the general fund, for the agency's share of the $1.4 million funding pool appropriated to OMB for assisting agencies in providing the $35 per month minimum salary increases in July 1999 and July 2000 and $231,159, from the general fund for the agency's share of the $2,630,992 critical salary funding pool appropriated to the University System to address salary increases beyond legislative appropriations for market equity raises. The 1999-2001 appropriation amounts do not include $300,200 of board-approved additional tuition revenue and $64 of 1997-99 biennium carryover authority.

Major Schafer Recommendations Affecting UND School of Medicine and Health Sciences 2001-03 Budget

	General Fund	Other Funds	Total
1. Transfers funding from the University of North Dakota for the athletic training program, and tuition revenue for the laboratory science program and the fourth-year medical students, which is offset by a corresponding decrease in the general fund appropriation.	($1,277,624)	$1,622,212	$344,588
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Major Hoeven Recommendations Affecting UND School of Medicine and Health Sciences 2001-03 Budget Compared to the Bill as Introduced (Schafer Budget)

The Hoeven recommendation does not change the Schafer recommendation.

Major Legislation Affecting the UND School of Medicine and Health Sciences

Section 11 of Engrossed Senate Bill No. 2003 provides legislative intent relating to the content of the State Board of Higher Education's performance and accountability report required by Senate Bill No. 2041.

Section 13 of Engrossed Senate Bill No. 2003 provides a continuing appropriation of higher education institutions' special revenue funds including tuition (originally a provision in Senate Bill No. 2037).

Section 14 of Engrossed Senate Bill No. 2003 requires budget requests for the University System to include budget estimates for block grants for a base-funding component and for an initiative-funding component and a budget estimate for an asset-funding component (originally a provision in Senate Bill No. 2039).

Section 15 of Engrossed Senate Bill No. 2003 requires the appropriation for the University System to include block grants to the State Board of Higher Education for a base-funding appropriation and for an initiative-funding appropriation and an appropriation for asset-funding (originally a provision in Senate Bill No. 2039).

Section 16 of Engrossed Senate Bill No. 2003 allows institutions to carry over at the end of the biennium unspent general fund appropriations (originally a provision in Senate Bill No. 2037).

Senate Bill No. 2039 allows the State Board of Higher Education to authorize campus improvements and building maintenance projects that are financed by donations, gifts, grants, and bequests if the cost of the improvement or maintenance is not more than $250,000. (This bill has passed the Senate.)

Senate Bill No. 2040 allows higher education institutions to provide bonuses, cash incentive awards, and temporary salary adjustments to employees without reporting the activity as a fiscal irregularity. (This bill has passed the Senate.)

Summary of Legislative Changes Resulting From First House Action

See Statement of Purpose of Amendment (attached).

University of North Dakota School of Medicine & Health Sciences

Testimony before:

Senate Appropriations Committee

January 3, 2001

With exception of these pages This testimony was the same as that for 3-5-01

Presented by:

H. David Wilson, M.D.

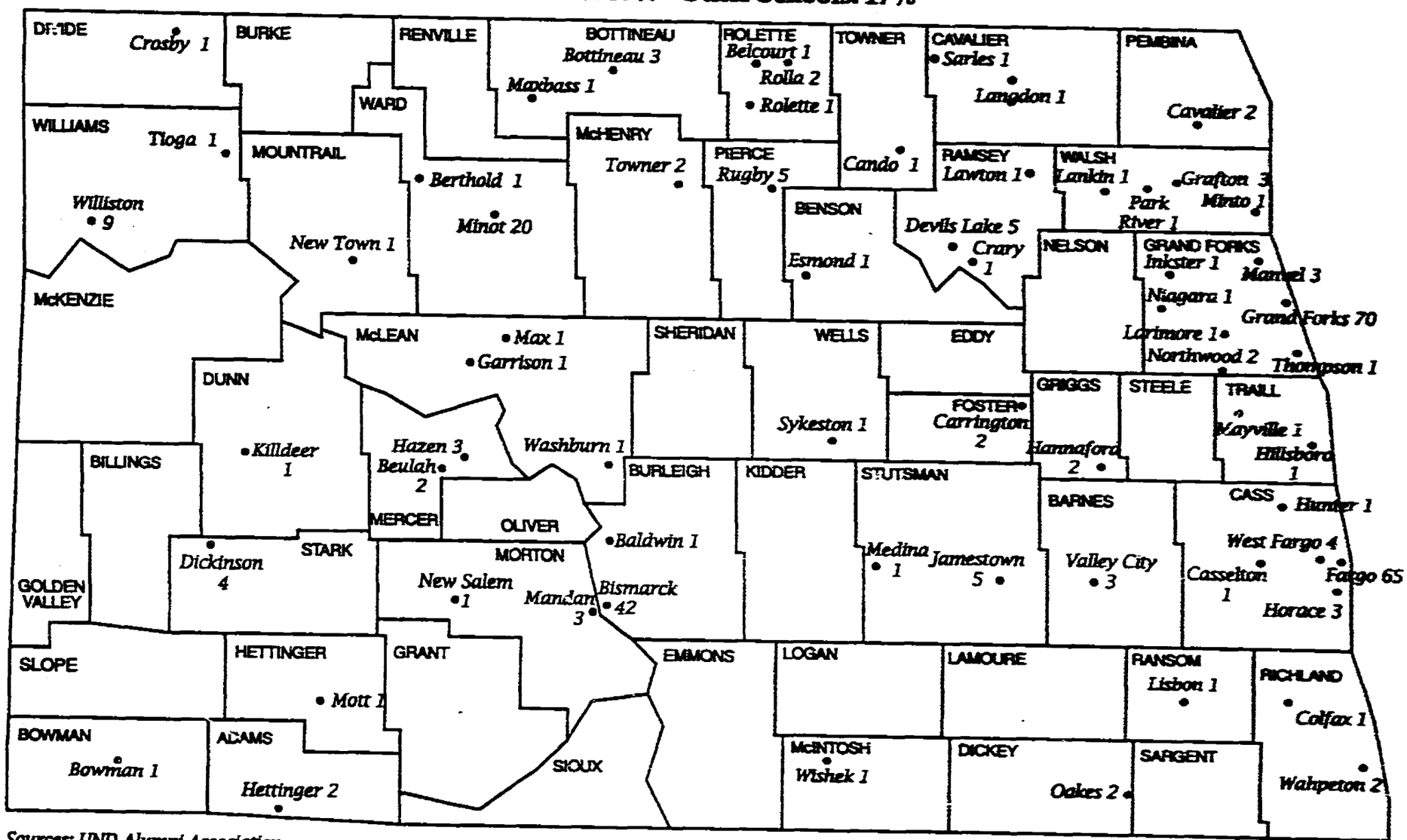
Dean

e-mail: hdwilson@medicine.nodak.edu

Phone: 701-777-2514

UND School of Medicine & Health Sciences Physical Therapy Program Graduates*

126 MPT and 182 BSPT = 308 degree-holders in ND
Total professionals (practicing) in this field in ND: 369
UNDSMHS: 83% - Other Schools: 17%



Sources: UND Alumni Association
UND School of Medicine and Health Sciences, Department of Physical Therapy
State Examining Committee for Physical Therapy

*Includes graduates of the Bachelor of Science in Physical Therapy degree-granting program, initiated in 1968, and Master of Science in Physical Therapy degree-granting program, initiated in 1991

01/01
deden/PTmap.cdr

University of North Dakota School of Medicine & Health Sciences

Needs / Infrastructure

- Chiller capacity at maximum with new Biomedical Research Facility
- Electrical wiring outdated (1950 era building)
  - Results: any further renovation projects can't be done (classrooms, research labs, offices, etc.)
  - Cost estimate - $1.5 – 2.0 million
- Occupational Therapy @ 10,000 sq. ft.
  - Now housed at Hyslop building
- Additional classrooms
- Renovation for labs, offices to provide air conditioning and heat
- State morgue or branch thereof
  - Can do for less money at UND

University of North Dakota School of Medicine & Health Sciences

Testimony before:

House Appropriations Committee

March 5, 2001

Presented by:

H. David Wilson, M.D.

Dean

e-mail: hdwilson@medicine.nodak.edu

Phone: 701-777-2514

University of North Dakota School of Medicine & Health Sciences

Mission Statement

"The mission of the University of North Dakota School of Medicine and Health Sciences is to educate and prepare North Dakota residents as physicians, medical scientists and other health professionals for service to the people of North Dakota and the nation, and to advance medical and biomedical knowledge through research."

History

- 1905 - 2 year Basic Science Degree followed by transfer to a four year, degree granting school of medicine
- 1973 - 4 year M.D. Degree - 2:1:1 first two years in Grand Forks, third year at University of Minnesota or Mayo Medical Schools, and fourth year at one of four North Dakota campuses
- 1981 - Complete in-state M.D. program
- 1996 - Name changed to School of Medicine and Health Sciences
- 2000 - 24 M.D. Classes - 1198 graduates

University of North Dakota School of Medicine & Health Sciences

Accreditation

- Fully accredited by Liaison Committee on Medical Education (LCME) through May, 2006
- All components fully accredited
  - Allied Health Programs
    - Athletic Training
    - Clinical Laboratory Science
    - Cytotechnology
    - Occupational Therapy
    - Physician Assistant
    - Physical Therapy
  - Graduate Medical Education Program (Residencies)
  - Continuing Medical Education
  - Graduate Programs in Biomedical Sciences

University of North Dakota School of Medicine & Health Sciences

Academic Programs

	Enrollments
• * M.D. (M.D./Ph.D)	223/1
• M.S./Ph.D. - Basic Sciences	38
• M.P.T. Physical Therapy	144
• Clinical Lab Science / Cytotechnology	94
• B.S. Athletic Training	26
• B.S. Occupational Therapy (GF)	126
(Casper WY)	47
• Physician Assistant	78
• Physician in Training (G.M.E.)	114
– (Family Med, Internal Med, Surgery, Psychiatry, Transitional)	Total 890/1

- Other Programs
  - Continuing Medical Education 5482
  - Lab Education for North Dakota (LEND) 1284
  - Medstar 2208

  Total 8974
- Plus 850 undergraduates (3900 credit hours): nurses, school teachers, dieticians, and others needing physiology, microbiology, anatomy, biochemistry, pharmacology
  - *M.D./Ph.D. program to produce physician scientists

University of North Dakota School of Medicine & Health Sciences

Other Programs

- Department of Veterans Affairs (VA) Medical and Regional Office Center
- Center for Rural Health
- INMED
- Laboratory Education for North Dakota
- Continuing Medical Education
- USDA Human Nutrition Research Center
- Medstar - Health Education Network
- Other major partners
  - Meritcare Health System
  - Altru Health System
  - Neuropsychiatric Research Institute
  - Trinity Medical Center
  - St Alexius Medical Center
  - Med Center One

University of North Dakota School of Medicine & Health Sciences

Physicians in Training (Residency) Programs

- Family Medicine (three years)
  - Bismarck, Fargo, Minot, Grand Forks
- Internal Medicine (Fargo) (three years)
- Psychiatry (Fargo) (four years)
- General Surgery (Grand Forks/Fargo) (five years)
- Transitional (Fargo) (one year)

University of North Dakota School of Medicine & Health Sciences

Medical School Enrollment

- Reflects the class admitted August, 2000

– Total enrollment:	223
– Enrollment by gender:	117 male (52.5%)
	106 female (47.5%)
– Enrolled minority students:	34 (15.2%)
– Native American students:	23 (10.3%)

University of North Dakota School of Medicine & Health Sciences

Admissions (Medicine)
Class of 2004 (August, 2000)

- Applicants 276
- Interviewed 146
- Enrolled 50 (40 ND, 3 MN, 7 WICHE (5 MT, 2 WY))
  7 INMED
- Male 37
- Female 20
- GPA 3.56
- Number of applications per position: 5 to 1
- Nationwide: 2.3 applicants per position

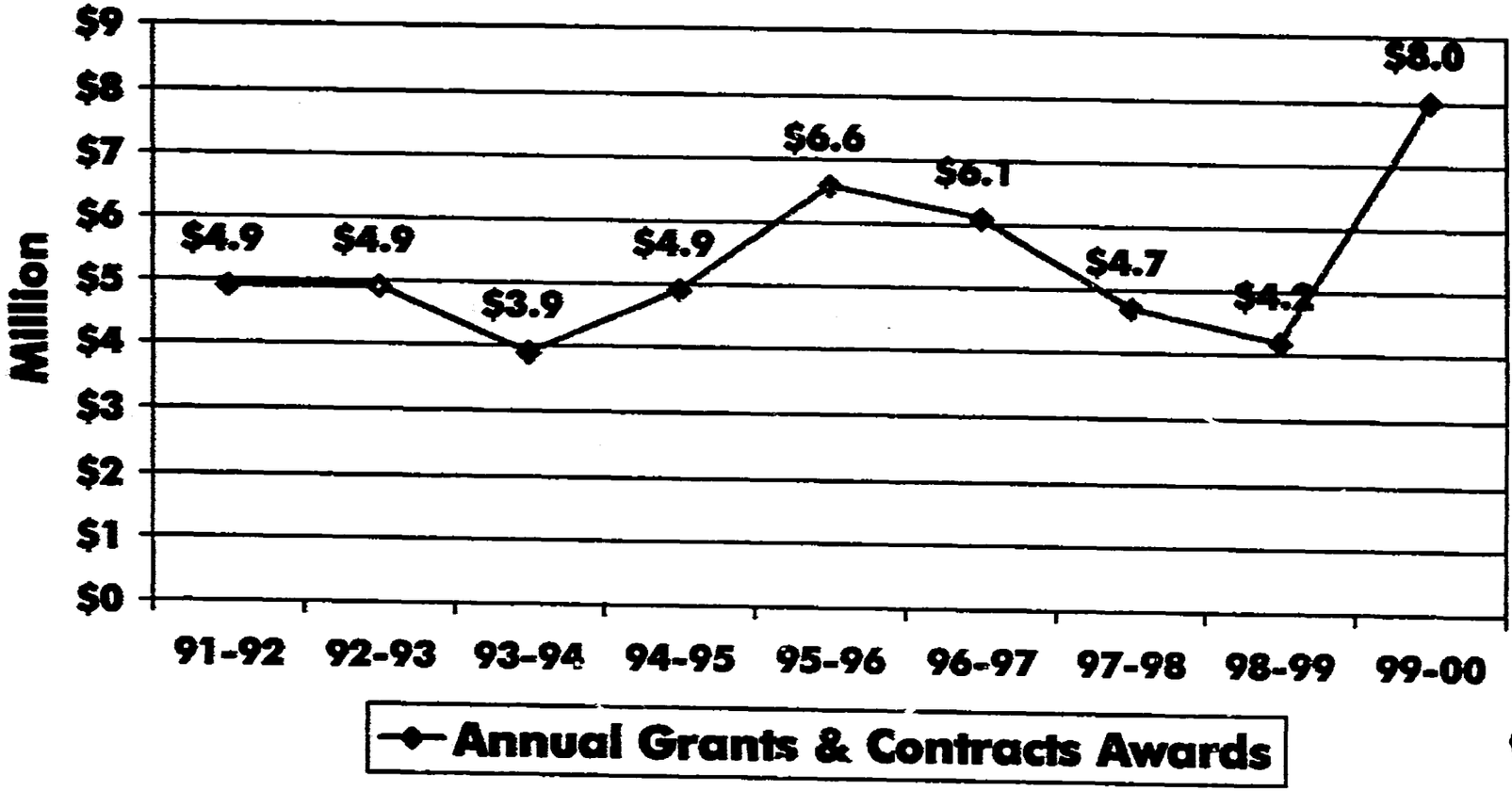
University of North Dakota School of Medicine & Health Sciences

Research Activities

- Parkinson's Disease, Multiple Sclerosis, Alzheimer's
- Diabetes and other diseases of metabolism
- Cardiovascular and kidney disease
- Neuroscience (alcoholism, eating disorders vision)
- Infectious diseases
- Rural Health (Emergency Medical Services for Adults & Children)
- Environmental toxicology (agricultural pesticides & water quality) (in collaboration with Energy & Environmental Research Center)
- Clinical studies with patients to determine effectiveness of drugs and therapies
- Educational research and computing technology

University of North Dakota School of Medicine & Health Sciences

Grants and Contracts Awards History

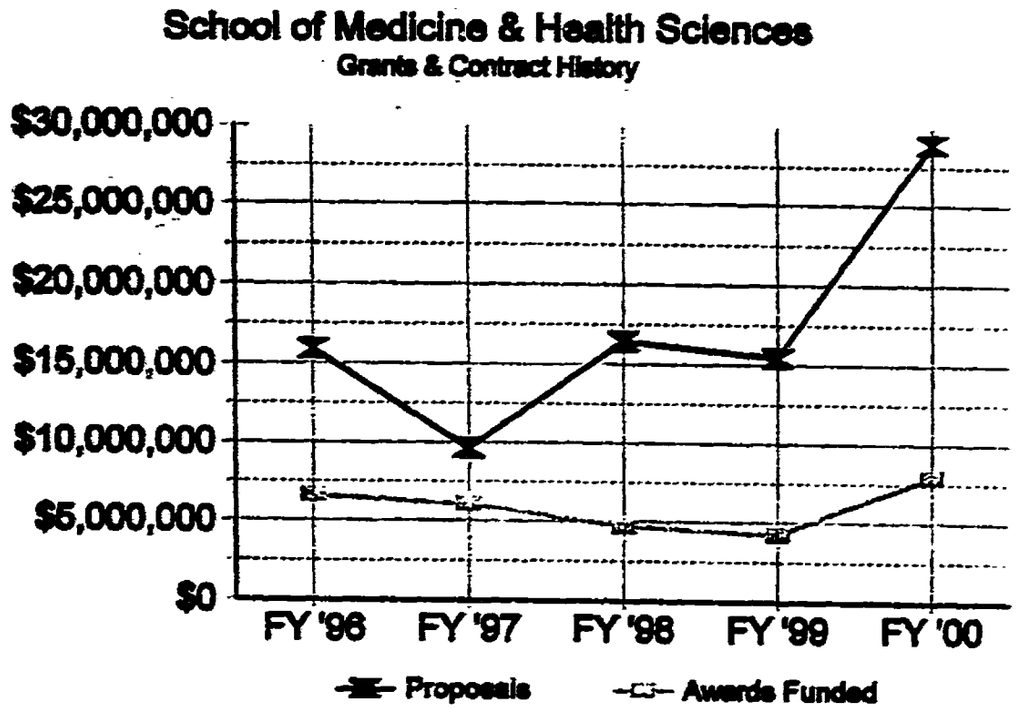


*As of December 31, 2000, $34M in applications pending

University of North Dakota School of Medicine & Health Sciences

Grants and Contracts History

	FY '96	FY '97	FY '98	FY '99	FY '00
# of Proposals	109	75	99	87	98
# of Awards	65	70	64	57	71
Ratio of # of Proposals	59.63%	93.33%	64.65%	65.52%	72.45%
Proposal $	$15,943,618	$9,572,803	$16,474,131	$15,501,544	$26,961,036
Awards $	$6,636,946	$6,067,936	$4,674,486	$4,223,628	$7,966,111
Ratio of $ of Proposals	41.63%	62.73%	28.37%	27.25%	27.49%
Avg. Proposal	$146,272	$128,971	$166,405	$178,179	$295,725
Avg. Awards	$102,107	$86,685	$73,039	$74,099	$112,227
Ratio of Avg. Proposals	69.81%	67.21%	43.89%	41.59%	37.95%



University of North Dakota School of Medicine & Health Sciences

Research Centers (Seeking Approval)

- Center of Excellence in Neuroscience
  - Parkinson's disease
  - Eating disorders (anorexia, bulimia and others)
  - Multiple sclerosis
  - Alzheimer's disease
  - Diabetic neuropathy

*Funding: Currently $6-8M in federal grant funding (additional submitted grants awaiting decisions.)

- Center for Study of Health Promotion
  - Preventive medicine
  - Behavioral science
    - Tobacco use
    - Alcohol abuse
    - Substance abuse
    - Diet/exercise
    - Eating disorders
  - Environmental health studies
  - Elderly and rural problems
    - Accidents
    - Depression
    - Diabetes

*Funding: $1.275M through the Department of Health & Human Services to establish Center. $1M requested for next four years.

1905

University of North Dakota School of Medicine & Health Sciences

New Faculty/Chair Recruitment

- New faculty (full-time) recruited from:
  - University of Nebraska
  - Duke University
  - Case-Western Reserve
  - Stanford
  - NIH National Institute of Aging
  - Cleveland Clinic
  - University of Washington
  - University of South Florida
  - St. Louis University
- Recruitment underway/completed:
  - Chair, Department of Pediatrics (Dr. Stephen Tinguely, Fargo)
  - Chair, Department of Biochemistry & Molecular Biology
  - Chair, Department of Pathology

University of North Dakota School of Medicine & Health Sciences

New Patient Centered Learning Curriculum Program Comparison

Traditional Curriculum	Patient Oriented Curriculum
Primary care emphasis	Primary care emphasis
Discipline based subjects	Interdisciplinary subjects
Large learning groups	Small learning groups
Learning by memorization	Learning by understanding
Presentation of isolated facts	Presentation of concepts and relationships
Passive learners	Active learners
Lecture instruction	Multiple methods intervention
Student anonymity	Student interaction
Lecture topics	Clinical cases
Paper tests	Performance tests
Learning how to pass tests	Learning how to learn better
Some rural experience	More rural experience

Note: Step 1 national board results very good

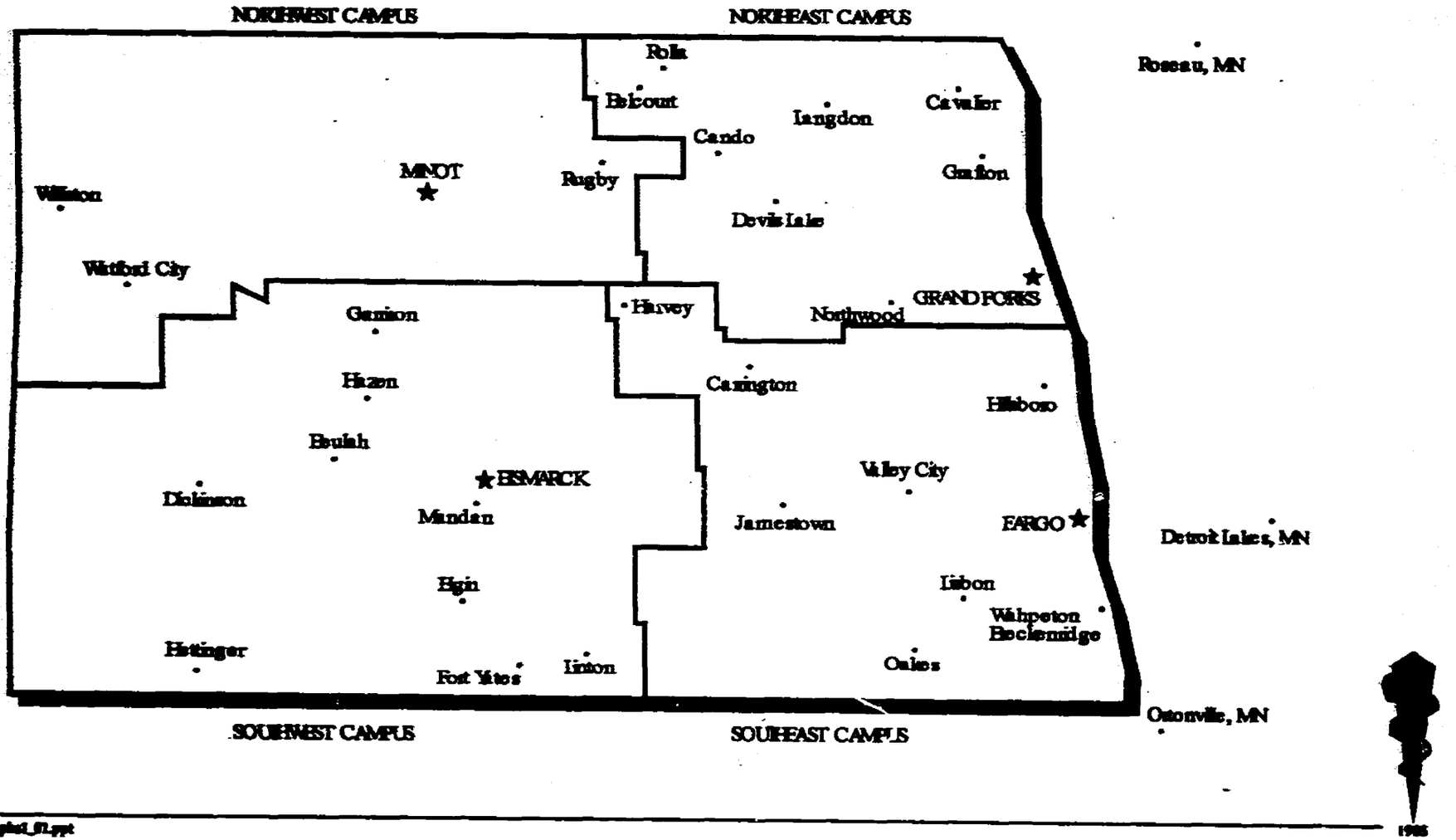
University of North Dakota School of Medicine & Health Sciences

New Patient Centered Learning Curriculum

- **ROME: Rural Opportunities for Medical Education**
  (Dr. Russ Petty, Devils Lake, Program Director)
  - Eight month experience for rural primary care: open to third year students
  - Students live and train in non-metropolitan communities under supervision of physician preceptors
  - Training Sites:
    - Hettinger (2 students)
    - Devils Lake (3 students)
    - Williston (1 student)
    - Jamestown (2 students)
    - Other potential sites include Dickinson, Valley City, Wahpeton, Rugby

University of North Dakota School of Medicine & Health Sciences

Clinical Teaching Sites



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1998

University of North Dakota School of Medicine & Health Sciences

2001-03 Restoration Recommendation to Original Budget Request

- Costs to continue ---------- $.5 million
- Technology application/access ---------- $.2 million
  - Information technology staff to coordinate teleconferencing to rural sites
    - Priorities will be sites that host ROME students
  - Operating funds (telephone, travel and connection charges)
- Institutional innovations ---------- $.5 million
  - On line subscription services for medical library
  - Virtual library funding project
  - Support for graduate research programs
  - Hire web site developer / coordinator

- Additional general funds requested ------- $1.2 million

University of North Dakota School of Medicine & Health Sciences

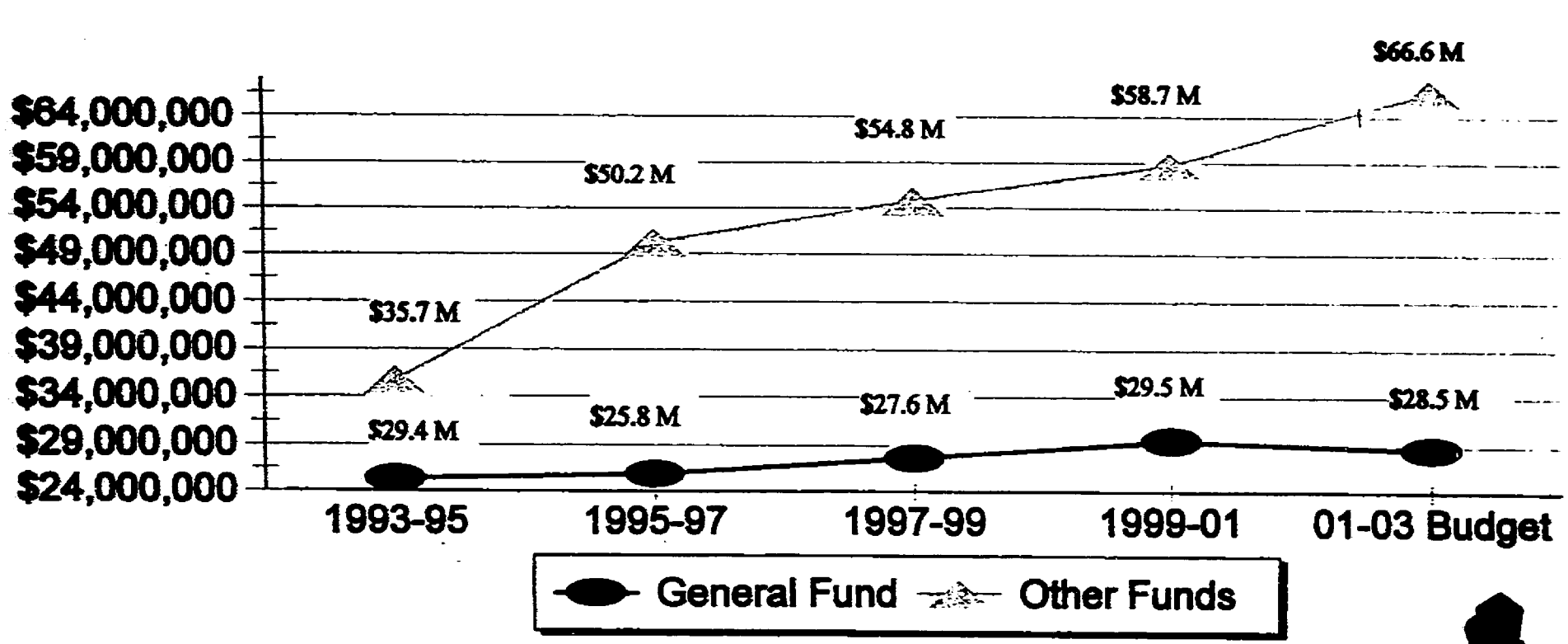
2001-03 Biennial Budget

(Dollars In Millions)

	Budget Request			Senate Engrossed Bill No. 2003		
	Total	General Fund	Special Funds/Local	Total	General Fund	Special Funds/Local
1999-2001 Adjusted Appropriation	$88.2	$29.5	$58.7	$88.2	$29.5	$58.7
Adjustments For Hold Even Budget						
Continuation of FY01 Tuition Increase	$0.5		$0.5			
Local/Tuition Adjustments	$6.1	-$1.3	$7.4	-$1.3	-$1.3	
Capital Improvements						
Total 2001-03 Hold Even Budget Request	$94.8	$28.2	$66.6	$86.9	$28.2	$58.7
Tuition/Mill Levy Excluded				-$11.1		-$11.1
Local Funds Excluded				-$47.6		-$47.6
Optional Budget Adjustments						
Costs to Continue	$0.5	$0.5				
Technology Applications/Access	$0.2	$0.2				
Institutional Innovations	$0.5	$0.5				
Renewal-Replacement						
Subtotal-Optional Adjustments	$1.2	$1.2				
Funded From Tuition Increase						
Total Optional Adjustments	$1.2	$1.2				
Total 2001-03 Request Before Compensation Package	$96.0	$29.4	$66.6	$28.2	$28.2	
Health Insurance Premium Increase				$0.3	$0.3	
Total 2001-03				$28.5	$28.5	

University of North Dakota School of Medicine & Health Sciences

Source of Funding History



Other revenue includes Tuition, One-mill Levy, Grant & Contracts and Patient Services. These revenues are restricted for use to specific academic and research programs.

University of North Dakota School of Medicine & Health Sciences

New Facilities

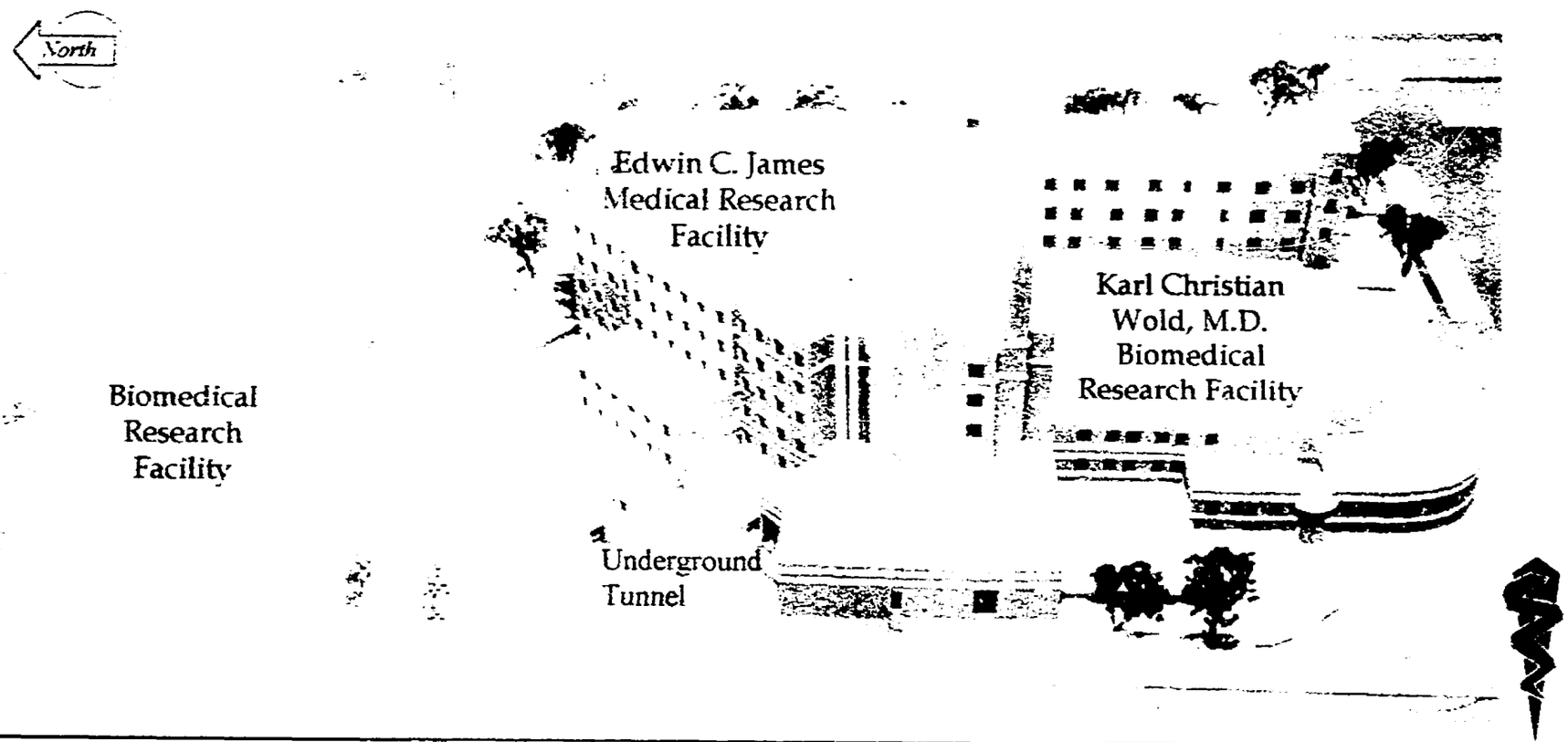
- Biomedical Research Facility
  - $3 million State of ND
  - $3 million UND, Medical School

- National Institutes of Health (NIH)/National Center for Research Resources (NCRR)Addition
  - Four new labs, tunnel and elevator
  - $716,000

- University Health Facility (Rehab Hospital – Altru Swap)
  - 30,000 sq. ft.
  - $4 million
  - Family medicine clinic/residency program, pharmacy, and clinical education facility

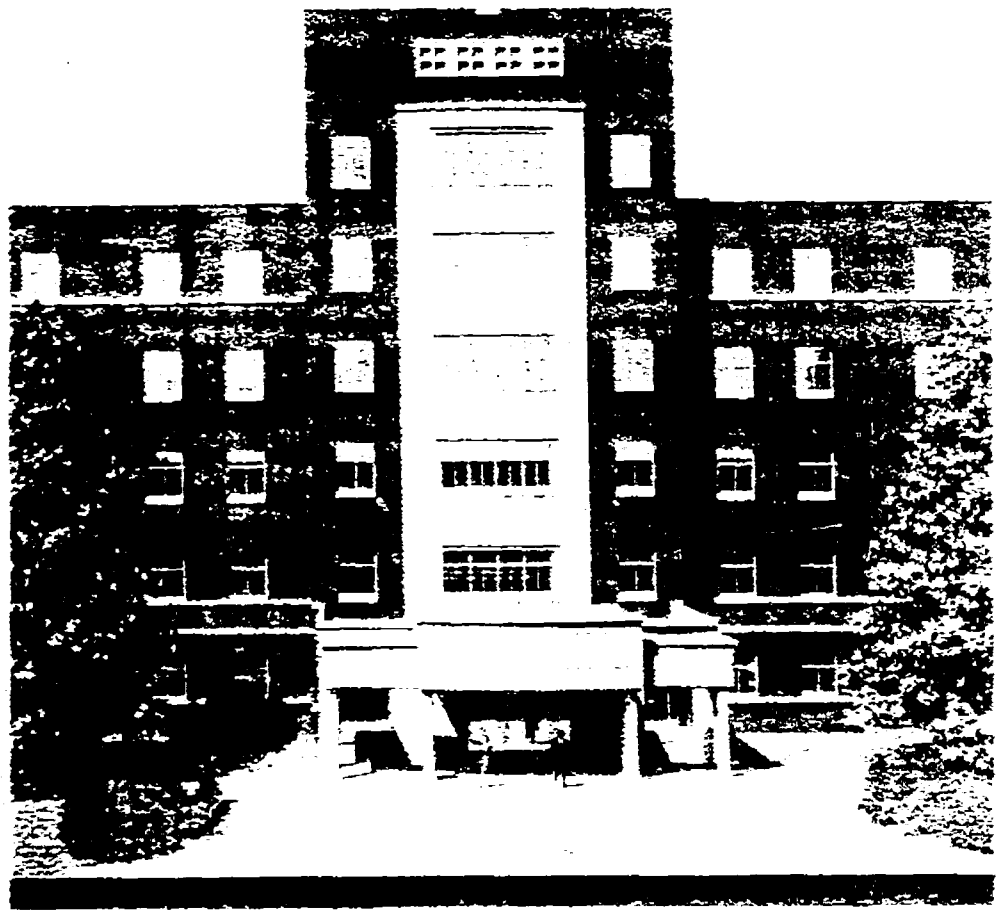
University of North Dakota School of Medicine & Health Sciences

New Model of UNDSMHS Showing Biomedical Research Facility



University of North Dakota School of Medicine & Health Sciences

The Harwood Initiative

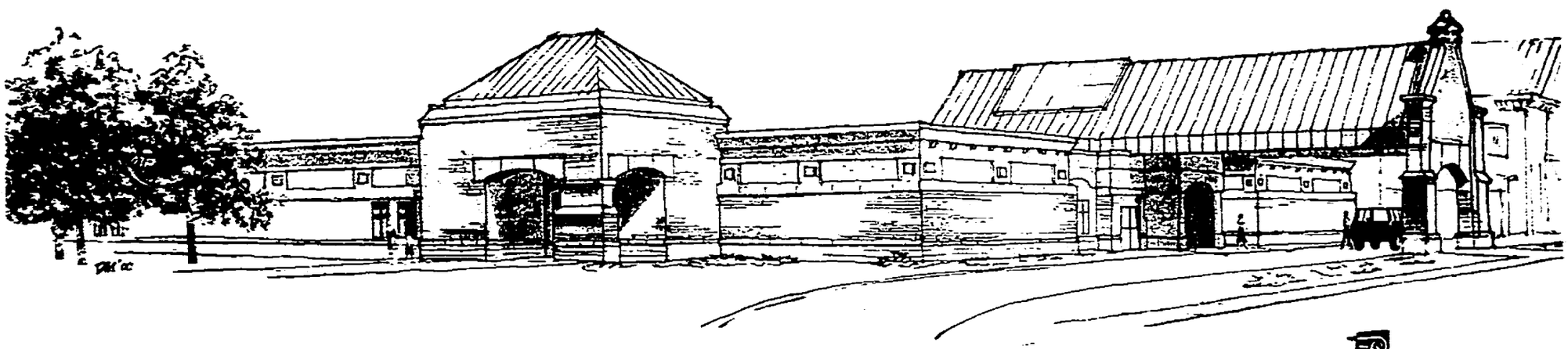


* Proposed alumni renovation of front entrance, foyer and front hallway of the Medical School

1905

University of North Dakota School of Medicine & Health Sciences

University Health Facility

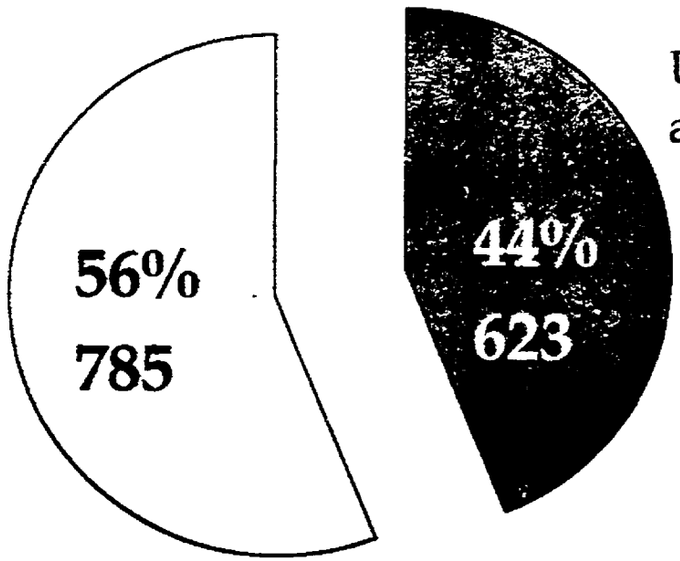


T.L. STROH
ARCHITECTS
AND INTERIORS

University of North Dakota School of Medicine & Health Sciences

Licensed, Practicing Physicians in North Dakota

Percentage trained by:



Total = 1408

December 15, 2000

Note: not including retired, inactive, non-practicing physicians or non-licensed first-year resident-physicians

3 2000

Graphs3_99.ppt

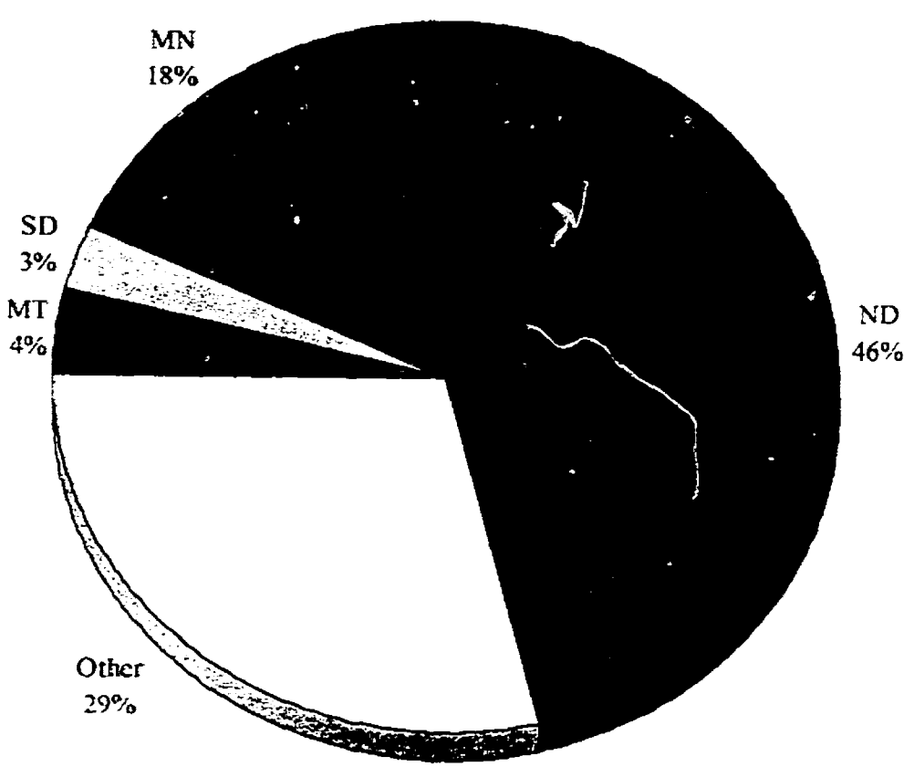
1905

University of North Dakota School of Medicine & Health Sciences

Location of UND Family Practice Residency Graduates

1977-2000

Total Graduates = 395



ND & adjacent states = 71%

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Graphs2_99.ppt

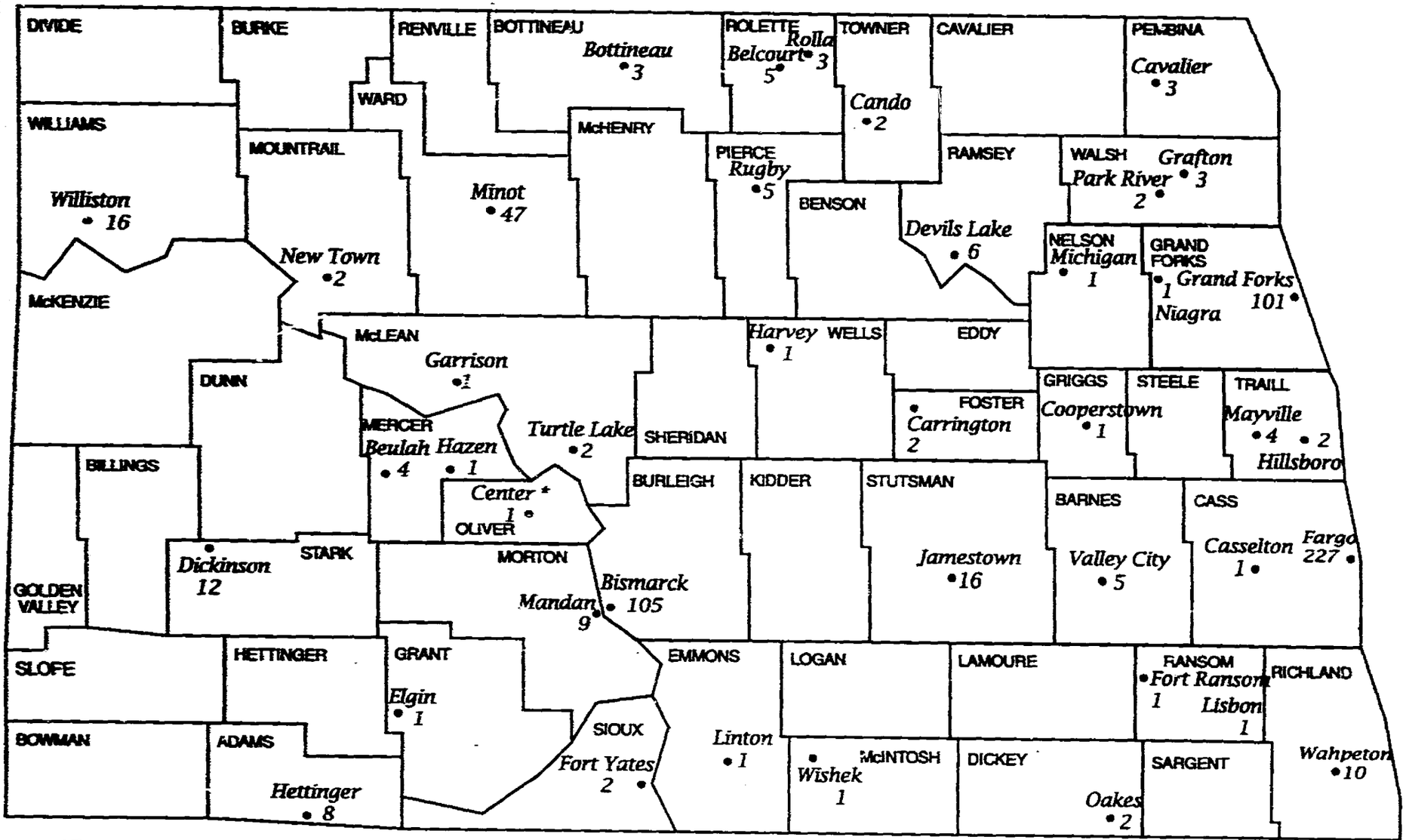
University of North Dakota School of Medicine & Health Sciences

UND Medical Graduates Practices in Rural ND Since July 1999

- **Carrington:** Michael Page, M.D. '97 (Minot Family Medicine Residency '00)
- **Cavalier:** Lynn Kratcha, M.D. '94 (Minot Family Medicine Residency '97)
  Susan Thompson, M.D. '96 (Internal Medicine Residency '99)
- **Cooperstown:** Andrew Wilder, M.D. '97 (Grand Forks Family Medicine Residency '00)
- **Jamestown:** J. Mark Ebertz, M.D. '82
  Tonia Hoggarth, M.D., '97 (Bismarck Family Medicine Residency '00)
  Dave Mathison, M.D. '97 (Bismarck Family Medicine Residency '00)
- **Linton:** Don Grenz, M.D. '97 (Internal Medicine Residency '00)
- **Mayville:** Thomas Hunt, M.D. '97
- **New Town:** Monica Mayer, M.D. '95 (Minot Family Medicine Residency '99)
- **Park River:** Kristi Midgarden, M.D. '97 (Grand Forks Family Medicine Residency '00)
- **Rugby:** Ashish Chowfin, M.D. (Internal Medicine Residency '00)
- **Turtle Lake:** Scott Goecke, M.D. '97 (Grand Forks Family Medicine Residency '00)
- **Valley City:** Paul Diegel, D.O. (Minot Family Medicine Residency '00)
  Tanya Diegel, D.O. (Minot Family Medicine Residency '00)
- **Williston:** Marc Nielsen, M.D. '97 (Grand Forks Family Medicine Residency '00)
  Kristine Wren-Morrison, M.D. (Minot Family Medicine Residency '99)

- ***Note: This list includes alumni known to have established practices in rural North Dakota (defined above); there may be others which have not come to our attention. We regret any possible omissions.**

North Dakota Physicians Trained by UND School of Medicine & Health Sciences



Note: The numbers listed with each community indicate physicians who have earned their B.S. Med. (Bachelor of Science in Medicine) or M.D. (Doctor of Medicine) degree or have completed (or are in) residency training through the UND School of Medicine & Health Sciences. Physicians who are retired, inactive or serve at Minot and Grand Forks Air Force base hospitals are not included.

* Physician services are shared with Underwood and Washburn.

12/00

University of North Dakota School of Medicine & Health Sciences

Needs / Infrastructure

- Chiller capacity at maximum with new Biomedical Research Facility
- Electrical wiring outdated (1950 era building)
  - Results: any further renovation projects can't be done (classrooms, research labs, offices, etc.)
  - Cost estimate - $1.5 – 2.0 million
- Occupational Therapy @ 10,000 sq. ft.
  - Now housed at Hyslop building
- Additional classrooms
- Renovation for labs, offices to provide air conditioning and heat
- State morgue or branch thereof
  - Can do for less money at UND
- Potential federal appropriations project 2001 (2002)
  - Medical Research Building - $15 million

University of North Dakota School of Medicine & Health Sciences

Strengths, Concerns, and Priorities

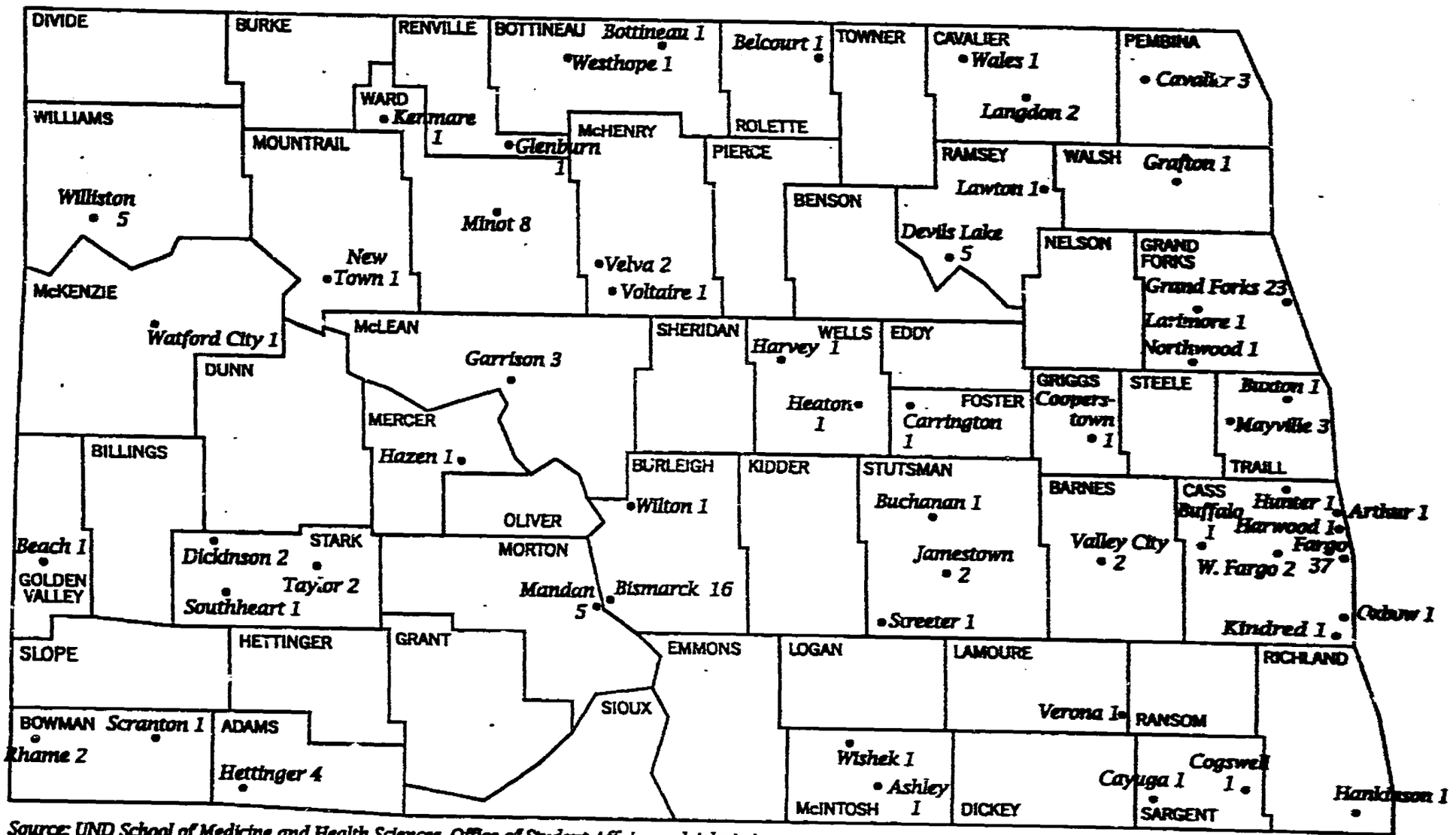
- **Strengths**
  - Talented and committed faculty
  - Excellent and highly motivated students
  - Experienced and energetic staff
  - Support of the legislature and citizens of North Dakota
  - Innovative and logical new curriculum that is centrally managed with committed faculty, students and administration
  - Excellent administration
  - Excellent facilities for all student learning
  - School focus on primary care and rural medicine consistent with state needs
  - Excellent basic science research facilities
  - Strong support from North Dakota physicians and hospitals
  - Longstanding reputation of our graduates in residency training and beyond

University of North Dakota School of Medicine & Health Sciences

Strengths, Concerns, and Priorities *continued*

- **Concerns and Challenges**
  - Communication among campuses
  - Recruitment of department chairs and senior administrators because of geography and climate
  - Population of North Dakota
  - Funding for salaries at associate and professorial ranks
  - Intensive faculty teaching responsibilities
  - Heavy dependence on volunteer clinical faculty
- **Major Priorities Being Addressed**
  1. Filling chair vacancy in Biochemistry and Molecular Biology and Pathology
  2. Improving faculty salaries
  3. Continue to strengthen partnerships to support school
  4. Raising endowments
  5. Fostering clinical research
  6. Continue to demonstrate the value of the school to the state and it's citizens
  7. Gain ability to manage all school related funds
  8. Continuing medical education organization, administration and direction
  9. Faculty development for new curriculum
  10. Movement of occupational therapy department to the medical school building

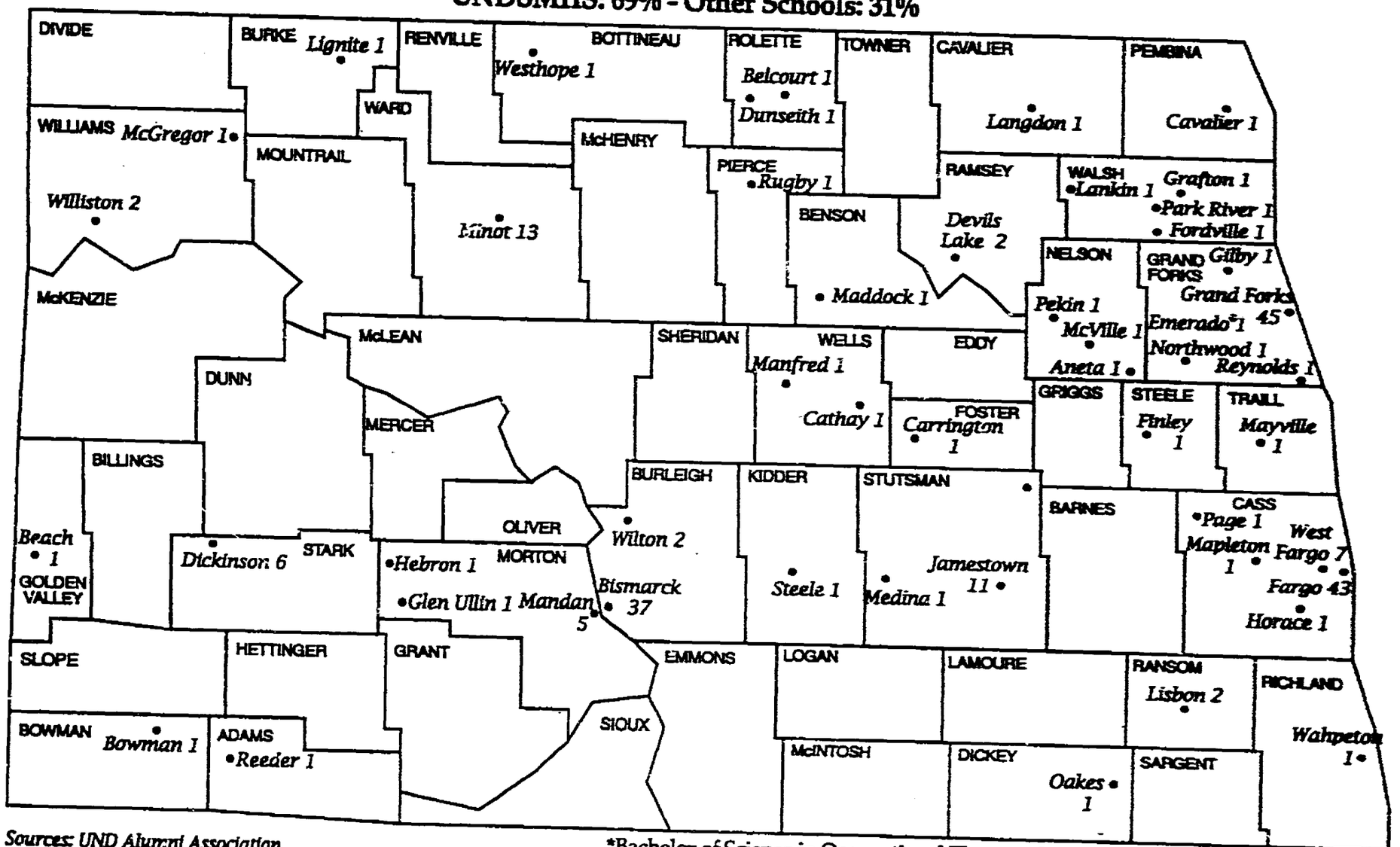
UND School of Medicine & Health Sciences
Current Medical Students' Hometowns



Source: UND School of Medicine and Health Sciences, Office of Student Affairs and Admissions

UND School of Medicine & Health Sciences Occupational Therapy Program Graduates*

BSOT: 212 degree-holders in ND
Total professionals (practicing) in this field in ND: 308
UNDSMHS: 69% - Other Schools: 31%



Sources: UND Alumni Association
UND School of Medicine and Health Sciences, Department of Occupational Therapy

*Bachelor of Science in Occupational Therapy degree-granting program initiated in 1954

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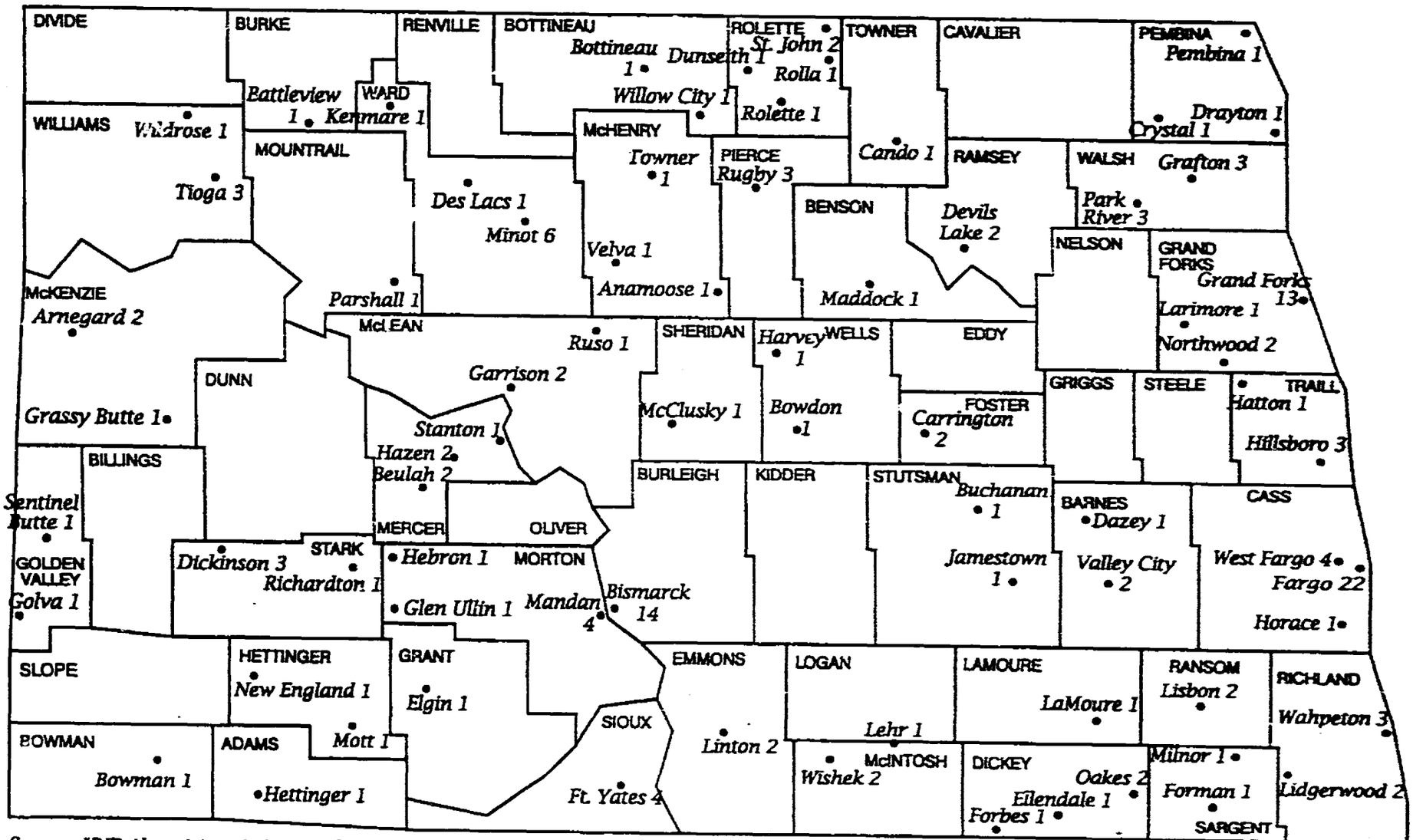
UND School of Medicine & Health Sciences Physician Assistant Program Graduates*

PA: 162 practicing certificate-holders in ND

Total professionals (licensed and practicing) in this field in ND: 321

UNDSMHS: 50% - Other Schools: 50%



Sources: UND Alumni Association, North Dakota Board of Medical Examiners
North Dakota Board of Nursing
UND School of Medicine and Health Sciences, Department of Community Medicine and Rural Health

* Includes graduates of the MEDEX Program (1970-75); Family Nurse Practitioner Program (1972-93), and Physician Assistant Program (1993-present)

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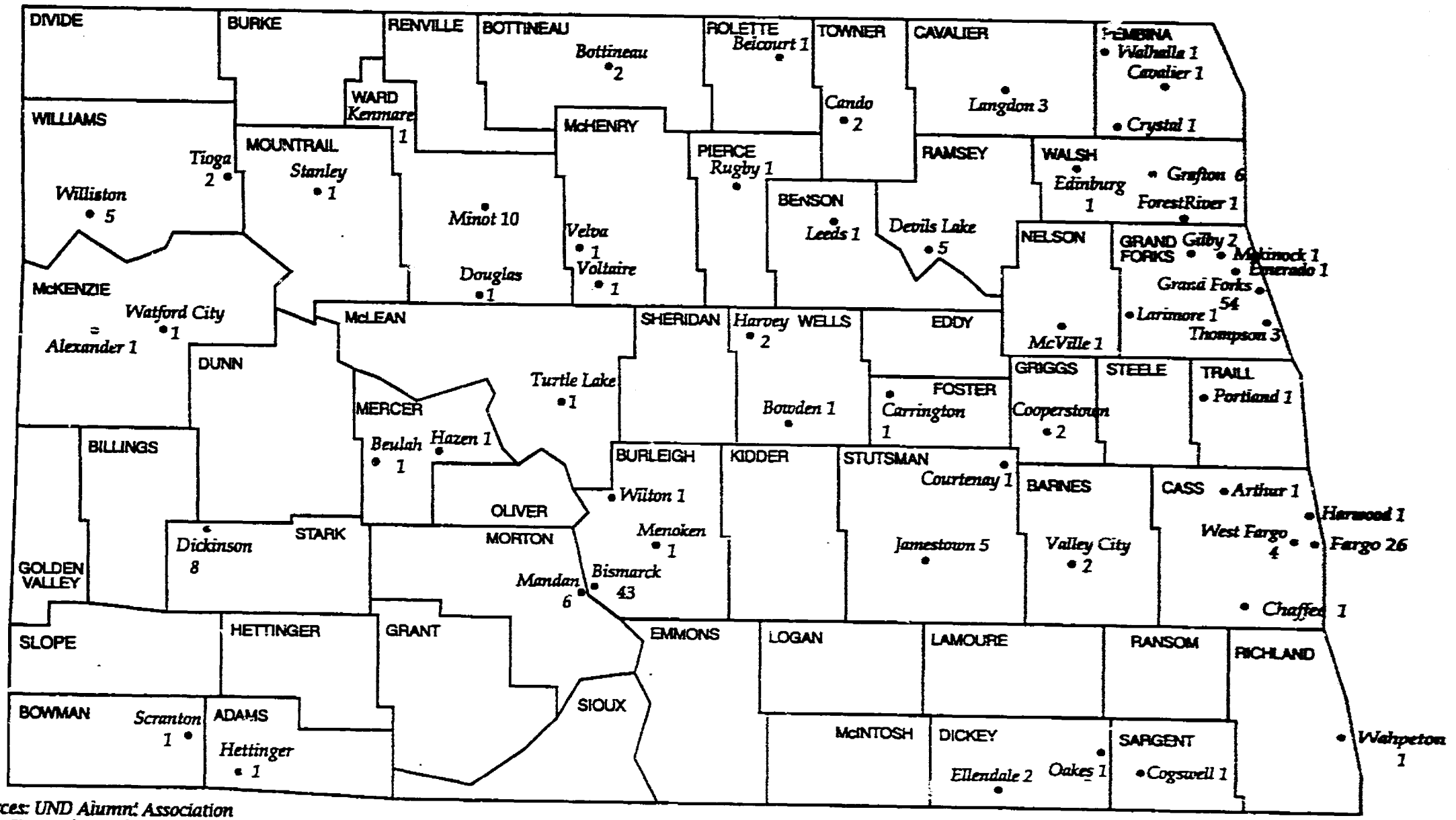
UND School of Medicine & Health Sciences
Clinical Laboratory Science* and Cytotechnology Graduates

11 MSCLS** and 197 BSMT/BSCLS = 208 degree-holders in ND
Total professionals (licensed) in this field in ND: 619
UNDSMHS: 34% - Other Schools: 66%

BSCYTO***: 22 degree-holders in ND
Total professionals (practicing) in this field in ND: 26
UNDSMHS: 85% - Other Schools: 15%



Sources: UND Alumni Association
UND School of Medicine and Health Sciences, Department of Pathology
UND School of Medicine and Health Sciences, Cytotechnology Program

* Formerly Medical Technology
** MSCLS = Master of Science in Clinical Laboratory Science degree-granting program initiated in 1978
BSMT = Bachelor of Science in Medical Technology degree-granting program initiated in 1949
BSCLS = Bachelor of Science in Clinical Laboratory Science
*** BSCYTO = Bachelor of Science in Cytotechnology degree-granting program initiated in 1975

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WHAT IS A PROFESSION?

A PROFESSION is composed of a body of knowledge a substantial portion of which is derived from experience.

A PROFESSION is responsible for advancing that knowledge and transmitting it to the next generation.

A PROFESSION sets its own standards... and it cherishes performance above personal rewards.

A PROFESSION is directed by a code of ethics which includes the moral imperative to serve others.

Supreme Court Justice Louis Brandeis

Prepared by the North Dakota Legislative Council staff for Senate Appropriations
January 2, 2001

Department 238 - North Dakota State University
Senate Bill No. 2003

	FTE Positions	General Fund	Other Funds	Total
2001-03 Executive Budget	805.49	$65,262,441[2]	$21,750,820[3]	$87,013,261
1999-2001 Legislative Appropriations	798.49[1]	75,311,930	171,939,093	247,251,023[4]
Increase (Decrease)	7.00	($10,049,489)	($150,188,273)	($160,237,762)

[1] The 1999-2001 appropriation is based on 794.98 FTE positions. Section 6 of 1999 House Bill No. 1003 authorized the University System to adjust FTE positions as needed. The 798.49 FTE positions shown above represent the employee positions reported to the Office of Management and Budget (OMB).

[2]

Total general fund appropriation per executive budget summary	$75,008,604
Less transfer of Higher Education Computer Network/campus computer centers line item to the North Dakota University System office reflected in Senate Bill No. 2003	9,746,163
Total general fund appropriation in Senate Bill No. 2003	$65,262,441

[3]

Total other funds per executive budget summary	$22,017,168
Less appropriation for state facility energy improvement projects per Senate Bill No. 2023	296,348
Total other funds appropriation in Senate Bill No. 2003	$21,750,820

[4] The 1999-2001 appropriation amounts include $89,271 from the general fund for the agency's share of the $1.4 million funding pool appropriated to OMB for assisting agencies in providing the $35 per month minimum salary increases in July 1999 and July 2000, $763,016 from the general fund for the agency's share of the $2,630,992 critical salary funding pool appropriated to the University System to address additional salary increases beyond legislative appropriations for market and equity raises, and $1,222,951 from the general fund for the agency's share of the $4,290,128 equity, special needs funding pool appropriated to the University System to address equity funding issues and special academic program needs and $9,679,373 from the general fund for the agency's share of $21,948,467 funding pool appropriated to the University System to address technology needs. The 1999-2001 appropriation amounts do not include ($120,000) spent prior to the 1999-2001 biennium (emergency clause) and $80,740 of carryover authority.

Major Items Affecting North Dakota State University 2001-03 Budget

	General Fund	Other Funds	Total
1. Removes the appropriation of local funds.		($148,231,526)	($148,231,526)
2. Removes the appropriation of tuition, continuing education, and miscellaneous income.		($53,794,035)	($53,794,035)
3. Adds seven FTE positions for instruction due to anticipated enrollment increases.	$239,427	$652,293	$891,720
4. Provides funding for construction of College of Business Administration building and classrooms.		$20,000,000	$20,000,000
5. Increases funding for renovation of Sudro Hall for a total project cost of $2,950,000 (pharmacy program).		$1,200,000	$1,200,000
6. Provides funding for renovation of Robinson Hall (veterinary technology teaching program).		$550,820	$550,820
7. Provides funding for extraordinary repairs of $1,737,531, a decrease of $665,000 from the 1999-2001 biennium. In addition, $296,348 of special funds is provided for energy improvement projects in Senate Bill No. 2023.	($665,000)		($665,000)
8. Provides funding for flood insurance.	$210,000		$210,000
9. Consolidates funding into two line items--operations and capital assets.			

10. The executive recommendation does not include funding for recommended compensation plan salary increases of three percent of salaries the first year of the biennium and two percent for the second year. Funding for institutions' salary increases is pooled and provided in the North Dakota University System office budget for the State Board of Higher Education to allocate to individual institutions.

Major Legislation Affecting the North Dakota State University

House Bill No. 1026 provides a general fund deficiency appropriation for the 1999-2001 biennium to North Dakota State University to offset expenditures of $1,609,200 related to the 2000 flood. (emergency measure)

Section 7 of Senate Bill No. 2003 requires the North Dakota State University to establish a reserve fund of $4.5 million from nonstate general fund moneys to be used to pay utility and repair costs for the College of Business building to be constructed at North Dakota State University. The reserve fund must be established prior to completion of the facility.

Senate Bill No. 2023 provides special funds appropriation for state facility energy improvement projects. The Industrial Commission, acting as the North Dakota Building Authority, shall arrange for $296,348 of sundry projects at North Dakota State University through bonding. The energy savings associated with the projects are to be applied to the related bond payments.

Senate Bill No. 2037 provides a continuing appropriation of higher education institutions' special revenue funds including tuition and allows institutions to carry over at the end of the biennium unspent general fund appropriations.

Senate Bill No. 2038 requires the budget request for the University System to include budget estimates for block grants for a base-funding component and for an initiative-funding component and a budget estimate for an asset-funding component and requires the appropriation for the University System to include block grants to the State Board of Higher Education for a base-funding appropriation and for an initiative-funding appropriation and an appropriation for asset-funding.

Senate Bill No. 2039 allows the State Board of Higher Education to authorize campus improvements and building maintenance projects that are financed by donations, gifts, grants, and bequests if the cost of the improvement or maintenance is not more than $500,000.

Senate Bill No. 2040 allows higher education institutions to provide bonuses, cash incentive awards, and temporary salary adjustments to employees without reporting the activity as a fiscal irregularity.

Section 1 of Senate Bill No. 2137 authorizes the State Board of Higher Education to provide for the construction of a student housing apartment building on the North Dakota State University campus. The university may utilize any available local funds or funds received from other sources, including fire insurance recovery proceeds resulting from the F court building fire at that campus, for this project. The total appropriation for this project is $2,310,000 from local funds or other sources. (emergency measure)

Section 2 of Senate Bill No. 2137 authorizes the State Board of Higher Education to provide for the renovation of Robinson Hall on the North Dakota State University campus. The university may utilize any federal, private, or local funds or funds received for other sources for this project. The total appropriation for this project is $560,000 from federal, private, or local funds or other funds. (emergency measure) The executive recommendation includes $550,820 for renovation of Robinson Hall in Senate Bill No. 2003.

Prepared by the North Dakota Legislative Council staff for Senate Appropriations
January 22, 2001

Department 235 - North Dakota State University Senate Bill No. 2003

	FTE Positions	General Fund	Other Funds	Total
2001-03 Schafer Executive Budget	805.49	$65,262,441[2]	$21,750,820[3]	$87,013,261
1999-2001 Legislative Appropriations	798.49[1]	75,311,930	171,939,093	247,251,023[4]
Increase (Decrease)	7.00	($10,049,489)	($150,188,273)	($160,237,762)
2001-03 Hoeven Executive Budget	805.49	$65,262,441	$32,760,000	$98,022,441
Hoeven Increase (Decrease) to Schafer	0.00	$0	$11,009,180	$11,009,180

[1] The 1999-2001 appropriation is based on 794.98 FTE positions. Section 6 of 1999 House Bill No. 1003 authorized the University System to adjust FTE positions as needed. The 798.49 FTE positions shown above represent the employee positions reported to the Office of Management and Budget (OMB).

[2] Total general fund appropriation per executive budget summary	$75,008,604
Less transfer of Higher Education Computer Network/campus computer centers line item to the North Dakota University System office reflected in Senate Bill No. 2003	9,746,163
Total general fund appropriation in Senate Bill No. 2003	$65,262,441

[3] Total other funds per executive budget summary	$22,017,168
Less appropriation for state facility energy improvement projects per Senate Bill No. 2023	296,348
Total other funds appropriation in Senate Bill No. 2003	$21,750,820

[4] The 1999-2001 appropriation amounts include $89,271 from the general fund for the agency's share of the $1.4 million funding pool appropriated to OMB for assisting agencies in providing the $35 per month minimum salary increases in July 1999 and July 2000, $763,016 from the general fund for the agency's share of the $2,630,992 critical salary funding pool appropriated to the University System to address additional salary increases beyond legislative appropriations for market and equity raises, and $1,222,951 from the general fund for the agency's share of the $4,290,128 equity, special needs funding pool appropriated to the University System to address equity funding issues and special academic program needs and $9,679,373 from the general fund for the agency's share of $21,948,467 funding pool appropriated to the University System to address technology needs. The 1999-2001 appropriation amounts do not include ($120,000) spent prior to the 1999-2001 biennium (emergency clause) and $80,740 of carryover authority.

Major Schafer Recommendations Affecting North Dakota State University 2001-03 Budget

	General Fund	Other Funds	Total
1. Removes the appropriation of local funds.		($148,231,526)	($148,231,526)
2. Removes the appropriation of tuition, continuing education, and miscellaneous income.		($53,794,035)	($53,794,035)
3. Adds seven FTE positions for instruction due to anticipated enrollment increases.	$239,427	$652,293	$891,720
4. Provides funding for construction of College of Business Administration building and classrooms.		$20,000,000	$20,000,000
5. Increases funding for renovation of Sudro Hall for a total project cost of $2,950,000 (pharmacy program).		$1,200,000	$1,200,000
6. Provides funding for renovation of Robinson Hall (veterinary technology teaching program).		$550,820	$550,820
7. Provides funding for extraordinary repairs of $1,737,531, a decrease of $665,000 from the 1999-2001 biennium. In addition, $296,348 of special funds is provided for energy improvement projects in Senate Bill No. 2023.	($665,000)		($665,000)

8. Provides funding for flood insurance.	$210,000	$210,000

9. Consolidates funding into two line items--operations and capital assets.

10. The executive recommendation does not include funding for recommended compensation plan salary increases of three percent of salaries the first year of the biennium and two percent for the second year. Funding for institutions' salary increases is pooled and provided in the North Dakota University System office budget for the State Board of Higher Education to allocate to individual institutions.

Major Hoeven Recommendations Affecting North Dakota State University 2001-03 Budget Compared to the Bill as Introduced (Schafer Budget)

	General Fund	Other Funds	Total
1. Provides funding for construction of a residence hall at North Dakota State University.		$11,000,000	$11,000,000
2. Approves an adjusted increase to the Robinson Hall renovation project. Total recommended authorization for the project is $560,000.		$9,180	$9,180

Major Legislation Affecting the North Dakota State University

House Bill No. 1026 provides a general fund deficiency appropriation for the 1999-2001 biennium to North Dakota State University to offset expenditures of $1,609,200 related to the 2000 flood. (emergency measure)

Section 7 of Senate Bill No. 2003 requires the North Dakota State University to establish a reserve fund of $4.5 million from nonstate general fund moneys to be used to pay utility and repair costs for the College of Business building to be constructed at North Dakota State University. The reserve fund must be established prior to completion of the facility.

Senate Bill No. 2023 provides special funds appropriation for state facility energy improvement projects. The Industrial Commission, acting as the North Dakota Building Authority, shall arrange for $296,348 of sundry projects at North Dakota State University through bonding. The energy savings associated with the projects are to be applied to the related bond payments.

Senate Bill No. 2037 provides a continuing appropriation of higher education institutions' special revenue funds including tuition and allows institutions to carry over at the end of the biennium unspent general fund appropriations.

Senate Bill No. 2035 requires the budget request for the University System to include budget estimates for block grants for a base-funding component and for an initiative-funding component and a budget estimate for an asset-funding component and requires the appropriation for the University System to include block grants to the State Board of Higher Education for a base-funding appropriation and for an initiative-funding appropriation and an appropriation for asset-funding.

Senate Bill No. 2039 allows the State Board of Higher Education to authorize campus improvements and building maintenance projects that are financed by donations, gifts, grants, and bequests if the cost of the improvement or maintenance is not more than $500,000.

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Section 2 of Senate Bill No. 2137 authorizes the State Board of Higher Education to provide for the renovation of Robinson Hall on the North Dakota State University campus. The university may utilize any federal, private, or local funds or funds received for other sources for this project. The total appropriation for this project is $560,000 from federal, private, or local funds or other funds (emergency measure). The executive recommendation includes $550,820 for renovation of Robinson Hall in Senate Bill No. 2003.

Prepared by the North Dakota Legislative Council staff for House Appropriations
February 26, 2001

Department 235 - North Dakota State University
Senate Bill No. 2003

	FTE Positions	General Fund	Other Funds	Total
2001-03 Schafer Executive Budget	805.49	$65,262,441[2]	$21,750,820[3]	$87,013,261
1999-2001 Legislative Appropriations	798.49[1]	75,311,930	171,939,093	247,251,023[4]
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[1] The 1999-2001 appropriation is based on 794.98 FTE positions. Section 6 of 1999 House Bill No. 1003 authorized the University System to adjust FTE positions as needed. The 798.49 FTE positions shown above represent the employee positions reported to the Office of Management and Budget (OMB).

[2] Total general fund appropriation per executive budget summary	$75,008,604
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Major Schafer Recommendations Affecting North Dakota State University 2001-03 Budget

	General Fund	Other Funds	Total
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2. Removes the appropriation of tuition, continuing education, and miscellaneous income.		($53,794,035)	($53,794,035)
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4. Provides funding for construction of College of Business Administration building and classrooms.		$20,000,000	$20,000,000
5. Increases funding for renovation of Sudro Hall for a total project cost of $2,950,000 (pharmacy program).		$1,200,000	$1,200,000
6. Provides funding for renovation of Robinson Hall (veterinary technology teaching program). (The Senate removed funding for the Robinson Hall renovation from Senate Bill No. 2003 as funding for this project was included in Senate Bill No. 2137.)		$550,820	$550,820

7. Provides funding for extraordinary repairs of $1,737,531, a decrease of $665,000 from the 1999-2001 biennium. In addition, $296,348 of special funds is provided for energy improvement projects in Senate Bill No. 2023.	($665,000)		($665,000)
8. Provides funding for flood insurance.	$210,000		$210,000
9. Consolidates funding into two line items--operations and capital assets.			
10. The executive recommendation does not include funding for recommended compensation plan salary increases of three percent of salaries the first year of the biennium and two percent for the second year. Funding for institutions' salary increases is pooled and provided in the North Dakota University System office budget for the State Board of Higher Education to allocate to individual institutions.			

Major Hoeven Recommendations Affecting North Dakota State University 2001-03 Budget Compared to the Bill as Introduced (Schafer Budget)

	General Fund	Other Funds	Total
1. Provides funding for construction of a residence hall at North Dakota State University. (Funding of $8 million for this project was added to Senate Bill No. 2023.)		$11,000,000	$11,000,000
2. Approves an adjusted increase to the Robinson Hall renovation project. Total recommended authorization for the project is $560,000. (The total project cost of $560,000 was authorized in Senate Bill No. 2137.)		$9,180	$9,180

Major Legislation Affecting the North Dakota State University

Section 11 of Engrossed Senate Bill No. 2003 provides legislative intent relating to the content of the State Board of Higher Education's performance and accountability report required by Senate Bill No. 2041.

Section 13 of Engrossed Senate Bill No. 2003 provides a continuing appropriation of higher education institutions' special revenue funds including tuition (originally a provision in Senate Bill No. 2037).

Section 14 of Engrossed Senate Bill No. 2003 requires budget requests for the University System to include budget estimates for block grants for a base-funding component and for an initiative-funding component and a budget estimate for an asset-funding component (originally a provision in Senate Bill No. 2038).

Section 15 of Engrossed Senate Bill No. 2003 requires the appropriation for the University System to include block grants to the State Board of Higher Education for a base-funding appropriation and for an initiative-funding appropriation and an appropriation for asset-funding (originally a provision in Senate Bill No. 2038).

Section 16 of Engrossed Senate Bill No. 2003 allows institutions to carry over at the end of the biennium unspent general fund appropriations (originally a provision in Senate Bill No. 2037).

House Bill No. 1026 provides a general fund deficiency appropriation for the 1999-2001 biennium to North Dakota State University to offset expenditures of $1,609,200 related to the 2000 flood. (emergency measure) (This bill has passed the House.)

Senate Bill No. 2023 provides special funds appropriation for state facility energy improvement projects. The Industrial Commission, acting as the North Dakota Building Authority, shall arrange for $296,348 of sundry projects at North Dakota State University through bonding. The energy savings associated with the projects are to be applied to the related bond payments. This bill also authorizes the issuance of $3,000,000 of revenue bonds for renovation of Minard Hall. The bonds will be repaid from other funds, excluding student fees. (This bill has passed the Senate.)

Senate Bill No. 2039 allows the State Board of Higher Education to authorize campus improvements and building maintenance projects that are financed by donations, gifts, grants, and bequests if the cost of the improvement or maintenance is not more than $250,000. (This bill has passed the Senate.)

Senate Bill No. 2040 allows higher education institutions to provide bonuses, cash incentive awards, and temporary salary adjustments to employees without reporting the activity as a fiscal irregularity. (This bill has passed the Senate.)

Senate Bill No. 2137 authorizes the State Board of Higher Education to provide for the construction of a student housing apartment building on the North Dakota State University campus. The university may utilize any available local funds or funds received from other sources, including fire insurance recovery proceeds resulting from the F court building fire at that campus, for this project. The

total appropriation for this project is $2,310,000 from local funds or other sources. (emergency measure) (This bill has passed both the House and the Senate.)

Senate Bill No. 2137 authorizes the State Board of Higher Education to provide for the renovation of Robinson Hall on the North Dakota State University campus. The university may utilize any federal, private, or local funds or funds received for other sources for this project. The total appropriation for this project is $560,000 from federal, private, or local funds or other funds. (emergency measure) (This bill has passed both the House and the Senate.)

Summary of Legislative Changes Resulting From First House Action

See Statement of Purpose of Amendment (attached).

Eddr

NDSU NORTH DAKOTA STATE UNIVERSITY

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RECEIVED
JAN 0 3 2001
North Dakota University System

December 28, 2000

Members of the State Board of Higher Education:

Enclosed is NDSU's summary campus plan, required as part of the Roundtable recommendations and the Board Objectives. Due to the close alignment of the Roundtable Cornerstones with the Goals of the Six-Year Plan, it is NDSU's intent that this plan also be used to satisfy the reporting requirements of the Six-Year Plan, still in effect. For ease in reporting, NDSU has grouped each goal of the Six-Year Plan under only one Cornerstone, as follows:

Six-Year Plan Goal #1 - Education Excellence under Cornerstone #2 – Education Excellence
Six-Year Plan Goal #2 – Access under Cornerstone #4 – Accessible System
Six-Year Plan Goal #3 - Relevant Programs under Cornerstone #2 – Education Excellence
Six-Year Plan Goal #4 - Leadership in Research under Cornerstone #1 – Economic Development
Six-Year Plan Goal #5 - Learning Environment under Cornerstone #5 – Funding and Rewards
Six-Year Plan Goal #6 - Public Confidence under Cornerstone #5 – Funding and Rewards
Six-Year Plan Goal #7 – Cooperation under Cornerstone #2 – Education Excellence

In addition, for your information, I have enclosed the "working draft" document that NDSU prepared in anticipation of writing its summary campus plan. I have done this to show the extent of the effort that NDSU's faculty, staff, and friends made to provide a summary campus plan of this magnitude and to demonstrate why it is impossible for a six-page summary report to do justice to each aspect of our "working draft".

In my September 2000, State of the University Address, I stated that for NDSU to become "a student-oriented, nationally recognized, internationally and regionally engaged doctoral and research-extensive land-grant university where education occurs in an atmosphere of scholarly activity and entrepreneurship", we must work on five areas. I feel that NDSU's major themes are reflective of the Roundtable Cornerstones:

1. It's About People
2. Students are Paramount
3. Leveraging Support
4. Programs
5. Status

Finally, I believe that the following statement, passed by the NDSU University Senate on December 11, 2000, and endorsed by the Staff Senate on December 13, 2000, is indicative of NDSU's commitment to the Roundtable:

NDSU is an equal opportunity institution

"The NDSU faculty and staff acknowledge the Roundtable Report as a part of the on-going process of legislative review of higher education in North Dakota. The Report challenges both the North Dakota University System and the North Dakota business community to work cooperatively in innovative ways to enhance North Dakota's economic, educational, and cultural environment. To this end, the faculty and staff of North Dakota State University wish to join in the spirit of the Roundtable report as a working document. The NDSU faculty and staff would like to maintain active participation in the interpretation, development, and implementation of Report recommendations in a manner consistent with their available resources and their educational mission."

However, given this input from my faculty and staff, I feel that this process must be allowed to continue to evolve as students, faculty, staff, and friends of NDSU become more engaged in this process throughout the 2001 Legislative Session and beyond. It is for this reason that NDSU summary campus report is submitted to you in draft format.

Sincerely,

Joseph A. Chapman
President

Cc: Larry Isaak, Chancellor, NDUS

December 29, 2000

North Dakota State University's
Report to the State Board of Higher Education
"DRAFT" Campus Plan

This draft document reflects a combination of reports and surveys reflecting both Progress-to-date and Accountability Measures related to the NDSU Major Goals, NDUS Six-Year Plan Goals, and Legislative Council's Interim Committee on Higher Education's Roundtable Objectives.

NDSU has always been committed, as evidenced by our Progress-to-date (see *Report of the NDSU President's Cabinet*), to the ideals reflected within the Roundtable Cornerstones and will continue making accountable, measurable progress toward those ideals:

- Economic Development Connection
- Education Excellence
- Flexible and Responsive System
- Accessible System

NDSU is encouraged by the interim committee's foresight reflected within the Roundtable Cornerstones and looks forward to a new level of partnership between Higher Education, the Legislature, and the Executive Branch. While committed to the Accountability Measures, NDSU asks the Legislature, the Executive Branch, the State Board of Higher Education, and the University System to work within this new partnership to develop a single set of reporting requirements or standards for Higher Education:

- Funding and Rewards

NDSU notes that several objectives related to the Roundtable Cornerstones are not currently considered to be a campus responsibility, yet decisions made on those objectives will, in all likelihood, directly impact NDSU. Thus, NDSU asks that it have input on all objectives, which may have a campus impact. In addition, while attracting and retaining faculty (EE-b1) and students (EE-a1) are specific objectives within the Roundtable Report, salary studies indicate that North Dakota also needs to focus on attracting and retaining its Higher Education staff.

- Economic Development Connection (10 of 15 objectives currently reflect campus' responsibility)
- Education Excellence (27 of 30 objectives currently reflect campus' responsibility)
- Flexible and Responsive System (7 of 13 objectives currently reflect campus' responsibility)
- Accessible System (4 of 13 objectives currently reflect campus' responsibility)
- Funding and Rewards (2 of objectives currently reflect campus' responsibility)

NDSU is committed to continuing the discussions initiated by the Roundtable report as evidenced by the following statement, passed by the NDSU University Senate on December 11, 2000, and endorsed by the Staff Senate on December 13, 2000:

> "The NDSU faculty and staff acknowledge the Roundtable Report as a part of the on-going process of legislative review of higher education in North Dakota. The Report challenges both the North Dakota University System and the North Dakota business community to work cooperatively in innovative ways to enhance North Dakota's economic, educational, and cultural environment. To this end, the faculty and staff of North Dakota State University wish to join in the spirit of the Roundtable report as a working document. The NDSU faculty and staff would like to maintain active participation in the interpretation, development, and implementation of Report recommendations in a manner consistent with their available resources and their educational mission."

- Sustaining the Vision

Given this input from his faculty and staff, Dr. Joseph A. Chapman, NDSU President, believes that this process must be allowed to continue to evolve as students, faculty, staff, and friends of NDSU become more engaged in this process throughout the 2001 Legislative Session and beyond. It is for this reason that NDSU summary campus report is submitted in draft format.

Cornerstone #1 – Economic Development (ED) Connection: Direct connections and contributions of the University System to the economic growth and social vitality of North Dakota (10 campus objectives).

Incorporates Six-Year Plan Goal #4 - Leadership in Research - Provide leadership in addressing the high priority research and development needs and opportunities of the state.

Given the necessary resources, NDSU has developed plans to address each of the campus objectives related to the Economic Development Connection (see *Report of the NDSU President's Cabinet*). NDSU is currently making direct connections and contributions to the economic growth and social vitality of North Dakota through the following:

- Research and Technology Park
- Newly created Office of Research, Creative Activities and Technology Transfer
    - Total research spending during fiscal 1999 reached nearly $44.7 million
- Development Foundation fundraising for various project which benefit the community
- Community partnerships through Intercollegiate Athletics (ie: Fargodome, Ellig Sport Complex, and Newman Outdoor Field)
- The Career Center is working with local employers to attract NDSU graduates to their firms
- NDSU's Research Extension Centers enhance the productivity, competiveness, and diversity of agriculture and livestock throughout the state
- NDSU is the site for several state and regional "centers".

Cornerstone #2 – Education Excellence (EE): High quality education and skill development opportunities which prepare students to be personally and professionally successful, readily able to advance and change careers, be life-long learners, good citizens, leaders, and knowledgeable contributing members of an increasingly global, multicultural society (27 campus objectives).

Incorporates Six-Year Plan Goal #1 - Education Excellence - To strive for excellence and improve quality learning for students which ensure knowledge and competency in their chosen discipline and emphasize strong communications skills, analytical thinking, use of technology, and interpersonal skills.

Incorporates Six-Year Plan Goal #3 - Relevant Programs - To align programs and services with student interests and with current and future needs of business, communities, and the state.

Incorporates Six-Year Plan Goal #7 – Cooperation - To improve educational opportunities and services among the campuses, K-12, and other entities through cooperation and collaboration.

Given the necessary resources, NDSU has developed plans to address each of the campus objectives related to the Education Excellence (see *Report of the NDSU President's Cabinet*). NDSU is already developing opportunities which prepare students to be personally and professionally successful, readily able to advance and change careers, be life-long learners, good citizens, leaders, and knowledgeable contributing members of an increasingly global, multicultural society through the following:

In a Fall 1999 Student Satisfaction Survey, students indicated relatively high levels of satisfaction in a variety of areas, including:

- intellectual growth, variety of courses, and commitment to academic excellence
- services and assistance with career selection, opportunities for career-related internships, and course work within a major relevant to career success
- academic advising, campus support, instructional effectiveness, financial aid, service excellence, internships, and assisted career selection.
- library staff, library resources and services, content of courses, intellectual growth, commitment to academic excellence, faculty and instruction, image (of NDSU) with potential employers, and internships
- campus support, recruitment, registration effectiveness, service excellence, student centeredness, instructional effectiveness, campus climate, internships, and assisted career selection.
- instructional effectiveness, internships, faculty, positive image (of NDSU) among potential employers, instructional effectiveness, academic advising, campus climate

- campus climate, instructional effectiveness, internships, coursework relevant to career success, faculty, and student centeredness
- quality of instruction, faculty having a good sense of the job market, intellectual growth, and internships or practical experience
- opportunities for internships and practical experiences, the faculty's sense of what the job market is for their majors, assistance for career selection, the student's confidence they will find a career-related job, and their major's course work relevant to career success

In a recent survey conducted by the NDSU University Senate, "marketing NDSU as a great place in our recruitment of students, faculty, and staff" ranked fifth in priority for both faculty and staff. On a four-point scale, the averages for this component range from 3.73 to 3.75. (*NDSU Goal: Status – University Senate Campus Community Planning Survey, December 2000, Q53)*

Cornerstone #3 – Flexible (FL) and Responsive System: A University System environment responsive to the prioritized needs of its clients and serves as a model of a flexible, empowering, competitive, entrepreneurial, and rewarding organization for a new economy in a rural state (seven campus objectives).

Given the necessary resources, NDSU has developed plans to address each of the campus objectives related to the Flexible and Responsive System (see *Report of the NDSU President's Cabinet*). NDSU already serves as a model of a flexible, empowering, competitive, entrepreneurial, and rewarding organization for a new economy in a rural state through the following:

- NDSU's Research Extension Centers enhance the productivity, competiveness, and diversity of agriculture and livestock throughout the state
- Research and Technology Park
  - planned within the Research and Technology Park is a 15,000 square foot business incubator. Emerging companies will lease space in the facility as faculty and students work with them to prepare new products or methods

In a recent survey conducted by the NDSU University Senate, "encouraging the perception among legislators and the state's executive branch that NDSU is a strong positive force in the state" ranked first in priority for both faculty and staff. On a four-point scale, the averages for this component range from 3.93 to 3.94. *(NDSU Major Goal: Status – University Senate Campus Community Planning Survey, December 2000, Q54)*

Cornerstone #4 – Accessible System (AS): A University System, which is proactively accessible to all areas of North Dakota and seeks students and customers from outside the state. It provides students, business, industry, communities, and citizens with access to educational programs, workforce training opportunities, and technology access and transfer – and does so with the same performance characteristics as described in the "Flexible and Responsive" cornerstone (four campus objectives).

Incorporates Six-Year Plan Goal #2 – Access - To emphasize enhanced use of technology to improve access to programs and services and as a regular component for instructional services and research.

Given the necessary resources, NDSU has developed plans to address each of the campus objectives related to the Accessible System (see *Report of the NDSU President's Cabinet*). NDSU is already proactively working to become accessible to all areas of North Dakota and seeking students and customers from outside the state through the following:

NDSU has named an External Distance Education Advisory consisting of nine to 12 members representing different sectors (i.e.: education, business, and healthcare). This board, which will serve in an advisory capacity to the Vice President of Academic Affairs, is to help NDSU its distance education clientele. The Board will 1) identify specific industry and business needs for certification training, courses, and degrees; 2) determine how NDSU can best meet these needs; and 3) identify and eliminate any barriers to meeting these needs. *(NDSU Goal: Programs – DEWG)*

NDSU has initiated discussions with Prairie Public Broadcasting about the possibility of delivering some distance education courses and content via digital broadcasting. *(NDSU Goal: Leveraging Support – VPAAc)*

NDSU has the funds to hire a Distance Education Coordinator. *(NDSU Goal: Programs – VPAAc)*

Cornerstone # 5 – Funding and Rewards (FR): A system of funding, resource allocation, and rewards that assures quality and is linked to the expressed high priority needs and expectations of the University System–assures achievement of the expectation envisioned (two campus objectives).

NDSU has incorporated the following Six-Year Plan Goals within this Cornerstone:

Six-Year Plan Goal #5 - Learning Environment - To provide an up-to-date and innovative environment for students, faculty, employees, and the public and

Six-Year Plan Goal #6 - Public Confidence - To document the performance and effectiveness of the North Dakota University System.

NDSU agrees that resources must be allocated for the maintenance of the physical assets of the campus.

While only two of the 14 objectives related to this Cornerstone are currently considered to be a campus responsibility, decisions made on the other 12 objectives may directly impact NDSU; therefore, NDSU asks that it have input on those objectives.

Cornerstone #6 - Sustaining the Vision (SV): A structure and process which assures the University System for the 21st century, as described by these cornerstones, remains connected, understood, relevant, and accountable to the present and future research, education, and public service needs of the state and its citizens – sustaining the vision.

In his September 2000, State of the University Address, Dr. Joseph A. Chapman, President, said that NDSU will become "a student-oriented, nationally recognized, internationally and regionally engaged doctoral and research-extensive land-grant university where education occurs in an atmosphere of scholarly activity and entrepreneurship". At that time, President Chapman outlined NDSU's major goals, which he believes are reflective of the vision captured within the Roundtable Cornerstones.

Each statement contained within the enclosed report has been attributed to one of the following goals:

NDSU Major Goal: It's About People
Student learning occurs through faculty and staff guidance. Increased investments in people are critical to attracting and retaining quality faculty and staff, thereby increasing NDSU's educational standards. As part of this increased investment, faculty and staff salaries will be increased to the mid-range of professional peers. NDSU can continue its progress toward being at the midpoint of our peer institutions by being creative in funding its salary pools. Sources of new money to be invested in people include graduate and undergraduate enrollment growth, and growth in research activities.

Students are Paramount
Increasing student enrollment to 12,000 students, including increasing graduate student enrollment to 15 percent of total student enrollment.

While North Dakota State University exists to serve multiple stakeholders, service to students is paramount. This is accomplished by providing superior learning environment in and out of the classroom at a cost, which is a true value to students and all citizens of North Dakota.

Leveraging Support
As described in the report of The Roundtable for the North Dakota Legislative Council Interim Committee on Higher Education, NDSU will take increasing responsibility for securing the financial resources needed to provide to the people of North Dakota. NDSU plans to accomplish this by leveraging its resources through strategic partnerships with North Dakota, national and global businesses.

Programs
North Dakota State University is an investment by the people of North Dakota in individual and collective economic well being and quality of life. For this reason, the University will aggressively engage in statewide collaborative efforts with North Dakota businesses and with member institutions of the North Dakota University System.

Taking advantage of emerging technologies to expand capabilities to meet student demand in the University's traditional areas of focus including agriculture, engineering, applied sciences and extension, as well as expansion in new academic areas and professional fields.

Increasing the University's international focus to enhance North Dakota's competitiveness in the global economy.

Status

NDSU should advance to the level of Doctoral and Research University-Extensive in the Carnegie classification system. The Carnegie classification system is seeing major revisions. Under the new system, NDSU is a Doctoral and Research University-Intensive. To reach the Extensive classification will require the graduation of 50 or more doctorates in at least 15 academic disciplines per year.

Build public support of NDSU and higher education by increasing public awareness of the many services the university provides.

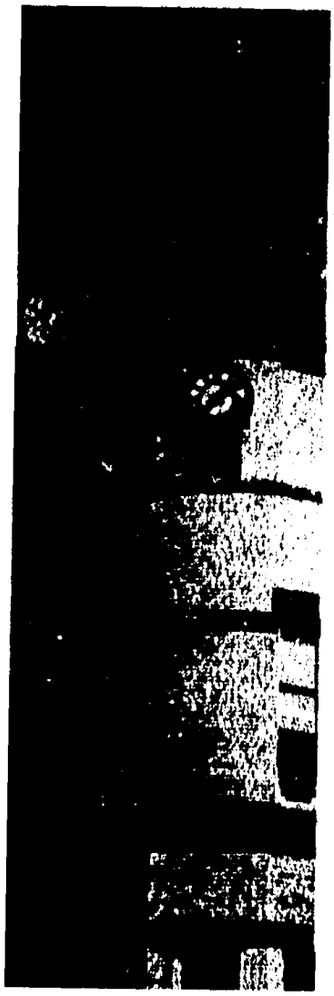
2001-03

Pre-Session Senate Appropriations Hearing

Senator David Nething, chair
10-11:15 a.m.
Thursday, Jan. 4, 2001

Budget #235

With the exception of following pages this testimony was sent on 01-3-6-01



NDSU

President Joseph A. Chapman

North Dakota State University

Major Themes - Working Draft 1-4-01

North Dakota State University is a student-oriented, nationally recognized, internationally engaged doctoral and research land-grant university where education occurs in an atmosphere of scholarly activity and entrepreneurship.

It's About People

- Student learning occurs through faculty and staff guidance. Increased investments in people are critical to attracting and retaining quality faculty and staff, thereby increasing NDSU's educational standards. As part of this increased investment, faculty and staff salaries will be increased to the mid-range of professional peers.

Students Are Paramount

- North Dakota State University exists to serve multiple stakeholders with service to students as paramount. This is accomplished by providing a superior learning environment in and out of the classroom at a cost which is a true value to students and all citizens of North Dakota.
- Increasing student enrollment to 12,000 students, including increasing graduate student enrollment to 15 percent of total student enrollment.

Leveraging Support

- With the support of the Legislature and the State Board of Higher Education, as described in the report of The Roundtable for the North Dakota Legislative Council Interim Committee on Higher Education, NDSU will take increasing responsibility for securing the financial resources needed to provide service to the people of North Dakota.
- Leveraging University resources through strategic partnerships with North Dakota, national and global businesses.
- Providing real world research and educational opportunities through the NDSU Research and Technology Park.
- Expanding the base of philanthropic support of North Dakota State University.
- Increasing federal and private research funding.

Programs

- North Dakota State University is an investment by the people of North Dakota in individual and collective economic well-being and quality of life. For this reason, the university will aggressively engage in statewide collaborative efforts with North Dakota businesses and with member institutions of the North Dakota University System.
- Taking advantage of emerging technologies to expand capabilities to meet student demand in the university's traditional areas of focus including agriculture, engineering, applied sciences and extension, as well as expansion in new academic areas and professional fields.
- Increasing the university's international focus to enhance North Dakota's competitiveness in the global economy.

Status/Recognition

- Advancing the university to the level of Doctoral and Research University-Extensive in the Carnegie classification system. This requires the graduation of 50 or more doctorates in at least 15 academic disciplines per year.

These mutually supportive themes help focus North Dakota State University so it can continue its historic land-grant mission while achieving new levels of engagement with the greater community. Accomplishing both requires the flexibility to be original and accountability for results.

NORTH DAKOTA STATE UNIVERSITY

GENERAL FUND APPROPRIATION (Excluding HECN/Campus Computer Budget)

	1999-01	Executive Recommendation 2001-03	Increase
Operations	$62,078,606	$63,524,910	$1,446,304
Capital Assets:			
Extraordinary Repairs	$1,737,531	$1,737,531	$0
Total General Funds	**$63,816,137**	**$65,262,441**	**$1,446,304**

(1999-01 Column excludes tuition and one-time adjustments.)

- Increase of $1,446,304 in Operations consists of:
  - Health Insurance $1,236,305
  - Flood Insurance $ 210,000

- The 2001-03 Needs-Based budget for NDSU includes a request for an additional $2,235,606 to be used for Institutional Innovation and Technology Initiative Programs. These dollars were not funded in the Executive Recommendation.

OTHER FUNDS APPROPRIATION IN 2001-03 EXECUTIVE RECOMMENDATION

Other Funds - Hold Even Request	$242,621,481	
Less Tuition and Misc. Income	($51,092,491)	
Less Local Funds	($148,231,526)	
Less Continuing Education	($2,701,544)	
Less Capital Projects Requested	($40,595,920)	
Plus Capital Projects Funded	$21,750,820	**
Plus Energy Performance Contracts	$296,348	
Total Other Funds	**$22,047,168**	

** Capital Projects (Funded with Other Funds)

Robinson Hall Renovation	$550,820
Sudro Hall Renovation	$1,200,000
College of Business	$20,000,000
Total Major Capital Projects	$21,750,820

NORTH DAKOTA STATE UNIVERSITY

CAPITAL PROJECTS FUNDED

ROBINSON HALL $550,820

Robinson Hall houses the veterinary technology teaching program including housing of small animals (dogs, cats, birds, etc.). This project will include classroom and laboratory renovation, ventilation, air-conditioning, room surfacing materials, animal feed and waste handling systems, and cleaning systems. This renovation of Robinson Hall will be completed with federal and local funding.

SUDRO HALL RENOVATION $1,200,000

The renovation to Sudro Hall is a multifaceted remodeling project that involves all three floors of the existing building. The major features of this remodeling include the construction of a state-of-the-art Concept Pharmacy instructional laboratory, an expansion of the Sudro Hall animal care facility, relocating and updating the physical assessment laboratory, upgrading research laboratories, replacing exterior windows, and installing central air-conditioning throughout the building.

In addition to the above renovation project, a small greenhouse currently connected to Sudro Hall would be replaced with a three-floor 8,000 sq. ft. addition. The greenhouse was used in the pharmacy curriculum for instruction in pharmacognosy (the study of plants and medicinals), and this program has been eliminated. The addition would accommodate two medium-sized classrooms to support the didactic instruction needs of the curriculum and an office complex to support 10-12 faculty offices.

This request increases the renovation authority previously authorized ($1,750,000) by $1,200,000 for a total of $2,950,000. This project will be paid from a combination of private donations, federal funds, and asbestos abatement funds.

NORTH DAKOTA STATE UNIVERSITY

CAPITAL PROJECTS NOT FUNDED

MINARD HALL RENOVATION - PHASE I $4,845,100

Minard Hall is a 78,000 square foot classroom and faculty office building constructed in three (3) phases beginning in 1903. It has been renovated a number of times over the past 97 years to meet the changing uses of the building. The last renovation was in the late 1960s when windows were replaced, an elevator added, ventilation and air-conditioning added, heating and electrical systems upgraded, and space rearranged and decorated.

Minard Hall is presently the main classroom building on the campus and is located in the university's historical district. It houses the College of Arts, Humanities and Social Sciences, and the psychology and mathematics departments of the College of Science and Mathematics.

The building exterior is structurally sound, with the interior in need of complete renovation after 30 years of heavy use. To accomplish this major renovation project, the work must be done in phases so as to maintain partial use of the building during the construction period. This renovation project is to include a building addition to accommodate relocation of the Department of Psychology. (Freeing up this space in Minard Hall will provide relocation space during construction and provide additional classroom and faculty office space.) The building addition will provide faculty offices, research laboratories and computer laboratories presently located in Minard Hall. Funding for this project will be provided through state bonding.

NOTE: The State Board of Higher Education approved this project, as described above, in June 2000 and included it as the No. 6 priority in the 2001-2003 capital improvement project listing. Subsequent to that approval, the architectural firm assigned for preliminary planning for the project has completed their assessment.

Due to this assessment, space requirements for classrooms, faculty and general offices and code compliance issues, i.e., asbestos, ADA, etc., have been determined. Code compliance issues will actually result in a reduction to the total usable space in the existing building, thus requiring the addition. The addition also will provide for classroom space required for existing enrollment.

Originally, the project was estimated at $9,675,000. New estimates were developed by the architect and Phase I was approved by the State Board of Higher Education in September 2000 for inclusion in the campus major project list. Delaying the start of the project would increase construction costs and cost of short-term repairs.

The Minard Hall Renovation project would span two biennia. The request for funding the addition, Phase I ($4,845,100), will be made for the 2001-2003 biennium to be followed by a request for funding the renovation of the existing building, Phase II ($9,079,200), 2003-2005.

CERES HALL RENOVATION - PHASE II $3,000,000

Built in 1910, Ceres Hall has been gradually converted from a student dormitory to administrative offices for student services. During previous biennia, remodeling has included the installation of an elevator, re-shingling of the roof, installation of a sprinkler system, and renovation of the west one-half (Phase I). Although the building is more than 80 years old, it is worthy of retention and a good investment for improvement funds.

Student service functions (Counseling, Financial Aid, Student Academic Affairs, Admission, Career Services, International Programs, Cooperative Education, Project Success, Multicultural Student Services, TRIO Programs, and University Registrar) have been relocated into Ceres Hall in an effort to consolidate student services. Strong consideration also is being given to relocation of the university Business Office into this building to further compliment the consolidation of services.

The project will include: asbestos abatement from third floor east and north wings; remodeling third floor into office suites in east and north wings; air-conditioning second and third floors of east and north wings; infill of existing courtyard to provide new space and include elevator and exit stairs; tuckpointing all exterior masonry; and rewindowing of entire building.

Completion of this phase will complete consolidation of Student Services and will complete the renovation of Ceres Hall.

Funding for this project will be provided through state bonding with no additional operational costs being requested, as the building will not be enlarged.

RESIDENCE HALL $11,000,000

Based on the current trend of enrollment, the anticipated population at North Dakota State University is expected to continue increasing. This enrollment increase, together with the increased retention of approximately 7 percent of our current residents, has created the need for temporary housing each academic year since 1994. Approximately 80-100 students are placed in temporary areas. As this trend continues, the concern grows that students may not consider NDSU as an option due to the consistent housing shortage.

To meet the needs of these students, a new residence hall is required. The needs of future students must be met with a facility which is state-of-the-art in every way. Technology, services and programs are to be blended together to provide these students with the best learning environment possible. This new facility must meet the ever-changing needs of the upper-class student resident as well as assist in providing a permanent bed to each incoming freshman.

The facility size should be no less than 200 beds (approximately 47,000 sq. feet) consisting of suites, apartments and/or single rooms and room for students with disabilities. Adequate facilities and services must be provided to the students including internet access, cable TV, air conditioning, adequate laundry facilities, larger kitchen areas, fast food or short order food capabilities, card key entry, meeting and study areas, and other enhancements designed to provide the best available housing for the most reasonable fee.

Funding for this project will be provided through Self-Liquidating Bonds. Operational costs would be incurred beginning the second year of the 2003-2005 biennium and would include salaries for 4.5 FTE and salaries for student/part-time employees. The FTE include 2.5 custodial positions, one hall director and one assistant hall director. These salaries, as well as general operating costs to run the facility, will be paid with auxiliary funds consisting of monies obtained from rent fees. The only general funds needed would be to cover utilities estimated at $63,450 per year.

Testimony on SB2003
Specifically on Sudro Hall proposal, North Dakota State University
Appropriations Committee; Senator Nething, Chairman
February 7, 2001

Good morning Mister Chairman and members of the appropriations committee.

I'm Joel Leapaldt, the State Facility Planner within the Facility Management Division of the Office of Management and Budget.

I have been asked to comment on the proposed revisions to the previously approved Sudro Hall Renovation and Addition project.

I first became aware of the proposed revision last Friday, February 2, 2001. I believe at the same time this committee learned of the proposal.

Since that time, I have had the opportunity to discuss the revisions with Mr. Chuck Peterson, Dean of the College of Pharmacy, and Mr. Terry Stroh, the architect of record.

All of my questions and/or concerns for this project have been addressed in a satisfactory manner.

I believe that the move from the south side of the building to the east is appropriate and should be pursued.

Mister Chairman, this concludes my testimony. I would be happy to answer any questions.

PURPOSE AND GOALS OF
SUDRO HALL FACILITY ENHANCEMENTS
NDSU COLLEGE OF PHARMACY

Plan ($2.95 Million)

1. Renovate Existing Facility
2. Add a New Building Addition (approximately 12,000 sq. ft.)

Purpose and Goals

1. Satisfy the New ACPE Standards 2000 Accreditation Requirements
2. Build a State-of-the-Art "Concept Pharmacy" Instructional Laboratory
3. Improve Infrastructure to Allow Expansion of our Research Programs
4. Allow us the Opportunity to Increase Enrollment
5. Maintenance of Existing Facility (Upgrade Electrical/Mechanical Systems)

Request

Due to architectural challenges encountered with the previously proposed addition, we are asking permission to relocate the Sudro Hall building addition from the south side to the east side of the building. This new location will: (a.) allow more room to accommodate the overall purpose and goals of the new addition (i.e., larger classrooms to accommodate increases in enrollment and general University needs as well as more faculty offices to accommodate displacement of faculty from renovation of the existing facility and accommodate our anticipated growth in faculty); (b.) be more cost-effective; and (c.) address safety concerns.

No change in budget is needed, however, the relocation of the addition will require an increase of approximately 4,000 sq. ft. of additional space beyond the previously proposed 8,000 sq. ft. addition. This relocation will require 21 of the current 58 parking spaces behind Sudro Hall to be sacrificed which are mostly used by current occupants of Sudro Hall. The future parking needs of the College will be met by using the remaining Sudro Hall "Ph" lot and using its currently assigned "W" or "AR" overflow parking lots to make up for the lost spaces.

For this issue we interviewed Charles D. Peterson, Pharm.D., dean and professor, North Dakota State University College of Pharmacy. From time to time *Scripting Success* will publish the opinions of our [illegible] as space permits.

From Fargo Comes a Close-to-Perfect Pharmacy Training Concept

Fargo is fast becoming famous for more than the movie of the same name. These days, it's earning press and the limelight as the home of North Dakota State University and its College of Pharmacy. Taking center stage are a newly revamped curriculum and the upcoming construction of a unique "concept pharmacy" lab. Early reviews indicate this is a place for students of pharmacy who want to get the training they need to flourish in the 21st century.

"We want something unique and innovative," says Charles D. Peterson, Pharm.D., dean and professor, College of Pharmacy. "We intend to offer an educational opportunity students will not be able to get anywhere else."

The breakthrough concept includes a pharmacy of the future that will serve as a simulated learning environment that offers students all the elements of community pharmacy practice. After a classroom [illegible], they'll be able to put learning into [illegible]ce. Instead of concentrating on theory, with practice only in their final year, NDSU pharmacy students will have four years of hands-on experience.

To arrive at this four-star scenario, Dean Peterson says he went to the marketplace.

"Practitioners and educators often don't sit down and talk," he explains. "We wanted to understand real world needs, so we went to the professionals. They told us that graduating students are likely to get jobs that require a blend of skills, not just clinical and not just distributive. They will have to manage it all: utilization of pharmacy technicians, disease state management, managing automated dispensing systems, being responsible for the product and its dispensation, drug information and computer technology. Currently, there isn't any school of pharmacy that takes all these elements and ties them together in an educational and lab based curriculum."

To construct their concept, NDSU [illegible] input from a variety of professionals, [illegible]ding pharmacy chain stores and private independents. Dave Bernauer of Walgreens partnered with the college (his alma mater) to give feedback on the curriculum and the concept pharmacy design.

"Many schools are dropping product distribution and dispensing," Dean Peterson says. "The input we received told us not to do this. According to the professionals we talked to, these jobs will remain a vital part of the pharmacist's role, so we kept them as part of the core curriculum."

When the $2.5 million renovation of Sudro Hall is complete, including the concept pharmacy, students will have an unequaled opportunity to learn, practice and prepare for the future. "We want to be the national leader when it comes to unique and comprehensive ways to train community pharmacists," Dean Peterson says. "That is our strength."

Quick Study on What the Concept Pharmacy Offers

Here's a topline of the hands-on training pharmacy students will receive in the NDSU concept pharmacy. The program includes:

- supervising pharmacy operations including workflow and personnel management
- extemporaneous compounding, including intravenous admixtures
- filling prescriptions: working with pharmacy technicians and automated dispensing systems
- providing health education, screening and monitoring (including patient consultation, laboratory testing, immunizations, drug therapy and disease state monitoring)
- managing drug information systems
- providing home care and nursing home consulting services
- procuring reimbursement for pharmacist care services

Four years of integrated lab instruction will offer students in each year of the program an opportunity to work collaboratively, experiencing various key roles.

- **First-year students** will play the role of the patient, learn about health care systems, disease states and medications to treat those conditions.
- **Second year students** will play the role of pharmacy technician, learning technical skills like filling and dispensing and working with an automated dispensing system.
- **Third-year students** will focus on the pharmacist's role, learning to manage the overall workflow of an integrated pharmacy system.
- **Fourth-year students** will learn the role of the prescriber, focusing on collaboration for a positive effect on patients and their medications.

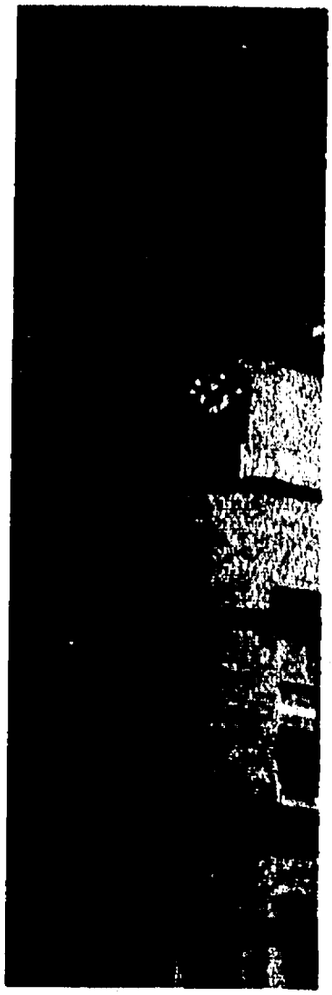
NDSU College of Pharmacy: A Tradition of Excellence

Mission Statement	The College of Pharmacy, a major academic unit of North Dakota State University, serves the state, region, and nation through its programs in pharmaceutical and nursing education, research, patient care, and public service. It endeavors to prepare students to enter into the general practice of pharmacy and nursing as competent, caring, ethical professionals/citizens committed to lifelong learning. The College is committed to the pharmacy and nursing professions and to society for creating, communicating, and applying knowledge about pharmaceutical and nursing care. It endeavors to provide an environment open to free exchange of ideas, where professionalism, innovation, scholarship, and learning can flourish.
College	NDSU is unique among Colleges of Pharmacy in being accredited for an all PharmD program since1993. Currently the College receives $1.5 million in state appropriations for its pharmacy program compared to an average of $4.1 million for six other peer schools within the region and $3.9 million national average. The College employs 32 full-time faculty, 18 in Pharmacy Practice, 7 in Pharmaceutical Sciences, and 7 in the NDSU Tri-College Nursing Program.
Students	Over the past decade, NDSU pharmacy graduates have achieved a 99.3% passing rate on the national license exam (NAPLEX) compared to an average of 85-90% for the other 82 schools of pharmacy nationally Since its inception, the NDSU College of Pharmacy has graduated over 3500 pharmacists. The College graduates approximately 60 pharmacy students per year in the professional program with total enrollment figures being approximately 500 pharmacy students (approximately 250 pre-professional and 250 professional students). The College is currently making plans to increase its enrollment to 75 pharmacy students per year. Over 90% of pharmacists practicing in the State of North Dakota are NDSU graduates. In the past two years, North Dakota has ranked #1 in recruitment and retention of NDSU pharmacy graduates (ie. Based on a survey of the 2000 graduating class, over 42% of graduates stayed in ND to practice pharmacy)
Research	The College has a highly competitive research program with currently nine major federally funded grants active, five NIH grants, three Department of Defense grants, and one EPA grant. NDSU through a collaboration between the Departments of Chemistry and Pharmacy was recently awarded an $8.2 million dollar NIH grant to develop a Center for Biomedical Research Excellence (COBRE). This is the largest single grant ever awarded to NDSU.
Outreach	The College conducts over 3600 hours of continuing pharmaceutical education each year to over 1500 pharmacists in the region. Through the North Dakota Institute for Pharmaceutical Care, the College has implemented a state-wide skills training program for pharmacists in North Dakota in laboratory health screening The College developed ten community-based pharmacy teaching sites across North Dakota to train students to deliver pharmaceutical care in a community pharmacy setting. The College contributes to cultural diversity on campus and offers a Native American Pharmacy Program supported through a grant from the Dakota Medical Foundation. Through support from the Dakota Medical Foundation, the College recently implemented a region wide public education program via television, radio, and billboard ads alerting the public to the hazards of antibiotic misuse and abuse as a public health concern Through a cooperative agreement with the University of Colorado, the College has implemented an on-line distance education nontraditional Pharm.D. degree program for former B.S. graduates

2001-03
House Appropriations Sub-Committee

Rep. Janet Wentz, chair
8:30 a.m.
Tuesday, March 6, 2001

North Dakota State University
Main Research Station and Branch Stations
NDSU Extension Service
Agronomy Seed Farm
Northern Crops Institute
Upper Great Plains Transportation Institute
North Dakota Forest Service



NDSU
President Joseph A. Chapman

A North Dakota University System for the 21st Century

The Roundtable for the North Dakota Legislative Council Interim Committee on Higher Education

Cornerstone #1 — Economic Development Connection: Direct connections and contributions of the University System to the economic growth and social vitality of North Dakota.

Cornerstone #2 — Education Excellence: High quality education and skill development opportunities which prepare students to be personally and professionally successful, readily able to advance and change careers, be life-long learners, good citizens, leaders, and knowledgeable contributing members of an increasingly global, multicultural society.

Cornerstone #3 — Flexible and Responsive System: A University System environment responsive to the prioritized needs of its clients and serves as a model of a flexible, empowering, competitive, entrepreneurial, and rewarding organization for a new economy in a rural state.

Cornerstone #4 — Accessible System: A University System which is proactively accessible to all areas of North Dakota and seeks students from outside the state. It provides students, business, industry, communities, and citizens with access to educational programs, workforce training opportunities, and technology access and transfer — and does so with the same performance characteristics as described in the "Flexible and Responsive" cornerstone.

Cornerstone #5 — Funding and Rewards: A system of funding, resource allocation, and rewards that assures quality and is linked to the expressed high priority needs and expectations of the University System — assures achievement of the expectations envisioned.

Cornerstone #6 — Sustaining the Vision: A structure and process which assures the University System for the 21st century, as described by these cornerstones, remains connected, understood, relevant, and accountable to the present and future research, education, and public service needs of the state and its citizens — sustaining the vision.

NORTH DAKOTA STATE UNIVERSITY

GENERAL FUND APPROPRIATION (Excluding HECN/Campus Computer Budget)

	1999-01	Executive Recommendation 2001-03	Increase	Senate Version 2001-03
Operations	$ 62,078,606	$ 63,524,910	$ 1,446,304	$ 63,524,910
Capital Assets:				
Extraordinary Repairs	$ 1,737,531	$ 1,737,531	$ -	$ 1,737,531
Total General Funds	**$ 63,816,137**	**$ 65,262,441**	**$ 1,446,304**	**$ 65,262,441**

(1999-01 Column excludes tuition and one-time adjustments.)

- Increase of $1,446,304 in Operations consists of:
  - Health Insurance $1,236,305
  - Flood Insurance $ 210,000

OTHER FUNDS APPROPRIATION

		Executive Recommendation 2001-03	Senate Version 2001-03	Difference
Other Funds - Hold Even Request		$ 242,621,481	$ 242,621,481	$ -
Less Tuition and Misc. Income		$ (51,092,491)	$ (51,092,491)	$ -
Less Local Funds		$ (148,231,526)	$ (148,231,526)	$ -
Less Continuing Education		$ (2,701,544)	$ (2,701,544)	$ -
Less Capital Projects Requested		$ (40,595,920)	$ (40,595,920)	$ -
Plus Capital Projects Funded	**	$ 21,750,820	$ 21,200,000	$ (550,820)
Plus Energy Performance Contracts		$ 296,348	$ 296,348	$ -
Total Other Funds		**$ 22,047,168**	**$ 21,496,348**	**$ (550,820)**

** Capital Projects (Funded with Other Funds)

Robinson Hall Renovation	$ 550,820	$ -	$ (550,820)	
Sudro Hall Renovation	$ 1,200,000	$ 1,200,000	$ -	
College of Business	$ 20,000,000	$ 20,000,000	$ -	
Total Major Capital Projects	$ 21,750,820	$ 21,200,000	$ (550,820)	

NOTE: Difference of $550,820 is Robinson Hall - Moved to SB2137

NORTH DAKOTA STATE UNIVERSITY

ADDITIONAL TUITION IN 2001-03

From the Continuation of 2000-01 Rate Increase	$1,083,944
From the 2001-03 Rate Increase ($150/year)	$4,176,295
Total	$5,260,239
Less Costs to Continue	($3,751,152) *
Less Estimated Loss in MN Reciprocity	($1,000,000)
Uncommitted Tuition	**$509,087**

The Costs to Continue are funded 100% by an Increase in Tuition in the Executive Recommendation

* **The Costs to Continue include:**

- Continuation of the 2000-01 salary increases
- Inflation increase of 2.5% per year for operating expenses
- Inflation increase of 3% per year for utilities
- Risk management fee (new expense for 2001-03 biennium)

NORTH DAKOTA STATE UNIVERSITY

CAPITAL PROJECTS FUNDED

SUDRO HALL RENOVATION $ 1,200,000

The renovation to Sudro Hall is a multifaceted remodeling project that involves all three floors of the existing building. The major features of this remodeling include the construction of a state-of-the-art Concept Pharmacy instructional laboratory, an expansion of the Sudro Hall animal care facility, relocating and updating the physical assessment laboratory, upgrading research laboratories, replacing exterior windows, and installing central air-conditioning throughout the building.

In addition to the above renovation project, a small greenhouse currently connected to Sudro Hall would be replaced with a 12,000 sq. ft. addition. The addition would accommodate two medium-sized classrooms to support the didactic instruction needs of the curriculum and an office complex to support 10-12 faculty offices.

This request increases the renovation authority previously authorized ($1,750,000) by $1,200,000 for a total of $2,950,000. This project will be paid from a combination of private donations, federal funds, and asbestos abatement funds.

College of Business Administration, NDSU

Proposed School of Business Building $20,000,000

To better prepare students for a new knowledge and technology based economy, while supporting the current one, the College of Business Administration proposes a 100,000 square foot building to serve 1,500 to 2,000 students (350 MIS, 300 Accounting & Forsenic Accounting, 730 Business Administration, and 120 MBA). We will graduate 160 to 200 students with business majors; 75 to 100 students with MIS majors, more than 1,000 NDSU students annually with at least one business class each year; 30 to 50 MBA students and certificates to a potential of 150 students annually.

In addition, the College of Business Administration provides countless hours of specialized, non-credit training and executive education through the Center for Executive Education and business outreach services to assist start-up and existing businesses and encourage entrepreneurship. Business course "student credit hours" generated at NDSU have increased from 18,000 SCH per year to 40,000 SCH per year, doubling the "business-oriented" skills of NDSU grads. Forty-five percent of these students stay in North Dakota to work; 40 percent will work in Minnesota and the remaining 15 percent will leave, but maintain strong roots to North Dakota. A state-of-the-art building also will assist the college greatly in the recruitment and retention of top-quality faculty.

The building will finally integrate business students in one location, like the rest of the colleges on campus, and will allow for new programs and research presently lacking due to space. In addition, it will serve as support for, and in partnership with, the Technology Park and house the new executive management education initiative.

The proposed building will contain approximately 25,000-sq. ft. on the first floor. Parking for 100 cars should adjoin the site. The building should allow for maximum flexibility of function, technology and space with accommodations for the following:

- Offices for 40 to 50 professional faculty
  - 5 administrators
  - 6 to 8 specialists/technicians
  - 2 to 4 visiting professors or business representatives and executives in-residence

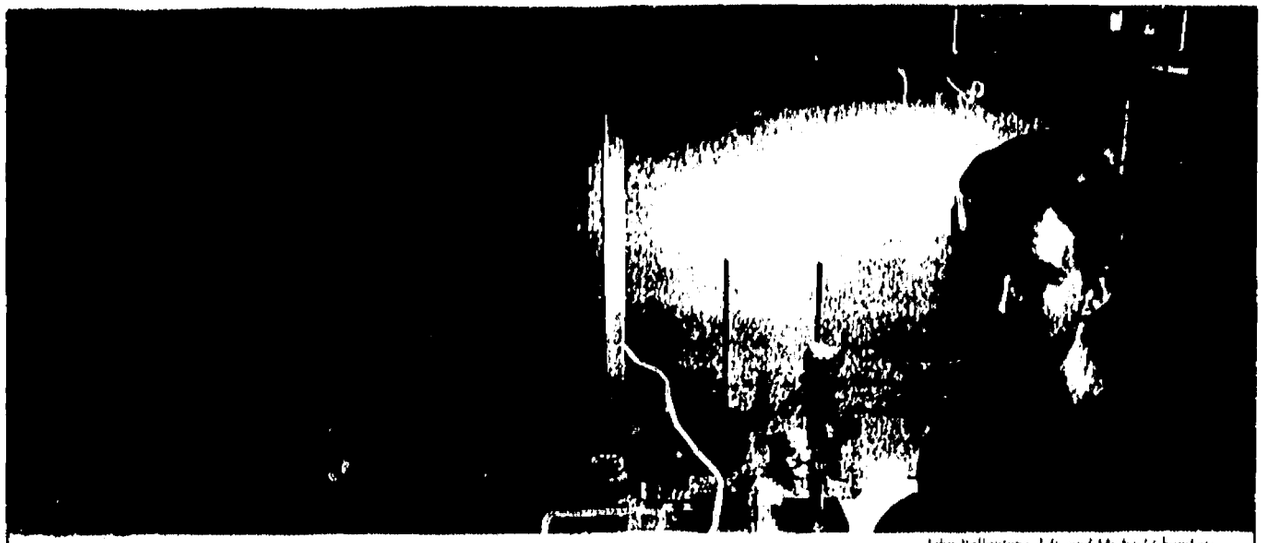
- Classrooms for 1,500-2,000 students:
  - 1 250-seat classroom
  - 6 50-seat classrooms
  - 3 to 20 20-seat classrooms
  - 2 computer teaching clusters (35)
  - 1 general purpose computer cluster (50)
  - 5 team project rooms

- Executive Education Center with two classrooms for groups up to 75 and one board room.

  Space for:

  6-10 support staff
  A student services area
  20-30 teaching assistants/research assistants (MBA students)
  On- and off-campus potential "partners"
  Small Business Institute
  Center for Consumer Research
  Quentin Burdick Center for Cooperatives
  Institute for Business and Industry Development
  Greater North Dakota Association
  Fargo-Cass County Economic Development Association
  Department of Agribusiness and Applied Economics

- State of the art business and academic technology center to support new economy initiative across the state
- Media corner for interviews and press conferences to help get the word out about business in the state
- Faculty reference room/library
- Lobby/reception area for hosting events



John Ballantyne, left, and Michael Chambers

NDSU grads launch biotechnology company in Fargo

Molecular biology researchers working to formulate important gene therapies and the future AIDS and cancer vaccines turn to a Fargo based biotechnology company for their base material.

Aldevron, created by two NDSU graduates, produces and purifies plasmid DNA -- loops of genetic material -- for universities and biotechnology companies. "People and other organisms have many, many billions of base pairs of DNA," explains Michael Chambers. Aldevron co-founder and chief executive officer. "When scientists want to look at a particular aspect of a virus or bacteria, they want just a little part of that DNA. That's what we provide."

Chambers earned bachelors degrees in biotechnology and microbiology in 1997, the same year his partner, John Ballantyne, earned his doctorate in pharmaceutical sciences. Neither Chambers, from Carrington, nor Ballantyne, from Wanaka, New Zealand, wanted to leave North Dakota, so in 1998 they started their own company.

Much of Ballantyne's background is in chromatography, a method for separating products based on physical or chemical characteristics. Chambers, from his work with DNA at NDSU, knew that scientists need huge amounts of the genetic material to conduct research. They realized a marketable product was right under their noses.

In rented lab space at NDSU, they improved an existing separation technology and developed a process to produce and purify plasmid DNA at a much cheaper rate than anyone, anywhere else. To build name recognition and a client base, they set up a Web site offering researchers free plasmid purification. Almost overnight they received more than 200 orders.

Researchers specify the quantity and quality of plasmid DNA they require and send Aldevron a sample. Aldevron grows the plasmid in bacteria, separates, purifies and packages it, then ships it across the country or around the globe. The company has produced plasmid for Johns Hopkins University, Baltimore; Baylor University, Waco, Texas; the University of Puerto Rico, San Juan; the Pasteur Institute, Paris; and the University of London, to name only a few.

After two years of operation, Aldevron is growing faster than the plasmid DNA in its labs. The company now employs 10 people, many of whom are NDSU students and alumni in full- and part-time positions, and has moved from NDSU to a 7,300 square-foot space in south Fargo.

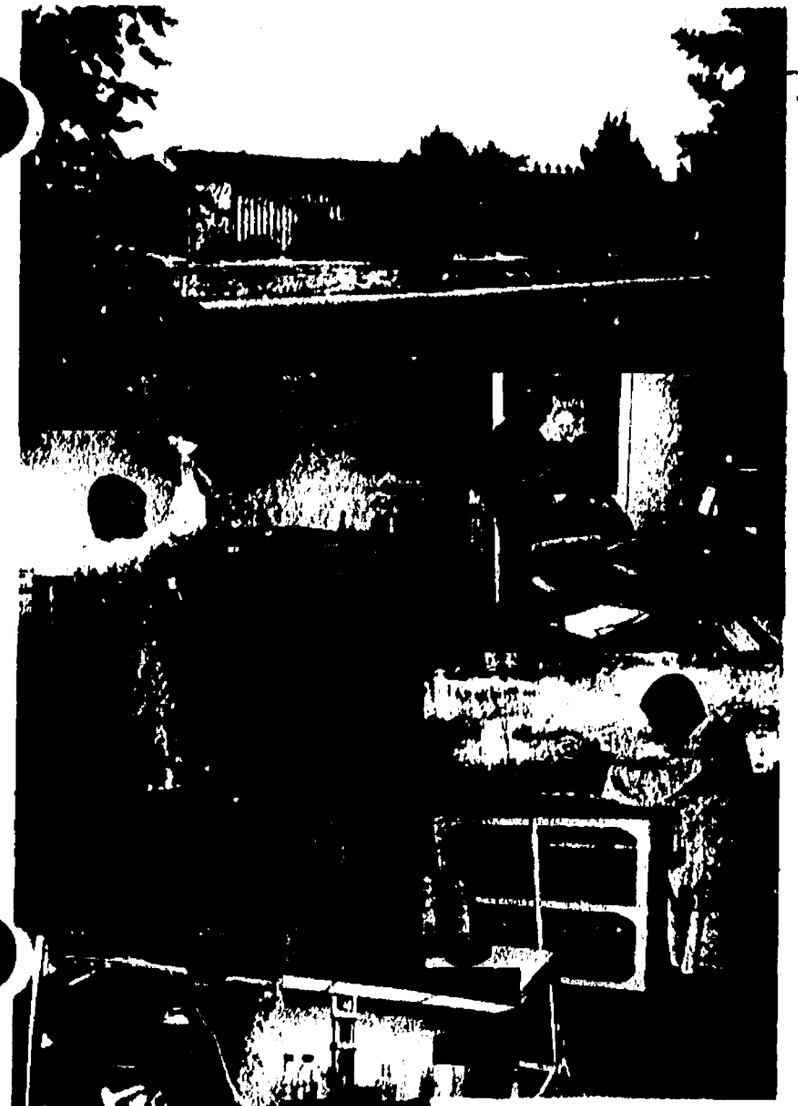
While plasmid DNA is Aldevron's main source of income, it has branched out to offer additional services. The company provides antibiotics, bacterial growth mediums, buffers and reagents for molecular biology research, endotoxin testing, in vitro and in vivo immunogenicity testing, protein expression services, and assistance with custom cloning projects. Its expertise has led to collaborations with several high-profile biotechnology companies.

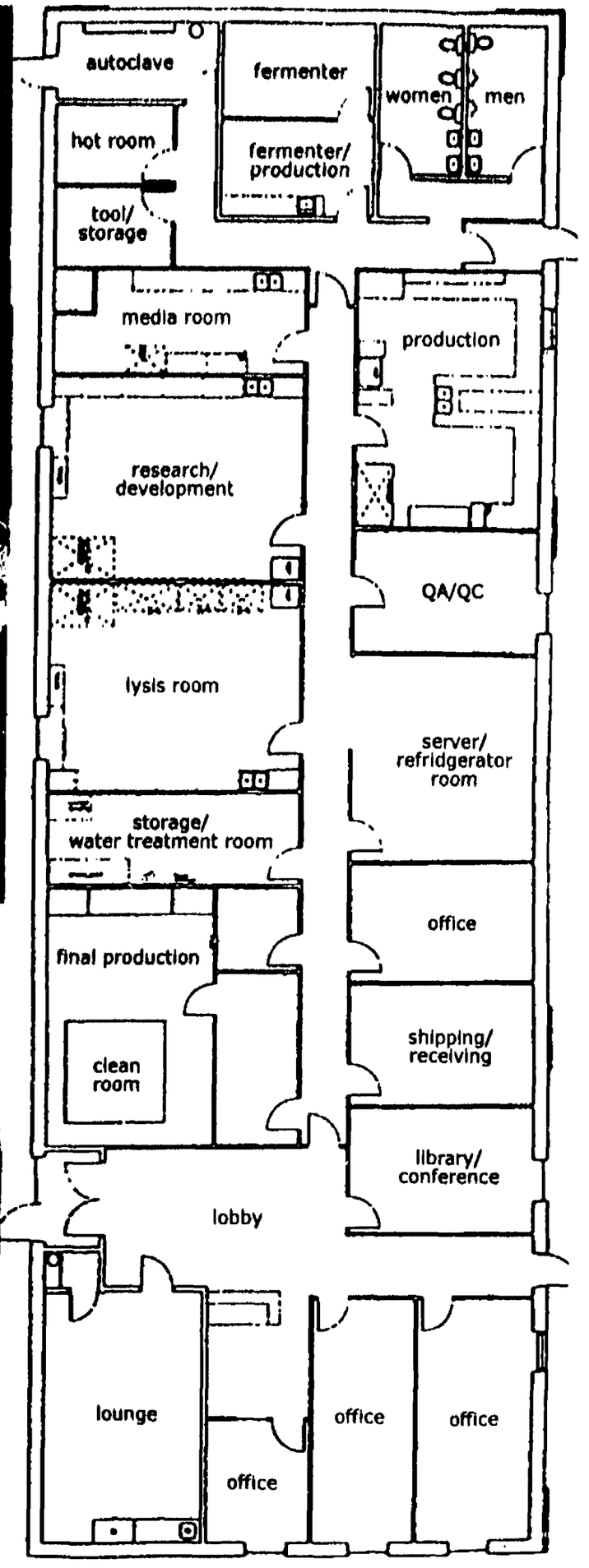
More importantly, the founders are finally finding time for research and development, and they can fund it without help from outside investors. "Right now everybody is doing DNA purification in basically the same way, with chromatography," said Chambers. "If we could come up with a new system and get a strong patent position on it -- and I think we can -- we will have a major share of what will soon be a $100 million market."

Aldevron also has created an Internet-based company, The DNA Vaccine Web at www.dnavac cine.com. The site, supported through advertising, provides comprehensive information on modern vaccines for both researchers and lay people and advertises Aldevron's products and services.

Ballantyne and Chambers hope to move Aldevron into NDSU's Research and Technology Park when it is completed, and from there build a state-of-the-art plant dedicated solely to producing human-grade DNA for vaccines. "Not only that but we think we can attract other companies to build production facilities in Fargo because of the strong pharmacy and microbiology programs at NDSU," said Chambers.

"What we're doing can be done anywhere, but here is as good a place as any and better than most," adds Ballantyne, noting that low overhead costs and easy access to shipping give Aldevron a competitive edge. "I don't think people realize just how good things are, and can be made even better, in Fargo in particular, but in all of North Dakota."

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storage
media room
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research/
development
QA/QC
lysis room
server/
refridgerator
room
storage/
water treatment room
office
final production
shipping/
receiving
clean
room
library/
conference
lobby
lounge
office
office
office

Prepared by the North Dakota Legislative Council
staff for Senate Appropriations
December 29, 2000

Department 241 - Minot State University
Senate Bill Nos. 2003, 2023

	FTE Positions	General Fund	Other Funds	Total
2001-03 Executive Budget	266.21	$25,230,343	$7,850,000*	$33,080,343
1999-2001 Legislative Appropriations	266.21[1]	25,615,173	30,505,787	56,120,960[2]
Increase (Decrease)	0.00	($384,830)	($22,655,787)	($23,040,617)

* Includes $5,076,000 for Old Main renovation contained in Senate Bill No. 2023.

[1] The 1999-2001 appropriation is based on 256.93 FTE positions. Section 6 of 1999 House Bill No. 1003 authorized the University System to adjust FTE positions as needed. The 266.21 FTE positions shown above represent the employee positions reported to the Office of Management and Budget (OMB).

[2] The 1999-2001 appropriation amounts include $21,245 from the general fund for the agency's share of the $1.4 million funding pool appropriated to OMB for assisting agencies in providing the $35 per month minimum salary increases in July 1999 and July 2000, $166,473 from the general fund for the agency's share of the $2,630,992 critical salary funding pool appropriated to the University System to address additional salary increases beyond legislative appropriations for market and equity raises, and $183,722 from the general fund for the agency's share of the $4,290,128 equity and special needs funding pool appropriated to the University System to address equity funding issues and special academic program needs. The 1999-2001 appropriation amounts do not include $1,558,953 of other adjustments and 1997-99 carryover authority.

Major Items Affecting Minot State University 2001-03 Budget

	General Fund	Other Funds	Total
1. Consolidates funding into two line items--operations and capital assets.			
2. Provides funding in the capital assets line item for:			
a. Old Main renovation consisting of $5,076,000 in bond proceeds (Senate Bill No. 2023) and $2,774,000 of local funds.		$7,850,000	$7,850,000
b. Extraordinary repairs of $412,850, which is a decrease of $637,616 from the funding provided in the 1999-2001 biennium of $1,050,466.	($637,616)		($637,616)
3. Removes appropriations for tuition, continuing education, and other income.		($13,860,871)	($13,860,871)
4. Removes appropriation for local funds.		($15,000,000)	($15,000,000)
5. The executive recommendation does not include funding for recommended compensation plan salary increases of three percent of salaries the first year of the biennium and two percent for the second year. Funding for institutions' salary increases is pooled and provided in the North Dakota University System office budget for the State Board of Higher Education to allocate to individual institutions.			

Major Legislation Affecting Minot State University

Senate Bill No. 2023, as discussed earlier, provides authority to issue bonds up to $5,076,000 for the renovation of Old Main at Minot State University. In addition, Section 7 of Senate Bill No. 1003 requires a minimum local match of $2,774,000 for renovation of Old Main.

Senate Bill No. 2037 provides a continuing appropriation of higher education institutions' special revenue funds including tuition and allows institutions to carry over at the end of the biennium unspent general fund appropriations.

Senate Bill No. 2038 requires the budget request for the University System to include budget estimates for block grants for a base-funding component and for an initiative-funding component and a budget estimate for an asset-funding component and requires the appropriation for the University System to include block grants to the State Board of Higher Education for a base-funding appropriation and for an initiative-funding appropriation and an appropriation for asset-funding.

Senate Bill No. 2039 allows the State Board of Higher Education to authorize campus improvements and building maintenance projects that are financed by donations, gifts, grants, and bequests if the cost of the improvement or maintenance is not more than $500,000.

Senate Bill No. 2040 allows higher education institutions to provide bonuses, cash incentive awards, and temporary salary adjustments to employees without reporting the activity as a fiscal irregularity.

Prepared by the North Dakota Legislative Council staff for Senate Appropriations
January 18, 2001

Department 241 - Minot State University
Senate Bill Nos. 2003, 2023

	FTE Positions	General Fund	Other Funds	Total
2001-03 Schafer Executive Budget	266.21	$25,230,343	$7,850,000*	$33,080,343
1999-2001 Legislative Appropriations	266.21[1]	25,615,173	30,505,787	56,120,960[2]
Increase (Decrease)	0.00	($384,830)	($22,655,787)	($23,040,617)
2001-03 Hoeven Executive Budget	266.21	$25,405,343	$7,675,000	$33,080,343
Hoeven Increase (Decrease) to Schafer	0.00	$175,000	($175,000)	$0

* Includes $5,076,000 for Old Main renovation contained in Senate Bill No. 2023.

[1] The 1999-2001 appropriation is based on 258.93 FTE positions. Section 6 of 1999 House Bill No. 1003 authorized the University System to adjust FTE positions as needed. The 266.21 FTE positions shown above represent the employee positions reported to the Office of Management and Budget (OMB).

[2] The 1999-2001 appropriation amounts include $21,245 from the general fund for the agency's share of the $1.4 million funding pool appropriated to OMB for assisting agencies in providing the $35 per month minimum salary increases in July 1999 and July 2000, $166,473 from the general fund for the agency's share of the $2,630,992 critical salary funding pool appropriated to the University System to address additional salary increases beyond legislative appropriations for market and equity raises, and $183,722 from the general fund for the agency's share of the $4,290,128 equity and special needs funding pool appropriated to the University System to address equity funding issues and special academic program needs. The 1999-2001 appropriation amounts do not include $1,558,953 of other adjustments and 1997-99 carryover authority.

Major Schafer Recommendations Affecting Minot State University 2001-03 Budget

	General Fund	Other Funds	Total
1. Consolidates funding into two line items--operations and capital assets.			
2. Provides funding in the capital assets line item for:			
a. Old Main renovation consisting of $5,076,000 in bond proceeds (Senate Bill No. 2023) and $2,774,000 of local funds.		$7,850,000	$7,850,000
b. Extraordinary repairs of $412,850, which is a decrease of $637,616 from the funding provided in the 1999-2001 biennium of $1,050,466.	($637,616)		($637,616)
3. Removes appropriations for tuition, continuing education, and other income.		($13,860,871)	($13,860,871)
4. Removes appropriation for local funds.		($15,000,000)	($15,000,000)
5. The executive recommendation does not include funding for recommended compensation plan salary increases of three percent of salaries the first year of the biennium and two percent for the second year. Funding for institutions' salary increases is pooled and provided in the North Dakota University System office budget for the State Board of Higher Education to allocate to individual institutions.			

Major Hoeven Recommendations Affecting Minot State University 2001-03 Budget Compared to the Bill as Introduced (Schafer Budget)

	General Fund	Other Funds	Total
1. Decreases special funds and adds funding from the general fund in the amount of $175,000 for the Old Main renovation project.	$175,000	($175,000)	$0
2. Increases the amount of bond proceeds for the Old Main renovation project to $7,675,000 to allow the university to bond for the matching local funds of $2,599,000.			

Major Legislation Affecting Minot State University

Senate Bill No. 2023, as discussed earlier, provides authority to issue bonds up to $5,076,000 for the renovation of Old Main at Minot State University. In addition, Section 7 of Senate Bill No. 2003 requires a minimum local match of $2,774,000 for renovation of Old Main.

Senate Bill No. 2037 provides a continuing appropriation of higher education institutions' special revenue funds including tuition a... allows institutions to carry over at the end of the biennium unspent general fund appropriations.

Senate Bill No. 2038 requires the budget request for the University System to include budget estimates for block grants for a base-funding component and for an initiative-funding component and a budget estimate for an asset-funding component and requires the appropriation for the University System to include block grants to the State Board of Higher Education for a base-funding appropriation and for an initiative-funding appropriation and an appropriation for asset-funding.

Senate Bill No. 2039 allows the State Board of Higher Education to authorize campus improvements and building maintenance projects that are financed by donations, gifts, grants, and bequests if the cost of the improvement or maintenance is not more than $500,000.

Senate Bill No. 2040 allows higher education institutions to provide bonuses, cash incentive awards, and temporary salary adjustments to employees without reporting the activity as a fiscal irregularity.

Prepared by the North Dakota Legislative Council staff for House Appropriations
February 26, 2001

Department 241 - Minot State University
Senate Bill Nos. 2003, 2023

	FTE Positions	General Fund	Other Funds	Total
2001-03 Schafer Executive Budget	266.21	$25,230,343	$7,850,000 *	$33,080,343
1999-2001 Legislative Appropriations	266.21 [1]	25,615,173	30,505,787	56,120,960 [2]
Increase (Decrease)	0.00	($384,830)	($22,655,787)	($23,040,617)
2001-03 Hoeven Executive Budget	266.21	$25,405,343	$7,675,000	$33,080,343
Hoeven Increase (Decrease) to Schafer	0.00	$175,000	($175,000)	$0

* Includes $5,076,000 for Old Main renovation contained in Senate Bill No. 2023.

[1] The 1999-2001 appropriation is based on 258.93 FTE positions. Section 6 of 1999 House Bill No. 1003 authorized the University System to adjust FTE positions as needed. The 266.21 FTE positions shown above represent the employee positions reported to the Office of Management and Budget (OMB).

[2] The 1999-2001 appropriation amounts include $21,245 from the general fund for the agency's share of the $1.4 million funding pool appropriated to OMB for assisting agencies in providing the $35 per month minimum salary increases in July 1999 and July 2000, $166,473 from the general fund for the agency's share of the $2,630,992 critical salary funding pool appropriated to the University System to address additional salary increases beyond legislative appropriations for market and equity raises, and $163,722 from the general fund for the agency's share of the $4,290,128 equity and special needs funding pool appropriated to the University System to address equity funding issues and special academic program needs. The 1999-2001 appropriation amounts do not include $1,558,953 of other adjustments and 1997-99 carryover authority.

Major Schafer Recommendations Affecting Minot State University 2001-03 Budget

	General Fund	Other Funds	Total
1. Consolidates funding into two line items--operations and capital assets.			
2. Provides funding in the capital assets line item for:			
a. Old Main renovation consisting of $5,076,000 in bond proceeds (Senate Bill No. 2023) and $2,774,000 of local funds. (The Senate moved the $2,774,000 in local funding for the Old Main renovation project from Senate Bill No. 2003 to Senate Bill No. 2023, reduced the level of required local funds by $175,000 to $2,599,000, and increased the amount of bond proceeds to allow the university to bond for the local funds portion.)		$7,850,000	$7,850,000
b. Extraordinary repairs of $412,850, which is a decrease of $637,616 from the funding provided in the 1999-2001 biennium of $1,050,466.	($637,616)		($637,616)
3. Removes appropriations for tuition, continuing education, and other income.		($13,860,671)	($13,860,671)
4. Removes appropriation for local funds.		($15,000,000)	($15,000,000)
5. The executive recommendation does not include funding for recommended compensation plan salary increases of three percent of salaries the first year of the biennium and two percent for the second year. Funding for institutions' salary increases is pooled and provided in the North Dakota University System office budget for the State Board of Higher Education to allocate to individual institutions.			

Major Hoeven Recommendations Affecting Minot State University 2001-03 Budget Compared to the Bill as Introduced (Schafer Budget)

	General Fund	Other Funds	Total
1. Decreases special funds and adds funding from the general fund in the amount of $175,000 for the Old Main renovation project. (The Senate decreased the special funds for the Old Main renovation project by $175,000, but instead of providing $175,000 from the general fund the Senate increased the amount of bonding to be repaid with funds from the general fund from $5,076,000 to $5,251,000.)	$175,000	($175,000)	$0
2. Increases the amount of bond proceeds for the Old Main renovation project to $7,675,000 to allow the university to bond for the matching local funds of $2,599,000. (The Senate increased the amount of bond proceeds for the Old Main renovation project to allow the university to bond for the matching local funds.)			

Major Legislation Affecting Minot State University

Section 11 of Engrossed Senate Bill No. 2003 provides legislative intent relating to the content of the State Board of Higher Education's performance and accountability report required by Senate Bill No. 2041.

Section 13 of Engrossed Senate Bill No. 2003 provides a continuing appropriation of higher education institutions' special revenue funds including tuition (originally a provision in Senate Bill No. 2037).

Section 14 of Engrossed Senate Bill No. 2003 requires budget requests for the University System to include budget estimates for block grants for a base-funding component and for an initiative-funding component and a budget estimate for an asset-funding component (originally a provision in Senate Bill No. 2038).

Section 15 of Engrossed Senate Bill No. 2003 requires the appropriation for the University System to include block grants to the State Board of Higher Education for a base-funding appropriation and for an initiative-funding appropriation and an appropriation for asset-funding (originally a provision in Senate Bill No. 2038).

Section 16 of Engrossed Senate Bill No. 2003 allows institutions to carry over at the end of the biennium unspent general fund appropriations (originally a provision in Senate Bill No. 2037).

Senate Bill No. 2039 allows the State Board of Higher Education to authorize campus improvements and building maintenance projects that are financed by donations, gifts, grants, and bequests if the cost of the improvement or maintenance is not more than $250,000. (This bill has been passed by the Senate.)

Senate Bill No. 2040 allows higher education institutions to provide bonuses, cash incentive awards, and temporary salary adjustments to employees without reporting the activity as a fiscal irregularity. (This bill has been passed by the Senate.)

Summary of Legislative Changes Resulting From First House Action

See Statement of Purpose of Amendment (attached).

Vice President for Academic Affairs

To: Larry Isaak, Chancellor
From: Nancy Hall, Vice President for Academic Affairs
Date: December 6, 2000
RE: Roundtable Plans for Minot State University

I am responding to the request for campus planning in relation to the six cornerstones in the Roundtable Report. Minot State University combined this request with our own strategic planning in relation to our mission, core values, beliefs and vision. While the cornerstone document has a greater emphasis on economic development, the MSU and Roundtable planning documents are similar in many fundamental ways.

We held a planning session with approximately 50 representatives from faculty senate, staff senate, student senate, the various offices on campus, and the dean's council. We organized into work groups around the cornerstone themes to prepare draft objectives, activities, timelines, and corresponding resources for each cornerstone. The draft document was presented at an open forum and feedback was sought from all employee groups. Work group facilitators considered the feedback and appropriate changes were made to include the views of others.

The timeframe for our objectives is a 12 to 18 month period. We plan to meet annually to report progress, set new objectives, and revise and refocus continuing objectives. It is important to note that the objectives related to cornerstone #1 and the research function under cornerstone #2 are dependent upon new funding.

Please feel free to contact me if you have questions concerning our plans. We will begin implementation in January 2001.

RECEIVED
DEC 12 2000
North Dakota University System

Minot State University

500 University Avenue West • Minot, North Dakota 58707 • (701) 858-3310 • 1-800-777-0750 • FAX (701) 839-6933

Goal: Cornerstone #1 – Economic Development Connection: Direct connections and contributions of the University System to the economic growth and social vitality of North Dakota.

Objective 1: Establish an economic development center at Minot State University

Activity/Action Steps	Persons Involved	Beginning Date	Completion Date
1. Work with the departments in the business college to offer degree programs on-line.	Dean, College of Business, Director, Continuing Education	July 2001	June 2003
2. Provide an access point for rural communities to economic development resources, including education, training & research.	Coordinator , Economics Dept. Director, Continuing Education, Faculty, College of Business, College Deans	Upon Funding	
3. Provide education and training opportunities for community businesses on entrepreneurship and business startup strategies.	Director, Continuing Education, Dean, College of Business	Upon Funding	

Resources: 1. New Funding and resource support to carry out the economic development activities.
2. Program funding including faculty support, staff support and inkind match.

Success Indicators: Number of off-campus students taking online business courses; number of businesses who access the center for information, research, or educational offerings; number of seminars, workshops, or courses offered by faculty; and the number of participants who enroll in seminars, workshops, or courses.

Objective 2: Provide a business incubation service at Minot State University to support start-up businesses emerging from the commercialization of faculty research or the application of new or existing technologies.

1. Provide an access point for the training and services necessary to initialize a business venture.	College of Business	July 2001 Upon Funding	June 2003
2. Provide education and training opportunities for MSU faculty, staff, students and community participants on entrepreneurship and business start up strategies.	College of Business Department of Economics		
3. Provide a physical and business management support structure that increases the probability of start-up success for research or technology based business.	College of Business		
4. Provide assistance to MSU and community entrepreneurs in accessing economic development funds, startup capital, and information about developing business and marketing plans.	College of Business Department of Economics		
5. Develop links with all the local, state, national, and international entities that could compliment the incubation of a business venture.	College of Business (i.e. ED & F, MADC, SBRPC, BIC). Department of Economics		

Resources: 1. Funding and resource support to carry out the economic development activities 2. Physical facility 3. Faculty/Staff support 4. Administrative staff to support incubation service.

Success Indicators: Number of MSU faculty, staff, students, and community members who access the services of this business development activity; number of start-up ventures that commercialize their start-up and begin business operations; the economic impact of the new ventures (new wealth and jobs created); the potential for shared ownership of incubated ventures on the financial health of MSU; number of companies that leave the incubation facility as a successful start-up business.

Goal: Cornerstone #2 – Education Excellence: *High quality education and skill development opportunities which prepare students to be personally and professionally successful, readily able to advance and change careers, be life-long learners, good citizens, leaders, and knowledgeable contributing members of an increasingly global, and multicultural society.*

FACULTY AND TEACHING

Objective 1: Restructure the reward system to emphasize excellence in teaching.

Activity/Action Steps	Persons Involved	Beginning Date	Completion Date
1. Establish the criteria for excellence in teaching.	VPAA, Faculty Senate, Chairs, Deans, Promotion Committee Tenure Committee, Human Resource Director, Students	January 2001	Spring 2001

Resources: Professional literature, information on other models

Success Indicators: Established excellence in teaching annual evaluation, promotion, tenure, & merit pay criteria.

Objective 2: Faculty will participate in faculty development efforts that focus on instructional skills and curriculum design

1. Faculty needs will be identified by survey, focus groups, etc 2. Assessment Day activities will be offered in areas driven by faculty need. 3. Faculty will share what they've learned with their colleagues and chairs.	VPAA, Faculty, Chairs, Deans, Assessment Committee	June 2001	Ongoing

Resources: Sufficient amounts of faculty development funds need to be allocated.

Success Indicators: Increasing numbers of faculty will participate in faculty development events focused on instructional skills and curriculum design.

SERVICE

Objective 1: So that the University expands its reach into the life of the work force each academic unit will be encouraged to develop into their curriculum a student practicum experience supervised by faculty.

1. Academic chairs review curricula with the faculty and department chairs and determine areas in commonality where students learn and the community benefits from their service.	Department Chairs Faculty, Chamber of Commerce Visioning Task Force	January 2001	January 2002

Resources: Additional travel funds to support supervision; funding to support faculty suprvision load

Success Indicators: An increasing number of academic disciplines will include an internship, practicum or independent study experience required in their curriculum.

RESEARCH FUNCTION

Objective 1: In order to align NDUS research with the economic, social and educational development of the state, we propose the creation of an office of research that would assist faculty in the pursuit of external funding for basic and applied research in all areas of academics. The activities of the office will include, but not be limited to, facilitating partnerships between NDUS researchers and existing business industries within the state.

1. Establish an MSU Office of Research	VPAA	Fall 2001 Upon Funding	On-going

Resources: Physical space, director, staff person, funding. Resources should be specifically designated to support this office and not be reallocated from existing programs.

Success Indicators: Track submissions & awards funded; report level and extent of expertise sought, services delivered and applications of funded research.

STUDENTS IN LEARNING

Objective 1: Be assertive in attracting, recruiting, registering, and retaining quality students.

1. Expand the learning communities pilot project with our students (a cohort type experience) so they feel support from fellow students and faculty as they go through their years at Minot State University.	All faculty who advise Learning Communities Committee	December 2000	December 2001

Resources: Bush Grant; University of Mary personnel responsible for their Freshmen Experience; additional funding to support orientation experience for freshmen and continue similar experience as sophomores; Student Activities Office; and Financial Aid Office

Success Indicators: Improved student retention
Increased levels of student satisfaction with campus life as indicated on the annual satisfaction survey

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Goal: Cornerstone #3 – Flexible and Responsive System: A University System environment which is responsive to the prioritized needs of its clients is flexible, empowering, competitive, entrepreneurial, and rewarding.

Objective 1: Strengthen and expand the continuing education function as a liaison between the community and the University.

Activity/Action Steps	Persons Involved	Beginning Date	Completion Date
1. Identify current continuing education liaison functions.	Continuing Education Committee	December 2000	January 2001
2. Review and broaden continuing education liaison functions.	Continuing Education Campus representatives Student Representatives	January 2001	February 2001
3. Review campus liaison functions with community stakeholders.	Continuing Education Committee Minot Education Task Force members Community representatives	February 2001	March 2001
4. Establish a new structure for continuing education.	Continuing Education Committee Vice President for Academic Affairs Deans' Council	March 2001	May 2001

Resources: We have the talent pool available to attain this objective, but we will need to allocate funds for staffing. These funds must not be taken from existing programs and personnel.

Success Indicators: Existence of a newly defined entity to serve as liaison between the community and the University.

Goal: Cornerstone #4 – Accessible System: A University system which is proactively accessible to all areas of North Dakota and seeks students and customers from outside the state. It provides students, business, industry, communities, and citizens with access to educational programs, workforce training opportunities, and technology access and transfer and library services – and does so with the same performance characteristics as described in the "Flexible and Responsive" cornerstone.

Objective 1: MSU will broker seamless education and training programs for our constituents.

Activity/Action Steps	Persons Involved	Beginning Date	Completion Date
1. Examine compatibility and user capabilities of our delivery systems, library services and resources.	IVN group, Director of Distance Education, Technology Committee, MSU HECN Coordinators	December 1, 2000	June 30, 2003
2. Develop and extend partnerships with schools, businesses, community personnel and library services.	Joint MSU/Minot Public Schools, CE, Technology Committee, College of Business, Library Director	December 1, 2000	On-going
3. Develop a single method of educational access at MSU.	Director of Records, Records Staff, Financial Aid, CE, Graduate School, Business Office	December 1, 2000	November 1, 2002

Resources: 1 – Software & equipment, line charges/fees, IT Council funds
2 – Campus expertise, facilities, personnel, equipment/lab
3 – New administrative/academic records system, state funds
4 – Library services and resources

Success Indicators: - Satisfied customers
- Accountability measures

1. Levels and trends in rates of participation of:
   - Recent high school graduates.
   - Non-traditional students (typically part-time and older-than-average.)
   - Individuals pursuing graduate degrees.
2. Levels and trends in the proportion of residents of the state who are within a 45-minute drive of a location at which they can receive educational programs from a provider, including providers from outside the immediate region (and would also have access to academic and student support services at the site).
3. Levels and trends in the number of enrollments in distance learning courses by in-state and out-of-state residents.
4. Levels and trends in the number and proportion of enrollments in courses offered in non-traditional ways (i.e. place, time, format) and in relation to accountability measures #2 above.
5. Trends in the number and percent of businesses and employees in the region/state receiving training.

Goal: Cornerstone #5 –Funding and Rewards: A system of funding, resource allocation, and rewards that assures quality and is linked to the expressed high priority needs and expectations of the University System. A system of funding that promotes Minot State University's values, beliefs, and vision for the future.

Objective 1: Revise salary administration practices so they are consistent with the new funding structure and promote MSU's vision and the success characteristics identified by the Roundtable.

Activity/Action Steps	Persons Involved	Beginning Date	Completion Date
1. Develop clear performance expectations for faculty, staff, and administration	Evaluation Committee, Human Resource Director, Faculty Senate, Chairs, Deans, Promotion Committee, Tenure Committee	January 2001	January 2002
2. Develop a new campus funding process structured around base funding and incentive funding	Vice Presidents Deans Senate Presidents	December 2000	December 2001
3. Develop a process for allocating earned money from entrepreneurial activity	Vice Presidents Grants and Contracts NDCPD	July 2001	December 2001

Resources: To be determined after the 2001 Legislative Session.

Success Indicators: Accountability measure #1 Levels and trends in the proportions of annual revenues derived from state appropriations, tuition, auxiliary operations, research, entrepreneurial activity. Related accountability measure #1: Salary funding levels benchmarked against funding levels at other institutions of similar size and mission.

Goal: Cornerstone #6 – Sustaining the Vision: A structure and process which assures the University System for the 21st century, as described by these cornerstones, remains connected, understood, relevant, and accountable to the present and future research, education, and public service needs of the state and its citizens – sustaining the vision.

Objective 1: Develop an on-going communication system to report goals and progress related to the Roundtable Cornerstones and MSU's Mission, Vision and Core Values.

	Activity/Action Steps	Persons Involved	Beginning Date	Completion Date
1.	Summarize progress annually at convocation.	Entire Campus	August 2001	On-going
2.	Conduct an annual campus-wide planning work session to review progress on goals and establish new goals.	Campus, Board of Regents and Alumni Board Representatives	November 2001	On-going
3.	Attend Board of Regents meeting to communicate RT/MSU initiatives.	Board of Regents	September 1, 2001	On-going
4.	Attend Alumni Board meeting to communicate RT/MSU initiatives.	Alumni Board	October 2001	On-going
5.	Attend Student Association meeting to communicate RT/MSU initiatives.	Student Association	September 2001	On-going
6.	Continue planning by Cornerstone #6 group.	Cornerstone #6 Group	January 2001	December 2001

Resources: Incentives to attend, evaluation survey (mascot, email, hardcopy), attractive setting for meetings.

Success Indicators: 80% faculty and staff attend; 3% of students attend; and the Board of Regents become involved in the planning process. Adequate feedback is available to continually improve the planning process and develop relevant and attainable objectives.

MINOT STATE UNIVERSITY

Senate Appropriation Hearings

Fifty-Seventh Legislative Assembly

January 3, 2001

Budget Number 241

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A. Campus Overview

Enrollments

On-campus headcount enrollment has stabilized at approximately 3,000 students. There has been a significant increase in the number of new freshman students since 1998 which suggests success of a marketing effort partially subsidized by Minot's Magic Fund. Residence hall occupancy increased in the second year of the current biennium, in part attributable to new programming efforts focused on the retention of freshman students during their early semesters at MSU. Through its Division of Continuing Education, MSU continues to enroll over 360 additional students per semester in undergraduate and graduate credit courses. These courses are offered at a distance at Minot Air Force Base, online, through correspondence and in West Fargo. Minot State course enrollments worldwide through the Online delivery system is the greatest growth area. During the current biennium, semester course enrollments have grown from 133 in the fall of 1998 to 497 in the fall of 2000. Currently, 73 courses are being offered over the Internet.

Programs

MSU offers programs at the associate, baccalaureate, master's and education specialist levels. At the present time there is only one associate program and one education specialist program.

In the last biennium MSU deleted two programs and added two programs. The programs deleted were a major in Economic Education and a major in Sociology Education. The new programs are a minor in Environmental Geology and a major in Multimedia Studies. In the spring of 2001 MSU will be offering for the first time an accelerated degree program at the graduate level in Management.

MSU continues to offer programs at a distance where the need exists. Undergraduate degrees in Business Management and Criminal Justice are offered in Bismarck; degrees in Psychology and General Studies are offered at

Minot Air Force Base; and pending approval, a Nursing degree will be offered in Williston beginning in the summer of 2001. A graduate degree in Elementary Education is offered in Fargo.

Students

MSU students represent 36 states and 14 countries such as Poland, Yugoslavia, Finland and France. Recruitment efforts have resulted in enrollment from 11 new counties in North Dakota in the last two years; however, students are predominately from the northwest region of the state. Approximately 60% of the students are female and 40% are male. Black, Native American, Asian, and Hispanic ethnic groups are represented. The Native American population has grown steadily over the last five years and is the largest minority group at 150 students.

MSU students are highly dependent upon financial aid with 83% of the student body receiving financial aid in 1999-2000. The majority of students report that they are working 20 to 35 hours a week during the academic term. Seventy seven percent of the students attend MSU on a full time basis. Only 17% of the students reside in campus-affiliated student housing, while many students continue to live with their parents and commute to campus.

Graduates

MSU conferred 540 undergraduate and 90 graduate degrees from 1999-2000. Large numbers of undergraduate degrees were awarded to students in Elementary Education, Criminal Justice, Management, Finance, and Nursing. Significant numbers of graduate degrees were awarded to students in Communication Disorders.

Students were highly successful in entering the job market after graduation. Eighty eight percent entered the job market and 12% reported additional college. Of the graduates entering the job market, 81% reported that they were employed in their major or in occupations related to their major. Although graduates reported accepting employment in 33

states and 6 foreign countries, 60% of the students reported accepting employment in North Dakota.

Facilities/Infrastructure

It was especially gratifying to see that Governor Schafer included Minot State's Old Main renovation in his Executive Budget capital project recommendations to the Legislature for funding during the 2001-03 biennium.

Old Main was constructed in three phases – the east wing in 1913, west wing in 1921, and the north wing, including McFarland Auditorium, in 1925. This original campus building has been serving students, faculty, staff and the citizens of North Dakota and surrounding states and provinces for nearly a century. To this day there isn't a single square foot of space in the building that is not utilized.

But, there is a clearly demonstrated need for this building to undergo a major renovation and modernization of its mechanical and electrical systems. For example; during the winter the heat is either off or on. There are no regulating mechanisms, thus it is not unusual to see windows open in the midst of winter in order to prevent suffocation. While electrical potential has been added from time to time, systems cannot accommodate further modification. The electrical demands of computers and new instructional technology can no longer be satisfied. Cooling and ventilating apparatus are either not functioning or non-existent.

McFarland Auditorium, a 1,000-seat performing arts facility at the center of Old Main is the only such unit in all of western North Dakota. There is scarcely a person who has grown up in this part of the state who has not performed or attended performances in McFarland Auditorium. The main hall and its supporting areas – stage, backstage and lobby – need extensive restoration, renovation and modernization to prepare this historic facility for another century of service. It is first and foremost an instructional classroom for MSU performing arts students and faculty, but is also the home of performing arts organizations like the Minot Symphony,

Western Plains Opera, Minot Community Concerts Association, Institute of Dance & Ballet Theatre, Minot Brass Band, etc. While all of these organizations have improved immeasurably over the years, the Auditorium has deteriorated and cannot keep pace. The completion of this project will represent a major incremental step forward for the performing arts and quality of cultural life in western North Dakota.

The Old Main project is estimated at a cost of nearly $8,000,000. Roughly $2,000,000 of the $8,000,000 is designated exclusively for McFarland Auditorium and will be raised by the University from private sources. Should non-state resources raised through the ongoing capital campaign exceed the amount required by the Legislature for local match, it will be applied to an operational endowment for the facility.

Workforce Training

Minot State University has partnered with the designated institution in the northwest quadrant, Williston State College, in the workforce training effort in the region. A memorandum of understanding has been negotiated and each participating institution has agreed to its assigned role in this important statewide program. During the current biennium, MSU, through its Division of Continuing Education, offered non-credit seminars and professional development activities to corporations such as ING, Job Corps, MRT, Job Service, SRT, Manor Care, Edgewood Vista, Municipal Clerks, and the State Bar Association. The physical presence of WSC personnel in Minot has created a positive environment within which businesses and industries – small and large – can acquire and maintain a trained workforce consistent with their needs.

Partnerships with Business and Industry

MSU is pursuing even closer alignments with business and industry. For example, a customized MS in Management degree will be offered, beginning this month, for future Job Corps Center directors nationwide. This program, initiated by MSU with the assistance and ultimately with appropriated dollars secured by the North Dakota congressional delegation,

has the potential for the development of a model for training/education of future leaders for other agencies of the Federal government in addition to Job Corps. While other relationships are in various stages of development, there can be no denying that the presence of a viable institution of higher learning in a community like Minot is a very attractive feature for area economic development units to tout as they seek to bring businesses and industries to the region. MSU's relationship with the Minot Area Development Corporation (MADC) is exemplary of this phenomenon. In summary, thirty-one diverse businesses partnered with MSU in the last fiscal year including Avis, B&B Drug, Bessette Motors, BNS Railroad, Cenex, eliance, First National Bank, First Western Bank, Jost Construction, Lowe's Garden, National Car Rental, Ryan Chevrolet, Trinity Health, Ward County Extension and Websmart.

Other

Consistent with the intent of the Interim Legislative Roundtable, Minot State University has become increasingly aggressive in its self-help initiatives – sharing the financial burden of providing top quality higher education with the State of North Dakota. For example; the North Dakota Center for Persons with Disabilities (NDCPD), the University Affiliated Program for North Dakota sponsored by the Federal government has brought, through grants totaling $2,384,873 for the biennium, research and practicum opportunities for faculty and students at MSU. Further, with the assistance of the North Dakota Congressional delegation in Washington, Senators Dorgan and Conrad and Representative Pomeroy – nearly $700,000 has flowed into agency appropriation to sponsor three pilot programs in Criminal Justice, Business and Communication Disorders to the University. Once again students and faculty are the beneficiaries of these efforts. Finally, during the biennium the Office of Advancement and

Student Affairs has been responsible for the addition of $2,134,154 to the scholarship endowment thus providing access for a greater number of students to the academic programs at Minot State University.

B. Major Accomplishments Related to the Roundtable

Cornerstone 1 – Economic Development

- Continued development of the partnership in the Minot/Ward County Visioning Initiative
- Initiated the McFarland Auditorium capital campaign

Cornerstone 2 – Education Excellence

- Continued development in the use of technology to enhance teaching and learning
- Implemented a new Bush Faculty Development Grant

Cornerstone 3 – Flexible and Responsive

- Improved access to programs and services though alternative delivery systems

Cornerstone 4 – Accessible

- Secured federal funds to provide training in Criminal Justice to rural law enforcement agencies
- Secured federal funds to provide training in Business Administration to national Job Corp Directors

Cornerstone 5 – Funding and Rewards

- Increased progress toward salary goals

Cornerstone 6 – Sustaining the Vision

- Developed a strategic design that included mission, core values, beliefs, and a vision statement.
- Developed a two year implementation plan related to the Cornerstone Goals.

MINOT STATE UNIVERSITY

Agency 241

Statutory Authority

North Dakota Constitution Article VIII Section 6 and North Dakota Century Code Chapters 15-10 and 15-13.

Agency Description

Minot State University is a comprehensive public university whose purpose is to foster the intellectual, personal, and social development of its students, and to promote the public good through excellence in teaching, research, scholarly activity, and public service. The University offers a wide array of undergraduate programs in liberal arts and the professions. Graduate education includes masters programs in audiology, criminal justice, education of the deaf, elementary education, learning disabilities, management, English, mathematics, music, science, special education, and speech language pathology. A specialist program is offered in school psychology.

Major Accomplishments

- Developed a strategic design which included mission, core values, beliefs, and vision statement.
- Continued development in the use of technology to enhance teaching and learning.
- Implemented a new Bush Faculty Development Grant.
- Improved access to programs and services through alternative delivery systems.
- Continued development of the partnership in the Minot/Ward County Visioning Initiative.
- Secured federal funds to provide training in Criminal Justice to rural law enforcement agencies.
- Secured federal funds to provide training in Business Administration to national Job Corps Directors.
- Increased progress toward salary goals.
- Initiated the McFarland Auditorium capital campaign.

Executive Budget Recommendation

- Removes all income from the appropriation process.
- Provides $412,850 for extraordinary repairs.
- Approves Old Main Renovation.
- ➢ *Provides $417,785 for health insurance premium increase.*
- ➢ *Reduces the number of line items in NDUS budgets.*
- ➢ *Provides North Dakota University System funding for:*
  - ◆ *investments in the statewide network, Internet II, distance learning;*
  - ◆ *additional matching funds for research;*
  - ◆ *additional funds for an increase in student financial aid and access programs.*
- ➢ *Provides North Dakota University System pooled funds for:*
  - ◆ *campus parity and equity;*
  - ◆ *new program and initiative start-up costs;*
  - ◆ *salary equity for faculty and staff retention and recruitment;*
  - ◆ *system wide 2001-03 salary increases.*

REQUEST / RECOMMENDATION COMPARISON SUMMARY

241 MINOT STATE UNIVERSITY **Bill#: SB2003** **Date: 11/28/2000**

Biennium: 2001-2003 **Time: 15:01:47**

Description	Expenditures Prev Biennium 1997-1999	Present Budget 1999-2001	2001-2003 Requested		Requested Budget 2001-2003	2001-2003 Recommended		Executive Recommendation 2001-2003
			Incr(Decr)	% Chg		Incr(Decr)	% Chg	
BY MAJOR PROGRAM								
SUPPORT SERVICES	4,316,996	4,672,718	0	.0%	4,672,718	(4,672,718)	-100.0%	0
FACILITIES UPKEEP AND MAINTENANCE	6,644,227	8,039,425	6,191,047	77.0%	14,230,472	(8,039,425)	-100.0%	0
RESEARCH-SERVICE	544,171	559,100	0	.0%	559,100	(559,100)	-100.0%	0
INSTRUCTION	23,776,270	25,404,734	1,794,019	7.1%	27,198,753	(25,404,734)	-100.0%	0
LOCAL FUNDS	4,535,730	19,003,936	(4,003,936)	-21.1%	15,000,000	(19,003,936)	-100.0%	0
MINOT STATE UNIVERSITY	0	0	0	.0%	0	33,080,343	100.0%	33,080,343
TOTAL MAJOR PROGRAMS	**39,817,394**	**57,679,913**	**3,981,130**	**6.9%**	**61,661,843**	**(24,599,570)**	**-42.6%**	**33,080,343**
BY LINE ITEM								
SALARIES AND WAGES	27,513,513	29,505,104	(425,981)	-1.4%	29,079,123	(29,505,104)	-100.0%	0
OPERATING EXPENSES	5,837,734	6,043,525	0	.0%	6,043,525	(6,043,525)	-100.0%	0
EQUIPMENT	559,985	917,929	(80,000)	-8.7%	837,929	(917,929)	-100.0%	0
CAPITAL IMPROVEMENTS	835,453	1,050,466	7,350,000	699.7%	8,400,466	(1,050,466)	-100.0%	0
LOCAL FUNDS	4,535,730	19,003,936	(4,003,936)	-21.1%	15,000,000	(19,003,936)	-100.0%	0
CONTINUING EDUCATION	0	0	2,300,000	100.0%	2,300,000	0	.0%	0
BIENNIUM CARRYOVER	534,979	1,158,953	(1,158,953)	-100.0%	0	(1,158,953)	-100.0%	0
OPERATIONS	0	0	0	.0%	0	24,817,493	100.0%	24,817,493
CAPITAL ASSETS	0	0	0	.0%	0	8,262,850	100.0%	8,262,850
TOTAL LINE ITEMS	**39,817,394**	**57,679,913**	**3,981,130**	**6.9%**	**61,661,843**	**(24,599,570)**	**-42.6%**	**33,080,343**
BY FUNDING SOURCE								
GENERAL FUND	23,765,716	26,526,901	(1,576,729)	-5.9%	24,950,172	(1,296,558)	-4.9%	25,230,343
FEDERAL FUNDS	0	0	0	.0%	0	0	.0%	0
SPECIAL FUNDS	16,051,678	31,153,012	5,557,859	17.8%	36,710,871	(23,303,012)	-74.8%	7,850,000
TOTAL FUNDING SOURCE	**39,817,394**	**57,679,913**	**3,981,130**	**6.9%**	**61,661,843**	**(24,599,570)**	**-42.6%**	**33,080,343**
TOTAL FTE	**274.92**	**266.21**	**.00**	**.0%**	**266.21**	**.00**	**.0%**	**266.21**

Minot State University
1999-01 Adjusted General Fund Appropriation
2001-03 Requested General Fund Appropriation and
2001-03 Recommended General Fund Appropriation

1)	Engrossed HB1003 1999-01 General Fund Appropriation	$25,243,733
2)	July 1999 - OMB Allocation to Provide Additional Funds for the $35 Minimum Salary Increase	21,245
3)	July 1999 - NDUS Critical Salary Pool Allocation	166,473
4)	September 1999 - NDUS Equity/Special Needs Pool Allocation	183,722
5)	Adjusted 1999-01 General Fund Appropriation	$25,615,173
6)	Less One Time Capital Improvements	(500,000)
7)	Less One Time Operating Expenses	(165,000)
8)	Net 1999-01 General Fund/2001-03 Hold Even Request	$24,950,173
	Add Optional Budget Requests:	
9)	Risk Management Premium	49,520
10)	Capital Improvements Increase (to fund .6248% of plant asset value)	357,494
11)	Technology Applications (Roundtable Cornerstones 2, 3, and 4)	354,122
12)	Institutional Innovations (Roundtable Cornerstones 2 and 4)	470,071
13)	Total General Fund Needs Based Request	$26,181,380
	Executive Recommendation:	
14)	Less Optional Adjustments (Lines 9, 10, 11, and 12 above)	(1,231,207)
15)	Less Capital Improvements Reduction from 1999-01 Base	(137,616)
16)	Add Health Insurance Premium Increase	417,786
17)	Total Recommended General Fund Appropriation SB 2003	$25,230,343

Minot State University
2001-03 Budget Summary

1999-01 General Fund Appropriation (See Line 8, Page 9)		$24,950,173
Less Capital Improvements Reduction		
1999-01	$550,466	
2001-03	(412,850)	
	$137,616	(137,616)
Add Health Insurance Premium Increase		417,786
Recommended General Fund 2001-03 Appropriation		$25,230,343

Additional Amount Required to Fund Costs to Continue:	
2000-01 Salary Increases	$533,310
Estimated Operating Inflation and Utilities Increases	148,657
Risk Management Premium	49,520
Total	$731,487

SUMMARY REPORT
PHASE I PREPLANNING ANALYSIS OF
OLD MAIN / McFARLAND AUDITORIUM RENOVATION

September 8, 2000

TORNO NESTER DAVISON ARCHITECTS
2705 4th Avenue NW v 701 852 4178
Minot, North Dakota 58703 f 701 852 4179
tndarch@minot.com

QUINN | EVANS ARCHITECTS
219 ½ N. Main Street v 734 663 5888
Ann Arbor, Michigan 48104 f 734 663 5044
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OLD MAIN / McFARLAND AUDITORIUM RENOVATION
Minot State University - Minot, North Dakota

KEY PERSONNEL

The following key personnel were directly involved with the accumulation and preparation of the information contained in this report.

Design Team

Donald L. Davison, AIA	Torno Nester Davison Architects	Project Manager
Jim Goblirsch, AIA	Quinn Evans Architects	Project Manager
Alan Traeholt	Traeholt Associates	Consulting Structural Engineer
Lon Drevecky	Prairie Engineering, P.C.	Consulting Mech. & Elect. Engineers
Michael DiBlasi	Schuler & Shook, Inc.	Theatrical Lighting Design Consultant
Gerald Marshall	Marshall/KMK Associates	Acoustical Consultant

Old Main / McFarland Auditorium Design Committee

Dr. Erik Shaar	MSU President	Committee Chairman
Larry Eide	VP of Administration and Finance	
Jared Edwards	Director of Plant Services	
Dale Elhardt	Dean of College of Arts and Sciences	
Robert Larson	Chairperson of Division of Music	
Nancy Hall	Dean of Institutional Planning	
Jim Croonquist	VP of Academic Affairs	
Jack Rasmussen	Division of Education and Human Services	
Bob Sando	Dean of College of Business	

GOALS AND OBJECTIVES

The following goals and objectives were either presented to the design team by the Old Main/McFarland Auditorium Design Committee or evolved from the programming phase:

- A complete mechanical and electrical upgrade in all areas. No mechanical HVAC system or space currently exists within the existing building. Eliminate the noisy air handling equipment currently sitting outside the building.
- Provide as many additional classroom spaces as possible.
- Provide at least one large tiered classroom space to accommodate from 75-100 students.
- Would like one of the classrooms to be a model classroom with the latest advancements in technology.
- Provide decent faculty office space.
- Provide a restored yet modern main hall and enlarged lobby for McFarland Auditorium while maintaining existing features and improve backstage area with the following items:
  - New structure, dressing and toilet facilities
  - Provide a large elevator and loading area to stage level
  - New green room
- Eliminate safety concerns of existing stage lighting by providing new equipment.

RENOVATION VS. DEMOLITION

An estimate comparing the issue of Renovation vs. Demolition and New Construction concluded that the Demolition and Replacement cost of a building of this stature would be in the range of **$16,000,000** according to the 2000 Means Construction Cost Data book that compares construction costs of all building types on a nation-wide basis.

UNIVERSITY AND COMMUNITY'S OPINION

Minot State University and the Minot Community both feel that the demolition of Old Main/McFarland Auditorium would destroy the image of Minot State University. This building is an icon for Minot State University and would be a major step backward in the identity that Minot State University portrays.

OLD MAIN / McFARLAND AUDITORIUM RENOVATION

Minot State University - Minot, North Dakota

SUMMARY OF THE PROJECT COSTS

MECHANICAL AND ELECTRICAL SYSTEMS

Mechanical Systems	$1,310,800.00	
Electrical systems	731,857.61	$2,042,657.61
Mechanical and Electrical Space		
First Floor Mechanical & Electrical (Addition)	$ 203,150.00	
4th Floor Mechanical (Renovation)	69,750.00	
4th Floor Mechanical (Addition)	48,000.00	320,900.00
General Conditions @ 10%	$ 32,090.09	
Contractor OH&P @ 15%	52,948.50	85,038.50
Hazardous Material Abatement	$ 100,000.00	
Bond @ 1.5%	38,228.94	138,228.94
Total Mechanical and Electrical		$2,586,825.05
Escalation Factor to 2001 (3.5%)	$ 90,538.88	
Escalation Factor to 2002 (3.5%)	93,707.74	184,246.62
Total M & E related construction costs including escalation factors		$2,771,071.67

ACADEMIC SPACE RENOVATION AND ADDITION

Academic Space Renovation	$1,252,079.50	
Academic Space Addition	422,500.00	
Exterior Building Repair	78,875.00	$1,753,454.50
General Conditions @ 10%	$ 175,345.45	
Contractor OH&P @ 15%	289,319.99	464,665.44
Hazardous Material Abatement	$ 50,000.00	
Bond @ 1.5%	34,021.80	84,021.80
Total Academic Space Addition and Renovation		$2,302,141.74
Escalation Factor to 2001 (3.5%)	$ 80,574.96	
Escalation Factor to 2002 (3.5%)	83,395.08	163,970.04
Total Academic Space costs including escalation factors		$2,466,111.78

AUDITORIUM SPACE RENOVATION AND ADDITION

Auditorium Space Renovation	$ 653,430.00	
Auditorium Space Addition	234,600.00	$ 888,030.00
General Conditions @ 10%	$ 88,803.00	
Contractor OH&P @ 15%	146,524.95	235,327.95
Theatrical Equipment/Seating/FFE	$ 600,000.00	
Bond @ 1.5%	16,850.37	616,850.37
Total Auditorium Space Addition and Renovation		$1,740,208.32
Escalation Factor to 2001 (3.5%)	$ 60,907.29	
Escalation Factor to 2002 (3.5%)	63,039.05	123,946.34
Total Auditorium Space costs including escalation factors		$1,864,154.66

TOTAL ESTIMATED CONSTRUCTION COSTS		$7,101,338.11
(The following includes escalation factors to 2002)		
Design Contingency @ 5%	$ 318,157.79	
Architectural and Engineering Design Fees	429,567.17	747,724.96
TOTAL PROJECT COSTS		$7,849,063.07

Minot State University
2001-03 Budget
OLD MAIN RENOVATION PROJECT

June 2000 Request to State Board of Higher Education:

State $5,100,000
Local 2,000,000
Total $7,100,000

September 2000 Request to OMB After Preconstruction Planning:

State $5,640,000
Local 2,210,000
Total $7,850,000

December 2000 Executive Recommendation:

State $5,076,000 *
Local 2,774,000
Total $7,850,000

*Reduced State share by 10%:	$5,640,000
	564,000
	$5,076,000
Added to Local Share:	$2,210,000
	564,000
	$2,774,000

SB 2003

Business Office

January 5, 2001

Senator John Andrist
North Dakota Senate
600 East Boulevard Avenue
Bismarck, ND 58505

Re: Minot State University – Budget 241
Old Main/McFarland Auditorium Renovation Project

Senator Andrist:

I am again responding to the question you asked me concerning the square footage of McFarland Auditorium. If you recall I qualified my answer not having the exact information at hand. I asked the architect to provide me with the square footage information so I could forward it on to you. It is as follows:

Auditorium	14,240 sq ft	
Stage	2,960 sq ft	
Backstage	3,100 sq ft	
Lobby	4,590 sq ft	
McFarland Auditorium Total	24,900 gross sq ft	23.71%
Old Main – excluding McFarland Auditorium Total	80,100 gross sq ft	76.29%
Total Old Main building	105,000 gross sq ft	100.00%

I apologize for not having this information available during our presentation. If we can furnish additional information please contact us.

Sincerely,

Larry D. Eide
Senior Vice President

MINOT STATE UNIVERSITY

House Appropriation Hearings

Fifty-Seventh Legislative Assembly

March 8, 2001

Budget Number 241

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A. Campus Overview

Enrollments

On-campus headcount enrollment has stabilized at approximately 3,000 students. There has been a significant increase in the number of new freshman students since 1998 which suggests success of a marketing effort partially subsidized by Minot's Magic Fund. Residence hall occupancy increased in the second year of the current biennium, in part attributable to new programming efforts focused on the retention of freshman students during their early semesters at MSU. Through its Division of Continuing Education, MSU continues to enroll over 360 additional students per semester in undergraduate and graduate credit courses. These courses are offered at a distance at Minot Air Force Base, online, through correspondence and in West Fargo. Minot State course enrollments worldwide through the Online delivery system is the greatest growth area. During the current biennium, semester course enrollments have grown from 133 in the fall of 1998 to 497 in the fall of 2000. Currently, 73 courses are being offered over the Internet.

Programs

MSU offers programs at the associate, baccalaureate, master's and education specialist levels. At the present time there is only one associate program and one education specialist program.

In the last biennium MSU deleted two programs and added two programs. The programs deleted were a major in Economic Education and a major in Sociology Education. The new programs are a minor in Environmental Geology and a major in Multimedia Studies. In the current semester MSU is offering for the first time an accelerated degree program at the graduate level in Management.

MSU continues to offer programs at a distance where the need exists. Undergraduate degrees in Business Management and Criminal Justice are offered in Bismarck; degrees in Psychology and General Studies are offered at

Minot Air Force Base; and a Nursing degree will be offered in Williston beginning in the summer of 2001. A graduate degree in Elementary Education is offered in Fargo.

Students

MSU students represent 36 states and 14 countries such as Poland, Yugoslavia, Finland and France. Recruitment efforts have resulted in enrollment from 11 new counties in North Dakota in the last two years; however, students are predominately from the northwest region of the state. Approximately 60% of the students are female and 40% are male. Black, Native American, Asian, and Hispanic ethnic groups are represented. The Native American population has grown steadily over the last five years and is the largest minority group at 150 students.

MSU students are highly dependent upon financial aid with 83% of the student body receiving financial aid in 1999-2000. The majority of students report that they are working 20 to 35 hours a week during the academic term. Seventy seven percent of the students attend MSU on a full time basis. Only 17% of the students reside in campus-affiliated student housing, while many students continue to live with their parents and commute to campus.

Graduates

MSU conferred 540 undergraduate and 90 graduate degrees from 1999-2000. Large numbers of undergraduate degrees were awarded to students in Elementary Education, Criminal Justice, Management, Finance, and Nursing. Significant numbers of graduate degrees were awarded to students in Communication Disorders.

Students were highly successful in entering the job market after graduation. Eighty eight percent entered the job market and 12% reported additional college. Of the graduates entering the job market, 81% reported that they were employed in their major or in occupations related to their major. Although graduates reported accepting employment in 33

states and 6 foreign countries, 60% of the students reported accepting employment in North Dakota.

Facilities/Infrastructure

It was especially gratifying to see that both the Executive Budgets and the Senate have included funding for the renovation of Old Main, the campus's first building which was constructed between 1913 and 1925. This project will be discussed in conjunction with SB2023.

A number of other capital projects are listed later in this presentation.

Workforce Training

Minot State University has partnered with the designated institution in the northwest quadrant, Williston State College, in the workforce training effort in the region. A memorandum of understanding has been negotiated and each participating institution has agreed to its assigned role in this important statewide program. During the current biennium, MSU, through its Division of Continuing Education, offered non-credit seminars and professional development activities to corporations such as ING, Job Corps, MLT, Job Service, SRT, Manor Care, Edgewood Vista, Municipal Clerks, and the State Bar Association. The physical presence of WSC personnel in Minot has created a positive environment within which businesses and industries – small and large – can acquire and maintain a trained workforce consistent with their needs.

Partnerships with Business and Industry

MSU is pursuing even closer alignments with business and industry. For example, a customized MS in Management degree will be offered, beginning this month, for future Job Corps Center directors nationwide. This program, initiated by MSU with the assistance and ultimately with appropriated dollars secured by the North Dakota congressional delegation, has the potential for the development of a model for training/education of future leaders for other agencies of the Federal government in addition to Job Corps. While other relationships are in various stages of development, there can be

no denying that the presence of a viable institution of higher learning in a community like Minot is a very attractive feature for area economic development units to tout as they seek to bring businesses and industries to the region. MSU's relationship with the Minot Area Development Corporation (MADC) is exemplary of this phenomenon. In summary, thirty-one diverse businesses partnered with MSU in the last fiscal year including Avis, B&B Drug, Bessette Motors, BNS Railroad, Cenex, eliance, First National Bank, First Western Bank, Jost Construction, Lowe's Garden, National Car Rental, Ryan Chevrolet, Trinity Health, Ward County Extension and Websmart.

Other

Consistent with the intent of the Interim Legislative Roundtable, Minot State University has become increasingly aggressive in its self-help initiatives – sharing the financial burden of providing top quality higher education with the State of North Dakota. For example; the North Dakota Center for Persons with Disabilities (NDCPD), the University Affiliated Program for North Dakota sponsored by the Federal government has brought, through grants totaling $2,384,873 for the biennium, research and practicum opportunities for faculty and students at MSU. Further, with the assistance of the North Dakota Congressional delegation in Washington, Senators Dorgan and Conrad and Representative Pomeroy – nearly $700,000 has flowed into agency appropriation to sponsor three pilot programs in Criminal Justice, Business and Communication Disorders to the University. Once again students and faculty are the beneficiaries of these efforts. Finally, during the biennium the Office of Advancement and Student Affairs has been responsible for the addition of $2,134,154 to the scholarship endowment thus providing access for a greater number of students to the academic programs at Minot State University.

B. Major Accomplishments Related to the Roundtable Recommendations

Cornerstone 1 – Economic Development

- Continued development of the partnership in the Minot/Ward County Visioning Initiative
- Initiated the McFarland Auditorium capital campaign

Cornerstone 2 – Education Excellence

- Continued development in the use of technology to enhance teaching and learning
- Implemented a new Bush Faculty Development Grant

Cornerstone 3 – Flexible and Responsive

- Improved access to programs and services though alternative delivery systems

Cornerstone 4 – Accessible

- Secured federal funds to provide training in Criminal Justice to rural law enforcement agencies
- Secured federal funds to provide training in Business Administration to national Job Corp Directors

Cornerstone 5 – Funding and Rewards

- Increased progress toward salary goals

Cornerstone 6 – Sustaining the Vision

- Developed a strategic design that included mission, core values, beliefs, and a vision statement.
- Developed a two year implementation plan related to the Cornerstone Goals.

Minot State University
1999-01 Adjusted General Fund Appropriation
2001-03 General Fund Appropriation Engrossed SB2003

1)	**Enrolled HB1003 1999-01 General Fund Appropriation**		$25,243,733
2)	July 1999 - OMB Allocation to Provide Additional Funds for the $35 Minimum Salary Increase		21,245
3)	July 1999 - NDUS Critical Salary Pool Allocation		166,473
4)	September 1999 - NDUS Equity/Special Needs Pool Allocation		183,722
5)	July 1999 - 1997-99 General Fund Carry-over		911,728
6)	Adjusted 1999-01 General Fund Appropriation		$26,526,901
7)	Less One Time Capital Improvements		(500,000)
8)	Less One Time Operating Expenses		(165,000)
9)	Less 1997-99 Carry-over		(911,728)
10)	Net 1999-01 General Fund Appropriation		$24,950,173
11)	**Senate Approved 2001-03 General Fund Appropriation:**		
12)	Net 1999-01 General Fund Appropriation (Line 10, Above)		$24,950,173
13)	Less Capital Improvements Reduction		
	1999-01 Capital Improvement Appropriation	$550,466	
	2001-03 Capital Improvement Appropriation	412,850	
	Net Decrease	($137,616)	(137,616)
14)	Add Health Insurance Premium Increase		417,786
15)	General Fund 2001-03 Appropriation First Engrossment SB2003		$25,230,343
16)	**SB2003 Subdivision 10:**		
17)	Operations		$24,817,493
18)	Capital Assets		412,850
19)	General Fund		$25,230,343

Minot State University
Engrossed SB2003

- Removes all income, including tuition, from the appropriation process but income is still subject to the annual audit and will be disclosed as part of the biennial budget process.
- Provides additional flexibility to the campuses by reducing the number of line items from four to two.
- Provides partial block-grant funding to the SBHE.
- Provides funds for investments in technology.
- Provides matching funds for research EPSCoR-like programs.
- Provides for student financial aid and access programs.
- Provides for campus parity and equity.
- Provides for new program and initiative start-up costs.
- Provides for discretionary salary equity adjustments.
- Sets forth the legislative intent of the higher education accountability measures.
- Provides for permanent carryover authority.
- SB2022 provides $11.5 million for the replacement of the HECN administrative software system.

Minot State University
2001-03 Budget Concerns

1. Costs to continue:
   a. 2000-01 Salary Increases
   b. Estimated Operating Expenses Inflation
   c. Risk Management Premium

2. Salary increases for faculty and staff.

3. Expansion of the degree audit pilot project from NDSU to Minot State University.

4. Expansion of the student retention pilot project implemented in 2000.

5. Implementation of Minot State University's technology plan.

6. Implementation of Minot State University's cornerstone economic development goal.

Minot State University
Extraordinary Repairs
Summary Report

1999-01 Request:	
Extraordinary Repairs	$398,098
Total Request	$398,098

1999-01 House Bill 1003:	
Extraordinary Repairs	$550,466
One Time Funding	500,000
General Fund Appropriation	$1,050,466

1999-01 Projects:	Budget
Eleventh Avenue Pedestrian Mall	$240,317
ADA (Elevator in Dome)	75,000
Roofs	90,093
Power House Exterior Walls	65,499
IT Networking	104,627
Tunnel Repairs	128,547
Parking Lot Improvements	90,461
Boiler Repairs	133,279
Projects Less Than $50,000	121,643
Total 1999-01 Projects	$1,050,466

2001-03 Request:	
Extraordinary Repairs	$550,466
Total Request	$550,466

2001-03 Senate Bill 2003:	
Extraordinary Repairs	$412,850
General Fund Appropriation	$412,850

2001-03 Projects:
ADA (Hartnett Hall)
Roofs
Remodel Hartnett Hall Classrooms
Various Smaller Projects

Minot State University
Campus Master Plan 2000-2006
Deferred Maintenance/Improvements General Fund

	Health Safety	ADA	Major Reno Network	Major Repair	Major Remodel	Total
Main	$ 500,000	N/A	N/A	$3,475,000	$3,875,000	$7,850,000
Model Hall	20,000	N/A	N/A	N/A	N/A	20,000
Swain Hall	150,000	N/A	N/A	75,000	N/A	225,000
Cyril Moore	N/A	N/A	N/A	N/A	N/A	N/A
Administration	170,000	$ 90,000	N/A	40,000	100,000	400,000
Hartnett Hall	127,000	221,000	N/A	40,000	200,000	588,000
Power House	20,000	50,000	N/A	830,000	N/A	900,000
Warehouse/Vehicle	N/A	N/A	N/A	N/A	N/A	N/A
Amphitheatre	N/A	N/A	N/A	N/A	N/A	N/A
Observatory	N/A	N/A	N/A	N/A	N/A	N/A
Athletic Storage	N/A	N/A	N/A	N/A	N/A	N/A
Press Box	N/A	30,000	N/A	N/A	N/A	30,000
Memorial Hall	N/A	N/A	N/A	N/A	N/A	N/A
Dome	75,000	218,000	N/A	N/A	100,000	393,000
Campus Improvements	N/A	N/A	N/A	645,000	50,000	695,000
GBO Library	N/A	8,000	N/A	N/A	N/A	8,000
Total	$1,062,000	$617,000	N/A	$5,105,000	$4,325,000	$11,109,000

Minot State University
Campus Master Plan 2000-2006
*Detailed Deferred Maintenance/Plant Improvements General Fund

Main Building:

1.	Remodel/Renovation Old Main and McFarland Auditorium	$4,330,265
2.	Upgrade/Replace Electrical and HVAC Systems	2,771,000
3.	Contingency and Architectural and Engineering Fees	748,735
		$7,850,000

Hartnett Hall:

4.	East Wing Remodel for Humanities	$ 200,000
5.	East Wing Elevator/ADA Accessibility	160,000
6.	Remodel Restrooms (1st West) – ADA	21,000
7.	Door Hardware/Signage – ADA	40,000
27.	Building Climate Control Upgrade	89,000
28.	Replace Motor Control Center (Electrical)	40,000
29.	Fire Suppression (Sprinkle) Aleshire Theater	38,000
		$ 588,000

Dome:

8.	Elevator/ADA Accessibility	$ 150,000
17.	Lobby/Entrance Additions, North Elevation	100,000
18.	Upgrade Fire Alarm System	75,000
25.	Remodel Restroom/Shower Facilities – ADA	38,000
26.	Door Hardware/Signage – ADA	30,000
		$ 393,000

Powerhouse:

9.	Coal Boiler/Ash Removal System Repair	$ 500,000
15.	Accessibility/Lift Addition	50,000
16.	Six (6) Underground Fuel Storage Repair	150,000
19.	Upgrade Fire Alarm System	20,000
32.	Upgrade Building Automation System	60,000
33.	Install Cable Tray System – Campus Wide	120,000
		$ 900,000

Administration:

10.	First Floor Renovation for Administration	$ 100,000
14.	Remodel Restrooms (6) – ADA	70,000
21.	Replace HVAC System 1, 3 Floors	150,000
22.	Reroof	40,000
23.	Upgrade Fire Alarm System	20,000
24.	Door Signage/Hardware – ADA	20,000
		$ 400,000

Swain Hall:

11.	Reroof	$ 75,000
31.	Asbestos Abatement	150,000
		$ 225,000

Campus Improvements:

12.	Outdoor Recreation Complex	$ 50,000
13.	Campus Signage	25,000
34.	North (1025 Space)	500,000
35.	Exterior Lighting	60,000
36.	Landscaping, Green Areas	60,000
		$ 695,000

Model Hall:

20.	Upgrade Fire Alarm System	$ 20,000

Press Box:

37.	Remodel Restrooms – ADA	$ 10,000
38.	Broadcast Booth Lift – ADA	20,000
		$ 30,000

GBO Library:

30.	Door Hardware – ADA	$ 8,000

Total: $11,109,000*

*General Fund Only

Minot State University
Campus Master Plan 2000-2006
Deferred Maintenance/Plant Improvements Non-General Fund/Auxiliary

	Health Safety	ADA	Major Reno Network	Major Repair	Major Remodel	Total
Student Union	$ 80,000	$ 61,000	N/A	N/A	$275,000	$ 616,000
Pioneer Hall	25,000	174,000	N/A	$ 50,000	N/A	249,000
Campus Heights	N/A	N/A	N/A	N/A	N/A	N/A
Crane Hall	20,000	30,000	N/A	N/A	130,000	180,000
McCulloch Hall	20,000	30,000	N/A	N/A	N/A	50,000
Lura Hall	110,000	N/A	N/A	N/A	30,000	140,000
Dakota Hall	20,000	85,000	N/A	105,000	N/A	210,000
Cook Hall	25,000	150,000	N/A	40,000	N/A	215,000
Slaaten Center	N/A	N/A	N/A	N/A	N/A	N/A
Mid-Continent	N/A	N/A	N/A	N/A	N/A	N/A
Campus Improvements	N/A	N/A	N/A	20,000	N/A	20,000
Total	$500,000	$530,000	N/A	$215,000	$435,000	$1,680,000

Minot State University
Utilities System Report

1. Heating System:

- One Coal Fired Boiler – 500 horsepower (25,400,000 BTU per hour)
  This boiler is used when the mean temperature is below 30° Fahrenheit.
  During the calendar year 2000 this boiler ran 1,920 hours.

- Two Oil or Gas Fired Boilers – 600 horsepower each (25,100,000 BTU per hour each)
  These boilers are used when outside temperature is above 30° Fahrenheit or to supplement the coal boiler during severe cold weather when the coal boiler alone cannot produce enough steam.

These boilers ran a combined total of 4,048 hours during 2000.

- One Oil or Gas Fired Boiler – 100 horsepower (3,400,000 BTU per hour)
  Boiler used for domestic hot water during the time buildings are not heated. This boiler ran 2,215 hours during 2000.

2. The current contract price for coal is:

Center (ND) Coal Company		$13.74 per ton
Truck delivery from coal company		9.60 per ton
	Total	$23.37 per ton

The current contract price for natural gas is:

MDU transportation		$ .56 per DK
Williston Basin Interruptable (WBI)		.54 per DK
Prairie Lands		2.13 per DK
	Total	$ 3.23 per DK

Oil is purchased at market price. The last delivery was at $.80 per gallon. We have underground storage capacity of 120,000 gallons.

Prepared by the North Dakota Legislative Council staff for Senate Appropriations
December 29, 2000

Department 242 - Valley City State University
Senate Bill No. 2003

	FTE Positions	General Fund	Other Funds	Total
2001-03 Executive Budget	109.03	$11,882,904	$0	$11,882,904
1999-2001 Legislative Appropriations	113.34[1]	11,740,491	12,713,786	24,454,277[2]
Increase (Decrease)	(4.31)	$142,413	($12,713,786)	($12,571,373)

[1] The 1999-2001 appropriation is based on 111.35 FTE positions. Section 6 of 1999 House Bill No. 1003 authorized the University System to adjust FTE positions as needed. The 113.34 FTE positions shown above represent the employee positions reported to the Office of Management and Budget (OMB).

[2] The 1999-2001 appropriation amounts include $9,105 from the general fund for the agency's share of the $1.4 million funding pool appropriated to OMB for assisting agencies in providing the $35 per month minimum salary increases in July 1999 and July 2000, $65,670 from the general fund for the agency's share of the $2,630,992 critical salary funding pool appropriated to the University System to address additional salary increases beyond legislative appropriations for market and equity raises, and $204,193 from the general fund for the agency's share of the $4,290,128 equity and special needs funding pool appropriated to the University System to address equity funding issues and special academic program needs. The 1999-2001 appropriation amounts do not include $34,892 of other adjustments and 1997-99 carryover authority.

Major Items Affecting Valley City State University 2001-03 Budget

	General Fund	Other Funds	Total
1. Consolidates funding into two line items--operations and capital assets			
2. Provides funding in the capital assets line item for:			
a. Boiler and related steampiping replacement.	$850,000		$850,000
b. Extraordinary repairs of $265,334 (the same level of funding provided for extraordinary repairs in the 1999-2001 biennium).	$0		$0
3. Removes appropriations for tuition, continuing education, and other income.		($4,070,066)	($4,070,066)
4. Removes appropriation for local funds.		($8,680,000)	($8,680,000)
5. Transfers funding for the Center for Innovation and Instruction to the Information Technology Department's budget and removes related 4.31 FTE other professional positions.	($349,583)		($349,583)
6. The executive recommendation does not include funding for recommended compensation plan salary increases of three percent of salaries the first year of the biennium and two percent for the second year. Funding for institutions' salary increases is pooled and provided in the North Dakota University System office budget for the State Board of Higher Education to allocate to individual institutions.			

Major Legislation Affecting Valley City State University

Senate Bill No. 2037 provides a continuing appropriation of higher education institutions' special revenue funds including tuition and allows institutions to carry over at the end of the biennium unspent general fund appropriations.

Senate Bill No. 2038 requires the budget request for the University System to include budget estimates for block grants for a base-funding component and for an initiative-funding component and a budget estimate for an asset-funding component and requires the appropriation for the University System to include block grants to the State Board of Higher Education for a base-funding appropriation and for an initiative-funding appropriation and an appropriation for asset-funding.

Senate Bill No. 2039 allows the State Board of Higher Education to authorize campus improvements and building maintenance projects that are financed by donations, gifts, grants, and bequests if the cost of the improvement or maintenance is not more than $500,000.

Senate Bill No. 2040 allows higher education institutions to provide bonuses, cash incentive awards, and temporary salary adjustments to employees without reporting the activity as a fiscal irregularity.

Prepared by the North Dakota Legislative Council staff for Senate Appropriations
January 18, 2001

Department 242 - Valley City State University
Senate Bill No. 2003

	FTE Positions	General Fund	Other Funds	Total
2001-03 Schafer Executive Budget	109.03	$11,882,904	$0	$11,882,904
1999-2001 Legislative Appropriations	113.34[1]	11,740,491	12,713,786	24,454,277[2]
Increase (Decrease)	(4.31)	$142,413	($12,713,786)	($12,571,373)

2001-03 Hoeven Executive Budget	109.03	$11,882,904	$0	$11,882,904
Hoeven Increase (Decrease) to Schafer	0.00	$0	$0	$0

[1] The 1999-2001 appropriation is based on 111.35 FTE positions. Section 6 of 1999 House Bill No. 1003 authorized the University System to adjust FTE positions as needed. The 113.34 FTE positions shown above represent the employee positions reported to the Office of Management and Budget (OMB).

[2] The 1999-2001 appropriation amounts include $9,105 from the general fund for the agency's share of the $1.4 million funding pool appropriated to OMB for assisting agencies in providing the $35 per month minimum salary increases in July 1999 and July 2000, $65,670 from the general fund for the agency's share of the $2,630,992 critical salary funding pool appropriated to the University System to address additional salary increases beyond legislative appropriations for market and equity raises, and $204,193 from the general fund for the agency's share of the $4,290,128 equity and special needs funding pool appropriated to the University System to address equity funding issues and special academic program needs. The 1999-2001 appropriation amounts do not include $34,892 of other adjustments and 1997-99 carryover authority.

Major Schafer Recommendations Affecting Valley City State University 2001-03 Budget

	General Fund	Other Funds	Total
1. Consolidates funding into two line items--operations and capital assets			
2. Provides funding in the capital assets line item for:			
a. Boiler and related steampiping replacement.	$850,000		$850,000
b. Extraordinary repairs of $265,334 (the same level of funding provided for extraordinary repairs in the 1999-2001 biennium).	$0		$0
3. Removes appropriations for tuition, continuing education, and other income.		($4,070,066)	($4,070,066)
4. Removes appropriation for local funds.		($8,680,000)	($8,680,000)
5. Transfers funding for the Center for Innovation and Instruction to the Information Technology Department's budget and removes related 4.31 FTE other professional positions.	($349,583)		($349,583)
6. The executive recommendation does not include funding for recommended compensation plan salary increases of three percent of salaries the first year of the biennium and two percent for the second year. Funding for institutions' salary increases is pooled and provided in the North Dakota University System office budget for the State Board of Higher Education to allocate to individual institutions.			

Major Hoeven Recommendations Affecting Valley City State University 2001-03 Budget Compared to the Bill as Introduced (Schafer Budget)

	General Fund	Other Funds	Total
1. The Hoeven budget recommendation does not change the Schafer executive budget recommendation for this agency.			

Major Legislation Affecting Valley City State University

Senate Bill No. 2037 provides a continuing appropriation of higher education institutions' special revenue funds including tuition and allows institutions to carry over at the end of the biennium unspent general fund appropriations.

Senate Bill No. 2038 requires the budget request for the University System to include budget estimates for block grants for a base-funding component and for an initiative-funding component and a budget estimate for an asset-funding component and requires the appropriation for the University System to include block grants to the State Board of Higher Education for a base-funding appropriation and for an initiative-funding appropriation and an appropriation for asset-funding.

Senate Bill No. 2039 allows the State Board of Higher Education to authorize campus improvements and building maintenance projects that are financed by donations, gifts, grants, and bequests if the cost of the improvement or maintenance is not more than $500,000.

Senate Bill No. 2040 allows higher education institutions to provide bonuses, cash incentive awards, and temporary salary adjustments to employees without reporting the activity as a fiscal irregularity.

Prepared by the North Dakota Legislative Council staff for House Appropriations
February 26, 2001

Department 242 - Valley City State University
Senate Bill No. 2003

	FTE Positions	General Fund	Other Funds	Total
2001-03 Schafer Executive Budget	109.03	$11,882,904	$0	$11,882,904
1999-2001 Legislative Appropriations	113.34[1]	11,740,491	12,713,786	24,454,277[2]
Increase (Decrease)	(4.31)	$142,413	($12,713,786)	($12,571,373)
2001-03 Hoeven Executive Budget	109.03	$11,882,904	$0	$11,882,904
Hoeven Increase (Decrease) to Schafer	0.00	$0	$0	$0

[1] The 1999-2001 appropriation is based on 111.35 FTE positions. Section 6 of 1999 House Bill No. 1003 authorized the University System to adjust FTE positions as needed. The 113.34 FTE positions shown above represent the employee positions reported to the Office of Management and Budget (OMB).

[2] The 1999-2001 appropriation amounts include $9,105 from the general fund for the agency's share of the $1.4 million funding pool appropriated to OMB for assisting agencies in providing the $35 per month minimum salary increases in July 1999 and July 2000, $65,670 from the general fund for the agency's share of the $2,630,992 critical salary funding pool appropriated to the University System to address additional salary increases beyond legislative appropriations for market and equity raises, and $204,193 from the general fund for the agency's share of the $4,290,128 equity and special needs funding pool appropriated to the University System to address equity funding issues and special academic program needs. The 1999-2001 appropriation amounts do not include $34,892 of other adjustments and 1997-99 carryover authority.

Major Schafer Recommendations Affecting Valley City State University 2001-03 Budget

	General Fund	Other Funds	Total
1. Consolidates funding into two line items--operations and capital assets			
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a. Boiler and related steampiping replacement.	$850,000		$850,000
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3. Removes appropriations for tuition, continuing education, and other income.		($4,070,066)	($4,070,066)
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5. Transfers funding for the Center for Innovation and Instruction to the Information Technology Department's budget and removes related 4.31 FTE other professional positions.	($349,583)		($349,583)
6. The executive recommendation does not include funding for recommended compensation plan salary increases of three percent of salaries the first year of the biennium and two percent for the second year. Funding for institutions' salary increases is pooled and provided in the North Dakota University System office budget for the State Board of Higher Education to allocate to individual institutions.			

Major Hoeven Recommendations Affecting Valley City State University 2001-03 Budget Compared to the Bill as Introduced (Schafer Budget)

	General Fund	Other Funds	Total
1. The Hoeven budget recommendation does not change the Schafer executive budget recommendation for this agency.			

Major Legislation Affecting Valley City State University

Section 11 of Engrossed Senate Bill No. 2003 provides legislative intent relating to the content of the State Board of Higher Education's performance and accountability report required by Senate Bill No. 2041.

Section 13 of Engrossed Senate Bill No. 2003 provides a continuing appropriation of higher education institutions' special revenue funds including tuition (originally a provision in Senate Bill No. 2037).

Section 14 of Engrossed Senate Bill No. 2003 requires budget requests for the University System to include budget estimates for block grants for a base-funding component and for an initiative-funding component and a budget estimate for an asset-funding component (originally a provision in Senate Bill No. 2038).

Section 15 of Engrossed Senate Bill No. 2003 requires the appropriation for the University System to include block grants to the State Board of Higher Education for a base-funding appropriation and for an initiative-funding appropriation and an appropriation for asset-funding (originally a provision in Senate Bill No. 2038).

Section 16 of Engrossed Senate Bill No. 2003 allows institutions to carry over at the end of the biennium unspent general fund appropriations (originally a provision in Senate Bill No. 2037).

Senate Bill No. 2039 allows the State Board of Higher Education to authorize campus improvements and building maintenance projects that are financed by donations, gifts, grants, and bequests if the cost of the improvement or maintenance is not more than $250,000. (This bill has been passed by the Senate.)

Senate Bill No. 2040 allows higher education institutions to provide bonuses, cash incentive awards, and temporary salary adjustments to employees without reporting the activity as a fiscal irregularity. (This bill has been passed by the Senate.)

Summary of Legislative Changes Resulting From First House Action

See Statement of Purpose of Amendment (attached).

Office of the President

RECEIVED
DEC 29 2000
North Dakota University System

December 27, 2000

Larry Isaak, Chancellor
North Dakota University System
600 East Boulevard Ave.
Bismarck, ND 58505

Dear Chancellor Isaak:

I have enclosed the Roundtable Plan and the Strategic Plan Progress Report for Valley City State University. I have also enclosed a revised version of my goals for 2000-2001.

The Roundtable Plan came primarily from the Institutional Improvement Committee, chaired by Dr. Les Wong. The committee's membership includes faculty members from every division, students, staff, and community people. During fall semester, the committee members frequently engaged their constituencies in reviewing drafts and providing input on the plan. In addition, the Executive Team has been part of that process to ensure that we have appropriate leadership for all goals.

Sincerely yours,

Ellen-Earle Chaffee
President

101 College Street SW
Valley City, ND 58072
(701) 845-7100
FAX: (701) 845-7110
E-Mail: Ellen_Chaffee@mail.vcsu.nodak.edu
www.vcsu.nodak.edu

2000-2001 Goals
Ellen Chaffee

Revised 12/00

Cornerstone on Economic Development: direct connections and contributions of the University System to the economic growth [illegible] North Dakota

1. Develop the two regional technology centers as strategic opportunities for the universities and the regional economies. Ensure that policies and marketing materials are in place to provide appropriate university support.
2. Review the need for certificate and associate degrees or workforce training in the Valley City region and make recommendations on how to meet those needs.

Cornerstone on Education Excellence: high quality education and skill development opportunities which prepare students to be [illegible] highly able to advance and change careers, be lifelong learners, good citizens, leaders [illegible] contributing members of an increasingly global and multicultural society

1. Ensure that the NCA self-study at VCSU is completed and improvements made as needed for a successful site visit in fall 2001
2. Ensure that the NCATE preparations on both campuses are progressing as needed for successful site visits.
3. As feasible, increase salaries and professional development funding.

Cornerstone on Flexible and Responsive System: A University System environment which is responsive to the needs of its [illegible] flexible, empowering, competitive, entrepreneurial, and rewarding

1. Develop major initiatives on both campuses, through the strategic/Roundtable planning process, to increase enrollment, especially for full-time on-campus students.
2. Develop a recommended guarantee to students at MSU regarding IT skills.
3. Develop a recommended tuition innovation that would enhance the universities and the state.

Cornerstone on Accessible System: A University System that is proactively accessible to all [illegible] North Dakota and seeks [illegible] to [illegible] Flexible and Responsive Cornerstone

1. Increase access to MSU and VCSU by increasing awareness of their availability and excellence in meeting the needs of North Dakota citizens and businesses as well as out of state prospective students.

Cornerstone on Funding and Rewards: A system of funding, resource allocation, and rewards which assures quality and is linked to [illegible] University System [illegible] achievement of the expectations [illegible]

1. Seek private funds for capital projects.
2. Seek grant funds, especially for economic development and enrollment-building initiatives.
3. Establish and implement an appropriate method of recognizing excellence in teaching and instructional innovation among the faculty.

Cornerstone on Sustaining the Vision: A structure and process which assures the University System for the 21st century [illegible]

1. Develop new Roundtable-based strategic plans for both universities that are consistent with local economic development plans.

Valley City State University Roundtable Plan

December 2000

Mission

Valley City State University is a learner centered community dedicated to continuing improvement in meeting student needs. Preparing individuals to serve in a changing world, the institution provides a quality educational experience in an innovative culture and a technologically enhanced environment. A leader in the effective use of instructional technologies, Valley City State University is a member of the North Dakota University System and offers baccalaureate degrees in education, business, and the liberal arts.

Vision

Valley City State University is a nationally recognized learner-centered caring community committed to continuous improvement.

Core Values

The university is learner-centered. Learners are the first priority. The university exists to meet students' needs. Students are involved in many university decisions and in their university decisions and in their own learning.

The university believes in teamwork. Mutual trust and respect are essential. Caring, enthusiastic faculty and staff work together to meet the needs of students.

The university is involved in the local community. The university and region are essential assets to one another for learning opportunities and other resources. Partnerships will expand to encompass schools, businesses, local and global communities.

The university pursues continuous improvement. The university can and will improve everything we do.

The university focuses on results. Students and taxpayers are entitled to clear statements of results. Accountability gives university personnel the satisfaction of identifying and improving those results.

Cornerstone Goals

1. Economic Development: Direct connections and contributions of the University System to the economic growth and social vitality of North Dakota.
2. Education Excellence: high quality education and skill development opportunities which prepare students to be personally and professionally successful, readily able to advance and change careers, be lifelong learners, good citizens, leaders, and knowledgeable contributing member of an increasingly global and multicultural society.
3. Flexible and responsive System: A University System environment which is responsive to the needs of its various clients and is flexible, empowering, competitive, entrepreneurial and rewarding.
4. Accessible System: A University System that is proactively accessible to all areas of North Dakota and seeks students and customers from outside the state. It provides students, business, industry, communities and citizens with access to educational programs, workforce training opportunities, and technology access and transfer - and does so with the same performance characteristics as described in the "Flexible and Responsive" Cornerstone.
5. Funding and Rewards: A system of funding, resource allocation, and rewards which assures quality and is linked to the expressed high priority needs and expectations of the Unive.sity System - assures achievement of the expectations envisioned.
6. Sustaining the Vision: A structure and process which assures the University System for the 21st century, as described by these cornerstones, remains connected, understood, relevant, and accountable to the present and future research, education, and public service needs of the state and its citizens - sustaining the vision.

Note: All objectives are either in progress or to begin immediately; therefore, no start dates are given. Accountability measures with an asterisk (*) are from the Roundtable Report.

Cornerstone Goals and Objectives		Complete	Persons/Units Responsible	Accountability Measures	Roundtable Recommendations
1. Economic Development - Direct connections and contributions of the University System to the economic growth and [illegible]					
Objective ED1: To collaborate with at least one new business in the Regional Technology Center for education and training services.		2002	KCLL, BusDiv, Pres, VPAA	*Level and trends in partnerships and joint ventures between the University System institutions and: business and industry, tribal colleges, private sector training providers, other University System institutions	RR-ED1: Actively pursue strategic alliances and partnerships with primary sector businesses and industries which have the strongest potential for expanding the economy of the region and the state.
Objective ED2: To develop and promote training, consulting, and other services; materials; and other features that will help the VCBCDC attract new technology businesses to the Regional Technology Center.		2001	Pres,VPAA, CIO, KCLL Dir	*Trends in the number and percent of businesses and employees in the region receiving training	
Objective ED3: To promote professional exchanges between VCSU faculty/staff and external entities through innovative work arrangements, policies, and memoranda of agreement, including new internship opportunities for students.		2002	VPAA, Fac Assn, SPAC	*Levels of satisfaction with training events as reflected in information systematically gathered from employers and employees receiving training	RR-ED1: Actively pursue strategic alliances and partnerships with primary sector businesses and industries which have the strongest potential for expanding the economy of the region and the state.
Objective ED4: To pursue new technological and business development opportunities related to more facets of the music and performing arts industry including museum curatorship in concert with the Barnes County Historical Society.		2002	Fine Arts Div, CASS Div	*Level and trends in the revenue generated from training fees	RR-ED14: Identify research and development opportunities which have strong potential for positive economic impacts on the region.
Objective ED5: To develop materials and strategies that will increase the number of majors in CIS, business administration, and corporate training; and provide for international experiences.	E	2001	Bus Div	*Level and trends in enrollment in entrepreneurship courses and the number of graduates of any future entrepreneurship programs	RR-ED4: Offer educational programs on the topic of entrepreneurship at every institution within the university system.
Objective ED6: To encourage cultural experiences (art, literature, music, theatre, medicine wheel, planetarium, CSA) to make our community more appealing to businesses, new families and tourism. Continue development of Planetarium programs and Medicine Wheel Park as educational tools and tourist attractions.		2001	Fine Arts Div Chair, CSA Dir, Medicine Wheel Dir, Planetarium Dir	Level and trends in attendance/visitation	RR-ED14: Identify research and development opportunities which have strong potential for positive economic impacts on the region.
Objective ED7: To work with NDUS partners to procure more electronic business resources to be housed in the library and to encourage local businesses to use such resources.		2002	Library Dir	Level and trends in current business electronic resources available and cost of acquiring them.	RR-ED1: Actively pursue strategic alliances and partnerships with primary sector businesses and industries which have the strongest potential for expanding the economy of the region and the state.
Objective ED8: To explore and develop joint grant opportunities between VCSU, VCBCDC, Valley City and Barnes County.		2001	Pres, VPAA, Dev Corp	*Levels and trends in percentage of NDUS graduates obtaining employment appropriate to their education: in-state, out of state	RR-ED14: Identify research and development opportunities which have strong potential for positive economic impacts on the region.

Cornerstone Goals and Objectives		Complete	Persons/Units Responsible	Accountability Measures	Roundtable Recommendations
2. Education Excellence: high quality education and skill development opportunities which prepare students to be personally and professionally [illegible] readily able to advance and change careers, be lifelong learners, good citizens, leaders, and knowledgeable contributing members of an [illegible] and multicultural society.					
Objective EE1: To gain full reaccreditation from NCA.		2002	Pres, VPAA, TC, MD, IIC	*Maintenance of accreditation of programs and institutions by national and regional accrediting bodies and, acquisition of additional accreditation where appropriate.	**RR-EE-b6:** All colleges and universities create a culture of continuous improvement, and they, along with business, industry, and the professions, create the measures and feedback loops required to implement continuous improvement strategies.
Objective EE2: To gain full reaccreditation from NCATE.		2002	Pres, VPAA, EDUC Div		
Objective EE3: To gain full reaccreditation from the National Association of Schools of Music.		2003	Pres, VPAA, Fine Arts Div		
Objective EE4: To ensure the success of new students through an enhanced orientation and first-year experience.	E	2002	VPSS, Bass	*Levels and trends in proportion of students achieving goal - institution meeting the defined needs/goals as expressed by students	**RR-EE-a1:** Colleges and universities be assertive in attracting, recruiting, registering, and retaining quality students.
Objective EE5: To complete and sustain a cohesive general educational assessment plan using the abilities as an assessment tool. To successfully complete implementation of digital portfolios for all students and faculty.		2002	VPAA, Corwin, Dahlberg, Div Chairs	*Level and trends in alumni reported satisfaction with preparation in: major; acquisition of specific basic and higher order skills; level, currency, and relevance of computer technology knowledge and abilities in relation to expectations in the marketplace. Level and trends in employer reported satisfaction with preparation of recently hired college graduates.	**RR-EE-a4:** Colleges and universities clearly identify rigorous course and program learner outcomes; instructors teach to those outcomes, and students be required to demonstrate those learning outcomes.
Objective EE6: To implement a comprehensive assessment plan to assess and link student learning to institutional decision making through program review, faculty workload, deployment of academic resources, improved instructional and institutional research, employer surveys and documentation of student learning through electronic portfolios for all graduates.		2002	VPAA, Div Chairs, Curr Comm	Qualitative and summary data generated from faculty review of student portfolio, levels and trends in workload, enrollment and student satisfaction.	**RR-EE-b4:** There be indicators of quality/excellence for all learning experiences, quality indicators be about application as well as knowledge, and indicators of quality be measured and reports to learners, and to the University system.
Objective EE7: To provide space and equipment to enhance the student learning experience in CIS and art.		2002	VPAA	Changes in space and financial allocations	
Objective EE8: If resources are available, to gain NDUS approval of the Viking eLearning Institute to promote faculty, staff and student development as well as developing products and processes of benefit to other colleges, schools and corporate partners.		2002	VPAA, Corwin	*Level and trends in faculty satisfaction	**RR-SE-b6:** Faculty members continue to update their knowledge, skills, and teaching strategies, and remain being held in high regard by their students, their colleagues and their profession.
Objective EE9: To revise and implement HPER Curriculum	E	2001	HPER Div	Changes in curriculum	**RR-EE-a1:** Colleges and universities be assertive in attracting, recruiting, registering, and retaining quality students.

Cornerstone Goals and Objectives		Complete	Persons/Units Responsible	Accountability Measures	Roundtable Recommendations
[illegible] Responsive System: A University System [illegible] which is responsive to the needs of [illegible] entrepreneurial and rewarding					
Objective FL1: To develop and pursue a highly innovative and effective campaign to increase the number of out-of-state and international students to the extent that funding will allow.	E	2001	Exec, Enroll Svcs	*Total number and trends, in full-time, part-time, degree-seeking, and non-degree-seeking students being served	RR-FL-a2 Colleges, universities, and the NDUS in general, create a culture, policies, and practices which encourage, support, and reward entrepreneurial thinking and the entrepreneurial activities of its leaders and staff.
Objective FL2: To offer one complete major online.	E	2002	Tech Ed Dept	NCA Site approval	
Objective FL3: To offer a complete business administration major at off campus sites.	E	2002	Crabtree	NCA Site Approval	
Objective FL4: To provide a Marketing Education minor in the areas of Business Education and Vocational-Technical Education	E	2001	Bus Div Chair, VoEd Coord	SBHE approval of a marketing education minor	
Objective FL5: To develop a Bachelor of Applied Science degree in partnership with MaSU to promote transfer opportunities for AAS students from 2 year campuses.	E	2001	VPAA, Bus Div Chair	SBHE approval of a BAS degree	RR-FL-c1 The colleges and universities of the NDUS systematically identify their customers, study and identify customer/learner needs, and identify the alternative delivery systems available to meet those needs
Objective FL6: To develop a more comprehensive Human Resource Management Major. Conduct program review in 01-02.	E	2002	CASS Chair, Bus Chair, Hoff	Successful program review	
Objective FL7: To promote and sustain KCLL entrepreneurship through internal marketing and partnerships, external marketing and strategic use of services in conjunction with corporate and governmental partnerships.		2001	KCLL Director	*Number and trends regarding individuals, organization, and agencies served through non-credit activities	RR-FL-c1 The colleges and universities of the NDUS systematically identify their customers, study and identify customer/learner needs, and identify the alternative delivery systems available to meet those needs
Objective FL8: To make student services available online in order to accommodate distance learners and to provide convenient customer service for on-campus students, subject to state level limitations and resource availability.	E	2002	VPSS, Corwin, Mugan, CL Coord	*Total number of services available online and progress achieved in student affairs planning process. Implementation of a new NDUS administrative computing system capable of quickly adapting to e-commerce practices.	RR-FL-b2 The NDUS create a "seamless" organization from the perspective of the student. The customer/client/ student should be able to access learning experiences from anywhere in the University System and not find bureaucratic hurdles when accumulating the experiences necessary for certification or graduation.

Cornerstone Goals and Objectives		Complete	Persons/Units Responsible	Accountability Measures	Roundtable Recommendations
1. Accessible System. A University System that is proactively accessible in all areas of North Dakota and serves students and [illegible]. It provides students, business, industry, communities and citizens with access to educational programs, workforce training and [illegible] and transfer – and does so with the same performance characteristics as described in the Flexible and Responsive Campuses.					
Objective AS1: To continue development of hybrid courses.	E	2003	Div Chairs, Corwin	*Levels and trends in the number of enrollments in distance learning courses by in-state and out of state residents.	RR-AS-2 Campuses must develop alternative delivery opportunities responsive to the needs of all students—in time, place and format.
Objective AS2: To address the role of distance learning in VCSU's strategic plan and develop university policies and procedures for distance learning.	E	2002	TAC, CIO	* Levels and trends in the number or proportion of enrollments in courses offered in non-traditional ways (i.e. place, time, format)	
Objective AS3: To increase campus cultural diversity through recruitment efforts and effective use of Cultural Diversity and International Student Waivers.	E	2003	VPSS, DEM	Changes in number of minority and international students	
Objective AS4: To offer the Library and Media Information Resources minor entirely online and examine LMIS major.	E	2003	Lib Dir, VPAA	* Levels and trends in the number of proportion of enrollments in courses offered in non-traditional ways (i.e. place, time, format)	RR-AS-2 Campuses must develop alternative delivery opportunities responsive to the needs of all students—in time, place and format.
Objective AS5: To build the information technology infrastructure needed to quickly deploy courses, programs, and services via distance learning, in concert with and subject to implementation of a new NDUS administrative computing system capable of efficient and effective integration with on-line course management software and other e-learning practices.	E	2003	CIO, Comptroller		
Objective AS6: To redesign the VCSU Web home page to emphasize student recruitment and cater to companies seeking programs and services.	E	2001	CIO, Enroll Svcs		
Objective AS7: To develop formal articulation agreements with North Dakota tribal colleges and NDUS two-year colleges.	E	2002	VPAA	Completed articulation agreements	RR-AS-4 The tribal and private colleges should be proactive partners, with the NDUS, in meeting the educational access needs of the State of ND.
Objective AS 8: To increase faculty-staff diversity through improved recruiting strategies.		2003	Exec Team	Changes in faculty/staff diversity	
Objective AS 9: To expand enrollment capacity in Elementary Ed. CIS, Business, and IT related programs and certificates.	E	2002	Exec Team	Additional resources to named programs.	

Cornerstone Goals and Objectives		Complete	Persons/Units Responsible	Accountability Measures	Roundtable Recommendations
5. Funding and Rewards. A system of funding, resource allocation, and rewards which assures quality and [illegible] expectations of the University System - assures achievement of the expectations envisioned.					
Objective FR1: To develop a new indirect cost model to reward departments and individuals for grant and gift procurement.		2002	Ament	New indirect cost model in place.	RR-FR-13 SBHE and campuses revise internal policies, procedures and salary administration practices so they are consistent with the funding structure and promote the success characteristics identified by the Roundtable and the State.
Objective FR2: To increase faculty/staff professional development opportunities and salary levels at the greatest rate that resource availability and competing priorities will allow.		2003	Exec	Changes in resource allocation for salaries and professional development	
Objective FR3: To develop a new faculty compensation model for summer session, new course development and continuing education.		2002	Exec	New faculty compensation model	RR-FR-13 SBHE and campuses revise internal policies, procedures and salary administration practices so they are consistent with the funding structure and promote the success characteristics identified by the Roundtable and the State.
6. Sustaining the Vision. A structure and process which assures the University System for the 21st century, as described by the [illegible], is connected, understood, relevant and accountable to the present and future research, education, and public service needs of the state, and [illegible] sustaining the vision.					
Objective SV1: To make positive contributions to the goals of the Roundtable and the SBHE by developing, updating, and pursuing an appropriate plan.		2001	Exec Team, IIC	*The extent to which the recommendations contained in this report are implemented and performed as reflected in the accountability measures is improved....	
Objective SV2: To revise and produce the university's annual Report to Investors so that it serves as an annual accountability report for the Roundtable and the SBHE.		2002	Pres, VPSA	Annual publication and distribution of the Report to Investors	
Objective SV 3: Develop and sustain a schedule of program reviews linked to the university plan.		2001	VPAA	Schedule of program reviews	

From Senate Appropriations hearing 1-3-01
See March 9 memo for what was duplicate with this material

01-03 Biennial Appropriation

99-01 Hold Even Base & 01-03 Institutional Request & Executive Recommendation

	99-01 Biennial Appropriation	01-03 Biennial Appropriation	
		Instit. Request	Exec. Recom.
General Fund	$ 10,843,906	$ 11,691,522	$ 11,882,904
Operations:			
99-01 "Hold Even"	$ 10,578,572	$ 10,578,572	$ 10,578,572
Cost to continue		363,931	
Technology applications-access		135,059	
Institutional innovation		205,246	
Health insurance increase			188,998
Total	$ 10,578,572	$ 11,282,808	$ 10,767,570
Capital Assets:			
99-01 "Hold Even"	$ 265,334	$ 265,334	$ 265,334
Capital project			850,000
Renewal and replacement		143,380	
Total	$ 265,334	$ 408,714	$ 1,115,334
Total Operations & Capital Assets	$ 10,843,906	$ 11,691,522	$ 11,882,904

VCSU
VALLEY CITY STATE UNIVERSITY

01-03 Biennial Appropriation Request

Hold-Even Budget Reductions and Restoration Proposal

Reductions to fund cost to continue		
Faculty, student services & support staffing	$	264,000
Repairs, instructional & mtce supplies & travel		99,931
Total	$	363,931

Restoration/Reinvestment if cost to continue is funded		
Faculty & student services staffing	$	264,000
Repairs, instructional & mtce supplies & travel		99,931
Total	$	-

Funding proposal:

$85 annual tuition increase	$	230,867
General fund		133,064

VCSU
VALLEY CITY STATE UNIVERSITY

01-03 Biennial Appropriation

Capital Improvements and Deferred Maintance Projects

	Instit. Request	Exec. Recom.
Major Capital Project:	$ 850,000	$ 850,000
Replace boiler & related steam piping accessories		
Renewal and Replacement:	$ 265,334	$ 265,334
To be applied toward projects such as:		
ADA elevator or other ADA improvements		
Health safety entrance and egress		
Capital Improvement optional funding request:	$ 143,380	
To be applied toward projects such as:		
ADA elevator or other ADA improvements		
Health safety entrance and egress		

Budget Hearing

House Appropriations Committee

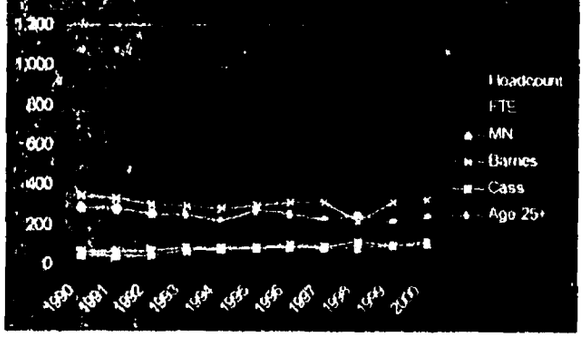
Valley City State University

March, 2001

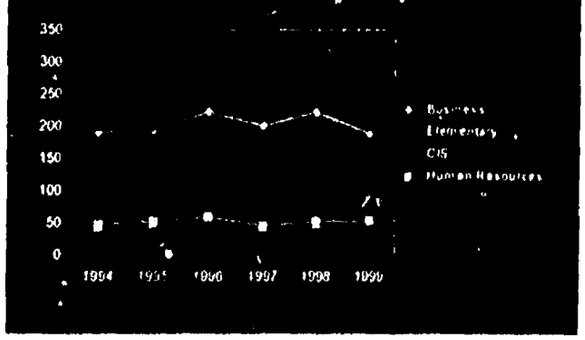
Schedule

- Enrollment
- Facilities and budget
- Roundtable realities

ENROLLMENT



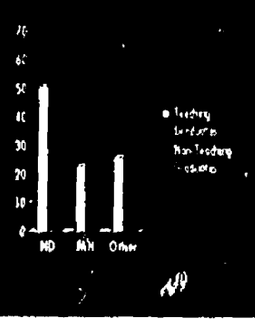
Enrollments by Major



Where do our graduates go?

1999 graduates:

- 62% of the non-teaching students are working in North Dakota
- 52% of the teaching graduates stayed in ND.



University Entrepreneurship

- $7 million technology initiative, NO state funds
- 25.4% salary increases since 1993 with 16% state funds
- Regional Technology Center for business incubation
- $2+ million in grants

01-03 Biennial Appropriation

99-01 Hold Even Base & 01-03 Senate Recommendation

	99-01 Biennial Appropriation	01-03 Biennial Appropriation
General Fund	$ 10,843,906	$ 11,882,904
Operations:		
99-01 "Hold Even"	$ 10,578,572	$ 10,578,572
Health insurance increase		188,998
Total	$ 10,578,572	$ 10,767,570
Capital Assets:		
99-01 "Hold Even"	$ 265,334	$ 265,334
Capital project		850,000
Total	$ 265,334	$ 1,115,334
Total Operations & Capital Assets	$ 10,843,906	$ 11,882,904

99-01 Biennial Appropriation

Capital Improvements and Deferred Maintenance Projects

Major Capital Project:		
McFarland, Vangstad and McCarthy life safety project	$	547,000
Other Projects:		
Specials	$	43,000
Foss Hall air quality		50,000
Stadium seating		19,054
Sidewalk repair		16,000
Simplex upgrade		19,043
Classroom and office repair and renovation		68,289
Total	$	762,386

01-03 Biennial Appropriation

Utilities Detail

Utility Type	99-01 Biennial Appropriation	01-03 Biennial Instit. Request	Change Dollar	Change %
Water, Sewer, Waste Disposal	$ 105,800	$ 110,328	4,528	4%
Gas	338,823	380,703	41,880	12%
Electricity	283,131	294,120	10,989	4%
Coal	10,001			
Fuel Oil	39,320	39,710	390	1%
Telephone	208,000	289,000	81,000	39%
Total	$ 985,075	$ 1,113,861	$ 128,786	13%

01-03 Biennial Appropriation

Cost to Continue & Hold-Even Budget Reductions

Cost to continue:	$ 363,931
2000-01 salary increase	
Utility increase	
2.5% operating inflation	
Reductions to fund cost to continue:	
Faculty & support staffing	$ 264,000
Repairs, supplies & travel	99,931
Total	$ 363,931
Funding proposal:	
$85 annual tuition increase	$ 230,867

01-03 Biennial Appropriation

Capital Improvements and Deferred Maintenance Projects

Major Capital Project:	$	850,000
Replace boiler & related steam piping accessories		
Renewal and Replacement:	$	265,334
To be applied toward projects such as:		
ADA elevator or other ADA improvements		
Health safety entrance and egress		

Testimony before the House Appropriations Committee
March 2001

Dr. Les Wong
Vice President for Academic Affairs
Valley City State University

The notebook initiative remains one of the key ingredients to the transformation of the VCSU campus and our surrounding region. The investment made 4 years ago continues to produce the kinds of results and opportunities that innovative and entrepreneurial campuses must make in the 21 century. This initiative has been singularly responsible for the general upgrading of faculty and staff skills while also transforming everyone's ideas about teaching and learning. For example, where a computer science or information technology graduate expects to join someone's workforce to create knowledge, we now produce English, History, Education and social science majors who share the same expectation. That is, the entrepreneurial spirit so endemic to some disciplines is now part and parcel of every graduate at VCSU. And it means that the teachers we produce are sufficiently skilled in IT to reach elementary and middle school students in the most rural and isolated parts of ND. Without this knowledge and experience with today's most powerful tools, one is relegated to watching not leading, reacting not liberating. Humanities and social science majors now participate along with IT/computer science majors in internships with:

- Great Plains Software
- NetWork Center
- PCHertz (a new company opening business at Valley City's Regional Tech Center who is already interested in interviewing students this month prior to occupancy in late February).
- Stocksheets.com (another new B2B in the Regional Technology Center).

Our graduates continue to find employment upon graduation in many educational, governmental, and private sector positions throughout the region. Of significant importance to the state of North Dakota is that many of our out of state and international graduates choose to remain in the state and region.

And to confirm the entrepreneurial spirit that characterizes the internal workings of the campus, we continue to find opportunities to promote the university and the state of North Dakota. Educational journals continue their interest in our work but we find ourselves noted in the national media for our entrepreneurship. The Christian Science Monitor has recently noted our work and this week, we are prominently featured in Computerworld, IT's leading and largest circulated trade magazine.

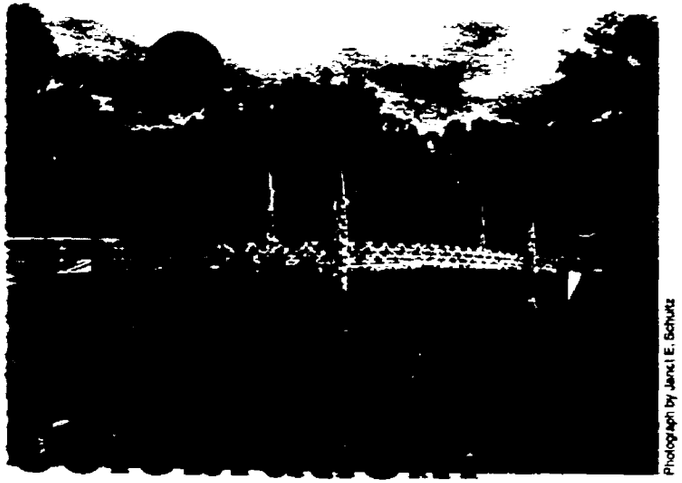
The momentum is undeniable. Just as the web continues to grow and expand despite the breath being taken by the IT industry, the campus finds itself traveling farther and farther into regions few dare tread. We have learned a number of lessons and we see a future that many more will follow. But our exploration requires your help. Allow me to use a few sports metaphors to help me describe the picture.

Think of the notebook computers and necessary IT structure as tools because that is really all they are. The hand that uses the tool is what I want you to focus on. For example, Tiger Woods with a cheap set of clubs will still beat most of us at golf. Lance Armstrong on a cheap one-speed bike will still beat me to the finish line. Chamique Holdclaw in her barefeet with a nerf ball will still beat me one on one on the court. The point is that the training and development of the tool user is the critical issue. For example, the evolution and momentum of our campus has moved from the notebook computer to a sophisticated set of outcomes expected of all graduates. We refer to them as "the abilities" and you have each received copies of our faculty's work in this regard. These abilities brought renewed life to another innovation: CD portfolios expected of all graduates. Again, you've received CD's describing this. You've also been exposed to the notion of "hybrid" courses where the web and the classroom come together in seamless ways. At the core of all this is a human community with effective knowledge to use the best available tools. Now, VCSU faces the necessary next step.

The Viking eLearning Institute is this necessary next step in our development to inspire and train the hands and the brains needed to transform ND. It is the means by which scarce resources can be strategically focused to raise the overall skill of our campus innovators. We expect the eLearning Institute to support and facilitate the development of curriculum and teaching/learning strategies through the use of available software and hardware. Campuses, like individuals rarely use tools to their fullest capacity. We hope to continue maximizing what we have by cultivating the skills of the most number of people. However, we also expect the eLearning Institute to provide necessary capacity in two critical areas:

- to promote better assessment of our work. Generating new ideas means knowing the real effect of these new ideas once applied. A deliberate, focused and professional assessment service is sorely needed by VCSU faculty and staff.
-
- to facilitate the entrepreneurial capacity of the university. The eLearning Institute can leverage the university's innovative knowledge to respond to grants and contract opportunities that have arisen because of our initiatives. The breath being taken by the IT industry is a clear recognition that there isn't enough of a workforce available to support industry dreams. Grants and contracts with the federal and private sector to develop that workforce, especially at the baccalaureate level, is clearly possible.

VCSU has shown incredible wisdom and risk-taking with the resources provided in the past. We have produced and our track record is clear. We're a good investment with a proven track record. The Roundtable's articulate position on entrepreneurship not only confirmed where we've been but it highlights where we want to go. The trip so far has been pretty exciting. That we've been able to recruit faculty and staff is a testament to the spirit of the place. That our people are also recruited away from us is also testament to our energy. Thank you for believing in us. We hope you'll find the necessary means to support our mission and role within ND and to this region.



Photograph by Janet E. Schultz

VCSU
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Valley City State University is crossing the bridge into a new tradition that puts us squarely in the future. Our prairie heritage strengthens us with values, grit, and adaptability. Our vision in action is to be a positive force for the success of students, our community, and our state in a 21st century world fueled by technology.

Society and employers need people who understand information technologies and work well with others, locally and globally. We are uniquely suited to prepare them. During the last decade of the 20th century, VCSU transformed itself. We are doing what everyone else is talking about.

We still use this beautiful bridge. Now, we also use the Internet as a virtual bridge to the world. We are proud to help create a vital future for North Dakota.

Ellen Earle Chaffee
President

"The trouble with the future is they keep moving it closer." —*Frank Feathers*

Mission Statement

Valley City State University is a learner centered community dedicated to continuous improvement in meeting student needs. Preparing individuals to serve in a changing world, the institution provides a quality educational experience in an innovative culture and a technologically enhanced environment.

A leader in the effective use of instructional technologies, Valley City State University is a member of the North Dakota University System and offers baccalaureate degrees in education, business, and the liberal arts.

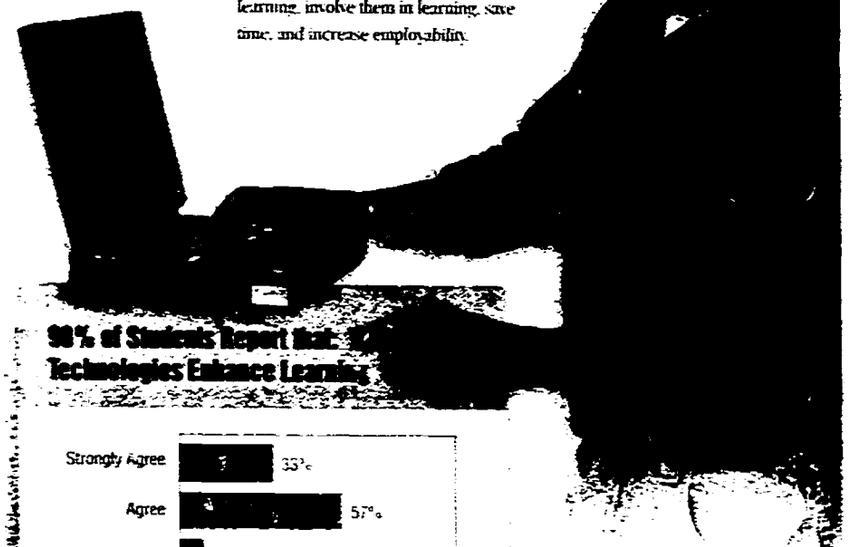
Quality

Information Technology: Always On

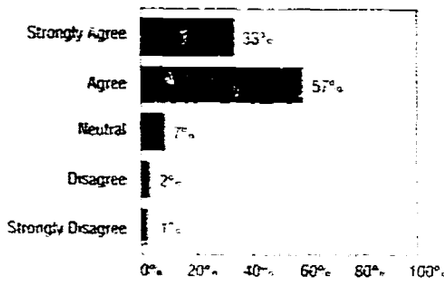
In August, 1996 Valley City State University leaped into the 21st Century as the first notebook computer university in the state and one of just a few universities nationwide that provides notebook computers for all students and faculty.

Twenty-four hour access from anywhere to a ThinkPad, e-mail, the Internet, and other networked resources allows VCSU students to realize the convenience of "any time" computing. The computer becomes an integral part of the student's life. It is common for students to gather around notebooks over lunch or in groups as they work together to solve problems.

Twenty classrooms on the VCSU campus have been renovated as "smart" classrooms. All have projection devices that can display computer and video information. Other equipment includes video cameras, VCRs, videodisk players and laser printers. The classrooms feature electrical and network connections for every student. Little wonder, then, that students say technologies enhance learning, involve them in learning, save time, and increase employability.

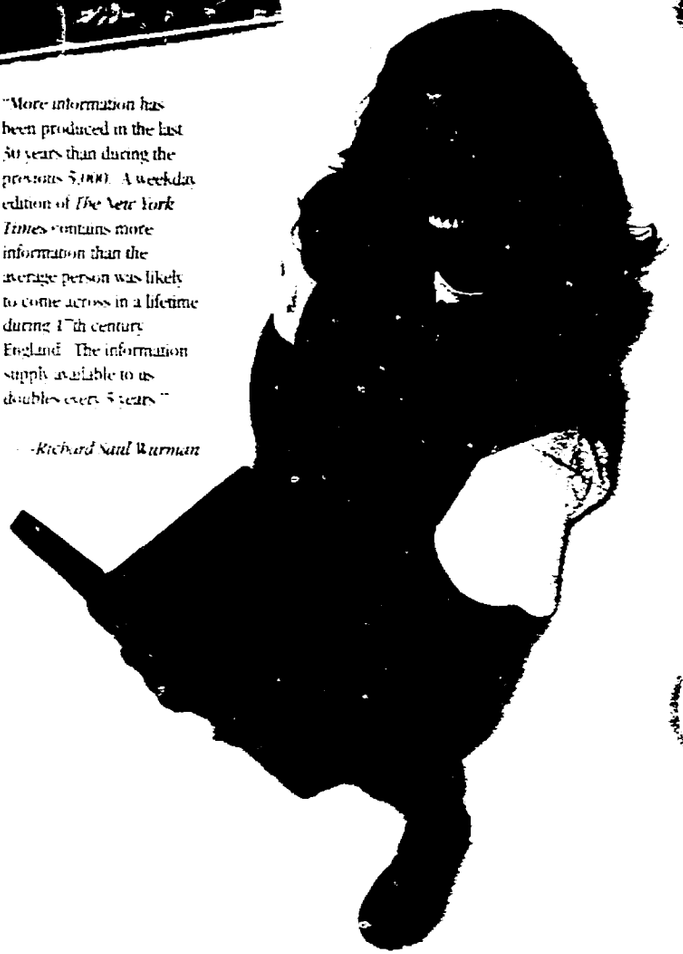


98% of Students Report that Technologies Enhance Learning

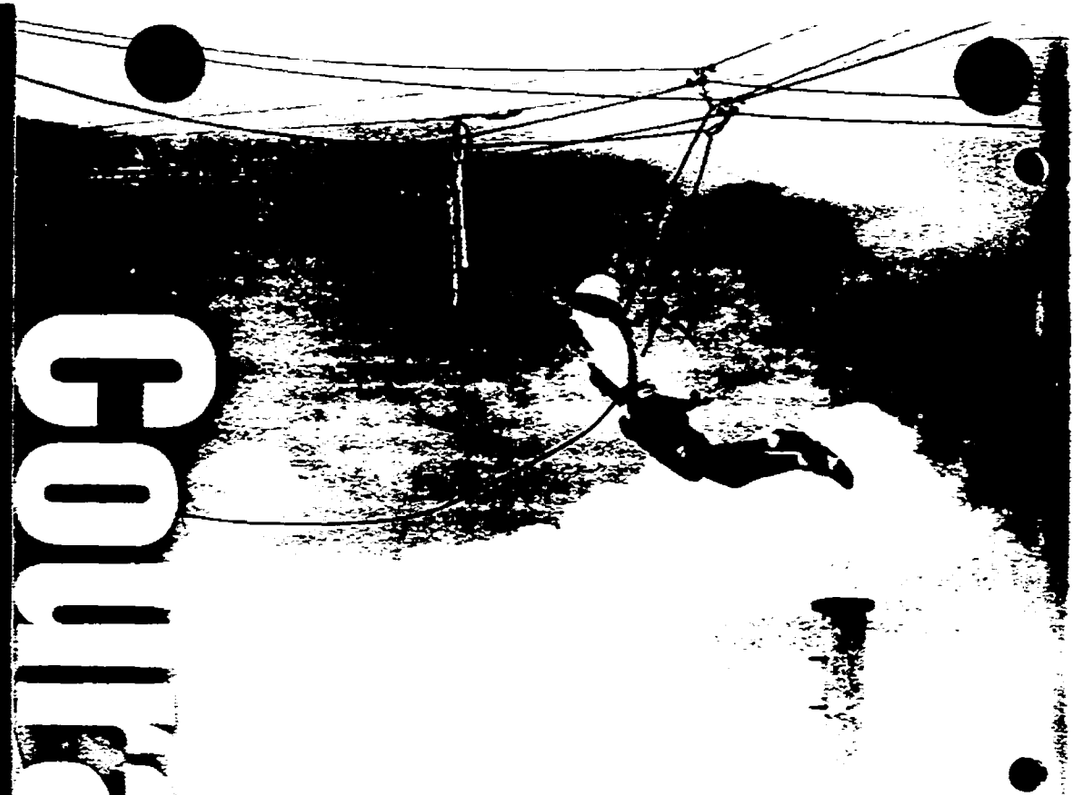


"More information has been produced in the last 30 years than during the previous 5,000. A weekday edition of *The New York Times* contains more information than the average person was likely to come across in a lifetime during 17th century England. The information supply available to us doubles every 5 years."

—*Richard Saul Wurman*



Computing



Teamwork

The Kathryn Center for Lifelong Learning is Valley City State University's personal and professional development center. The center provides "participant-active" training and development programs for supervisors, managers, employees, conferences, and corporate, educational and athletic organizations throughout the region.

The KCLL experience, whether "hands-on" computer training or organizational development, empowers the individual to succeed in today's dynamic organizations. We specialize in enhancing communication skills, developing and sustaining teams, motivating individuals, resolving conflicts and personal development.

The KCLL is also instrumental in developing corporate trainers at Valley City State University and provides the only Adventure Learning Program in the region. The KCLL provides customized opportunities to promote everyone's lifelong learning.

Gary Schafer
Director of Customer Management
Great Plains Software, Inc.

The Kathryn Center at Valley City State has enabled Great Plains to leverage team building and crisis management training across teams of many employees. The Kathryn Center has been used by Great Plains to assist with the merging of teams that formerly competed with each other, improve the decision making abilities of teams, build trust among teammates and enable employees to practice handling different crises. There is simply no tool like the Kathryn Center within our geographic area that is better at promoting the entrepreneurial, risk-taking personalities we continually strive to build here at Great Plains. Having experienced the KC a few times myself, I have to say that there is no better method of keeping my personal "risk-taking" saw sharpened — this center is invaluable to business."

—Gary Schafer

"We cannot become what we need to be by remaining what we are." —*Max DePree*

VCSU's International Connections

Valley City State University has formed partnerships with universities in Mexico and Canada in order to prepare its students to live and work in a global environment.

Our focus on North America parallels the North American Free Trade Agreement and recognizes the opportunity to serve as a resource for regional businesses that want to market internationally.

Benefits of Study

- Develop cross cultural awareness.
- Gain facility in a second language.
- See the United States from a foreign vantage point.
- Make professional contacts.
- Develop both independence and flexibility.

VCSU
VALLEY CITY STATE UNIVERSITY

"I first came to Valley City State University as part of the sister university program with UABCS (Universidad Autónoma de Baja California Sur) in La Paz, Baja California Sur, Mexico. After my exchange year ended I decided to stay and complete my degree. The staff at VCSU were helpful and friendly, an environment an individual can learn in.

I also enjoy learning at a laptop university. Studying at VCSU provides a great opportunity for me to work with state-of-the-art technology. But, what I like the most about VCSU is the people. I think the support from the teachers, staff and fellow students, and not just being a number, provides the best education you can get anywhere!"

—Sergio Castañeda

Sergio Castañeda
La Paz, BCS Mexico

"The person who says it cannot be done should not interrupt the person doing it." — Proverb

"We are excited about the possibilities and enormous potential this partnership has for students and what it brings to the IT industry in North Dakota."

Karl Kostman,
President
NetWork Center, Inc.

Karl Kostman

Regional Technology Center

Something exciting is becoming real for VCSU and our community—a Regional Technology Center. The idea came from the local economic development group. They decided to focus their efforts on information technology businesses, largely because they believe VCSU's initiatives in this area represent a strategic advantage for the region.

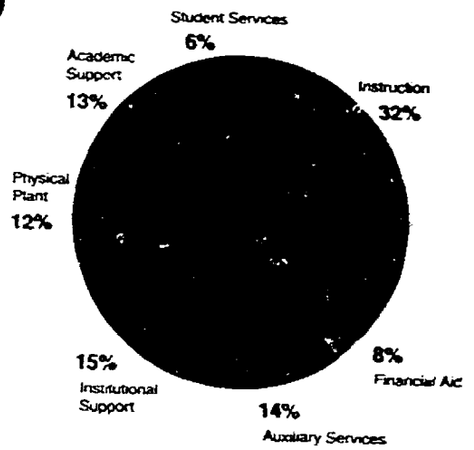
The RTC is a new 20,000 square foot building housing VCSU's Center for Innovation in Instruction and part of our new technology education program. It also has space to incubate new high-tech businesses. The tenants share a conference room and a classroom. The RTC is a major new community asset and a visible symbol of collaboration.

VCSU Impacts the Economy

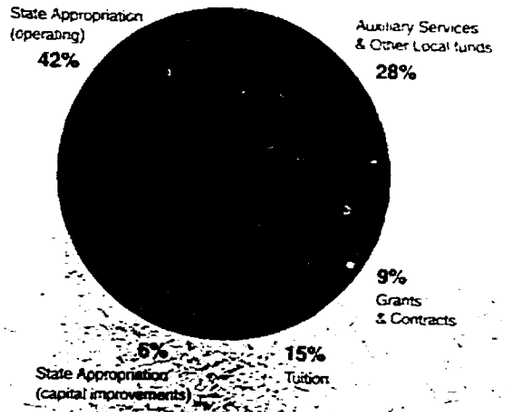
Valley City State University, its students, and its employees contribute to the economy in several ways: creating jobs, strengthening the tax base, and expanding the credit base of the community.

VCSU's economic presence creates an estimated 370 secondary jobs outside the university. Secondary jobs are the employment opportunities required by local businesses to support the presence of the university, its students, and employees.

For the current fiscal year, July 1, 1999-June 30, 2000, the university will inject $11.7 million into the economy. Using an economic multiplier factor of 2.8 developed by North Dakota State University, VCSU's direct spending generates a total annual economic impact of $32.9 million.



Income



Expenses

Beyond its direct economic impact, Valley City State University has an indirect economic impact on the community as well. Some indirect factors include:

- Student spending of $5 million for board, room, and personal expenses.
- Visitor spending of approximately $700,000 by those who travel here to attend continuing education programs, Kathryn Center programs, and cultural, athletic, and other events on campus.

When the same economic multiplier of 2.8 is applied to the $5.7 million spent by students and visitors, VCSU generated $16 million in indirect economic impact.

An Internet year is down to 47 days. —*Kelly Carnes, U.S. Department of Commerce*

VCSU
VALLEY CITY STATE UNIVERSITY

Pride

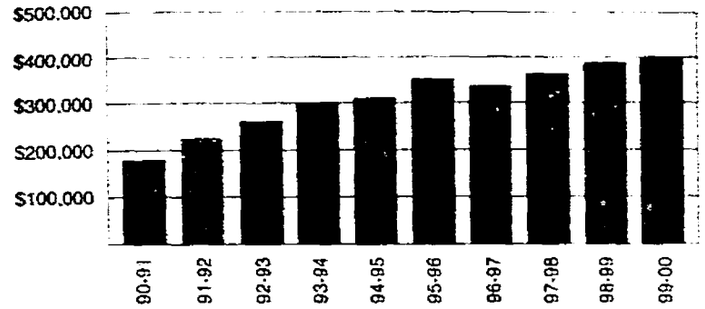
Tradition runs deep at Valley City State University. Quality and excellence have always been central to our philosophy of continuous improvement. Dr. Larry Grooters, distinguished chairperson of the Division of Education and Psychology, and widely respected authority on education, symbolizes that rich tradition. His leadership through this period of major change helps ensure that the best of our heritage blends with the best of each new possibility.

Dr. Larry Grooters

Valley City State University alumni continue to excel in all walks of life. The VCSU Alumni Association recently named Robert D. "Bob" King, '55 as its Distinguished Alumnus. Bob King spent a long and successful career as a teacher, coach, and Executive Secretary of the North Dakota High School Activities Association. He is a recognized authority on high school activities and an outstanding example of successful VCSU alumni across the nation and the world.

Robert D. King
Distinguished Alumnus

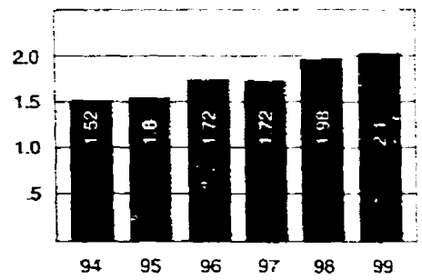
Valley City State University Scholarship Expenditures



Scholarship Growth

The dollars available for scholarships for students attending Valley City State University increased from $177,650 in academic year 1990-91 to $394,929 in 1999-2000, an increase of 122 percent. Approximately 55 percent of all students enrolled at the university receive assistance from scholarship dollars. The growth in scholarships is a direct result of the strong support the university receives from alumni and friends.

Valley City State University Endowment



VCSU Foundation Assets

The assets of the VCSU Foundation grew from $1.52 million in 1994 to $2.1 million in 1999-2000, an increase of 38 percent.

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BUSINESS

LaptopU.

Strategic use of technology has transformed a small North Dakota university into an education pioneer. By Kathleen Melymuka

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BUSINESS

VALLEY CITY, N.D. — A student teacher studying at Valley City State University (VCSU) took her class of elementary students to a computer lab at their school. When she found that the computers weren't working, she spent the next two hours fixing them.

A recent VCSU graduate found herself giving a technology tutorial to a grateful job interviewer. An English major was snapped up as a Web designer. A physical education major who interviewed for a teaching position was also offered a job as the school district's technology coordinator.

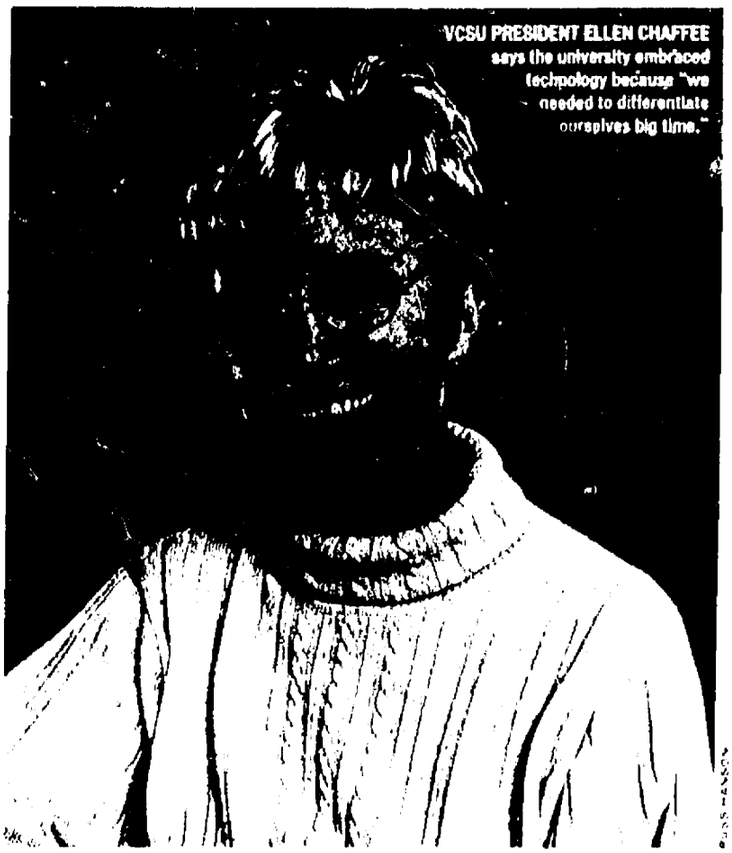
The students' IT skills are the result of a radical transformation at VCSU, a small liberal arts college. "They have actually re-engineered their enterprise around IT," says Mark Luker, vice president of Educause, a nonprofit association in Washington that works to increase technology use in higher education. "They have elevated IT and Internet-based education to the top of their campus strategic plan."

The result is a model for education — and business — of how the strategic use of technology can improve processes (teaching), boost the quality of products (graduates), reinvigorate employees (teachers and staff), tighten relationships with customers (prospective employers of graduates), forge links with the community and ultimately transform the organization.

It all began in the early 1990s, when the small college was facing a 25% drop in the number of seniors coming out of state high schools.

"We needed to differentiate ourselves big time," says VCSU President Ellen Chaffee. "We needed a strategic advantage." The university decided to become a pioneer in the instructional use of technology. Today, it's one of the most wired colleges in the U.S., and that has changed everything.

Surrounded by miles of some of the richest farmland in the world, the red brick spires of VCSU's campus contrast with the flat landscape of southeastern North Dakota. Even greater is the contrast between the Victorian buildings — replete with antique ornamentation — and what they house: a "laptop university" with ubiquitous computing, at which the strategic use of IT has become second nature to everyone on campus, from faculty and students to coaches and groundskeepers. "It's like brushing your teeth," says Les Wong, vice president for academic affairs.



VCSU PRESIDENT ELLEN CHAFFEE says the university embraced technology because "we needed to differentiate ourselves big time."

It wasn't easy. VCSU CIO Joe Tykwinski recalls that by 1995, the teachers had several years of technology training by virtue of a grant from the Bush Foundation in St. Paul, Minn., which provides faculty development awards to area colleges.

VCSU was bursting with ideas that had nowhere to go because the hodgepodge of technology on campus was unworkable. There were obsolete Macintoshes and PCs, different versions of various operating systems, and all kinds of academic and administrative software. There were three computer labs, several piecemeal networks, numerous e-mail systems and no Internet connections.

"The current model would not support the kinds of things the faculty wanted to do," Tykwinski says. The university knew that the answer was to overhaul the entire infrastructure.

But there were huge monetary obstacles. North Dakota ranks 49th among the 50 states in per-capita spending per pupil in higher education, and there was no new money. The only way to finance the ubiquitous computing initiative was to shuffle priorities, reallocate funds internally and win additional grants.

And because VCSU was only the second university to "go laptop" — the first was the University of Minnesota at Crookston — "we had to invent almost every decision as we went along," Chaffee says, "and we could only go on faith that it would be worth doing." More than 30 universities have since followed VCSU's lead.

The university also had to believe that students would come. There was no way around charging a $950-per-student laptop fee, which would raise the cost of attending the school by nearly 50% for a community without a cent to spare. Chaffee knew that the fee could decimate enrollment, but there was no alternative. She held her breath and, remarkably, enrollment didn't fall.

Re-engineering the Classroom

By 1996, virtually every classroom, public area and dorm room had been wired, providing universal access to a

standard platform that includes the campus network, the Internet and a host of peripherals, from big-screen TVs to microscopes. Every student and teacher received an IBM ThinkPad.

Like businesspeople who re-engineer processes to take advantage of new technology, VCSU faculty members had to rethink how they taught. Like many innovations, the technology initiative slowed down processes in the beginning, as teachers saw class time eaten up by technology instruction and minor snafus. But things began to gel, and the results have been revolutionary, if sometimes unexpected.

Linda Whitney, an associate professor of art, recalls how early technical problems changed classroom dynamics as teachers and students worked together to get it right. "It became more of a partnership, as opposed to 'I'm the expert; you're the learner,' " she says.

Dianne Wood, an associate professor of business, says her textbooks have been replaced by the *Sloan Management Review* and *The Wall Street Journal Interactive Edition*. "They don't have to read case studies secondhand," she explains. "They're using the actual references to write their own."

Instructor Jane Hammer, who learned programming by copying code off a blackboard and going to a computer lab once a week to see it run, now has her computer information systems (CIS) students type code into their laptops as she talks, "to see whether what I said really works."

"My Islamic art students can travel through the Dome of the Rock and hear the music and language all at once" on a Web site, says Whitney. "I teach people to make Web pages, and in every section, I'll have someone who says, 'Now I have power!' "

Nearly half the courses are taught, at least in part, over the Web. Wood's class in entrepreneurship, for example, meets online half the time. Students are divided into groups of four and interact on discussion boards between weekly classes.

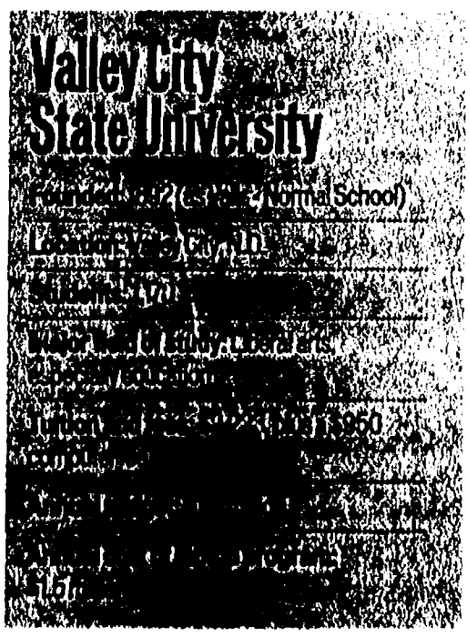
"It's not a traditional lecture/research/write format," says senior Maggie Clemens, who is majoring in English and business. "It's more of a discussion: How can we all learn together?"

While many universities are using IT in class instruction, says Luker, the technology is usually scattered in islands that may not communicate with one another, much like the IT "silos" still found at many companies. VCSU is different, he says, because IT is "a structured, strategic emphasis that runs throughout the organization," just as it should be in the best companies.

Students at VCSU say that technology enables better education for all types of pupils. "Classes that would traditionally be lecture are turning into audiovisual and hands-on, which takes in all types of learners — visual, auditory and kinetic," says Sara Banyai, a history major. Her class recently listened to a lesser-known speech by Martin Luther King Jr. via the Web. "Primary sources are within our grasp," she says. "It makes history tangible."

VCSU is also using technology to improve student skills assessment by means of mandatory "digital portfolios" — CDs that leverage students' technical proficiency to illustrate their mastery of academic subject matter.

A digital portfolio for an education major, for example, might include a Web page with a video of the student teacher giving a lesson, a video of his students working on a project with a voice-over explanation of the principles involved, a



Word document of the lesson plan with hot links to sources, a testimonial by the supervising teacher, and the student's transcript. "It says I know more than what's on my transcript," says senior Ben Bernard.

Seeing Results

Thanks to its two-year computer replacement cycle, VCSU students graduate with computer experience that's equal to or greater than that of their employers, says Chaffee. "Our graduate job placement rate is consistently at or near 100%," she says.

The university works hard to ensure that rate. It has established internships with Great Plains Software Inc. and uses the company's products in its business and CIS classes.

"When we interview a VCSU student, we don't focus on technology, because we know they know it," says Gary Schafer, vice president for existing customers at the Fargo, N.D.-based software maker. "It would be interesting to measure the training costs we've saved hiring Valley City students."

Teachers add that their use of technology tools helps set the stage for lifelong learning, and the university provides many examples. Everyone from administrators to security guards has received technology training, and everyone has access to a PC.

Ron Pommerer, director of facilities services, has just begun computer-aided design training for his staffers, which will help them maintain the physical plant. Don Schaack, the university carpenter, shares a PC with facilities services, but he says he hopes that won't be for long. "The more I learn, the more I want to learn," he says. "I'd like to have my own laptop."

Chaffee says her ultimate goal is to bring technology-oriented business into the region and stop the outflow of talent that's threatening a way of life she feels is worth saving.

"Around here, we say, 'Better leave the keys in the pickup. Somebody might need it,' " she laughs. Gesturing toward the small town beyond the campus and the farms in the distance, she adds, "This is the way America is supposed to be."

Summary of Direct Economic Impacts

Valley City State University significantly impacts the economy of Valley City and the area.

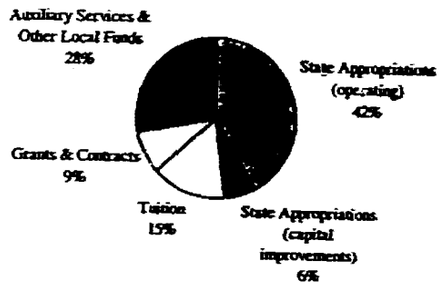
The university, its students, and its employees contribute to the economy in several ways: creating jobs, strengthening the tax base, and expanding the credit base of the community.

VCSU's economic presence creates an estimated 370 secondary jobs outside the university. Secondary jobs are the employment opportunities required by local businesses to support the presence of the university, its students, and employees.

For the current fiscal year, July 1, 2000-June 30, 2001, the university will inject $12.6 million into the economy. Using an economic multiplier factor of 2.8* developed by North Dakota State University, VCSU's direct spending generates a total annual economic impact of $35.3 million.

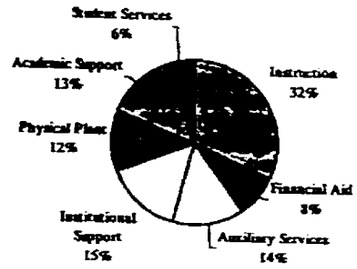
*These calculations were based on the North Dakota Input Output Model developed and tested by agricultural economists at North Dakota State University. The multiplier is a statistical measure that shows the effect of how money cycles through the economy, thus representing the total impact of each dollar

Income



State Appropriations (operating)	$5,569,339
State Appropriations (capital improvements)	762,386
Tuition	1,981,319
Grants and Contracts (including financial aid)	1,112,300
Auxiliary Services & Other Local Funds (food service, dorms, bookstore, continuing education, Kathryn Center, other fees)	3,745,150
Total Income	**$13,170,494**

Expenses



Instruction (faculty salaries, equipment)	$3,821,597
Financial Aid	937,300
Auxiliary Services	1,591,262
Institutional Support	1,824,856
Physical Plant	2,168,951
Academic Support (vice-president, library)	1,516,223
Student Services (admissions, counseling)	731,977
Debt Retirement	30,000
Total Expenses	**$12,622,166**
Multiplier	x 2.8*

Direct Economic Impact in 2000-2001 of $35.3 Million

Work Force

(September 2000)

Full time	
Faculty	56
Staff	96
Subtotal	**152**
Part time	
Faculty	17
Staff	10
Students	160
Subtotal	**187**
Total	**339**

Wages and Benefits

(Fiscal Year 2000)

Faculty, Staff and Student **Total Compensation**	**$7,040,515**

Capital Assets

Land			64 acres
Buildings	No.	Gross Sq. Ft.	Value
Academic	11	258,857	$20,793,820
General	1	8,601	511,880
Auxiliary	8	200,582	14,360,885
Other	9	37,099	842,245
Equipment			$5,207,280
Library Resources			$1,986,735
Total			**$43,702,845**

Summary of Indirect Economic Impacts

Beyond its direct economic impact, Valley City State University has an indirect economic impact as well. Some indirect factors include:

Student spending of $5 million for board, room, and personal expenses.

Visitor spending of approximately $700,000 by those who travel here to attend continuing education programs, Kathryn Center programs, and cultural, athletic, and other events on campus.

When the same economic multiplier of 2.8 is applied to the $5.7 million spent by students and visitors, VCSU generated $16 million in indirect economic impact.

Student Spending

Nine month estimated budget on/off campus

Tuition and fees	$3,173
Room and board	2,892
Books	600
Personal expenses	2,599
Total	$9,264

Nine-month estimated budget at home

Tuition and fees	$3,173
Room and board	2,000
Books	600
Personal expenses	2,599
Total	$8,372

According to figures from the VCSU Financial Aid office, the average student living on campus spends an estimated $2,599 on personal expenses. (VCSU houses 340 students for a total of $883,660).

The 750 students who live off campus spend an estimated $5,491 each for board, room, and personal expenses, totaling $4,118,250.

Taken together, living expenses of students amount to approximately $5,001,910.

Visitor Spending

Throughout the year, the university hosts many events for elementary and secondary students, such as music and speech festivals, Science Olympiads, track meets, and athletic camps. These events bring thousands of people to Valley City from throughout the state, some involving overnight stays.

Student Statistics (Fall 2000)

Total credit enrollment	1,090
Men	44.77%
Women	55.23%
North Dakota residents	78.25%
Barnes County residents	29.63%
Age 25 or older	21.00%
Married	5.78%
Live on campus	34%
Live off campus	66%

Indirect Annual Economic Impact of $16 Million

Valley City State University

Valley City State University is a learner centered community dedicated to continuing improvement in meeting student needs. Preparing individuals to serve in a changing world, the institution provides a quality educational experience in an innovative culture and a technologically enhanced environment.

- Approximately 50 percent of VCSU students intend to become teachers. The nation needs millions of teachers and the state is beginning to experience teacher shortages that will worsen with expected retirements and out-migration of teachers seeking higher pay in other states.

- Approximately 23 percent of VCSU students are majoring in a business-related field.

- Whatever their major, VCSU alumni end up in a wide variety of careers. Our placement rate for graduates is 92 percent.

- Since 1996, VCSU has provided every student and faculty member with full-time use of a notebook computer. A growing number of students state that this asset was a major reason for their choosing VCSU. School personnel prefer our teaching graduates because of their technology skills, as well as their excellent teacher preparation. With our partnership with Great Plains Software, we are preparing an increasing number of individuals for exceptional careers in the information technology field.

For more information about Valley City State University or additional copies of this document, contact the President's Office, 101 College Street SW, Valley City, ND 58072, or call 701-845-7102.

Valley City State University

www.vcsu.nodak.edu

Economic Impact

September 2000

VCSU

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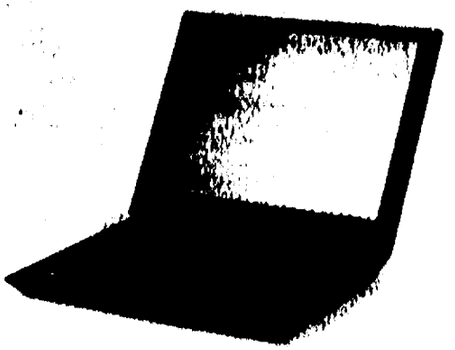
REPORT TO INVESTORS 2000



INTRODUCTION

Valley City State University is pleased to provide you with our fifth annual report to our investors.

The investors to whom we owe our existence include:

- The people and legislators of North Dakota, who provide tax support.
- Our students, who invest their tuition, dollars and their time.
- Our faculty and staff, who invest their professional lives.
- Our community people, who provide time, efforts, and funds.
- Our friends who provide gift and grant support.

We want you to have the information you need in order to assess the payoff from your investment. This booklet includes information used in major national college ranking systems and legislative testimony, as well as answers to questions commonly asked by the media and the public.

In 1996, VCSU became the second university in the nation to provide all faculty and students with notebook computers to enhance learning. Some results of this initiative are reported on page 10.

The emphasis here is on what happens to our students and how they view the university. In addition, we show indicators of efficiency and funding from various sources. Presenting information through graphs and trend lines allow you to determine whether we are improving over time. Where possible, we have include edcational benchmarks.

Accountability is important to us, as it is to you. We have attached a response sheet asking for your suggestions to improve this document in future editions. We hope to hear from you.

ACCREDITATION

North Central Association of Colleges and Schools - Through 2001-02
National Council for the Accreditation of Teacher Education - Through 2001- 02
National Association of Schools of Music - Through 2002 - 03

VCSU Is An EO Institution

About VCSU

Valley City State University

U.S.News 1999 BEST COLLEGE

U.S.News 2000 BEST COLLEGE

U.S.News 2001 BEST COLLEGE

Quick Facts about VCSU

- Fall 2000 enrollment: 1090
- 2000-01 total annual operating budget: $7,365,609
- 1999-2001 capital improvements budget: $847,225
- Top Midwest colleges list, *U.S. News & World Report*, 1998, 1999, 2000
- *Yahoo! Internet Life* Most Wired Colleges list, 2000
- All faculty and students have had notebook computers since 1996.
- Primary programs are in teacher education, business, and liberal arts.
- VCSU enrolls 100 elementary education majors on the NDSU campus
- VCSU has partnerships with Great Plains Software, NetWork Center, and Valley City-Barnes County Development Corporation
- VCSU's use of instructional technology has brought interested visitors from Britain, the Netherlands, and a number of states.
- VCSU has been the subject of about a dozen national articles in the last five years, focusing on technology-based innovations in instruction.

Partners for Economic Development and Workforce Training

The legislative Roundtable encourages campuses to meet North Dakota's business and economic development needs. VCSU's commitment to economic development in North Dakota is long-standing.

For example, the information technology track in business and CIS is customized to prepare graduates for entry positions at Great Plains Software. Students work a 7-month internship at Great Plains for pay and credit.

The NetWork Center (Fargo) offers an intensive computer networking program. Their students may gain academic credit at VCSU for the Center's hands-on program, earning up to one full year toward a baccalaureate degree.

The Valley City-Barnes County Development Corporation is building a 20,000 square foot Regional Technology Center on university land near the Winter Show building, to open in February. Two VCSU technology training programs will be located there, in part to serve start-up technology businesses also in the building.

Indicative of the university's value to economic development, VCSU's president and academic vice president are both elected members of the Valley City-Barnes County Development Corporation.

The Kathryn Center for Lifelong Learning is the university's corporate training and outreach unit, providing leadership, teamwork, computer, and other customized training—at the *company*, on campus, or at a training center in Kathryn, ND. VCSU leases the Kathryn School and brings considerable business to that community.

Since 1994, the Kathryn Center has served thousands of individuals from dozens of businesses and other organizations throughout the state.

National Leader: Improving Learning with Technology

VCSU provides a technology-enriched learning environment. All faculty and students have notebook computers, replaced every two years, and Internet access from classroom seats to student center lounges to dorm rooms. VCSU was second in the nation and is now one of only 36 institutions in the nation to provide such access.

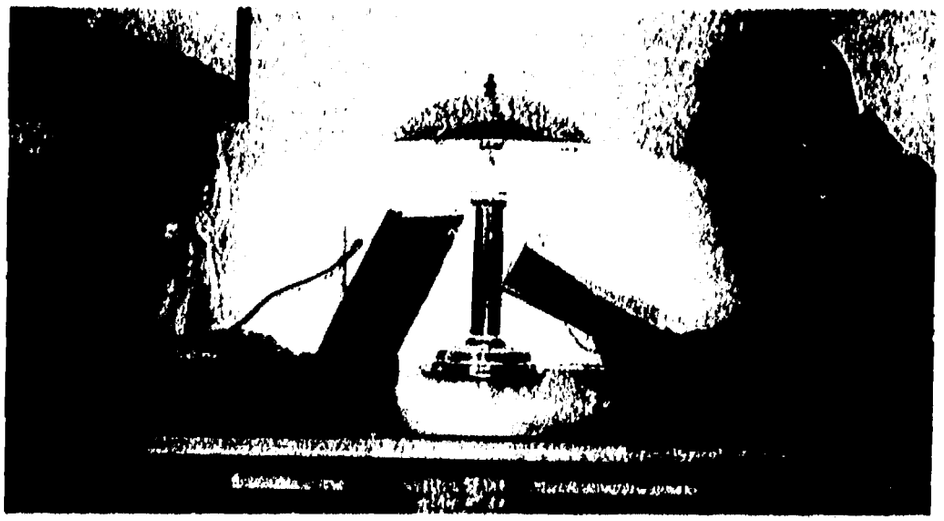
Every VCSU graduate prepares a digital portfolio to document what he or she has learned in college. Research documents that technology improves the learning experience for VCSU students. It also prepares them for jobs in today's schools and businesses.

The Center for Innovation in Instruction and VCSU's technology education program help K-12 schools use instructional technology effectively.

Mayville State and Valley City State Universities: Sharing a Vision

by **Shannon Burgert**

When Ellen Earle Chaffee attends a sporting event between athletic rivals Mayville State University (MSU) and Valley City State University (VCSU), the uninformed observer may think her behavior a bit curious. She starts off on the home-team bleachers, but as the game gets rolling, you'll always find her on the side of the team that is behind. And her garb carefully represents both schools. But Chaffee has good reason—the two North Dakota institutions share her leadership as president.



Students are never far from network connections at either campus. A networked lounge at Valley City State University is a popular hangout.

Chaffee has served both institutions since 1993 in a unique partnership that won the Blackburn award for innovative leadership from the American Association of University Administrators in 1996. The alliance, devised by the higher education system in North Dakota to save resources, consists of a handful of shared administrators.

Mayville and Valley City are 75 miles apart in the Red River Valley, and Chaffee commutes every week between the two universities. Mayville's campus—in a town of 2,000—consists of 750 students and a $5 million annual budget. Valley City State's enrollment is slightly higher at 1,100 students, with a budget of $7.5 million, and the city's population is 7,000. Business, teacher education, and liberal arts have traditionally been the academic cores for both institutions.

In 1996 Valley City State University provided laptop computers to all students and faculty, becoming the second notebook computer campus in the nation. A year later Mayville State became the fourth institution to do the same. The efforts are part of a trend at both institutions to become leaders in instructional technology.

So it's unfortunate that when *Yahoo! Internet Life* magazine has distributed surveys for its annual "most wired" list, Chaffee's two institutions haven't received anything in the mail. Yahoo! has limited the scope to those who are most likely to be well wired, including institutions in certain Carnegie classes, state institutions of a minimum size, and technology-focused institutions.

Although the schools could easily reason why they might not be among the well wired—they are in communities in a rural state with minimal access to advanced networking capabilities and the state's appropriations per student consistently rank among the country's bottom 5—a brief tour of either campus will quickly support campus administrators' estimations that both schools run with the top 10 in the nation.

Universal Computing

MSU and VCSU are in their third and fourth years of their notebook initiatives, supplying ThinkPad computers through IBM. Both universities chose to leap into their implementations with full roll-outs, including all faculty and students from the beginning rather than introducing laptops a class or a discipline at a time. Joe Tykwinski, Valley City's chief information officer, explains, "The classroom instruction

cannot fundamentally change until every student who walks into that class has universal access."

Since network connection is an important component of providing universal access, all classrooms and dorms are wired and, in some cases, rewired, eliminating the need for traditional computer labs. MSU Chief Information Officer Keith Stenehjem notes that though this year the school's answers to the Yahoo! survey will be accepted—the magazine will now allow any school to fill out the survey online—the difficulty is how to give numbers for "computer labs per dorm" when the schools consider every dorm itself to be one big lab.

For high-end capabilities like audio and video capture, multimedia labs are available. Students take advantage of these resources to create CD-ROM portfolios to provide to prospective employers. The PowerPoint-based portfolios typically include work samples as well as audio and video clips of the students and their professors; the presentations give a much more accurate representation of the students' skills than do traditional resumés. VCSU now requires all graduates to have completed a digital portfolio.

A big hit with students and their future employers, the CD-ROM portfolios were launched by a Title III Department of Education grant awarded jointly to the universities. The $1.7 million federal grant supports instructional innovation and collaboration, including multimedia, and faculty training in the use of instructional technology.

Faculty welcome the support. Another grant, awarded to Mayville State several years earlier by the Bush Foundation, gave the institution funds to train instructors in alternative approaches to teaching, or "cooperative learning." The goal of the plan was to reach half the faculty, but 96 percent participated, and MSU was among the first in the nation to embrace cooperative learning techniques campuswide.

Faculty at the sister institutions also gain skills through collaboration, mentoring one another on new skills and ideas. "There are fundamental things in the academy that we're stepping into courageously, and that's a testament to the faculty," says VCSU Vice President for Academic Affairs Les Wong. "They use a wide variety of approaches to teaching—it's a good biological principle that works."

The Environment

Information technology as a discipline is now a key part of the academic focus at both Mayville and Valley City—Mayville, for instance, was just approved for two new minors, in educational technology and e-business—but the infusion of technology as a tool in the general curriculum, into the hands of nontechnical students and faculty, is noteworthy.

Survey results collected by Professor Kathryn Holleque at VCSU clearly show that students believe having their own computers enhances their education. Both universities receive visitors from all over the country and outside its borders to find out what they're doing right.

But the impact of technology's penetration is felt not so much through knowing the replacement cycle or the ratio of computers to students, which is impressive—MSU has 103 computers per 100 students—or even in numbers of students adeptly using their laptops daily.

The impression comes from hearing student teachers talk about using technology in their own classes as if it were second nature, and from hearing that two-thirds of the VCSU faculty headed back to school a week early one August, without extra pay, to learn more about technology. And it comes from the number and quality of job offers to students before they graduate or finish internships. Wong believes the high level of job offers can be attributed to the technology-infused learning environment. He explains, "The skills that students acquire, especially skills for students not trained in a technical field, make them valuable commodities."

Students, faculty, and administrators all confirm that daily life at MSU and VCSU is very different from routines elsewhere, to the extent that they become blasé until they step outside their environments. Says Mayville State Vice President for Academic Affairs Gary Hagen, "There's a whole mindset and vocabulary and way of thinking about things that we've developed through our experiences that other places do not share and do not understand."

Several years ago three Mayville State students planned to appear with Chaffee before the Senate Appropriations Committee to attest to such benefits of their technology-based education. But Blizzard Elmo prevented them from making the trip to the state capitol. Without skipping a beat, the students arranged time on the state's interactive video network to deliver their message with computers and video cameras as if they were in the Senate committee room—to make one of her points, one student even referred to a photo on the committee room wall.

Telecommuting was the answer for current MSU junior Stacy Hall, who is completing an internship with a major defense contractor in Minneapolis that she began there almost a year ago. Using her notebook computer at school, Hall is able to continue work on the project for which she now has full responsibility. Hall also serves as Mayville State's assistant Webmaster.

IT Organization and Planning

Students are critical employees for information technology services at both institutions, especially when one considers the nationwide shortage of IT staff. Students make up the majority of the help desk workers, and a handful of

the permanent staff at each campus are recent graduates.

The full-time technology staff at Mayville can sit around a medium-sized table. Stenehjem oversees the directors of Instructional Technology, the Help Desk, and the Computer Center. The Computer Center comprises two additional staff members, including a recent hire: the first full-time Webmaster. Valley City CIO Tykwinski leads eight staff, including three former students, at VCSU's Information Technology Center, and it also employs 12 current students.

Stenehjem and Tykwinski each report to a vice president for academic affairs. The CIOs are part of their institutions' executive teams, which meet jointly once a month. Stenehjem says, "I think it's appropriate that the CIO reports to Academic Affairs because I see the role first as an academic issue. But it's important to be a part of the discussions of the executive staff as it allows decisions to move forward in a rapid fashion." Stenehjem adds that the small size of his staff creates a similar advantage for efficiency.

As for planning, each campus has a technology planning committee with wide representation as well as basic IT strategic plans. MSU has recently formed a task force to provide statewide leadership in using computers in education and to help produce a computer-literate workforce.

In 1990 the North Dakota State Board of Higher Education charged VCSU with the mission to become a leader in instructional technology for the state university system. Though the institution quickly pursued its new charge, much of the impetus for the integration of technology on both campuses rose from the grass roots.

The Notebook Initiative

Chaffee explains that students and faculty pushed for the notebook initiatives. "One of the things I learned is that people don't resist change; they resist being changed. And this was a case where resistance to change was absolutely not present because it was a consensus view," she says.

Gary Thompson, an education professor at VCSU, says that he saw the notebook initiative simply as a logical progression for the university. "The way I see it, we had no other choice," he says.

That buy-in helped the universities succeed in their initiatives despite what seemed to be impossible costs. The state gave no new money for the notebook computer programs. Half of the funds were achieved through reallocation, and the rest were pulled together through bonds, grants, and a new student fee, which students supported to make universal computing possible. Of the $950 fee, which increased tuition by 50 percent, $100 is earmarked for infrastructure and the balance is allocated toward lease of the laptop and other direct costs.

The standardization of hardware and software minimized an increase in support costs for the university help desks. Students are responsible for their own laptop insurance, which they are encouraged to acquire through parents' homeowner plans.

The notebook initiative on each campus runs between $1 million and $1.5 million per year; the cumulative cost of each notebook initiative over the last three years is nearly equal to the school's entire annual operating budget.

That's why, when Chaffee addressed an EDUCAUSE '99 audience on the topic of universal computing, she said, "If we can do it, so can you."

A Role in the Community

North Dakota claims a population of 640,000 people. Fargo is the largest city at 80,000, and two-thirds of the state's counties are classified as "frontier," meaning you'll find fewer than six people per square mile. While the state is rated top in such areas as safety and educational achievement, North Dakota is expected to lose 25 percent of its high-school graduates in the next few years.

President Ellen Earle Chaffee

From the start, we've been very clear about why we're providing universal student/faculty access to computers. It's to improve learning; technology is a tool. There was a real shared vision about what we should do and why we needed to do it. The initiative developed a life of its own—it was like forming a team to play a game that we were inventing as we went along, but everybody knew so clearly what it should look like that it all fell into place.

We've had benefits beyond what we expected, and we've had problems beyond what we expected, but not with regard to our ultimate goal, just with regard to getting there. It's built up a great deal of self-confidence among the faculty and the staff, and it's built up almost an appetite for change, which is what a learning organization is all about.

I often say that the reason two people used to have this job is that it's just too much fun for one person. I work with people who are passionate about supporting the success of each student and will do whatever it takes. Our students are full partners in the enterprise. Together, they are changing teaching and learning in extraordinary ways.

The state's economy has had to diversify, shifting from a dependence on agriculture and energy.

Technology centers are in the works through both institutions to help bring business into their communities and secure economic stability. Each center will be used as a business incubator and as space for technology training. Using existing facilities, a Department of Commerce grant and support from project partners will help to establish MSU's community technology center. The Valley City-Barnes County Development Corp. is leasing university land to build the Valley City tech center, which will be ready later this year. The building will be the new home for VCSU's Center for Innovation in Instruction (CII), a resource center that trains public school teachers how to use and plan for instructional technology. CII won the CAUSE award for Best Practices in Professional Development in 1996.

Another tenant of the new technology facility in Valley City will be NetWork Center, Inc., a computer hardware company that recently formed a unique partnership with Valley City State. The university and the Fargo-based company will offer training for academic credit at NetWork Center's headquarters. Students can apply the credit toward a bachelor's degree in computer information systems (CIS).

Great Plains Software, also based in Fargo, has a similar partnership with both universities. Offered to CIS and business administration majors, the program trains students through internships that can lead to employment.

MSU students use their university-supplied notebook computers in a class led by Terry Kemmer, a business professor. Kemmer is leading a university task force for academic transformation.

Digital Bridges

But Chaffee's visions reach beyond these partnerships, and her ideas have gained attention and support. MSU and VCSU are not lone technology leaders in the Red River Valley. They are neighbors to the University of Minnesota, Crookston, and Northwest Technical College, the four institutions are among only a couple of dozen in the nation to fully equip their campuses with notebook computers, which they have all done since 1997 or before. Also within the area are three research universities, a liberal arts college, and another technical college.

Chaffee's first idea, "IT Talent Flows North," uses the Red River as a metaphor. She notes that in addition to the training resources of the nearby institutions, the state is well educated and hardworking and successful information technology business is growing. She suggests that the Red River Valley can become a center for IT-related business success in small towns and rural areas, built by strategic workforce development and training.

Her second challenge, "Digital Bridges," is to make possible affordable high-speed Internet connectivity to every home and business in the state. She again lists the available resources: telephone companies that can work together, local, state, and federal leaders who are committed to developing information technology, a state-owned bank, and a state where cooperation is both valued and possible.

Each vision, Chaffee says, is only missing a strategy. Neither is impossible, she argues, and both are worthy of the effort. If they work, they can become national models for rural communities.

Chaffee writes a regular column in the Mayville and Valley City newspapers. In a recent column, Chaffee rallies the community by remembering the same excitement and anxiety when Mayville State and Valley City State toyed with the possibility of providing all students and faculty with notebook computers. She writes, "At the time, the challenges were just as complex, the unknowns just as difficult to anticipate."

Shannon Burgett (sburgett@educause.edu) is a writer/reporter at EDUCAUSE.

Education Sub-Committee of House Appropriations Committee
Thursday, March 8, 2001
Jennifer Feist, Director of Development
Valley City - Barnes County Development Corporation

Thank you for the opportunity to be here today. I would like to address three specific issues this morning:

1. Why the Development Corporation decided to pursue a Regional Technology Center and business incubator;
2. The partnership we have with Valley City State University; and
3. The status of the Technology Center.

In recent years, we have been successful in manufacturing (AgAir, Case Corp., Alliance Pipeline and others) and in telecommunication services (ACI Telecentrics and a customer service center for Blue Cross/Blue Shield). Jamestown experienced equal success in food processing. We knew we did not want to duplicate the infrastructure available 30 miles away. We needed to refocus our efforts. The question was: "what do we have that would set us apart from other communities that would provide a strategic advantage in our economic development efforts?" The answer was apparent as we examined key initiatives at Valley City State University:

1. The establishment of the Kathryn Center for Lifelong Learning (providing customized training in computer and "soft" skills);
2. The notebook initiative... Laptop U; and
3. The partnership with Great Plains Software/Microsoft where core competencies were implemented as part of the University's curriculum.

We saw tremendous opportunity to capitalize on these initiatives that would become the foundation of our new economic development strategy. We knew companies are looking for what VCSU has to offer.

The Regional Technology Center is a visible symbol of the close working relationship the Development Corporation has with Valley City State. The 20,000 sq.ft. facility will house the Center for Innovation in Instruction, the Technology Education Program and a business incubator. The Center will create an environment where higher education and private businesses can grow together and thrive. Specific examples:

1. NetHertz Inc. will interview VCSU students later this month for positions in network design and administration, e-commerce, and web site design and hosting with starting salaries from about $30,000 to $35,000. The three owners are software engineers with clients primarily in southern California plus other parts of the country;
2. A second tenant, Mr. Jeremy Neuharth, will graduate from VCSU this spring in Computer Information Systems and intends to locate in the Center by mid-May. His business is engaged primarily in network administration, web site hosting, and e-commerce; and
3. Stocksheets Inc. will locate its main operations center in the Technology Center. This is an agricultural information technology company providing business-to-business marketing for the livestock industry. Prime candidates are graduates in business, math, communications and education who all have strong technology backgrounds.

Early in the planning and development stages of the project, we looked for a company who could provide networking and technical support services for existing businesses in our area plus new businesses in the Center. I along with Joe Tykwinski, CIO, and Dwight Crabtree, Chair, Division of Business, approached Karl Kostman, President & CEO of the NetWork Center, and told him our story. He was impressed. Today, VCSU has a partnership with the Network Center to provide high level training and educational programs to individuals. The company extended their expertise

In support of the Center and provided the telecommunications equipment (Voice over IP phone and data system).

SEEING RESULTS:

Our partnership with VCSU has generated positive results for companies, area residents and students.

Companies benefit by hiring talented graduates with a high level of skills in information technology plus communication and interpersonal skills. These graduates learn new skills and techniques quickly, adapt easily to change, and solve problems effectively because they know "what to look for". These skills are high on any company's "needs list" because change in the IT world occurs so rapidly.

Companies have access to students year around through internship programs.

VCSU produces a new crop of highly skilled graduates every year.

That translates into a continuous pool of skilled workers for growing companies to access...this year...every year!

Students benefit by gaining real world experience prior to graduating.

Students and area residents have job opportunities that are challenging, match their skills and education and reward them for their credentials.

Two companies are on-board with partnerships with VCSU that they could not secure elsewhere. They found Valley City State's willingness to listen, flexibility, quick response, creativity, and strong sense of business to be exactly what they are looking for.

VCSU is a leader in technology...first in ND and second in the nation...to become 100 percent notebook. Our students live and breathe technology every day. The few number of notebook universities in the US today continually surprises me. We are a model for others to follow.

Companies in today's new economy demand high skills and a clear, accurate picture of what those skills are. Representatives of VCSU participate at the ground level with companies that we are working with. Clearly, our University is a major player in our economic development activity.

The Technology Center is scheduled to open in about a week. Our grand opening is May 1. You are welcome to join the celebration of a bright future. Our dreams are big. Our goals are high. We are working hard to become a leader in information technology for our region. The Regional Technology Center is only the beginning of our Technology Park, a home for new and expanding information technology companies. Valley City is a community that is determined to grow and prosper. We believe in Valley City State University and the vision and commitment of its leadership. On behalf of the Development Corporation and our community, I ask that you support Valley City State University, its efforts and mission for our region and the State of North Dakota.

GIVE & TAKE

College leaders are in danger of being pushed out of the driver's seat at their institutions by market forces.

That was the warning issued by Stanley O. Ikenberry, the departing president of the American Council on Education, speaking at the group's annual meeting in February. He said that higher education risks becoming a mere commodity, a change that would undermine such traditional values as academic freedom and scholarly standards of excellence.

He cited three areas in which he thinks colleges are at particular risk.

"Athletics departments," he said, "live a life of their own, all but professionalized, part of the entertainment industry, purchased through television revenues and shoe contracts."

He also pointed to corporate sponsorship of research and the commercialization of intellectual property. Many faculty members live dual lives, he said, "one as professor and one as entrepreneur-C.E.O., one as mentor and the other as employer." Commercial pressures on research, he added, could harm academic culture by introducing conflicts of interest and conflicts of commitment.

Finally, he said that market forces have too much influence on student-aid policy, "deciding who enrolls, determining what is studied, [illegible] shaping the very reason for [illegible]ing of the campus." Such forces, he said, risk endangering the principle that any qualified student, regardless of family income, should be able to attend college.

He urged college leaders to push harder against the forces of commercialization, to bring sports programs back in line with the academic mission, to be better advocates of need-based aid, and to do more to protect the "integrity of the core academic enterprise."

Mr. Ikenberry, who has led [illegible], for more than four years, will return in June to teach at the University of Illinois at Urbana-Champaign.

■

The University of Virginia School of Law has raised $202.8-million, setting a record for a campaign by a law school.

The money will help pay for $30-million in renovations, construction of a $9-million student-faculty center, 18 professorships, 34 scholarships, academic programs like ethics seminars, and a loan-assistance program for graduates planning public-service careers.

The campaign began in 1993 with a $50-million goal, but had exceeded that amount by the time the drive was publicly announced in 1995. Officials said that 70 percent of the law school's nearly 13,000 alumni contributed to the campaign, which [illegible] part of a universitywide drive that raised $1.3-billion.

Officials at Virginia said the law-school campaign broke a record set in 1998 by New York University's School of Law, which raised $185-million. The law schools at Harvard and Yale Universities each raised $183-million in campaigns that ended in 1995 and 1997, respectively.

MONEY & MANAGEMENT

http://chronicle.com/money

A Fertile Place to Breed Businesses

Campus-based incubators try to help entrepreneurs bring brainstorms to market

BY MARTIN VAN DER WERF AND GOLDIE BLUMENSTYK

THE RECENT DOT-COM BUST has given business incubators a bad name. But the concept of bringing nascent companies together and nurturing them with advice, services—and access to potential investors—is booming on college campuses.

Investors got into incubators in the last two years hoping purely for profits. But their high-flying plans of linking together several hot, young growth companies and then getting rich as each company fed off another's success, fell quickly to earth during the stock market's Internet shakeout.

Colleges plan to use their incubators to spark economic development and to solidify their ties to the local business community. In addition, most colleges hope to use the incubators as conduits to bring to market products and technology developed in campus laboratories, and as outlets—and even recruiting tools—for their most entrepreneurial faculty members and students.

Naiveté may explain some of academe's enthusiasm, because university leaders may not realize the effort and attention that incubators require to succeed. But experts say the trend also reflects a growing appreciation for the value that universities can have in assisting start-up companies.

Universities have deeper pockets and are more patient and stable than easily spooked venture capitalists. They also often have the best-equipped laboratories, the fastest, most robust computer systems, and the most extensive libraries, all of which are usually made available to companies in their incubators. The best-run incubators also provide opportunities to tap into a network of legal, business, and financial experts.

University incubators have spawned some well-known companies. Peapod, the Internet-based grocery service, was started at an incubator affiliated with Northwestern University. MapInfo Corporation, one of the pioneers of mapping software, grew up at Rensselaer Polytechnic Institute's incubator.

Over all, companies that began in business incubators have a better chance of succeeding. About 87 percent of the companies started in incubators were still operating in 1997, according to a survey that year by the National Business Incubation Association. Those companies had been in business for at least "several" years after spending two to three years in an incubator. By comparison, the U.S. Small Business Administration reported in December 2000 that 49.6 percent of all small businesses are still open four years after they started.

Dinah Adkins, chief executive officer of the National Business Incubation Association. "In the next 20 years, every university will have an incubator."

Of the 600 or so nonprofit business incubators in the United States, about 150 are either run by or closely tied to universities and colleges, says Dinah Adkins, president and chief executive officer of the business-incubation association.

She thinks that number is about to explode.

"In the next 20 years, every university will have an incubator," she predicts. "I think there is going to be an incubator in every community that has a public library. It really is becoming the model to support local small business."

A BROAD PUSH

That may be overstating it. Elite research universities that are able to attract corporate interest in their scientific products and investments by venture capitalists—such as Stanford, Harvard, and Yale Universities—see no need for incubators.

"Last year, Yale generated $40-million in licensing revenues, and helped take five companies public," says Alfred E. (Buzz) Brown, technology licensing manager at Yale. "We don't feel we need an incubator in addition to that."

But the presence of incubators in academe is likely to grow as part of the broader push by universities to commercialize their research, which has come under attack from some faculty groups and others who say it compromises their mission.

Incubators, which first appeared on college campuses in 1980, when passage of the Bayh-Dole Act gave universities the right to patent federally financed inventions, have tended to be limited to large research universities. But that is changing. Community colleges and, in some cases,

even small liberal-arts colleges are now developing incubators, albeit typically with very defined goals.

The Marlboro College Technology Center, for instance, is oriented toward developing a hospitable business environment for small e-commerce companies that can just as easily operate from picturesque Brattleboro, Vt., as Boston. The $3.5-million center, which opened in October, was financed with federal funds and a gift from a private donor.

New incubators created by the Borough of Manhattan Community College and Indian River Community College both focus on creating new job opportunities for graduates and others in Internet or digital-media companies. The incubator at San Diego City College was created to offer business start-up opportunities to engineers who had been displaced by the collapse of the local defense industry in the early 1990's.

The incubators don't usually require a large investment, and are relatively inexpensive to operate, costing, on average, $500,000 a year or less. Few make any money, either. Many break even, some lose money. In many cases, the incubators operate out of buildings that were donated, or built with state or federal grants.

Colleges are still learning how to make them successful. Experts who have been watching them proliferate say higher-education officials still have a lot to learn.

"Basically, university-run incubators suck," says Andy Sernovitz, chief executive officer of New York–based Gas Pedal Ventures, a partnership that invests in start-up companies. "Probably the two most opposite concepts in the world are entrepreneurship and tenure."

Mary Lindenstein Walshok, the associate vice chancellor for public programs of the University of California at San Diego, also doesn't believe in setting aside a fixed space for use by companies.

"I think university administrators are so unsophisticated about what is necessary to start companies, they tie themselves down to very structural approaches," says Ms. Walshok. "I think for some universities, the creation of an incubator says, 'Now we are doing it.' I am not sure they create the kind of fertile ground necessary to create successful companies."

Her university offers advice and meetings with venture capitalists to small-company owners, but only on a drop-in basis.

MORE THAN REAL ESTATE

Stephen M. Sammut, founder of a venture-capital fund called Battlemound Ventures, and a part-time instructor on entrepreneurship at the University of Pennsylvania's Wharton School, says, "For a very long time, many incubators were nothing more than conventional real estate."

Only in the past few years have most incubator managers realized that they and their companies will not succeed unless they provide such services as advice on business management and how to tap into funds from private investors and state and federal grant programs, in addition to technological infrastructure.

Certainly that was the case with the incubator at the University of Illinois at Chicago, its backers say. Begun in the first heyday of the incubator movement—the mid-1980's—the project limped along for about a decade until its managers realized that they needed to market it better and improve the services it offered to companies. Now, it's packed to capacity.

The most successful incubators are those most closely tied to the host college's curriculum.

Rensselaer's 28-year-old incubator, one of the oldest university-based facilities in the country, is perhaps the best example. It has spun off more companies than any other university incubator, 170, many of which were started by or worked at by the institution's faculty members and students. Beginning this fall, R.P.I. will begin requiring that students take classes in entrepreneurship before graduating.

Most have not followed that example.

"I would say the vast majority of incubators are not well-integrated into their universities," says Marcia Mellitz, president of the Center for Emerging Technologies, an incubator in St. Louis.

Ms. Mellitz's own incubator, for instance, was set up primarily with money from the federal government, the city, and the state, and is officially run by the University of Missouri at St. Louis. But all 10 companies now housed there are working with the faculty of a private institution in town, Washington University. All of the companies are working in fields related to medicine, Ms. Mellitz says. Washington University has a medical school; her own institution does not.

Incubators often function independently of their sponsoring universities. Sometimes, they are an outgrowth of a university research park, which can itself be a public-private venture. And most incubators have committees to screen companies wanting to locate there.

Ideally, incubator managers hope to see companies move out on their own within about three years. Some incubators increase the rent every year to nudge tenants toward the street. But policies vary. For example, at the biotechnology-oriented incubator at the University of Alabama at Birmingham, companies can stay until their products get approved by the U.S. Food and Drug Administration, even if it takes a decade.

PAYING THE BILLS

Financing models for university-related incubators are changing. Until recently, most emerged from economic-development efforts by states, regions, or localities. Now, private investors are playing a bigger role.

A new incubator at the University of Pennsylvania, PenNetWorks, is being financed by a venture-capital company called the Redleaf Group. As an entry fee, companies allocate a 5-percent ownership stake of their company to the incubator, which is then split between Redleaf and the university. (For student-run companies, Redleaf keeps the whole 5 percent but promises to endow a university chair if the company ultimately takes off.) Companies also pay annual rent based on the number of employees they have.

University officials sought the arrangement because it creates an outlet for entrepreneurial talent. Redleaf, in turn, gets a chance at a stake in early-stage companies. The company is looking to create similar relationships with other universities and says it is close to striking two such deals.

Most other university incubators are not now asking for equity stakes in companies in their incubators, but it is a possibility down the road.

Ms. Adkins, of the National Business Incubation Association, believes that after recent instability in for-profit incubators and the cutbacks in corporate spending on research and development, universities will more commonly be hosts for incubators. It remains to be seen if the incubators will produce revenue for the institutions.

Although universities and federal laboratories have become increasingly important sources of ideas for commercial products, relatively little university-licensed technology has come through the incubators. About 90 percent of the products conceived in university laboratories is now licensed to large established companies like Merck, Dupont, and Cargill, says Kathryn A. Donohue, a Philadelphia-based intellectual-property lawyer.

The role for incubators, she says, is to house more-untested technology "that could be very, very important, but at this point, it is too speculative for a large company to get involved in."

At San Diego City College, Big Dreams but a Mismatch of Priorities

SAN DIEGO

THE EVICTION of the automotive-repair and welding programs in 1995 to make way for a technology incubator was supposed to symbolize San Diego City College's transition into the new economy. But no one sealed the floor, and a remnant of the old economy, used motor oil, keeps seeping up through the carpet.

Augustine P. Gallego, chancellor of the San Diego Community College District, had envisioned the facility as a magnet for technology companies, giving the working-class college a role in the biotechnology, software, and communications industries that have revived the city's economy.

"It's an experience, it's an exposure, and it's a credibility thing," he says.

Now, more than five years after its founding, the lights are on, and 12 companies are in residence, but the incubator is having little noticeable impact.

It has had more companies fail while located there, 11, than graduate to success from it, 4. The City College incubator is not well-known among San Diego's businesspeople. And, the companies that have come have hired few students and have little connection to the college's faculty.

"We have no relationship with the college," says Lia DiBello, research director for Workplace Technologies Research, an employment-training company that has an

Continued on Following Page

John A. Stepsis, interim director of the San Diego incubator. "We are choosing companies more for their potential interaction with the rest of the college."

Continued From Preceding Page

office at the incubator. "It's a different population we deal with. Most of our employees are 19 to 21."

One of her neighbors in the building, Richard F. Freund, the chief executive officer of Nuform, a software-development company, says he has stayed at the incubator for three years because "the cost is maybe three-quarters of what I would pay somewhere else."

His interaction with the college itself is not other than the near misses with students in the parking lot.

"If I was at a real college, I would probably be taking advantage of the college by hiring students and consulting the faculty," says Mr. Freund. "I'm not going to do that here. I'm not going to get anything."

It isn't exactly what the college had in mind.

"I said when I started this that I wanted black kids, blond kids, brown kids to come to this college and be exposed to growing companies where they can get internships, and gain some valuable experience," says Mr. Gallego. "The businesses need to be more aggressive in opening up their shops to the college and to the students."

The students at the college, however, don't have the skills needed by companies in the incubator, most of which are high-tech.

AN INHERENT MISMATCH

There is an inherent mismatch between community colleges and incubators. They have been most commonly set up by research universities to commercialize research discoveries made in the institution's laboratories, to help bright, entrepreneurial students set up companies, and to promote economic development.

But there are no full-time researchers or laboratories strictly for research at San Diego City College. One former student has started a company here, while no faculty members have.

The college ended up with the incubator mostly because neither the University of California at San Diego nor San Diego State University wanted it. The U.S. Department of Commerce insisted that a business incubator be set up with part of a $9-million grant it gave to the city of San Diego in 1992 for defense conversion.

Originally, the thinking was that the incubator would attract many of the engineers laid off when the giants of the San Diego defense industry—most prominently General Dynamics, Hughes Missile Systems, and Rohr—either shut down operations here or were bought by other corporations. Yet by the time the incubator opened in 1995, most of those engineers had already found other jobs, says Joan A. Stepsis, director of the Center for Applied Competitive Technologies at the college, and interim director of the incubator.

The college did not come up with a new plan for the facility, other than to attract technology companies. "I felt the incubator was imposed on City College without anything other than some vague statements that it would help economic development, and that it was a good thing for us to do politically," says Ms. Stepsis.

THE COMMUNITY-COLLEGE ROLE

A growing number of community colleges are opening business incubators, says Dinah Adkins, president and chief executive officer of the National Business Incubation Association. Her organization is in the process of updating its database, but in 1989, the last time a survey was done, 10.9 percent of all nonprofit incubators said they had ties to community colleges. Often, she says, the colleges do not have a strong sense of what role the incubator should play.

"My feeling is there are two things to push community colleges into incubation," she says. "In rural areas, the community college may be the main thing going as far as training employees. If a community college is in a distressed area, it can say, 'We have people teaching business here. We can help support you, give advice, perhaps train your students.' "

At San Diego City College, however, the business program is small, and none of the people starting companies in the incubator are from the neighborhood.

"I know community colleges have been leaders in training technicians, but they have not been places of entrepreneurship," says Tyler Orion, executive director of Pacific Incubation Network, an association of 120 business incubators on the West Coast. She was also the founding director of the San Diego City College incubator and ran it for its first year.

"The mission of the college is to educate students. The mission of the incubator is to grow healthy companies that will produce wealth," says Ms. Orion. "Those two missions don't get together very easily."

Ms. Stepsis says the incubator is now working to change its mix of companies.

"We are choosing companies more for their potential interaction with the rest of the college," she says. "They are all catching on to the idea that they have to do something with us in order to stay here."

Some companies in the incubator have made greater use of the college's resources, including its students and its equipment, such as rapid prototyping machines and sophisticated milling machinery. SeaBotix, for example, plans to introduce in June an underwater robotic camera that will sell for about $7,400, less than a fifth of what the cheapest comparable product costs. It developed prototypes of the machine using the precision machinery at the college, and students helped write some of the computer code used to make the prototypes.

Strain Monitor Systems is building devices that are attached to bridges and buildings—even the Washington Monument—to detect seismic damage.

The incubator is still not what the college envisioned. But it is a marked change from five years ago, when students used the building's garage bays for valve jobs and arc welds. —MARTIN VAN DER WERF

At Rensselaer Polytechnic, a Hothouse to Nurture Student Talent

MARTIN VAN DER WERF

TROY, N.Y.

Look, it's college, awright. So there shouldn't be any surprise in seeing an undergraduate like Vincent J. Pasceri walking the halls in stocking feet, rubbing his eyes, his hair tousled to a peak.

But this is a business office, and Vinny, as he is known, is its C.E.O. Pacing the floor talking into his telephone headset, sometimes fresh off the couch where he spent the night, Mr. Pasceri is every bit the struggling small businessman just trying to keep the doors open.

At age 21, he is heading up his third company, and now, he and 19 of his friends are in a mad race against the wireless electronics industry and a May deadline to get a new product to market. May is important for two other reasons—that's when the financing runs out, and that's when Mr. Pasceri and many of the workers at ProductivityNet graduate from college.

Rensselaer Polytechnic Institute fans the flames of this hothouse. A degree, even from one of the nation's most advanced and respected technical institutions, is not enough to compete in the job market, the institution believes. Entrepreneurial drive is the key. It is out to create an entire army of Vinnys, uber-motivated technophiles obsessed with making the next big discovery, and turning it into riches.

R.P.I.'s business incubator is one of the nation's oldest, founded 20 years ago at the urging of George M. Low, the university's president from 1976-84. A former director of the Apollo space program, he thought the cooperation of government, industry, and academe that had made Apollo possible could be combined to encourage economic development here in the upper Hudson River valley.

Rensselaer is shifting its focus to create more companies like ProductivityNet, which is run by Vincent J. Pasceri (front) and other current students.

The incubator has built an enviable record of success. More than 170 companies have "graduated" from the 1930's-era building that was once a Roman Catholic home for wayward teenage girls. A flag with each company's name hangs from the ceiling of the unheated, enclosed wooden footbridge that links the building with the rest of the campus. About 80 percent of those companies are still in business. They, collectively, have created 1,500 jobs and, last year, they generated $200-million in revenue. Ninety percent of the companies have remained in the region.

The incubator has been so successful that it has spread to two more buildings, a renovated circa 1871 office building downtown and a former chemical plant across the Hudson River in Watervliet.

The R.P.I. incubator has stimulated software development in the area, and such businesses are now one of the region's leading industries. There are 350-400 software companies in the Albany-Troy-Schenectady region, most notably MapInfo Corporation, a leading manufacturer of mapping and demographics products.

MapInfo was started in 1986 by four R.P.I. students and Michael D. Marvin, then the director of the institution's Business and Manufacturing Center and now chairman of the company. "I funded it out of my own pocket," says Mr. Marvin. "Fifteen thousand dollars, and I had to borrow it." MapInfo now has $100-million in annual revenues.

Yet, as much success as the incubator has had, it is about to change—to further emphasize student-run companies.

Historically, about one-third of the companies entering the incubator have been run by students, says the facility's director, Hela I. Musts, who chooses, along with one assistant, which companies may move in. However, in the last year or so he has seen that proportion grow to about 60 percent. Still, Mr. Musts estimates that only about 200 students are actively involved in starting companies, a tiny fraction of the 6,000-plus on the campus.

However, beginning with this fall's incoming freshmen, all students will be required to take courses in entrepreneurship or to have an "entrepreneurial experience" before they graduate, says the institution's president, Shirley Ann Jackson.

Rensselaer doesn't expect every student to start a company, though it hopes more will. The university will increase the number of design and studio classes, send more students to work at small growing businesses, and start an entrepreneurs' dorm.

Already, a student design competition with a $20,000 top prize, has grown from

seven entrants to 36 in the three years it has been held.

Winning a place in the incubator means constant exposure to other professionals a small company needs: experts in financing, intellectual property law, accounting, and marketing regularly donate their services. Students and others running companies are invited to business-plan forums, where they practice making pitches for financing to a panel of venture capitalists. Once a month, there is a dinner, by invitation only, where student business owners are invited to sit down with other business owners and potential investors.

Thirty-two companies are now physically located in the three sites of R.P.I.'s incubator, and another 40 companies are allowed to take advantage of the programming offered there.

'EXACTLY WHAT I NEED'

Karthik Bala, now the chief executive officer of Vicarious Visions, says he attended Rensselaer specifically because of the incubator. He and his brother, Guha, decided when they were in high school in Rochester, N.Y., that they wanted to make video games.

"I got a job [in high school] as a marketer in a gaming company, and ended up as head of marketing," he says. As he headed to college, he thought, of the incubator, "This is exactly what I need. I don't have any idea what I'm doing."

Last year, sales for Vicarious Visions, which published its first game in 1996, were just under $6-million, but Mr. Bala, with contracts to develop games for Sony and Sega, among others, expects them to go over $10-million this year. He is 25; his brother, the company's president, is 24.

There are many other success stories. Molecular OptoElectronics Corporation, which makes components for fiber-optic networks, just raised $20-million in financing. Its work force of 190 people is seven times larger than a year ago. Starfire Systems is manufacturing a liquid polymer that converts to a high-purity ceramic used in heat shields and brakes for aircraft, which was developed in an R.P.I. laboratory. It "could easily be a half-billion-dollar company by 2005," says its president, Walter Sherwood, an R.P.I. graduate who founded the company in 1995.

Back at ProductivityNet, Mr. Pascera and his friends are working on software that would allow a network administrator to diagnose and fix problems remotely from a wireless device, such as a Palm Pilot or a cell phone.

Mr. Pascera has built up his staff, allied mainly with programmers, through the promise of options on now nonexistent stock, but also by keeping everyone in pizza and soda, and the atmosphere light.

His employees dream of slaying the industry giants.

"I had an absolutely miserable experience at Lucent [Corporation]" during a summer internship, says Mike McCutcheon, of Sugar Loaf, N.Y., a senior majoring in computer science who recently turned down a job offer and signing bonus from Microsoft. "I thought, 'Why would I want to do that again?' Here, our deal is we're going to break Vinny's knees if he doesn't get the money."

That laughing in the face of uncertainty is just the kind of fearlessness Rensselaer tries to cultivate. "These," says Mr. Mu[illegible] "are the innovators of tomorrow," a

At U. of Illinois at Chicago, a Turnaround Built on Collegiality and Service

BY GOLDIE BLUMENSTYK

CHICAGO

JIM PETERS is in demand—or, more accurately, the small conference room adjoining his tiny office is.

Space is at such a premium at the Research Center at Chicago Technology Park here that several times a day tenants buttonhole Mr. Peters, the coordinator of the incubator, and beg him to leave his office so they can use his space for a quick meeting with potential investors or customers.

They would use other spaces at this three-story, 57,000-square-foot incubator, but there aren't many. The lunchroom had been converted into a lab for a company that tests for kidney stones. And, though that company just "graduated" to its own building nearby in the research park, most of the lunchroom space is slated to be leased out again, to a young genomics company.

Even the old shower room on the basement level is taken; a company that has been in the incubator for three years developing polymer electronic switches wanted it for a laser experiment.

The space crunch is all the more noteworthy because, for most of its 14-year existence, this facility was a great example of how not to run a university-related incubator.

AHEAD OF ITS TIME

Built for the University of Illinois at Chicago by the state for $7.5-million, the stark, white, concrete-and-glass facility was marketed weakly, had few tie-ins with the university, and offered little nearby "graduation space" for companies that happened to locate there and then were successful enough to outgrow it. As a result, several of its early graduates moved to the suburbs or out of state.

As one of the biggest incubators in the country, and also the first devoted to biotechnology, the Research Center may also have been a little ahead of its time, particularly for Chicago and the Midwest, where biotechnology companies—and the venture-capital firms behind them—developed more slowly than on the coasts.

With its state-of-the-art systems for air circulation and water treatment—necessities because of its labs—the building was costly to operate. And at the time it opened, the university culture itself wasn't as oriented toward the commercialization of research and start-ups as is the case at many institutions today, so professors at Illinois-Chicago didn't flock to it.

Even with the university renting space for noncommercial research, occupancy never exceeded 75 percent, and many of the commercial tenants weren't using the scientific facilities. At one point, managers eager for tenants even ripped out some of the 40 wet labs to accommodate companies.

Its fortunes changed about three years ago, when the state-supported agency that had assumed responsibility for the incubator realized it needed more on-site management and direction.

The center now has more than two dozen tenants, ranging in size from the tiny

Jim Peters, who has led the rebound at Chicago's incubator: "Once you open an incubator, day one, you have to plan for graduation space."

Akure, a two-person company trying to develop vaccines for AIDS, to the fast-growing Integrated Genomics, a gene-sequencing company that began at the incubator in 1998 with three founders and now employs 125 people.

The tenants have high-speed Internet connections (a recent addition), three service rooms with autoclaves (steam-pressured sterilizers), test-tube washing machines, and a vending machine that dispenses enzymes in packets that resemble the detergent packs sold at laundromats. The center has three "cold rooms" the size of walk-in closets, for certain kinds of experiments, though for now, one of them has been converted into a sort of hot room for a company called Fibrogenex. In it sit two dozen jars of pinkish-clear liquid, stored like wine bottles in racks, growing recombinant protein for use in a product that could help gum tissue grow.

The tenants also have Mr. Peters, a voluble, hands-on manager whose attention to marketing and service is credited with helping to turn the incubator around. He has arranged for tenant discounts on services and materials, sponsored scientific seminars and social events, and involved tenants in networking events to help them meet potential financial backers and collaborators. An online job bank is his next priority.

"He markets it like a top-of-the-line condo," says Thomas R. Livingston, executive director of the Illinois Medical District, the agency that took over management of the incubator—and its annual $150,000 deficit—in 1999. By then, the losses and shifting priorities had dampened the university's enthusiasm for the project.

ATTRACTING GO-GETTERS

Under Mr. Peters, occupancy grew, the deficit gradually diminished, and the incubator now breaks even on a budget of about $700,000. The district still has responsibility for running it, but the university contributes by paying half of Mr. Peters's salary.

University leaders are showing renewed interest in the incubator, seeing it as a way to attract entrepreneurial-minded faculty members. A high-level university official has even been named as a liaison, to help incubator companies locate faculty collaborators or cut through red tape when they want to use campus facilities.

While beefing up the recruitment of companies, Mr. Peters uses a stringent admissions policy that takes into account a company's financial backing, its potential for producing jobs, and the scientific merit of its planned products or services.

He's also shown a keenness to evict tenants—four so far—if they don't appear to be progressing, although in some cases, he has let companies stay because their principals offer expertise that other tenants can use, such as experience in winning Small Business Innovation Research grants from the 10 federal agencies that offer them. Companies here collectively hold a total of $12-million in such grants—up from $4-million three years ago. The $12-million accounts for nearly two-thirds of all the money from the program that was given to Illinois companies during the most recent award cycle.

An escalating rent scale, which starts at $21 per square foot, also helps nudge companies along. Turnover ensures that flourishing companies don't occupy space that could be put to better use by a more-promising company.

'LIKE A UNIVERSITY ENVIRONMENT'

The intense support—lacking in the incubator's early years—contributes to the air of collegiality that tenants appreciate.

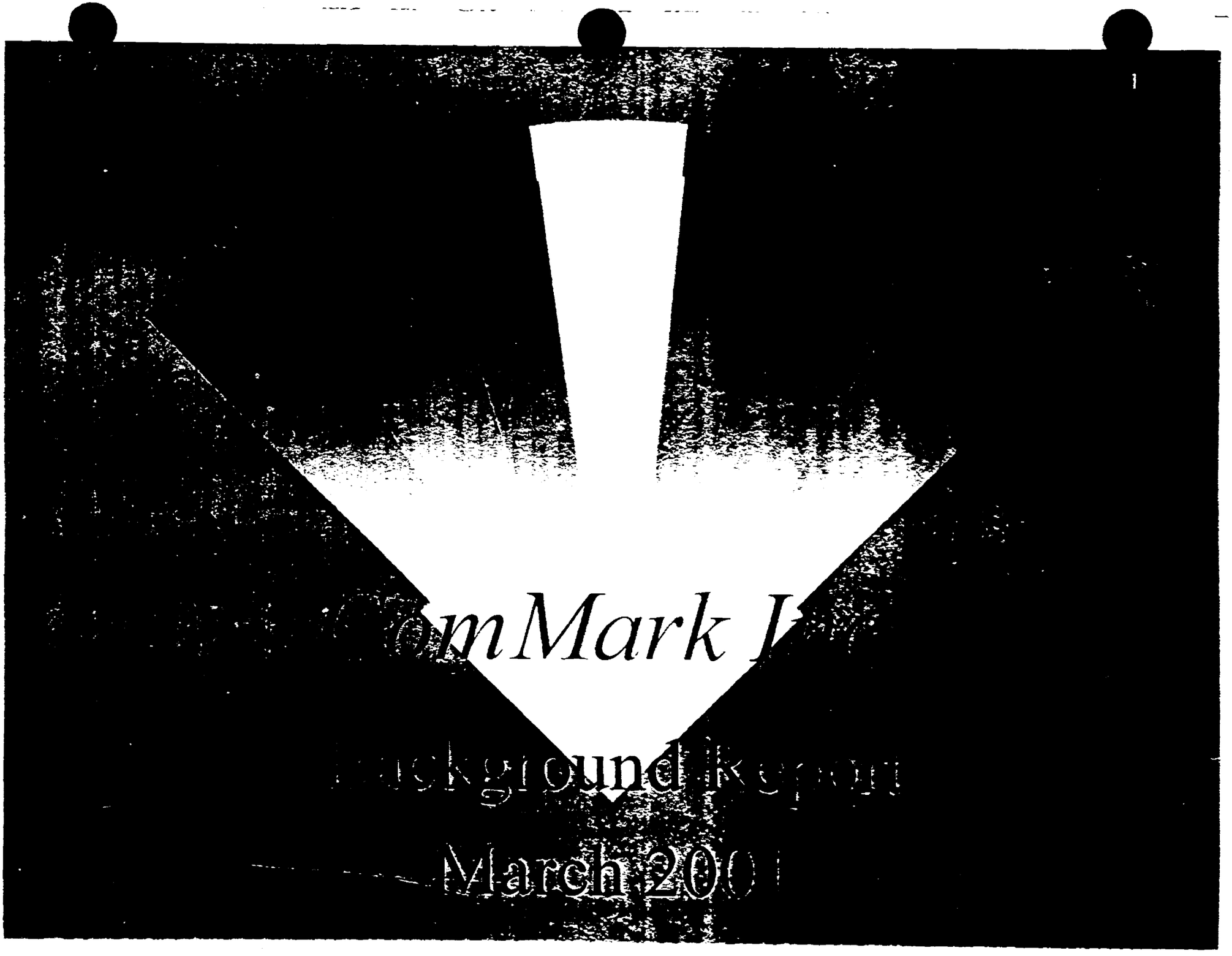
"Today I ran out of nitrogen, so I just ran to my neighbor," says Alireza Gharavi, president of Shayda Technologies, the company that is renting the shower room. "It's like a university environment." He also enjoys the proximity to the actual university. He uses the library, the nuclear magnetic-resonance machine, and the chemical stockroom. The campus is about a 20-minute walk away "if you walk fast."

Mr. Gharavi also has the incubator to thank for some of his financial backing: He met his biggest investor through a connection made at an entrepreneur's forum that he learned about from Mr. Peters.

About 300 people work for companies in this incubator. Another 125 work for companies that have moved to nearby locations within the technology park and participate in the incubator's programs.

Of the 26 companies that have graduated from the incubator, 90 percent are still in business. Nearly half of the 26 have graduated in the past four years. Two of the graduates moved across the street to an old paint factory that was converted in 1997.

Having that building, and others in the works, can keep incubator companies from leaving the Chicago area and the state, says Mr. Peters. "Once you open an incubator, day one, you have to plan for graduation space," he says. ■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ComMark Background History

- Offices in incubation space at UND and MSU
- Formed in December 1995 as consulting business
- Initial Clients in mid-1996
- Initial employees hired in 1997
- Currently serving 100+ clients

Employment

- End of 1998: 5 Paid Employees. 4 FTE
- 1/31/00: 14 Paid Employees. 10.5 FTE
- 3/1/2001: 17 Paid Employees. 15 FTE
- Average Annualized FT Wage: $35,000
- Average Hourly PT Wage: $8.50/$25.00

Market Strengths

- Customer base and technical competencies
- Efficient cost of operation
- Capacity to develop human resources
- Current human resources
- Growing market demand
- Strong Partnerships: EDC's, MSU, UND, Department of Agriculture

TARGET MARKETS

- Proprietary Applications
- Outsourced Programming
- E-Commerce, Internet Design, and Hosting
- Call Center

Benefits to MSU/UND and Region

- Economic impact from employment
- Technical support
- Student Recruitment tool
- Interns/Cooperative Education opportunities
- Visibility and reinforcement of technology focus
- In-class expertise

Benefits From Relationship to Mayville State/TCTC/UND

- Decreased capital requirements for infrastructure
- Access to specialized technical resources
- Credibility in marketplace (principally during the initial start-up period)

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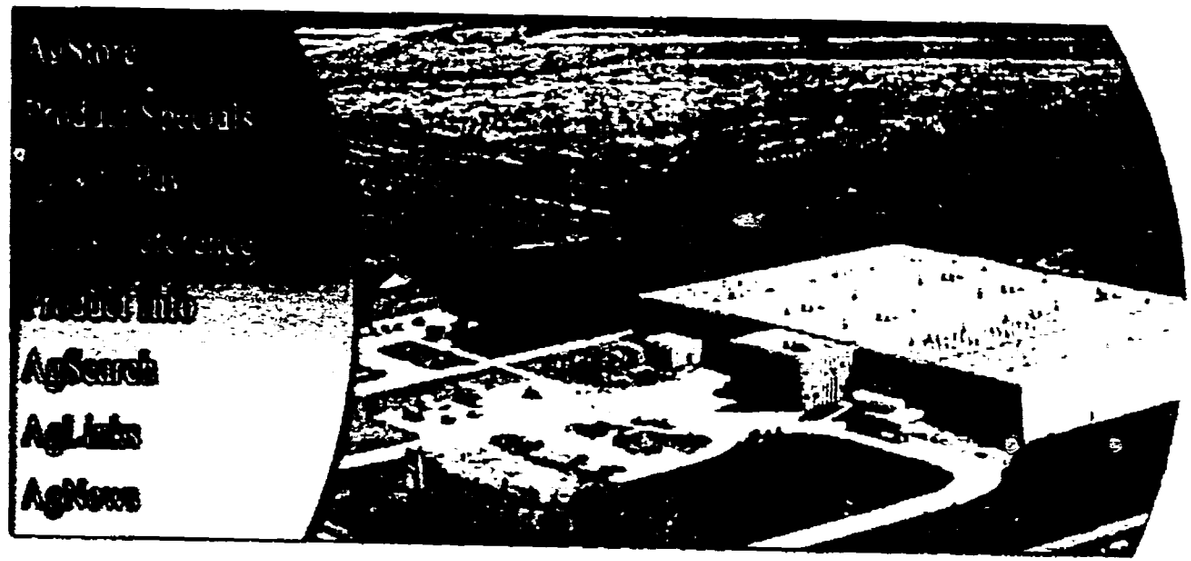

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Fargo Film Festival
By Mike Schoemer

It started as a dream, and eventually it became reality. The Fargo Film Festival, featuring independent filmmakers and crafty celluloid creators, hits the Fargo Theatre this Thursday through Saturday, and various other locations in downtown Fargo. It's a weekend of film and fun, including some champagne, for film lovers.

For the rest of the article, click here

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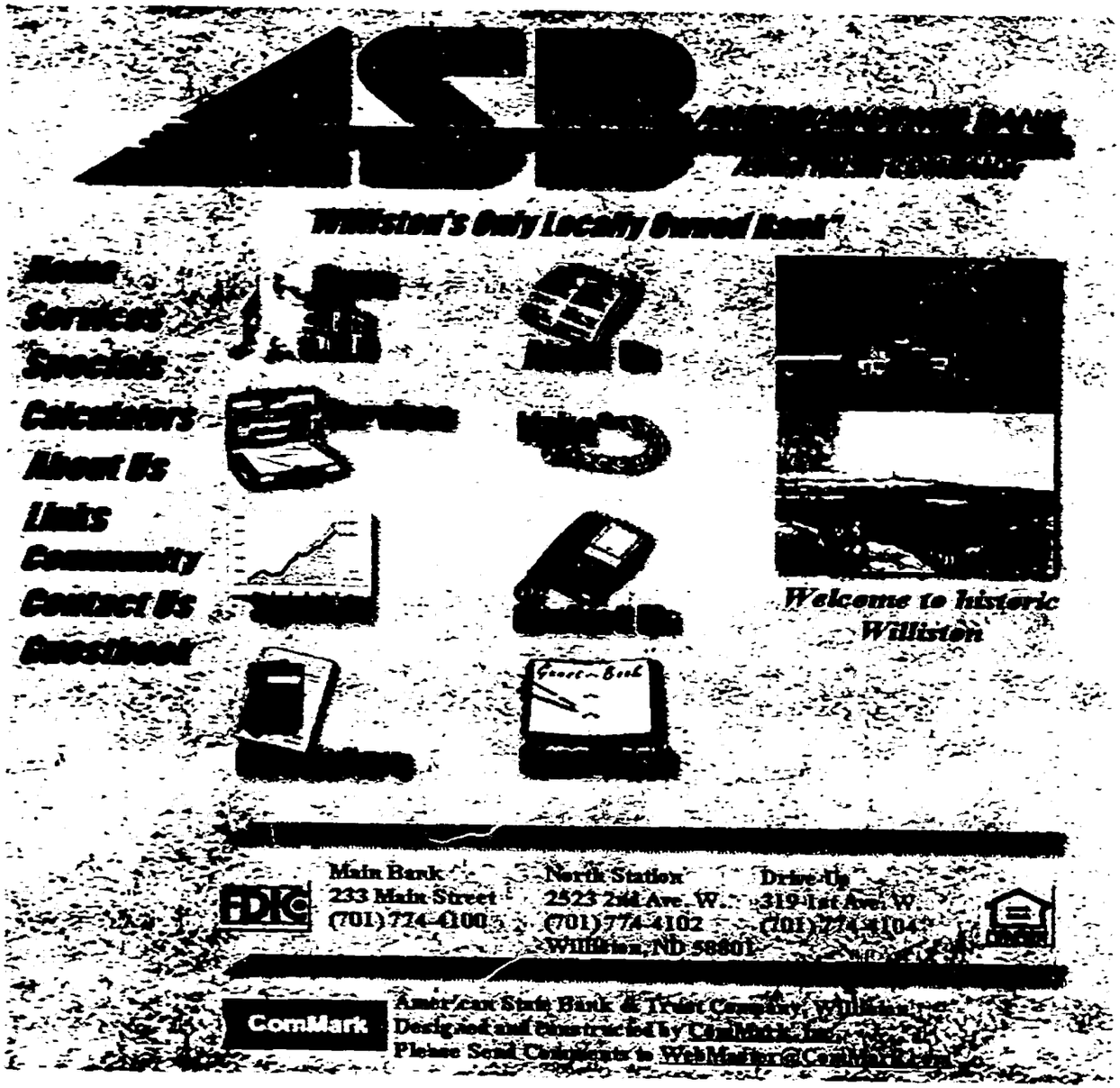
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A grant was awarded to Mayville State University by the United States Department of Commerce, National Telecommunications and Information Administration, Technology Opportunities Program (TOP). Funding is also being provided by Mayville State University, The Traill County Economic Development Commission, the May-Port Economic Development Corporation, and the May-Port CG School District.

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TcTc

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Rick Forsgren, Traill County Economic Development Commission, Director
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Business incubator space

- Various office size configurations

High-speed Internet connectivity

- Competitive rates

Training resources for business IT needs

- University faculty and staff can customize any of your business training needs

Shared services

- Low-cost access to office resources
- Secretarial and administrative support
- Opportunity to share knowledge and expertise with other tenants

Access to computer savvy college student labor pool

- All MSU students have notebook computers
- Computer Information Systems major is well-known throughout region
- All majors have strong emphasis on technology applications
- IT certification for all graduates
- E-business minor now available

Training Available

The Traill County Technology Center will provide or make arrangements for whatever education and training you need, whenever you need it.

The university has a full array of qualified faculty and information technology staff. It also participates in the North Dakota Workforce Training program. Through the program, Mayville State can make arrangements with any other public campus in the state to deliver training to your specifications.

Training services coordinated by the TCTC, uses on-the-job, customized, or classroom approaches.

Faculty and staff are also available for a wide range of consulting services.

An exclusive resource of the TCTC is the ability to customize IT training needs. The TCTC has recently been awarded an IT Workforce Training Grant from Green Thumb. The primary purposes of this pilot project are to:

1) help ease the outflow of workers from rural areas by providing technology training and IT-related jobs that pay a living wage.

2) encourage businesses to stay/expand in rural areas by providing them with the workers they need for their technology related jobs.

A Willing Workforce

According to a September 2000 labor market study, over 11,000 people are available for work in and around Traill County. Eighty-five percent of them, already employed, want better jobs closer to home. Over one-third are professional, technical, and managerial workers. Over 70 percent have education beyond high school. They have an average of six years computer experience. They are looking for a minimum of $8-10 per hour. Three-fourths would be willing to undertake more training in computing and information technology.

In addition, Mayville State University enrolls over 750 students. All have full-time use of a notebook computer with full Internet access. All classes use technology and expect student use outside of class. The university is adopting national standards for IT skills to be expected of every graduate in every major. Students are available for internships, cooperative education, and work for academic credit.

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Prepared by the North Dakota Legislative Council staff for Senate Appropriations
December 29, 2000

Department 240 - Mayville State University
Senate Bill No. 2003

	FTE Positions	General Fund	Other Funds	Total
2001-03 Executive Budget	81.47	$8,594,596	$0	$8,594,596
1999-2001 Legislative Appropriations	81.47[1]	8,659,574	10,308,718	18,968,292[2]
Increase (Decrease)	0.00	($64,978)	($10,308,718)	($10,373,696)

[1] The 1999-2001 appropriation is based on 80.47 FTE positions. Section 6 of 1999 House Bill No. 1003 authorized the University System to adjust FTE positions as needed. The 81.47 FTE positions shown above represent the employee positions reported to the Office of Management and Budget (OMB).

[2] The 1999-2001 appropriation amounts include $5,950 from the general fund for the agency's share of the $1.4 million funding pool appropriated to OMB for assisting agencies in providing the $35 per month minimum salary increases in July 1999 and July 2000, $55,163 from the general fund for the agency's share of the $2,630,992 critical salary funding pool appropriated to the University System to address additional salary increases beyond legislative appropriations for market and equity raises, and $51,603 from the general fund for the agency's share of the $4,290,128 equity and special needs funding pool appropriated to the University System to address equity funding issues and special academic program needs. The 1999-2001 appropriation amounts do not include $381,105 of other adjustments and 1997-99 carryover authority.

Major Items Affecting Mayville State University 2001-03 Budget

	General Fund	Other Funds	Total
1. Consolidates funding into two line items--operations and capital assets.			
2. Provides funding in the capital assets line item for:			
a. Steamline replacement.	$510,000		$510,000
b. Extraordinary repairs of $214,589, which is an increase of $42,918 from the funding provided in the 1999-2001 biennium of $171,671.	$42,918		$42,918
3. Removes appropriations for tuition, continuing education, and other income.		($2,901,916)	($2,901,916)
4. Removes appropriation for local funds.		($7,400,000)	($7,400,000)
5. The executive recommendation does not include funding for recommended compensation plan salary increases of three percent of salaries the first year of the biennium and two percent for the second year. Funding for institutions' salary increases is pooled and provided in the North Dakota University System office budget for the State Board of Higher Education to allocate to individual institutions.			

Major Legislation Affecting Mayville State University

Senate Bill No. 2037 provides a continuing appropriation of higher education institutions' special revenue funds including tuition and allows institutions to carry over at the end of the biennium unspent general fund appropriations.

Senate Bill No. 2038 requires the budget request for the University System to include budget estimates for block grants for a base-funding component and for an initiative-funding component and a budget estimate for an asset-funding component and requires the appropriation for the University System to include block grants to the State Board of Higher Education for a base-funding appropriation and for an initiative-funding appropriation and an appropriation for asset-funding.

Senate Bill No. 2039 allows the State Board of Higher Education to authorize campus improvements and building maintenance projects that are financed by donations, gifts, grants, and bequests if the cost of the improvement or maintenance is not more than $500,000.

Senate Bill No. 2040 allows higher education institutions to provide bonuses, cash incentive awards, and temporary salary adjustments to employees without reporting the activity as a fiscal irregularity.

Prepared by the North Dakota Legislative Council staff for Senate Appropriations
January 18, 2001

Department 249 - Mayville State University
Senate Bill No. 2003

	FTE Positions	General Fund	Other Funds	Total
2001-03 Schafer Executive Budget	81.47	$8,594,596	$0	$8,594,596
1999-2001 Legislative Appropriations	81.47[1]	8,659,574	10,308,718	18,968,292[2]
Increase (Decrease)	0.00	($64,978)	($10,308,718)	($10,373,696)
2001-03 Hoeven Executive Budget	81.47	$8,594,596	$0	$8,594,596
Hoeven Increase (Decrease) to Schafer	0.00	$0	$0	$0

[1] The 1999-2001 appropriation is based on 80.47 FTE positions. Section 6 of 1999 House Bill No. 1003 authorized the University System to adjust FTE positions as needed. The 81.47 FTE positions shown above represent the employee positions reported to the Office of Management and Budget (OMB).

[2] The 1999-2001 appropriation amounts include $5,950 from the general fund for the agency's share of the $1.4 million funding pool appropriated to OMB for assisting agencies in providing the $35 per month minimum salary increases in July 1999 and July 2000, $55,163 from the general fund for the agency's share of the $2,630,992 critical salary funding pool appropriated to the University System to address additional salary increases beyond legislative appropriations for market and equity raises, and $51,603 from the general fund for the agency's share of the $4,290,128 equity and special needs funding pool appropriated to the University System to address equity funding issues and special academic program needs. The 1999-2001 appropriation amounts do not include $361,105 of other adjustments and 1997-99 carryover authority.

Major Schafer Recommendations Affecting Mayville State University 2001-03 Budget

	General Fund	Other Funds	Total
1. Consolidates funding into two line items--operations and capital assets.			
2. Provides funding in the capital assets line item for:			
a. Steamline replacement.	$510,000		$510,000
b. Extraordinary repairs of $214,589, which is an increase of $42,918 from the funding provided in the 1999-2001 biennium of $171,671.	$42,918		$42,918
3. Removes appropriations for tuition, continuing education, and other income.		($2,901,916)	($2,901,916)
4. Removes appropriation for local funds.		($7,400,000)	($7,400,000)
5. The executive recommendation does not include funding for recommended compensation plan salary increases of three percent of salaries the first year of the biennium and two percent for the second year. Funding for institutions' salary increases is pooled and provided in the North Dakota University System office budget for the State Board of Higher Education to allocate to individual institutions.			

Major Hoeven Recommendations Affecting Mayville State University 2001-03 Budget Compared to the Bill as Introduced (Schafer Budget)

	General Fund	Other Funds	Total
1. The Hoeven budget recommendation does not change the Schafer executive budget recommendation for this agency.			

Major Legislation Affecting Mayville State University

Senate Bill No. 2037 provides a continuing appropriation of higher education institutions' special revenue funds including tuition and allows institutions to carry over at the end of the biennium unspent general fund appropriations.

Senate Bill No. 2036 requires the budget request for the University System to include budget estimates for block grants for a base-funding component and for an initiative-funding component and a budget estimate for an asset-funding component and requires

the appropriation for the University System to include block grants to the State Board of Higher Education for a base-funding appropriation and for an initiative-funding appropriation and an appropriation for asset-funding.

Senate Bill No. 2039 allows the State Board of Higher Education to authorize campus improvements and building maintenance projects that are financed by donations, gifts, grants, and bequests if the cost of the improvement or maintenance is not more than $500,000.

Senate Bill No. 2040 allows higher education institutions to provide bonuses, cash incentive awards, and temporary salary adjustments to employees without reporting the activity as a fiscal irregularity.

Prepared by the North Dakota Legislative Council staff for House Appropriations
February 26, 2001

Department 240 - Mayville State University
Senate Bill No. 2003

	FTE Positions	General Fund	Other Funds	Total
2001-03 Schafer Executive Budget	81.47	$8,594,596	$0	$8,594,596
1999-2001 Legislative Appropriations	81.47[1]	8,659,574	10,308,718	18,968,292[2]
Increase (Decrease)	0.00	($64,978)	($10,308,718)	($10,373,696)
2001-03 Hoeven Executive Budget	81.47	$8,594,596	$0	$8,594,596
Hoeven Increase (Decrease) to Schafer	0.00	$0	$0	$0

[1] The 1999-2001 appropriation is based on 80.47 FTE positions. Section 6 of 1999 House Bill No. 1003 authorized the University System to adjust FTE positions as needed. The 81.47 FTE positions shown above represent the employee positions reported to the Office of Management and Budget (OMB).

[2] The 1999-2001 appropriation amounts include $5,950 from the general fund for the agency's share of the $1.4 million funding pool appropriated to OMB for assisting agencies in providing the $35 per month minimum salary increases in July 1999 and July 2000, $55,163 from the general fund for the agency's share of the $2,630,992 critical salary funding pool appropriated to the University System to address additional salary increases beyond legislative appropriations for market and equity raises, and $51,603 from the general fund for the agency's share of the $4,290,128 equity and special needs funding pool appropriated to the University System to address equity funding issues and special academic program needs. The 1999-2001 appropriation amounts do not include $381,105 of other adjustments and 1997-99 carryover authority.

Major Schafer Recommendations Affecting Mayville State University 2001-03 Budget

	General Fund	Other Funds	Total
1. Consolidates funding into two line items--operations and capital assets.			
2. Provides funding in the capital assets line item for: (The Senate increased capital asset funding by $4 million in special funds for renovation of the Lewy Lee fieldhouse.)			
a. Steamline replacement.	$510,000		$510,000
b. Extraordinary repairs of $214,589, which is an increase of $42,918 from the funding provided in the 1999-2001 biennium of $171,671.	$42,918		$42,918
3. Removes appropriations for tuition, continuing education, and other income.		($2,901,916)	($2,901,916)
4. Removes appropriation for local funds.		($7,400,000)	($7,400,000)
5. The executive recommendation does not include funding for recommended compensation plan salary increases of three percent of salaries the first year of the biennium and two percent for the second year. Funding for institutions' salary increases is pooled and provided in the North Dakota University System office budget for the State Board of Higher Education to allocate to individual institutions.			

Major Hoeven Recommendations Affecting Mayville State University 2001-03 Budget Compared to the Bill as Introduced (Schafer Budget)

	General Fund	Other Funds	Total
1. The Hoeven budget recommendation does not change the Schafer executive budget recommendation for this agency.			

Major Legislation Affecting Mayville State University

Section 11 of Engrossed Senate Bill No. 2003 provides legislative intent relating to the content of the State Board of Higher Education's performance and accountability report required by Senate Bill No. 2041.

Section 13 of Engrossed Senate Bill No. 2003 provides a continuing appropriation of higher education institutions' special revenue funds including tuition (originally a provision in Senate Bill No. 2037).

Section 14 of Engrossed Senate Bill No. 2003 requires budget requests for the University System to include budget estimates for block grants for a base-funding component and for an initiative-funding component and a budget estimate for an asset-funding component (originally a provision in Senate Bill No. 2038).

Section 15 of Engrossed Senate Bill No. 2003 requires the appropriation for the University System to include block grants to the State Board of Higher Education for a base-funding appropriation and for an initiative-funding appropriation and an appropriation for asset-funding (originally a provision in Senate Bill No. 2038).

Section 16 of Engrossed Senate Bill No. 2003 allows institutions to carry over at the end of the biennium unspent general fund appropriations (originally a provision in Senate Bill No. 2037).

Senate Bill No. 2039 allows the State Board of Higher Education to authorize campus improvements and building maintenance projects that are financed by donations, gifts, grants, and bequests if the cost of the improvement or maintenance is not more than $250,000. (This bill has been passed by the Senate.)

Senate Bill No. 2040 allows higher education institutions to provide bonuses, cash incentive awards, and temporary salary adjustments to employees without reporting the activity as a fiscal irregularity. (This bill has been passed by the Senate.)

Summary of Legislative Changes Resulting From First House Action

See Statement of Purpose of Amendment (attached).

Mayville State University
Office of the President

December 27, 2000

Larry Isaak, Chancellor
North Dakota University System
600 East Boulevard Ave.
Bismarck, ND 58505

Dear Chancellor Isaak:

I have enclosed the Roundtable Plan and the Strategic Plan Progress Report for Mayville State University.

The Roundtable Plan came primarily from the Institutional Improvement and Assessment Committee, chaired by Dr. Ray Gerszewski. The committee's membership includes faculty members from every division, students, staff, and community people. During fall semester, the committee members frequently engaged their constituencies in reviewing drafts and providing input on the plan. In addition, the Executive Team has been part of that process to ensure that we have appropriate leadership for all goals.

Sincerely yours,

Ellen

Ellen-Earle Chaffee
President

330 Third Street NE, Mayville, North Dakota 58257 • (701) 786-2301
www.masu.nodak.edu

Mayville State University Roundtable Plan

December 2000

Mission
The mission of Mayville State University is to educate and guide students, as individuals, so that they may realize their full career potential and enhance their lives. We do this in an environment that reflects our tradition of personal service, commitment to innovative technology-enriched education, and dynamic learning relationships with community, employers, and society. As a member of the North Dakota University System, Mayville State University offers undergraduate degrees.

Vision
To be known for continuing academic excellence in a cooperative, enjoyable learning environment that anticipates and responds to individual needs.

Core Values
The university is **learner-centered**. Learners are the first priority. The university exists to meet students' needs. Students are involved in many university decisions and in their own learning.
The university believes in **teamwork**. Mutual trust and respect are essential. Caring, enthusiastic faculty and staff work together to meet the needs of students.
The university is **involved in the local community**. The university and region are essential assets to one another for learning opportunities and other resources. Partnerships will expand to encompass schools, businesses, local, and global communities.
The university pursues **continuous improvement**. The university can and will improve everything we do.
The university **focuses on results**. Students and taxpayers are entitled to clear statements of results. Accountability gives university personnel the satisfaction of identifying and improving their results.

Cornerstone Goals
1. Economic Development: Direct connections and contributions of the University System to the economic growth and social vitality of North Dakota.
2. Education Excellence: high quality education and skill development opportunities which prepare students to be personally and professionally successful, readily able to advance and change careers, be lifelong learners, good citizens, leaders, and knowledgeable contributing member of an increasingly global and multicultural society.
3. Flexible and Responsive System: A University System environment which is responsive to the needs of its various clients and is flexible, empowering, competitive, entrepreneurial and rewarding.
4. Accessible System: A University System that is proactively accessible to all areas of North Dakota and seeks students and customers from outside the state. It provides students, business, industry, communities and citizens with access to educational programs, workforce training opportunities, and technology access and transfer – and does so with the same performance characteristics as described in the "Flexible and Responsive" Cornerstone.
5. Funding and Rewards: A system of funding, resource allocation, and rewards which assures quality and is linked to the expressed high priority needs and expectations of the University System – assures achievement of the expectations envisioned.
6. Sustaining the Vision: A structure and process which assures the University System for the 21st century, as described by these cornerstones, remains connected, understood, relevant, and accountable to the present and future research, education, and public service needs of the state and its citizens – sustaining the vision.

Note: All objectives are either in progress or are to start immediately. Accountability measures with an asterisk (*) are from the Roundtable Report.

Cornerstone and Objectives	Complete	Persons/units responsible	Accountability Measures	Roundtable Recommendations
1. Economic Development: Direct connections and contributions of the University System to the economic growth and social vitality of North Dakota.				
Objective ED1: To require all MSU BA/BS graduates to earn an Information Technology certificate appropriate to their major.	9/01	Curriculum Committee	*Levels and trends in percentage of NDUS graduates obtaining employment appropriate to their education: in-state, out of state	**RR-ED2:** Provide continued support for the workforce training delivery system which was enacted by the 1999 legislature
Objective ED2: To offer services, materials, and other features that will help the EDCs attract new technology businesses to the Traill County Technology Center. **Objective ED3:** To collaborate with at least one new business in the Traill County Technology Center for education and training services.	2003 As requested	CIO and Director of Tech. Center	*Level and trends in partnerships and joint ventures between the University System institutions and: business and industry, tribal colleges, private sector training providers, other University System institutions	**RR-ED1:** Actively pursue strategic alliances and partnerships with primary sector businesses and industries which have the strongest potential for expanding the economy of the region and the state.
Objective ED4: To develop materials and strategies that will increase enrollment, with emphasis upon CIS, e-business, project management, ed. tech minor, and the IT track, as well as Secondary Education. (E) **Objective ED5:** To support experiential opportunities of faculty and staff who are able to offer consultation and other services to regional businesses, industry and educational entities **Objective ED6:** To develop more experiential opportunities for students to gain leadership and management skills.	2001 2001 2002	Curriculum Committee, VPAA Director of Co-op Education; Academic Divisions	*Level and trends in enrollment in entrepreneurship courses and the number of graduates of any future entrepreneurship programs	**RR-ED4:** Offer educational programs on the topic of entrepreneurship at every institution within the university system. **RR-ED14:** Identify research and development opportunities which have strong potential for positive economic impacts on the region.

Cornerstone and Objectives	Complete	Persons/units responsible	Accountability Measures	Roundtable Recommendations
2. Education Excellence: high quality education and skill development opportunities which prepare students to be personally and professionally successful, readily able to advance and change careers, be lifelong learners, good citizens, leaders, and knowledgeable contributing member of an increasingly global and multicultural society				
Objective EE1: To establish a cohort group approach, including residence life and student activities components, among freshmen that will increase retention to sophomore year. (E)	2001	VPSA, Residence life staff.	*Levels and trends in proportion of students achieving goal – institution meeting the defined needs/goals as expressed by students	**RR-EE-a1:** Colleges and universities be assertive in attracting, recruiting, registering, and retaining quality students.
Objective EE2: To complete the specification of required outcomes for students, their integration into the curriculum, and assessment processes for general education and all programs.	2002	IIAC, Assessment Team, All divisions, Curriculum Committee	*Level and trends in alumni reported satisfaction with preparation in: major; acquisition of specific basic and higher order skills; level, currency, and relevance of computer technology knowledge and abilities in relation to expectations in the marketplace	**RR-EE-a4:** Colleges and universities clearly identify rigorous course and program learner outcomes; instructors teach to those outcomes, and students be required to demonstrate those learning outcomes.
Objective EE3: To develop an assessment system, including portfolios for all education majors, that will document students' competencies	2002	Education Division, Curriculum Committee	Completion of portfolios	**RR-EE-b4:** There be indicators of quality/excellence for all learning experiences, quality indicators be about application as well as knowledge, and indicators of quality be measured and reports to learners, and to the University system.
Objective EE4: To increase funding for faculty development, subject to the availability of funds.	2001-2003	VPAA	Change in funding for faculty development	**RR-EE-b6:** Faculty members continue to update their knowledge, skills, and teaching strategies, and remain being held in high regard by their students, their colleagues and their profession.
Objective EE5: To conduct annual surveys of graduates and their employers regarding their satisfaction with career and educational preparation.	Annual	All academic divisions	*Level and trends in employer reported satisfaction with preparation of recently hired college graduates	**RR-EE-d4:** The University system, and each of its campuses, takes responsibility to keep each academic program current, and to discontinue those programs no longer meeting a justifiable need.
Objective EE6: To promote the liberal arts as fundamental to the development of those traits necessary for students to become desirable and well rounded employees.	Annual	All academic divisions		**RR-EE-a5:** Students/learners exit courses and degree programs with the skills and attitudes to be lifelong learners prepared to change jobs and careers in a rapidly changing environment and workplace.

Cornerstone and Objectives	Complete	Persons/units responsible	Accountability Measures	Roundtable Recommendations
Objective EE7: To maintain full accreditation from NCATE and North Central.	NCATE 2001 NCA 2005	Curriculum Committee, VPAA	*Maintenance of accreditation of programs and institutions by national and regional accrediting bodies and, acquisition of additional accreditation where appropriate	**RR-EE-b8:** All colleges and universities create a culture of continuous improvement, and they, along with business, industry, and the professions, create the measures and feedback loops required to implement continuous improvement strategies.
Objective EE8: To ensure that all students experience multi-cultural opportunities, including a plan to recruit faculty of diverse backgrounds.	2002	Curriculum Committee, Cultural Diversity Task Force	Increase multicultural activities both within and outside classes. Increase faculty diversity.	
Objective EE9: To offer courses that prepare students for industry certification in information technology, and if financially feasible to serve as a testing site. (E)	2002	Business/CIS Division	Additional courses for industry certification in IT	**RR-EE-d6:** Colleges and universities serve the state by expanding their workforce training services to business and industry.
3. Flexible and Responsive System: A University System environment which is responsive to the needs of its various clients and is flexible, empowering, competitive, entrepreneurial and rewarding.				
Objective FL1: To offer one complete BA/BS major at Lake Region State. (E) **Objective FL2:** To offer one complete program at the Grand Forks Air Force Base. (E) **Objective FL3:** To offer courses and programs at Williston State and ND State College of Science, dependent upon need and availability of funding. (E) **Objective FL4:** To gain authority to offer a Bachelor of Applied Science degree. (E)	2001 2002 2002 2002	Business/CIS Curriculum Committee Curriculum Committee	*Total number and trends, in full-time, part-time, degree-seeking, and non-degree-seeking students being served	**RR-AS-3:** The NDUS must proactively develop and offer programs responsive to the needs of the state and are consistent with the market trends of the future. Programs should be offered in those areas were demand is sufficient. **RR-FL-c1** The colleges and universities of the NDUS systematically identify their customers, study and identify customer/learner needs, and identify the alternative delivery systems available to meet those needs
Objective FL5: To improve services provided by the university through increased access via outreach, distance delivery, and on line community workshops. (E)	2002	Outreach Services, Curriculum Committee	*Levels of satisfaction with responsiveness as reflected through responses to evaluations and surveys of clients: ...	**RR-FL-b2** The NDUS create a "seamless" organization from the perspective of the student. ...

Cornerstone and Objectives	Complete	Persons/units responsible	Accountability Measures	Roundtable Recommendations
Objective FL6: To complete articulation agreements & partnerships with public schools that offer IT courses related to MSU programs. (E)	2002	Curriculum Committee	Additional articulation agreements	**RR-FL-a4** The colleges and universities of the NDUS move from a seat time-based credentialing system ...
4. Accessible System: A University System that is proactively accessible to all areas of North Dakota and seeks students and customers from outside the state. It provides students, business, industry, communities and citizens with access to educational programs, workforce training opportunities, and technology access and transfer – and does so with the same performance characteristics as described in the "Flexible and Responsive" Cornerstone.				
Objective AS1: To develop alternative methods of delivering courses that best meet the needs of learners. (E)	On-going	Curriculum Committee	*Levels and trends in the number of enrollments in distance learning courses by in-state and out of state residents	**RR-AS-2:** Campuses must develop alternative delivery opportunities responsive to the needs of all students-in time, place and format.
Objective AS2: To partner with Minot State University to provide courses as part of a Masters degree program, as long as appropriate credit can be arranged. (E)	2001	Education division	*Levels and trends in the number and proportion of enrollments in courses offered in non-traditional ways (i.e. place, time, format) and in relation to accountability measure #2 above	**RR-AS-11:** The SBHE modify its policies and the campuses modify their procedures to support the expanded client base and the values expressed in this report.
Objective AS3: To complete and publicize among advisors and students articulation agreements with every two-year college and technical school in North Dakota and targeted institutions in the region. (E)	2003	Curriculum Committee, VPAA, Enrollment Services.	*Levels and trends in proportion of students achieving goal – institution meeting the defined needs/goals as expressed by students	**RR-AS-3:** The NDUS must proactively develop and offer programs responsive to the needs of the state and are consistent with the market trends of the future.
Objective AS4: To develop and implement an aggressive campaign to recruit and retain students from out of state and internationally.	2001	Executive Team, Enrollment Services	*Total number and trends, in full-time, part-time, degree-seeking, and non-degree-seeking students being served	**RR-SE-a1** Colleges and universities be assertive in attracting, recruiting, registering, and retaining quality students.
5. Funding and Rewards: A system of funding, resource allocation, and rewards which assures quality and is linked to the expressed high priority needs and expectations of the University System – assures achievement of the expectations envisioned.				
Objective FR1: To raise private funds for major improvements in the Field House. (E)	2002	MSU Foundation	*Levels and trends in annual revenues derived from: state appropriations, student tuition and fees; room, board and other auxiliary operations; research by sector – competitive and	**RR- FR-14:** Campuses allocate renewal and replacement resources for the maintenance of the physical assets based on priorities as established by the campus.
Objective FR2: To sustain external funding through grants and contracts.	2002	Executive Team		

Cornerstone and Objectives	Complete	Persons/units responsible	Accountability Measures	Roundtable Recommendations
including support for continuation of the Technology Center.			non-competitive; and other sources ...	
Objective FR3: To recognize, honor, and reward excellence in teaching and instructional innovation.	2001	Executive Team, Tenure and Promotions committee	Recognition for excellent teaching and instructional innovation.	**RR-FR-13:** SBHE and campuses revise internal policies, procedures and salary administration practices so they are consistent with funding structure and promote the success characteristics identified by the Roundtable and the state.
Objective FR4: To increase faculty salaries to levels compatible with the region and nation, subject to availability of funds.	2003	Executive Team		
6. Sustaining the Vision: A structure and process which assures the University System for the 21st century, as described by these cornerstones, remains connected, understood, relevant, and accountable to the present and future research, education, and public service needs of the state and its citizens – sustaining the vision.				
Objective SV1: To make positive contributions to the goals of the Roundtable and the SBHE by developing, updating, and pursuing an appropriate strategic plan.	Ongoing	Executive Team	The extent to which the recommendations contained in this report are implemented and performance as reflected in the accountability measures is improved: an annual performance and accountability report directly linked to the expectations presented in this report is developed and widely distributed; an annual higher education roundtable meeting(s) is held and accomplishes the purposes indicated in recommendation one above; a status report on the state of the NDUS is presented to the full legislature during each legislative session; the NDUS reports on communications regarding the various recommendations in this report – ...	
Objective SV2: To develop a Comprehensive Development Plan that incorporates this Roundtable Plan and serves as the foundation for a major grant proposal. (E)	2001			
Objective SV3: To develop and submit a major grant proposal that will help support initiatives to improve the university's self-sufficiency. (E)	2002			
Objective SV4: To revise and produce the university's annual Report to Investors so that it serves as an annual accountability report for the Roundtable and the SBHE.	2002			
Objective SV5: To coordinate the MSU planning processes with Traill County strategic planning.	2002			

Given to Senate appropriators - same except these pages can't be used in March before House approp's

Much Better!

Utilities Detail

Utility Type	99-01 Biennial Appropriation	01-03 Biennial Instit. Request	Change Dollar	Change %
Water, Sewer, Waste Disposal	$ 110,000	$ 118,000	8,000	7%
Gas	3,652	4,224	572	16%
Electricity	250,500	266,500	16,000	6%
Coal				
Fuel Oil	358,000	522,500	164,500	46%
Telephone	193,000	187,653	(5,347)	-3%
Total	$ 915,152	$ 1,098,877	$ 183,725	20%

01-03 Biennial Appropriation

99-01 Hold Even Base & 01-03 Institutional Request & Executive Recommendation

	99-01 Biennial Appropriation	01-03 Biennial Appropriation Instit. Request	01-03 Biennial Appropriation Exec. Recom
General Fund	$ 7,899,574	$ 8,566,121	$ 8,594,566
Operations			
99-01 "Hold Even"	$ 7,727,903	$ 7,727,903	$ 7,727,903
Cost to continue		319,849	
Technology applications access		98,043	
Institutional innovation		148,831	
Health insurance increase			14,104
Total	$ 7,727,903	$ 8,294,626	$ 7,810,007
Capital Assets			
99-01 "Hold Even"	$ 171,671	$ 171,671	$ 171,671
Extraordinary repair increase			42,918
Capital project			510,000
Renewal and replacement		99,824	
Total	$ 171,671	$ 271,495	$ 724,589
Total Operations & Capital Assets	$ 7,899,574	$ 8,566,121	$ 8,534,596

01-03 Biennial Appropriation

Hold-Even Budget Reductions and Restoration Proposal

Reductions to fund cost to continue	
Faculty, student services & support staffing	$ 170,260
Repairs, instructional & misc supplies & travel	64,339
Instructional, admin. & facilities equipment	85,250
Total	$ 319,849

Restoration/Reinvestment II cost to continue is funded	
Faculty, student services & support staffing	$ 157,000
Repairs, instructional & misc supplies & travel	77,599
Instructional, admin. & facilities equipment	85,250
Total	

Funding proposal:	
$85 annual tuition increase	$ 160,801
General fund	160,048

01-03 Biennial Appropriation

Capital Improvements and Deferred Maintenance Projects

	Instit. Request	Exec. Recom.
Major Capital Project	$ 719,850	$ 610,000
Steam line replacement - central campus		
Renewal and Replacement	$ 171,671	$ 214,589
To be applied toward projects such as		
Major repairs and remodels		
ADA elevator or other ADA improvements		
Health safety fire alarms or corridor upgrades		
Capital Improvement optional funding request.	$ 99,824	
To be applied toward projects such as		
ADA elevator or other ADA improvements		
Health safety fire alarms or corridor upgrades		
	$991,445	$724,589

Fieldhouse Addition / Renovation
The MSU Foundation has a capital campaign plan t
to raise $3 to $4 million dollars for major repairs and an addition.
An amendment will be introduced upon SBHE approval

Roundtable Realities

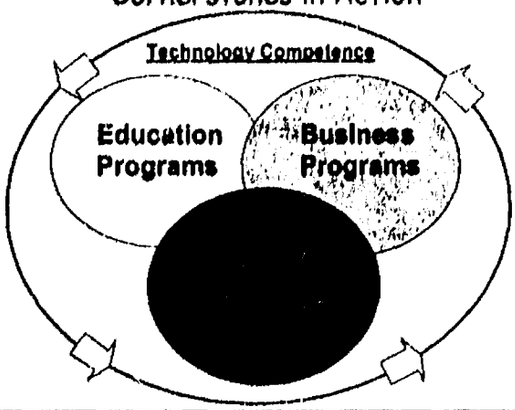
Student Stacy Hall
Vice President Gary Hagen
Mayville State University
January 3, 2001

Mayville State University

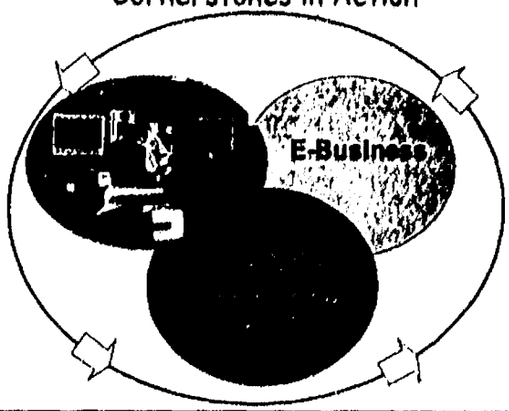
Roundtable Cornerstones

- Economic development
- Education excellence
- Flexible, responsive system
- Accessible system
- Funding and rewards
- Sustaining the vision

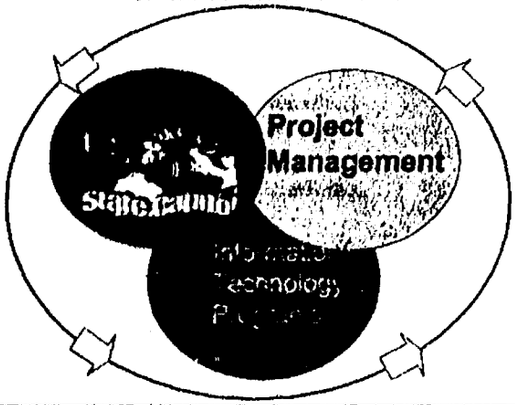
Cornerstones in Action



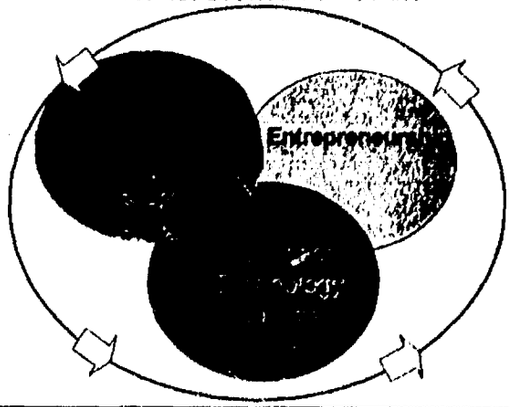
Cornerstones in Action

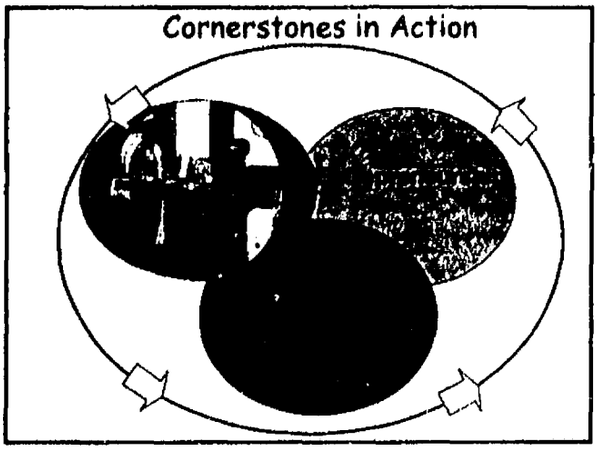


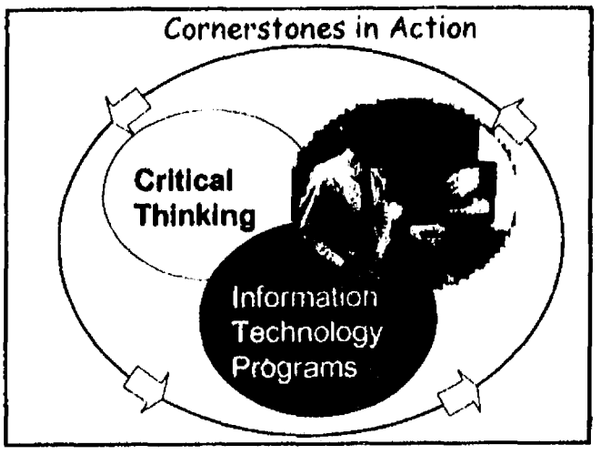
Cornerstones in Action

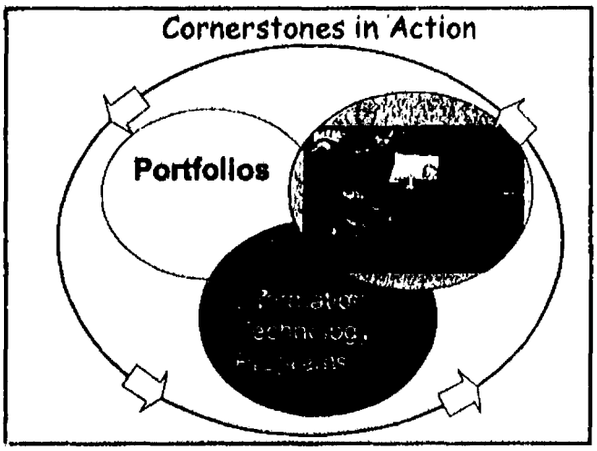


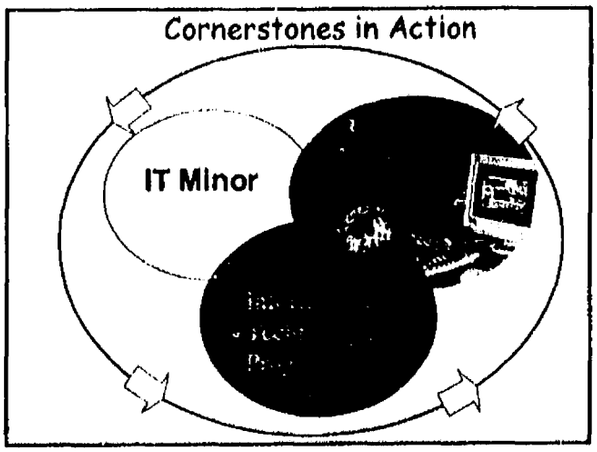
Cornerstones in Action

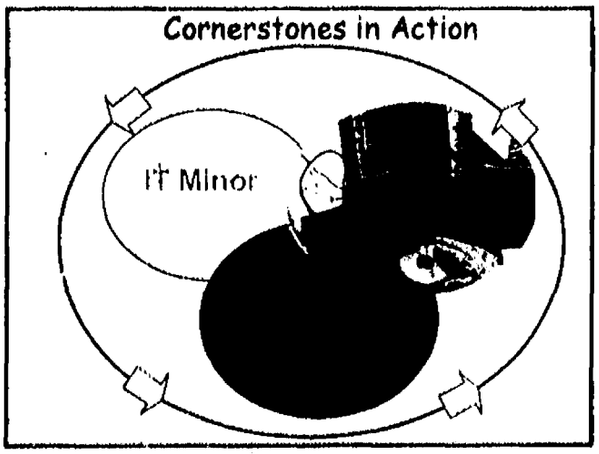


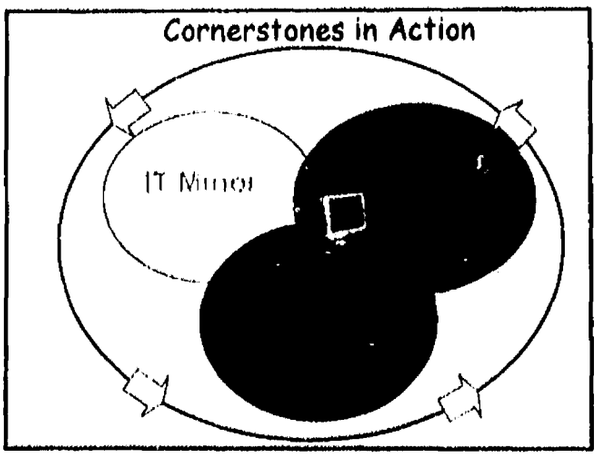

Cornerstones in Action


Cornerstones in Action
Critical Thinking
Information Technology Programs


Cornerstones in Action
Portfolios


Cornerstones in Action
IT Minor


Cornerstones in Action
IT Minor


Cornerstones in Action
IT Minor

Mayville State University

01-03 Biennial Appropriation

99-01 Hold Even Base & 01-03 Institutional Request & Executive Recommendation

	99-01 Biennial Appropriation	01-03 Biennial Appropriation	
		Instit. Request	Exec. Recom.
General Fund	$ 7,899,574	$ 8,566,121	$ 8,594,596
Operations:			
99-01 "Hold Even"	$ 7,727,903	$ 7,727,903	$ 7,727,903
Cost to continue		319,849	
Technology applications-access		98,043	
Institutional innovation		148,831	
Health insurance increase			142,104
Total	$ 7,727,903	$ 8,294,626	$ 7,870,007
Capital Assets:			
99-01 "Hold Even"	$ 171,671	$ 171,671	$ 171,671
Extra ordinary repair increase			42,918
Capital project			510,000
Renewal and replacement		99,824	
Total	$ 171,671	$ 271,495	$ 724,589
Total Operations & Capital Assets	$ 7,899,574	$ 8,566,121	$ 8,594,596

Mayville State University

99-01 Capital Appropriation

Capital Improvements and Deferred Maintance Projects

Major Capital Project:

Boiler repair and steamline replacement	$	1,060,000

Other Projects:

Library Building roof replacement	$	65,000
Classroom Building partial roof replacement		58,000
Electrical panels, circuits & systems upgrade		133,000
Main Building Theatre emergency egress		56,000
ADA projects - sidewalks, door openers		22,600
Networking		10,000
Other minor improvement projects		43,789
Total	$	1,448,389

Utilities Detail

Utility Type	99-01 Biennial Appropriation	01-03 Biennial Instit. Request	Change Dollar	Change %
Water, Sewer, Waste Disposal	$ 110,000	$ 118,000	8,000	7%
Gas	3,652	4,224	572	16%
Electricity	250,500	266,500	16,000	6%
Coal				
Fuel Oil	358,000	522,500	164,500	46%
Telephone	193,000	187,653	(5,347)	-3%
Total	$ 915,152	$ 1,098,877	$ 183,725	20%

Mayville State University

01-03 Biennial Appropriation

99-01 Hold Even Base & 01-03 Institutional Request & Executive Recommendation

	99-01 Biennial Appropriation	01-03 Biennial Appropriation	
		Instit. Request	Exec. Recom.
General Fund	$ 7,899,574	$ 8,566,121	$ 8,594,596
Operations:			
99-01 "Hold Even"	$ 7,727,903	$ 7,727,903	$ 7,727,903
Cost to continue		319,849	
Technology applications-access		98,043	
Institutional innovation		148,831	
Health insurance increase			142,104
Total	$ 7,727,903	$ 8,294,626	$ 7,870,007
Capital Assets:			
99-01 "Hold Even"	$ 171,671	$ 171,671	$ 171,671
Extra ordinary repair increase			42,918
Capital project			510,000
Renewal and replacement		99,824	
Total	$ 171,671	$ 271,495	$ 724,589
Total Operations & Capital Assets	$ 7,899,574	$ 8,566,121	$ 8,594,596

Mayville State University

01-03 Biennial Appropriation

Hold-Even Budget Reductions and Restoration Proposal

Reductions to fund cost to continue		
Faculty, student services & support staffing	$	170,260
Repairs, instructional & mtce supplies & travel		64,339
Instructional, admin. & facilities equipment		85,250
Total	$	319,849

Restoration/Reinvestment if cost to continue is funded		
Faculty, student services & support staffing	$	157,000
Repairs, instructional & mtce supplies & travel		77,599
Instructional, admin. & facilities equipment		85,250
Total		-

Funding proposal:		
$85 annual tuition increase	$	169,801
General fund		150,048

Mayville State University

01-03 Biennial Appropriation

Capital Improvements and Deferred Maintance Projects

	Instit. Request	Exec. Recom.
Major Capital Project:	$ 719,950	$ 510,000
Steam line replacement - central campus		
Renewal and Replacement:	$ 171,671	$ 214,589
To be applied toward projects such as:		
Major repairs and remodels		
ADA elevator or other ADA improvements		
Health safety fire alarms or corrodor upgrades		
Capital Improvement optional funding request:	$ 99,824	
To be applied toward projects such as:		
ADA elevator or other ADA improvements		
Health safety fire alarms or corrodor upgrades		
	$991,445	$724,589

Fieldhouse Addition / Renovation:
The MSU Foundation has a capital campaign plan
to raise $3 to $4 million dollars for major repairs and an addition.
Amendment will be introduced upon SBHE approval.

Senate Appropriations Committee
Testimony on the budget for Mayville State University
January 3, 2001
Stacy Hall, senior student

My name is Stacy Hall and I am currently a senior at Mayville State University. I will graduate in May with a bachelor's degree in Computer Information Systems and a minor in Business Administration.

My second semester at Mayville I got a job on campus as the Assistant Webmaster. I was responsible for maintaining the public website as well as other small projects I was assigned. I have kept this position my entire three years at Mayville State. Many on-campus jobs like mine are available to the students to give them opportunities to apply the skills they learn in the classroom in "real world" situations.

Right after I arrived at Mayville State for my sophomore year, I was strongly encouraged to attend a career fair held in Fargo. Hardly any of the representatives knew where Mayville State University was, so in the process of describing where we were located, I would mention that every student was issued a laptop and every place on campus was wired for constant access to the network. They were always wowed. The employers are typically only interested in juniors and seniors, so I didn't expect to get any job offers, but merely wanted to "get my feet wet." Within a month or so, however, I was contacted by United Defense, a contractor for the Department of Defense that builds tanks for the Army and heavy artillery for Navy ships. They flew me out to their Minneapolis facility for interviews and paid for my plane fare, motel, meals, and transportation! I was essentially hired on the spot and started in May as soon as school let out.

I was amazed to get an internship so early in my college career – especially one that paid $16 an hour – more than my father is making after working for the state for almost 15 years! A few days after I arrived, my supervisor informed me that he was leaving for a position with another company. We spent the next two and a half weeks until he left in intense training sessions so I would be able to take over for him after he left. My job was to get information from all of the team leaders on a weekly and monthly basis and roll that all up in reports to be sent out to the team leaders, upper management, and our contacts in the military. Some of the reports I created were on staffing, progress, and process compliance. One of the "perks" of my supervisor's job that I particularly enjoyed was flying out to Washington, DC once a month for meetings with Army officials. All expenses paid, of course!

As the time neared for me to return to school, my supervisors began to panic. I was the only one trained to do my job, so we discussed telecommuting. I wrote up a proposal outlining the specifics of how I would continue doing my job and about the many benefits that could be gained by such an arrangement. I would use my school-issued laptop to connect to the servers at United Defense and transfer information. The company had never tried telecommuting before, so it was a learning experience for the company, the school, and myself. So I returned to a 20-credit semester load, my junior year of college, and my job as the assistant webmaster in addition to my job at United Defense. To keep in touch and aware of what was going on back in Minneapolis, I would go back once a month. At the same time, I would fly out to Washington, DC. It was rather difficult holding down 2 jobs and keeping up with 20 credits worth of classes and homework with monthly trips around the country, but I managed to survive! In fact, everybody was so excited by what I was doing, they wrote a front-page article in the Grand Forks Herald that was reprinted in various newspapers around the state.

The fall of my junior year, I was again encouraged to go to the career fair, but this time I went as a recruiter and representative for United Defense. Again, I wasn't looking for a job! When there was maybe 10 minutes left in the fair, I did a little roaming and noticed that there were representatives from Retek

there. Retek is the company that my supervisor from United Defense went to. Located in downtown Minneapolis, Retek is a company that develops software for retail companies such as L.L. Bean, Tommy Hilfiger, Target, and Best Buy. I stopped by to visit with the representative to see if she knew my ex-supervisor. She did and we spent about 20 minutes just visiting. She asked for my resume and I gave it to her, thinking nothing of it. Well, I was eventually offered a job in their Software Quality Management group as a Quality Control Analyst for $14 an hour. I could have gone back to United Defense for $18 an hour, but I wanted to diversify myself a little bit and get as much experience as I could while still a student. After my contract with United Defense ran out in May, I returned to the Cities to work for Retek, again working with my previous supervisor. This time, he promised not to go anywhere! My job at Retek was to write scripts that would go out and test the software to make sure it did what we said it did!

I had another great summer internship, made possible because of all the skills I learned at Mayville State. All the skills I gained with having a computer with me 24-7 have been invaluable in making me more attractive and sellable to a potential employer. Employers in every field – computer-related or not – are looking for people with computer skills. It is almost impossible to find a successful business or organization that does not use them in some form or another today. Many of the students at Mayville State who don't consider themselves to be "computer people" at all have also gained these skills and don't even realize it. I feel that because of the technology and the way that it is integrated into each and every class offered at Mayville State, I have been able to secure my two internships and get a terrific jumpstart to my career. I also feel that every student who attends and graduates from Mayville State has that exact same potential. So many of the students take the computer skills for granted and don't even put them on their resume.

We at Mayville State have recently approved a measure that gives a minor in Information Technology to every student who graduates. This program will be tailored for each major and meets or even exceeds national standards for the technological skills required for a given major. This program will give Mayville State students a decided advantage over their peers when they get out into the working world. Mayville State boasts of a 100% placement rate for their graduates with a 98% job satisfaction rate. With companies snatching up graduates with any computer skills whatsoever as fast as they can, there is little wonder that students from Mayville State are in high demand!

I have already been offered a position with Retek after I graduate in May. So I will be returning to the Cities for awhile, but hopefully, after I get things set in motion, I will be able to telecommute with Retek as well. I would like to work out something where I could spend three weeks here in North Dakota and one week down there. I am a North Dakota country girl, and extremely proud of that fact, and I would love to spend the rest of my life living here. Mayville State has given me a great education and a great start to my career. Someone once said that your college years are the most important in your life – you get four for the next 40. If that's any indication, I'm greatly looking forward to the next 40 years of my life! And Mayville State will have had a great deal to do with that.

Contact university for copy or borrow from State Library

Mayville State University

Report to Investors

February 2001

Mayville State University is pleased to provide you with our fifth annual report to our investors.

Ellen-Earle Chaffee
President

The investors to whom we owe our existence include:

- The people and legislators of North Dakota, who provide tax support;
- Our students, who invest their tuition dollars and their time;
- Our faculty and staff, who invest their professional lives;
- Our community people, who provide time, effort, and funds;
- Our friends, who provide gift and grant support.

We want you to have the information you need in order to assess the payoff from your investment. This booklet includes information used in major national college ranking systems and legislative testimony, as well as answers to questions commonly asked by the media and the public.

In 1997, Mayville State became the fourth university in the nation to provide all faculty and students with notebook computers to enhance learning. Faculty and staff satisfaction with this initiative is reported on page 14.

The emphasis here is on what happens to our students and how they view the university. In addition, we show indicators of efficiency and funding from various sources. Presenting information through graphs and trend lines allows you to determine whether we are improving over time. Where possible, we have included national benchmarks.

Accountability is important to us, as it is to you. We have included a response sheet asking for your suggestions to improve this document in future editions. We hope to hear from you.

Mayville State University

330 Third Street NE - Mayville, ND 58257
1-800-437-4104
www.mayvillestate.nodak.edu

Mayville State student uses the Internet to commute to work

Jackie Lorentz, staff photographer

Sally Hall sits with her laptop computer, which she uses to telecommute from her dorm room at Mayville (N.D.) State University to her part-time job in Minneapolis.

▪ Businesses are looking for people who are proficient, and comfortable with computers

By Tu-Uyen Tran
Herald Staff Writer

In her dorm room, Sally Hall has a dozen Tigger dolls, a Christmas tree she couldn't bear to part with, and a direct connection into the computer network of a major U.S. defense company.

It's all legal, of course. The Mayville State University junior isn't a hacker but an intern at United Defense LP's Armaments System Division. Via the Internet, she's able to work on a military project 289 miles away by car, while studying for her computer science degree.

Hall is from Menoken, a town near Bismarck, and spent her freshman year at Bismarck State College.

Guns and a laptop

United Defense, based in Arlington, Va., is helping design the U.S. Army's next-generation artillery system, called Crusader. Hall's job is to compile reports on the development of the self-propelled howitzer's software.

Every week, she talks with lead programmers in Minneapolis on issues ranging from staffing levels to testing. The information is entered into Hall's college-issued laptop, translated into PowerPoint graphics, and sent over a secure connection to company management.

The way Hall talks about her job now, it sounds routine. But when the internship began in May, she was sitting at the bottom of very steep learning curve.

Not only was the software new, Hall recalled, the language was new too: "You can write a whole dictionary on the acronyms we use. It was an intense two-and-a-half weeks."

Within three months, however, she had become indispensable to her department, having taken over her project supervisor's responsibilities. The supervisor had transferred to another department shortly after the internship began. In August, when school started again, Hall and United Defense worked out a telecommuting arrangement with Mayville State.

She now averages about seven hours of work a week for the company and another seven hours as an assistant Web master for the university. But the ability to telecommute has not reduced the need for face-to-face contact. Every month, Hall travels to Minneapolis to talk with the lead programmers.

"It's hard to judge what they're thinking if you can't see their reaction," she said. "If I'm gonna be down there, I like to wait on things that don't need to be done now."

More than just for telecommuters

In a way, what Stacy Hall is doing is not only a fulfillment of the Internet's anywhere-anytime promise, but a validation as well of Mayville State's enormous investment in computer technologies.

Back in 1997, the school became the fourth university in the nation to be completely wired al-

INTERNET: See Page 2A

INTERNET/ Couldn't do it without laptop

Continued from Page 1A

lowing students Internet access virtually anywhere on campus. It issued each student and faculty member a laptop.

That makes it possible for Hall to attend Mayville State, instead of transferring to the University of Minnesota (too big, she said).

"If I didn't have a laptop, I wouldn't be able to do it (telecommute)," Hall said. "I'd have to fight for computers at the labs."

On the flipside, her laptop probably helped Hall win the United Defense internship in the first place.

More than just a tool, school officials said, the laptops are a "foot in the door" for students applying to big-name companies like IBM and Great Plains Software. These businesses, they said, are looking for people who are technology proficient, who are comfortable with computers.

In fact, many Mayville State students have become so comfortable, they take it for granted and forget to list those skills on their resume, said Linda Baier, chairwoman of the business and computer information systems division.

Even skills as basic as sending and receiving files, central to Hall's telecommuting, are worth mentioning, said Jay Henrickson, director of cooperative education.

What's great, he said, is that that broad technological foundation is useful to everyone, from business administration to English majors.

CORRECTIONS

Stacy Hall is a student at Mayville (N.D.) who telecommutes to an intership from the campus. She was misidentified in a story and photo caption on Page 1A Monday.

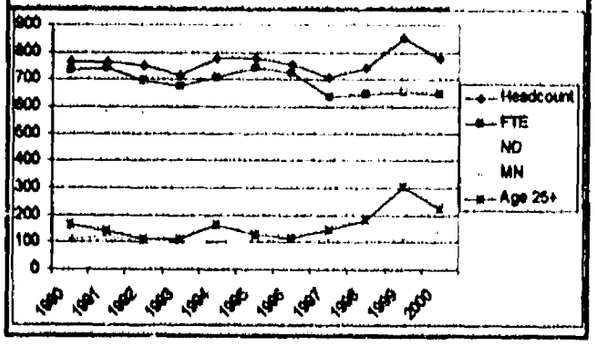
House Appropriations Committee

Mayville State University
March 2001

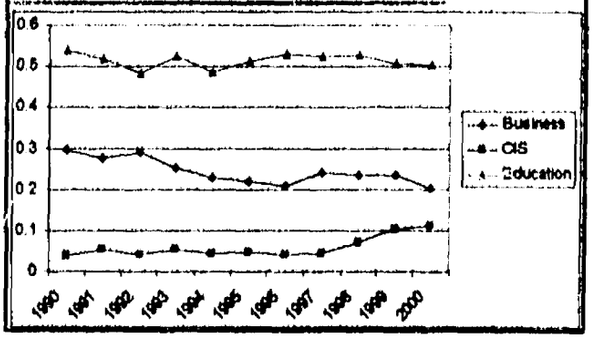
Schedule

- Enrollment, private capital project
- Facilities and budget
  - *President Ellen Chaffee*
- Student view
  - *Stacy Hall*
- Roundtable realities
  - *Vice President Gary Hagen*

Fall Student Enrollment 1990-2000



Enrollment by Major



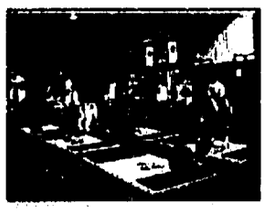
1999-2001 News

- Traill County Technology Center
  - Entrepreneurship
  - On campus
- All graduates: Certificate in IT
- Project Management for ND
- Courses at GFAFB, LRSC, around ND
- Heating system on track

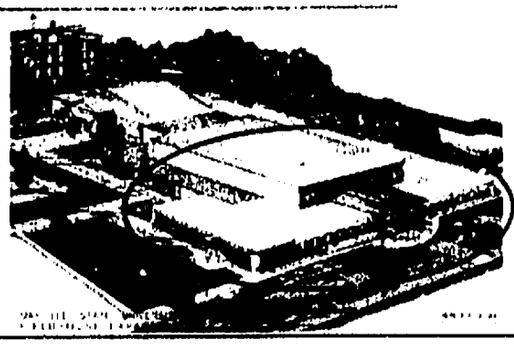
University Entrepreneurship

- $5 million technology initiative, NO state funds
- 32% salary increases since 1993 with 16% state funds
- Traill County Technology Center for business incubation
- $.5+ million annually in grants

Field House Project

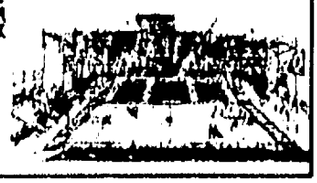


Field House Project



Field House Project

Field House Project



Facilities and Budget

99-01 Capital Appropriation		
Capital Improvements and Deferred Maintenance Projects		
Major Capital Project:		
Boiler repair and steamline replacement	$	1,060,000
Other Projects:		
Library Building roof replacement	$	65,000
Classroom Building partial roof replacement		56,000
Electrical panels, circuits & systems upgrade		133,000
Main Building Theatre emergency egress		56,000
ADA projects - sidewalks, door openers		22,600
Networking		10,000
Other minor improvement projects		43,709
Total	$	1,446,389

Much Better!

01-03 Biennial Appropriation

Utilities Detail

Utility Type	99-01 Biennial Appropriation	01-03 Biennial Instit. Request	Change Dollar	%
Water, Sewer, Waste Disposal	$ 110,000	$ 118,000	$ 8,000	7%
Gas	3,652	4,224	572	16%
Electricity	250,500	266,500	16,000	6%
Coal				
Fuel Oil	358,000	522,500	164,500	46%
Telephone	193,000	187,653	(5,347)	-3%
Total	$ 915,152	$ 1,098,877	$ 183,725	20%

01-03 Biennial Appropriation

99-01 Hold Even Base & 01-03 Senate Recommendation

	99-01 Biennial Appropriation	01-03 Biennial Appropriation
General Fund	$ 7,999,574	$ 8,004,500
Operations:		
99-01 "Hold Even"	$ 7,121,903	$ 7,121,903
Health insurance increase		142,201
Total	$ 7,121,903	$ 7,264,104
Capital Assets:		
99-01 "Hold Even"	$ 171,671	$ 171,671
Extraordinary repair increase		42,018
Capital projects		526,707
Total	$ 171,671	$ 740,396
Total Operations & Capital Assets	$ 7,999,574	$ 8,004,500

01-03 Biennial Appropriation

Cost to Continue & Hold-Even Budget Reductions

Cost to continue:	$ 319,849
2000-01 salary increase	
Utility increase	
2.6% operating inflation	
Reductions to fund cost to continue:	
Faculty & support staffing	$ 170,260
Repairs, supplies & travel	64,339
Instructional & facilities equipment	85,250
Total	$ 319,849
Funding proposal:	
$36 annual tuition increase	$ 169,801

01-03 Biennial Appropriation

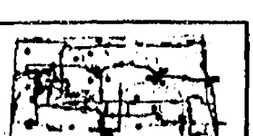
Capital Improvements and Deferred Maintenance Projects

Major Capital Project:	$ 110,000
Steam line replacement - central campus	
Renewal and Replacement:	$ 214,189
To be applied toward projects such as	
Major repairs and remodeling	
ADA elevator & other ADA improvements	
Health, safety fire alarms or corrosion upgrades	
Fieldhouse Addition / Renovation:	
The Foundation has pledged a repayment in the range of $1 to $4 million dollars for the project	

Roundtable Realities

Student Stacy Hall
Vice President Gary Hagen
Mayville State University
January 3, 2001

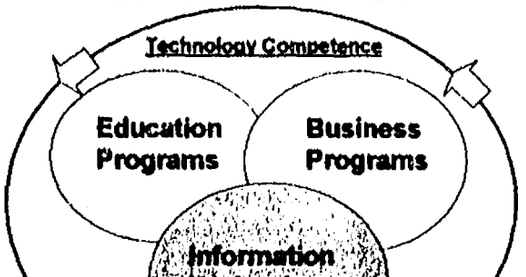
Mayville State University



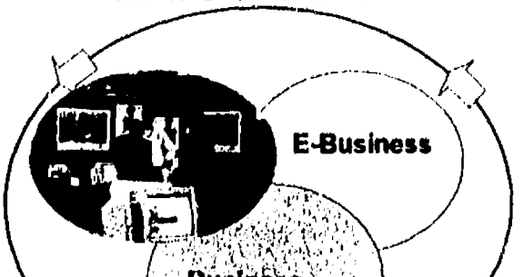
Roundtable Cornerstones

- Economic development
- Education excellence
- Flexible, responsive system
- Accessible system
- Funding and rewards
- Sustaining the vision

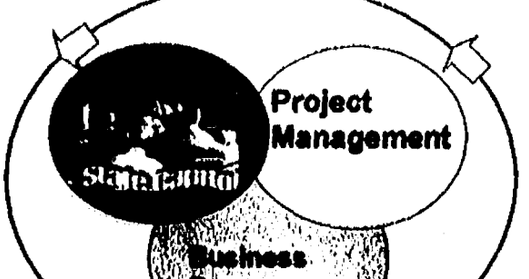
Cornerstones in Action



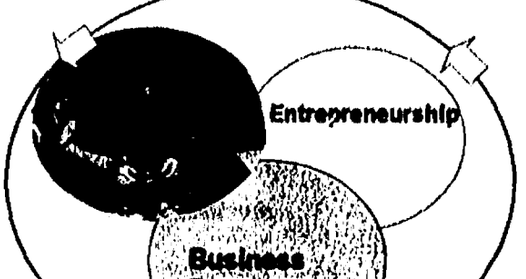
Cornerstones in Action

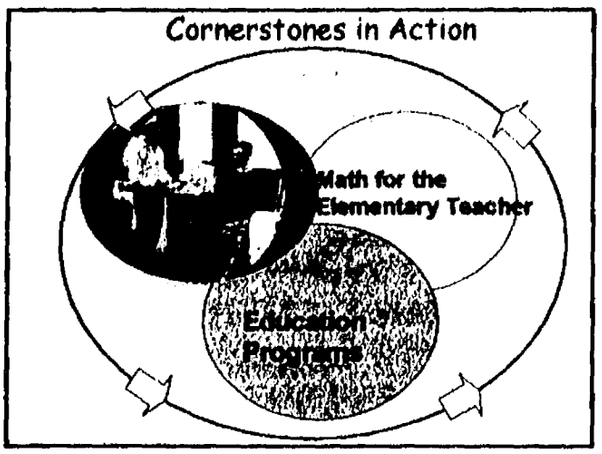


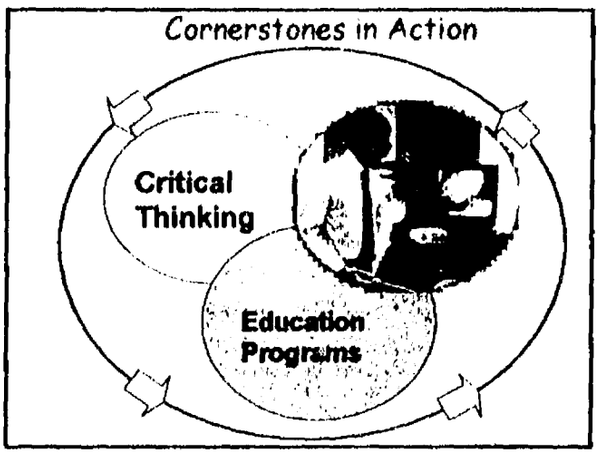
Cornerstones in Action

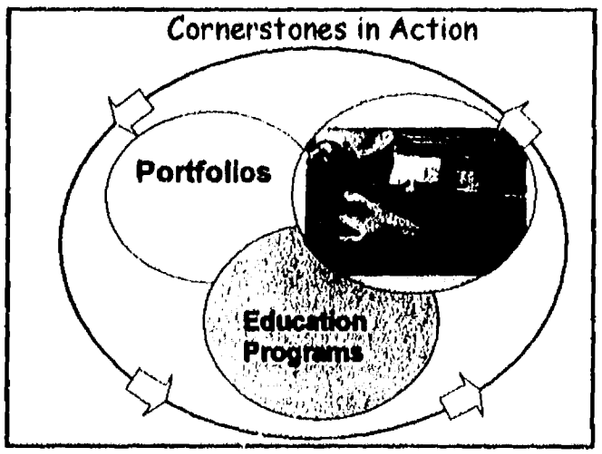


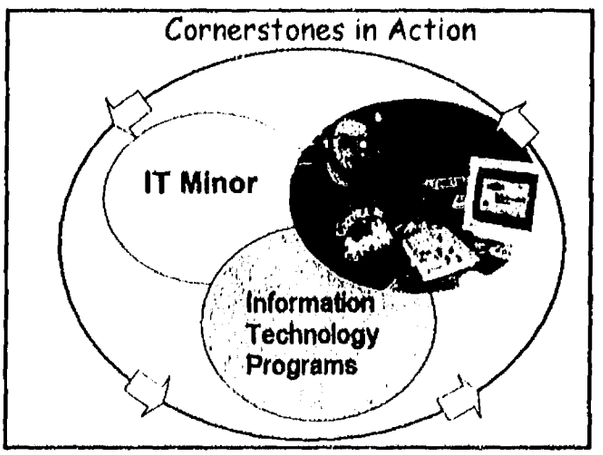
Cornerstones in Action

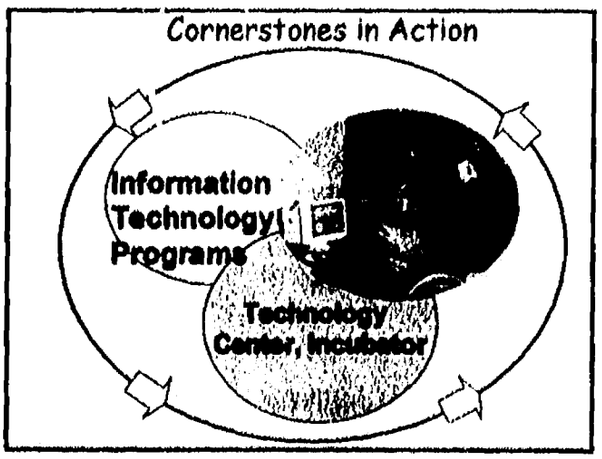


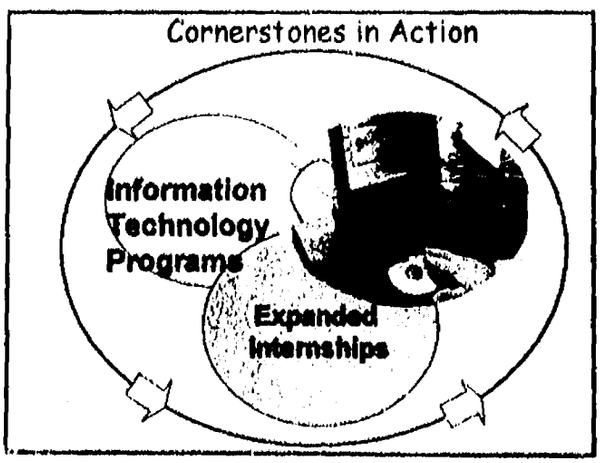

Cornerstones in Action
Math for the Elementary Teacher
Education Programs


Cornerstones in Action
Critical Thinking
Education Programs


Cornerstones in Action
Portfolios
Education Programs


Cornerstones in Action
IT Minor
Information Technology Programs

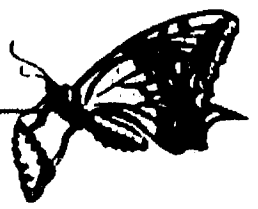

Cornerstones in Action
Information Technology Programs
Technology Center, Incubator


Cornerstones in Action
Information Technology Programs
Expanded Internships

Cornerstones in Action

IT Certification: ALL graduates.

Certified IT skills match national standards for the major.



Adding wings to caterpillars does not create butterflies--it creates awkward caterpillars. Butterflies are created through transformation.

■ Stephanie Pace Marshall

01-03 Biennial Appropriation

99-01 Hold Even Base & 01-03 Senate Recommendation

	99-01 Biennial Appropriation	01-03 Biennial Appropriation
General Fund	$ 7,899,574	$ 8,594,596
Operations:		
99-01 "Hold Even"	$ 7,727,903	$ 7,727,903
Health insurance increase		142,104
Total	$ 7,727,903	$ 7,870,007
Capital Assets:		
99-01 "Hold Even"	$ 171,671	$ 171,671
Extra ordinary repair increase		42,918
Capital project		510,000
Total	$ 171,671	$ 724,589
Total Operations & Capital Assets	$ 7,899,574	$ 8,594,596

01-03 Biennial Appropriation

Cost to Continue & Hold-Even Budget Reductions

Cost to continue:	$ 319,849
2000-01 salary increase	
Utility increase	
2.5% operating inflation	
Reductions to fund cost to continue:	
Faculty & support staffing	$ 170,260
Repairs, supplies & travel	64,339
Instructional & facilities equipment	85,250
Total	$ 319,849
Funding proposal:	
$85 annual tuition increase	$ 169,801

[illegible] Economic Impact

Beyond its direct economic impact, Mayville State University has an indirect economic impact on the community as well. Some indirect factors include:

Student spending of $3.6 million for board, room, and personal expenses.

Visitor spending of approximately $500,000 by those who travel here to attend continuing education programs, and cultural, athletic, and other events on campus.

When the same economic multiplier of 2.8 is applied to the $4.1 million spent by students and visitors, MSU generated $11.5 million in indirect economic impact.

Student Spending

Nine month estimated budget on/off campus

Tuition and fees	$3,182
Room and board	3,026
Books	600
Personal expenses	2,600
Total	**$9,408**

Nine-month estimated budget at home

Tuition and fees	$3,182
Room and board	2,000
Books	600
Personal expenses	2,600
Total	**$8,382**

According to figures from the MSU Financial Aid office, the average student living on campus spends an estimated $2,600 on personal expenses. (MSU houses 252 students for a total of $655,200).

The 524 students who live off campus spend an estimated $5,626 each for board, room, and personal expenses, totaling $2,948,024.

Taken together, living expenses of students amount to $3,603,224.

Visitor Spending

Throughout the year, the university hosts many events for elementary and secondary students, such as Camp MSU, music and speech festivals, district and regional athletic events, career exploration day, and athletic camps. These events bring thousands of people to Mayville from throughout the state, some involving overnight stays.

Student Statistics (Fall 2000)

Total credit enrollment	776
Men	45%
Women	55%
North Dakota residents	71%
Traill County residents	23%
Age 25 or older	29%
Married	14%
Live on campus	32%
Live off campus	68%

For more information about Mayville State University or additional copies of this document, contact the President's Office, 330 Third Street, Mayville, ND 58257, or call 701-786-4754.

Indirect Annual Economic Impact of $11.5 Million

Mayville State University

The mission of Mayville State University is to educate and guide students, as individuals, so that they may realize their full career potential and enhance their lives. We do this in an environment that reflects our tradition of personal service, commitment to innovative technology-enriched education, and dynamic learning relationships with community, employers, and society.

As a member of the North Dakota University System, Mayville State University offers undergraduate degrees.

- Approximately 40 percent of MSU students intend to become teachers. The nation needs millions of teachers and the state is beginning to experience teacher shortages that will worsen with expected retirements and out-migration of teachers seeking higher pay in other states.

- Approximately 16 percent of MSU students are majoring in a business-related field.

- Whatever their major, MSU alumni end up in a wide variety of careers. Our placement rate for graduates is 100 percent.

- Since 1997, MSU has provided every student and faculty member with full-time use of a notebook computer. A growing number of students state that this asset was a major reason for their choosing MSU. School personnel prefer our teaching graduates because of their technology skills, as well as their excellent teacher preparation. With our partnership with Great Plains Software, we are preparing an increasing number of individuals for exceptional careers in the information technology field.

Mayville State University

Economic Impact

October 2000

www.mayvillestate.nodak.edu

Summary of Direct Economic Impacts

Mayville State University significantly impacts the economy of Mayville-Portland and Traill County.

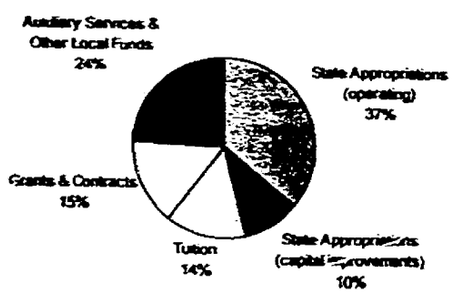
The university, its students, and its employees contribute to the economy in several ways: creating jobs, strengthening the tax base, and expanding the credit base of the community.

MSU's economic presence creates an estimated 307 secondary jobs outside the university. Secondary jobs are the employment opportunities required by local businesses to support the presence of the university, its students, and employees.

For the current fiscal year, July 1, 2000 - June 30, 2001, the university will inject $10.7 million into the economy. Using an economic multiplier factor of 2.8* developed by North Dakota State University, MSU's direct spending generates a total annual economic impact of $30 million.

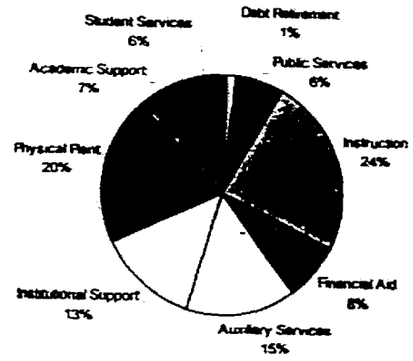
*These calculations were based on the North Dakota Input Output model developed and tested by agricultural economists at North Dakota State University. The multiplier is a statistical measure that shows the effect of how money cycles through the economy, thus representing the total impact of each dollar spent.

Income



State Appropriations (operating)	$3,864,970
State Appropriations (capital improvements)	1,092,156
Tuition	1,523,951
Grants and Contracts (including financial aid)	1,657,407
Auxiliary Services & Other Local Funds (food service, dorms, bookstore, continuing education, other fees)	2,592,375
Total Income	**$10,730,859**

Expenses



Instruction (faculty salaries, equipment)	$2,603,716
Financial Aid	836,912
Auxiliary Services	1,601,123
Institutional Support	1,448,781
Physical Plant	2,190,833
Academic Support (vice-president, library)	715,168
Student Services (admissions, counseling)	639,423
Debt Retirement	143,810
Public Services	694,903
Total Expenses	**$10,730,859**
Multiplier	x 2.8*

Direct Economic Impact of $30 Million in 2000-2001

Work Force

(September 2000)

Full time	
Faculty	41
Staff	97
Subtotal	**138**
Part time	
Faculty	16
Staff	13
Students	130
Subtotal	**159**
Total	**297**

Wages and Benefits

(Fiscal Year 2001)

Faculty, Staff and Student **Total Compensation**	**$5,248,386**

Capital Assets

Land			65 acres
Buildings	No.	Gross Sq. Ft.	Value
Academic	6	176,720	$13,087,479
General	8	10,312	396,827
Auxiliary	5	182,621	12,333,831
Other	1	4,800	28,200
Equipment			$1,760,380
Library Resources			$1,627,227
Total			**$29,233,944**

Mayville State University

www.mayvillestate.edu
800-437-4104 or 701-786-2301

Leaders for Learning

Mayville State University is a powerful regional asset for personal and professional growth. It offers two-year and four-year liberal arts and professional degrees to nearly 800 students of all ages in a unique environment. Every student has had full personal use of a notebook computer since 1997. MSU was the fourth university in the country to provide people in all fields with the tools for our technology-driven economy.

Employers seek out MSU's graduates in business and teaching, with their strong professional backgrounds enhanced by leading-edge technology skills. Programs in computer information systems, e-business, project management, and information technology specializations provide skilled employees for information technology businesses. The university is home to the Traill County Technology Center, a business incubator for start-up and satellite enterprises that often hire students as highly skilled part-time workers. The university also partners with economic development agencies and area businesses to provide customized workforce training on campus or at the business.

The university is an attractive neighbor and a superb environment for learning. The red brick buildings and traditional campus date back as far as the university's founding in 1889. The handsome setting is a serene, private-college-like setting that invites residents for a morning jog or an evening stroll. Yet all classrooms and dorms are wired so that every student can be online in class or at any hour, and peripheral equipment allows students and faculty to prepare stunning high-tech presentations.

University communities such as Mayville-Portland truly benefit from the number of arts and cultural events that a college brings. Mayville State offers many such events annually, including concerts and theater productions. Its sporting events are popular community gathering points, too. The university's athletic facilities are available for public use.

Mayville State University offers area residents a full range of personal, educational, cultural, and recreational opportunities. It offers employers the workforce training services to make them successful.

www.mayvilleportland.com

Mayville State University — FACT SHEET

Number of students. 776

Number of Faculty. 63

Number of programs. 66

Number of graduates per year. 130 - 145

Last new building. Student Center Addition 1989

Number of buildings on the Mayville State campus. 16

Number of BB games in 2000 – 2001. Women-13. Men-13, plus playoffs

Number of VB games in 2000. 7

Tournaments. District & Region Volleyball. 2 District & 1 Region Basketball

Number of PE faculty and staff. 13

Number of athletic staff. 12

Basketball and Volleyball Intramurals

3 Basketball Camps. 1 Volleyball Camp. 2 - 3-on-3 Basketball Events. 1 - 5-on-5 Basketball Event. 1 full team Basketball Tournament

24 Hour Internet access in all classrooms, dormitory rooms, study areas

Full use of notebook computer during academic year

Other events. Prime Time, Commencement, Career Fairs, College Fairs...

Building the Comet Spirit

Mayville State University is committed to making the most positive difference in the lives of its students and its community. With one eye on tradition, the other on progress, North Dakota's "school of personal service" has met the challenges of more than one hundred years of often-rapid change , and stands well prepared to take on the 21st century.

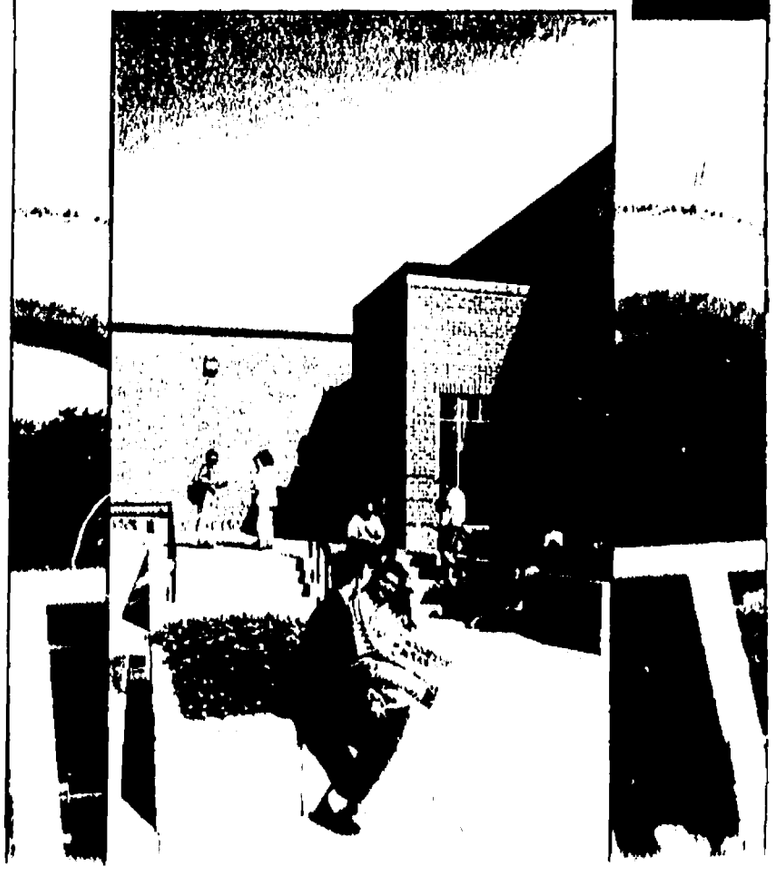
These are exciting times. The University is moving into e on the leading edge of what we know best: paring young people to teach skillfully, lead confidently, and look forward to a lifetime of learning. Our special blend of cutting-edge technology and the age-old dynamics of superb teaching has stabilized the campus after the turbulence of the past twenty years.

Enrollment has already risen to its highest point in almost two decades. Mayville State's progressive programs and close-knit campus are attracting the attention of more and more college-bound high school seniors, here and in surrounding states.

But more can be done, and must be done, to maintain this momentum.

MSU today has one of the finest learning environments in the region, with exceptional faculty and state-of-the-art technology. But while computer technology has been successfully integrated throughout the campus and classrooms have been kept comfortable and effective, some other areas have silently slipped into a threadbare state of disrepair.

That's why alumni and friends of Mayville State versity have launched the first capital campaign in the pus's history - to renovate and modernize the most heavily used building on the campus and the one most visible to our guests: Lewy Lee Fieldhouse.



A Message from the President —

You Can Make a Lasting Impact on Our Students and Community

Mayville State University has taken personal service to a whole new level! We have become a national leader in using technology to give our students another key advantage in today's job market. Employers across the nation recognize that MSU graduates are superbly qualified to teach, to manage and to lead.

It is time to tailor MSU's physical image to match the superb education we offer. Using ingenuity and sweat equity, we have managed to make many improvements that enhance our experience in classrooms and across campus.

Now it is time to turn our attention to a key facility that will improve the college experience for every young man and woman who chooses Mayville State: remodeling and enlarging Lewy Lee Fieldhouse for teaching, for lifetime fitness pursuits and for athletics.

Improving the fieldhouse serves our very popular physical education major, our intramural program and our ability to provide an open gym for campus and community. It is our only location for large-scale events like commencement. It also attracts thousands of visitors for high school and college tournaments and special events, which in turn helps the local economy.

Like all colleges and universities — public and private — we must become partners with our alumni and our friends in investing in the facilities we need for today and tomorrow.

Your support of scholarships and other campus needs has been exceptional! Now we are embarking on our most ambitious project, one that will change the familiar MSU campus and help make us even more competitive in recruiting and retaining students. We need your help.

We can make the future of Mayville State University brighter than ever! I invite you to be a part of our first-ever opportunity for private supporters to have a lasting impact on our campus.

— Ellen-Earle Chaffee, Ph.D.
President

anging with the Times:
111 Years of Innov

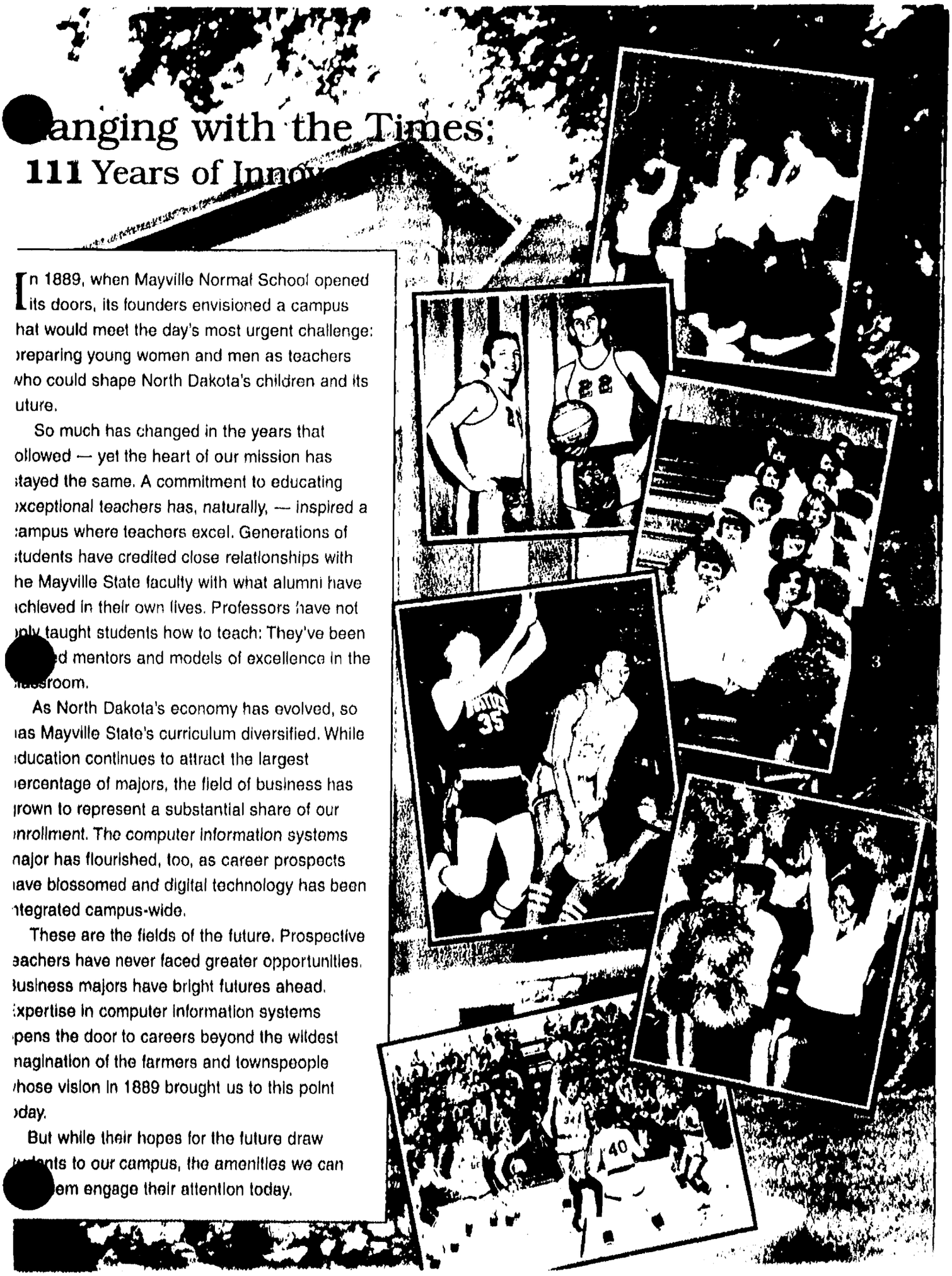
In 1889, when Mayville Normal School opened its doors, its founders envisioned a campus hat would meet the day's most urgent challenge: preparing young women and men as teachers who could shape North Dakota's children and its uture.

So much has changed in the years that ollowed — yet the heart of our mission has tayed the same. A commitment to educating xceptional teachers has, naturally, — inspired a ampus where teachers excel. Generations of tudents have credited close relationships with he Mayville State faculty with what alumni have chieved in their own lives. Professors have not nly taught students how to teach: They've been d mentors and models of excellence in the assroom.

As North Dakota's economy has evolved, so as Mayville State's curriculum diversified. While ducation continues to attract the largest ercentage of majors, the field of business has rown to represent a substantial share of our nrollment. The computer information systems ajor has flourished, too, as career prospects ave blossomed and digital technology has been ntegrated campus-wide.

These are the fields of the future. Prospective eachers have never faced greater opportunities. usiness majors have bright futures ahead. xpertise in computer information systems pens the door to careers beyond the wildest nagination of the farmers and townspeople hose vision in 1889 brought us to this point oday.

But while their hopes for the future draw tudents to our campus, the amenities we can em engage their attention today.



Lewy Lee Fieldhouse: Focal Point of Student Life

When Mayville State's Lewy Lee Fieldhouse was completed in 1961, it was one of the finest facilities of its kind in the region. Its basketball floor was the finest of its day. Its locker rooms were modern and appealing. It easily met the demands of accommodating the basketball and wrestling programs in winter plus classes in physical education and coaching. Its three small offices seemed like plenty.

That was then. This is now: The once-proud fieldhouse is overcrowded, stretched to its limits, time-worn and tired.

The fieldhouse is squeezed by a number and variety of programs utterly unimagined when it was built forty years ago. Every student passes through its doors to take part in required and elective physical education classes. It's an essential part of the educational setting for those who aspire to teach in these areas and to coach.

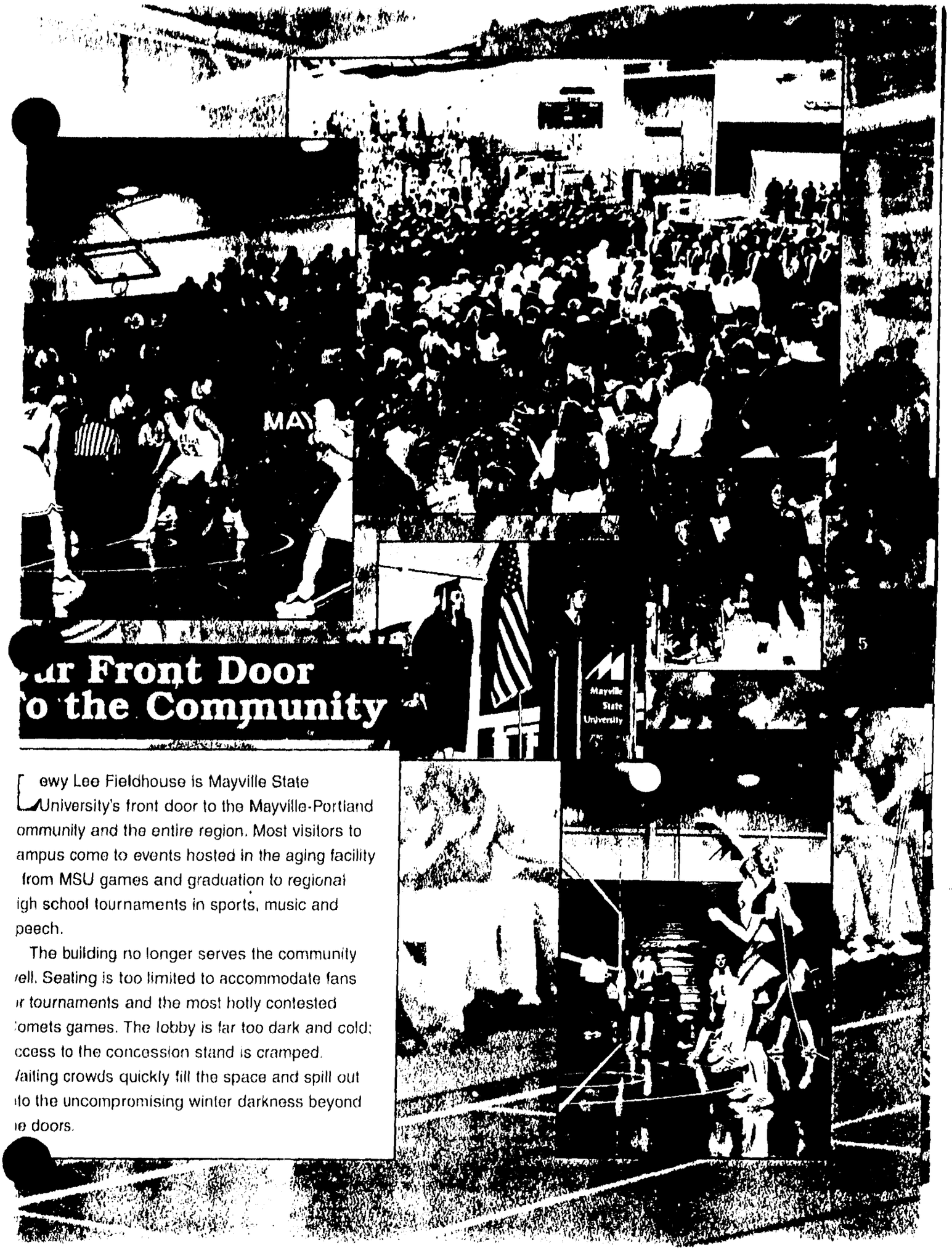
The gym and its facilities get much greater pressure, too, from today's appreciation of the value of acquiring lifelong fitness and health habits. Intramural sports and activities make heavy demands on the fieldhouse. Walkers and joggers, weight-lifters and aerobics enthusiasts can be spotted there at nearly any hour, seven days a week.

Nowhere are the aging facility's shortcomings more obvious, though, than in the Comets athletic programs. Back in 1961, women's athletic programs were largely limited to cheerleading. During the height of the indoor sports season, Lewy Lee Fieldhouse needed to accommodate practices and games only for one basketball team – the men – plus wrestling. Football teams occasionally practiced indoors in the fall; men's track and field trained there in spring.

The basketball court's 25-year-old Tartan floor is long overdue for replacement, the only floor of its kind still in use in the conference.

The advent of MSU's highly competitive women's athletic programs has doubled the number of teams that need floor time, locker rooms and other accommodations. At the same time, the number of coaching staff and Health and Physical Education Department faculty has grown from three to fifteen. Their offices have been crammed into every spot imaginable, from former storage areas to broom closets.

Most of today's MSU students have been accustomed to far more functional athletic facilities in their high schools than they witness in their college of choice.



ur Front Door o the Community

Lewy Lee Fieldhouse is Mayville State University's front door to the Mayville-Portland ommunity and the entire region. Most visitors to ampus come to events hosted in the aging facility from MSU games and graduation to regional igh school tournaments in sports, music and peech.

The building no longer serves the community ell. Seating is too limited to accommodate fans r tournaments and the most hotly contested omets games. The lobby is far too dark and cold; ccess to the concession stand is cramped. Vaiting crowds quickly fill the space and spill out ito the uncompromising winter darkness beyond ie doors.

The Vision:
A Bigger & Better Lewy Lee Fieldhouse

After much review, campus and community leaders have agreed on a vision of what can and must be accomplished to remedy these problems and refurbish Lewy Lee Fieldhouse for many more years of service.

- Spacious entrance and lobby
- Larger gym, accommodating three basketball and volleyball courts oriented north to south (Varsity basketball games will be played on a centered court running east to west.)
- Seating for 1,000 additional spectators (Increasing total capacity to 3,000 people)
- Larger restrooms to accommodate crowds
- New training room
- New and remodeled locker rooms
- More office space
- Larger concession stand

Illustrations by Hunter-Grobe

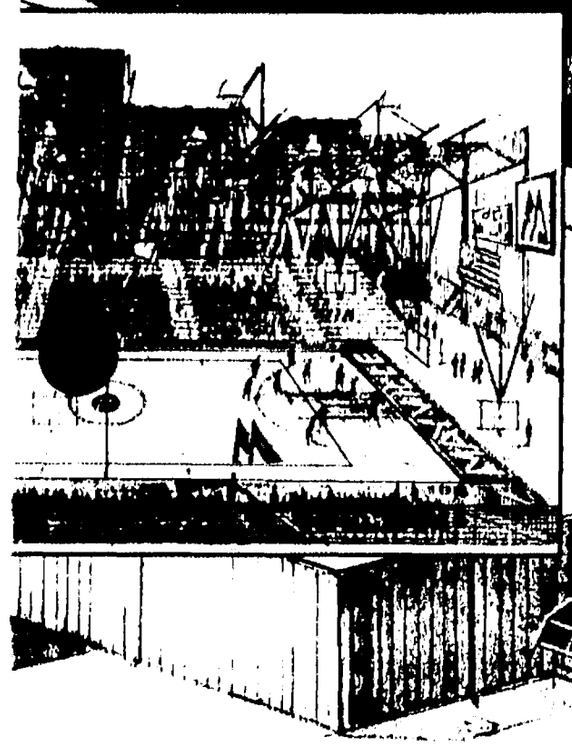
The Challenge:
To Build the Comet Spirit ... and Rebuild Pride in Our Campus

Mayville State University is a cornerstone of the area's economy and a key to bright futures for its children. Over the years, more than 10,000 MSU graduates have carried the story of this "school of personal service" from coast to coast as they've used their education to accomplish great things.

These alumni, along with neighbors and friends of the University, take great pride in what the University has meant to them and their families. Now we have an opportunity to give something back -- to have a lasting impact on the University's ability to recruit students and serve the community.

For the first time in its history, Mayville State is turning to these good friends to help prepare the campus for the challenges that lie ahead.

The estimated cost of the renovation of Lewy Lee Fieldhouse is $3 million. Public funding is not available to meet the clear, urgent need to refurbish this vital element of campus -- and community -- life. The MSU Foundation has launched a capital campaign to raise private gifts to help fund renovation and expansion of Lewy Lee Fieldhouse.



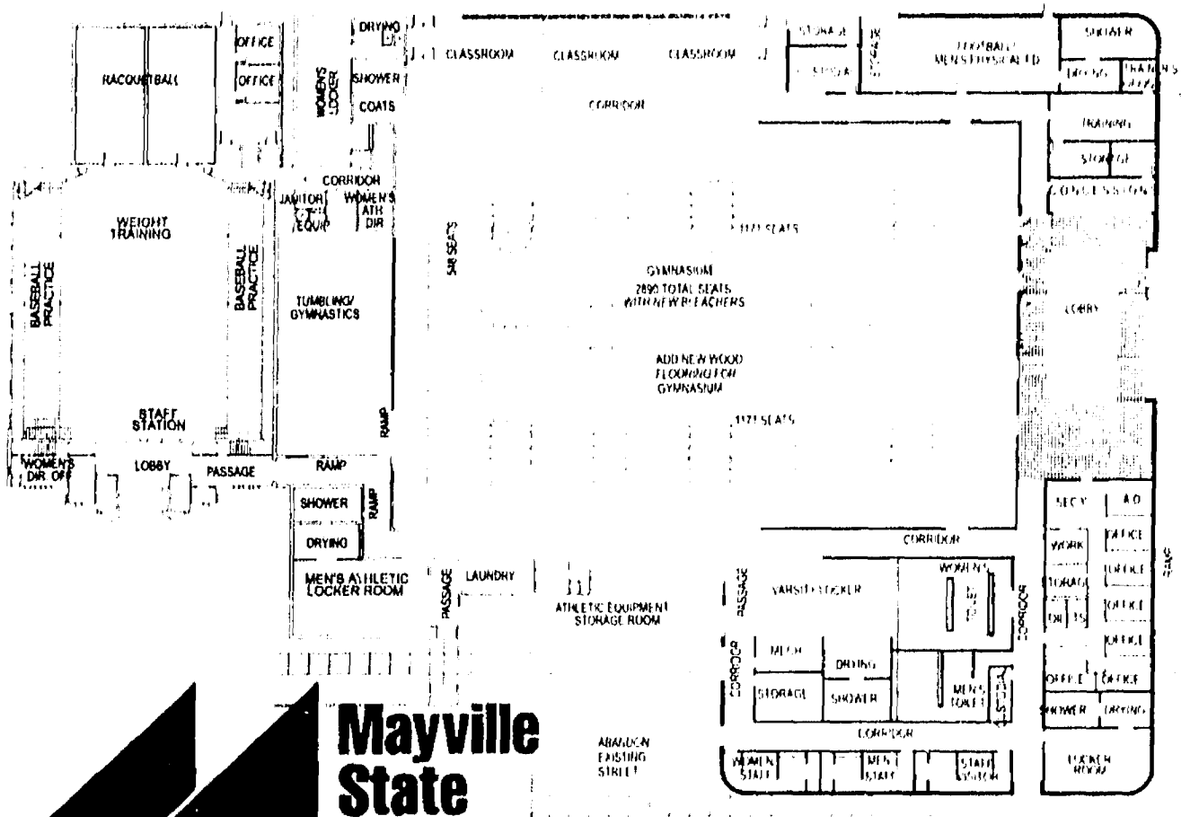
As a member of Mayville State University's family or one of our friends and neighbors, you hold the future of this proud and caring campus in your hands.

Your gift to our first-ever capital campaign to build the Comet spirit not only supports the University's legacy of excellence in education ...

... it also casts a resounding vote in favor of our campus's priceless role in the life of our community and in North Dakota's economic future.

Honorary Campaign Co-Chairs

Linda Lee Love (left) and Helen Meyer are honorary co-chairs of the MSU Foundation's campaign. Linda is the daughter of field-house namesake Lewy Lee. Helen is the widow of legendary MSU coach Al Meyer.



Mayville State University Foundation

About Mayville State

EQ

Quick Facts about MSU

- Fall 2000 enrollment 776
- 2000-01 total annual operating budget $5,388,921
- 1999-2001 capital improvements budget (heating system) $1,312,776
- *Yahoo! Internet Life* Most Wired Colleges list, 2000
- All faculty and students have had notebook computers since 1997.
- Primary programs are in teacher education, business, and liberal arts.
- MSU partners with Great Plains Software, Traill County Economic Development, May-Port Economic Development, May-Port Public Schools, and others
- MSU has been the subject of about a dozen national articles in the last five years, focusing on technology-based innovations in instruction
- MSU has one of the few university-based Head Start programs in the country. It has brought to the county over $3 million in federal funds in the last 10 years.

Partners for Economic Development and Workforce Training

The legislative Roundtable encourages campuses to meet North Dakota's business and economic development needs. MSU's commitment to economic development in North Dakota is long-standing.

For example, the information technology track in business and CIS is customized to prepare graduates for entry positions at Great Plains Software. Students work a 7-month internship at Great Plains for pay and academic credit.

MSU offers the state's only program in project management. In the last year, over 100 state employees have enrolled, 27 of them progressing to the point of passing a national certification examination. Several businesses in Fargo and elsewhere have expressed interest, too.

MSU also offers a new program in e-business, including entrepreneurship education

MSU provides space and services at cost for a Web-based business started by two MSU faculty members in 1995. ComMark now employs over 20 people, nearly all of them MSU alumni or current students. A small community CAN retain its university's graduates!

The local and county economic development agencies, MSU, and the school district won a $341,000 grant to establish a technology center on campus to incubate new businesses. Only 6 percent of grant applications were funded.

Indicative of the university's value to economic development, two MSU staff are members of the local and county economic development boards.

Mayville State University

University personnel have been working with community leaders to improve area broadband (fast Internet) access for the last five years.

Recognizing that employers need diverse skills when hiring, MSU faculty have special training and commitment to helping students in all majors develop their critical thinking skills and ability to work cooperatively in groups

The university offers courses and programs at the Grand Forks AFB and in Devils Lake, Towner, and other communities to improve access to higher education.

AMERICA'S MOST WIRED COLLEGES

National Leader: Improving Learning with Technology

All Mayville State faculty and students have notebook computers, replaced every two years, and Internet access in classroom seats, student center lounges, and dorm rooms. MSU was fourth in the nation and is now one of only 36 institutions nationally to provide such opportunities to use technology throughout the learning experience.

Research shows that technology improves the learning experience for MSU students. It also prepares them to be highly competitive for jobs in today's schools and businesses. Our placement rate is 100 percent.

Student Stacy Hall interned at United Defense in Minneapolis one summer, then completed her project for them by telecommuting from her residence hall the next year

Prepared by the North Dakota Legislative Council staff for Senate Appropriations
December 29, 2000

Department 239 - Dickinson State University
Senate Bill No. 2003

	FTE Positions	General Fund	Other Funds	Total
2001-03 Executive Budget	130.15	$13,454,794	$0	$13,454,794
1999-2001 Legislative Appropriations	130.15[1]	13,571,998	18,067,973	31,639,971[2]
Increase (Decrease)	0.00	($117,204)	($18,067,973)	($18,185,177)

[1] The 1999-2001 appropriation is based on 129.63 FTE positions. Section 6 of 1999 House Bill No. 1003 authorized the University System to adjust FTE positions as needed. The 130.15 FTE positions shown above represent the employee positions reported to the Office of Management and Budget (OMB).

[2] The 1999-2001 appropriation amounts include $6,582 from the general fund for the agency's share of the $1.4 million funding pool appropriated to OMB for assisting agencies in providing the $35 per month minimum salary increases in July 1999 and July 2000, $84,058 from the general fund for the agency's share of the $2,630,992 critical salary funding pool appropriated to the University System to address additional salary increases beyond legislative appropriations for market and equity raises, and $394,659 from the general fund for the agency's share of the $4,290,128 equity and special needs funding pool appropriated to the University System to address equity funding issues and special academic program needs. The 1999-2001 appropriation amounts do not include $463,897 of other adjustments and 1997-99 carryover authority.

Major Items Affecting Dickinson State University 2001-03 Budget

	General Fund	Other Funds	Total
1. Consolidates funding into two line items--operations and capital assets.			
2. Provides funding in the capital assets line item for extraordinary repairs of $383,962, which is a reduction of $300,000 in one-time funding from the 1999-2001 biennium.	($300,000)		($300,000)
3. Removes appropriations for tuition, continuing education, and other income.		($6,759,565)	($6,759,565)
4. Removes appropriation for local funds.		($13,034,607)	($13,034,607)
5. The executive recommendation does not include funding for recommended compensation plan salary increases of three percent of salaries the first year of the biennium and two percent for the second year. Funding for institutions' salary increases is pooled and provided in the North Dakota University System office budget for the State Board of Higher Education to allocate to individual institutions.			

Major Legislation Affecting Dickinson State University

Senate Bill No. 2037 provides a continuing appropriation of higher education institutions' special revenue funds including tuition and allows institutions to carry over at the end of the biennium unspent general fund appropriations.

Senate Bill No. 2038 requires the budget request for the University System to include budget estimates for block grants for a base-funding component and for an initiative-funding component and a budget estimate for an asset-funding component and requires the appropriation for the University System to include block grants to the State Board of Higher Education for a base-funding appropriation and for an initiative-funding appropriation and an appropriation for asset-funding.

Senate Bill No. 2039 allows the State Board of Higher Education to authorize campus improvements and building maintenance projects that are financed by donations, gifts, grants, and bequests if the cost of the improvement or maintenance is not more than $500,000.

Senate Bill No. 2040 allows higher education institutions to provide bonuses, cash incentive awards, and temporary salary adjustments to employees without reporting the activity as a fiscal irregularity.

Prepared by the North Dakota Legislative Council staff for Senate Appropriations
January 18, 2001

Department 239 - Dickinson State University
Senate Bill No. 2003

	FTE Positions	General Fund	Other Funds	Total
2001-03 Schafer Executive Budget	130.15	$13,454,794	$0	$13,454,794
1999-2001 Legislative Appropriations	130.15[1]	13,571,998	18,067,973	31,639,971[2]
Increase (Decrease)	0.00	($117,204)	($18,067,973)	($18,185,177)
2001-03 Hoeven Executive Budget	130.15	$13,454,794	$0	$13,454,794
Hoeven Increase (Decrease) to Schafer	0.00	$0	$0	$0

[1] The 1999-2001 appropriation is based on 129.63 FTE positions. Section 6 of 1999 House Bill No. 1003 authorized the University System to adjust FTE positions as needed. The 130.15 FTE positions shown above represent the employee positions reported to the Office of Management and Budget (OMB).

[2] The 1999-2001 appropriation amounts include $6,582 from the general fund for the agency's share of the $1.4 million funding pool appropriated to OMB for assisting agencies in providing the $35 per month minimum salary increases in July 1999 and July 2000, $84,056 from the general fund for the agency's share of the $2,630,992 critical salary funding pool appropriated to the University System to address additional salary increases beyond legislative appropriations for market and equity raises, and $394,659 from the general fund for the agency's share of the $4,290,128 equity and special needs funding pool appropriated to the University System to address equity funding issues and special academic program needs. The 1999-2001 appropriation amounts do not include $463,897 of other adjustments and 1997-99 carryover authority.

Major Schafer Recommendations Affecting Dickinson State University 2001-03 Budget

	General Fund	Other Funds	Total
1. Consolidates funding into two line items--operations and capital assets.			
2. Provides funding in the capital assets line item for extraordinary repairs of $383,962, which is a reduction of $300,000 in one-time funding from the 1999-2001 biennium.	($300,000)		($300,000)
3. Removes appropriations for tuition, continuing education, and other income.		($6,759,565)	($6,759,565)
4. Removes appropriation for local funds.		($13,034,607)	($13,034,607)
5. The executive recommendation does not include funding for recommended compensation plan salary increases of three percent of salaries the first year of the biennium and two percent for the second year. Funding for institutions' salary increases is pooled and provided in the North Dakota University System office budget for the State Board of Higher Education to allocate to individual institutions.			

Major Hoeven Recommendations Affecting Dickinson State University 2001-03 Budget Compared to the Bill as Introduced (Schafer Budget)

	General Fund	Other Funds	Total
1. The Hoeven budget recommendation does not change the Schafer executive budget recommendation for this agency.			

Major Legislation Affecting Dickinson State University

Senate Bill No. 2037 provides a continuing appropriation of higher education institutions' special revenue funds including tuition and allows institutions to carry over at the end of the biennium unspent general fund appropriations.

Senate Bill No. 2038 requires the budget request for the University System to include budget estimates for block grants for a base-funding component and for an initiative-funding component and a budget estimate for an asset-funding component and requires the appropriation for the University System to include block grants to the State Board of Higher Education for a base-funding appropriation and for an initiative-funding appropriation and an appropriation for asset-funding.

Senate Bill No. 2039 allows the State Board of Higher Education to authorize campus improvements and building maintenance projects that are financed by donations, gifts, grants, and bequests if the cost of the improvement or maintenance is not more than $500,000.

Senate Bill No. 2040 allows higher education institutions to provide bonuses, cash incentive awards, and temporary sal' adjustments to employees without reporting the activity as a fiscal irregularity.

Prepared by the North Dakota Legislative Council staff for House Appropriations
February 26, 2001

Department 239 - Dickinson State University
Senate Bill No. 2003

	FTE Positions	General Fund	Other Funds	Total
2001-03 Schafer Executive Budget	130.15	$13,454,794	$0	$13,454,794
1999-2001 Legislative Appropriations	130.15[1]	13,571,998	16,067,973	31,639,971[2]
Increase (Decrease)	0.00	($117,204)	($16,067,973)	($16,185,177)
2001-03 Hoeven Executive Budget	130.15	$13,454,794	$0	$13,454,794
Hoeven Increase (Decrease) to Schafer	0.00	$0	$0	$0

[1] The 1999-2001 appropriation is based on 129.63 FTE positions. Section 6 of 1999 House Bill No. 1003 authorized the University System to adjust FTE positions as needed. The 130.15 FTE positions shown above represent the employee positions reported to the Office of Management and Budget (OMB).

[2] The 1999-2001 appropriation amounts include $6,682 from the general fund for the agency's share of the $1.4 million funding pool appropriated to OMB for assisting agencies in providing the $35 per month minimum salary increases in July 1999 and July 2000, $84,058 from the general fund for the agency's share of the $2,630,992 critical salary funding pool appropriated to the University System to address additional salary increases beyond legislative appropriations for market and equity raises, and $394,669 from the general fund for the agency's share of the $4,290,128 equity and special needs funding pool appropriated to the University System to address equity funding issues and special academic program needs. The 1999-2001 appropriation amounts do not include $463,697 of other adjustments and 1997-99 carryover authority.

Major Schafer Recommendations Affecting Dickinson State University 2001-03 Budget

	General Fund	Other Funds	Total
1. Consolidates funding into two line items--operations and capital assets.			
2. Provides funding in the capital assets line item for extraordinary repairs of $383,962, which is a reduction of $300,000 in one-time funding from the 1999-2001 biennium.	($300,000)		($300,000)
3. Removes appropriations for tuition, continuing education, and other income.		($6,759,565)	($6,759,565)
4. Removes appropriation for local funds.		($13,034,607)	($13,034,607)
5. The executive recommendation does not include funding for recommended compensation plan salary increases of three percent of salaries the first year of the biennium and two percent for the second year. Funding for institutions' salary increases is pooled and provided in the North Dakota University System office budget for the State Board of Higher Education to allocate to individual institutions.			

Major Hoeven Recommendations Affecting Dickinson State University 2001-03 Budget Compared to the Bill as Introduced (Schafer Budget)

	General Fund	Other Funds	Total
1. The Hoeven budget recommendation does not change the Schafer executive budget recommendation for this agency.			

Major Legislation Affecting Dickinson State University

Section 11 of Engrossed Senate Bill No. 2003 provides legislative intent relating to the content of the State Board of Higher Education's performance and accountability report required by Senate Bill No. 2041.

Section 13 of Engrossed Senate Bill No. 2003 provides a continuing appropriation of higher education institutions' special revenue funds including tuition (originally a provision in Senate Bill No. 2037).

Section 14 of Engrossed Senate Bill No. 2003 requires budget requests for the University System to include budget estimates for block grants for a base-funding component and for an initiative-funding component and a budget estimate for an asset-funding component (originally a provision in Senate Bill No. 2038).

Section 15 of Engrossed Senate Bill No. 2003 requires the appropriation for the University System to include block grants to the State Board of Higher Education for a base-funding appropriation and for an initiative-funding appropriation and an appropriation for asset-funding (originally a provision in Senate Bill No. 2038).

Section 16 of Engrossed Senate Bill No. 2003 allows institutions to carry over at the end of the biennium unspent general fund appropriations (originally a provision in Senate Bill No. 2037).

Senate Bill No. 2039 allows the State Board of Higher Education to authorize campus improvements and building maintenance projects that are financed by donations, gifts, grants, and bequests if the cost of the improvement or maintenance is not more than $250,000. (This bill has been passed by the Senate.)

Senate Bill No. 2040 allows higher education institutions to provide bonuses, cash incentive awards, and temporary salary adjustments to employees without reporting the activity as a fiscal irregularity. (This bill has been passed by the Senate.)

Summary of Legislative Changes Resulting From First House Action

See Statement of Purpose of Amendment (attached).

Senate Appropriations Committee Hearing

With exception of following pages this testimony was same as that for 3-8-01

Dickinson
STATE UNIVERSITY

Dickinson State University
Wednesday, January 3, 2000

ACADEMIC PROGRAMS AND ISSUES

1. **DSU has 41 Bachelor Degree Programs (either BA or BS); four Associate Degree Programs (either AA, AS, Associate of Applied Science, and one Certificate Program**

   a. Two of our major programs, Teacher Education and the two-year Nursing Program underwent national re-accreditation reviews in the last two years and both passed with flying colors; the nursing program was cited having 17 strengths and only one area of weakness.

2. **New Programs Since 1998**

   a. Agricultural Studies: BS, and Certificate to compliment existing Associate Degree (just approved this summer by the SBHE and has 38 declared majors already).

   b. Psychology: BS

   c. Writing: BA

   d. Graphics Design: Minor Program

   e. Baccalaureate Degree Completion Program in Cooperation with Bismarck State
      53 Students enrolled in degree completion programs in Bismarck;
      Utilizes both IVN courses and courses taught in person by adjunct instructors in Bismarck (many of whom are BSC faculty).

3. **Workforce Training partnership with Bismarck State College**

   Dickinson State University provides office space, classrooms, and some instructors for this cooperative effort with BSC to provide workforce training opportunities for employees in area businesses and industries. Over 20 training sessions have been completed since July 2000. The sessions deal with all kinds of skills ranging from advanced welding techniques to computer software application through advanced management strategies.

4. **New Concentrations Since 1998**

   a. Manufacturing Technology within Business Administration; AutoCad and CadCam.

   b. The Business Department is offering new courses in e-commerce and computer applications for business entrepreneurs (both are on-line).

   c. Working with Theodore Roosevelt Foundation to establish internships for business majors in Medora.

5. **New Delivery Formats Since 1998**

   a. Accelerated Degree Program (both in Dickinson and in Bismarck: the Dickinson program has 90 new students enrolled on campus as a result of this new format).

b. **Extended Campus course offerings in Bowman, Hettinger, Scranton, for adult learners (43 students enrolled) utilizing the Yellowstone Trail Consortium ITV connections.**

c. Dual Credit Courses in regional high schools still being received very well with 14 high schools participating this fall with 235 students enrolled in basic general education course work.

d. DSU has now developed ten courses which will be available through an on-line Internet format by the end of the fall semester with ten more in the offering. So, the University is taking advantage of this new delivery method to reach a broader group of student clientele.

e. And last, DSU has invested over $500,000 in the last two years to equip some of our major classrooms with multi-media capabilities that include overhead computer projectors and projection units that instructors use to enhance their presentations and teaching methods.

   1) This part of a University-wide initiative to infuse the new technology into the classroom setting whenever and wherever possible as evidenced by the addition of **two new computer labs**: One in the Agriculture Complex made possible by a donation of funds from Consolidated Communications, the other in Murphy Hall made possible through a donation of funds from T.M.I. - both Dickinson based businesses.

6. New Academic Initiatives on the Main Campus

a. Writing Across the Curriculum: requires 18 hours of writing intensive course work, 12 within each specific major.

b. Theodore Roosevelt Honors/Leadership Program: An honors program built around the leadership traits and values of Theodore Roosevelt, with scholarships funded through the DSU Foundation.

   1) 25 scholars nationwide including students from North Dakota, Wyoming, Montana, Missouri, Nevada, and even Alaska.

c. Learning Community Development: Incoming Freshmen organized in groups of 15-20 students with similar interests and academic majors, i.e., nursing students, elementary education, athletes, etc., to foster group learning through sharing experiences and education.

d. Freshman Seminar: Required of all students to help develop coping skills and study habits during their first semester in order to facilitate academic success and improve retention rates among freshman (lower the mid-term drop-out rate).

e. Increased emphasis on exit level standards in both Teacher Education and in Business where the required grade point average for graduation has been increased and is above the University minimum of a 2.00 GPA (C grade average).

f. Finally, measurable Learning Outcomes have been developed for each major program; outcomes that will have to be demonstrated by senior students in a capstone course before they will be recommended for graduation.

7. SUMMARY:

These measures all speak toward enhancing the quality of the academic experience for DSU Students and will better prepare them to compete for jobs and seek careers after graduation.

All these activities also address the University's mission to extend its services to the entire region and make educational opportunities accessible to a greater number of our citizens.

Also, these academic activities and initiatives reflect the spirit and goals of the Legislative Round-Table on Higher Education which call for more relevant education and preparation for North Dakotans to create a force of skilled and entrepreneurial citizens to help fuel the state's economy.

Major Accomplishments 1999-2000

Dickinson State University fulfills a vital role within the State of North Dakota. Guided by the goals of the North Dakota State University System and more recently the recommendations of the Legislative Roundtable Report, the University has grown in enrollment, added collaborative academic programs, implemented accelerated program delivery modes to increase access for non-traditional students, and increased student and faculty access to information technology. This fall, Dickinson State University announced its fifth straight year of record enrollment, launching the University over the 2,000 student mark. Robust enrollment is just one measure of the University's success.

Adding Student-Centered Facilities

Last year, Dickinson State University witnessed the completion of two very exciting building projects. After a $3.5 million renovation, the Student Center has reopened. The addition of a fitness center, snack bar, game room, and additional meeting and office space provides the University with a central meeting place and activity center. Renovations to the pool area, bookstore, and other areas ensure that the building will be inviting and useful for years to come. The opening of the Ben C. Frank Human Performance Center establishes Dickinson State University as the first University to have a Frappier Acceleration Center on campus. Provided through a gift from Ben C. Frank, the center features the latest training techniques to increase quickness and enhance athletic performance. The center is not only used by student-athletes, but also by students in the health and physical education program as they study cutting-edge training techniques. The Altringer Apartments round out the list of major physical improvements. This 18-apartment facility, gifted to the DSU Foundation, is an excellent addition to the campus resident hall system.

Advances in Technology

Dickinson State University increased student and faculty access to information technology with the addition of two new computer labs, three new multi-media classrooms, and implementation of the laptop initiative.

A computer lab in the Agriculture Building was provided through a gift from Consolidated Telcom, and TMI Systems Design Corporation provided partial funding for a new computer lab in Murphy Hall. The Laptop Initiative, partially funded by the University Foundation, will significantly enhance the learning process and facilitate partnerships with area business and K-12 teachers and provide faculty with the latest in teaching tools and training. The infusion of information technology into the learning process is a critical component of the Legislative Roundtable Cornerstone.

On-line courses significantly increase access and the utilization of technological enhancements and will facilitate academic excellence. On-line courses have been available since last year and the University continues to seek ways to provide courses through this new delivery mode.

Learning Assessment

The University has significantly increased its focus on assessment and continuous improvement. The emphasis on exit level standards has been increased in teacher education and in business. Measurable learning outcomes have been developed for each major program and national recognized assessment instruments will be utilized to measure student learning.

Evidence of the President's commitment to the goal of enhancing the quality of the University's academic programs can also be found in the President's goals for 2000-01. His goals include a significant focus on the assessment of learning, on internationalizing the campus through the implementation of a Global Initiative, enhancing student research, and increasing information technology. These initiatives are congruent with the Legislative Roundtable Recommendations.

Dickinson State's role as a regional leader

The University will continue to foster other relationships. Dickinson State University is busy preparing for the upcoming national Depopulation Symposium, a four-state effort to identify and propose policy changes and strategies to address the ongoing depopulation of the Great Plains Region. The funding for the symposium is a result of efforts by Senator Byron Dorgan and comes from a $475,000 appropriation from the federal government.

Many important projects are underway in the areas of development and alumni relations. This year, the Foundation raised a total of $1.4 million. Included in a list of accomplishments by the Dickinson State University foundation was the funding of $527,910 in scholarships. The Annual Fund Drive topping the $100,000 mark for the first time.

Dickinson State University will continue to play a pivotal role in the economic development of the region. Establishment of a dynamic Regional Advisory Committee will benefit the community and the region's businesses and enhance the University's ability to promote economic diversification and enhance the quality of life in the West River Region.

3. Funds in support of technology (personnel, professional and program development, equipment, infrastructure, software) are desperately needed to address the technological changes/demands associated with instruction and general operations.

Executive Recommendations:

- Provides $6.0 million plus for investments in technology (statewide network plan, Internet II, distance learning).

- Invests $20.0 million in state general funds to support the statewide Enterprise Resource Plan (ERP) in the Information Technology Department budget. This provides partial funding for the replacement of all student records, finance, human resource and payroll software systems in the NDUS, state government, and K-12. It will provide enhanced capabilities to support distance learning, student services, and management information systems.

NDUS Recommendation:

- Proposed amendment totaling $1.8 million in support of network costs associated with the growth in distance learning and Internet II.

- Proposed amendment of $2.0 million for the replacement of the NDUS library network (ODIN).

- Proposed $7.0 million amendment in support of Technology applications/access.

4. If institutions are to function in an entrepreneurial fashion, thus responding with services being demanded by the general public, funding in support of special campus initiatives is required.

Executive Recommendations:

- Provides $2.5 million for new program and initiative start-up costs.

- Provides $2.4 million to support Board and statewide initiatives.

NDUS Recommendations:

- Proposed amendment totaling $3.5 million in support of institutional innovation.

- Proposed amendment of $4.4 million for a Public Agenda and Collaborative Initiative Block Grant.

5. The institution's infrastructure needs attention. Capital asset funding is not adequate to address the extensive list of deferred maintenance projects.

Executive Recommendation:

- Provides $6.7 million in support of System extra-ordinary repairs ($393,962 earmarked for Dickinson State University).

NDUS Recommendation:

- Proposes amendments totaling $5.2 million in support of extra-ordinary repairs.

6. Appropriate facilities must be made available to compliment Dickinson State University's mission and provide for safe and efficient learning/teaching environments. Given the fact that Murphy Hall is a specialized facility, i.e. a "science building", and given the fact that the facility has been deemed by a nationally recognized consultant in the development of science facilities as being woefully outdated along with its infrastructure (HVAC systems) which poses potentially serious health issues, it is imperative that the proposed Murphy Hall Renovation and Addition Project be funded during the 2001-2003 biennium.

NDUS Recommendations:

- The Murphy Hall Renovation/Addition Project is Priority #8 on the North Dakota University's Major Capital Project list.

- Amendments are proposed in support of funding capital projects per the SBHE priority list.

NORTH DAKOTA UNIVERSITY SYSTEM
2001-03 SCHEDULE OF SUGGESTED AMENDMENTS
AND RESTORATION 01/02/01

A. ***The NDUS endorses the following proposals made by Gov. Schafer which are consistent with the Roundtable expectations and recommendations:***

- removes all income, including tuition, from the appropriation process
- provides partial block-grant funding to the SBHE
- reduces the number of line items in the campus and NDUS Office budget
- funds investments in technology (statewide network, Internet II, distance learning) +$6.0 M
- increase in SBHE discretionary funds to support Board and statewide initiatives $625,000 (total =$2.4 M)
- provides funding for campus parity and equity +$2.5 M
- funding for new program and initiative start-up costs $2.5 M
- provides additional state matching funds for research (EPSCoR-like programs) +$1.5 M
- investments in increased student financial aid and access programs +$1.3 M
- funding for salary equity for faculty and staff retention and recruitment $1.0 M
- deficiency appropriation funding for UND and NDSU $4.7 M
- funds investments in technology infrastructure to support distance learning-ERP (not in NDUS budget) $20.0 M
- performance contracting projects at UND and NDSU - state bonding $4.3 M

B. ***Proposed Non-Fiscal Amendments:***

- Consider block-grant funding all appropriations to SBHE as proposed in bill draft introduced by Interim HE Committee -SB2003
- Reduce or eliminate intent language associated with SBHE or System block grants-SB2003
- Eliminate reserve fund requirement for College of Business at NDSU to support utilities and upkeep (no cost in 01-03)-SB2003
- Remove $550,620 for renovation of Robinson Hall at NDSU. Project will be addressed in a separate bill.-SB2003
  Bond for local fund match of $2,210,000 for Old Main Renovation at Minot State.-SB2003 and SB2023

C. ***Proposed Fiscal Amendments to SB2003, 2020, 2023- State General Fund (in priority order):***

1	Continuation of 2nd year salary increase (00-01) - also see priority 7-SB2003	$4,880,101
2	Reduce local fund match on renovation of Old Main at MISU-SB2003 and SB2023	564,000
3	Workforce Training per WF Training taskforce (funded in Voc. Ed budget)-SB2020	475,000
4	Network Costs (growth in distance learning and Internet II)-SB2003	1,800,000
5	Extra-ordinary repairs - also see priority 8-SB2003	2,594,914
6	ODIN replacement (library network)-SB2003	2,000,000
7	Continuation of 2nd year salary increase (00-01) - also see priority 1-SB2003	4,880,101
8	Extra-ordinary repairs - also see priority 5-SB2003	2,594,914
9	Request to move faculty & staff salaries to 80% of regional average (amt. needed after 3/2% increase and cont'd 00-01 incr.)-SB2003	8,129,658

D. ***Proposed Fiscal Amendments - Other Major Capital Projects-SB2003 (not in priority order)***

- UND- Memorial Student Union renovation (non-state funding)-SB2003	3,500,000
- UND-Smith Hall - Johnstone Hall - Fulton Hall renovation (non-state funding)-SB2003	3,850,000
- NDSU-residence hall construction(non-state funding)-SB2003	11,000,000
- NDSCS-Student Union remodeling(non-state funding)-SB2003	3,300,000
- NDSCS Bilkre Activities Center Addition(non-state funding)-SB2003	531,720
- Other general fund/bonding projects per SBHE priority list-SB2003 or 2023	21,605,656

E. ***Additional Proposed State General Fund Fiscal Amendments-SB2003 (not in priority order):***

- Technology applications/access	7,000,000
- Equity and Special Needs Block Grant	1,700,000
- Institutional Innovation	3,500,000
- Public Agenda and Collaborative Initiative Block Grant	4,400,000
- Board and System positions to support Roundtable recommendations	362,190
- EPSCoR-like Programs	2,700,000
- Student Financial Aid (State Grant, Scholar's, PSEP, Indian Scholarship)	1,000,000
- Disabled Student Services	275,000
- Agricultural Initiatives	2,300,000
- SBHE major capital project priorities	18,605,656

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NORTH DAKOTA UNIVERSITY SYSTEM
2001-2003 Campus Major Capital Projects
SBHE Priority List

	(1) Priority #	(2) Project Type	(3) Project Description	SBHE Priority List (4) Cost: State Bonding	(5) Cost: Other	(6) Cost: Total	(7) Other Source	(8) State Accumulated Total	Executive Recommendation (9) State Bonding	(10) State General Fund	(11) Other Sources	(12) Total
STATE GENERAL FUND PROJECTS												
MiSU	1	renovation	Old Main Renovation	$5,640,000	$2,210,000	$7,850,000	private	$5,640,000	$ 5,076,000		$ 2,774,000	$ 7,850,000
BSC	2	renovation	Schafer Hall - Renovation 2nd & 3rd Floors	1,384,500		1,384,500		7,024,500				-
NDSCS	3	renovation	Infrastructure	2,395,863		2,395,863		9,420,363		2,110,000		2,110,000
VCSU	4	renovation	Replace boiler & related steam piping accessories	850,000		850,000		10,270,363		850,000		850,000
MaSU	5	renovation	Steam Line Replacement	718,950		718,950		10,989,313		510,000		510,000
NDSU	6	renovation	Minard Hall Renovation - Phase I	4,845,100		4,845,100		15,836,413				-
UND	7	renovation	O'Kelly Hall-Phase I	3,500,000		3,500,000		19,336,413				-
DSU	8	addition/renovation	Murphy Hall Renovation/Addition	8,781,155		8,781,155		28,116,568				-
WSC	9	addition	Diesel Technology Addition	524,616		524,616		28,641,184				-
NDSU	10	renovation	Ceres Hall Renovation - Phase II	3,000,000		3,000,000		29,641,184				-
MiSU-BC	11	renovation	Thatcher & Control Htg. Plant Elec. Upgrade	75,000		75,000		29,716,184		75,000		75,000
LRSC	12	renovation	Science Lecture Bowl & Laboratories	784,472		784,472		30,500,656				-
Forest Service	13	new constr.	Tree Storage Bldg.-Towner Nursery	120,000		120,000		30,620,656			120,000	120,000
Forest Service	14	new constr.	Equipment Storage Bldg.-Lisbon	20,000		20,000		30,640,656			20,000	20,000
Experiment	15	new constr.	Langdon Headquarters and Conference Ctr.	300,000	500,000	800,000	private	30,940,656		150,000	510,000	660,000
Remaining Unranked Projects:												
BSC		new constr.	Advanced Learning Complex	1,500,000	4,000,000	5,500,000	private	32,440,656				
LRSC		addition	Technical Training Facility & Storage	264,090		264,090		32,704,746				
WSC		renovation	Deferred Maintenance	308,600		308,600		33,013,346				
UND		renovation	Infrastructure Improvements	4,500,000		4,500,000		37,513,346				
UND		renovation	School of Medicine & Health Sciences	6,450,000		6,450,000		43,963,346				
NDSU		renovation	Infrastructure - Phase I	2,320,400		2,320,400		46,283,746				
NDSCS		renovation	Heston Hall	2,000,000		2,000,000		48,283,746				
DSU		renovation	Whitney Stadium Renovation	1,100,000	2,900,000	4,000,000	local/private	49,383,746				
MaSU		addition/renovation	Fieldhouse Addition	239,930	2,760,070	3,000,000	private	49,623,676				
VCSU		renovation	Gralchen Gymnasium elevator & emergency exits	375,000		375,000		49,998,676				
MiSU-BC		renovation	Thatcher Elevator	160,000		160,000		50,158,676				
Experiment		new constr.	(2) Pesticide Handling Facilities-Phase II	120,000		120,000		50,278,676				
Experiment		new constr.	Main Station-Research Greenhouses	7,300,000		7,300,000		57,578,676				
Experiment		new constr.	Bunker Silo	120,000		120,000		57,698,676				
TOTAL STATE GENERAL FUND PROJECTS				$57,698,676	$12,370,070	$70,068,746			$5,076,000	$3,695,000	$3,424,000	$12,195,000

NORTH DAKOTA UNIVERSITY SYSTEM
2001-2003 Campus Major Capital Projects
SBHE Priority List

(1) Priority #	(2) Project Type	(3) Project Description	SBHE Priority List (4) State Bonding	(5) Cost Other	(6) Cost Total	(7) Other Source	(8) State Accumulated	Executive Recommendation (9) State Bonding	(10) State General Fund	(11) Other Sources	(12) Total
NON-STATE GEN. FUND PROJECTS TO BE INCLUDED IN 01-03 BUDGET REQUEST											
UND	renovation	Memorial Student Union		3,500,000	3,500,000	debt fees					-
	renovation	Carnegie Library		3,000,000	3,000,000	private					-
	renovation	Smith Hall - Johnstone Hall - Fulton Hall		6,500,000	6,500,000	auxiliary				3,000,000	3,000,000
	renovation	Williamson Hall Loading Dock		1,700,000	1,700,000	auxiliary				2,883,000	2,883,000
	addition	Rural Technology Center		1,700,000	1,700,000	gifts/grants				1,700,000	1,700,000
	addition	Rural Technology Center-canopy		3,800,000	3,800,000	private				3,800,000	3,800,000
				60,000	60,000	private				60,000	60,000
NDSU	new constr.	College of Business Office & Classroom Bldg.		20,000,000	20,000,000	private				20,000,000	20,000,000
	new constr.	Residence Hall		11,000,000	11,000,000	auxiliary					-
	renovation	Robinson Hall		550,820	550,820	federal				550,820	550,820
NDSCS	renovation	Parking Lot 2A, 2B, 2C, & 2D		1,000,000	1,000,000	auxiliary					-
	renovation	Student Union Remodeling		3,300,000	3,300,000	auxiliary				1,000,000	1,000,000
	addition/renovate	Wilco Activities Center Addition		831,720	831,720	private					-
Experiment Stations	new constr.	Agronomy Seed Farm-Grain Storage Hopper Bins		80,000	80,000	income					-
	new constr.	Agronomy Seed Farm-Bulk Seed Handling		45,000	45,000	income				80,000	80,000
	new constr.	Carrington-Shaw Research Facility		130,000	130,000	private/grants				45,000	45,000
	addition	Dickinson-Agronomy Work Lab				oil revenue				130,000	130,000
	new constr.	Dickinson-Chemical Storage Building				oil revenue				50,000	50,000
TOTAL NON-STATE GENERAL FUND PROJECTS			$0	$55,177,540	$55,177,540			$0	$0	50,000	50,000
										$33,095,820	$33,095,820
INCREASED AUTHORITY REQUESTED FOR PREVIOUSLY AUTHORIZED PROJECTS											
NDSU	renovation	Sudro Hall (total authority= $2,950,000) 1/		1,200,000	1,200,000	private/fed/asbestos					
										1,200,000	1,200,000
GRAND TOTAL ALL PROJECTS			$57,898,678	$58,747,810	$126,448,256			$5,076,000	$3,695,000	$37,719,820	$46,490,820

1/ HB1003 (1999) included a $1,700,000 other fund appropriation for Sudro Hall renovation. NDSU intends to use $300,000 of this authority in 99-01 for the renovation of the animal care laboratory/ research unit in Sudro Hall. This will leave an authorized balance of $1,400,000 which is requested to carryover to 2001-03 to be supplemented with $1,200,000 of additional other funds authority in the 2001-03 biennium.

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House Appropriations Committee Hearing

Dickinson
STATE UNIVERSITY

Dickinson State University
Thursday, March 8, 2001

Campus Overview

Academic Programs and Issues

ACADEMIC PROGRAMS AND ISSUES

1. **DSU has 41 Bachelor Degree Programs (either BA or BS); four Associate Degree Programs (either AA, AS, Associate of Applied Science, and one Certificate Program**

    a. Two of our major programs, Teacher Education and the two-year Nursing Program underwent national re-accreditation reviews in the last two years and both passed with excellence; the nursing program was cited as having 17 strengths and only one area of weakness.

2. **New Programs Since 1998**

    a. **Agriculture Studies**: BS, and Certificate to compliment existing Associate Degree (just approved this summer by the SBHE and has 46 declared majors this spring.

    b. Psychology: BS – 33

    c. Writing: BA – 16

    d. Graphic Design: Minor Program

    e. Baccalaureate Degree Completion Program in Cooperation with Bismarck State had 53 students enrolled in the fall and 65 students enrolled in the spring degree completion programs in Bismarck;
    Utilizes both IVN courses and courses taught in person by adjunct instructors in Bismarck (many of whom are BSC faculty).

3. **Workforce Training partnership with Bismarck State College**

    Dickinson State University provides office space, classrooms, and some instructors for this cooperative effort with BSC to provide workforce-training opportunities for employees in area businesses and industries. Over 35 training sessions have been completed since July 2000. The sessions deal with a variety of skills ranging from advanced welding techniques to computer software application through advanced management strategies.

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a. Accelerated Degree Program (both in Dickinson and Bismarck: the Dickinson program had 90 new students enrolled in the fall semester and 89 students enrolled in the spring semester on campus as a result of this new format).

b. Extended Campus course offerings in Bowman, Hettinger, Scranton, for adult learners (43 students enrolled in the fall and 20 students enrolled in the spring semester) utilizing the Yellowstone Trail Consortium ITV connections.

c. Dual Credit Courses in regional high schools is still being received very well with 14 high schools participating this fall with 235 students enrolled in the fall semester and 137 enrolled in the spring semester in basic general education course work.

d. DSU has now developed ten courses, which will be available through an on-line Internet format by the end of spring semester with ten more in the offering. Therefore, the University is taking advantage of this new delivery method to reach a broader group of student clientele.

e. DSU has invested over $500,000 in the last two years to equip some of our major classrooms with multi-media capabilities that include overhead computer projectors and projection units that instructors use to enhance their presentations and teaching methods.

1. This part of a University-wide initiative to infuse the new technology into the classroom setting whenever and wherever possible as evidenced by the addition of **two new computer labs**; One in the Agriculture Complex made possible by a donation of funds from Consolidated Communications, the other in Murphy Hall made possible through a donation of funds from TMI Systems Design Corporation – both Dickinson based businesses.

6. New Academic Initiatives on the Main Campus

a. Writing Across the Curriculum: requires 18 hours of writing intensive course work, 12 within each specific major.

b. Theodore Roosevelt Honors/Leadership Program: An honors program built around the leadership traits and values of Theodore Roosevelt, with scholarships funded through the DSU Foundation.

    1. 25 scholars nationwide including students from North Dakota, Wyoming, Montana, Missouri, Nevada, and even Alaska.

c. Learning Community Development: Incoming Freshmen organized in groups of 15-20 students with similar interests and academic majors, i.e. nursing students, elementary education, athletes, etc., to foster group learning through sharing experiences and education.

d. Freshman Seminar: Required of all students to help develop coping skills and study habits during their first semester in order to facilitate academic success and improve retention rates among freshmen (lower the mid-term drop-out rate).

e. Increased emphasis on exit level standards in both Teacher Education and in Business where the required grade point average for graduation has been increased and is above the University minimum of a 2.00 GPA (C grade average).

f. Measurable Learning Outcomes have been developed for each major program; outcomes that will have to be demonstrated by senior students in a capstone course before they will be recommended for graduation.

g. The Department of Teacher Education has implemented a portfolio assessment process for students in the teacher education program. Portfolios demonstrate student's progress and ability as they work through the program. The required Educational Technology course was moved from the senior year to the sophomore year.

h. Dickinson State University is launching a National Assessment project. As part of this effort the University will be involved in the following assessment activities:

    1. Dickinson State students will be required to participate in the Collegiate Assessment of Academic Proficiency (CAAP), a college-level assessment program produced by the American College Testing Program (ACT). CAAP is a national standardized college-level examination that measures critical thinking skills.

    2. Dickinson State will also take part in the National Survey of Student Engagement (NSSE). The survey will provide valuable information about students' views on academic quality and the

learning environment. The tool will be used for benchmarking, and identifying areas for educational enhancement.

3. The University will also participate in the Consortium for Student Retention Data Exchange (CSRDE). This exchange of survey information will allow Dickinson State to further assess its educational experience with that of other Universities on a national perspective.

i. In addition, the Department of Teacher Education is also considering:

1. The addition of a required junior field experience. This field experience would be 60 hours and would be designed to get students more involved in the direct instruction of students.

2. To extend the preservice teaching period from 10 to 14 weeks. Elementary teachers would benefit from this, as they would have more time in each content area.

3. A change in the GPA entrance requirements from 2.5 to 3.0 for full acceptance.

7. SUMMARY:

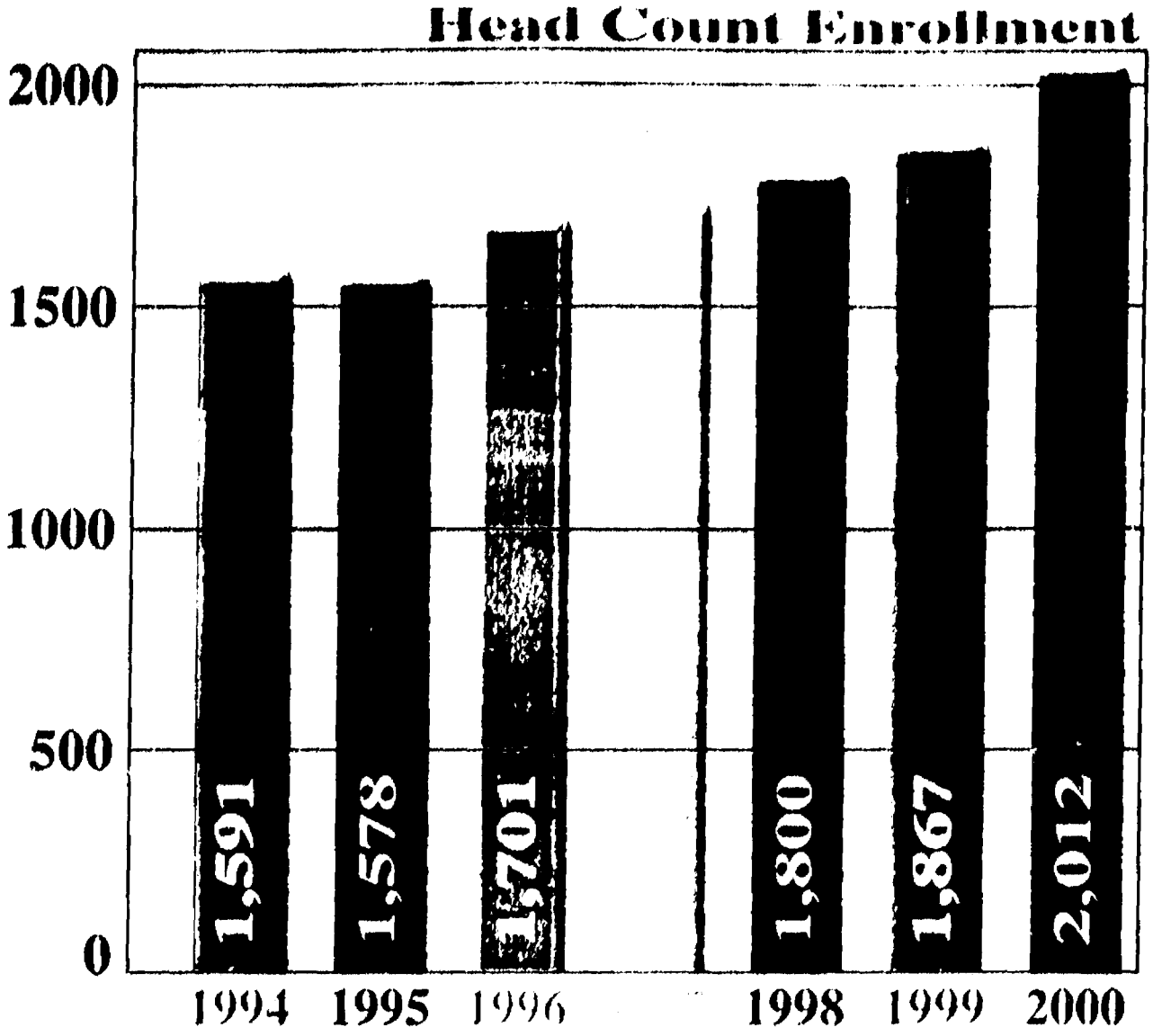
These measures all speak toward enhancing the quality of the academic experience for DSU Students and will better prepare them to compete for jobs and seek careers after graduation.

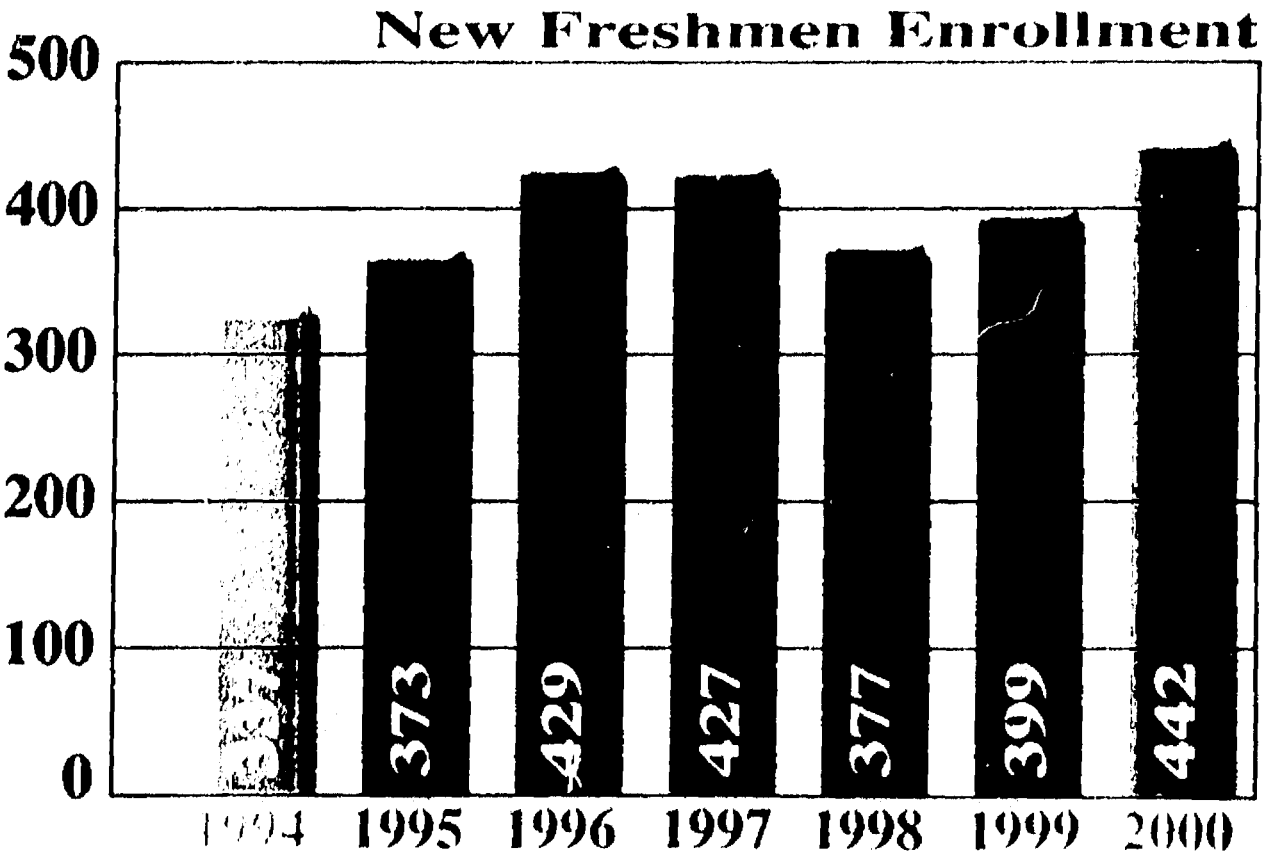
All these activities also address the University's mission to extend its services to the entire region and make educational opportunities accessible to a greater number of our citizens.

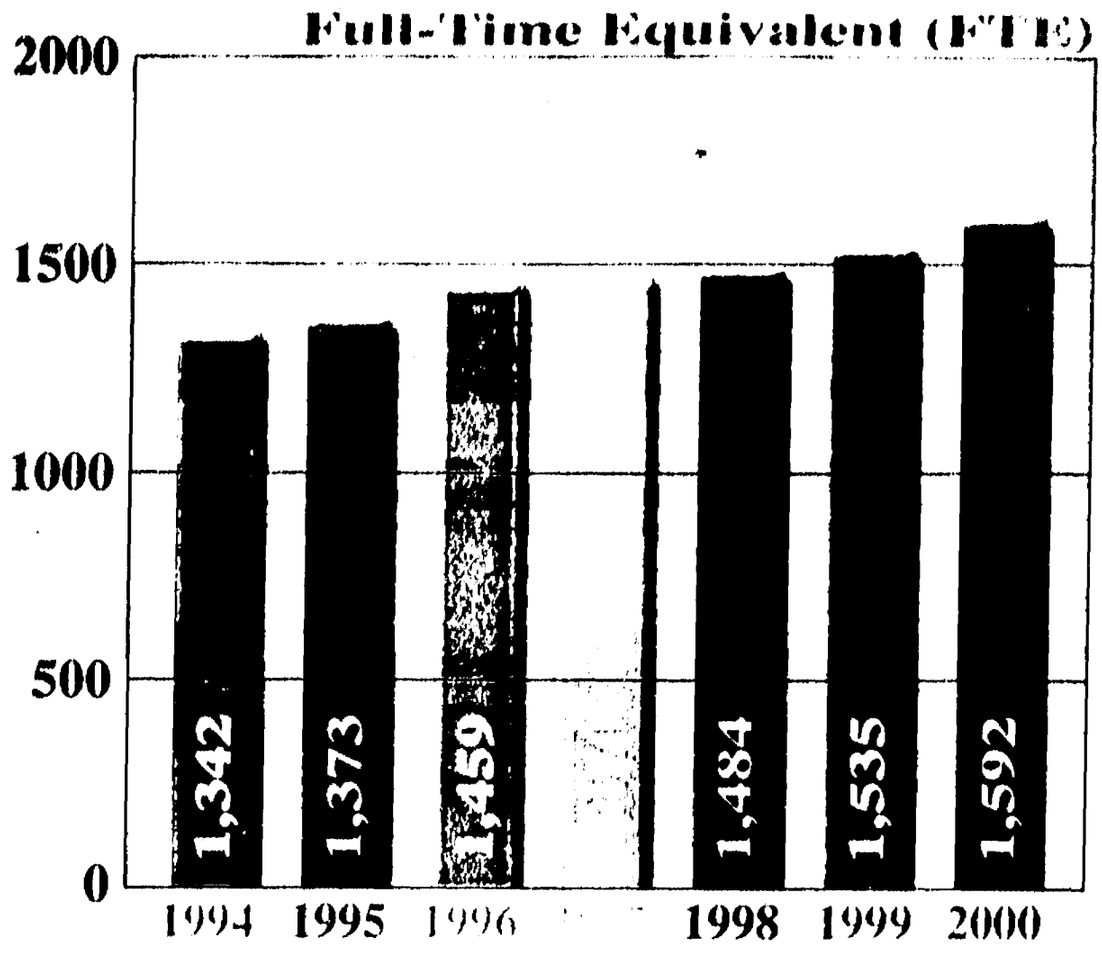
Also, these academic activities and initiatives reflect the spirit and goals of the Legislative Round-Table on Higher Education which calls for more relevant education and preparation for North Dakotans to create a force of skilled and entrepreneurial citizens to help fuel the state's economy.

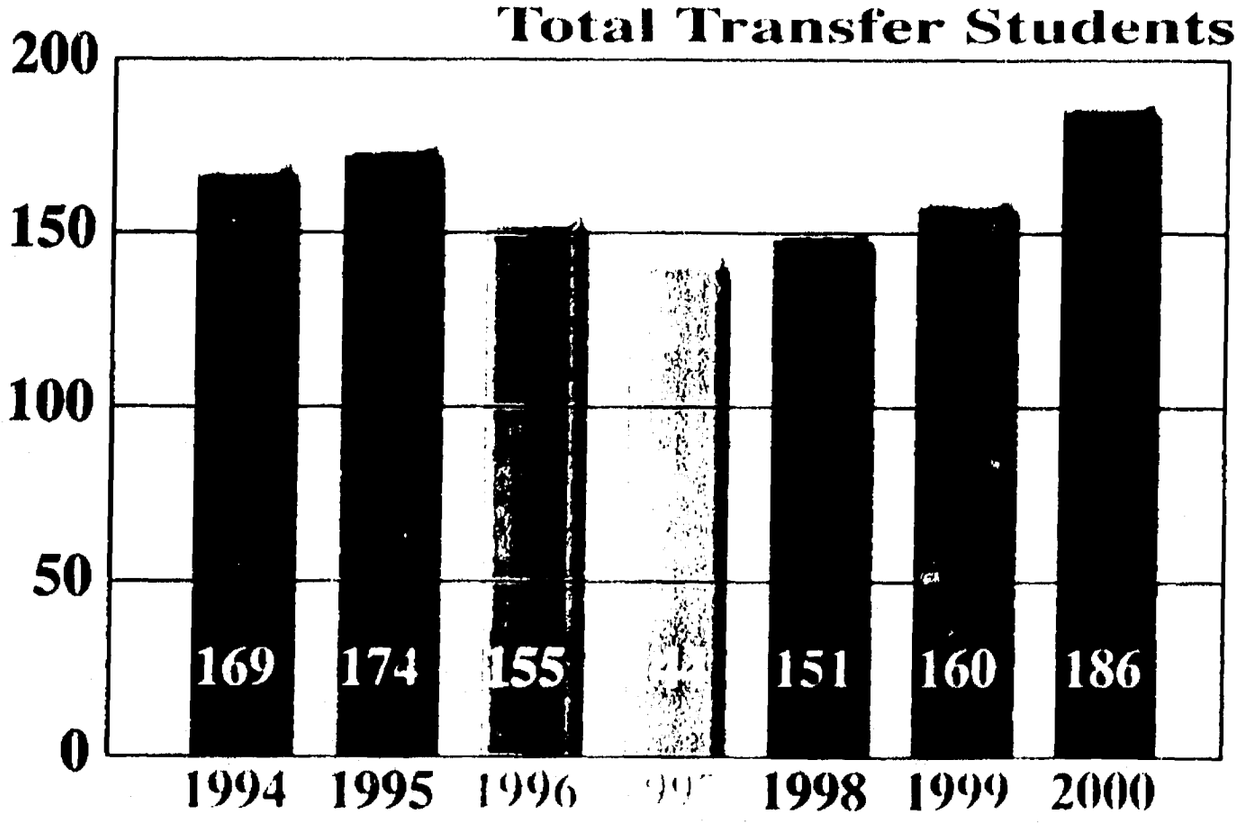
Campus Overview

Enrollment Report

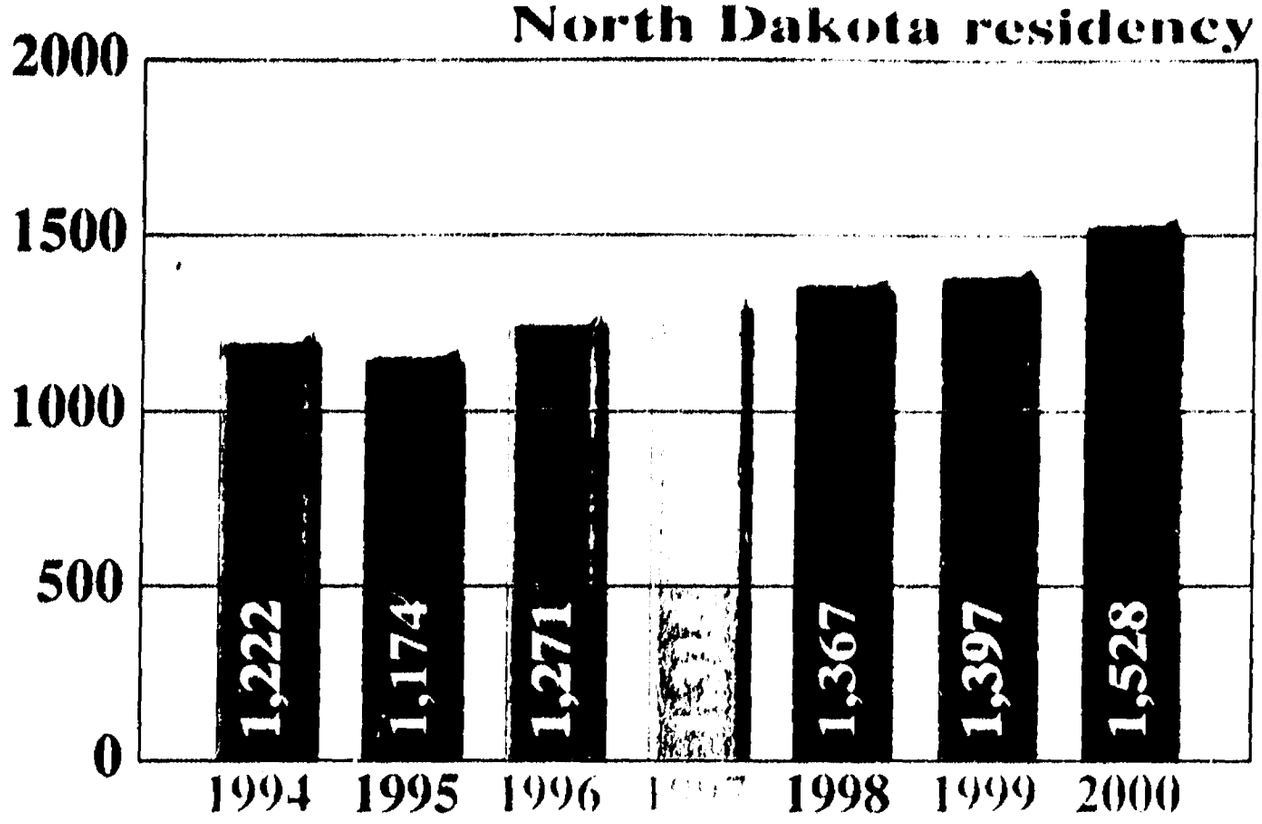

Head Count Enrollment
2000
1500
1000
500
0
1,591
1,578
1,701
1,800
1,867
2,012
1994
1995
1996
1998
1999
2000


New Freshmen Enrollment
500
400
300
200
100
0
373
429
427
377
399
442
1995
1996
1997
1998
1999
2000

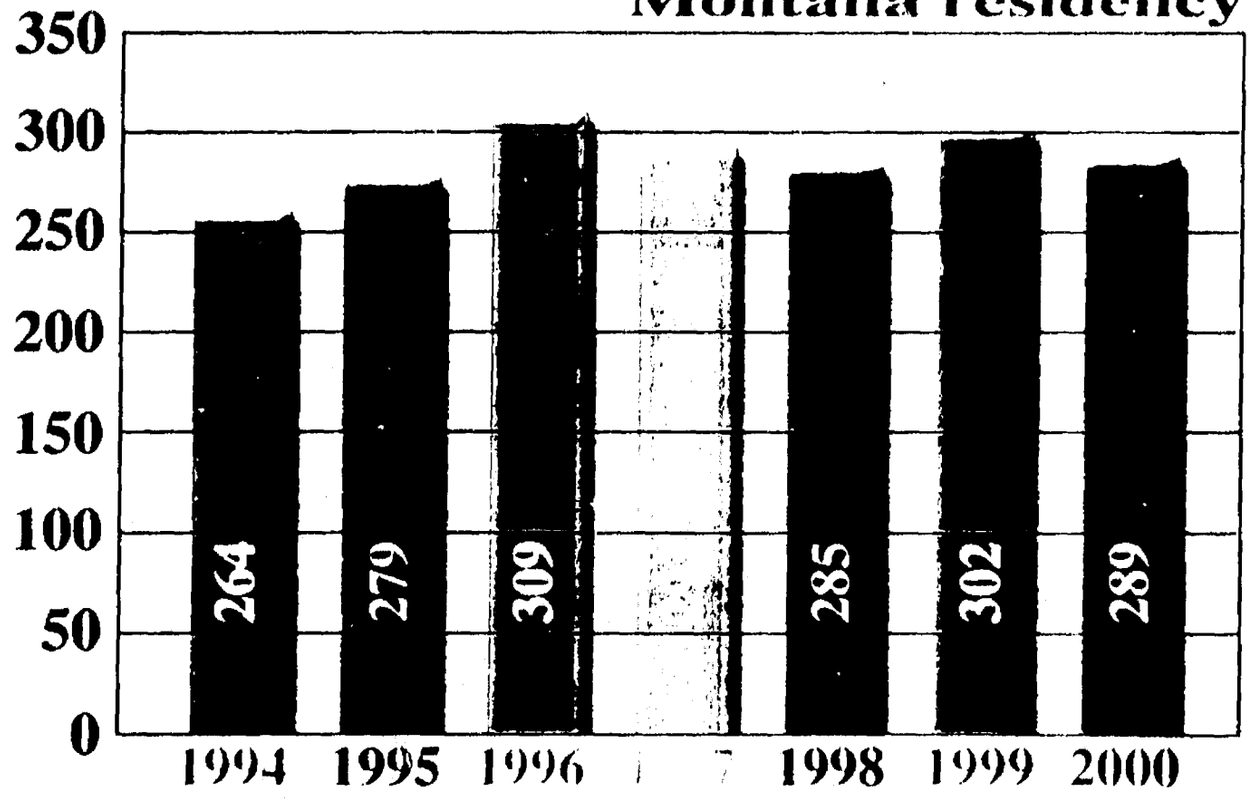

Full-Time Equivalent (FTE)
2000
1500
1000
500
0
1,342
1,373
1,459
1,484
1,535
1,592
1994
1995
1996
1998
1999
2000


Total Transfer Students
200
150
100
50
0
169
174
155
151
160
186
1994
1995
1996
1998
1999
2000

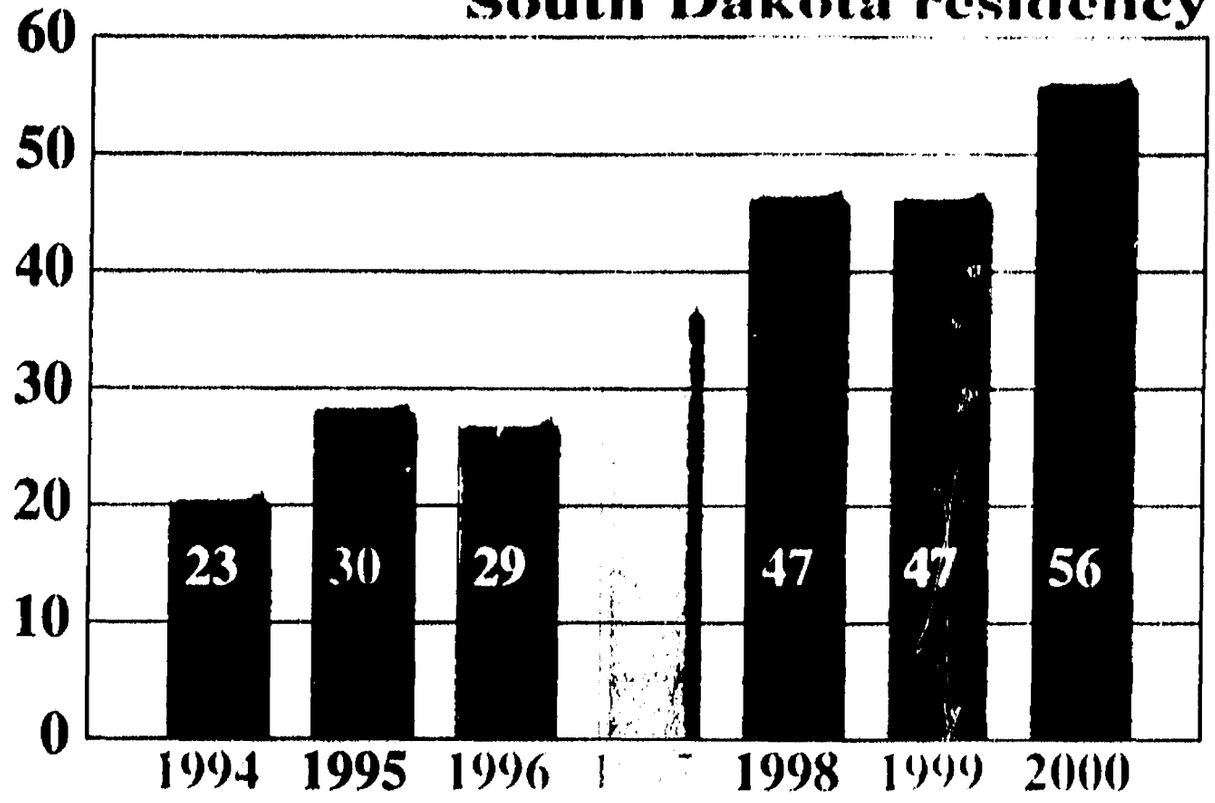
Students declaring North Dakota residency



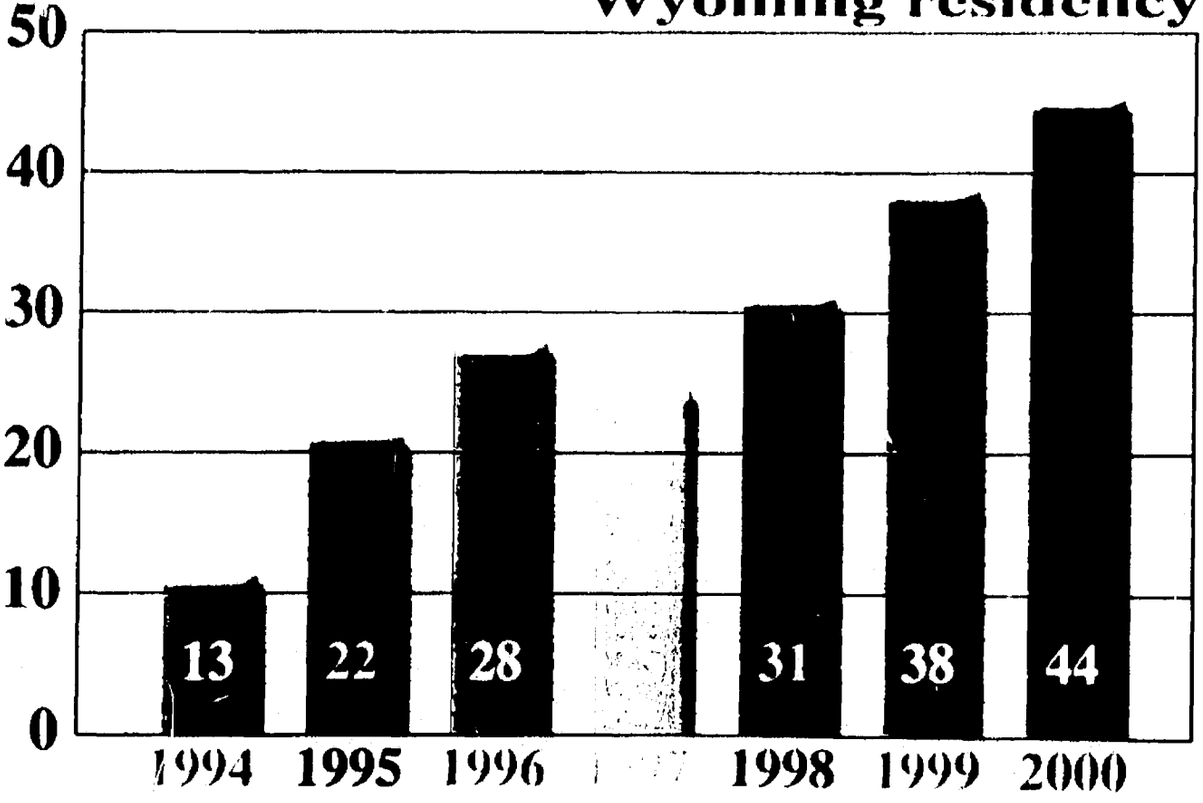
Students declaring Montana residency

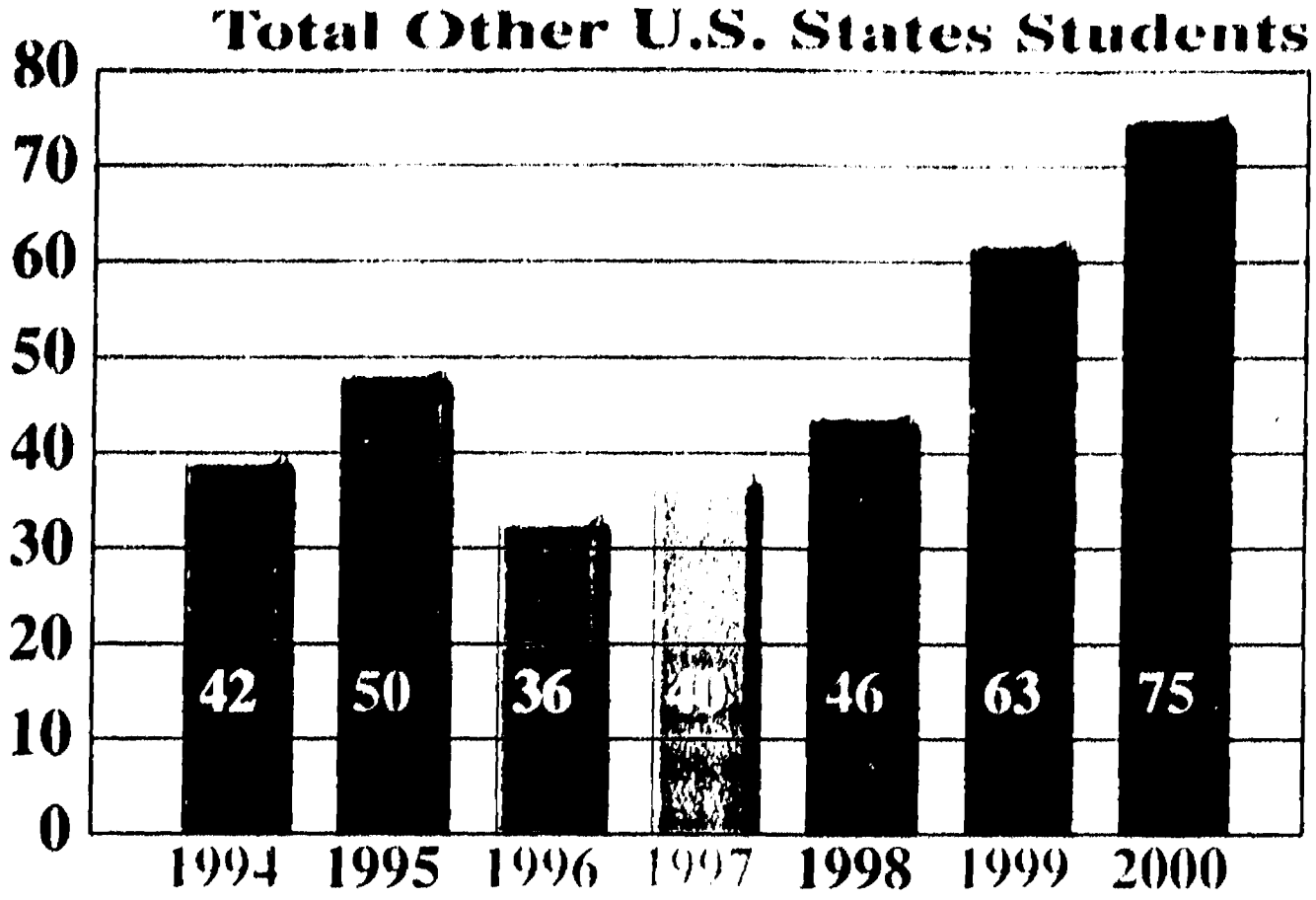


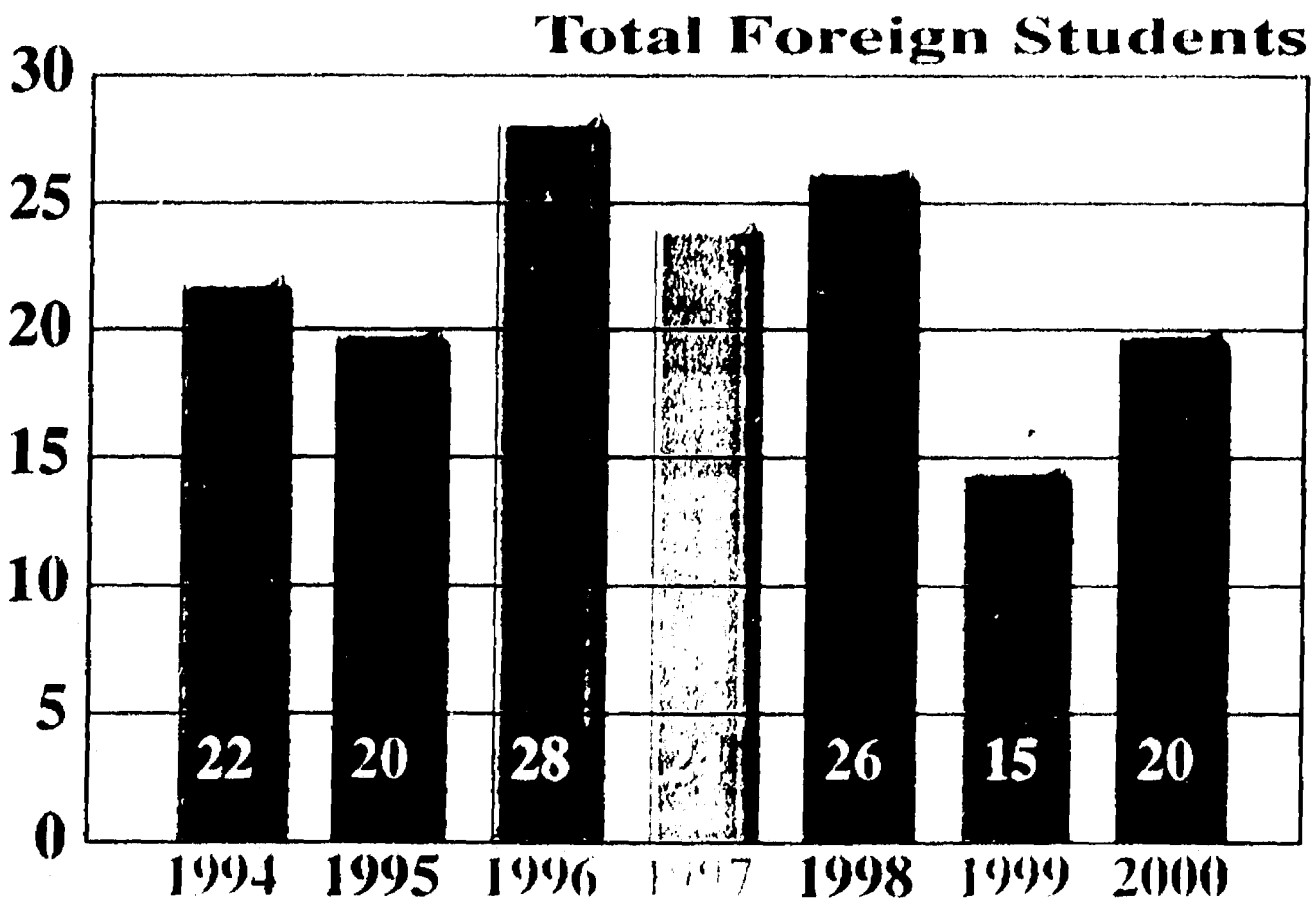
Students declaring South Dakota residency



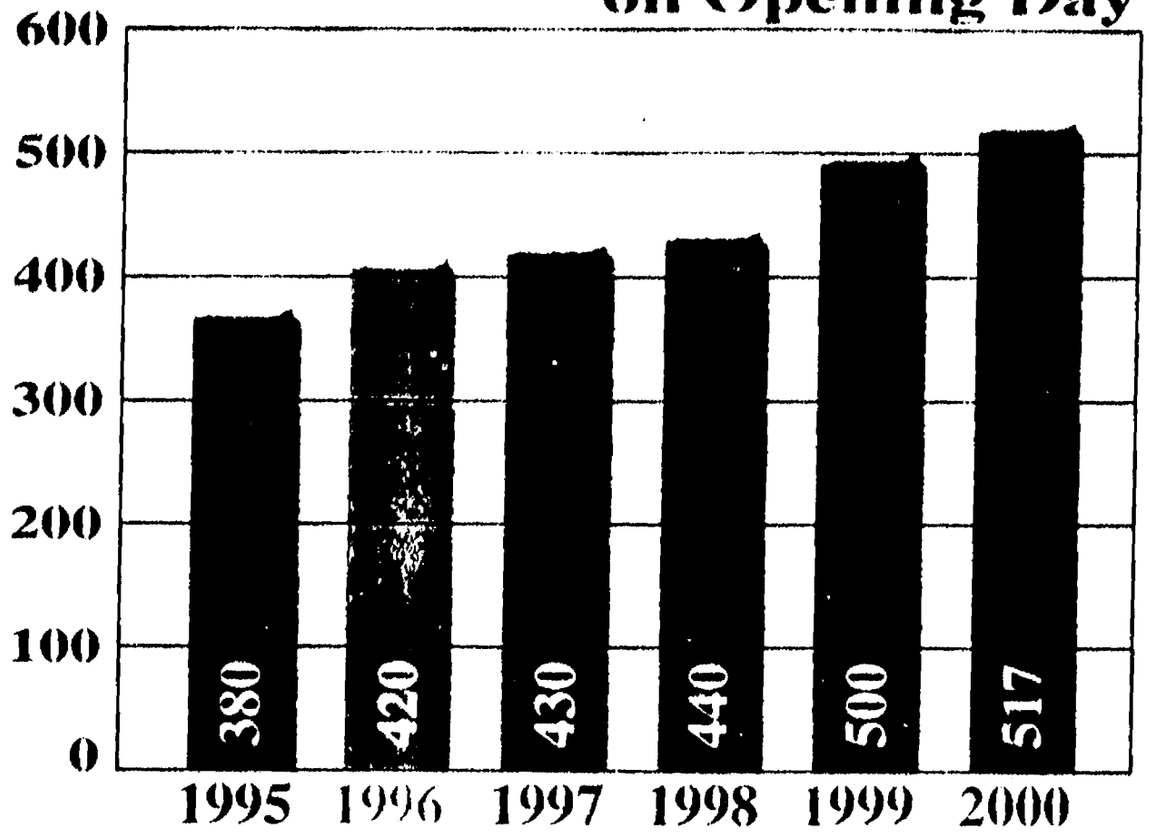
Students declaring Wyoming residency



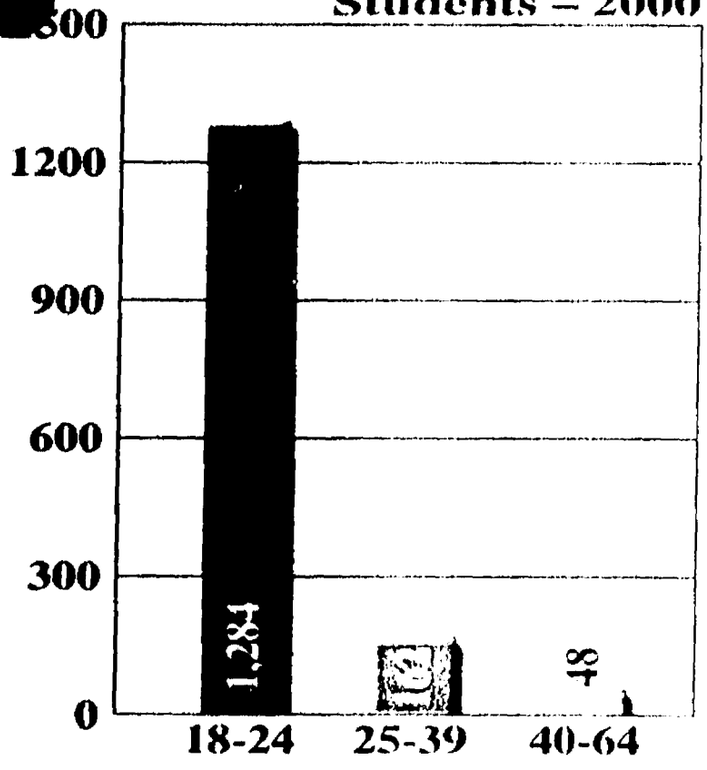

Total Other U.S. States Students
80
70
60
50
40
30
20
10
0
42
50
36
40
46
63
75
1994
1995
1996
1997
1998
1999
2000


Total Foreign Students
30
25
20
15
10
5
0
22
20
28
26
15
20
1994
1995
1996
1998
1999
2000

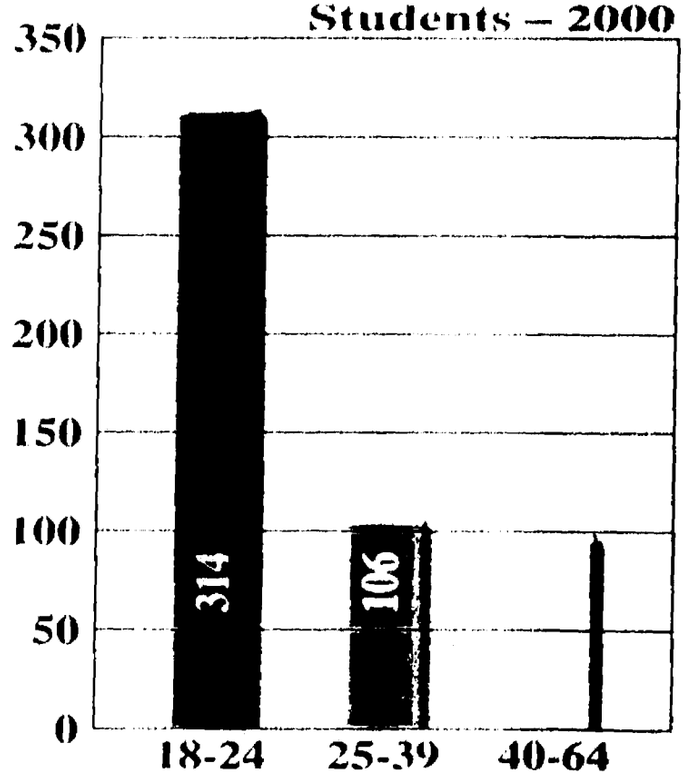
Students in Residence Hall on Opening Day



Age of Full-Time Students – 2000



Age of Part-Time Students – 2000



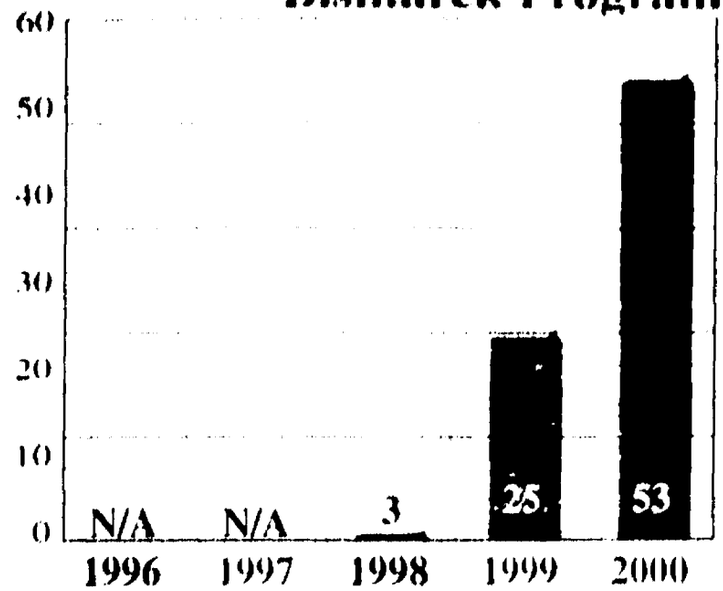
Average Age of **ALL** Students = **23**

Average Age of **Full-Time** Students = **21**

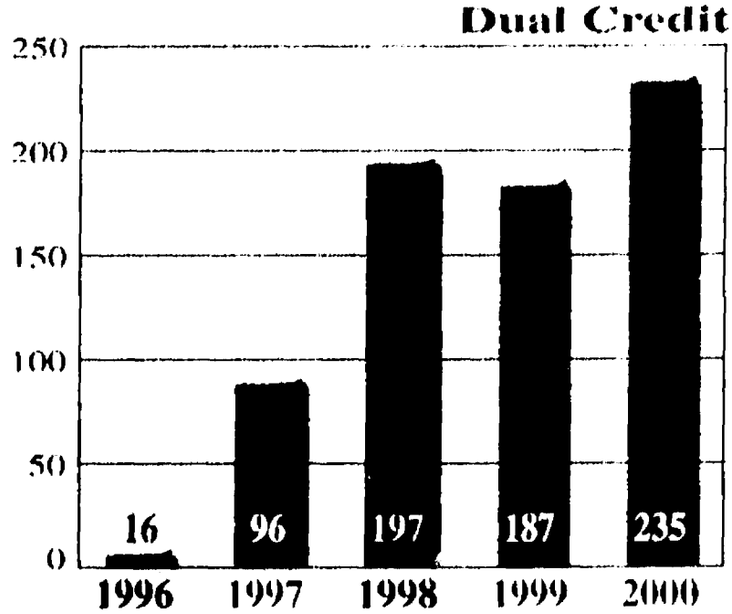
Average Age of **Part-Time** Students = **26**

Extended Campus Offerings — Fall Semester 2000

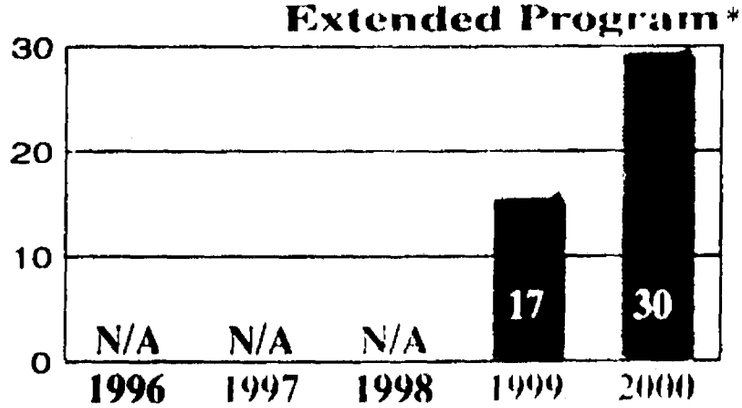
Bismarck Program



Dual Credit

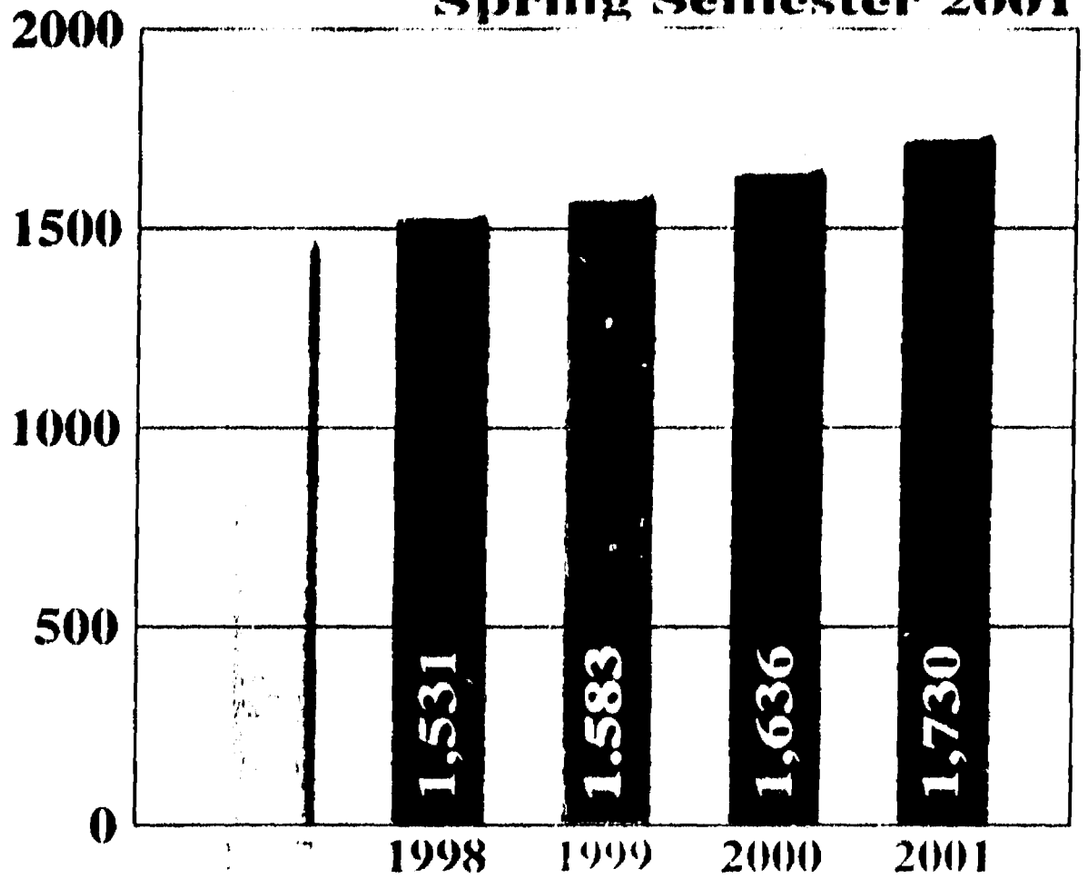


Extended Program*

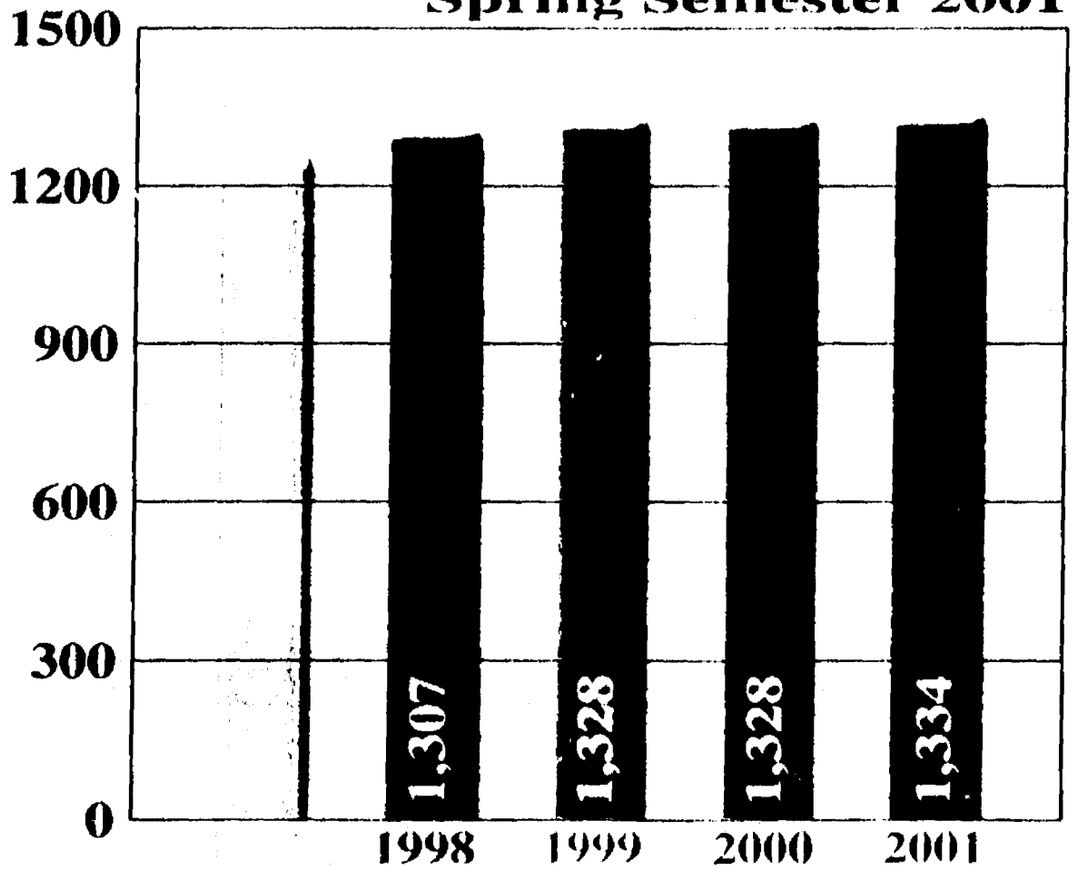


* Bowman-Hettinger area classes

Head Count Enrollment
Spring Semester 2001



Full-Time Equivalent
Spring Semester 2001



Campus Overview

Graduate Placement Report

SEVEN YEAR PLACEMENT INFORMATION

(Spring 1994-Spring 2000)

BACHELOR DEGREE DATA INCLUDES:

Bachelor of Arts in

Art, Biology, Business Administration, Chemistry, Communication Arts, Composite Social and Behavioral Science, Computer Science, English, Mathematics, Music and Spanish.

Bachelor of Science in

Accounting, Biology, Business Administration, Chemistry, Computer Science, Secondary Education, Elementary Education, Mathematics, Nursing, University Studies and Writing.

ASSOCIATE OF SCIENCE DEGREE DATA INCLUDES:

Associate of Liberal Arts

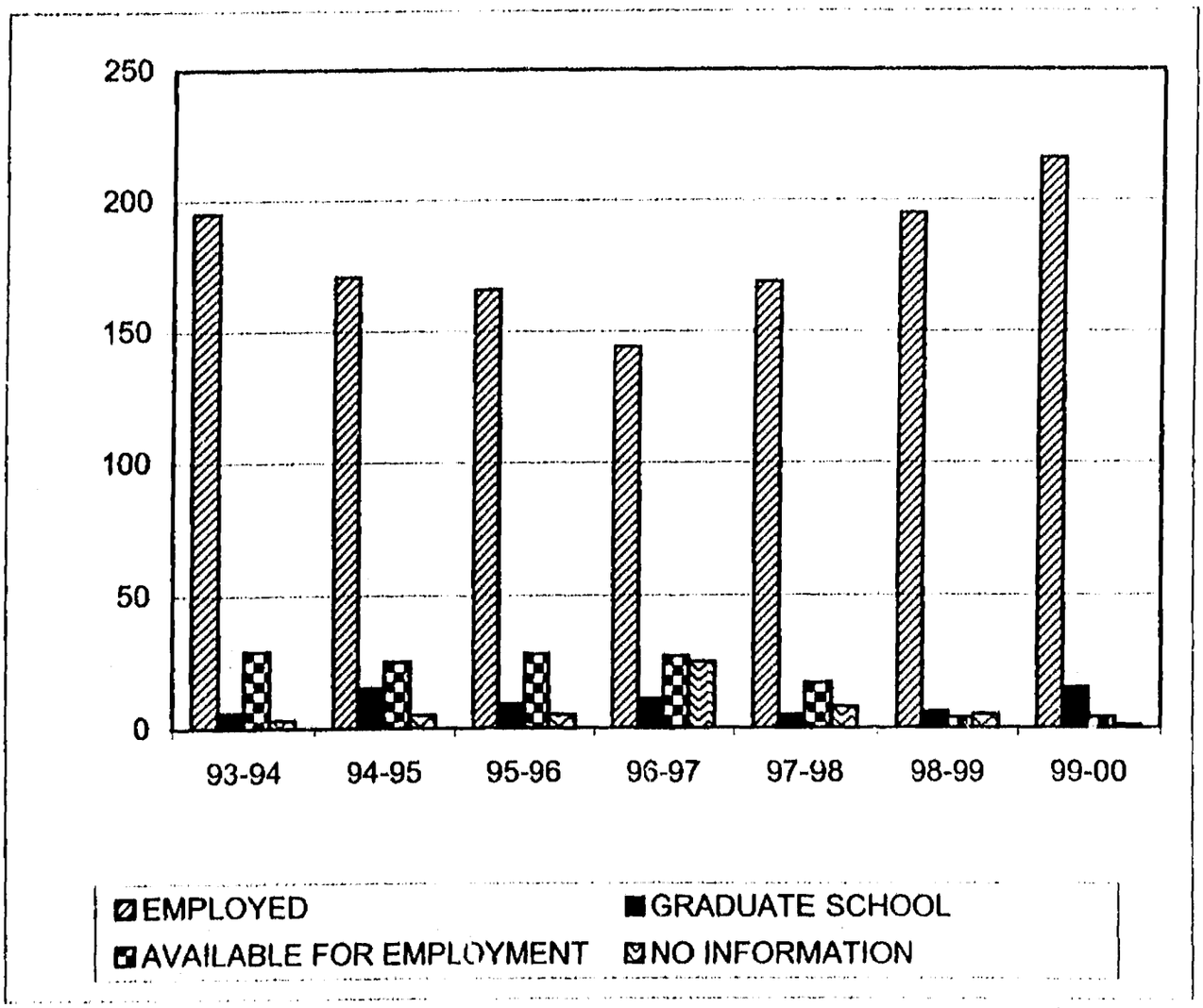
Associate of Science in

Agricultural Sales and Services, Farm and Ranch Management, Office Administration and Practical Nursing.

BACHELOR DEGREES

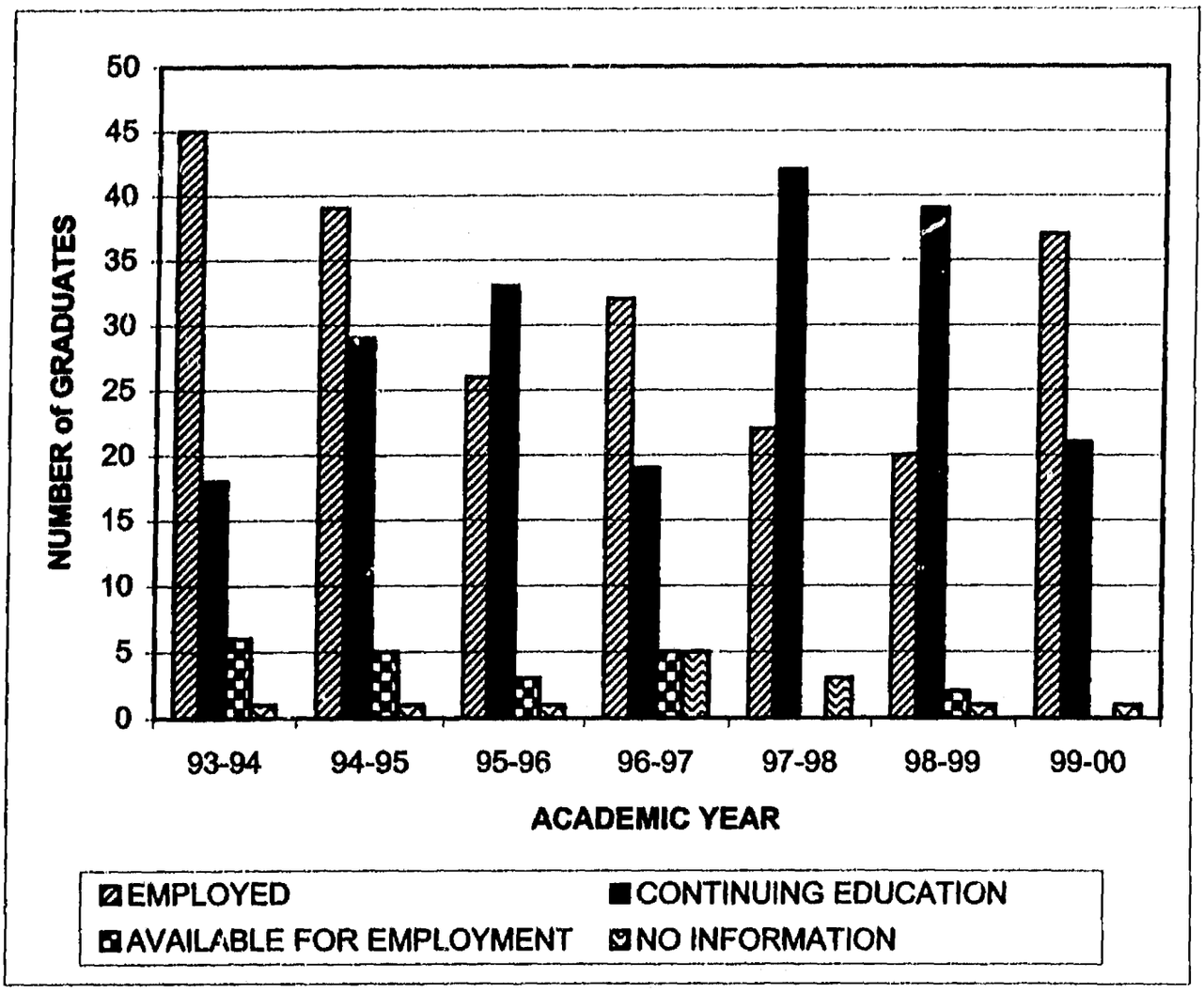
	93-94	94-95	95-96	96-97	97-98	98-99	99-00
EMPLOYED	195	171	166	144	169	195	216
GRADUATE SCHOOL	6	15	9	11	5	6	15
AVAILABLE FOR EMPLOYMENT	29	25	28	27	17	4	4
NO INFORMATION	3	5	5	25	8	5	1
TOTALS:	233	216	208	207	199	210	236
% EMPLOYED/GRAD SCHOOL	86%	86%	84%	75%	87%	96%	98%

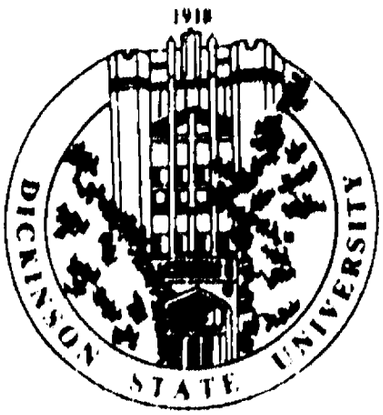
*73% of our graduates remain in North Dakota



ASSOCIATE DEGREES

	93-94	94-95	95-96	96-97	97-98	98-99	99-00
EMPLOYED	45	39	26	32	22	20	37
CONTINUING EDUCATION	18	29	33	19	42	39	21
AVAILABLE FOR EMPLOYMENT	6	5	3	5	0	2	0
NO INFORMATION	1	1	1	5	3	1	1
TOTALS:	70	74	63	61	67	62	59
% EMPLOYED/CONT. EDUC.	90%	92%	94%	84%	96%	95%	98%





Campus Overview

Facilities and Infrastructure

99-01 FACILITIES DATA

Institution/Agency: Dickinson State University

Building Name	Bldg. Inventory #	Replace. Value	Bldg. GSF	Wood Frame A/C	Wood Frame Non-A/C	Masonry Wood A/C	Masonry Wood Non-A/C	Masonry Concrete A/C	Masonry Concrete Non-A/C	[illegible]	[illegible]
May Hall	3	7,705,700	88,344					7,705,700		88,344	880
Scott Gymnasium	5	2,141,103	24,408						2,141,103	24,408	478
Murphy Hall	9	2,093,906	24,289					2,093,906		24,289	882
Weinbergen Hall	18	3,370,803	43,126					3,370,803		43,126	894
South Campus Academic Classroom	23	278,706	3,055						278,706	3,055	188
South Campus Vocational Classroom	21	597,855	13,134						597,855	13,134	618
West River Classroom	25,26	1,897,409	18,100					1,837,952	59,457	18,100	581
North Campus Classroom	30	1,012,976	10,345					1,012,976		10,345	488
Klinefelter Hall	7	4,586,160	30,601					4,586,160		30,891	422
Total Type I		23,484,418	255,402	0	0	0	0	20,407,297	3,077,121	255,492	4,871
Stickney Hall	2	1,116,975	13,680					1,116,975		13,680	258
Stoxen Library	11	2,217,389	27,429					2,217,389		27,429	538
Student Union	12	3,072,168	53,935					3,072,168		53,936	817
Heating Plant	1	1,475,738	7,030						1,475,738	7,030	278
Garage/Shop	4	209,862	4,462				209,862			4,462	286
Arena	20	767,037	28,800						767,037	28,800	680
Whitney Stadium	6	351,105	4,640						351,105	4,640	316
S.C. Quonset	24	39,832	4,000						39,832		280
President's Home	9	197,603	4,608	197,603							258
King Pavilion	36	145,314	1,435						145,314		142
Total Type II		9,593,023	150,019	197,603	0	0	209,862	6,406,532	2,779,026	139,976	3,851
Selke Hall	8	1,894,212	22,138								508
Woods Hall	14	2,475,127	36,509								954
Pulver Hall	16	2,940,751	35,371								286
Delong Hall	17	2,654,521	35,371								298
Family Housing Main Campus	10,32,33	1,153,851	17,634								880
Family Housing North Campus	31	976,996	20,400								372
Family Housing North Garages	29,34	169,474	3,600								624
Total Type III		12,064,932	171,023	0	0	0	0	0	0	0	3,310
Total Type I and II		33,077,441	405,421	197,603	0	0	209,862	26,813,829	5,856,147	395,378	8,722
Total Type I - III		45,142,373	576,444	197,603	0	0	209,862	26,813,829	5,856,147	395,378	12,032

BUILDING CONDITION APPRAISAL SUMMARY

Building Information					Structural										Electrical							Mechanical						Plumbing							
Building #	Building Name	Building Sq. Ft.	(AY) Year Built	Type	Painting	Insulation	Brick Work	Caulking	Windows	Roof	Entrance Doors	Elevator	Hand Access	Floor/Ceiling	Service	Transformer	Elec. Panels	Lighting	Fire Alarms	Motor Controls	Meter	Air Handling	Controls	Humidified	Air Conditioning	Heating	Ventilation	Fixtures	Water Piping	Drain Piping	Hand Faucets	Pipe Insulation	Water Meter	Steam Meter	Average
	TYPE I BUILDINGS																																	
3	May Hall	83,344	1924	S	2	3	3	3	2	3	2	1	2	2	1	1	2	2	1	N	N	2	2	N	2	2	2	3	2	1	3	2	N	N	2.1
5	Scott Gym	24,408	1952	S	1	3	2	3	3	3	2	N	2	1	1	1	3	1	1	N	1	3	2	N	3	1	1	3	3	3	3	2	1	N	2.1
7	Klinefelter Hall	30,801	1998	S	1	1	3	1	2	1	1	1	1	1	1	1	1	1	1	N	1	1	1	N	1	1	1	1	1	1	1	1	1	N	1.1
13	Murphy Hall	24,289	1963	S	2	2	2	3	3	1	2	1	2	3	1	1	2	3	3	N	1	3	3	N	3	3	3	3	2	3	3	2	1	N	2.3
18	Weinbergen Hall	43,126	1973	S	2	3	2	2	2	3	2	N	2	3	1	1	1	2	1	N	1	3	3	N	2	2	2	3	2	2	3	2	3	N	2.1
21	South Campus Classroom	13,134	1950	S	3	3	3	3	2	2	3	N	3	3	3	N	3	3	3	N	1	N	2	N	N	2	3	3	2	1	3	2	1	N	2.5
25	Agriculture Classroom	18,100	1981	S	1	2	3	3	2	2	1	N	2	2	1	1	1	2	3	N	1	2	2	N	2	2	1	1	1	1	1	1	1	N	1.6
30	North Campus Classroom	10,345	1985	S	1	1	2	1	1	1	1	N	1	1	1	1	1	1	1	N	1	2	3	N	1	1	1	1	1	1	1	1	1	N	1.2
	TYPE II BUILDINGS																																	
1	Heating Plant	7,030	1935	S	2	3	3	3	3	1	1	N	3	2	1	1	2	2	N	1	1	N	1	3	N	3	2	3	2	3	3	2	N	3	2.2
2	Stickney Hall	13,680	1921	S	2	3	3	3	3	1	1	3	3	3	1	1	3	2	3	N	1	3	3	N	2	3	3	3	3	3	3	3	1	N	2.5
4	Garage/Shop	4,462	1937	S	2	3	2	N	3	1	2	N	2	2	1	1	2	2	N	N	N	N	2	N	N	3	N	3	2	3	3	3	N	N	2.2
6	Whitney Stadium	4,640	1972	S	2	3	3	3	3	3	2	N	2	2	2	2	3	2	N	N	1	N	N	N	N	3	3	2	2	2	2	1	1	N	2.3
9	President's Home	4,608	1959	S	1	1	N	1	3	2	2	N	3	1	3	N	3	1	N	N	1	N	N	3	1	2	N	3	2	2	2	N	1	N	1.9
11	Library	27,429	1960	S	1	2	2	1	2	1	2	3	2	3	1	1	3	3	1	N	1	3	3	1	2	2	2	3	2	2	2	3	N	N	2.0
12	Student Center	53,935	2000	S	1	1	2	2	2	1	1	1	1	1	1	1	1	1	1	N	1	1	1	N	1	1	1	1	1	1	1	1	1	N	1.2
20	Arena (Ag)	28,800	1979	S	2	3	2	2	N	2	3	N	3	N	1	1	1	1	2	N	N	2	2	N	N	2	2	2	1	1	2	1	1	N	1.8
23	South Campus Office	3,055	1950	S	2	3	3	3	3	3	3	N	3	3	3	N	3	3	3	N	3	N	3	N	3	2	3	3	3	3	3	3	3	N	2.9
24	South Campus Quonset	4,000	1950	S	N	N	N	3	3	2	2	N	N	2	2	N	2	2	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	2.3
36	King Pavilion	1,435	1998	S	1	N	1	1	N	1	N	N	1	1	1	N	1	1	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	1.0

S = State Funded
NS = Non-State Funded
(AY): "Year Built" Adjusted To Reflect Complete Renovation of Infrastructure

AVERAGE BUILDING CONDITION DENOTES

1.00 - 1.40 Excellent	2.21 - 2.60 Poor
1.41 - 1.80 Good	2.61 - 3.00 Very Poor
1.81 - 2.20 Average	

BUILDING CONDITION APPRAISAL SUMMARY

Building Information					Structural										Electrical							Mechanical						Plumbing							
Building #	Building Name	Building Sq. Ft.	(AY) Year Built	Type	Painting	Insulation	Brick Work	Caulking	Windows	Roof	Entrance Doors	Elevator	Hand Access	Floor/Ceiling	Service	Transformer	Elec. Panels	Lighting	Fire Alarms	Motor Controls	Meter	Air Handling	Controls	Humidified	Air Conditioning	Heating	Ventilation	Fixtures	Water Piping	Drain Piping	Hand Faucets	Pipe Insulation	Water Meter	Steam Meter	Average
	TYPE III BUILDINGS																																	
8	Selke Hall	22,138	1960	NS	2	3	2	2	3	3	3	N	3	3	1	1	3	3	3	N	1	3	2	N	N	2	2	3	3	3	3	3	N	N	2.5
10	Family Housing M-A	5,878	1961	NS	1	3	2	2	3	1	3	N	3	2	2	N	2	2	3	N	1	N	3	N	3	3	2	2	3	3	3	1	3	3	2.4
14	Woods Hall	36,509	1965	NS	2	3	2	2	2	3	1	N	2	3	1	1	2	2	2	N	1	3	2	N	N	2	2	3	2	2	3	3	1	N	2.1
16	Pulver Hall	35,371	1966	NS	2	2	2	1	1	1	1	3	1	2	1	1	2	2	2	N	1	2	2	N	2	2	2	1	2	3	1	2	N	N	1.7
17	DeLong Hall	35,371	1969	NS	1	2	3	3	3	2	1	3	2	3	1	1	2	2	2	2	1	2	2	N	3	2	2	3	3	3	3	2	1	N	2.2
29	Family Housing Garage 1	1,800	1985	NS	2	N	N	3	N	3	2	N	N	N	2	1	2	2	N	N	1	N	N	N	N	N	N	N	N	N	N	N	N	N	2.0
31	Family Housing North	20,400	1985	NS	2	2	2	3	2	3	2	N	2	2	2	1	1	1	1	N	1	2	2	N	2	2	2	2	2	2	2	2	1	N	1.9
32	Family Housing M-B	5,878	1961	NS	1	3	2	2	3	1	3	N	3	2	2	N	2	2	3	N	1	N	3	N	3	3	2	2	3	3	3	1	3	3	2.4
33	Family Housing M-C	5,878	1961	NS	1	3	2	2	3	1	3	N	3	2	2	N	2	2	3	N	1	N	3	N	3	3	2	2	3	3	3	1	3	3	2.4
34	Family Housing Garage 2	1,800	1985	NS	2	N	N	3	N	3	2	N	N	N	2	1	2	2	N	N	1	N	N	N	N	N	N	N	N	N	N	N	N	N	2.0

S = State Funded
NS = Non-State Funded
(AY): "Year Built" Adjusted to Reflect
Complete Renovation of Infrastructure

AVERAGE BUILDING CONDITION DENOTES

1.00 - 1.41 Excellent	2.21 - 2.60 Poor
1.41 - 1.80 Good	2.61 - 3.00 Very Poor
1.81 - 2.20 Average	

DICKINSON STATE UNIVERSITY

INFRASTRUCTURE SUMMARY

INFRASTRUCTURE DESCRIPTION	PARAMETER	RATE *	INFRASTRUCTURE VALUE
PARKING LOT: 5" ASPHALT CONCRETE	601,081	3.19	1,917,448
PARKING LOT: CURB AND GUTTER	6,093	13.00	79,209
WATER MAIN: 4" POLY VINYL CHLORIDE	1,349	58.00	78,242
WATER MAIN: 6" POLY VINYL CHLORIDE	470	60.00	28,200
STEAMLINE; DIRECT BURIAL: 10"	977	230.00	224,710
UTILITY TUNNELS, W/O STEAMLINES: 4' X 4'	2,333	245.00	571,585
SIDEWALK: 4" REINFORCED CONCRETE	29,164	2.35	68,535
LIGHTING: 30' POLE	74	3,220.00	238,280
STREETS & ROADS: 30' WIDE W/2 CURBS & 6" GRAVEL BASE – AUTO 8" CONCRETE	2,175	182.00	395,850
STREETS & ROADS: 40' WIDE W/2 CURBS & 6" GRAVEL BASE – AUTO 8" CONCRETE	1,230	239.00	293,970
TOTAL			$3,896,030

* Rates reflect values established by the Office of Management and Budget in support of the 1999-2000 biennial budget preparation process.

INFRASTRUCTURE CONDITIONS IN PROXIMITY OF BUILDING

Building Information																																										
Building #	Building Name	Building Sq. Ft.	(AY) Year Built	Type	Parking Lot	Storm Sewer	Water Main	Sanitary Sewer	Buried Electrical Line	Steam Line	Condensation Line	Utility Tunnel W.S.	Gas Main	Telecommunications	Sidewalks	Lighting	Street	Fencing	Sprinkler	Retaining Wall	Bleachers	Running Track	Play Ground Eq.	Curb Cuts																		Average
	TYPE I BUILDINGS																																									
3	May Hall	83,344	1924	S	3	N	N	3	1	2	3	3	N	1	3	3	3	N	3	N	N	N	N	2																		
5	Scott Gym	24,408	1952	S	3	N	2	3	1	3	3	N	1	1	1	3	N	N	2	1	3	N	N	1																		
7	Klinefelter Hall	30,601	1998	S	N	N	1	1	1	2	2	2	N	1	2	2	3	N	1	1	N	N	N	1																		
13	Murphy Hall	24,289	1963	S	2	N	2	2	1	2	3	N	2	1	3	3	N	2	2	N	N	N	N	N																		
18	Weinbergen Hall	43,126	1973	S	2	3	N	2	1	2	2	2	N	1	2	3	N	N	2	2	N	N	N	N																		
21	South Campus Classroom	13,134	1950	S	3	N	N	1	2	N	N	N	N	3	N	N	N	2	N	N	N	N	N	N																		
25	Agriculture Classroom	18,100	1981	S	3	N	1	1	1	N	N	N	2	1	2	N	N	2	2	N	N	N	N	N																		
30	North Campus Classroom	10,345	1985	S	3	1	1	1	1	N	N	N	1	1	1	1	N	1	1	N	N	N	3	1																		
	TYPE II BUILDINGS																																									
1	Heating Plant	7,030	1935	S	3	N	N	3	1	3	3	3	3	1	2	2	N	N	2	N	N	N	N	N																		
2	Stickney Hall	13,680	1921	S	N	N	3	1	1	2	3	2	N	1	2	3	3	N	2	3	N	N	N	1																		
4	Garage/Shop	4,462	1937	S	3	N	N	3	1	3	3	3	N	1	3	2	N	N	3	N	N	N	N	N																		
6	Whitney Stadium	4,640	1972	S	3	N	2	2	3	N	N	N	2	N	N	3	N	2	2	3	3	3	N	N																		
9	President's Home	4,608	1959	S	N	N	2	2	3	N	N	N	1	1	3	1	N	2	3	N	N	N	N	1																		
11	Library	27,429	1960	S	N	N	N	2	1	2	3	2	N	1	1	N	3	N	3	N	N	N	N	1																		
12	Student Center	53,935	2000	S	2	2	2	2	1	3	3	N	2	1	1	2	3	N	3	2	N	N	N	1																		
20	Arena (Ag)	28,800	1979	S	3	N	1	2	1	N	N	N	2	N	N	2	N	N	N	N	N	N	N	N																		
23	South Campus Office	3,055	1950	S	3	N	N	3	2	N	N	N	N	3	3	N	N	N	N	3	N	N	N	N																		
24	South Campus Quonset	4,000	1950	S	3	N	N	N	2	N	N	N	N	N	N	2	N	2	N	N	N	N	N	N																		
36	King Pavilion	1,435	1998	S																																						

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Complete Renovation of Infrastructure

AVERAGE BUILDING CONDITION/DENOTES

1.00 - 1.40 Excellent	2.21 - 2.60 Poor
1.41 - 1.80 Good	2.61 - 3.00 Very Poor
1.81 - 2.20 Average	

INFRASTRUCTURE CONDITIONS IN PROXIMITY OF BUILDING

Building Information																									
Building #	Building Name	Building Sq. Ft.	Year Built	Type	Parking Lot	Storm Sewer	Water Main	Sanitary Sewer	Buried Electrical Line	Steam Line	Condensation Line	Utility Tunnel W.S.	Gas Main	Telecommunications	Sidewalks	Lighting	Street	Fencing	Sprinkler	Retaining Wall	Bleachers	Running Track	Play Ground Eq.	Curb Cuts	Average
	TYPE III BUILDINGS																								
8	Selke Hall	22,138	1960	NS	2	N	N	2	1	3	3	2	2	1	3	3	3	2	3	3	N	N	N	N	
10	Family Housing M-A	5,878	1961	NS	3	N	3	2	N	N	N	N	2	1	2	2	N	2	2	N	N	N	2	N	
14	Woods Hall	36,509	1965	NS	2	N	2	2	1	N	N	N	2	1	2	3	N	2	2	3	N	N	N	3	
16	Pulver Hall	35,371	1966	NS	2	N	2	2	1	2	3	2	2	1	2	3	N	2	2	N	N	N	N	N	
17	DeLong Hall	35,371	1969	NS	N	N	2	3	1	2	3	2	2	1	2	3	2	N	2	N	N	N	N	1	
29	Family Housing Garage 1	1,800	1985	NS	3	N	N	N	2	N	N	N	N	N	N	2	N	N	2	N	N	N	N	N	
31	Family Housing North	20,400	1985	NS	3	N	2	2	1	N	N	N	2	2	2	2	N	2	2	N	N	N	3	N	
32	Family Housing M-B	5,878	1961	NS	3	N	3	2	N	N	N	N	2	1	2	2	N	2	2	N	N	N	2	N	
33	Family Housing M-C	5,878	1961	NS	3	N	3	2	N	N	N	N	2	1	2	2	N	2	2	N	N	N	2	N	
34	Family Housing Garage 2	1,800	1985	NS	3	N	N	N	2	N	N	N	N	N	N	2	N	N	2	N	N	N	N	N	

S = State Funded
NS = Non-State Funded
(AY): "Year Built Adjusted To Reflect

AVERAGE BUILDING CONDITION/DENOTES

1.00 - 1.40	Excellent	2.21 - 2.60	Poor
1.41 - 1.80	Good	2.61 - 3.00	Very Poor

DICKINSON STATE UNIVERSITY
SUMMARY OF BONDS PAYABLE
JUNE 30, 2000

Auxiliary Bonds	Ref.	Date	Interest Rate	Amount of Issue	Bonds Retired 7-1-97 to 6-30-98	Bonds Retired 7-1-98 to 6-30-99	Bonds Retired 7-1-99 to 6-30-00	Total Bonds Retired	Bonds Outstanding
Selke Hall	A	3-1-58	2.875	$ 345,000	$	$	$	$ 345,000	$ 0
DeLong Hall	B	4-1-59	3.0	715,000				715,000	0
Woods Hall	C	9-1-63	3.75 to 4.0	575,000				575,000	0
Pulver Hall	D	4-1-66	4.6	495,000	45,000	45,000		495,000	0
Student Center Addition	ES	4-1-66	4.6	380,000				380,000	0
Married Student Housing	ES	3-1-61	4.8	200,000				200,000	0
Student Center Original	ES	4-1-62	4.25	300,000				300,000	0
Student Union Improvement		6-15-99	4.25 to 5.90	1,000,000			15,000	15,000	985,000
Total Auxiliary Bonds				$ 4,010,000	$ 45,000	$ 45,000	$ 15,000	$ 3,025,000	$ 985,000
Technology Bonds									
Technology Bonds	96A	3-18-96	5.2	343,250	26,802	27,460	28,747	108,124	235,126
Klinefelter Local Match	95A	3-1-95		275,000	45,833	45,833	45,833	137,499	137,501
Total Technology Bonds				618,250	72,435	73,293	74,580	245,623	372,627
TOTAL BONDS				$ 4,628,250	$ 117,435	$ 118,293	$ 89,580	$ 3,270,623	$ 1,357,627

THE ACCOMPANYING NOTES ARE AN INTEGRAL PART OF THE FINANCIAL STATEMENTS

BONDS PAYABLE

Specifics relating to Dickinson State University's bonds payable are as follows:

Issue	Rate of Interest	Maturity Date	2000 Balance	1999 Balance
Student Technology Fee Revenue Bonds, Series 1996 A	3.7-4.75	04-01-06	235,126	263,873
1995 Series A Local/Match	None	11-01-02	137,501	183,334
Student Union Improvement Revenue Bonds	4.25-5.90	04-01-19	985,000	1,000,000
Total Bonds Payable			$1,357,627	$1,447,207

The principal and interest on the bonds are payable from the net income of specific auxiliary activities, from designated student fees, from debt service reserve funds, or from philanthropic funds through the DSU Foundation Inc.. Maturities on bonds payable for the next five years and thereafter are as follows:

	Principal	Interest	Total
2001	105,861	63,911	169,772
2002	110,861	61,203	172,064
2003	113,442	58,282	171,724
2004	68,896	55,219	124,115
2005	76,470	51,888	128,358
Subsequent Years	882,097	363,211	1,245,308
	$ 1,357,627	$ 653,714	$ 2,011,341

HOUSING OCUPANCY – FALL 2000

Type of Housing	Beds/Apart. Available	Beds/Apart Occupied	Percent Occupied
Resident halls	542 Beds	517	95.4%
Family Housing	24 Apart.	21	87.5%

Successful Partnerships

Successful Partnerships

Dickinson State University has taken a leadership role in forming many new and productive partnerships during the past two years which have assisted in providing quality educational offerings for the region. Creative partnerships have played a critical role in the development of new programs and the continued growth of existing course offerings. These relationships are evidence that Dickinson State University is committed to meeting the needs of students, as well as the needs of the region.

The Dickinson State University Foundation has done an excellent job in raising funds to support these new partnerships. The majority of employers in the area have instituted or enhanced tuition assistance plans for students enrolled in the new Accelerated Degree Program, a clear indication that the community values the educational opportunities provided by Dickinson State University.

Partnership with the Dickinson Manufacturers Roundtable

As a result of the strong relationship between the University and the Dickinson Manufacturers Roundtable, Dickinson State University developed the Manufacturing Technology Concentration within the business administration degree. The concentration is designed to be responsive to the hiring needs of the region's business/manufacturing industry. In addition, TMI Systems Design Corporation, an active member of the organization, donated significant amounts of money to the Dickinson State University Foundation for a new computer lab to support this program.

Partnership with the Theodore Roosevelt Medora Foundation

The Theodore Roosevelt Medora Foundation, in cooperation with Dickinson State University, is establishing the Theodore Roosevelt Leadership Institute. It will collaborate with the U.S. Forest Service, the North Dakota State Historical Society, and the Theodore Roosevelt National Park Service, in providing and promoting leadership programs and activities related to the leadership philosophy of President Theodore Roosevelt. Specific symposia and conferences related to Theodore Roosevelt, as well as other historic regional leaders, will be planned and hosted. In addition, conferences focused on entrepreneurship, global leadership, E-commerce, change, innovative management, business and political ethics, environmental issues, rural economic development, school reform, depopulation, and other topics will be provided.

Partnership with the Champion REAP (Rural Economic Area Partnership) Alliance

Earlier this fall, Dickinson State University entered into a partnership with the Champion REAP Alliance. Together, we will work to promote economic development opportunities and identify solutions to the challenge of out-migration within rural North Dakota. Dickinson State University will assist and share resources in implementing these goals. Dickinson State has hired a REAP Alliance Director who will be working with all of the stakeholders.

Partnership with the Southwest Pipeline of Information for Communities and Education (SPICE)

Dickinson State University is working on an agreement with thirteen K-12 school districts from southwestern North Dakota to build a video-conferencing network among the districts involved. At this point, five school districts have committed to the project This network will also hook into the North Dakota State Network for Internet access. Schools represented include Dickinson State University, Bowman, Scranton, Hettinger, Dickinson and Mott-Regent. The intent of this collaboration is to establish the University and the schools as community "hubs" of teaching and learning, accessible to all community members. This project will serve approximately 4,400 students in K-12, the university population of 2000 students, and over 36,600 community and rural residents.

Business Challenge

Dickinson State University is working closely with the Greater North Dakota Association (GNDA), the business community, and the Department of Public Instruction to provide a weeklong summer camp experience for high school students and North Dakota educators to learn about business, entrepreneurship, and the free-enterprise system. This program has significant potential and is consistent with the Legislative Roundtable Recommendations.

Major Accomplishments

Major Accomplishments 1999-2000

Dickinson State University fulfills a vital role within the State of North Dakota. Guided by the goals of the North Dakota University System and more recently the recommendations of the Legislative Roundtable Report, the University has grown in enrollment, added collaborative academic programs, implemented accelerated program delivery modes to increase access for non-traditional students, and increased student and faculty access to information technology. This fall, Dickinson State University announced its fifth straight year of record enrollment, launching the University over the 2,000 student mark. Robust enrollment is just one measure of the University's success.

Adding Student-Centered Facilities

Last year, Dickinson State University witnessed the completion of two very exciting building projects.

- The **Student Center,** after a $3.5 million renovation, has reopened. The addition of a fitness center, snack bar, game room, and additional meeting and office space provides the University with a central meeting place and activity center. Renovations to the pool areas, bookstore, and other areas ensure that the building will be inviting and useful for years to come.

- The **Ben C. Frank Human Performance Center** opening was celebrated in October 2000. Provided through a gift from Ben C. Frank, the center features the latest training techniques to increase quickness and enhance athletic performance. The center is not only used by student-athletes, but also by students in the health and physical education program as they study cutting-edge training techniques.

- The **Altringer Apartments** round out the list of major physical improvements. This 18-unit apartment facility, gifted to the DSU Foundation, is an excellent addition to the campus residence hall system.

Advances in Technology

Dickinson State University increased student and faculty access to information technology with the addition of two new computer labs, three new multi-media classrooms, and the implementation of the laptop initiative for faculty.

- A **computer lab in the Agriculture Building** was provided through a gift from Consolidated Telcom, and TMI Systems Design Corporation provided partial funding for a new **computer lab in Murphy Hall.** The **Laptop Initiative** for faculty in the Departments of Business and Management and Teacher Education was partially funded by the University Foundation. This initiative will significantly enhance the learning process and facilitate partnerships with area business and K-12 teachers and provide faculty with the latest in teaching tools and training. The infusion of information technology into the learning process is a critical component of the Legislative Roundtable Cornerstone.

- **On-line courses** significantly increase access and the utilization of technological enhancements and will facilitate academic excellence. On-line courses have been available since last year and the University continues to seek ways to provide courses through this new delivery mode.

Meeting Student Needs with New Academic Programs

The new academic programs at Dickinson State University are the result of partnerships between several different entities.

- The new **four-year agriculture degree** is possible because of a creative partnership with North Dakota State University and the Dickinson Agriculture Research Center. The Agriculture Studies degree meets a critical regional need, and has been well received by students.

- The addition of a **psychology major** is possible through a cooperative partnership with The Department of Human Services, Badlands Human Services Center (Dickinson).

- The addition of the **Manufacturing Technology Concentration** within the business administration degree is an outgrowth of discussions with the Dickinson Manufacturer's Roundtable. The Manufacturer's Roundtable expressed a need for graduates with the technical skills necessary to compete in today's workforce. Excellent job opportunities make this a valuable degree both to area employers and graduates.

- Dickinson State University developed a working partnership with Bismarck State College to deliver **workforce training activities** to Dickinson.

Approval of these new programs, and the partnerships they represent, illustrate the University's commitment to address the Legislative Roundtable Recommendations. These new programs will have a direct impact on economic development and they demonstrate the University's responsiveness and flexibility in meeting the needs of students, as well as the region.

Extended Programs

Dickinson State has been active in serving the adult population through the newly created Office of Extended Programs. The University now provides college degrees or courses in Bismarck, Hettinger, Bowman, and Scranton, in addition to the Dickinson area. The University formed partnerships with the Yellowstone Trail Consortium to meet the educational needs of the adult population in Western North Dakota during the summer of 2000.

- **Accelerated Degree** programs are now available on the Dickinson State and the Bismarck State campuses, where students can complete a Bachelor of Arts degree in university studies. Since the inception of the program in the fall of 2000, 53 students have enrolled at the Bismarck campus with many more students to enroll in the coming years. This new program, provided through a partnership with Dickinson State, Bismarck State College, and Minot State University allows students to complete a bachelor's degree, while maintaining their full-time job and meeting family obligations. The Accelerated program has been overwhelmingly received in the Dickinson area with 90 students pursuing a degree through the program.

- The University has taken a number of **courses to the Bowman, Hettinger, and Scranton area** to continue to meet its mission of serving the population of Southwestern North Dakota. This fall, five courses were delivered to the adult population in these communities and plans are to expand the offering in the coming year.

- The University is finalizing plans with the Yellowstone Trail Consortium to offer **an Associate of Applied Science degree in Practical Nursing** by the fall of 2001. This degree will help meet the nursing shortage in the extreme southwest corner of the state.

Theodore Roosevelt Honors/Leadership Program and Cultural Enrichment Scholarships

This fall, 25 students from nine states enrolled in a unique leadership/honors program at Dickinson State University. The **Theodore Roosevelt Honors/Leadership Program** provides scholarships for an additional 25 top academic students to attend Dickinson State University who have shown leadership potential.

- Participants in the program have the opportunity to take specialized courses designed to enhance their leadership skills and prepare them to become leaders in society.

- The scholars are housed in the Altringer Building, an 18-unit apartment building which was provided through a gift to the University.

- The Theodore Roosevelt Honors/Leadership Program not only provides a $2,000 scholarship annually for up to five years, but in addition, it engages the students in a variety of specialized leadership courses designed to enhance critical thinking skills and to prepare them to live, learn and lead in the 21st Century.

- Additional scholarships were provided for 28 art, music, writing, and theater students through **Cultural Enrichment Scholarships**. This initiative will have a significant impact on the campus, as well as the region.

These efforts address the Legislative Roundtable Report by recruiting new students and retaining talented students within the area. Additionally, the unique programs these scholarships represent are a facet of the University's efforts to achieve education excellence.

Enhancing the Quality of Education for Students

Several efforts to improve the quality of our students' experience at Dickinson State have been implemented.

- **The Task Force on Advising/Retention and Freshman Seminar** assisted with implementing the Freshman Seminar, a required course for freshman, and the addition of learning communities. These retention strategies will have a direct impact on students' academic success, the quality of academic programs, and our retention rates. In the learning communities, groups of students take several core courses together. This helps the students establish relationships and connect them with the campus.

- **The Writing Across the Curriculum** initiative began last year and has enhanced the learning experience throughout the University. The work of the task force has shaped the curriculum as 99% of the Freshman class is enrolled in freshman English and 40% is enrolled in speech. Courses that meet criteria set forth by the Writing Across the Curriculum Committee have been designated writing intensive.

- Efforts to expand cultural enrichment, both on campus and throughout the region, were successful. The 28 additional **Cultural Enrichment Scholarships** provided by the Foundation and the increase in cultural offerings benefitted students and contributed to the quality of life for citizens throughout the region. This initiative has broad significance, including the enhancement of economic development; therefore, the focus on the Cultural Enrichment Initiative will continue to be a priority.

Cultural Diversity and Global Initiative

Dickinson State University has launched programming in the area of **Cultural Diversity and Global Awareness**. The initiative is an ongoing effort to promote international learning and provide cross cultural experiences for students.

- The University has entered into a cooperative agreement with KIMEP University in Almaty, Kazahstan to establish a scholar/student exchange program.
- In addition, the University has implemented a variety of campus multicultural activities including student diversity clubs, cultural diversity activities, and academic coursework which examines a number of diverse cultures.
- The institution's Cultural Diversity Committee oversees the campus plan which provides a culturally diverse learning environment for students.

Learning Assessment

The University has significantly increased its focus on assessment and continuous improvement.

- The emphasis on entrance and exit level standards has been increased in teacher education and in business.
- Dickinson State University established a Portfolio Assessment process in Teacher Education.
- The University implemented a process to assess critical thinking skills of all students.
- Dickinson State University implemented a process to gather students' perception of the learning environment utilizing a nationally recognized instrument.
- Measurable learning outcomes have been developed for each major program and national recognized assessment instruments will be utilized to measure student learning.

Evidence of the University's commitment to the goal of enhancing the quality of the University's academic programs can also be found in the President's goals for 2000-01. Dr. Lee A. Vickers' goals include a significant focus on the assessment of learning, on internationalizing the campus through the implementation of a Global Initiative, enhancing student research, and integrating the use of information technology into every facet of the curriculum. These initiatives are congruent with the Legislative Roundtable Recommendations.

Dickinson State's Role as a Regional Leader

- Dickinson State University is busy preparing for the upcoming national Depopulation Symposium, a four-state effort to identify and propose policy changes and strategies to address the ongoing depopulation of the Great Plains Region. The $475,000 appropriation from the federal government for the symposium is a result of efforts by U.S. Senator Byron Dorgan.

President's Advisory Board

Dickinson State University is working in partnership with the Presidentially-appointed regional advisory board. The Board met earlier in the fall of 2000 and assisted in identifying specific goals to enhance the University's leadership role in the region. The goals focus on the University's opportunities to contribute to the economic development, quality of life, and the future prosperity of Southwestern North Dakota. The group will be meeting on an ongoing basis twice a year to provide valuable insight and recommendations.

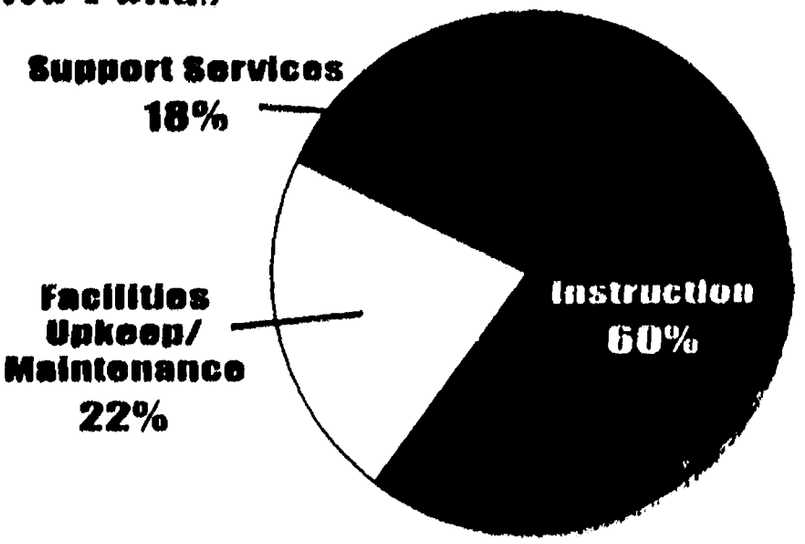
Future goals for the University as identified by the Advisory Board include:

- Foster change and new approaches to problem solving
- Look at jobs in the region and offer courses to support regional need—find a niche
- Enhance and promote economic development
- Provide high quality agriculture programs and related specialized activities for citizens in the region
- Focus on excellent academic advising and train advisors to ensure students are prepared for the future

Budget Issues

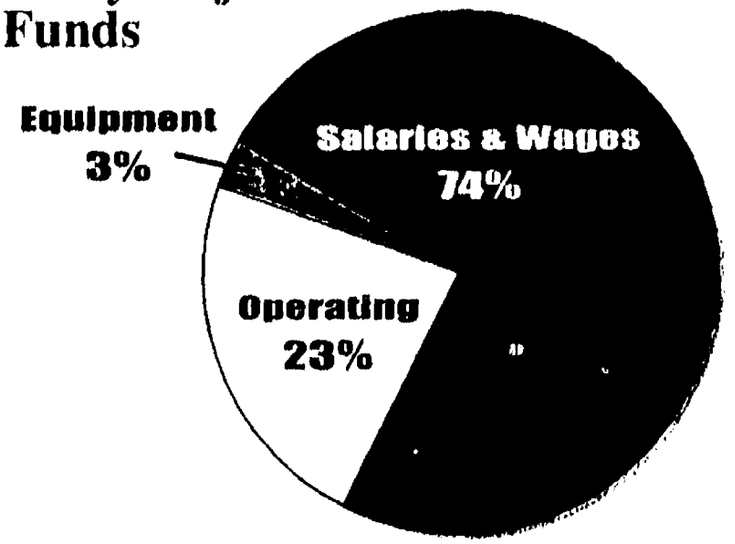
1999-2001 Expenditures by Major Programs Appropriated Funds

Instruction	60%
Support Services	18%
Facilities Upkeep/ Maintenance	22%



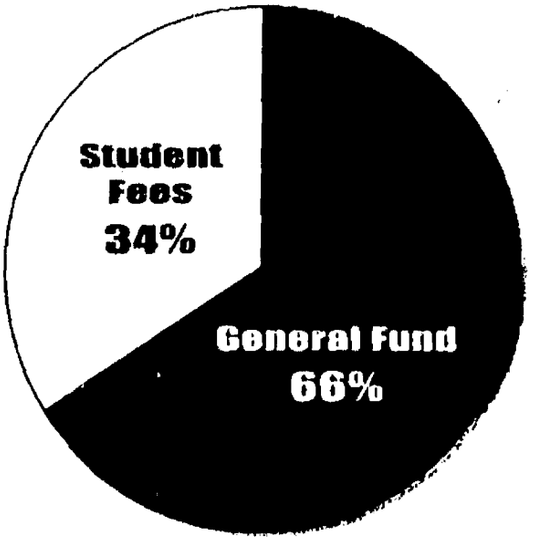
1999-2001 Expenditures by Object Appropriated Funds

Salaries & Wages	74%
Operating	23%
Equipment	3%



1999-2001 Source of Funds Appropriated Funds

General Fund	66%
Student Fees	34%



Components Within Major Programs

I. Instruction:

A. Faculty Salaries
B. Classroom Supplies
C. Instructional Support Equipment
D. Academic Training and Development Costs
E. Academic Administration (Academic Dean, Secretarial Support, etc.)
F. Libraries

II. Support Services:

A. Student Services (Financial Aid, Health Services, Guidance, Placement, etc.)
B. Institutional Support (Expenditures in support of the management of the institution: President's Office, Business Office, Computer Center, etc.)
C. Support Services Equipment
D. Support Services Staff Training and Development Costs
E. HECN Costs

III. Facilities Upkeep and Maintenance:

A. Building Maintenance and Operation Costs
B. Custodial Services
C. Heating Plant and Utilities Management
D. Landscape and Grounds Care
E. Traffic, Safety, and Security Controls
F. Physical Plant Equipment
G. Physical Plant Staff Training and Development Costs
H. Plant Improvements

2001-2003 EXECUTIVE RECOMMENDATION
Summary of General Fund Increases/(Decreases)

1999-2001 Adjusted General Fund Appropriation:		$14,309,603
Adjustments:		
99-01 One-time legislative funding/infrastructure	($ 330,360)	
99-01 General Fund Carryover	(737,605)	
Total Adjustments To 99-01 General Fund Base	($1,067,965)	(1,067,965)
Total General Fund – Hold Even Request		$13,241,638
Health Insurance Cost Adjustment 2001-2003		213,156
2001-2003 General Fund Executive Recommendation		**$13,454,794**

NOTE: The Executive recommendation removes all income, including tuition, from the appropriation process and reduces the number of line items in the campuses' budgets. This action is consistent with the Roundtable expectations and recommendations in support of increased campus flexibility.

ENGROSSED SENATE BILL NO. 2003

Subdivision 8: Dickinson State University

: Operations	$13,060,832
: Capital Assets	393,962
Total General Fund Appropriation	**$13,454,794**

EXTRAORDINARY REPAIRS

239 DICKINSON STATE UNIVERSITY

Version: 2001-0239-A-01

Date: 7/17/00
Time: 15:41:51

Priority	Project Description	Reporting Level	Line	Funding Request			
				General	Federal	Special	Total
1	Tuckpointing (May Hall)	00-239-300-00-00-00-00	50	80,000	0	0	80,000
2	Campus Lighting Upgrade	00-239-300-00-00-00-00	50	189,000	0	0	189,000
3	Building Entryway, Step, and Foundation Repair	00-239-300-00-00-00-00	50	82,962	0	0	82,962
4	Agriculture Building Brick Seal/Caulking	00-239-300-00-00-00-00	50	42,000	0	0	42,000
	TOTAL			393,962	0	0	393,962

NOTE: Dickinson State University's general fund supported buildings have a replacement value of $33,077,441. Infrastructure on campus is valued at $3,896,030. The 2001-2003 extraordinary repair executive budget recommendation of $393,962 does not adequately address the deferred maintenance issues relative to a combined building/infrastructure valuation totaling $36,973,471.

DICKINSON STATE UNIVERSITY
HOLD EVEN REQUEST – ESTIMATED COSTS TO CONTINUE

COSTS TO CONTINUE: 2001-2003

Operating Inflation @ 2.5% per year	$ 99,217
Utilities Increases @ 3.0% per year	104,921
2000-2001 Salary Level Continuance	216,626
Risk Management Premium	25,070
Estimated Total Costs To Continue	**$445,834**

Note: The estimated "cost to continue" amount in support of utilities was projected during the biennial budget submission process. Since then, there have been substantial increases in natural gas rates. Should these increases continue into the 2001-2003 biennium, the funds necessary to offset the cost of utilities will be considerably higher.

DICKINSON STATE UNIVERSITY
HOLD EVEN REQUEST - FUNDING ESTIMATED COSTS TO CONTINUE

"Hold Even" infers that no additional general fund dollars will be made available; therefore, all "costs to continue" will have to be addressed by internal reallocations and/or additional tuition income. Additional tuition income is anticipated based upon the continuation of the 2000-2001 tuition rate increase complimented by a proposed tuition increase of $85/year for each year within the 2001-2003 biennium. If enrollment levels meet projections, the following additional tuition revenue should be available to address the institutions "costs to continue."

Increase From Continuation of 2000-2001 Rate Increase	$128,442
Increase From Proposed 2001-2003 Rate Increases	431,419
Total Projected Additional Tuition Revenue	$559,861

The projected $559,861 of additional tuition revenue is based upon the following tuition levels being charged:

	2000-2001	2001-2002	2002-2003
Resident	$ 1,982	$ 2,067	$ 2,152
Minnesota	2,120	2,211	2,302
Contiguous	2,478	2,584	2,690
WUE	2,973	3,101	3,228
Other Non-Res.	5,292	5,519	5,746

DICKINSON STATE UNIVERSITY
HOLD EVEN REQUEST – COST TO CONTINUE SUMMARY

Projected Increase In Tuition Revenue (2001-2003)	$559,861
Estimated Costs To Continue (2001-2003)	445,834
Total Uncommitted Projected Tuition Revenue	**$114,027**

INSTITUTIONAL CONCERNS RELATIVE TO THE PROJECTED MARGIN BETWEEN INCREASED TUITION REVENUE AND ESTIMATED COSTS TO CONTINUE:

- Utility costs will likely exceed the projected increases of 3%/year
- Operating inflation is conservatively projected at 2.5%/year
- Enrollment variances would quickly affect the amount of revenue available to address "costs to continue." As an example, the projected surplus would not exist should any one of the following circumstances materialize: full-time resident enrollment declines by 27 students, full-time contiguous state/provinces enrollment declines by 22 students, full-time WUE enrollment declines by 18 students, or full-time non-resident enrollment declines by 10 students. Should enrollments increase, additional revenues would be available to address increased operating costs associated with the new enrollment levels and to support various institutional initiatives.
- Aging facilities and infrastructures are contributing toward increased maintenance and repair expenditures. There is an extensive list of deferred maintenance projects that needs attention.

1. Adequate faculty/staff salaries and development funds need to be realized in support of attracting and retaining quality employees. The institution does not have sufficient faculty and staff positions to provide the quality of services it desires to deliver. Accrediting agencies have recognized and expressed concerns in regard to inadequate staffing levels. It is becoming increasingly difficult to recruit employees for specific positions in disciplines such as Nursing, Computer Science, and Information Technology.

   Engrossed SB 2003:

   - Provides funds for a 3% average salary increase in 2001-02 and an average 2% in 2002-03 for state employees, with a required $35 per month or $420 per year minimum. Agencies are permitted to internally reallocate another one percent in 2002-03 to provide a total 3% average increase both years of the biennium.

   - Provides $1.0 million in funds to the Board to be used for additional salary equity adjustments for faculty and staff above and beyond state funded compensation adjustments of 3% - 2%.

   - Provides increased funding in support of continuing health insurance benefits for all state employees.

   NDUS Restoration Plan:

   - Proposed amendments totaling $7.29 million to fund the "cost to continue" of the 2000-2001 salary increases.

   - Proposed an amendment to move faculty and staff salaries to 80% of regional averages. To accomplish this, an amount of $8,129,658 is needed in addition to the 3%/2% increase and continuation of the 2000-2001 salary increases.

2. Operating expense funds are not adequate to address increasing enrollments, changing technologies, program workload changes and facility use demands. The importance of providing hands on training on modern equipment utilized by today's workforce necessitates the need for additional equipment funds if quality of service and efficiency are to be addressed appropriately.

   Engrossed SB 2003:

   - Provides $2.32 million to the Board in support of parity and equity funding for campuses based upon peer comparators and new funding models.

   NDUS Restoration Plan:

   - Proposes a $1.88 million equity and special needs block grant to the SBHE to address equity and parity issues

   - Proposes a $180,000 amendment to restore budget to Governor Hoeven's recommended general fund level in support of campus parity and equity funding.

development, equipment, infrastructure, software) are desperately needed to address the technological changes/demands associated with instruction and general operations.

Engrossed SB 2003 and SB 2022:

- SB 2003 provides $6.0 million plus for investments in technology (statewide network plan, Internet II, distance learning).

- SB 2022 invests $12.0 million in state general funds to support the statewide Enterprise Resource Plan (ERP) in the Information Technology Department budget. This provides partial funding for the replacement of all student records, finance, human resource and payroll software systems in the NDUS, state government, and K-12. It will provide enhanced capabilities to support distance learning, student services, and management information systems.

NDUS Restoration Plan:

- Proposed amendment totaling $1.8 million in support of network costs associated with the growth in distance learning and Internet II.

- Proposed amendment of $2.0 million for the replacement of the NDUS library network (ODIN).

- Proposed $7.0 million amendment in support of Technology applications/access.

4. If institutions are to function in an entrepreneurial fashion, thus responding with services being demanded by the general public, funding in support of special campus initiatives is required.

Engrossed SB 2003:

- Provides $2.32 million for new program and initiative start-up costs.

- Provides $2.3 million to support Board and statewide initiatives.

NDUS Restoration Plan:

- Proposed amendment totaling $3.68 million in support of institutional innovation.

- Proposed amendment of $4.56 million for a Public Agenda and Collaborative Initiative Block Grant.

- Proposes a $180,000 amendment to restore budget to Governor Hoeven's recommended general fund level in support of new program and initiative start-up costs.

- Proposes a $180,000 amendment to restore budget to Governor Hoeven's recommended general fund level in support of Board and statewide initiatives.

5. The institution's infrastructure needs attention. Capital asset funding is not adequate to address the extensive list of deferred maintenance projects.

Engrossed SB 2003 and SB 2021:

- Provides $7.1 million in support of System extra-ordinary repairs ($393,962 earmarked for Dickinson State University). The recommendation provides for a 230 year replacement cycle for all state facilities located on the campuses excluding infrastructure.

NDUS Restoration Plan:

- Proposes amendments totaling $5.2 million in support of extra-ordinary repairs.

6. Appropriate facilities must be made available to compliment Dickinson State University's mission and provide for safe and efficient learning/teaching environments. Given the fact that Murphy Hall is a specialized facility, i.e. a "science building", and given the fact that the facility has been deemed by a nationally recognized consultant in the development of science facilities as being woefully outdated along with its infrastructure (HVAC systems) which poses potentially serious health issues, it is imperative that the proposed Murphy Hall Renovation and Addition Project be funded during the 2001-2003 biennium.

NDUS Restoration Plan:

- The Murphy Hall Renovation/Addition Project is Priority #8 on the North Dakota University's Major Capital Project list.

- Amendments totaling $22.0 million are proposed in support of funding capital projects per the SBHE priority list.

NORTH DAKOTA UNIVERSITY SYSTEM RESTORATION PLAN
2001-03 SCHEDULE OF SUGGESTED AMENDMENTS TO SB2003, 2021 AND 2023
Final 02/28/01

Proposed Fiscal Amendments to SB2003,2021 and 2023- State General Fund:	
Restore budget to Gov. Hoeven's recommended general fund level ($1.0 M-SB2003 and $161,500-SB2021)	$1,161,500
Continuation of 2nd year salary increase (00-01)	7,298,884
Reduce local fund match on renovation of Old Main at MSU to SBHE request-SB2023 **	389,000
Network Costs (network costs increases, growth in distance learning and Internet II)-SB2003	1,800,000
Extra-ordinary repairs - SB2003	5,189,828
ODIN replacement (library network)-SB2003	2,000,000
Request to move faculty & staff salaries to 80% of regional average (amt. needed after 3/2% increase and cont'd 00-01 incr.)-SB2003	8,129,858
Other general fund/bonding projects per SBHE priority list-SB2003 or 2023	21,994,656
Technology applications/access to SBHE request	7,000,000
Equity and Special Needs Block Grant to SBHE request	1,880,000
Institutional Innovation to SBHE request	3,080,000
Public Agenda and Collaborative Initiative Block Grant to SBHE request	4,555,000
EPSCoR-like Programs to SBHE request	2,171,100
Student Financial Aid (State Grant, Scholar's, PSEP, Indian Scholarship) to SBHE request	630,000
Disabled Student Services to SBHE request	275,000
Agricultural Initiatives to SBHE request	2,237,263

NOTES:

** Old Main Renovation-MSU

	General Fund/State Bonding	Local Funds
BHE request	$5,640,000	$2,210,000
Schafer Budget	5,076,000	2,774,000
Hoeven Budget	5,251,000	2,599,000
Senate Budget	5,251,000	2,599,000

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Major Capital Projects

Murphy Hall Renovation and Addition

Whitney Stadium Renovation and Addition

NORTH DAKOTA UNIVERSITY SYSTEM
2001-2003 Campus Major Capital Projects (Engrossed SB 2003, 2021, 2023 and 2137)
SBHE Priority List

(1) Priority #	(2) Project Type	(3) Project Description	SBHE Priority List (4) Cost: State Bonding	(5) Cost: Other	(6) Cost: Total	(7) Other Source	Engrossed SB's 2003, 2021, 2023 and 2137 (8) State Bonding [SB 2023]	(9) State General Fund [SB 2003 & 2021]	(10) Other Sources [SB 2003,2021,2023,2137]	(11) Total
STATE GENERAL FUND PROJECTS INCLUDED IN 01-03 BUDGET REQUEST										
MiSU	1	renovation	Old Main Renovation (SB 2023)	$5,640,000	$2,210,000	$7,850,000	private	$ 5,291,000		$ 2,000,000	$ 7,05
BSC	2	renovation	Schafer Hall - Renovation 2nd & 3rd Floors	1,394,800		1,394,800					
NDSCS	3	renovation	Infrastructure (SB 2003)	2,386,863		2,386,863					
VCSU	4	renovation	Replace boiler & related steam piping accessories (SB 2003)						2,110,000		2,11
MaSU	5	renovation	Steam Line Replacement (SB 2003)	850,000		850,000					
NDSU	6	renovation	Minard Hall Renovation-Phase I (SB 2021)	719,850		719,850			850,000		85
UND	7	renovation	O'Kelly Hall-Phase I	4,845,100		4,845,100	revenue bonds 3/		810,000		81
DSU	8	addn/renov	Murphy Hall Renovation/Addition	3,500,000		3,500,000				3,000,000	3,00
WSC	9	addition	Diesel Technology Addition	6,781,188		6,781,188					
NDSU	10	renovation	Ceres Hall Renovation - Phase II	824,618		824,618					
MiSU-BC	11	renovation	Thatcher & Central Htg. Plant Elec. Upgrade (SB2003)	2,000,000		2,000,000					
LRSC	12	renovation	Science Lecture Bowl & Laboratories	75,000		75,000					
Forest Service	13	new constr.	Tree Storage Bldg.-Towner Nursery (SB 2003)	784,472		784,472			75,000		7
Forest Service	14	new constr.	Equipment Storage Bldg.-Lisbon (SB 2003)	120,000		120,000					
Experiment	15	new constr.	Langdon Rdqtrs and Conference Ctr. (SB 2021)	20,000		20,000				120,000	12
UND		other	Energy Improvement Program (SB 2023)	300,000	500,000	800,000	private			20,000	2
NDSU		other	Energy Improvement Program (SB 2023)	3,990,785		3,990,785			150,000	660,000	81
				296,348		296,348		3,990,785			3,99
								296,348			29
Remaining Unranked Projects (NOT INCLUDED IN 01-03 BUDGET REQUEST):										
BSC		new constr.	Advanced Learning Complex	1,500,000	4,000,000	5,500,000	private				
LRSC		addition	Technical Training Facility & Storage	[illegible]		264,000					
WSC		renovation	Deferred Maintenance	[illegible]		388,600					
UND		renovation	Infrastructure Improvements	[illegible]		4,500,000					
UND		renovation	School of Medicine & Health Sciences	6,450,000		6,450,000					
NDSU		renovation	Infrastructure - Phase I	2,328,400		2,328,400					
NDSCS		renovation	Horton Hall	2,000,000		2,000,000					
DSU		renovation	Whitney Stadium Renovation	1,100,000	2,900,000	4,000,000	local/private				
MaSU		addn/renov	Fieldhouse Addition	239,930	2,760,070	3,000,000	private				
VCSU		renovation	Graichen Gymnasium elevator & emergency exits	375,000		375,000					
MiSU-BC		renovation	Thatcher Elevator	169,000		169,000					
Experiment		new constr.	(2) Pesticide Handling Facilities-Phase II	120,000		120,000					
Experiment		new constr.	Main Station-Research Greenhouses	7,300,000		7,300,000					
Experiment		new constr.	Bunker Silo	120,000		120,000					
TOTAL STATE GENERAL FUND PROJECTS				$61,985,829	$12,370,070	$74,355,879		$9,838,133	$3,096,000	$6,200,000	$19,13

NORTH DAKOTA UNIVERSITY SYSTEM
2001-2003 Campus Major Capital Projects (Engrossed SB 2003, 2021, 2023 and 2137)
SBHE Priority List

(1) Priority #	(2) Project Type	(3) Project Description	SBHE Priority List (4) State Bonding	(5) Cost Other	(6) Total	(7) Other Source	Engrossed SB's 2003, 2021, 2023 and 2137 (8) State Bonding [SB 2023]	(9) State General Fund [SB 2003 & 2021]	(10) Other Sources [SB 2003,2021,2023,2137]	(11) Total
NON-STATE GENERAL FUND PROJECTS TO BE INCLUDED IN 01-03 BUDGET REQUEST										
UND	renovation	Memorial Student Union (SB 2023)		2,500,000	2,500,000	revenue bonds 4/				
	renovation	Carnegie Library (SB 2003)		2,000,000	2,000,000	private			2,000,000	2,000,000
	renovation	Smith Hall - Johnstone Hall - Fulton Hall (SB 2003)		6,500,000	6,500,000	auxiliary			6,500,000	6,500,000
	renovation	Williamson Hall Loading Dock (SB 2003)		1,700,000	1,700,000	auxiliary			2,500,000	2,500,000
	addition	Rural Technology Center (SB 2003)		1,500,000	1,500,000	gifts/grants			1,700,000	1,700,000
	addition	Rural Technology Center-canopy (SB 2003)		60,000	60,000	private			1,500,000	1,500,000
NDSU	new constr.	College of Business Office & Classrm Bldg (SB 2003)							60,000	60,000
	new constr.	Residence Hall (SB 2023)		20,000,000	20,000,000	private				
	renovation	Robinson Hall (SB 2137)		11,000,000	11,000,000	revenue bonds 5/			20,000,000	20,000,000
	new constr.	Student Housing Apartment Building (SB 2137)		550,828	550,828	fed/local			8,000,000	8,000,000
				2,310,000	2,310,000	insur/other local			550,000	550,000
NDSCS	renovation	Parking Lot 2A, 2B, 2C, & 2D (SB 2023)							2,310,000	2,310,000
	renovation	Student Union Remodeling (SB 2023)		1,000,000	1,000,000	revenue bonds 5/				
				3,300,000	2,300,000	revenue bonds 5/			1,000,000	1,000,000
	addn/renov	Bilire Activities Center Floor Replacement & Addition (SB 2003)				and local funds			3,300,000	3,300,000
	renovation	Skills Technology and Training Center (SB 2003) 2/		831,720	831,720	private				
				385,000	385,000	grant			831,720	831,720
MaSU	addn/renov	Fieldhouse - (SB 2003) 2/							385,000	385,000
				4,000,000	4,000,000	private				
Experiment Stations	new constr.	Agronomy Seed Farm-Grain Storage Hopper Bins							4,000,000	4,000,000
	new constr.	Agronomy Seed Farm-Bulk Seed Handling		60,000	60,000	income				
	new constr.	Carrington-Bison Research Facility		45,000	45,000	income			60,000	60,000
	addition	Dickinson-Agronomy Work Lab	(SB 2021)	130,000	130,000	private/grants			45,000	45,000
	new constr.	Dickinson-Chemical Storage Building				oil revenue			130,000	130,000
	land	Williston-Land 6/				oil revenue			60,000	60,000
TOTAL NON- STATE GENERAL FUND PROJECTS						income			60,000	60,000
			$0	$61,872,548	$61,872,548				60,000	60,000
							$0	$0	$46,211,720	$46,211,720
INCREASED AUTHORITY REQUESTED FOR PREVIOUSLY AUTHORIZED PROJECTS										
NDSU	renovation	Sudro Hall (total authority= $2,950,000) (SB 2003) 1/		1,200,000	1,200,000	private/fed/asbestos				
GRAND TOTAL ALL PROJECTS									1,200,000	1,200,000
			$61,885,808	$76,442,618	$137,428,415		$9,838,133	$3,895,000	$62,818,720	$76,643,853

1/ HB1003 (1999) included a $1,750,000 other fund appropriation for Sudro Hall renovation. NDSU intends to use $350,000 of this authority in 99-01 for the renovation of the animal care laboratory/research unit in Sudro Hall. The will leave an authorized balance of $1,400,000 which is requested to carryover to 2001-03 to be supplemented with $1,200,000 of additional other funds authority in the 2001-03 biennium.

2/ Projects approved by the Board in January 2001.

3/ The revenue bonds for the NDSU Minard Hall Addition will be repaid from other funds, excluding student fees.

4/ The UND Memorial Student Union revenue bonds will be repaid from student fee collections.

5/ The revenue bonds for the NDSU Residence Hall, and the NDSCS Parking Lots and Student Union Remodeling projects will be repaid from room fees, auxiliary reserves, student parking fees, private funds and other campus revenues. The NDSCS Student Union Remodeling project includes $2 million revenue bond authority and $1.3 million authority for the local fund matching requirement.

6/ The Board has not discussed the purchase of the land at the Williston Research Center. This was added to SB 2021 by the Senate.

g:\cathy\excel\[01-03 Engrossed\Compar Engr Bills to Bd Priorities-MCP

DICKINSON STATE UNIVERSITY
2001-2003 CAPITAL BUDGET PROJECT

PROJECT: MURPHY HALL RENOVATION/ADDITION
CATEGORY: Major Renovation/Addition
PROJECTED COST ESTIMATE: $6,781,155
RECOMMENDED SOURCE OF FUNDING: State General Fund

PROJECT NARRATIVE

Murphy Hall was built as a classroom facility in 1963 to serve the needs of the Division of Natural and Mathematical Sciences. Today, 37 years later with no major upgrades, Murphy Hall serves all of the natural sciences; Biology, Chemistry, and Physics as well as hosting support courses for the programs of Agriculture, Nursing and Teacher Education. Program enrollments reflect the growing demand for agronomists, science teachers, nurses, and med-tech personnel within North Dakota. The state's agriculture sector is placing increased emphasis on scientific research and knowledge to increase efficiency and maximize production.

Dickinson State University's mission statement calls upon the institution to provide educational services to the predominantly rural citizenry of the region at the bachelor of arts and associate degree levels. A firm foundation in the liberal arts, including natural sciences, is needed for both degrees. Moreover, the institution's mission is to provide support for the economic well-being and growth of North Dakota. Dickinson State University cannot continue to fulfill its mission adequately without a modern science facility providing for a safe and efficient learning/teaching environment.

The institution's current enrollment of 2,012 students more than doubles the enrollment of 912 students in 1963. The success of the institution in attracting students is creating logistical problems as students compete for laboratory time and faculty are challenged to increase class sizes within limited and inadequate confines. Safety issues are of great concern as students crowd sub-standard workstations and fume hoods. The original HVAC systems being thirty-seven years old are no longer capable of maintaining air quality and balanced temperatures conducive to a teaching/learning environment. Makeshift apparatuses are being utilized to address facility shortfalls while the lack of adequate chemical/specimen storage areas and the presence of asbestos structural materials pose definite liability threats. The Budget Committee on Government Services had an opportunity to view Murphy Hall during their campus tour. Many of their comments echoed the institution's concerns relative to safety, health, building code, equipment, and energy conservation issues.

Dickinson State University was successful in acquiring $45,000 from the Office of Management and Budgets' facility preplanning fund. The revolving fund was established by NDCC 54-27-22 for the purpose of addressing consulting and planning fees for proposed capital projects. Murphy Hall is structurally sound but needs immediate attention if it is to be effective as one of the primary facilities serving our students. The institution through the OMB funded planning process worked with the architectural firm of Hulsing & Associates of Dickinson, ND in conjunction with a nationally recognized consulting firm specializing in science/laboratory facilities, Research Facilities Design (RFD), to design an educational facility that addresses immediate needs and provides for adequate and appropriate space in support of envisioned change.

During the programming phase of this project, it was found that the existing building of 26,592 square foot did not meet the needs of the institution. After reviewing courses that were taught in this building, numbers of sections, past enrollments, and courses that need to be added to the curriculum, a program of space requirements was developed. The program showed a need of an additional 32,018 square feet to bring the building to a total of 57,384 square feet. The schematic design cost estimate relative to the proposed facility totals $6,781,155.

Due to the presence of asbestos in the existing building the project must be phased. This would require that construction funding authority be available for two consecutive bienniums. Phase I would include the construction of the addition while the existing building remains occupied. Egress from the occupied building would need to be maintained throughout the construction of the addition. Phase II of the project would involve relocating specifically identified operations from the existing building into the addition while the asbestos was removed and the existing structure was remodeled.

FUTURE INCREASED COSTS ASSOCIATED WITH PROJECT APPROVAL

	2001-2003	2003-2005	2005-2007
FTE Employees	2	2	2
Salaries & Wages	$ 51,512	$ 107,708	$ 114,269
Operating Expenses	164,054	199,049	205,853
Equipment	742,514	75,000	75,000
Total General Fund	$ 958,080	$ 381,757	$ 395,122

During the 2001-2003 biennium, salaries and wages would be utilized for only the second year in the biennium. Operating expenses reflect current pro-rata square foot costs of current facility operations for only the second year of the 2001-2003 biennium. Additional supply costs during the first biennium shall be incurred in support of lab start-ups (glassware, etc.). Laboratory equipment to compliment the new facilities makes up a large part of the first biennium's estimated cost total. Future biennia reflect the anticipated routine costs of doing business.

MURPHY HALL RENOVATION AND EXPANSION
DICKINSON STATE UNIVERSITY

SCHEMATIC DESIGN COST ESTIMATE

September 21, 2000

ASBESTOS REMOVAL	$	106,368
GENERAL CONSTRUCTION		3,296,405
MECHANICAL CONSTRUCTION		1,790,000
ELECTRICAL CONSTRUCTION		835,950
ALTERNATE SOUND SYSTEM		19,600
ALTERNATE CLOCK SYSTEM		19,285
SUBTOTAL	$	6,067,608
CONTINGENCY 5%		303,380
CONSTRUCTION TOTAL	$	6,370,988
ARCHITECTURAL AND ENGINEERING FEES LESS $25,000 FOR SCHEMATIC DESIGN		410,167
TOTAL	$	6,781,155

EMERGENCY ALERT – POTENTIALLY HAZARDOUS LEVELS OF TOXIC FUMES BEING CIRCULATED WITHIN MURPHY HALL

On Tuesday, September 12, 2000, Dickinson State University was informed by Mr. Ed Johnson, the consultant providing an on-site review of Murphy Hall facilities as a part of the Capital Project Pre-planning process, that there exists a potentially hazardous situation within Murphy Hall which could have associated health risks. The consultant detected that the ventilation system was inadequately exhausting toxic fumes associated with a laboratory experience allowing the toxic fumes to be circulated throughout the facility. The substance involved in this incident was formaldehyde. The material safety data sheet referenced to identify the potential associated health hazards states that formaldehyde is **"Toxic. May cause cancer. May cause heritable genetic damage. Toxic by inhalation, in contact with skin, and if swallowed, causes burns. May cause sensitization by inhalation and skin contact. Readily absorbed through skin. Target organs: Eyes, Kidneys..."** Recognizing that this is not an isolated incident and that the inadequacy of the HVAC systems may indeed foster a hazardous environment throughout Murphy Hall, the institution has taken the following action:

- Hired Badlands Environmental Consultants, Inc. to gather samples and perform air quality analysis within Murphy Hall to verify the presence and levels of concentration of formaldehyde in the building's environment.

- Engaged the services of Prairie Engineering to identify deficiencies within the existing ventilation system and propose effective remedies that would immediately address the situation.

- Notified the North Dakota University System staff of the potentially hazardous situation in an effort to obtain guidance relative to approaching the situation and to inform the staff of the potential need for emergency fiscal resources in support of upgrading the ventilation system.

- Created a higher level of awareness in faculty and administrators associated with the supervision of laboratory experiences of the need for them to seek alternative approaches to their laboratory experiments which would minimize/eliminate any potentially uncontrolled human exposure to hazardous materials.

Results of the air quality tests performed by Badlands Environmental were received on September 19. We were informed that OSHA's permissible exposure level relative to formaldehyde is .75 parts/million. The air sample taken within the laboratory setting contained 1.2 parts per million (ppm), a level well over OSHA's permissible exposure limit for a sustained period of time. The hallway air sample recorded .16 ppm, a level within the established OSHA limit. According to a "time weighted average" formula that OSHA uses to determine potential health risks, the amount of exposure time to a given toxic level becomes a crucial element. The "time weighted average" calculated in relationship to a typical two hour laboratory experience would factor to an exposure level

of .31 ppm, well below the .75 ppm OSHA standard. This factored level along with the levels found in the hallways indicate that there is not an immediate health risk to the students. The greater risk may be to faculty and staff members who spend increased exposure time within the facility on a regular basis. As we approach the cool weather seasons, air quality conditions may worsen as the HVAC systems bring in less outside air and instead recirculate more of the inside air. Of additional concern to the institution is that this specific incident focuses our attention on formaldehyde when there are many other toxic chemicals stored within Murphy Hall and utilized within the laboratory experiences.

Prairie Engineering has also responded with a **short-term** fix to conditions existing on the west side of the building where the major chemistry stockroom and biology laboratories exist. It would consist of installing a large upblast exhaust fan on the roof and drawing from the two floors below. A new make-up air unit would be needed to heat the increased intake amount of fresh air. A fire detection system that would immediately shut down the HVAC systems in the case of fire is a must. The cost associated with these proposed modifications is estimated at $120,000.

Given the recommendation of Ed Johnson, our national consultant with Research Facilities Design, and after considering the findings relative to the air quality tests, and recognizing that there is a potentially greater immediate risk associated with other toxic substances being utilized within the facility, Dickinson State University requests the following:

- That the State Board of Higher Education allow the institution to work with the Chancellor to identify and implement appropriate short-term measures to address the air quality issues in Murphy Hall
- That the State Board of Higher Education authorize the Chancellor to utilize existing Board Contingency Funds in addressing short-term remedies.
- That the State Board of Higher Education reconsider the existing prioritization of the 2001-2003 Campus Major Capital Projects recognizing the immediate need to address the safety hazards in Murphy Hall on a long-term basis.

With the Legislative Council Budget Committee on Government Services and local legislators being on campus for their Legislative Budget Tour on Tuesday, September 19, the known elements associated with the potentially hazardous conditions within Murphy Hall were shared with the group. Chancellor Larry Isaak was in attendance at the meeting. State Board of Higher Education President Bill Isaacson was informed of the findings of Badlands Environmental Consulting. In an effort to inform the University community of the situation, a memo has been sent from President Dr. Lee A. Vickers explaining the specifics regarding this situation assuring that any threat to the health and safety of Dickinson State University students, faculty, or staff will be dealt with immediately.

MURPHY HALL "SHORT TERM" AIR QUALITY IMPROVEMENT PROGRESS

The State Board of Higher Education authorized the Chancellor to utilize Board Contingency Funds in an amount not to exceed $150,000 to perform emergency measures designed to improve the air quality standards within Murphy Hall. The institution acquired architectural and engineering services to develop a process to increase the amount of air movement supporting the structure within the limitations of the facility. The design called for the surface mounting of additional duct work serving the laboratories. This additional duct work is complemented by a make-up air unit and several exhaust fans. The presence of asbestos throughout the facility has created several challenges but contractors have responded in great fashion. Semester break is serving as the time to bring the project together. With a completion date set for January 8, 2001, the institution is encouraged that improved air quality standards will exist within Murphy Hall at the start of Spring Semester.

RFD

MEMORANDUM

RESEARCH
FACILITIES
DESIGN

DATE: September 20, 2000

TO: Janet Prchal, Hulsing Associates, P.C.

PROJECT: Dickinson State University – Murphy Hall
Dickinson, ND
RFD Project No. 2000022-41

FROM: Edward G. Johnson

SUBJECT: DSU Murphy Hall

On September 12 representatives from Hulsing Associates, Prairie Engineering, Research Facilities Design and DSU reviewed existing conditions at Murphy Hall relative to current building code and life safety standards. The comments below reflect our observations. Many of the comments are general in nature and apply to the entire building.

1. Two of four fume hoods in the Chemistry labs 109 and 110 are not operating. The fumehoods that appear to be working have questionable air velocities at the sash opening. Both laboratories have a chemical smell that indicates the air is not being exhausted adequately. Open chemical containers are being stored in the inoperative fumehoods. Inoperative fumehoods may be giving a false sense of chemical fume protection.
2. The chemical stock area 114 is vented directly into Chemistry lab 110 via open partition at ceiling level. Chemical odor in lab 110 is very prominent. There is no fumehood in the chemical storage area for solvent and chemical dispensing. For ventilation the doors to all laboratories must remain open for air to flow into the corridors and eventually to the second floor return air louvers. There is no apparent exterior exhaust.
3. Solvent containers are being stored in several small unvented closets.
4. The building elevator does not have fire rated vestibules as require by code.
5. The risers height of all interior building stairs exceeds that allowed by current code. The open four story stair towers exceeds the two-story code limit. Stairs leading into basement requires an exiting gate directing egress to ground level exits. Code allows no storage under stairs.

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San Diego, California 92103-3107
TEL: (619) 297-0159
FAX: (619) 294-4901

6. The autoclave in the glass wash area is not properly vented. Fire alarm is often actuated when autoclave is opened.
7. The structural ceilings and portions of walls in second floor spaces appear to be covered with an asbestos based acoustic material. The acoustic material is beginning to flake off above several doorways. It is recommended that this material be tested for asbestos, the flaking areas should be repaired and consideration be given to total removal.
8. Anatomy Laboratory 213, adjoining corridor and support laboratory spaces have a strong odor of formaldehyde (the EPA has determined that formaldehyde is a carcinogenic hazard). It is recommended that this condition be tested for allowable contamination levels and remedied immediately if necessary.
9. Greenhouse on third floor has no apparent ventilation and has no natural light. The heat generated by the required artificial light source makes the space uninhabitable due to the excessive heat generated. The space appears to be unusable as a functioning greenhouse.
10. Stockroom 215 has a very strong chemical odor. There is no direct ventilation of this space.
11. Most laboratories do not have master natural gas shut off valves.
12. Temperature control throughout the laboratories and classrooms is poor. Overheating of spaces is a reoccurring problem.
13. All return air from building spaces is drawn into the nearest corridor and circulated back into laboratories and classrooms via the return air ducts at the second floor. This condition not only compromises building air quality but also creates a potentially serious fire and smoke hazard to building occupants. If a fire occurs, all the smoke and heat will be drawn into the corridors. Current code requires that 100% of the air exhausted from laboratory spaces be ducted directly to the building exterior. All laboratory spaces must be maintained at a negative pressure relative to non-laboratory areas.
14. The waste drainpipes in many island sinks are no properly vented. This can cause traps to siphon allowing sewer and acid fumes to enter the laboratories.
15. Building plumbing fixtures do not meet ADA requirements.
16. Generally there is a distinct chemical odor throughout the building. This suggests that the quality of air in Murphy Hall may be a serious health hazard to students, faculty and visitors. It is recommend that the air quality be immediately tested for contamination.

Copy: RH/RFD

The Proposed $6.8 Million Murphy Hall Renovation and Addition Project Would:

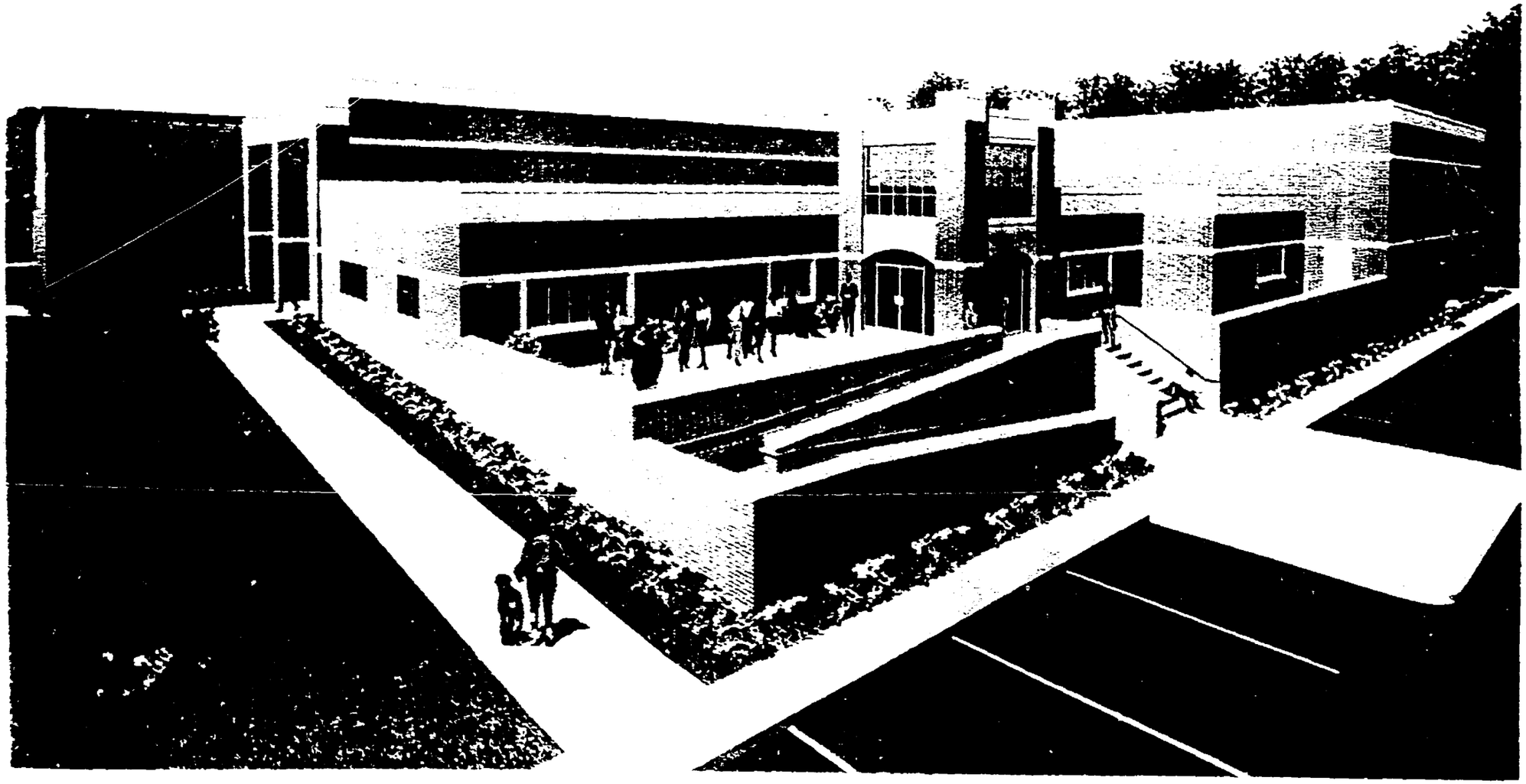
- Address the life, health, and safety concerns relating to the presence of asbestos within the facility, the inadequacy of HVAC systems and fume hoods to deal with the ventilation of toxic fumes, a fire alarm and supression system that needs upgrading to meet current codes, and infrastructure that does not lend itself to proper disposal of hazardous chemical waste.

- Improve upon the energy efficiency of the existing structure by replacing an extensive amount of glass windows and providing appropriate vestibules. The replacement of the HVAC systems is essential if this facility is to become more energy efficient and provide for a proper learning environment.

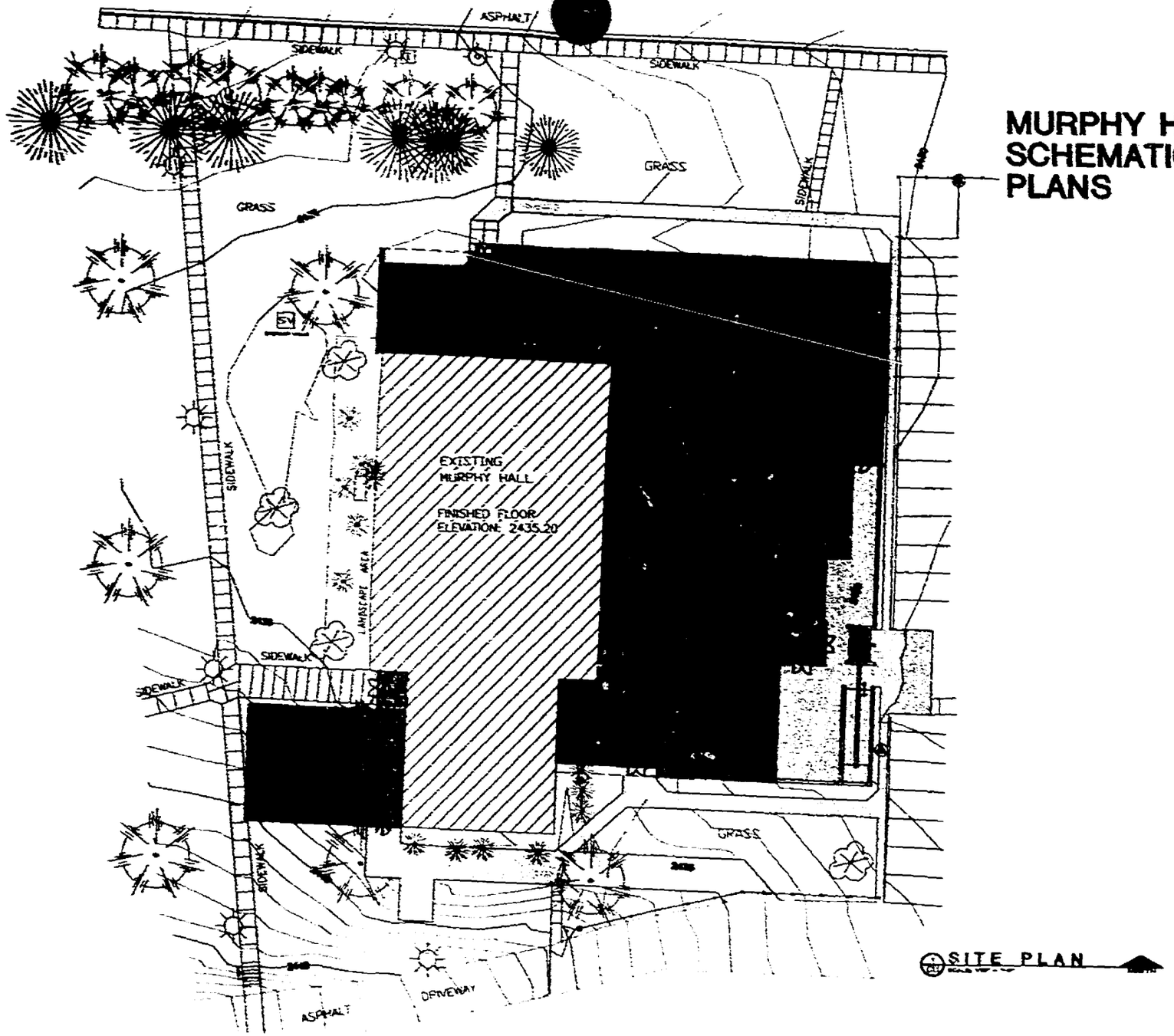
- Provide the infrastructure that will compliment the infusion of technology and research into the teaching/learning environment.

- Provide proper facilities that are designed to meet the institution's enrollment and program needs in accordance with established national standards.

- Provide for a facility that will enable the institution to effectively compete nationally in the delivery of quality educational programs within a safe and conducive learning environment as encouraged within the "Roundtable Report."



MURPHY HALL SCHEMATIC PLANS



SITE PLAN

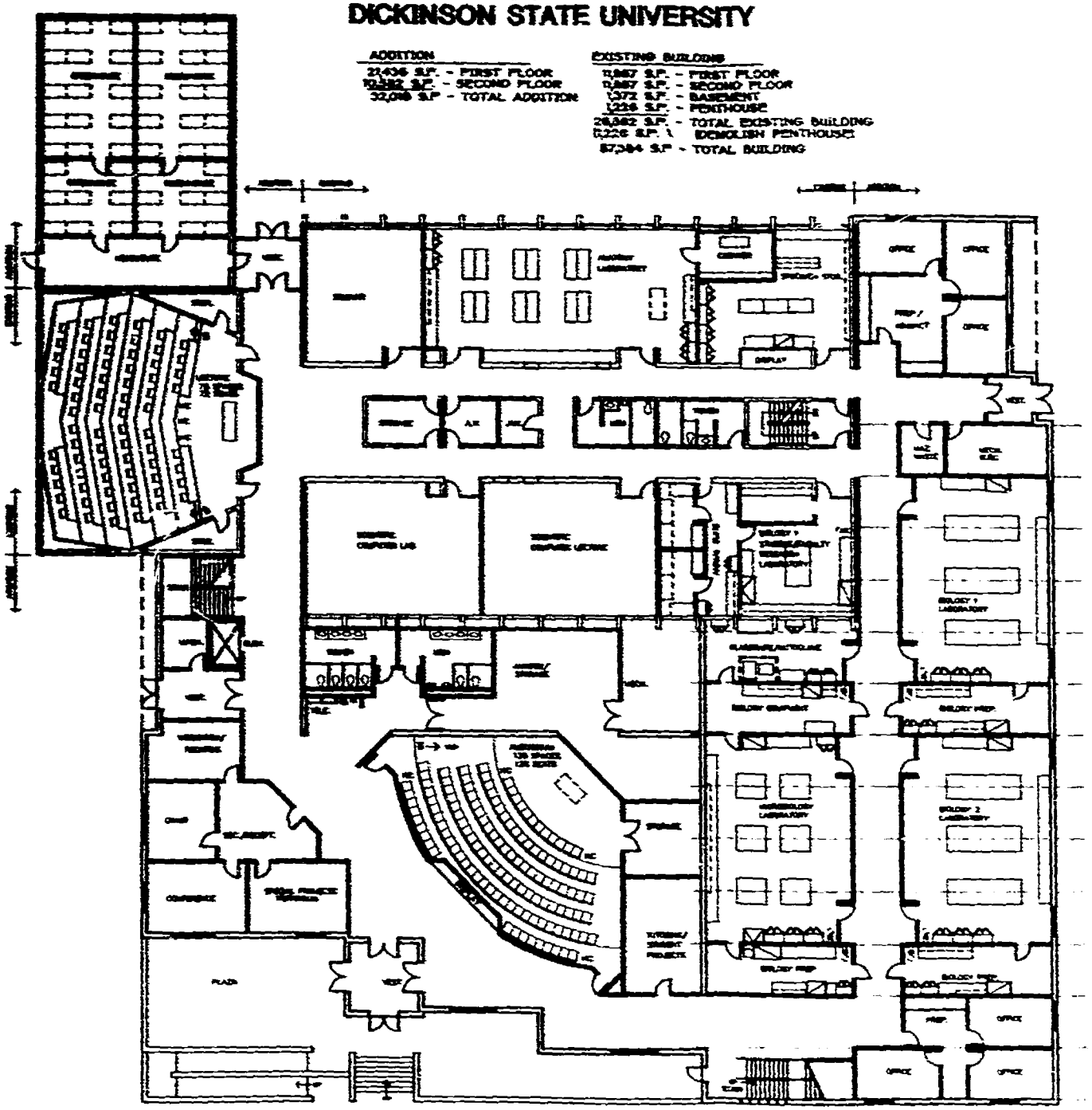
MURPHY HALL
DICKINSON STATE UNIVERSITY

ADDITION

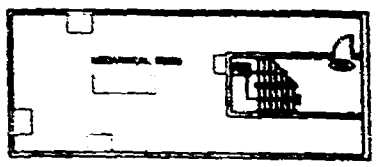
21,436 S.F. - FIRST FLOOR
10,582 S.F. - SECOND FLOOR
32,018 S.F - TOTAL ADDITION

EXISTING BUILDING

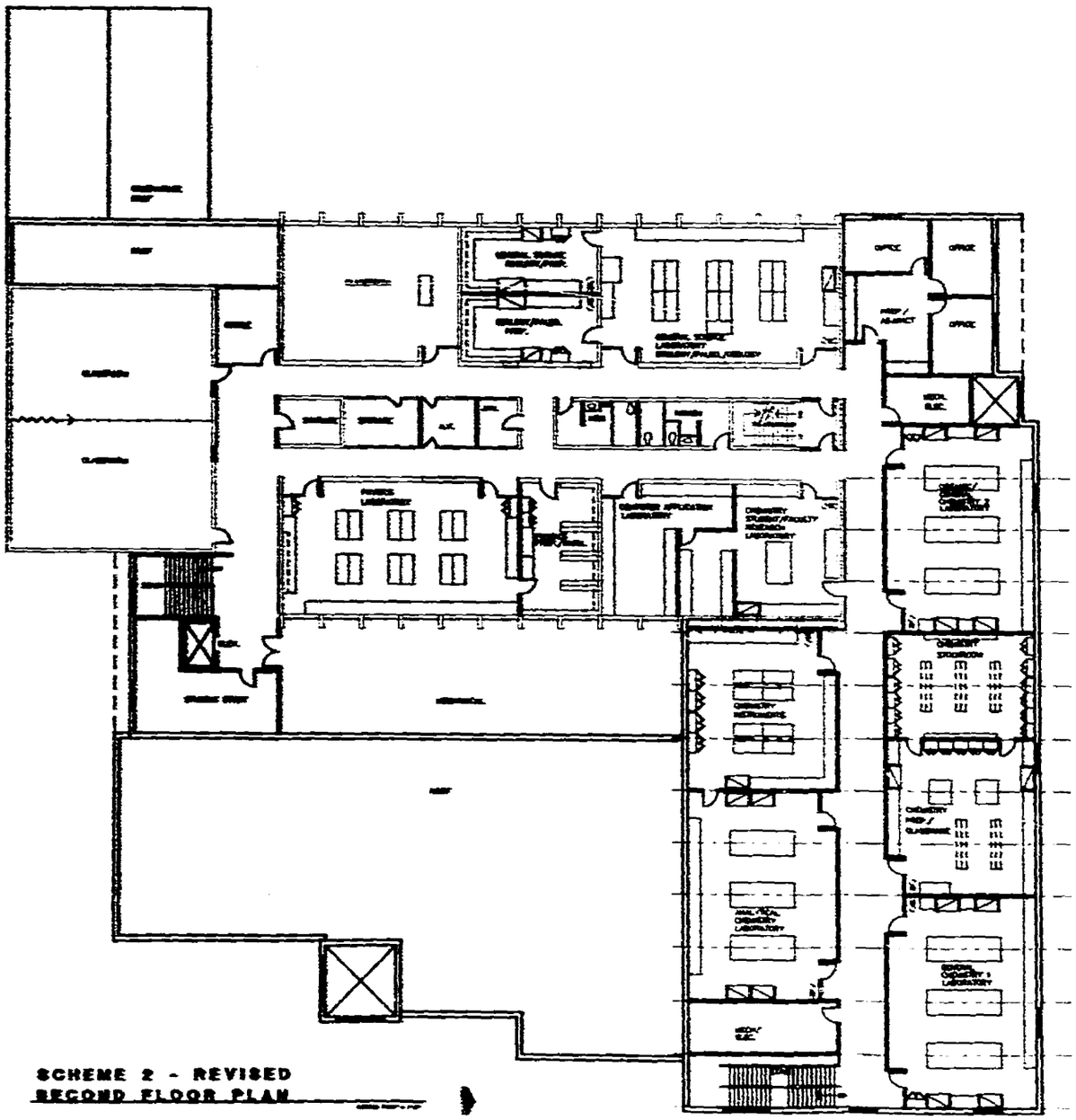
11,867 S.F. - FIRST FLOOR
11,867 S.F. - SECOND FLOOR
1,372 S.F. - BASEMENT
1,228 S.F. - PENTHOUSE
26,882 S.F. - TOTAL EXISTING BUILDING
(1,228 S.F. \ DEMOLISH PENTHOUSE)
57,594 S.F - TOTAL BUILDING



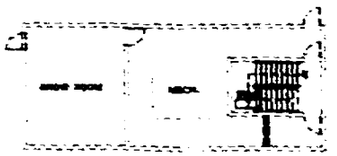
SCHEME 2 - REVISED
FIRST FLOOR PLAN



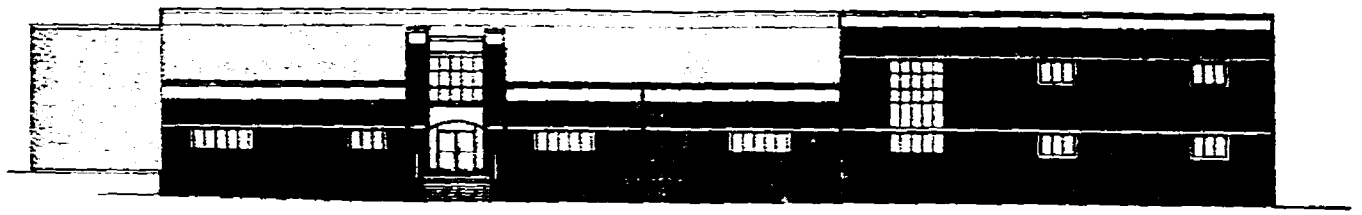
EXISTING BASEMENT PLAN



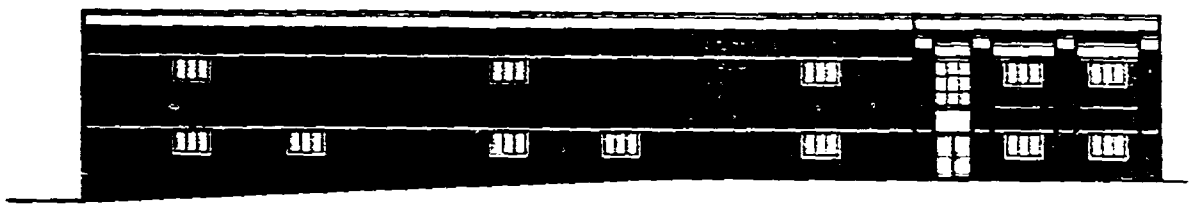
SCHEME 2 - REVISED
SECOND FLOOR PLAN



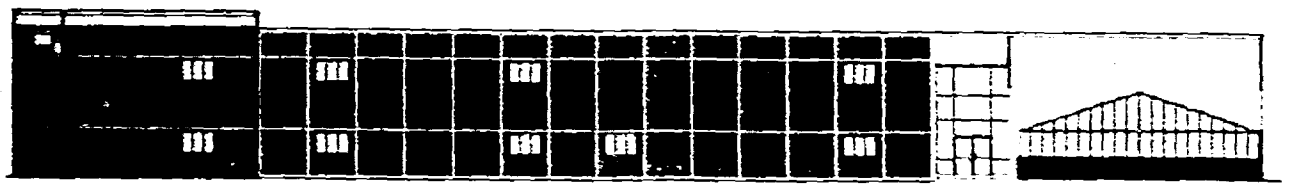
DEMOLISHED
PENTHOUSE FLOOR PLAN



EAST ELEVATION



NORTH ELEVATION



WEST ELEVATION

SOUTH ELEVATION

DICKINSON STATE UNIVERSITY
2001-2003 CAPITAL BUDGET PROJECT

PROJECT: WHITNEY STADIUM RENOVATION/ADDITION
CATEGORY: Major Renovation/Addition
PROJECTED COST ESTIMATE: $4.0 Million
RECOMMENDED SOURCE OF FUNDING: Federal, Private, or Local Sources

PROJECT NARRATIVE

Whitney Stadium was constructed in 1971 to address a need within Dickinson State University's Athletic Department by providing a site upon which the institution's football and track and field events could be held. Before construction actually began, it became clear that this facility held great potential to not only serve the needs of Dickinson State University but compliment also the like needs of Dickinson's local school systems, Dickinson Public Schools and Trinity High School. Resources from a local fund drive in which the community's school systems participated were utilized to assist in funding the initial project. Whitney Stadium has admirably served the needs of Dickinson State University and through use agreements the needs of the area communities' school systems. In addition, the stadium serves as a hub upon which regional schools and organizations can converge to sponsor activities such as regional track meets, football playoff games, etc. The utilization of Whitney Stadium truly exemplifies how a resource can best be capitalized upon for the overall good of the citizenry of North Dakota.

The 29 year old Whitney Stadium complex has a growing list of problems and deficiencies that must be addressed if it is to continue to serve Dickinson State University and the multiple needs of the southwest region in a quality fashion and within a safe environment. Items of concern consist of but are not limited to a concessions building roof that needs replacement, the settling and cracking of the concrete stadium seating, a deteriorating track surface, track and field drainage problems, undersized and non-handicapped accessible restrooms, and the absence of locker room facilities. The deteriorating condition of the facility along with growing enrollments and increased regional interests in utilizing stadium facilities reinforces the need to move forward with the proposed improvements and enhancements. Dickinson State University will seek to capitalize upon the excellent "service" record of the facility in soliciting federal, private, and local contributions for the enhancements deemed necessary to improve upon the effectiveness of the facility.

REQUEST

Dickinson State University requests that the House Appropriations Committee recognize the initiative being taken by the institution and offer an amendment to SB2003 giving authorization for the funding of the proposed $4.0 million Whitney Stadium Renovation/Addition Project, the source of funding being from any federal, private, or local sources, contingent upon the North Dakota State Board of Higher Education's approval of the capital campaign at their March 28-29, 2001 meeting.

ASSOCIATED COSTS

Additional operating costs will be incurred relative to the proposed facility enhancements. Estimated biennial costs associated with the enhancements consisting of an additional 19,336 gross square feet of building area are:

Salaries and Wages:		
1 Custodian – Salary	$15,500	
Fringe	7,855	
	$23,355 x 2 years =	$46,710
Operating Expense:		
Utilities		23,200
Supplies		12,590
Equipment:		
Custodial support equipment		8,000
Estimated Biennial Costs		**$90,500**

The Proposed $4.0 Million Whitney Stadium Renovation and Addition Project Would:

- Address all life-safety and handicap access concerns
- Replace the failing retaining wall; stabilize and/or replace the spectator seating sections
- Remodel and expand upon the concessions, restroom and press box facility areas
- Provide for a locker room facility
- Provide for a storage facility
- Modify the existing track and field; provide for a new drainage system in support of the track and resurface the 8 lane track
- Provide appropriate drainage in support of the Football Field
- Replace and resurface the High Jump, Pole Vault, and combination Long & Triple Jump runways, pits, and landings
- Upgrade the athletic field lighting in an effort to save energy and increase footcandles. Analyze light poles to insure that they have not been weakened by internal corrosion (bases).
- Provide for a 1 ½" overlay onto the existing parking lot
- Provide adequate parking lot lighting