

Introduced by

Senators Cleary, Hogan, K. Roers

Representatives Porter, D. Ruby, Weisz

1 A BILL for an Act to create and enact chapter 43-47.1 of the North Dakota Century Code,  
2 relating to adoption of the counseling compact; and to amend and reenact subsection 2 of  
3 section 43-47-06 of the North Dakota Century Code, relating to licensure of counselors.

4 **BE IT ENACTED BY THE LEGISLATIVE ASSEMBLY OF NORTH DAKOTA:**

5 **SECTION 1. AMENDMENT.** Subsection 2 of section 43-47-06 of the North Dakota Century  
6 Code is amended and reenacted as follows:

7 2. The board shall issue a license as a licensed professional counselor to each applicant  
8 who files an application upon a form and in a manner the board prescribes,  
9 accompanied by the required fee, and who furnishes evidence to the board that the  
10 applicant:

11 a. Has a master's degree from an accredited school or college in counseling or  
12 other program that meets the academic and training standards adopted by the  
13 board;

14 b. Provided personal and professional recommendations that meet the  
15 requirements adopted by the board and satisfied the board that the applicant will  
16 adhere to the highest standards of the profession of counseling;

17 c. Has two years of supervised experience, at least fifty percent of which must have  
18 been under a licensed professional counselor or licensed psychologist, or its  
19 equivalent as determined by the board, and the additional supervised experience  
20 may have been with other qualified professionals designated by the board which  
21 are competent in the area of practice being supervised, if barriers due to  
22 geographical location, disability, or other factors determined by the board to  
23 create a hardship exist for the applicant. The qualified professional must be

- 1 registered or otherwise qualified as a clinical supervisor by the board that  
2 licenses the other professional;
- 3 d. Provided a statement of professional intent to practice in this state describing the  
4 applicant's proposed use of the license, the intended client population, and the  
5 counseling procedures, as defined by the board, the applicant intends to use in  
6 serving the client population; and
- 7 e. Has demonstrated knowledge in the field of counseling by successful completion  
8 of an examination prescribed by the board.

9 **SECTION 2.** Chapter 43-47.1 of the North Dakota Century Code is created and enacted as  
10 follows:

11 **43-47.1-01. Counseling compact.**

12 **ARTICLE I - PURPOSE**

- 13 1. The purpose of this compact is to facilitate interstate practice of licensed professional  
14 counselors with the goal of improving public access to professional counseling  
15 services.
- 16 2. The practice of professional counseling occurs in the state where the client is located  
17 at the time of the counseling services. The compact preserves the regulatory authority  
18 of states to protect public health and safety through the current system of state  
19 licensure.
- 20 3. This compact is designed to:
- 21 a. Increase public access to professional counseling services by providing for the  
22 mutual recognition of other member state licenses;
- 23 b. Enhance the states' ability to protect the public's health and safety;
- 24 c. Encourage the cooperation of member states in regulating multistate practice for  
25 licensed professional counselors;
- 26 d. Support spouses of relocating active duty military personnel;
- 27 e. Enhance the exchange of licensure, investigative, and disciplinary information  
28 among member states;
- 29 f. Allow for the use of telehealth technology to facilitate increased access to  
30 professional counseling services;

- 1           g. Support the uniformity of professional counseling licensure requirements  
2           throughout the states to promote public safety and public health benefits;  
3           h. Invest all member states with the authority to hold a licensed professional  
4           counselor accountable for meeting all state practice laws in the state in which the  
5           client is located at the time care is rendered through the mutual recognition of  
6           member state licenses;  
7           i. Eliminate the necessity for licenses in multiple states; and  
8           j. Provide opportunities for interstate practice by licensed professional counselors  
9           who meet uniform licensure requirements.

## ARTICLE II - DEFINITIONS

11 As used in this chapter, and except as otherwise provided, the following definitions apply:

- 12 1. "Active duty military" means full-time duty status in the active uniformed service of the  
13 United States of America, including members of the national guard and reserve on  
14 active duty orders pursuant to 10 U.S.C. chapters 1209 and 1211.  
15 2. "Adverse action" means any administrative, civil, equitable, or criminal action  
16 permitted by a state's laws which is imposed by a licensing board or other authority  
17 against a licensed professional counselor, including actions against an individual's  
18 license or privilege to practice, such as revocation, suspension, probation, monitoring  
19 of the licensee, limitation on the licensee's practice, or any other encumbrance on  
20 licensure affecting a licensed professional counselor's authorization to practice,  
21 including issuance of a cease and desist action.  
22 3. "Alternative program" means a nondisciplinary monitoring or practice remediation  
23 process approved by a professional counseling licensing board to address impaired  
24 practitioners.  
25 4. "Continuing competence and education" means a requirement, as a condition of  
26 license renewal, to provide evidence of participation in, and completion of, educational  
27 and professional activities relevant to practice or area of work.  
28 5. "Counseling compact commission" or "commission" means the national administrative  
29 body which membership consists of all states that have enacted the compact.  
30 6. "Current significant investigative information" means:

- 1           a. Investigative information that a licensing board, after a preliminary inquiry that  
2           includes notification and an opportunity for the licensed professional counselor to  
3           respond, if required by state law, has reason to believe is not groundless and, if  
4           proved true, would indicate more than a minor infraction; or
- 5           b. Investigative information that indicates the licensed professional counselor  
6           represents an immediate threat to public health and safety regardless of whether  
7           the licensed professional counselor has been notified and had an opportunity to  
8           respond.
- 9           7. "Data system" means a repository of information about licensees, including continuing  
10          education, examination, licensure, investigative, privilege to practice, and adverse  
11          action information.
- 12          8. "Encumbered license" means a license in which an adverse action restricts the  
13          practice of licensed professional counseling by the licensee and the adverse action  
14          has been reported to the national practitioner data bank.
- 15          9. "Encumbrance" means a revocation or suspension of, or any limitation on, the full and  
16          unrestricted practice of licensed professional counseling by a licensing board.
- 17          10. "Executive committee" means a group of directors elected or appointed to act on  
18          behalf of, and within the powers granted to them by, the commission.
- 19          11. "Home state" means the member state that is the licensee's primary state of  
20          residence.
- 21          12. "Impaired practitioner" means an individual who has a condition that may impair the  
22          individual's ability to practice as a licensed professional counselor without some type  
23          of intervention and may include alcohol and drug dependence, mental health  
24          impairment, and neurological or physical impairments.
- 25          13. "Investigative information" means information, records, and documents received or  
26          generated by a professional counseling licensing board pursuant to an investigation.
- 27          14. "Jurisprudence requirement", if required by a member state, means the assessment of  
28          an individual's knowledge of the laws and rules governing the practice of professional  
29          counseling in a state.

- 1        15.   "Licensed professional counselor" means a counselor licensed by a member state,  
2            regardless of the title used by that state, to independently assess, diagnose, and treat  
3            behavioral health conditions.
- 4        16.   "Licensee" means an individual who currently holds an authorization from the state to  
5            practice as a licensed professional counselor.
- 6        17.   "Licensing board" means the agency of a state, or equivalent, responsible for the  
7            licensing and regulation of licensed professional counselors.
- 8        18.   "Member state" means a state that has enacted the compact.
- 9        19.   "Privilege to practice" means a legal authorization, which is equivalent to a license,  
10           permitting the practice of professional counseling in a remote state.
- 11       20.   "Professional counseling" means the assessment, diagnosis, and treatment of  
12           behavioral health conditions by a licensed professional counselor.
- 13       21.   "Remote state" means a member state other than the home state, where a licensee is  
14           exercising or seeking to exercise the privilege to practice.
- 15       22.   "Rule" means a regulation promulgated by the commission which has the force of law.
- 16       23.   "Single state license" means a licensed professional counselor license issued by a  
17           member state which authorizes practice only within the issuing state and does not  
18           include a privilege to practice in any other member state.
- 19       24.   "State" means any state, commonwealth, district, or territory of the United States of  
20           America which regulates the practice of professional counseling.
- 21       25.   "Telehealth" means the application of telecommunication technology to deliver  
22           professional counseling services remotely to assess, diagnose, and treat behavioral  
23           health conditions.
- 24       26.   "Unencumbered license" means a license that authorizes a licensed professional  
25           counselor to engage in the full and unrestricted practice of professional counseling.

26                    **ARTICLE III - STATE PARTICIPATION IN THE COMPACT**

- 27        1.   To participate in the compact, a state currently:
- 28            a.   Shall license and regulate licensed professional counselors;
- 29            b.   Shall require licensees to pass a nationally recognized exam approved by the  
30            commission;

- 1           c. Shall require licensees to have a sixty semester-hour, or ninety quarter-hour,  
2           master's degree in counseling or sixty semester-hours, or ninety quarter-hours, of  
3           graduate course work, including the following topic areas:  
4           (1) Professional counseling orientation and ethical practice;  
5           (2) Social and cultural diversity;  
6           (3) Human growth and development;  
7           (4) Career development;  
8           (5) Counseling and helping relationships;  
9           (6) Group counseling and group work;  
10          (7) Diagnosis and treatment; assessment and testing;  
11          (8) Research and program evaluation; and  
12          (9) Other areas as determined by the commission;  
13          d. Shall require licensees to complete a supervised postgraduate professional  
14          experience as defined by the commission; and  
15          e. Must have a mechanism in place for receiving and investigating complaints about  
16          licensees.  
17      2. A member state shall:  
18          a. Participate fully in the commission's data system, including using the  
19          commission's unique identifier as defined in rules;  
20          b. Notify the commission, in compliance with the terms of the compact and rules, of  
21          any adverse action or the availability of investigative information regarding a  
22          licensee;  
23          c. Implement or use procedures for considering the criminal history records of  
24          applicants for an initial privilege to practice. These procedures must include the  
25          submission of fingerprints or other biometric-based information by applicants for  
26          the purpose of obtaining an applicant's criminal history record information from  
27          the federal bureau of investigation and the agency responsible for retaining that  
28          state's criminal records;  
29          (1) A member state shall implement fully a criminal background check  
30          requirement, within a time frame established by rule, by receiving the results

1 of the federal bureau of investigation record search and shall use the results  
2 in making licensure decisions.

3 (2) Communication between a member state, the commission, and among  
4 member states regarding the verification of eligibility for licensure through  
5 the compact may not include any information received from the federal  
6 bureau of investigation relating to a federal criminal records check  
7 performed by a member state under Public Law No. 92-544.

8 d. Comply with the rules of the commission;

9 e. Require an applicant to obtain or retain a license in the home state and meet the  
10 home state's qualifications for licensure or renewal of licensure, as well as all  
11 other applicable state laws;

12 f. Grant the privilege to practice to a licensee holding a valid unencumbered license  
13 in another member state in accordance with the terms of the compact and rules;  
14 and

15 g. Provide for the attendance of the state's commissioner at the counseling compact  
16 commission meetings.

17 3. Member states may charge a fee for granting the privilege to practice.

18 4. Individuals not residing in a member state shall continue to be able to apply for a  
19 member state's single state license as provided under the laws of each member state;  
20 however, the single state license granted to these individuals may not be recognized  
21 as granting a privilege to practice professional counseling in any other member state.

22 5. This compact does not affect the requirements established by a member state for the  
23 issuance of a single state license.

24 6. A license issued to a licensed professional counselor by a home state to a resident in  
25 that state must be recognized by each member state as authorizing a licensed  
26 professional counselor to practice professional counseling, under a privilege to  
27 practice, in each member state.

28 **ARTICLE IV - PRIVILEGE TO PRACTICE**

29 1. To exercise the privilege to practice under the terms and provisions of the compact,  
30 the licensee:

31 a. Shall hold a license in the home state;

- 1           b. Must have a valid United States social security number or national practitioner
- 2           identifier;
- 3           c. Must be eligible for a privilege to practice in any member state in accordance with
- 4           subsections 4, 7, and 8;
- 5           d. May not have not had any encumbrance or restriction against any license or
- 6           privilege to practice within the previous two years;
- 7           e. Shall notify the commission that the licensee is seeking the privilege to practice
- 8           within a remote state;
- 9           f. Shall pay any applicable fees, including any state fee, for the privilege to practice;
- 10          g. Shall meet any continuing competence and education requirements established
- 11          by the home state;
- 12          h. Shall meet any jurisprudence requirements established by the remote state in
- 13          which the licensee is seeking a privilege to practice; and
- 14          i. Shall report to the commission any adverse action, encumbrance, or restriction
- 15          on license taken by any nonmember state within thirty days from the date the
- 16          action is taken.
- 17          2. The privilege to practice is valid until the expiration date of the home state license. The
- 18          licensee shall comply with the requirements of subsection 1 to maintain the privilege to
- 19          practice in the remote state.
- 20          3. A licensee providing professional counseling in a remote state under the privilege to
- 21          practice shall adhere to the laws and regulations of the remote state.
- 22          4. A licensee providing professional counseling services in a remote state is subject to
- 23          that state's regulatory authority. In accordance with due process and that state's laws,
- 24          a remote state may remove a licensee's privilege to practice in the remote state for a
- 25          specific period of time, impose fines, and take any other necessary actions to protect
- 26          the health and safety of its citizens. The licensee may be ineligible for a privilege to
- 27          practice in any member state until the specific time for removal has passed and all
- 28          fines are paid.
- 29          5. If a home state license is encumbered, the licensee shall lose the privilege to practice
- 30          in any remote state until the following occur:
- 31          a. The home state license is no longer encumbered; and



- 1           b. The licensee has not had any encumbrance or restriction against any license or  
2           privilege to practice within the previous two years.
- 3       6. Once an encumbered license in the home state is restored to good standing, the  
4           licensee shall meet the requirements of subsection 1 to obtain a privilege to practice in  
5           any remote state.
- 6       7. If a licensee's privilege to practice in any remote state is removed, the individual may  
7           lose the privilege to practice in all other remote states until the following occur:
- 8           a. The specific period of time for which the privilege to practice was removed has  
9           ended;
- 10          b. All fines have been paid; and
- 11          c. The licensee has not had any encumbrance or restriction against any license or  
12           privilege to practice within the previous two years.
- 13       8. Once the requirements of subsection 7 have been met, the licensee shall meet the  
14           requirements in subsection 1 to obtain a privilege to practice in a remote state.

15                   **ARTICLE V - OBTAINING A NEW HOME STATE LICENSE**

16                           **BASED ON A PRIVILEGE TO PRACTICE**

- 17       1. A licensed professional counselor may hold a home state license, which allows for a  
18           privilege to practice in other member states, in only one member state at a time.
- 19       2. If a licensed professional counselor changes primary state of residence by moving  
20           between two member states:
- 21           a. The licensed professional counselor shall file an application for obtaining a new  
22           home state license based on a privilege to practice, pay all applicable fees, and  
23           notify the current and new home state in accordance with applicable rules  
24           adopted by the commission.
- 25           b. Upon receipt of an application for obtaining a new home state license by virtue of  
26           a privilege to practice, the new home state shall verify that the licensed  
27           professional counselor meets the pertinent criteria outlined in article IV via the  
28           data system, without need for primary source verification except for:
- 29               (1) A federal bureau of investigation fingerprint-based criminal background  
30               check if not previously performed or updated pursuant to applicable rules  
31               adopted by the commission in accordance with Public Law No. 92-544;

- 1           (2) A criminal background check as required by the new home state; and  
2           (3) Completion of any requisite jurisprudence requirements of the new home  
3           state.
- 4           c. The former home state shall convert the former home state license into a  
5           privilege to practice once the new home state has activated the new home state  
6           license in accordance with applicable rules adopted by the commission.
- 7           d. Notwithstanding any other provision of this compact, if the licensed professional  
8           counselor cannot meet the criteria in article IV, the new home state may apply its  
9           requirements for issuing a new single state license.
- 10          e. The licensed professional counselor shall pay all applicable fees to the new  
11          home state to be issued a new home state license.
- 12          3. If a licensed professional counselor changes primary state of residence by moving  
13          from a member state to a nonmember state, or from a nonmember state to a member  
14          state, the state criteria must apply for issuance of a single state license in the new  
15          state.
- 16          4. This compact may not interfere with a licensee's ability to hold a single state license in  
17          multiple states; however, for the purposes of this compact, a licensee must have only  
18          one home state license.
- 19          5. This compact may not affect the requirements established by a member state for the  
20          issuance of a single state license.

21           **ARTICLE VI - ACTIVE DUTY MILITARY PERSONNEL OR THEIR SPOUSES**

22           Active duty military personnel, or their spouse, shall designate a home state where the  
23           individual has a current license in good standing. The individual may retain the home state  
24           designation during the period the service member is on active duty. Subsequent to designating  
25           a home state, the individual shall change only the individual's home state through application for  
26           licensure in the new state, or through the process outlined in article V.

27           **ARTICLE VII - COMPACT PRIVILEGE TO PRACTICE TELEHEALTH**

- 28           1. Member states shall recognize the right of a licensed professional counselor, licensed  
29           by a home state in accordance with article III and under rules promulgated by the  
30           commission, to practice professional counseling in any member state via telehealth

1           under a privilege to practice as provided in the compact and rules promulgated by the  
2           commission.

3           2. A licensee providing professional counseling services in a remote state under the  
4           privilege to practice shall adhere to the laws and regulations of the remote state.

### **ARTICLE VIII - ADVERSE ACTIONS**

6           1. In addition to the other powers conferred by state law, a remote state must have the  
7           authority, in accordance with existing state due process law, to:

8           a. Take adverse action against a licensed professional counselor's privilege to  
9           practice within that member state; and

10          b. Issue subpoenas for both hearings and investigations that require the attendance  
11          and testimony of witnesses as well as the production of evidence. Subpoenas  
12          issued by a licensing board in a member state for the attendance and testimony  
13          of witnesses or the production of evidence from another member state must be  
14          enforced in the latter state by any court of competent jurisdiction, according to the  
15          practice and procedure of that court applicable to subpoenas issued in  
16          proceedings pending before the court. The issuing authority shall pay any witness  
17          fees, travel expenses, mileage, and other fees required by the service statutes of  
18          the state in which the witnesses or evidence are located.

19          2. Only the home state has the power to take adverse action against a licensed  
20          professional counselor's license issued by the home state.

21          3. For purposes of taking adverse action, the home state shall give the same priority and  
22          effect to reported conduct received from a member state as the home state would if  
23          the conduct had occurred within the home state. In so doing, the home state shall  
24          apply its state laws to determine appropriate action.

25          4. The home state shall complete any pending investigations of a licensed professional  
26          counselor who changes primary state of residence during the course of the  
27          investigations. The home state also has the authority to take appropriate action and  
28          promptly shall report the conclusions of the investigations to the administrator of the  
29          data system. The administrator of the coordinated licensure information system  
30          promptly shall notify the new home state of any adverse actions.

- 1        5. A member state, if otherwise permitted by state law, may recover from the affected  
2        licensed professional counselor the costs of investigations and dispositions of cases  
3        resulting from any adverse action taken against that licensed professional counselor.
- 4        6. A member state may take adverse action based on the factual findings of the remote  
5        state, provided that the member state follows its procedures for taking the adverse  
6        action.
- 7        7. Joint investigations:
- 8        a. In addition to the authority granted to a member state by its respective  
9        professional counseling practice act or other applicable state law, any member  
10       state may participate with other member states in joint investigations of  
11       licensees.
- 12       b. Member states shall share any investigative, litigation, or compliance materials in  
13       furtherance of any joint or individual investigation initiated under the compact.
- 14       8. If adverse action is taken by the home state against the license of a licensed  
15       professional counselor, the licensed professional counselor's privilege to practice in all  
16       other member states must be deactivated until all encumbrances have been removed  
17       from the state license. All home state disciplinary orders that impose adverse action  
18       against the license of a licensed professional counselor must include a statement that  
19       the licensed professional counselor's privilege to practice is deactivated in all member  
20       states during the pendency of the order.
- 21       9. If a member state takes adverse action, the member state promptly shall notify the  
22       administrator of the data system. The administrator of the data system promptly shall  
23       notify the home state of any adverse actions by remote states.
- 24       10. This compact does not override a member state's decision that participation in an  
25       alternative program may be used in lieu of adverse action.

26       **ARTICLE IX - ESTABLISHMENT OF COUNSELING COMPACT COMMISSION**

- 27       1. The compact member states hereby create and establish a joint public agency known  
28       as the counseling compact commission.
- 29       a. The commission is an instrumentality of the compact states.
- 30       b. Venue is proper and judicial proceedings by or against the commission must be  
31       brought solely and exclusively in a court of competent jurisdiction where the

- 1           principal office of the commission is located. The commission may waive venue  
2           and jurisdictional defenses to the extent the commission adopts or consents to  
3           participate in alternative dispute resolution proceedings.
- 4           c. This compact may not be construed to be a waiver of sovereign immunity.
- 5           2. Membership, voting, and meetings.
- 6           a. Each member state must have and be limited to one delegate selected by that  
7           member state's licensing board.
- 8           b. The delegate must be either:
- 9           (1) A current member of the licensing board at the time of appointment, who is  
10           a licensed professional counselor or public member; or
- 11           (2) An administrator of the licensing board.
- 12           c. Any delegate may be removed or suspended from office as provided by the law  
13           of the state from which the delegate is appointed.
- 14           d. The member state licensing board shall fill any vacancy occurring on the  
15           commission within sixty days.
- 16           e. Each delegate is entitled to one vote with regard to the promulgation of rules and  
17           creation of bylaws and otherwise must have an opportunity to participate in the  
18           business and affairs of the commission.
- 19           f. A delegate shall vote in person or by such other means as provided in the  
20           bylaws. The bylaws may provide for delegates' participation in meetings by  
21           telephone or other means of communication.
- 22           g. The commission shall meet at least once during each calendar year. Additional  
23           meetings must be held as set forth in the bylaws.
- 24           h. The commission shall establish by rule a term of office for delegates and may by  
25           rule establish term limits.
- 26           3. The commission has the following powers and duties to:
- 27           a. Establish the fiscal year of the commission;
- 28           b. Establish bylaws;
- 29           c. Maintain its financial records in accordance with the bylaws;
- 30           d. Meet and take such actions as are consistent with the provisions of this compact  
31           and the bylaws;

- 1           e. Promulgate rules that are binding to the extent and in the manner provided for in  
2           the compact;
- 3           f. Bring and prosecute legal proceedings or actions in the name of the commission,  
4           provided that the standing of any state licensing board to sue or be sued under  
5           applicable law must not be affected;
- 6           g. Purchase and maintain insurance and bonds;
- 7           h. Borrow, accept, or contract for services of personnel, including employees of a  
8           member state;
- 9           i. Hire employees, elect or appoint officers, fix compensation, define duties, grant  
10          the individuals appropriate authority to carry out the purposes of the compact,  
11          and establish the commission's personnel policies and programs relating to  
12          conflicts of interest, qualifications of personnel, and other related personnel  
13          matters;
- 14          j. Accept any and all appropriate donations and grants of money, equipment,  
15          supplies, materials, and services, and to receive, utilize, and dispose of the  
16          same; provided that at all times the commission shall avoid any appearance of  
17          impropriety or conflict of interest, or both;
- 18          k. Lease, purchase, accept appropriate gifts or donations of, or otherwise to own,  
19          hold, improve, or use, any property, real, personal, or mixed; provided that at all  
20          times the commission shall avoid any appearance of impropriety;
- 21          l. Sell, convey, mortgage, pledge, lease, exchange, abandon, or otherwise dispose  
22          of any property, real, personal, or mixed;
- 23          m. Establish a budget and make expenditures;
- 24          n. Borrow money;
- 25          o. Appoint committees, including standing committees composed of members, state  
26          regulators, state legislators or their representatives, and consumer  
27          representatives, and such other interested persons as may be designated in this  
28          compact and the bylaws;
- 29          p. Provide and receive information from, and cooperate with, law enforcement  
30          agencies;
- 31          q. Establish and elect an executive committee; and

- 1           r. Perform such other functions as may be necessary or appropriate to achieve the  
2                     purposes of this compact consistent with the state regulation of professional  
3                     counseling licensure and practice.
- 4       4. The executive committee.
- 5           a. The executive committee has the power to act on behalf of the commission  
6                     according to the terms of this compact.
- 7           b. The executive committee is composed of up to eleven members, including:  
8                     (1) Seven voting members who are elected by the commission from the current  
9                         membership of the commission; and  
10                    (2) Up to four ex-officio, nonvoting members from four recognized national  
11                         professional counselor organizations.
- 12          c. The ex-officio members will be selected by their respective organizations.
- 13          d. The commission may remove any member of the executive committee as  
14                     provided in bylaws.
- 15          e. The executive committee shall meet at least annually.
- 16          f. The executive committee has the following duties and responsibilities to:  
17                     (1) Recommend to the entire commission changes to the rules or bylaws,  
18                         changes to this compact legislation, fees paid by compact member states  
19                         such as annual dues, and any commission compact fee charged to  
20                         licensees for the privilege to practice;  
21                     (2) Ensure compact administration services are appropriately provided,  
22                         contractual or otherwise;  
23                     (3) Prepare and recommend the budget;  
24                     (4) Maintain financial records on behalf of the commission;  
25                     (5) Monitor compact compliance of member states and provide compliance  
26                         reports to the commission;  
27                     (6) Establish additional committees as necessary; and  
28                     (7) Execute other duties as provided in rules or bylaws.
- 29       5. Meetings of the commission.

- 1           a. All meetings must be open to the public, and public notice of meetings must be  
2           given in the same manner as required under the rulemaking provisions in  
3           article XI.
- 4           b. The commission or the executive committee or other committees of the  
5           commission may convene in a closed, nonpublic meeting if the commission or  
6           executive committee or other committees of the commission must discuss:
- 7           (1) Noncompliance of a member state with its obligations under the compact;  
8           (2) The employment, compensation, discipline or other matters, practices or  
9           procedures related to specific employees, or other matters related to the  
10          commission's internal personnel practices and procedures;  
11          (3) Current, threatened, or reasonably anticipated litigation;  
12          (4) Negotiation of contracts for the purchase, lease, or sale of goods, services,  
13          or real estate;  
14          (5) Accusing any person of a crime or formally censuring any person;  
15          (6) Disclosure of trade secrets or commercial or financial information that is  
16          privileged or confidential;  
17          (7) Disclosure of information of a personal nature where disclosure would  
18          constitute a clearly unwarranted invasion of personal privacy;  
19          (8) Disclosure of investigative records compiled for law enforcement purposes;  
20          (9) Disclosure of information related to any investigative reports prepared by or  
21          on behalf of or for use of the commission or other committee charged with  
22          responsibility of investigation or determination of compliance issues  
23          pursuant to the compact; or  
24          (10) Matters specifically exempted from disclosure by federal or member state  
25          statute.
- 26          c. If a meeting, or portion of a meeting, is closed pursuant to this subsection, the  
27          commission's legal counsel or designee shall certify that the meeting may be  
28          closed and shall reference each relevant exempting provision.
- 29          d. The commission shall keep minutes that fully and clearly describe all matters  
30          discussed in a meeting and shall provide a full and accurate summary of actions  
31          taken, and the reasons therefore, including a description of the views expressed.



1           All documents considered in connection with an action must be identified in the  
2           minutes. All minutes and documents of a closed meeting must remain under seal,  
3           subject to release by a majority vote of the commission or order of a court of  
4           competent jurisdiction.

5       6. Financing of the commission.

- 6           a. The commission shall pay, or provide for the payment of, the reasonable  
7           expenses of its establishment, organization, and ongoing activities.
- 8           b. The commission may accept any and all appropriate revenue sources, donations,  
9           and grants of money, equipment, supplies, materials, and services.
- 10          c. The commission may levy and collect an annual assessment from each member  
11          state or impose fees on other parties to cover the cost of the operations and  
12          activities of the commission and its staff, which must be in a total amount  
13          sufficient to cover its annual budget as approved each year for which revenue is  
14          not provided by other sources. The aggregate annual assessment amount must  
15          be allocated based upon a formula to be determined by the commission, which  
16          shall promulgate a rule binding upon all member states.
- 17          d. The commission may not incur obligations of any kind before securing the funds  
18          adequate to meet the obligations, nor may the commission pledge the credit of  
19          any of the member states, except by and with the authority of the member state.
- 20          e. The commission shall keep accurate accounts of all receipts and disbursements.  
21          The receipts and disbursements of the commission must be subject to the audit  
22          and accounting procedures established under its bylaws; however, all receipts  
23          and disbursements of funds handled by the commission shall be audited yearly  
24          by a certified or licensed public accountant, and the report of the audit must be  
25          included in and become part of the annual report of the commission.

26       7. Qualified immunity, defense, and indemnification.

- 27          a. The members, officers, executive director, employees, and representatives of the  
28          commission are immune from suit and liability, either personally or in their official  
29          capacity, for any claim for damage to or loss of property or personal injury or  
30          other civil liability caused by or arising out of any actual or alleged act, error, or  
31          omission that occurred, or that the person against which the claim is made had a

1 reasonable basis for believing occurred within the scope of commission  
2 employment, duties, or responsibilities, provided that nothing in this subdivision  
3 may be construed to protect any such person from suit or liability, or both, for any  
4 damage, loss, injury, or liability caused by the intentional, willful, or wanton  
5 misconduct of that person.

6 b. The commission shall defend any member, officer, executive director, employee,  
7 or representative of the commission in any civil action seeking to impose liability  
8 arising out of any actual or alleged act, error, or omission that occurred within the  
9 scope of commission employment, duties, or responsibilities, or that the person  
10 against which the claim is made had a reasonable basis for believing occurred  
11 within the scope of commission employment, duties, or responsibilities; provided  
12 that nothing herein may be construed to prohibit that person from retaining that  
13 person's own counsel; and provided further, that the actual or alleged act, error,  
14 or omission did not result from that person's intentional, willful, or wanton  
15 misconduct.

16 c. The commission shall indemnify and hold harmless any member, officer,  
17 executive director, employee, or representative of the commission for the amount  
18 of any settlement or judgment obtained against that person arising out of any  
19 actual or alleged act, error, or omission that occurred within the scope of  
20 commission employment, duties, or responsibilities, or that such person had a  
21 reasonable basis for believing occurred within the scope of commission  
22 employment, duties, or responsibilities, provided that the actual or alleged act,  
23 error, or omission did not result from the intentional, willful, or wanton misconduct  
24 of that person.

#### **ARTICLE X - DATA SYSTEM**

25  
26 1. The commission shall provide for the development, maintenance, operation, and  
27 utilization of a coordinated database and reporting system containing licensure,  
28 adverse action, and investigative information on all licensed individuals in member  
29 states.

- 1       2. Notwithstanding any other provision of state law to the contrary, a member state shall  
2       submit a uniform data set to the data system on all individuals to whom this compact is  
3       applicable as required by the rules of the commission, including:  
4       a. Identifying information;  
5       b. Licensure data;  
6       c. Adverse actions against a license or privilege to practice;  
7       d. Nonconfidential information related to alternative program participation;  
8       e. Any denial of application for licensure, and the reason for such denial;  
9       f. Current significant investigative information; and  
10      g. Other information that may facilitate the administration of this compact, as  
11      determined by the rules of the commission.  
12      3. Investigative information pertaining to a licensee in any member state will only be  
13      available to other member states.  
14      4. The commission promptly shall notify all member states of any adverse action taken  
15      against a licensee or an individual applying for a license. Adverse action information  
16      pertaining to a licensee in any member state will be available to any other member  
17      state.  
18      5. Member states contributing information to the data system may designate information  
19      that may not be shared with the public without the express permission of the  
20      contributing state.  
21      6. Any information submitted to the data system which is subsequently required to be  
22      expunged by the laws of the member state contributing the information must be  
23      removed from the data system.

#### **ARTICLE XI - RULEMAKING**

- 25      1. The commission shall promulgate reasonable rules to effectively and efficiently  
26      achieve the purpose of the compact. Notwithstanding the foregoing, in the event the  
27      commission exercises its rulemaking authority in a manner beyond the scope of the  
28      purposes of the compact, or the powers granted under this compact, then such an  
29      action by the commission is invalid and has no force or effect.

- 1       2. The commission shall exercise its rulemaking powers pursuant to the criteria set forth  
2           in this article and the rules adopted under this article. Rules and amendments become  
3           binding as of the date specified in each rule or amendment.
- 4       3. If a majority of the legislatures of the member states rejects a rule, by enactment of a  
5           statute or resolution in the same manner used to adopt the compact within four years  
6           of the date of adoption of the rule, the rule has no further force and effect in any  
7           member state.
- 8       4. Rules or amendments to the rules must be adopted at a regular or special meeting of  
9           the commission.
- 10      5. Before promulgation and adoption of a final rule or rules by the commission, and at  
11           least thirty days in advance of the meeting at which the rule will be considered and  
12           voted upon, the commission shall file a notice of proposed rulemaking:
  - 13           a. On the website of the commission or other publicly accessible platform; and
  - 14           b. On the website of each member state's professional counseling licensing board,  
15           other publicly accessible platform, or the publication in which each state would  
16           otherwise publish proposed rules.
- 17      6. The notice of proposed rulemaking must include:
  - 18           a. The proposed time, date, and location of the meeting at which the rule will be  
19           considered and voted upon;
  - 20           b. The text of the proposed rule or amendment and the reason for the proposed  
21           rule;
  - 22           c. A request for comments on the proposed rule from any interested person; and
  - 23           d. The manner in which interested persons may submit notice to the commission of  
24           their intention to attend the public hearing and any written comments.
- 25      7. Before adoption of a proposed rule, the commission shall allow persons to submit  
26           written data, facts, opinions, and arguments, which must be made available to the  
27           public.
- 28      8. The commission shall grant an opportunity for a public hearing before the committee  
29           adopts a rule or amendment if a hearing is requested by:
  - 30           a. At least twenty-five persons;
  - 31           b. A state or federal governmental subdivision or agency; or

- 1           c. An association having at least twenty-five members.
- 2           9. If a hearing is held on the proposed rule or amendment, the commission shall publish  
3 the place, time, and date of the scheduled public hearing. If the hearing is held via  
4 electronic means, the commission shall publish the mechanism for access to the  
5 electronic hearing.
- 6           a. All persons wishing to be heard at the hearing shall notify the executive director  
7 of the commission or other designated member in writing of their desire to appear  
8 and testify at the hearing not less than five business days before the scheduled  
9 date of the hearing.
- 10          b. Hearings must be conducted in a manner providing each person that wishes to  
11 comment a fair and reasonable opportunity to comment orally or in writing.
- 12          c. All hearings will be recorded. A copy of the recording will be made available on  
13 request.
- 14          d. This article may not be construed as requiring a separate hearing on each rule.  
15 Rules may be grouped for the convenience of the commission at hearings  
16 required by this article.
- 17          10. Following the scheduled hearing date, or by the close of business on the scheduled  
18 hearing date if the hearing was not held, the commission shall consider all written and  
19 oral comments received.
- 20          11. If no written notice of intent to attend the public hearing by interested parties is  
21 received, the commission may proceed with promulgation of the proposed rule without  
22 a public hearing.
- 23          12. By majority vote of all members, the commission shall take final action on the  
24 proposed rule and shall determine the effective date of the rule, if any, based on the  
25 rulemaking record and the full text of the rule.
- 26          13. Upon determination that an emergency exists, the commission may consider and  
27 adopt an emergency rule without prior notice, opportunity for comment, or hearing,  
28 provided that the usual rulemaking procedures provided in the compact and in this  
29 article must be retroactively applied to the rule as soon as reasonably possible, in no  
30 event later than ninety days after the effective date of the rule. For the purposes of this  
31 subsection, an emergency rule is one that must be adopted immediately to:

- 1           a. Meet an imminent threat to public health, safety, or welfare;
- 2           b. Prevent a loss of commission or member state funds;
- 3           c. Meet a deadline for the promulgation of an administrative rule that is established
- 4                 by federal law or rule; or
- 5           d. Protect public health and safety.
- 6    14. The commission or an authorized committee of the commission may direct revisions to
- 7           a previously adopted rule or amendment for purposes of correcting typographical
- 8           errors, errors in format, errors in consistency, or grammatical errors. Public notice of
- 9           any revisions must be posted on the website of the commission. The revision is
- 10           subject to challenge by any person for a period of thirty days after posting. The
- 11           revision may be challenged only on grounds that the revision results in a material
- 12           change to a rule. A challenge must be made in writing and delivered to the chair of the
- 13           commission before the end of the notice period. If no challenge is made, the revision
- 14           will take effect without further action. If the revision is challenged, the revision may not
- 15           take effect without the approval of the commission.

## **ARTICLE XII - OVERSIGHT, DISPUTE RESOLUTION, AND ENFORCEMENT**

- 17    1. Oversight.
- 18           a. The executive, legislative, and judicial branches of state government in each
- 19                 member state shall enforce this compact and take all actions necessary and
- 20                 appropriate to effectuate the compact's purposes and intent. The provisions of
- 21                 this compact and the rules promulgated under this compact have standing as
- 22                 statutory law.
- 23           b. All courts shall take judicial notice of the compact and the rules in any judicial or
- 24                 administrative proceeding in a member state pertaining to the subject matter of
- 25                 this compact which may affect the powers, responsibilities, or actions of the
- 26                 commission.
- 27           c. The commission must be entitled to receive service of process in the proceeding
- 28                 and must have standing to intervene in the proceeding for all purposes. Failure to
- 29                 provide service of process to the commission renders a judgment or order void
- 30                 as to the commission, this compact, or promulgated rules.

- 1       2. If the commission determines a member state has defaulted in the performance of its  
2       obligations or responsibilities under this compact or the promulgated rules, the  
3       commission shall:
  - 4       a. Provide written notice to the defaulting state and other member states of the  
5       nature of the default, the proposed means of curing the default or any other  
6       action to be taken by the commission, or any combination of these requirements;  
7       and
  - 8       b. Provide remedial training and specific technical assistance regarding the default.
- 9       3. If a state in default fails to cure the default, the defaulting state may be terminated  
10      from the compact upon an affirmative vote of a majority of the member states, and all  
11      rights, privileges, and benefits conferred by this compact may be terminated on the  
12      effective date of termination. A cure of the default does not relieve the offending state  
13      of obligations or liabilities incurred during the period of default.
- 14      4. Termination of membership in the compact must be imposed only after all other means  
15      of securing compliance have been exhausted. Notice of intent to suspend or terminate  
16      must be given by the commission to the governor, the majority and minority leaders of  
17      the defaulting state's legislature, and each of the member states.
- 18      5. A state that has been terminated is responsible for all assessments, obligations, and  
19      liabilities incurred through the effective date of termination, including obligations that  
20      extend beyond the effective date of termination.
- 21      6. The commission may not pay any costs related to a state that is found to be in default  
22      or that has been terminated from the compact, unless agreed upon in writing between  
23      the commission and the defaulting state.
- 24      7. The defaulting state may appeal the action of the commission by petitioning the United  
25      States district court for the District of Columbia or the federal district where the  
26      commission has its principal offices. The prevailing member must be awarded all costs  
27      of the litigation, including reasonable attorney's fees.
- 28      8. Dispute resolution.
  - 29      a. Upon request by a member state, the commission shall attempt to resolve  
30      disputes related to the compact which arise among member states and between  
31      member and nonmember states.

1           b. The commission shall promulgate a rule providing for both mediation and binding  
2           dispute resolution for disputes as appropriate.

3           9. Enforcement.

4           a. The commission, in the reasonable exercise of its discretion, shall enforce the  
5           provisions and rules of this compact.

6           b. By majority vote, the commission may initiate legal action in the United States  
7           district court for the District of Columbia or the federal district where the  
8           commission has its principal offices against a member state in default to enforce  
9           compliance with the provisions of the compact and its promulgated rules and  
10          bylaws. The relief sought may include both injunctive relief and damages. In the  
11          event judicial enforcement is necessary, the prevailing member must be awarded  
12          all costs of the litigation, including reasonable attorney's fees.

13          c. The remedies provided under the compact are not the exclusive remedies of the  
14          commission. The commission may pursue any other remedies available under  
15          federal or state law.

16           **ARTICLE XIII - DATE OF IMPLEMENTATION OF THE COUNSELING COMPACT**

17           **COMMISSION AND ASSOCIATED RULES, WITHDRAWAL, AND AMENDMENT**

18          1. The compact becomes effective on the date on which the compact statute is enacted  
19          into law in the tenth member state. The provisions, which become effective at that  
20          time, are limited to the powers granted to the commission relating to assembly and the  
21          promulgation of rules. Thereafter, the commission shall meet and exercise rulemaking  
22          powers necessary to implement and administer the compact.

23          2. Any state that joins the compact subsequent to the commission's initial adoption of the  
24          rules must be subject to the rules existing on the date on which the compact becomes  
25          law in that state. Any rule previously adopted by the commission has the full force and  
26          effect of law on the day the compact becomes law in that state.

27          3. Any member state may withdraw from this compact by enacting a statute repealing the  
28          compact.

29           a. A member state's withdrawal may not take effect until six months after enactment  
30           of the repealing statute.



1           b. Withdrawal does not affect the continuing requirement of the withdrawing state's  
2           professional counseling licensing board to comply with the investigative and  
3           adverse action reporting requirements of this compact before the effective date of  
4           withdrawal.

5           4. This compact must be construed to invalidate or prevent any professional counseling  
6           licensure agreement or other cooperative arrangement between a member state and a  
7           nonmember state which does not conflict with the provisions of this compact.

8           5. This compact may be amended by the member states. An amendment to this compact  
9           may not become effective and binding upon any member state until the amendment is  
10           enacted into the laws of all member states.

#### **ARTICLE XIV - CONSTRUCTION AND SEVERABILITY**

12           This compact must be liberally construed so as to effectuate the purposes of the compact.  
13           The provisions of this compact must be severable and if any phrase, clause, sentence, or  
14           provision of this compact is declared to be contrary to the constitution of any member state or of  
15           the United States of America or the applicability thereof to any government, agency, person, or  
16           circumstance is held invalid, the validity of the remainder of this compact and the applicability of  
17           the compact to any government, agency, person, or circumstance may not be affected thereby.  
18           If this compact is held contrary to the constitution of any member state, the compact must  
19           remain in full force and effect as to the remaining member states and as to the member state  
20           affected as to all severable matters.

#### **ARTICLE XV - BINDING EFFECT OF COMPACT AND OTHER LAWS**

22           1. A licensee providing professional counseling services in a remote state under the  
23           privilege to practice shall adhere to the laws and regulations, including scope of  
24           practice, of the remote state.

25           2. Nothing herein prevents the enforcement of any other law of a member state that is  
26           not inconsistent with the compact.

27           3. Any laws in a member state in conflict with the compact are superseded to the extent  
28           of the conflict.

29           4. Any lawful actions of the commission, including all rules and bylaws properly  
30           promulgated by the commission, are binding upon the member states.

- 1        5. All permissible agreements between the commission and the member states are
- 2        binding in accordance with the terms of the agreements.
- 3        6. In the event any provision of the compact exceeds the constitutional limits imposed on
- 4        the legislature of any member state, the provision must be ineffective to the extent of
- 5        the conflict with the constitutional provision in question in that member state.